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Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**4a** (Code) (Expenses \$ including grants of \$) (Revenue \$)

SEE SCHEDULE O

4b (Code) (Expenses \$ including grants of \$) (Revenue \$)

SEE SCHEDULE O

4c (Code) (Expenses \$ including grants of \$) (Revenue \$)



















SEE SCHEDULE O

(Code) (Expenses \$ including grants of \$) (Revenue \$)

Information Systems Audit and Control Association (ISACA) targets two goals with its activities: helping professionals realize the positive potential of technology and inspiring confidence that enables innovation through technology. The association achieves those goals by providing information, standards, publications and academic guidance (in addition to the items noted in the descriptions of program achievements 1, 2 and 3). The ISACA Journal is ISACA's official magazine. Issued bimonthly, and supported in the off months by online articles, the Journal seeks to enhance the proficiency and competitive advantage of its international readership by providing peer-reviewed managerial and technical guidance from experienced global authors. It is available in digital format on the web site and via mobile app as well. The ISACA Journal was noted as the top member benefit and its content had more than 1.3 million page views on the ISACA web site in 2016. ISACA Journal circulation at year-end was 130,000. Other regular periodicals issued by ISACA include @ISACA, a biweekly electronic newsletter dedicated to ISACA news, ExpressLine, published monthly and providing chapter leaders information and resources to help them carry out their responsibilities, and COBIT Focus, issued quarterly to COBIT users, to provide them case studies, information on new products and educational opportunities, and general tips and techniques. ISACA completed a review of all periodicals to improve digital functionality and ease of readership. ISACA monthly e-newsletter, The Nexus: Cyber News Converged, meets the need for the latest cybersecurity information. The publication more than doubled its subscribers to 14,500 by year-end and reader engagement was strong with an average open rate of 33 percent and click-thru rate of 41 percent. The ISACA Bookstore offers a selection of peer-reviewed publications on professional topics of interest to ISACA members, at discounted prices. New selections are added regularly to ensure the catalog remains current with changing trends and member needs. In 2016, nearly 500 titles and 200 downloadable items were available in the ISACA Bookstore. ISACA dedicates considerable attention to universities (professors, students and curricula), recognizing that they hold the future of the profession. The number of students groups rose to 84 in 2016. ISACA issues Information Systems Auditing Standards, Guidelines and Procedures, which provide prescriptive or suggested guidance on how audits should be undertaken. The standards, guidelines and procedures are continually reviewed to ensure that new ones are developed or existing ones are revised as needed to align with new regulations or technologies.

4d Other program services (Describe in Schedule O)
(Expenses \$ including grants of \$) (Revenue \$)**4e** Total program service expenses ▶

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	No
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	Yes
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a	Yes
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	Yes
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e	Yes
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f	Yes
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	Yes
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b	Yes
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15	Yes
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	Yes	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		No
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		No
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		No
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		No
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		No
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		No
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		No
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		No
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		No
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		No
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	Yes	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	Yes	
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		No
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

☐

		Yes	No			
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	59			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes			
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	199			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes			
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes			
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a			No	
b	If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a			No	
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b			No	
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c				
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a			No	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b				
7 Organizations that may receive deductible contributions under section 170(c).						
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a				
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b				
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c				
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e				
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f				
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g				
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h				
8 Sponsoring organizations maintaining donor advised funds.						
	Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8				
9a	Did the sponsoring organization make any taxable distributions under section 4966?	9a				
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b				
10 Section 501(c)(7) organizations. Enter						
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11 Section 501(c)(12) organizations. Enter						
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?						
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13 Section 501(c)(29) qualified nonprofit health insurance issuers.						
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a Did the organization receive any payments for indoor tanning services during the tax year?						
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b			No	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI. ☒**Section A. Governing Body and Management**

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a 15		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 13		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6 Did the organization have members or stockholders?	6	Yes	
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes	
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	Yes	
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	Yes	
b Each committee with authority to act on behalf of the governing body?	8b	Yes	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	Yes
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13.	12a	Yes
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	12c	Yes
13 Did the organization have a written whistleblower policy?	13	Yes
14 Did the organization have a written document retention and destruction policy?	14	Yes
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	Yes
b Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed	CA, IL
18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)	
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.	
20 State the name, address, and telephone number of the person who possesses the organization's books and records. Robert a micek 3701 Algonquin Road No 1010 Rolling Meadows, IL 60008 (847) 253-1545	

Check if Schedule O contains a response or note to any line in this Part VII ☐

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

[illegible]

1b Sub-Total			
c Total from continuation sheets to Part VII, Section A			
d Total (add lines 1b and 1c)	4,555,157	0	692,185

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 75

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Blast Radius P O Box 751474 Charlotte, NC 28275	Web site & E-Commerce Design	1,382,353
Greenberg Traurig LLP 77 East wacker Drive Chicago, IL 60601	Legal Services	682,498
Onpoint consulting 7009 East acoma Drive Suite 2015 Scottsdale, AZ 85254	It Services	377,000
Deloitte & Touche LLP P O Box 844708 Dallas, TX 75284	Training Support Services	320,897
Exam Matrix 7991 Shaffer Pkwy Ste 100 Littleton, CO 80124	exam services	225,598

