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Form 990-PF

Department of the Treasury

Internal Revenue Service

DLN: 93491083006097

2016

OMB No 1545-0052

## **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at <a href="www.irs.gov/form990pf">www.irs.gov/form990pf</a>.

Open to Public Inspection

or	caler	ndar year 2016, or tax year beginning 01-01-20	)16 , aı	nd en	iding 12-31-	2016	
		indation RCKHARDT-BRENNER FOUNDATION			A Employer ıd	entification numbe	r
اد	IAVV-DO	RCKHARD I-BRENNER I GUNDATION			23-7076297		
		street (or P O box number if mail is not delivered to street address)	Room/suite		<b>B</b> Telephone nu	mber (see instructio	ns)
۲,	O HALL	. RENDER500 N MERIDIAN ST			(317) 977-1449	)	
		, state or province, country, and ZIP or foreign postal code LIS, IN 46204	1		<b>C</b> If exemption	application is pendir	g, check here
- C	neck al	I that apply	former public charity		<b>D 1</b> Foreign or	ganizations, check h	ere =
<b>J</b> C	ieck di	☐ Final return ☐ Amended return	Torriter public charity			rganizations meeting	▶ ∟
		Address change Name change				k here and attach co	
ı Ci	neck tv	pe of organization Section 501(c)(3) exempt private	foundation			undation status was	
		4947(a)(1) nonexempt charitable trust  Other taxable			under sectio	n 507(b)(1)(A), che	.k nere
			☑ Cash ☐ Accru	ıal	F If the founda	ation is in a 60-mont	h termination
		from Part II, col (c), \$ 952,618			under sectio	n 507(b)(1)(B), ched	k here 🕨 느
Pa	rt I	Analysis of Revenue and Expenses (The total					(d) Disbursements
		of amounts in columns (b), (c), and (d) may not necessarily	(a) Revenue and expenses per	(b)	Net investment income	(c) Adjusted net	for charitable purposes
		equal the amounts in column (a) (see instructions) )	books		income	liicome	(cash basis only
	1	Contributions, gifts, grants, etc , received (attach schedule)					
	2	Check ► ✓ If the foundation is <b>not</b> required to attach					
		Sch B					
	3	Interest on savings and temporary cash investments	777		777		
	4	Dividends and interest from securities	19,900		19,900		
	5a	Gross rents					
en.	Ь	Net rental income or (loss)					
Revenue	6a	Net gain or (loss) from sale of assets not on line 10	42,142				
	b	Gross sales price for all assets on line 6a 329,020			40.440		
ž	7	Capital gain net income (from Part IV, line 2)			42,142		
	8	Net short-term capital gain					
	10a	Gross sales less returns and allowances					
	ь	Less Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					
	12	Total. Add lines 1 through 11	62,819		62,819		
	13	Compensation of officers, directors, trustees, etc					
	14	Other employee salaries and wages					
Š	15	Pension plans, employee benefits					
nse	16a	Legal fees (attach schedule)	3,000				3,00
<u>g</u>	ь	Accounting fees (attach schedule)	<b>9</b> 3,600				3,60
Operating and Administrative Expenses	c	Other professional fees (attach schedule)	7,002		7,002		
Ĭ	17	Interest					
stra	18	Taxes (attach schedule) (see instructions)	<b>%</b> 828		252		
Ξ	19	Depreciation (attach schedule) and depletion					
Ē	20	Occupancy					
⋖ □	21	Travel, conferences, and meetings	511				51
Ē	22	Printing and publications					
<u> </u>	23	Other expenses (attach schedule)	30		30		
rat E	24	Total operating and administrative expenses.					
<u>g</u>		Add lines 13 through 23	14,971		7,284		7,11
ر	25	Contributions, gifts, grants paid	24,000				24,00
	26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	38,971		7,284		31,11
	27	Subtract line 26 from line 12	30,971		7,204		51,11
	a	Excess of revenue over expenses and	23,848				
	.	disbursements  Not investment income (if positive, enter -0-)		-	55,535		
	b	Net investment income (If negative, enter -0-)  Adjusted net income(If negative, enter -0-)			23,335		
	C	<u> </u>		L	- 1 11 11-00	<u> </u>	000 PE (221

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17 18

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23

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28

29

30

31

Liabilities

Balances

Fund

ŏ

Assets 27

Net

2

3

Land, buildings, and equipment basis

instructions Also, see page 1, item I)

Other assets (describe > \_

Other liabilities (describe -\_

Less accumulated depreciation (attach schedule)

Accounts payable and accrued expenses . . . . . . . . . . . .

