

EXTENDED TO NOVEMBER 15, 2017

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

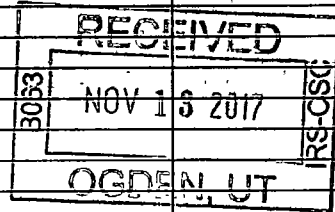
Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                        |                                                                                                                                            |                                                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>KATHERINE MABIS MCKENNA FOUNDATION</b>                                                                                                                                                                                                                                        |                                                                                                                                            | A Employer identification number<br><b>23-7042752</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>P.O. BOX 186</b>                                                                                                                                                                                               | Room/suite                                                                                                                                 | B Telephone number<br><b>724-537-6900</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>LATROBE, PA 15650</b>                                                                                                                                                                                                   |                                                                                                                                            | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |                                                                                                                                            | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                      |                                                                                                                                            | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br><b>\$ 79,339,580.</b>                                                                                                                                                                                             | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B                                                      |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                            |  | 1,778,526.                         | 1,757,468.                |                         |                                                             |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 1,994,284.                         |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a <b>17,181,483.</b>                                                                                    |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |  |                                    | 2,008,061.                |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income <b>STMT 10</b>                                                                                                                      |  |                                    | 3,120.                    |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 3,772,810.                         | 3,768,649.                |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                               |  | 194,485.                           | 11,808.                   |                         | 182,677.                                                    |
| 14 Other employee salaries and wages                                                                                                                |  | 37,944.                            | 0.                        |                         | 37,944.                                                     |
| 15 Pension plans, employee benefits                                                                                                                 |  | 59,313.                            | 0.                        |                         | 59,313.                                                     |
| 16a Legal fees                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Accounting fees <b>STMT 1</b>                                                                                                                     |  | 12,467.                            | 0.                        |                         | 12,467.                                                     |
| c Other professional fees <b>STMT 2</b>                                                                                                             |  | 255,010.                           | 255,010.                  |                         | 0.                                                          |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes <b>STMT 3</b>                                                                                                                              |  | 58,609.                            | 31,194.                   |                         | 16,609.                                                     |
| 19 Depreciation and depletion                                                                                                                       |  |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                        |  | 19,710.                            | 0.                        |                         | 19,710.                                                     |
| 21 Travel, conferences, and meetings                                                                                                                |  | 13,656.                            | 0.                        |                         | 13,656.                                                     |
| 22 Printing and publications                                                                                                                        |  |                                    |                           |                         |                                                             |
| 23 Other expenses <b>STMT 4</b>                                                                                                                     |  | 13,894.                            | 73,779.                   |                         | 13,894.                                                     |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             |  | 665,088.                           | 371,791.                  |                         | 356,270.                                                    |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 3,511,227.                         |                           |                         | 3,511,227.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 4,176,315.                         | 371,791.                  |                         | 3,867,497.                                                  |
| 27 Subtract line 26 from line 12:                                                                                                                   |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | -403,505.                          |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 3,396,858.                |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |