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 11

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a					
	b Membership dues . . .	1b	21,819,566				
	c Fundraising events . . .	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, and similar amounts not included above	1f					
	g Noncash contributions included in lines 1a-1f \$ _____						
	h Total. Add lines 1a-1f		21,819,566				
Program Service Revenue			Business Code				
	2a Certification Program		611430	18,185,842	18,185,842		
	b conferences & Seminars		611430	7,752,332	7,500,986	251,346	
	c license fees		541519	644,075	644,075		
	d Publication & Subscription		541800	488,385	11,336	477,049	
	e _____						
	f All other program service revenue						
	g Total. Add lines 2a-2f		27,070,634				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		2,549,720			2,549,720	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties		140,776			140,776	
	6a Gross rents	(i) Real	(ii) Personal				
		b Less rental expenses					
	c Rental income or (loss)						
		d Net rental income or (loss)					
		7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
	20,263,919		662,611				
	b Less cost or other basis and sales expenses		20,885,703	720,090			
	c Gain or (loss)		-621,784	-57,479			
	d Net gain or (loss)		-679,263			-679,263	
	8a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a					
		b Less direct expenses	b				
		c Net income or (loss) from fundraising events					
	9a Gross income from gaming activities See Part IV, line 19	a					
		b Less direct expenses	b				
c Net income or (loss) from gaming activities							
10a Gross sales of inventory, less returns and allowances . . .	a						
	5,973,363						
	b Less cost of goods sold . . .	b					
		552,201					
c Net income or (loss) from sales of inventory		5,421,162		5,421,162			
Miscellaneous Revenue		Business Code					
11a sponsorship		900004	1,143,625			1,143,625	
b other		900004	163,930			163,930	
c							
d All other revenue							
e Total. Add lines 11a-11d		1,307,555					
12 Total revenue. See Instructions		57,630,150		31,763,401	477,049	3,570,134	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☒**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	277,110			
2 Grants and other assistance to domestic individuals. See Part IV, line 22.				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	405,100			
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	3,976,105			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	139,265			
7 Other salaries and wages.	15,454,664			
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).	531,332			
9 Other employee benefits.	2,388,297			
10 Payroll taxes.	1,268,291			
11 Fees for services (non-employees):				
a Management.				
b Legal.	782,480			
c Accounting.	107,732			
d Lobbying.				
e Professional fundraising services. See Part IV, line 17.				
f Investment management fees.				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	7,975,254			
12 Advertising and promotion.	6,696,254			
13 Office expenses.	5,329,234			
14 Information technology.	2,240,091			
15 Royalties.	982,957			
16 Occupancy.	741,177			
17 Travel.	2,957,250			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.	5,174,464			
20 Interest.				
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	1,913,388			
23 Insurance.	122,813			
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a Other Expenses.	130,725			
b Franchise fee.	50,000			
c Bad debt.	45,770			
d awards.	18,916			
e All other expenses.	8,491			
25 Total functional expenses. Add lines 1 through 24e.	59,717,160			
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing			1	
	2	Savings and temporary cash investments		6,346,245	2	3,402,554
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net		1,509,318	4	1,624,562
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		432,416	8	474,094
	9	Prepaid expenses and deferred charges		3,094,873	9	2,519,208
	10a	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D.	10a	12,941,225		
	b	Less: accumulated depreciation	10b	7,861,026		
				4,714,662	10c	5,080,199
	11	Investments—publicly traded securities		76,680,117	11	72,061,780
	12	Investments—other securities. See Part IV, line 11			12	8,194,988
	13	Investments—program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
15	Other assets. See Part IV, line 11		52,944	15	535,921	
16	Total assets. Add lines 1 through 15 (must equal line 34)		92,830,575	16	93,893,306	
Liabilities	17	Accounts payable and accrued expenses		9,037,480	17	9,066,944
	18	Grants payable			18	
	19	Deferred revenue		14,034,992	19	16,432,893
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.		946,635	25	991,853
	26	Total liabilities. Add lines 17 through 25		24,019,107	26	26,491,690
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		68,811,468	27	67,401,616
	28	Temporarily restricted net assets			28	
	29	Permanently restricted net assets			29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		68,811,468	33	67,401,616
34	Total liabilities and net assets/fund balances		92,830,575	34	93,893,306	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	57,630,150
2	Total expenses (must equal Part IX, column (A), line 25)	2	59,717,160
3	Revenue less expenses Subtract line 2 from line 1	3	-2,087,010
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	68,811,468
5	Net unrealized gains (losses) on investments	5	677,158
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	67,401,616

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☒

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Additional Data

Software ID:
Software Version:
EIN: 23-7067291
Name: INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Form 990 (2016)

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Andre Pitkowski Director	2 00 1 00	X						0	0	0
Christos K Dimitriadis Chair	13 00 2 00	X		X				0	0	0
Edward G Schwartz Director	2 00 1 00	X						0	0	0
Garry Barnes 116-616 Outgoing Director	2 00 1 00	X						0	0	0
Gregory T Grocholski Past Chair	2 00 1 00	X						0	0	0
Jeff Spivey 616-1216 Director	2 00 1 00	X						0	0	0
Jo Stewart-Rattray Director	2 00 1 00	X						0	0	0
Leonard Ong Director	2 00 1 00	X						16,500	0	0
Matthew S Loeb Director & Chief Executive Officer	46 00 4 00	X		X				898,708	0	311,228
RV Raghu Director	2 00 1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Robert A Clyde Director	2 00 1 00	X						0	0	0
Robert E Stroud Past Chair	2 00 1 00	X						0	0	0
Rosemary Amato 116-616 Outgoing Director	2 00 1 00	X						0	0	0
Theresa Grafenstine Chair-Elect	2 00 1 00	X		X				0	0	0
Tichaona Zororo 616-1216 Director	2 00 1 00	X						4,500	0	0
Tony Hayes Past Chair	2 00 1 00	X						0	0	0
Zubin Chagpar Director	2 00 1 00	X						0	0	0
Robert A Micek Treasurer & Chief Financial Officer	45 00 5 00			X				376,151	0	53,601
Francisco Schettini Chief Innovation Officer	48 00 2 00				X			341,824	0	39,632
Ken Kujundjic Sr VP, Business Development & Sales	47 00 3 00				X			305,384	0	28,694

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Ronald Hale Outgoing Chief Knowledge Officer	50 00				X			706,340	0	48,384
Tara Wisniewski Managing Director, Advocacy & Public Affairs	50 00				X			307,403	0	51,840
Tim Mason Chief Marketing Officer	48 00 2 00				X			368,320	0	43,497
Ed Moyle Director, Thought Leadership & Research	50 00					X		215,726	0	23,335
Elizabeth Santilli VP, Human Resources	50 00					X		211,569	0	22,713
Julia Fullerton Sr Director of Legal & Compliance	48 00 2 00					X		208,299	0	42,323
Narayanan Krishnan Director of Web Services	49 00 1 00					X		222,179	0	13,200
Shannon Donahue Director of Info Security Practices	50 00					X		232,989	0	13,738
Terry Trsar Outgoing Chief Relations Officer	50 00						X	139,265	0	0

SCHEDULE C (Form 990 or 990-EZ)	Political Campaign and Lobbying Activities	OMB No 1545-0047
Department of the Treasury Internal Revenue Service	For Organizations Exempt From Income Tax Under section 501(c) and section 527 ▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at <u>www.irs.gov/form990</u>.	2016 Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC	Employer identification number 23-7067291
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)**(a)** Filing
organization's
totals**(b)** Affiliated
group totals

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)

b Total lobbying expenditures to influence a legislative body (direct lobbying)

c Total lobbying expenditures (add lines 1a and 1b)

d Other exempt purpose expenditures

e Total exempt purpose expenditures (add lines 1c and 1d)

f Lobbying nontaxable amount Enter the amount from the following table in both columns

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000
Over \$17,000,000	\$1,000,000

g Grassroots nontaxable amount (enter 25% of line 1f)

h Subtract line 1g from line 1a If zero or less, enter -0-

i Subtract line 1f from line 1c If zero or less, enter -0-

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

☐ Yes ☐ No**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	No
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	Yes
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	No

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
------------------	-------------

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DLN: 9349315200337

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Employer identification number
23-7067291

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

(a) Donor advised funds

(b) Funds and other accounts

1

Total number at end of year

2

Aggregate value of contributions to (during year)

3

Aggregate value of grants from (during year)

4

Aggregate value at end of year

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☐ Yes

☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☐ Yes

☐ No

Part II

Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education)
☐ Protection of natural habitat
☐ Preservation of open space
☐ Preservation of an historically important land area
☐ Preservation of a certified historic structure

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

a

Total number of conservation easements

2a

b

Total acreage restricted by conservation easements

2b

c

Number of conservation easements on a certified historic structure included in (a)

2c

d

Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

2d

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes

☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes

☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

1b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
(i) Revenue included on Form 990, Part VIII, line 1
(ii) Assets included in Form 990, Part X

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items
a Revenue included on Form 990, Part VIII, line 1
b Assets included in Form 990, Part X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2016