Loans from officers, directors, trustees, and other disqualified persons

Mortgages and other notes payable (attach schedule). . . . . .

Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30

Other increases not included in line 2 (itemize) ▶ \_\_\_\_\_

Decreases not included in line 2 (itemize) ▶ \_

Total assets (to be completed by all filers—see the

```
Foundations that follow SFAS 117, check here ▶
         and complete lines 24 through 26 and lines 30 and 31.
         Unrestricted
         Temporarily restricted
         Permanently restricted . . . . .
         Foundations that do not follow SFAS 117, check here
         and complete lines 27 through 31.
         Paid-in or capital surplus, or land, bldg, and equipment fund
                                                                                    813,613
                                                                                                      837,461
         Retained earnings, accumulated income, endowment, or other funds
                                                                                    813,613
                                                                                                      837,461
         Total net assets or fund balances (see instructions) . . . . .
                                                                                                      837,461
          Total liabilities and net assets/fund balances (see instructions) .
                                                                                    813,613
Part III
           Analysis of Changes in Net Assets or Fund Balances
     Total net assets or fund balances at beginning of year-Part II, column (a), line 30 (must agree with end-
     of-year figure reported on prior year's return)
                                                                                                                   813,613
                                                                                                 2
```

813,613

837.461

952,618

23,848

837,461

837,461 Form 990-PF (2016)

3

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<u> </u>			(b)	T	T
	(a) the kind(s) of property sold (e g , re ehouse, or common stock, 200 shs	How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )	
1 a PUBLICLY TRADED SECU	RITIES	Р			
b PUBLICLY TRADED SECU			Р		
С					
d					
е					
	(f)		(g)	<del>                                     </del>	h)
(e)	Depreciation allowed		other basis		or (loss)
Gross sales price	(or allowable)	plus exp	ense of sale	(e) plus (i	f) minus (g)
<b>a</b> 7	76,914		70,23	6	6,678
<b>b</b> 24	18,732		216,64	2	32,090
С С					
d					
e					
	s showing gain in column (h) and ow	ned by the foundation	on 12/31/60		<u>(1)</u>
Complete only for assets	(i)	•	(k)	Gains (Col. (	<b>(I)</b> (h) gain minus
(i)	Adjusted basis		of col (ı)		: less than -0-) <b>or</b>
F M V as of 12/31/69	as of 12/31/69		l (j), ıf any	Losses (fr	om col (h))
a					6,678
b					32,090
С С					
d					
e					-
	1	If gain, also enter in P	Part I. line 7		
2 Capital gain net income	e or (net capital loss)	If (loss), enter -0- in I			
	ı		ı	2	42,142
3 Net short-term capital o	gain or (loss) as defined in sections :	1222(5) and (6)			
	ırt I, lıne 8, column (c) (see ınstructı	ons) If (loss), enter -0	)-		6 670
ın Part I, line 8			}	3	6,678
Part V Qualification I	Under Section 4940(e) for Re	duced Tay on Net	Investment I	ncome	
•					
(For optional use by domestic p	private foundations subject to the sec	ction 4940(a) tax on ne	et investment inco	me)	
If section 4940(d)(2) applies, le	eave this part blank				
Maa tha farradatraa lahla farrth		hla amazınık a <b>f</b> amızızanı	+	J <sub>2</sub>	es 🗸 No
	ne section 4942 tax on the distributa of qualify under section 4940(e) Do	, ,	•	ı' r	es 🛂 No
·	nount in each column for each year,			 ec	
(a)			I making any char	(d)	
Base period years Calendar	(b) Adjusted qualifying distributions	(c) Net value of noncharitab	le-use assets	Distribution rat	
year (or tax year beginning in)				(col (b) divided by	
2015	48,406		929,362		0 052085
2014	42,363		959,008		0 044174
2013	54,104		895,570		0 060413
2012	32,779		830,495		0 039469
2011	44,722		790,742	1	0 056557
2 Total of line 1, column (	•		2		0 252698
	for the 5-year base period—divide		, or by the <b>3</b>		0 050540
	ndation has been in existence if less Incharitable-use assets for 2016 fron		: : : : <del>  3</del>		909,322
5 Multiply line 4 by line 3		•	5	1	45,957
' '	ent income (1% of Part I, line 27b)		6	+	555
			7		46,512
	one from Dort VII line 4		_	+	<del></del>
	ions from Part XII, line 4    ,    .   . eater than line 7, check the box in Pa		8 <u>8</u>	Ing a 1% tay rate Sa	31,111
instructions	eater than line 7, thetk the box in Pa	art vi, iiile ib, and com	ipiete tilat part US	my a 170 tax fate 56	e tile rait VI
				F	orm <b>990-PF</b> (2016)
				•	\=-=-/