3-118

| Part II Balance Sheets                                                                           |                                                                                      | Attached schedules and amounts in the description column should be for end-of-year amounts only |                |                       |
|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                                                                                                  |                                                                                      | Beginning of year                                                                               | End of year    |                       |
|                                                                                                  |                                                                                      | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |
| Assets                                                                                           | 1 Cash - non-interest-bearing                                                        | 595.                                                                                            | 22,768.        | 22,768.               |
|                                                                                                  | 2 Savings and temporary cash investments                                             | 2,057,755.                                                                                      | 2,652,117.     | 2,652,117.            |
|                                                                                                  | 3 Accounts receivable ▶                                                              |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                              |                                                                                                 |                |                       |
|                                                                                                  | 4 Pledges receivable ▶                                                               |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                              |                                                                                                 |                |                       |
|                                                                                                  | 5 Grants receivable                                                                  |                                                                                                 |                |                       |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons |                                                                                                 |                |                       |
|                                                                                                  | 7 Other notes and loans receivable ▶                                                 |                                                                                                 |                |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                              |                                                                                                 |                |                       |
|                                                                                                  | 8 Inventories for sale or use                                                        |                                                                                                 |                |                       |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                              |                                                                                                 |                |                       |
|                                                                                                  | 10a Investments - U.S. and state government obligations                              |                                                                                                 |                |                       |
|                                                                                                  | b Investments - corporate stock                                                      |                                                                                                 |                |                       |
|                                                                                                  | c Investments - corporate bonds                                                      |                                                                                                 |                |                       |
|                                                                                                  | Liabilities                                                                          | 11 Investments - land, buildings, and equipment basis ▶                                         |                |                       |
| Less accumulated depreciation ▶                                                                  |                                                                                      |                                                                                                 |                |                       |
| 12 Investments - mortgage loans                                                                  |                                                                                      |                                                                                                 |                |                       |
| 13 Investments - other STMT 5                                                                    |                                                                                      | 74,774,545.                                                                                     | 76,664,695.    | 76,664,695.           |
| 14 Land, buildings, and equipment: basis ▶                                                       |                                                                                      |                                                                                                 |                |                       |
| Less accumulated depreciation ▶                                                                  |                                                                                      |                                                                                                 |                |                       |
| 15 Other assets (describe ▶)                                                                     |                                                                                      |                                                                                                 |                |                       |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1) |                                                                                      | 76,832,895.                                                                                     | 79,339,580.    | 79,339,580.           |
| 17 Accounts payable and accrued expenses                                                         |                                                                                      |                                                                                                 |                |                       |
| 18 Grants payable                                                                                |                                                                                      |                                                                                                 |                |                       |
| Net Assets or Fund Balances                                                                      | 19 Deferred revenue                                                                  |                                                                                                 |                |                       |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons          |                                                                                                 |                |                       |
|                                                                                                  | 21 Mortgages and other notes payable                                                 |                                                                                                 |                |                       |
|                                                                                                  | 22 Other liabilities (describe ▶)                                                    |                                                                                                 |                |                       |
| 23 Total liabilities (add lines 17 through 22)                                                   | 0.                                                                                   | 0.                                                                                              |                |                       |
| Net Assets or Fund Balances                                                                      | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X |                                                                                                 |                |                       |
|                                                                                                  | 24 Unrestricted                                                                      | 76,832,895.                                                                                     | 79,339,580.    |                       |
|                                                                                                  | 25 Temporarily restricted                                                            |                                                                                                 |                |                       |
|                                                                                                  | 26 Permanently restricted                                                            |                                                                                                 |                |                       |
|                                                                                                  | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>       |                                                                                                 |                |                       |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                  |                                                                                                 |                |                       |
|                                                                                                  | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                    |                                                                                                 |                |                       |
|                                                                                                  | 29 Retained earnings, accumulated income, endowment, or other funds                  |                                                                                                 |                |                       |
| 30 Total net assets or fund balances                                                             | 76,832,895.                                                                          | 79,339,580.                                                                                     |                |                       |
| 31 Total liabilities and net assets/fund balances                                                | 76,832,895.                                                                          | 79,339,580.                                                                                     |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 76,832,895. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | -403,505.   |
| 3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS                                                                             | 3 | 2,910,190.  |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 79,339,580. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 79,339,580. |

Form 990-PF (2016)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a BNY MELLON (SEE STATEMENT 7)                                                                                                    | P                                                | VARIOUS                              | VARIOUS                          |
| b BNY MELLON (CGD)                                                                                                                 | P                                                | VARIOUS                              | VARIOUS                          |
| c FLOWTHROUGH FROM PARTNERSHIPS                                                                                                    | P                                                | VARIOUS                              | VARIOUS                          |
| d BNY MELLON (CLASS ACTION PROCEEDS)                                                                                               | P                                                | VARIOUS                              | VARIOUS                          |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 16,311,691.         |                                            | 15,173,422.                                     | 1,138,269.                                   |
| b 832,559.            |                                            |                                                 | 832,559.                                     |
| c 35,905.             |                                            |                                                 | 35,905.                                      |
| d 1,328.              |                                            |                                                 | 1,328.                                       |
| e                     |                                            |                                                 |                                              |