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	(c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	38,801,138	34,922,480	32,340,283	30,186,325	28,678,191
b Contributions	5,020,294	3,878,658	2,582,197	2,153,958	1,508,134
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	43,821,432	38,801,138	34,922,480	32,340,283	30,186,325

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

100 000 %

b

Permanent endowment

c

Temporarily restricted endowment

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

No

(ii) related organizations

3a(ii)

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		886,599	582,783	303,816
d Equipment		2,861,478	2,128,628	732,850
e Other		9,193,148	5,149,615	4,043,533
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				5,080,199

Part VII

Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____ (A) ISACA Enterprises, Inc	8,194,988	F
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12) ▶	8,194,988	

Part VIII

Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c.
See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13) ▶		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ▶	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
DEFERRED RENT	89,270
INTERCOMPANY PAYABLE	669,511
OPEN ORDERS	127,448
STATE CHECK LIABILITY	78,149
NOTES - CAPITAL LEASE	27,475
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶	991,853

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	66,188,691
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains (losses) on investments	2a	677,158
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	7,271,703
e	Add lines 2a through 2d	2e	7,948,861
3	Subtract line 2e from line 1	3	58,239,830
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	-609,680
c	Add lines 4a and 4b	4c	-609,680
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)	5	57,630,150

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	69,092,399
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	9,375,239
e	Add lines 2a through 2d	2e	9,375,239
3	Subtract line 2e from line 1	3	59,717,160
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	
c	Add lines 4a and 4b	4c	0
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)	5	59,717,160

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 23-7067291
Name: INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Supplemental Information

Return Reference	Explanation
Part V, Line 4	The Association's Board of Directors and the Institute's Board of Trustees designate a portion of the Organization's unrestricted net assets for contingency purposes in order to protect the Organization against unforeseen global events and economic downturn

Supplemental Information

Return Reference	Explanation
Part X, Line 2	THE FINANCIAL ACCOUNTING STANDARDS BOARD ISSUED GUIDANCE THAT REQUIRES TAX EFFECTS FROM UN CERTAIN TAX POSITIONS TO BE RECOGNIZED IN THE FINANCIAL STATEMENTS ONLY IF THE POSITION IS MORE LIKELY THAN NOT TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AU THORITY MANAGEMENT HAS DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN POSITIONS THAT REQ UIRE RECOGNITION IN THE FINANCIAL STATEMENTS ADDITIONALLY, NO PROVISION FOR INCOME TAXES IS REFLECTED IN THESE FINANCIAL STATEMENTS, AND THERE IS NO INTEREST OR PENALTIES RECOGNIZ ED IN THE STATEMENT OF ACTIVITIES OR STATEMENT OF FINANCIAL POSITION THE ASSOCIATION'S FE DERAL AND STATE INCOME TAX RETURNS PRIOR TO 2013 ARE CLOSED, AND MANAGEMENT CONTINUALLY EV ALUATES EXPIRING STATUTES OF LIMITATIONS, AUDITS, PROPOSED SETTLEMENTS, CHANGES IN TAX LAW AND NEW AUTHORITATIVE RULINGS

Supplemental Information	
Return Reference	Explanation
Part XI, Line 2d - Other Adjustments	related organization revenue 7,404,703 elimination entries -133,000

Supplemental Information

Return Reference	Explanation
Part XI, Line 4b - Other Adjustments	reclass of loss on disposal -57,479 reclass of cost of good sold -552,201

Supplemental Information

Return Reference	Explanation
Part XII, Line 2d - Other Adjustments	related organization expenses 8,898,559 elimination entries -133,000 reclass of loss on disposal 57,479 reclass of cost of good sold 552,201

**SCHEDULE F
(Form 990)**

Statement of Activities Outside the United States

OMB No 1545-0047

2016

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

► **Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.**

► **Attach to Form 990. ► See separate instructions.**

► **Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.**

Name of the organization
INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Employer identification number

23-7067291

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	0	0			4,488,525
b Total from continuation sheets to Part I	0	0			85,237
c Totals (add lines 3a and 3b)	0	0			4,573,762

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)	See Add'l Data								
(2)									
(3)									
(4)									
(5)	Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter								0
(6)									
(7)	Enter total number of other organizations or entities								20
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

Schedule F (Form 990) 2016

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)* ☐ Yes ☒ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
Part I, Line 2	<p>ISACA UNDERSTANDS THE VALUE OF CHAPTER LEADERS HAVING THE OPPORTUNITY TO ATTEND AN ISACA LEADERSHIP EVENT, THE FOLLOWING CHAPTER GRANT PROGRAM HAS BEEN ESTABLISHED TO COVER/DEFRAY THE COSTS OF TRAVEL EXPENSES ALL ISACA CHAPTERS (U S AND WORLDWIDE) ARE ELIGIBLE TO APPLY FOR GRANT FUNDS A CHAPTER WHO PLANS TO SEND AN INDIVIDUAL TO AN EVENT WILL BE PROVIDED WITH A GRANT(S), EACH IN THE AMOUNT OF US \$XXXX TO COVER/DEFRAY THE COSTS FOR ECONOMY AIRFARE, THE HOTEL, LOCAL TRANSPORTATION, MEALS IN TRANSIT AND OTHER EXPENSES ASSOCIATED WITH ATTENDING WHILE THE GRANT(S) WILL BE ISSUED TO A CHAPTER VIA THE CHAPTER'S PREFERRED REMITTANCE METHOD, THE CHAPTER MUST AGREE TO THE FOLLOWING, TO RETAIN THE GRANT AFTER THE EVENT</p> <p>1) ATTENDEE(S) MUST STAY AT THE HOST HOTEL DETAILS WILL BE PROVIDED IN THE OFFICIAL REGISTRATION MESSAGE</p> <p>2) ATTENDEE(S) MUST ATTEND ALL THREE DAYS OF THE EVENT ATTENDANCE WILL BE VERIFIED EVERY DAY AND REGISTRATIONS WILL BE RECONCILED AFTER THE EVENT CONCLUDES</p> <p>3) THE GRANT IS A FIXED AMOUNT AND IS INTENDED TO COVER/DEFRAY MOST OF THE COSTS ASSOCIATED WITH ATTENDING THIS EVENT IF THE AMOUNT EACH ATTENDEE SPENDS IS LESS THAN THE AMOUNT OF THE GRANT, THE CHAPTER WILL BE ALLOWED TO RETAIN THE ADDITIONAL FUNDS IF THE GRANT DOES NOT COVER ALL OF THE COSTS ASSOCIATED WITH EACH INDIVIDUAL'S ATTENDANCE, ANY ADDITIONAL COSTS (AS DEEMED REASONABLE AND PROPER BY THE CHAPTER) SHOULD BE REIMBURSED TO THE INDIVIDUAL BY THE CHAPTER</p> <p>4) THERE IS NO CHAPTER DEDUCTIBLE FOR THIS EVENT AND EXPENSE REPORTS WILL NOT BE SUBMITTED TO ISACA INTERNATIONAL HQ AFTER THE EVENT CONCLUDES IF, FOR ANY REASON, THE REPRESENTATIVE(S) FROM A CHAPTER DOES NOT ATTEND THE ISACA LEADERSHIP EVENT, THE CHAPTER WILL BE REQUIRED TO RETURN THE GRANT TO ISACA INTERNATIONAL IN ITS ENTIRETY THE TOTAL OF THE GRANT WILL BE WITHHELD FROM THE CHAPTER'S MONTHLY DUES REMITTANCE-OR DEDUCTED FROM CHAPTER CREDIT-UNTIL THE BALANCE OF THE GRANT IS PAID IN FULL ALTERNATIVELY, THE CHAPTER CAN SEND (VIA CHECK OR WIRE TRANSFER) THE TOTAL OF THE GRANT TO ISACA INTERNATIONAL AFTER THE EVENT</p> <p>Chapters are an integral part of ISACA's success and future vision Through our chapter network, ISACA provides its members with education, resource sharing, advocacy, professional networking, and a host of other benefits on a local level In the spirit of ISACA's core value, "We ARE ONE", ISACA provides new chapters funding in the amount of US \$2,000 to assist with chapter startup activities This funding may be used for chapter administrative fees, a kick-off event, to build reserves, etc The requirements are that the chapter needs to, 1) be registered locally, and 2) have a bank account in the chapter's name</p>