Form 990-PF (2016)  Information About Officers, Directors, Trustees,	Foundation Managers Highly	Page 7
and Contractors (continued)	roundation managers, riging	Palu Employees,
3 Five highest-paid independent contractors for professional service	es (see instructions). If none, en	ter "NONE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		<b>&gt;</b>
Part IX-A Summary of Direct Charitable Activities		1
List the foundation's four largest direct charitable activities during the tax year. Include rele organizations and other beneficiaries served, conferences convened, research papers produ	evant statistical information such as the nunced, etc	nber of Expenses
1		
2		
2		<del></del>
3		
		<del></del>
4		
Part IX-B Summary of Program-Related Investments (see	nstructions)	
Describe the two largest program-related investments made by the foundation during the	ne tax year on lines 1 and 2	Amount
<b>1</b> N/A		
2		
All other program-related investments See instructions		
all other program-related investments. See instructions		
-		
Total. Add lines 1 through 3		. ▶
		Form <b>990-PF</b> (2016)

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for

1a

1b

2

За 3b

4

5

31,111

31.111

31,111

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Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes

Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

Adjusted qualifying distributions. Subtract line 5 from line 4. . . . . . . . . .

Amounts set aside for specific charitable projects that satisfy the

the section 4940(e) reduction of tax in those years

Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . . . . . . . . .

Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

income Enter 1% of Part I. line 27b (see instructions).

1

2

3

4

Page 9

44.355

31.111

13,244

Form **990-PF** (2016)

Form 990-PF (2016)						
Part XIII	Undi					

990-PF	(20	16	)		
			-	 	_

- stributed Income (see instructions) 1 Distributable amount for 2016 from Part XI, line 7
- 2 Undistributed income, if any, as of the end of 2016 a Enter amount for 2015 only. . . . . . .
- Excess distributions carryover, if any, to 2016
- **b** Total for prior years From 2011. . . . . **b** From 2012. . . . .
- c From 2013. . .
- **d** From 2014. . . . .
- e From 2015. . . . .
  - 10.551 3.004
  - XII, line 4 > \$
- f Total of lines 3a through e. . . . . . . 4 Qualifying distributions for 2016 from Part a Applied to 2015, but not more than line 2a

required—see instructions). . . . . . . . . .

d Applied to 2016 distributable amount. . . . . e Remaining amount distributed out of corpus

**5** Excess distributions carryover applied to 2016

a Corpus Add lines 3f, 4c, and 4e Subtract line 5

(If an amount appears in column (d), the

6 Enter the net total of each column as

**b** Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . d Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . . . e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . . . 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . . 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .

9 Excess distributions carryover to 2017.

10 Analysis of line 9

a Excess from 2012. . .

**b** Excess from 2013. . c Excess from 2014. . .

d Excess from 2015. . e Excess from 2016. . .

Subtract lines 7 and 8 from line 6a . . . . . .

indicated below:

**b** Applied to undistributed income of prior years

same amount must be shown in column (a) )

- (Election required—see instructions). . . . . c Treated as distributions out of corpus (Election

5,575

8.845

(a)

Corpus

27,975

13,244

14.731

14,731

1.176 10,551

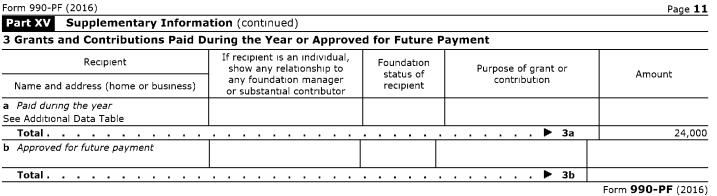
3.004

(b)

Years prior to 2015

(c)