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 1,138,269.                                                                                      |
| b                         |                                      |                                                 | 832,559.                                                                                        |
| c                         |                                      |                                                 | 35,905.                                                                                         |
| d                         |                                      |                                                 | 1,328.                                                                                          |
| e                         |                                      |                                                 |                                                                                                 |

|                                                                                                                                                                                 |                                                                                   |   |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss)                                                                                                                                 | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 2,008,061. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                                                                   | 3 | N/A        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2015                                                                 | 4,077,674.                               | 80,316,725.                                  | .050770                                                     |
| 2014                                                                 | 4,011,946.                               | 81,318,042.                                  | .049336                                                     |
| 2013                                                                 | 3,774,995.                               | 76,586,748.                                  | .049290                                                     |
| 2012                                                                 | 3,440,809.                               | 70,544,024.                                  | .048775                                                     |
| 2011                                                                 | 3,520,171.                               | 70,943,392.                                  | .049619                                                     |

|                                                                                                                                                                                |   |             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | .247790     |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .049558     |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                 | 4 | 77,044,040. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 3,818,149.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 33,969.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 3,852,118.  |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 3,867,497.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |         |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |         |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1       | 33,969. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |         |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2       | 0.      |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3       | 33,969. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4       | 0.      |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5       | 33,969. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |         |         |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                    | 6a | 26,296. |         |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |         |         |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c | 17,500. |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |         |         |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  |         | 43,796. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                         | 8  |         | 1.      |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |         |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 |         | 9,826.  |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 9,826. Refunded <input checked="" type="checkbox"/> 0.                                                                        | 11 |         | 0.      |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                                |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                                                                                             |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                           | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> PA                                                                                                                                                                                                  |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         |     | X  |

N/A

Form 990-PF (2016)

**Part VII-A** Statements Regarding Activities (continued)

|                                                                                                                                                                                              | Yes | No  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   |     | X   |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  |     | X   |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | X   |     |
| Website address ► N/A                                                                                                                                                                        |     |     |
| 14 The books are in care of ► MICHELE GORNICK Telephone no. ► 724-537-6900                                                                                                                   |     |     |
| Located at ► P.O. BOX 186, LATROBE, PA ZIP+4 ► 15650                                                                                                                                         |     |     |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year       | 15  | N/A |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16  | X   |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►                                                           |     |     |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                        |                                                                     | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                        |                                                                     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                      | N/A                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.                                                                                                                                                                                                                                                                                                                                                                               |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) | N/A                                                                 |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                                                     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                              |                                                                     | X  |

Form 990-PF (2016)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| BNY MELLON, (STMT 6) | TRUSTEE                                                   |                                           |                                                                       |                                       |
| P.O. BOX 185         |                                                           |                                           |                                                                       |                                       |
| PITTSBURGH, PA 15230 | 0.00                                                      | 11,808.                                   | 0.                                                                    | 0.                                    |
| LINDA MCKENNA BOXX   | CHAIRMAN                                                  |                                           |                                                                       |                                       |
| P.O. BOX 186         |                                                           |                                           |                                                                       |                                       |
| LATROBE, PA 15650    | 40.00                                                     | 135,703.                                  | 29,218.                                                               | 0.                                    |
| NATHAN M. BOXX       | TREASURER                                                 |                                           |                                                                       |                                       |
| P.O. BOX 186         |                                                           |                                           |                                                                       |                                       |
| LATROBE, PA 15650    | 0.50                                                      | 0.                                        | 0.                                                                    | 0.                                    |
| ZAN MCKENNA RICH     | VICE CHAIRMAN                                             |                                           |                                                                       |                                       |
| P.O. BOX 186         |                                                           |                                           |                                                                       |                                       |
| LATROBE, PA 15650    | 30.00                                                     | 46,974.                                   | 18,869.                                                               | 0.                                    |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NOT APPLICABLE                                              |                     | 0.               |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
| 2     |          |
|       |          |
| 3     |          |
|       |          |
| 4     |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 NONE                                                   |        |
|                                                          | 0.     |
| 2                                                        |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3 NONE                                                   |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |
|                                                          | 0.     |
|                                                          | 0.     |
| Total. Add lines 1 through 3                             |        |