Additional Data

Software ID:

Software Version:

EIN: 23-7067291

Name: INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Board Meeting	Board Meeting	236,095
Europe	0	0	Audit & RISK Committee Meeting	Audit Committee Meeting	8,611
East Asia and the Pacific	0	0	Program Service	Training and Education	928,639

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe	0	0	Program Service	Training and Education	2,963,382
North America	0	0	Program Service	Training and Education	12,590
South Asia	0	0	Program Service	Training and Education	4,524

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Program Service	Training and Education	312,691
East Asia and the Pacific	0	0	Program Service	Trade Show	21,993
Europe	0	0	Program Service	Trade Show	79,127

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Program Service	Trade Show	6,110

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	To defray travel expense to ISACA Leadership Conference (Puerto Rico Chapter)	5,120	Wire Transfer			FMV
		Central America and the Caribbean	To defray travel expense to ISACA Leadership Conference (Kingston Chapter)	5,120	Wire Transfer			FMV
		East Asia and the Pacific	To defray travel expense to ISACA Leadership Conference (Brisbane Chapter)	7,820	Wire Transfer			FMV
		East Asia and the Pacific	To defray travel expense to ISACA Leadership Conference (Melbourne Chapter)	5,120	Wire Transfer			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	To defray travel expense to ISACA Leadership Conference (Adelaide Chapter)	5,120	Wire Transfer			FMV
		East Asia and the Pacific	To defray travel expense to ISACA Leadership Conference (Canberra Chapter)	5,120	Wire Transfer			FMV
		East Asia and the Pacific	To defray travel expense to ISACA Leadership Conference (Wellington Chapter)	5,120	Wire Transfer			FMV
		East Asia and the Pacific	To defray travel expense to ISACA Leadership Conference (Auckland Chapter)	5,120	Wire Transfer			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	To defray travel expense to ISACA Leadership Conference (Vancouver Chapter)	5,120	Wire Transfer			FMV
		North America	To defray travel expense to ISACA Leadership Conference (Winnipeg Chapter)	5,120	Wire Transfer			FMV
		North America	To defray travel expense to ISACA Leadership Conference (Quebec City Chapter)	5,120	Wire Transfer			FMV
		North America	To defray travel expense to ISACA Leadership Conference (Calgary Chapter)	5,120	Wire Transfer			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	To defray travel expense to ISACA Leadership Conference (Edmonton Chapter)	5,120	Wire Transfer			FMV
		North America	To defray travel expense to ISACA Leadership Conference (Mexico City Chapter)	5,120	Wire Transfer			FMV
		North America	To defray travel expense to ISACA Leadership Conference (Monterrey Chapter)	5,120	Wire Transfer			FMV
		North America	To defray travel expense to ISACA Leadership Conference (Guadalajara Chapter)	5,120	Wire Transfer			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	To defray travel expense to ISACA Leadership Conference (Santiago Chapter)	5,120	Wire Transfer			FMV
		Sub-Saharan Africa	To defray travel expense to ISACA Leadership Conference (Gaborone Chapter)	5,120	Wire Transfer			FMV
		Sub-Saharan Africa	To defray travel expense to ISACA Leadership Conference (Mauritius Chapter)	5,120	Wire Transfer			FMV
		Sub-Saharan Africa	To defray travel expense to ISACA Leadership Conference (Lusaka Chapter)	5,120	Wire Transfer			FMV

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number
23-7067291

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) ISACA Detroit Chapter PO Box 43 Romeo, MI 48065	23-7410401	501(c)(6)	9,560		FMV	N/A	To defray travel expense to ISACA leadership conference
(2) ISACA New England Chapter PO Box 170951 Boston, MA 02117	04-2592391	501(c)(6)	15,370		FMV	N/A	To defray travel expense to ISACA leadership conference
(3) ISACA Willamette Valley PO Box 413 Portland, OR 972070413	36-3538334	501(c)(6)	14,320		FMV	N/A	To defray travel expense to ISACA leadership conference
(4) Lighthouse Guild International Inc 15 West 65th St New York, NY 100236601	46-4215298	501(c)(3)	12,500		FMV	N/A	NFP Vision & Healthcare Organization