Forr	n 990-PF (2016)					Page <b>10</b>
P	art XIV Private Operating Found	<b>lations</b> (see insti	ructions and Part '	VII-A, question 9)		
1a	If the foundation has received a ruling or de foundation, and the ruling is effective for 20			erating		
b	Check box to indicate whether the organizat	ion is a private ope	rating foundation de		4942(յ)(3) or ∟	4942(j)(5)
2a	Enter the lesser of the adjusted net income from Part I or the minimum	Tax year		Prior 3 years	1	(e) Total
	investment return from Part X for each	(a) 2016	<b>(b)</b> 2015	(c) 2014	(d) 2013	
b	year listed			+		
	Qualifying distributions from Part XII,					
	line 4 for each year listed					
a	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the					
	alternative test relied upon					
а	"Assets" alternative test—enter  (1) Value of all assets					
	(2) Value of assets qualifying					
L	under section 4942(j)(3)(B)(i) "Endowment" alternative test— enter 2/3					
D	of minimum investment return shown in Part X, line 6 for each year listed.					
C	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest,					
	dividends, rents, payments					
	on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public					
	and 5 or more exempt organizations as provided in					
	section 4942(j)(3)(B)(III)					
	(3) Largest amount of support					
	from an exempt organization (4) Gross investment income					
	Supplementary Information	(Complete this	part only if the	organization ha	d \$5,000 or mor	e in
	assets at any time during th		tructions.)			
1 a	Information Regarding Foundation Man List any managers of the foundation who have		than 2% of the tota	al contributions rece	ived by the foundatio	n
	before the close of any tax year (but only if NONE					
b	List any managers of the foundation who ow				large portion of the	
	ownership of a partnership or other entity) NONE	or which the rounda	ition has a 10% or g	reater interest		
	NONE					
<b>4</b>						1.
<u>`</u>	Information Regarding Contribution, Gr	ant, Gift, Loan, So	cholarship, etc., Pr	ograms:		
	Check here ▶ ☐ If the foundation only make				dans not assent	
	unsolicited requests for funds. If the foundation					nder
	other conditions, complete items 2a, b, c, a	nd d				
а	The name, address, and telephone number	or e-mail address o	f the person to whor	n applications should	d be addressed	
	THOMAS A JENKINS					
	500 N MERIDIAN STREET SUITE 400 INDIANAPOLIS, IN 462041293					
	(317) 977-1449					
b	The form in which applications should be su			•		
	THE SHAW-BURCKHARDT-BRENNER FOUND TITLED "SHAW-BURCKHARDT-BRENNER FO					
	SECRETARY FOR YOUR CONVENIENCE, THE					
	FORMAT					
С	Any submission deadlines GENERALLY, THE BOARD MEETS TWO TIME:	S A YEAR, IN APRIL	OR MAY AND AGAIN	I IN NOVEMBER OR	DECEMBER TO B	
d	Any restrictions or limitations on awards, su	·				
	factors	TO A \/ADIETY OF T	DOCDAMO TRICLUS	INIC DDOCDANG DE	ATING TO THE ASSE	EDUCATION:
	THE FOUNDATION HAS AWARDED GRANTS SERVICES TO THE ELDERLY, ETC THAT BEI					
	BENEFIT CHILDREN (OR PROVIDE SERVICE	S TO CHILDREN) W	ITH AN EMPHASIS O	N PROGRAMS THAT	DEAL WITH FOOD, C	LOTHING, SHELTER
	AND SOCIAL VALUES OVER OTHER PROGRAM PROGRAMS OF PROJECTS BEFORE CONSIDER				S FUR THE FUNDING	OL PRECIFIC



Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section	512, 513, or 514	(e) Related or exempt
Program	service revenue	(a) Business code	<b>(b)</b> Amount	(c) Exclusion code	<b>(d)</b> Amount	function income (See instructions
	and contracts from government agencies					
	ship dues and assessments					
	st on savings and temporary cash ments			14	777	
	ds and interest from securities			14	19,900	
Net ren	tal income or (loss) from real estate					
	financed property					
	ebt-financed property					
	ntal income or (loss) from personal property					
	r (loss) from sales of assets other than	<del>                                     </del>				
	ory			18	42,142	
	ome or (loss) from special events					
	rofit or (loss) from sales of inventory					
Other r	evenue <b>a</b>					
C.,L.	.   Add as				62,819	
<b>Total.</b> .e worksl	Add line 12, columns (b), (d), and (e).  Add line 12, columns (b), (d), and (e).  Deet in line 13 instructions to verify calculations.	ns )		13	·	62,83
worksl	Relationship of Activities to th	<sup>ns</sup> ) <b>e Accomplish</b> ı	ment of Exem	pt Purposes		62,8:
works rt XVI	neet in line 13 instructions to verify calculation	ns ) e Accomplishi income is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	62,8
works I <b>rt XVI</b> Ne No.	<ul> <li>Relationship of Activities to th</li> <li>Explain below how each activity for which the accomplishment of the foundation's ex</li> </ul>	ns ) e Accomplishi income is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	62,8
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works Irt XVI ne No.	<ul> <li>Relationship of Activities to th</li> <li>Explain below how each activity for which the accomplishment of the foundation's ex</li> </ul>	ns ) e Accomplishi income is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	62,8
e works Irt XVI ne No.	<ul> <li>Relationship of Activities to th</li> <li>Explain below how each activity for which the accomplishment of the foundation's ex</li> </ul>	ns ) e Accomplishi income is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	62,8
e worksl art XVI ne No.	<ul> <li>Relationship of Activities to th</li> <li>Explain below how each activity for which the accomplishment of the foundation's ex</li> </ul>	ns ) e Accomplishi income is reporte	ment of Exem	pt Purposes of Part XVI-A contribut	ted importantly to	62,8