Form 990-PF (2016)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |             |
|---|-------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |             |
| a | Average monthly fair market value of securities                                                             | 1a | 74,999,750. |
| b | Average of monthly cash balances                                                                            | 1b | 3,217,550.  |
| c | Fair market value of all other assets                                                                       | 1c |             |
| d | Total (add lines 1a, b, and c)                                                                              | 1d | 78,217,300. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 78,217,300. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 1,173,260.  |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 77,044,040. |
| 6 | Minimum investment return. Enter 5% of line 5                                                               | 6  | 3,852,202.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |            |
|----|----------------------------------------------------------------------------------------------------|----|------------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 3,852,202. |
| 2a | Tax on investment income for 2016 from Part VI, line 5                                             | 2a | 33,969.    |
| b  | Income tax for 2016. (This does not include the tax from Part VI.)                                 | 2b |            |
| c  | Add lines 2a and 2b                                                                                | 2c | 33,969.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 3,818,233. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.         |
| 5  | Add lines 3 and 4                                                                                  | 5  | 3,818,233. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.         |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 3,818,233. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 3,867,497. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |            |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 3,867,497. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 33,969.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 3,833,528. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)



**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | 3,818,233.  |
| 2 Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |             |
| a Enter amount for 2015 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years.                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |             |
| a From 2011                                                                                                                                                                | 37,885.       |                            |             |             |
| b From 2012                                                                                                                                                                |               |                            |             |             |
| c From 2013                                                                                                                                                                | 78,316.       |                            |             |             |
| d From 2014                                                                                                                                                                | 29,124.       |                            |             |             |
| e From 2015                                                                                                                                                                | 154,106.      |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 299,431.      |                            |             |             |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 3,867,497.                                                                                                 |               |                            |             |             |
| a Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 3,818,233.  |
| e Remaining amount distributed out of corpus                                                                                                                               | 49,264.       |                            |             |             |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   | 348,695.      |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 37,885.       |                            |             |             |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a                                                                                              | 310,810.      |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2012                                                                                                                                                         |               |                            |             |             |
| b Excess from 2013                                                                                                                                                         | 78,316.       |                            |             |             |
| c Excess from 2014                                                                                                                                                         | 29,124.       |                            |             |             |
| d Excess from 2015                                                                                                                                                         | 154,106.      |                            |             |             |
| e Excess from 2016                                                                                                                                                         | 49,264.       |                            |             |             |



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|------------|
| <b>a</b> Paid during the year                    |                                                                                                                    |                                      |                                     |            |
| SEE STATEMENT 8                                  |                                                                                                                    | PC                                   |                                     | 3,511,227. |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3a</b>                           | 3,511,227. |
| <b>b</b> Approved for future payment             |                                                                                                                    |                                      |                                     |            |
| NONE                                             |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3b</b>                           | 0.         |

Form 990-PF (2016)





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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 1 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 12,467.                      | 0.                                |                               | 12,467.                       |
| TO FORM 990-PF, PG 1, LN 16B | 12,467.                      | 0.                                |                               | 12,467.                       |

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|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 2 |
|-------------|-------------------------|-----------|---|

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT FEES              | 255,010.                     | 255,010.                          |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 255,010.                     | 255,010.                          |                               | 0.                            |

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|             |       |           |   |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 3 |
|-------------|-------|-----------|---|

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| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| EXCISE TAXES                | 42,000.                      | 0.                                |                               | 0.                            |
| PAYROLL TAXES               | 16,609.                      | 0.                                |                               | 16,609.                       |
| FOREIGN TAXES               | 0.                           | 31,194.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 58,609.                      | 31,194.                           |                               | 16,609.                       |