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

1

3

Enter total number of other organizations listed in the line 1 table

3

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Part I, Line 2	<p>ISACA UNDERSTANDS THE VALUE OF CHAPTER LEADERS HAVING THE OPPORTUNITY TO ATTEND AN ISACA LEADERSHIP EVENT, THE FOLLOWING CHAPTER GRANT PROGRAM HAS BEEN ESTABLISHED TO COVER/DEFRAY THE COSTS OF TRAVEL EXPENSES ALL ISACA CHAPTERS (U S AND WORLDWIDE) ARE ELIGIBLE TO APPLY FOR GRANT FUNDS A CHAPTER WHO PLANS TO SEND AN INDIVIDUAL TO AN EVENT WILL BE PROVIDED WITH A GRANT(S), EACH IN THE AMOUNT OF US \$XXXX TO COVER/DEFRAY THE COSTS FOR ECONOMY AIRFARE, THE HOTEL, LOCAL TRANSPORTATION, MEALS IN TRANSIT AND OTHER EXPENSES ASSOCIATED WITH ATTENDING WHILE THE GRANT(S) WILL BE ISSUED TO A CHAPTER VIA THE CHAPTER'S PREFERRED REMITTANCE METHOD, THE CHAPTER MUST AGREE TO THE FOLLOWING, TO RETAIN THE GRANT AFTER THE EVENT 1) ATTENDEE(S) MUST STAY AT THE HOST HOTEL DETAILS WILL BE PROVIDED IN THE OFFICIAL REGISTRATION MESSAGE 2) ATTENDEE(S) MUST ATTEND ALL THREE DAYS OF THE EVENT ATTENDANCE WILL BE VERIFIED EVERY DAY AND REGISTRATIONS WILL BE RECONCILED AFTER THE EVENT CONCLUDES 3) THE GRANT IS A FIXED AMOUNT AND IS INTENDED TO COVER/DEFRAY MOST OF THE COSTS ASSOCIATED WITH ATTENDING THIS EVENT IF THE AMOUNT EACH ATTENDEE SPENDS IS LESS THAN THE AMOUNT OF THE GRANT, THE CHAPTER WILL BE ALLOWED TO RETAIN THE ADDITIONAL FUNDS IF THE GRANT DOES NOT COVER ALL OF THE COSTS ASSOCIATED WITH EACH INDIVIDUAL'S ATTENDANCE, ANY ADDITIONAL COSTS (AS DEEMED REASONABLE AND PROPER BY THE CHAPTER) SHOULD BE REIMBURSED TO THE INDIVIDUAL BY THE CHAPTER 4) THERE IS NO CHAPTER DEDUCTIBLE FOR THIS EVENT AND EXPENSE REPORTS WILL NOT BE SUBMITTED TO ISACA INTERNATIONAL HQ AFTER THE EVENT CONCLUDES IF, FOR ANY REASON, THE REPRESENTATIVE(S) FROM A CHAPTER DOES NOT ATTEND THE ISACA LEADERSHIP EVENT, THE CHAPTER WILL BE REQUIRED TO RETURN THE GRANT TO ISACA INTERNATIONAL IN ITS ENTIRETY THE TOTAL OF THE GRANT WILL BE WITHHELD FROM THE CHAPTER'S MONTHLY DUES REMITTANCE-OR DEDUCTED FROM CHAPTER CREDIT-UNTIL THE BALANCE OF THE GRANT IS PAID IN FULL ALTERNATIVELY, THE CHAPTER CAN SEND (VIA CHECK OR WIRE TRANSFER) THE TOTAL OF THE GRANT TO ISACA INTERNATIONAL AFTER THE EVENT Chapters are an integral part of ISACA's success and future vision Through our chapter network, ISACA provides its members with education, resource sharing, advocacy, professional networking, and a host of other benefits on a local level In the spirit of ISACA's core value, "We ARE ONE", ISACA provides new chapters funding in the amount of US \$2,000 to assist with chapter startup activities This funding may be used for chapter administrative fees, a kick-off event, to build reserves, etc The requirements are that the chapter needs to, 1) be registered locally, and 2) have a bank account in the chapter's name The ISACA board of directors elected to make a donation to the Lighthouse Guild in support of 2016 award recipient and former ISACA board of directors chair, Marios Damianades</p>

Additional Data

Software ID:
Software Version:
EIN: 23-7067291
Name: INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ISACA Detroit Chapter PO Box 43 Romeo, MI 48065	23-7410401	501(c)(6)	9,560		FMV	N/A	To defray travel expense to ISACA leadership conference
ISACA New England Chapter PO Box 170951 Boston, MA 02117	04-2592391	501(c)(6)	15,370		FMV	N/A	To defray travel expense to ISACA leadership conference
ISACA Willamette Valley PO Box 413 Portland, OR 972070413	36-3538334	501(c)(6)	14,320		FMV	N/A	To defray travel expense to ISACA leadership conference

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Lighthouse Guild International Inc 15 West 65th St New York, NY 100236601	46-4215298	501(c)(3)	12,500		FMV	N/A	NFP Vision & Healthcare Organization

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -	DLN: 93493152003337
Schedule J (Form 990)	Compensation Information For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990. ▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.		OMB No 1545-0047
			2016
			Open to Public Inspection
Department of the Treasury Internal Revenue Service	Name of the organization INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC	Employer identification number 23-7067291	

Part I Questions Regarding Compensation		Yes	No								
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <table><tr><td><input type="checkbox"/> First-class or charter travel</td><td><input type="checkbox"/> Housing allowance or residence for personal use</td></tr><tr><td><input type="checkbox"/> Travel for companions</td><td><input type="checkbox"/> Payments for business use of personal residence</td></tr><tr><td><input type="checkbox"/> Tax indemnification and gross-up payments</td><td><input type="checkbox"/> Health or social club dues or initiation fees</td></tr><tr><td><input checked="" type="checkbox"/> Discretionary spending account</td><td><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td></tr></table>		<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use	<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input checked="" type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use										
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence										
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees										
<input checked="" type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)										
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain		1b	Yes								
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?		2	Yes								
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <table><tr><td><input checked="" type="checkbox"/> Compensation committee</td><td><input checked="" type="checkbox"/> Written employment contract</td></tr><tr><td><input checked="" type="checkbox"/> Independent compensation consultant</td><td><input checked="" type="checkbox"/> Compensation survey or study</td></tr><tr><td><input checked="" type="checkbox"/> Form 990 of other organizations</td><td><input checked="" type="checkbox"/> Approval by the board or compensation committee</td></tr></table>		<input checked="" type="checkbox"/> Compensation committee	<input checked="" type="checkbox"/> Written employment contract	<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study	<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee				
<input checked="" type="checkbox"/> Compensation committee	<input checked="" type="checkbox"/> Written employment contract										
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study										
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee										
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment?		4a	Yes								
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		4b	Yes								
c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		4c	No								
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.											
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization? b Any related organization? If "Yes," on line 5a or 5b, describe in Part III.		5a									
		5b									
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? b Any related organization? If "Yes," on line 6a or 6b, describe in Part III.		6a									
		6b									
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.		7									
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.		8									
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		9									

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Part I, Lines 4a-b	THE FOLLOWING INDIVIDUALS RECEIVED SEVERANCE PAYMENTS: TERRY TRSAR (former CHIEF RELATIONS OFFICER), \$139,265; RONALD HALE (former CHIEF KNOWLEDGE OFFICER), \$312,000. THE FOLLOWING INDIVIDUALS PARTICIPATED IN A SUPPLEMENTAL NON-QUALIFIED RETIREMENT PLAN: MATTHEW S. LOEB (DIRECTOR & CHIEF EXECUTIVE OFFICER), \$260,500 (including \$234,000 contribution to 457f); ROBERT A. MICEK (TREASURER & CHIEF FINANCIAL OFFICER), \$11,000; TIM MASON (CHIEF MARKETING OFFICER), \$11,000; FRANCISCO SCHETTINI (CHIEF INFORMATION OFFICER), \$11,000; RONALD HALE (former CHIEF KNOWLEDGE OFFICER), \$11,041; Ken Kujundjic (Sr. VP, Business Development & Sales), \$7,615; Tara Wisniewski (Managing Director, Advocacy & Public Affairs), \$10,577.
Schedule J, Part II, Column b(ii)	INCLUDED ON SCHEDULE J, PART II, COLUMN B(II) ARE AMOUNTS REPRESENTING BONUS PAYMENTS. THESE AMOUNTS WERE APPROVED BY THE BOARD AND INCLUDED IN THE INDIVIDUAL'S 2016 W-2S.