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII	Exempt Organiz	zations					•			
1 Did the org	ganization directly or inc Code (other than section	directly engac n 501(c)(3) o	ge in any of rganizations	the following	with any	other organization	n described in sections?	on 501	Yes	No
	rom the reporting found									
<b>(1)</b> Cash.								. 1a(1	)	No
(2) Other assets									)	No
<b>b</b> Other transactions										
(1) Sales of assets to a noncharitable exempt organization									)	No
(2) Purch	ases of assets from a n	oncharitable (	exempt orga	anızatıon				1b(2	)	No
	l of facilities, equipmen	•						1b(3	+	No
	oursement arrangement							. 1b(4	+	No
(5) Loans or loan guarantees								. 1b(5		No
(6) Performance of services or membership or fundraising solicitations									<u> </u>	No
-					•			1c		No
	ver to any of the above ds, other assets, or serv									
	saction or sharing arrai									
						(1) 5				
(a) Line No	(b) Amount involved	(c) Name of r	noncharitable	exempt organı	zation	(a) Description of	transfers, transactions,	, and snaring arr	angemen	ITS
	idation directly or indire	•	· ·					_		
described i	n section 501(c) of the	Code (other t	than section	501(c)(3))	or in secti	ion 527? <b></b>		. <b>.</b> ∐Yes	<b>✓</b> N	lo
<b>b</b> If "Yes," co	emplete the following so					i				
	(a) Name of organizatio	n		(b) Type of	organizatio	n	(c) Description	of relationship		
Unde	er penalties of perjury, i	I declare that	I have exar	nined this re	turn, ıncl	uding accompanyi	ng schedules and st	atements, and	to the	best
	y knowledge and belief,		rrect, and co	omplete De	claration o	of preparer (other	than taxpayer) is ba	ased on all info	rmation	n of
31911	h preparer has any kno	wieage		1				. II IDC I		
Here 👠 📑	****			2017-03	-21	\_*****		May the IRS disc with the preparei		
<b> </b>	Signature of officer or tr	ustee		Date		Title	(	see instr )?	Yes 🗆 N	4o
								· ·		
	Print/Type preparer's	name	Preparer's S	Signature		Date	Check if self-	PTIN		
							employed ▶ □	P0013	7280	
Paid	MICHAEL P MERKE	L				2017-03-23				
Preparer										
Use Only	Firm's name ► LA R	OSA & CO LLO	j					Fırm's EIN ▶3	5-14166	569
USE OILLY	Firm's address ▶ 32	0 N MERIDIAI	N ST STE 32	28						
								Phone no (31	7) 634-	8777
	INDIANAPOLIS, IN 46204					(31	, 554			

(c) Compensation (If (d) (a) Name and address Title, and average Expense account, Contributions to hours per week not paid, enter (e) other allowances (b) devoted to position -0-) employee benefit plans and deferred compensation DAVE J BACKER DIRECTOR 1 00 25 DREW ROAD

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

SOUTH PORTLAND, ME 04106				
THOMAS A JENKINS	DIRECTOR	0	0	0
500 NORTH MERIDIAN ST SUITE 400 INDIANAPOLIS, IN 46204	1 00			
CHRISTINE H HICKEY	DIRECTOR	0	0	0

THOMAS A JENKINS	DIRECTOR	0	0	0
500 NORTH MERIDIAN ST SUITE 400 INDIANAPOLIS, IN 46204	1 00			
CHRISTINE H HICKEY	DIRECTOR	0	0	0
	1 00			

500 NORTH MERIDIAN ST SUITE 400 INDIANAPOLIS, IN 46204	1 00			
CHRISTINE H HICKEY	DIRECTOR	0	0	0
135 N PENNSYLVANIA STREET STE 1400	1 00			

500 NORTH MERIDIAN ST SUITE 400 INDIANAPOLIS, IN 46204	1 00			
CHRISTINE H HICKEY	DIRECTOR	0	0	0
135 N PENNSYLVANIA STREET STE 1400	1 00			

CHRISTINE H HICKEY  135 N PENNSYLVANIA STREET STE 1400 INDIANAPOLIS, IN 46204	DIRECTOR 1 00	0	0	0
DENNIS R JONES	DIRECTOR	0	0	0

1 00

DIRECTOR 1 00

8017 BEAUMONT GREEN EAST DRIVE

INDIANAPOLIS, IN 46250 DOROTHEA GENETOS

6511 A MERIDIAN PARKWAY INDIANAPOLIS, IN 46220

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, Foundation Purpose of grant or Amount contribution show any relationship to status of any foundation manager recipient Name and address (home or business) or substantial contributor a Paid during the year CENTER GROVE COMMUNITY SCHOOLS PC BLESSING IN A BACKPACK 4,000 135 N PENNSYLVANIA ST **PROGRAM SUITE 1400** INDIANAPOLIS, IN 46204 COBURN PLACE SAFE HAVEN II INC PC. 4,000 CHILDREN'S SERVICES 604 E 38TH STREET **PROGRAM** INDIANAPOLIS, IN 46205 CONCORD CENTER ASSOCIATION INC PC FOOD FOR YOUTH PROGRAMS 2,000 1310 SOUTH MERIDIAN STREE INDIANAPOLIS, IN 46225 DYSLEXIA INSTITUTE OF INDIANA INC. PC CAMP DELAFIELD PROGRAM 2,500 8395 KEYSTONE CROSSING **SUITE 102** INDIANAPOLIS, IN 46240 PC YOUTH CENTER PROGRAM 2,500 EAST TENTH STREET UNITED METHODIST CHURCH & YOUTH CENTER INC 2327 E 10TH STREET INDIANAPOLIS, IN 46201 GLEANER'S FOOD BANK INC PC **BACKSACKS-WEEKEND FOOD** 4,000 3737 WALDEMERE AVENUE FOR KIDS INDIANAPOLIS, IN 46241 PC NOBLE OF INDIANA INC DAY CAMP PROGRAM 2.000 7701 EAST 21ST STREET INDIANAPOLIS, IN 46219 UNIVERSITY OF CUMBERLANDS PC MOUNTAIN OUTREACH 3,000 CUMBERLAND COLLEGE **PROGRAM** 6191 COLLEGE STATION DR WILLIAMSBURG, KY 40769

24,000

Total.

За

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TY 2016 Accounting Fees Schedule						
_						
Name: SHAW-BURCKHARDT-BRENNER FOUNDATION						
		-7076297	MAKDI DILIM	VERTOONDATION		
	EIN: 23	-/0/629/				
Category	Amoun	t Net	Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes	
TAX PREPARATION FEES		3,600			3,600	

# **TY 2016 Investments Corporate Stock Schedule**

Name: SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HELEN OF TROY CORP COM 85 SH		
ADVANSIX INC COM 2 SH	11	44
AETNA INC NEW COM 80 SH	6,945	9,921
ALCOA INC ISIN 420 SH		
ALLERGAN PLC.COM 35 SH	8,246	7,350
AMBARELLA INC COM 115 SH	5,306	6,225
AMERICAN EXPRESS CO 140 SH	10,612	10,371
AMERICAN EXPRESS CO 115 SH		
AMERISOURCEBERGEN CORP 50 SH	3,816	3,909
APPLE INC 86 SH	6,764	9,961
AT&T INC 270 SH	7,423	11,483
AT&T INC 175 SH		
AT&T INC 50 SH		
AT&T INC 75 SH		
BAXALTA INC COM 150 SH		
BOEING COMPANY 55 SH	2,652	8,562
BOEING COMPANY 80 SH		
CABOT OIL & GAS CP COM 415 SH	8,467	9,694
CAMECO CORP COM NPV ISIN 825 SH	8,651	8,638
CAPITAL ONE FINL CORP COM 115 SH		
CHECK POINT SOFTWARE TECHNOL 50 SH	3,892	4,223
CHEMTURA CORPORATION 185 SH		
CHEMTURA CORPORATION 135 SH		
CHEVRON CORP 80 SH	6,284	9,416
CHEVRON CORP 75 SH		
CHEVRON CORP 20 SH		
CISCO SYSTEMS INC 420 SH		
CITIGROUP INC COM NEW 165 SH		
CITIGROUP INC COM NEW 30 SH		
CITIZENS FINL GROUP 415 SH	10,159	14,786