Additional Data

Software ID:
Software Version:
EIN: 23-7067291
Name: INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1Matthew S Loeb Director & Chief Executive Officer	(i)	709,908	157,500	31,300	247,250	63,978	1,209,936	0
	(ii)	0	0	0	0	0	0	0
1Robert A Micek Treasurer & Chief Financial Officer	(i)	315,151	50,000	11,000	13,250	40,351	429,752	0
	(ii)	0	0	0	0	0	0	0
2Francisco Schettini Chief Innovation Officer	(i)	285,824	45,000	11,000	13,250	26,382	381,456	0
	(ii)	0	0	0	0	0	0	0
3Ken Kujundjic Sr VP, Business Development & Sales	(i)	180,769	117,000	7,615	10,462	18,232	334,078	0
	(ii)	0	0	0	0	0	0	0
4Ronald Hale outgoing Chief Knowledge Officer	(i)	338,299	45,000	323,041	13,250	35,134	754,724	0
	(ii)	0	0	0	0	0	0	0
5Tara Wisniewski Managing Director, Advocacy & Public	(i)	246,058	12,500	48,845	13,295	38,545	359,243	0
	(ii)	0	0	0	0	0	0	0
6Tim Mason Chief Marketing Officer	(i)	312,320	45,000	11,000	13,194	30,303	411,817	0
	(ii)	0	0	0	0	0	0	0
7Ed Moyle Director, Thought Leadership & Resea	(i)	197,726	18,000	0	10,786	12,549	239,061	0
	(ii)	0	0	0	0	0	0	0
8Elizabeth Santilli VP, Human Resources	(i)	200,092	10,000	1,477	9,374	13,339	234,282	0
	(ii)	0	0	0	0	0	0	0
9Julia Fullerton Sr Director of Legal & Compliance	(i)	189,876	18,000	423	10,764	31,559	250,622	0
	(ii)	0	0	0	0	0	0	0
10Narayanan Krishnan Director of Web Services	(i)	201,579	18,000	2,600	11,109	2,091	235,379	0
	(ii)	0	0	0	0	0	0	0
11Shannon Donahue Director of Info Security Practices	(i)	212,389	18,000	2,600	11,649	2,089	246,727	0
	(ii)	0	0	0	0	0	0	0
12Terry Trsar outgoing Chief Relations Officer	(i)	0	0	139,265	0	0	139,265	0
	(ii)	0	0	0	0	0	0	0

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Name of the organization
INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2016**Open to Public
Inspection****Employer identification number**

23-7067291

990 Schedule O, Supplemental Information

Return Reference	Explanation
form 990, part III, line 1, description of organization mission	Information Systems Audit and Control Association (ISACA) serves both individuals and enterprises by being a leading global provider of knowledge, certifications, community, advocacy and education on information systems assurance and security, governance of enterprise information technology (IT), IT-related risk and compliance, and other related topics. Founded in 1969, ISACA sponsors international conferences, publishes the ISACA Journal, conducts and publishes research, provides benefits globally to more than 130,000 members, in 188 countries, and develops international information systems auditing and control standards. ISACA also administers the globally respected Certified Information Systems Auditor (CISA), Certified Information Security Manager (CISM), Certified in the Governance of Enterprise IT (CGEIT), Certified in Risk and Information Systems Control (CRISC) designations, and Cybersecurity Practitioner (CSXP) designations and has more than 159,000 certification holders. ISACA supports development, update and education activities related to COBIT 5, a globally adopted business framework for governing and managing enterprise information technology and Cybersecurity Nexus (CSX), which offers credentialing, training and career development for cybersecurity professionals at all stages of their careers.