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CITIZENS FINL GROUP 315 SH		
COACH INC 195 SH	6,354	6,829
COACH INC 135 SH		
CONOCOPHILLIPS 190 SH	8,288	9,527
CVS HEALTH CORP COM 120 SH	4,074	9,469
DBX ETF TRUST X-TRACKERS MSCI 600 SH	14,791	16,836
DELTA AIR LINES INC 225 SH		
DEUTCHE X-TRACKERS MSCI EAFEETF 700		
DEUTCHE X-TRACKERS MSCI EAFE 500 SH		
DIAGEO ADR EACH REPR 4 ORD 75 SH	8,211	7,796
DICKS SPORTING GOODS INC COM 100 SH	3,988	5,310
EBAY INC COM 160 SH		
E M C CORP MASS 315 SH		
EXPRESS SCRIPTS HLDG CO 170 SH		
EXXON MOBIL CORP 65 SH	4,638	5,867
EXXON MOBIL CORP 105 SH		
FIFTH THIRD BANCORP 465 SH		
GALLAGHER ARTHUR J & CO 185 SH	7,835	9,613
GILEAD SCIENCES INC 115 SH	11,259	8,235
GILEAD SCIENCES INC 85 SH		
GOODYEAR TIRE & RUBBER 350 SH		
HAIN CELESTIAL GROUP INC 100SH	3,446	3,903
HANESBRANDS INC COM 345 SH	2,857	7,442
HANESBRANDS INC COM 75 SH		
HARTFORD FINL SVCS GROUP INC 215 SH	8,103	10,245
HEWLETT PACKARD CO 315 SH		
HONEYWELL INTL INC 65 SH	2,493	7,530
HP INC COM 315 SH		
INTL BUSINESS MACH 55 SH	8,345	9,129
ISHARES TR MSCI ACWI EX US 1000 SH	40,991	40,270

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES MSCI EAFE ETF 600 SH		
ISHARES TR S&P MIDCAP 400 GRWTH 75 S	5,666	13,665
ISHARS CORE S&P SMALL-CAP 65 SH	5,357	8,939
ISHARES CORE S&P SMALL-CAP 50 SH		
ISHARS TIPS BOND ETF 105 SH	11,905	11,883
ISHARES US OIL EQUIPMENT & SVCS 90SH		
ISHARES US OIL EQUIPMENT & SV 100 SH		
ITC HOLDINGS 260 SH		
KEYCORP NEW 570 SH	7,060	10,414
MATTEL INC 230 SH	5,347	6,337
MATTEL INC 375 SH		
MERK & CO INC NEW 100 SH	3,343	5,887
MERCK & CO INC NEW 150 SH		
MERCK & CO INC NEW 25 SH		
MICRON TECHNOLOGY 435 SH	6,204	9,535
MICRON TECHNOLOGY 525 SH		
MOLSON COORS BREWEING CO CL B 60 SH		
ORACLE CORPORATION 200 SH	4,897	7,690
ORACLE CORPORATION 245 SH		
PENSKE AUTOMOTIVE GROUP INC 175 SH		
PEPSICO INC 85 SH		
PIMCO ETF TRUST 240 SH		
PNC FINL SVCS GROUP 95 SH	5,576	11,111
PNC FINL SVCS GROUP 75 SH		
PRINCIPAL FINANCIAL GROUP 170 SH	5,115	9,836
PROCTER & GAMBLE CO 55 SH	3,806	4,624
PULTEGROUP INC 465 SH	8,665	8,547
QUALCOMM INC 115 SH	7,364	7,407
QUALCOMM INC 45 SH	2,383	3,025
SHIRE PLC SPONSORED ADR 45 SH	8,090	7,667

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SPDR SEROES TRUST BLMBRG 300 SH	12,875	13,695
STERICYCLE INC COM 55 SH	4,170	4,237
SYNCHRONY FINANCIAL COM 185 SH	4,263	6,616
SYNCHRONY FINANCIAL COM 100 SH	3,163	3,721
TAILORED BRANDS INC COM 520SH	7,433	13,286
TEVA PHARMACEUTICAL IND ADR 235 SH	9,739	8,519
TEVA PHARMACEUTICAL IND ADR 150 SH		
TIME WARNER INC NEW COM 60 SH		
TOPBUILD CORP COM 120 SH	3,905	4,272
UNITED TECHNOLOGIES CORP 75 SH	5,730	8,222
UNITED TECHNOLOGIES CORP 55 SH		
WAL-MART STORES INC COM 110 SH	7,382	7,603
WESTERN DIGITAL CORP DEL 160 SH	7,168	10,872
WESTERN UN CO 440 SH		
WOLVERINE WORLD WIDE INC 420 SH	7,097	9,219
ZIMMER BIOMET HLDGS INC COM 85 SH	8,697	8,772
ZIMMER BIOMET HLDGS INC COM 80 SH		

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<b>TY 2016 Investments Governr</b>	nent Obligat	ions Schedule
Name:	SHAW-BURCK	HARDT-BRENNER FOUNDATION
EIN:	23-7076297	
US Government Securities - End of Year Book Value:		91,327
US Government Securities - End of Year Fair Market Value:		91,396
State & Local Government Securities - End of Year Book Value:		
State & Local Government Securities - End of Year Fair Market Value:		

## **TY 2016 Investments - Other Schedule**

Name: SHAW-BURCKHARDT-BRENNER FOUNDATION

**EIN:** 23-7076297

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALLIANZAGI CONVERT FUND 155.142 SH	AT COST	4,060	4,749
BROWN ADVISORY GRWTH EQUITY 916.788	AT COST	13,512	15,796
CALAMOS MARKET NEUTRAL INCOME 1008.3	AT COST	11,855	12,977
CALAMOS MARKET NEUTRAL INCOME 997.50	AT COST		
CALAMOS CONVERTIBLE FUND 702.163	AT COST		
DIAMOND HILL LONG/SHRT FUND 742.484	AT COST	12,773	18,881
DIAMOND HILL LONG/SHRT FUND 654.533	AT COST		
DIAMOND HILL SMALL CAP FD 373.199 SH	AT COST	10,076	13,013
GABILLI ABC FUND ADV 1960.661	AT COST	19,696	19,764
GABELLI ABC FUND ADV 1866.543	AT COST		
HARBOR INTERNATIONAL INST FD 480.238	AT COST	33,042	28,051
HARBOR INTERNATIONAL INST FD 379.160	AT COST		
JPMORGAN MORTGAGE BACKED 1630.589	AT COST	18,597	18,181
JPMORGAN MORTGAGE BACKED 1707.375	AT COST		
JPMORGAN SEX CAP US CORE 662.647	AT COST	10,549	11,610
JPMORGAN SEC CAP US CORE RE 762.647	AT COST		
NICHOLAS EQUITY INCOME FUND 646.608	AT COST	11,149	12,712
RIDGEWORTH FLOATING RATEINC 2821.770	AT COST	24,126	24,662
RIDGEWORTH FLOATING RATE INC2199.105	AT COST		
SCOUT UNCONSTRAINED BOND 1492.115	AT COST	16,667	17,458
SCOUT UNCONSTRAINED BOND 1792.115	AT COST		
STONE RIDGE REINSRNCE 2434.485	AT COST	24,450	24,272
STONE RIDGE REINSRNCE 2744.303	AT COST		
VANGUARD SHORT TERM INVMT 3482.504	AT COST	37,414	37,019
VANGUARD SHORT TERM INVMT 2179.625	AT COST		
VANGUARD GNMA ADMIRAL 561.197	AT COST	6,027	5,915
VANGUARD HIGH YIELD CORP ADM 4694.91	AT COST	27,738	27,371
VANGUARD INTERMED TRM 3701.593	AT COST	36,362	35,683
VANGUARD INTERMED TRM 3356.674	AT COST		
WELLS FARGO SHT HIGH YLD 1178.132	AT COST	9,613	9,519

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
WELLS FARGO SHT HIGH YLD 1478.132	AT COST		
CARE CAP PPTYS INC COM 345.000	AT COST	8,687	8,625
OMEGA HEALTHCARE INVS INC 240 SH	AT COST	3,164	7,503

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TY 2016 Legal Fees Schedul	е				
<del>-</del>					
Name: SHAW-BURCKHARDT-BRENNER FOUNDATION EIN: 23-7076297					
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes	
LEGAL FEES	3,000			3,000	

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TY 2016 Other Expenses Schedule							
	SHAW-BURCKH 23-7076297	ARDT-BRENNER	FOUNDATION				
Other Expenses Schedule	23-7076297						
Description Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes			
EXPENSES							
WIRE FEES	30	30					

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TY 2016 Other Professional Fees Schedule						
Name: SHAW-BURCKHARDT-BRENNER FOUNDATION EIN: 23-7076297						
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes		
INVESTMENT MANAGEMENT FEES	7,002	7,002				

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TY 2016 Taxes Schedule				
Name: SHAW-BURCKHARDT-BRENNER FOUNDATION EIN: 23-7076297				
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX & FEES PAID	252	252		
FEDERAL TAX ESTIMATE	576			