990 Schedule O, Supplemental Information

Return Reference	Explanation
form 990, part III, line 4a, program service accomplishments	<p>Information Systems Audit and Control Association's (ISACA) primary purpose is to serve its members. One way ISACA does that is by helping them do their jobs better, by offering them pragmatic guidance on emerging technologies they deal with every day. In 2016, 11 white papers, two books and five audit programs were issued. Nearly 500 titles and 200 downloadable items were available in the ISACA Bookstore. ISACA translated 164 items and publications into 14 non-English languages in 2016. Much of the research publications are offered to members for free download as a benefit of membership. ISACA hosted seven in-person conferences and several other training opportunities, including online learning. The association offers member benefits in the areas of professional development, community and leadership, and continually reviews and expands its portfolio of benefits to address the changing needs of members. Many member benefits are documented and further explained within the other responses on this form. As a result of this activity, ISACA membership grew five percent from 1 January to 31 December 2016, growing to 130,822 members in 188 countries. Three new chapters were added, taking the total number of chapters worldwide to 215.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
form 990, part III, line 4b, program service accomplishments	<p>Information technology (IT) is a rapidly changing industry, and it demands a commitment on the part of its practitioners to learn continuously and master new skills. Certification is one way to expand one's skill set and be recognized for doing so. Holding an Information Systems Audit and Control Association (ISACA) professional certification demonstrates excellence in the topic and a commitment to remaining current and informed, it distinguishes an individual from his/her peers. Information Systems Audit and Control Association (ISACA) offers five certifications: Certified Information Systems Auditor (CISA), Certified Information Security Manager (CISM), Certified in the Governance of Enterprise IT (CGEIT), Certified in Risk and Information Systems Control (CRISC), and Cybersecurity Practitioner (CSXP) designations. In 2016, which was first offered in 1978, CISA saw a 6.5 percent increase, growing to 129,462 certification earners by year's end. The CISA exam was available in multiple languages at more than 250 locations. CISM, was first offered in 2002, saw an 11.8 percent increase this year, growing to 34,050 certification earners by year's end. CGEIT, which was introduced in 2007, saw a 5.8 percent increase this year, growing to 7,176 certification earners by year's end. CRISC, which was introduced in 2010, saw a 7.2 percent increase this year, growing to 21,023 certification earners by year's end. CSXP, ISACA's newest certification, was introduced in 2015. 2016 marked the tenth year the CISA and CISM certifications were accredited under international standard ANSI/ISO/IEC 17024 from the International Organization for Standardization (ISO), the sixth year CGEIT received that accreditation, and the fourth year that CRISC was so recognized.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
form 990, part III, line 4c, program service accomplishments	<p>In 2016, the Information Systems Audit and Control Association (ISACA) education roster included major face-to-face events such as the global Computer Audit, Control and Security (CACS) conferences, topic-specific conferences (on information security and risk management, and on governance, risk and compliance), and week-long training events focusing in-depth on one or two topics. ISACA is dedicated to monitoring the professional environment and developing new programs as needed to complement its established portfolio of events. The association has focused a considerable amount of effort on distance learning, as it provides a way to cover late-breaking topics on a timely basis and offer low-cost education to a globally dispersed audience. The online events program included quarterly webinar programs specific to geographical regions, including a Spanish language event in Latin America and events in Europe and Africa. In 2016, in addition to CSX North America, ISACA expanded the cybersecurity conference to CSX Asia Pacific and CSX Europe. ISACA's webinar program included 44 webinars on various topics, of which more than 37,000 attendees took advantage. In 2016, ISACA launched new virtual instructor-led training, which included six live events and three recorded versions. ISACA also launched four CPE On Demand recorded video bundles and the COBIT video series. The Training Week program included 13 events presented in cooperation with Deloitte LLP. As valuable as distance learning is, the benefits of small-venue, face-to-face training continues to be appreciated. In 2016, ISACA provided 79 on-site training programs, an increase of more than 15 percent over last year, which enterprises used as a low-cost, customizable way to educate multiple employees at once.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, line 6	<p>INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION (ISACA) MAINTAINS A MEMBERSHIP OF INDIVIDUALS, THERE IS NO CORPORATE MEMBERSHIP CATEGORY ANYONE INTERESTED IN THE PURPOSES AND OBJECTIVES OF THE ASSOCIATION IS ELIGIBLE FOR MEMBERSHIP THE VAST MAJORITY OF ISACA MEMBERS ALSO BELONG TO A LOCAL CHAPTER (MEMBERSHIP IN ISACA IS REQUIRED FOR ANYONE HOLDING MEMBERSHIP IN A CHAPTER) A MEMBER-AT-LARGE CATEGORY EXISTS FOR INDIVIDUALS WHO WISH TO JOIN ISACA BUT WHO LIVE AT SUCH A DISTANCE FROM A LOCAL CHAPTER THAT ATTENDANCE AT MEETINGS IS PROHIBITIVE STUDENT AND RETIRED MEMBER CATEGORIES ARE OFFERED AS WELL ISACA'S MEMBERSHIP IS CHARACTERIZED BY DIVERSITY THE CONSTITUENTS LIVE AND WORK IN 188 COUNTRIES AND RUN THE GAMUT OF JOB TITLES, INCLUDING INFORMATION SYSTEM AUDITOR, CONSULTANT, EDUCATOR, INFORMATION SECURITY MANAGER, REGULATOR, CHIEF INFORMATION OFFICER, INTERNAL AND EXTERNAL AUDITOR, COMPLIANCE OFFICER, RISK MANAGER AND STUDENT SOME ARE NEW TO THE FIELD, WHILE OTHERS ARE AT MIDDLE MANAGEMENT LEVELS OR SENIOR RANKS THEY WORK IN FINANCE AND BANKING, PUBLIC ACCOUNTING, HOSPITALITY, HEALTH CARE, GOVERNMENT AND THE PUBLIC SECTOR, UTILITIES, MANUFACTURING AND RETAIL ISACA MEMBERS EMBRACE THIS DIVERSITY AS PROVIDING A VAST LEARNING GROUND WHERE THEY MAY DELVE INTO THE CHALLENGES AND OPPORTUNITIES FACED BY COLLEAGUES IN OTHER COUNTRIES, POSITIONS OR INDUSTRIES ISACA RECOGNIZES THAT ITS MEMBERS CONSTITUTE AN EXTENSIVE AND VARIED POOL OF EXPERTISE, EXPERTISE THE ASSOCIATION COUNTS ON TO HELP PROVIDE SERVICES AND PRODUCTS THAT ENGENDER CREDIBILITY AND CAPABILITY IN ITS CONSTITUENTS THE MORE MEMBERS, THE MORE EXPERTISE, THE MORE EXPERTISE, THE BETTER THE ABILITY TO MEET CONSTITUENT NEEDS ISACA'S MEMBERS ARE ITS MOST VALUABLE ASSET</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, line 7a	<p>Information Systems Audit and Control Association (ISACA) has a Nominating Committee that prepares a slate of officers (Chair, Vice-Chair and elected directors) for member review. Members may submit the names of additional candidates by way of petition prior to 120 days before the Annual Meeting of the Membership. The petition must be signed by one-twentieth of 1 percent of the members in good standing, equaling a minimum of 100 members, no more than 20 of which may be from any one chapter. If members propose additional candidates or if the Nominating Committee itself proposes more candidates than there are openings on the Board of Directors, an election is held. If an election is held, the election is conducted by voice, electronic vote or ballot. Only those ballots received 20 or more days prior to the Annual Meeting are counted. Results of the election are certified by an independent professional group and announced at the Annual Meeting. If no additional candidates are proposed by the members, or the Nominating Committee's slate presents a number of candidates which does not exceed the number of openings available, the committee's slate is considered elected by acclamation.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section A, line 7b	<p>WHEREAS NOT ALL DECISIONS OF THE BOARD OF DIRECTORS ARE SUBJECT TO REVIEW AND APPROVAL BY THE MEMBERSHIP, CERTAIN ONES ARE EACH YEAR, AT THE ANNUAL MEETING OF THE MEMBERSHIP, THE FOLLOWING ITEMS ARE PRESENTED TO THE MEMBERS IN ATTENDANCE - HIGHLIGHTS OF DECISIONS MADE BY THE BOARD OVER THE PREVIOUS YEAR - THE PREVIOUS ANNUAL MEETING'S MINUTES - THE TREASURER'S REPORT - APPOINTMENT OF THE ASSOCIATION'S INDEPENDENT AUDITORS FOR THE FOLLOWING YEAR THE BYLAWS REQUIRE THE MEMBERS TO VOTE ON ANY CHANGES TO THE BYLAWS THAT (I) MATERIALLY A ND ADVERSELY AFFECT THE MEMBERS' RIGHTS AS TO VOTING, DISSOLUTION, REDEMPTION, OR TRANSFER , (II) INCREASE OR DECREASE THE NUMBER OF MEMBERS AUTHORIZED IN TOTAL OR FOR ANY CLASS, (I II) EFFECT AN EXCHANGE, RECLASSIFICATION, OR CANCELLATION OF ALL OR PART OF THE MEMBERSHIP S, (IV) AUTHORIZE A NEW CLASS OF VOTING MEMBERSHIP, (V) INCREASE OR EXTEND THE TERMS OF DI RECTORS, (VI) INCREASE THE QUORUM FOR MEMBERS' MEETINGS, (VII) REPEAL, RESTRICT, CREATE, E XPAND, OR OTHERWISE CHANGE PROXY RIGHTS, or (VIII) AUTHORIZE CUMULATIVE VOTING FURTHER, W HERE AMENDMENTS TO THE BYLAWS REQUIRE APPROVAL BY THE MEMBERS AS SET FORTH UNDER THE CORPO RATIONS CODE AS STATED ABOVE, THE AMENDMENT MAY BE APPROVED BY THE VOTE OF A MAJORITY OF T HE MEMBERS PRESENT AT A MEETING AT WHICH A QUORUM IS MET OR BY MAIL/ELECTRONIC BALLOT</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 11b	<p>The 2016 Form 990 for Information Systems Audit and Control Association (ISACA) was prepared by CohnReznick LLP (CohnReznick), based upon information provided by ISACA. This document summarizes the review points and availability associated with the returns. The data for the return was compiled based on information prepared for the 2016 audit, as well as other accounting records. This information was submitted to CohnReznick for preparation of the returns. In addition to the financial information CohnReznick received, CohnReznick had an opportunity to raise questions about governance and other issues, and request additional information as needed. The narrative explanations of program accomplishments and policies were coordinated with the applicable Directors and members of the Executive Team. Upon completion of the return, several layers of review were conducted - Initial reviews of return. The initial review of the completed return was completed by the respective staff within the Finance Department - Senior Management review. Following the initial review, the Executive Team including the CEO were provided a copy of the return for comment. The CEO, CFO and VP, Human Resources also provided an additional review of the compensation-related areas. Comments were forwarded to CohnReznick for incorporation into the return - Volunteer review -- The organization's Governance Committee Chair reviewed the return -- Comments from these reviews were evaluated and provided to CohnReznick, who updated the return, if applicable -- The Board of Directors was provided access to the return via the board effect (web site) prior to its filing.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 12c	<p>Information Systems Audit and Control Association (ISACA) has a conflict of interest policy that defines an interested person and independent director, directs Board members and others to keep in confidence all ISACA confidential information, requires Board members and others to disclose arrangements that may propose a conflict of interest so that the Conflict of Interest Panel comprised of the Governance Committee, Board Chair and ISACA CEO may evaluate such arrangements. This policy details the procedures that must be used in considering a conflict of interest, periodic reviews of any compensation arrangements and other partnerships. Further, potential conflicts of interest are documented and monitored each year, through (1) self-proclamations made by individual volunteers who sign the participation agreements required for participation in association boards, committees, working groups and task forces, (2) disclosure of conflicts in standard consulting agreements, and (3) disclosure by ISACA employees upon hire (and annually) by review and signature of the organization's employee agreement. Consultants, volunteers and employees are encouraged to report any conflicts that may arise throughout the year. If such instances are reported, they are dealt with at that time and based on the circumstances relating to the potential conflict.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section B, line 15	<p>The compensation philosophy of Information Systems Audit and Control Association (ISACA), which is affirmed by the Board of Directors, should facilitate ISACA's ability to attract, motivate and retain quality staff. The compensation philosophy is supported by use of an independent compensation consulting firm and the establishment of a Compensation Committee composed of independent members of the Board of Directors (current and two immediate past board chairs). Based on the compensation philosophy, the compensation range for the CEO is developed by the compensation consultant and reported to the Committee. Compensation ranges are also developed by the consultant for the executive management team with compensation variances to the ranges provided to the Committee. Ranges are calculated based on position responsibilities and a blend of the not-for-profit and for-profit sectors, which reflects the labor market in which ISACA competes for executive talent: 60% for-profit organizations and 40% not-for-profit organizations. The final report of the compensation consultant as accepted by the Committee, the compensation philosophy, and contract (if applicable) then serve as the basis for the determination of compensation, consisting of base salary, incentives, total cash compensation and benefits. Determination for executive management is made by the CEO based upon the accepted compensation ranges and approved by the Compensation Committee. Determination for the CEO is made by the Compensation Committee itself. This process is completed every three years except if there is a change in control, merger and/or acquisition, or other activity that changes roles and responsibilities, then performed as needed. Gathering the information necessary to evaluate the market competitiveness of ISACA's compensation, provide data on executive compensation from a control and governance standpoint, and facilitate ISACA's compensation philosophy, accomplish organizational objectives and provide value to its constituents, which ISACA considers critically important to its future.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Section C, line 19	THE FOLLOWING DOCUMENTS ARE POSTED TO THE WEB SITE AND PROVIDED UPON REQUEST (1) PARTICIPATION AGREEMENT AND INTELLECTUAL PROPERTY (IP) WAIVER, WHICH OUTLINES THE CONFLICT OF INTEREST POLICY AND INTELLECTUAL PROPERTY OWNERSHIP ISSUES AND IS REQUIRED TO BE SIGNED BY ALL VOLUNTEERS, (2) THE ARTICLES OF INCORPORATION OF THE ORGANIZATION AND BYLAWS OF THE ORGANIZATION, AND (3) THE ANNUAL REPORT INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION (ISACA) DOES NOT HAVE A FORM 1023, BUT IT DOES PROVIDE THE IRS LETTER OF DETERMINATION, UPON REQUEST IN ADDITION, FORM 990 IS PUBLICLY AVAILABLE THROUGH GUIDESTAR'S WEB SITE AND THE ILLINOIS ATTORNEY GENERAL'S WEB SITE

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part IX, line 11g	Email Communication Services 99,031 Exam Development & Administration 2,792,880 Other Professional Services 4,301,576 Recruiting/Placement Fees 224,789 Temporary Clerical Services 145,220 Temporary Personnel Services 144,835 Translation Services 219,862 office admin services 47,061

990 Schedule O, Supplemental Information

Return Reference	Explanation
form 990, part xii, line 2c	Information Systems Audit and Control Association (ISACA) has an Audit AND RISK Committee responsible for the oversight of the audit and selection of the independent accountant IS ACA also has a Finance Committee which assumes responsibility for oversight in the review and compilation of its financial statements

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Employer identification number
23-7067291

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CMMI Institute LLC 11 Stanwix St Ste 1150 Pittsburgh, PA 15222 30-0750321	Training/Consulting	PA	7,219,895	9,392,567	ISACA Enterprises Inc

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) IT GOVERNANCE INSTITUTE 3701 ALGONQUIN RD SUITE 1010 ROLLING MEADOWS, IL 60008 95-3080691	RESEARCH/DEVELOPMENT	IL	501(c)(3)	Line 12b, II	ISACA	Yes	

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No
(1) ISACA Enterprises Inc 3701 Algonquin Rd Ste 1010 Rolling Meadows, IL 60008 81-1115397	Holding Company	DE	Information Systems Audit and Control Association Inc	C	7,219,895	8,194,988	100.000 %	Yes	

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		No
b Gift, grant, or capital contribution to related organization(s)		No
c Gift, grant, or capital contribution from related organization(s)		No
d Loans or loan guarantees to or for related organization(s)	Yes	
e Loans or loan guarantees by related organization(s)	Yes	
f Dividends from related organization(s)		No
g Sale of assets to related organization(s)		No
h Purchase of assets from related organization(s)		No
i Exchange of assets with related organization(s)		No
j Lease of facilities, equipment, or other assets to related organization(s)		No
k Lease of facilities, equipment, or other assets from related organization(s)		No
l Performance of services or membership or fundraising solicitations for related organization(s)		No
m Performance of services or membership or fundraising solicitations by related organization(s)		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	Yes	
o Sharing of paid employees with related organization(s)	Yes	
p Reimbursement paid to related organization(s) for expenses		No
q Reimbursement paid by related organization(s) for expenses	Yes	
r Other transfer of cash or property to related organization(s)	Yes	
s Other transfer of cash or property from related organization(s)		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) IT GOVERNANCE INSTITUTE	D	128,198	FMV
(2) IT GOVERNANCE INSTITUTE	Q	79,587	FMV
(3) ISACA Enterprises Inc	E	258,118	FMV
(4) ISACA Enterprises Inc	N	407,244	FMV
(5) ISACA Enterprises Inc	P	890,543	FMV
(6) ISACA Enterprises Inc	Q	7,300,000	FMV

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
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Additional Data

Software ID:
Software Version:
EIN: 23-7067291
Name: INFORMATION SYSTEMS AUDIT AND
CONTROL ASSOCIATION INC

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(1)	IT GOVERNANCE INSTITUTE	D	128,198	FMV
(1)	IT GOVERNANCE INSTITUTE	Q	79,587	FMV
(2)	ISACA Enterprises Inc	E	258,118	fMV
(3)	ISACA Enterprises Inc	N	407,244	fMV
(4)	ISACA Enterprises Inc	P	890,543	fMV
(5)	ISACA Enterprises Inc	Q	7,300,000	fMV