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|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 4 |
|-------------|----------------|-----------|---|

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| DESCRIPTION           | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| CLEANING SERVICE      | 520.                         | 0.                                |                               | 520.                          |
| EQUIPMENT             | 2,269.                       | 0.                                |                               | 2,269.                        |
| MAINTENANCE CONTRACTS | 367.                         | 0.                                |                               | 367.                          |
| DUES/PERIODICALS      | 1,370.                       | 0.                                |                               | 1,370.                        |
| OFFICE INSURANCE      | 2,182.                       | 0.                                |                               | 2,182.                        |

## KATHERINE MABIS MCKENNA FOUNDATION

23-7042752

|                             |         |         |         |
|-----------------------------|---------|---------|---------|
| TELEPHONE/INTERNET          | 3,933.  | 0.      | 3,933.  |
| POSTAGE                     | 277.    | 0.      | 277.    |
| SUPPLIES                    | 1,165.  | 0.      | 1,165.  |
| MISCELLANEOUS               | 1,811.  | 0.      | 1,811.  |
| PARTNERSHIP EXPENSE         | 0.      | 73,779. | 0.      |
| TO FORM 990-PF, PG 1, LN 23 | 13,894. | 73,779. | 13,894. |

|             |                   |           |   |
|-------------|-------------------|-----------|---|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 5 |
|-------------|-------------------|-----------|---|

| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE  | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|-------------|----------------------|
| SEE STATEMENT 9                        | FMV                 | 76,664,695. | 76,664,695.          |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 76,664,695. | 76,664,695.          |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description         | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|---------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 00037BAA0 | ABB FINANCE USA INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                     | 09/20/16 | 35000                       | 35,071 05 |             |            |           |            |        |
| Acq       |                     | 02/28/13 | 35000                       | 35,071 05 | 35,524 65   | 35,524 65  | -453 60   | -453 60    | L      |
|           |                     |          | <b>Total for 9/20/2016</b>  |           | 35,524 65   | 35,524 65  | -453 60   | -453 60    |        |
| Note      |                     |          |                             |           |             |            |           |            |        |
| 00037BAA0 | ABB FINANCE USA INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                     | 10/12/16 | 15000                       | 15,026.70 |             |            |           |            |        |
| Acq       |                     | 02/28/13 | 5000                        | 5,008 90  | 5,074.95    | 5,074 95   | -66.05    | -66 05     | L      |
| Acq       |                     | 09/19/13 | 10000                       | 10,017 80 | 10,013 60   | 10,013.60  | 4.20      | 4 20       | L      |
|           |                     |          | <b>Total for 10/12/2016</b> |           | 15,088 55   | 15,088 55  | -61.85    | -61 85     |        |
| Note      |                     |          |                             |           |             |            |           |            |        |
| 00206R102 | AT&T INC            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                     | 12/06/16 | 180                         | 6,995 30  |             |            |           |            |        |
| Acq       |                     | 06/08/16 | 180                         | 6,995 30  | 7,174 48    | 7,174 48   | -179 18   | -179 18    | S      |
|           |                     |          | <b>Total for 12/6/2016</b>  |           | 7,174 48    | 7,174 48   | -179.18   | -179.18    |        |
| Note      |                     |          |                             |           |             |            |           |            |        |
| 00206R102 | AT&T INC            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                     | 12/15/16 | 270                         | 11,230 00 |             |            |           |            |        |
| Acq       |                     | 06/08/16 | 270                         | 11,230 00 | 10,761 71   | 10,761.71  | 468.29    | 468 29     | S      |
|           |                     |          | <b>Total for 12/15/2016</b> |           | 10,761.71   | 10,761 71  | 468 29    | 468 29     |        |
| Note      |                     |          |                             |           |             |            |           |            |        |
| 002824100 | ABBOTT LABORATORIES |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                     | 12/06/16 | 130                         | 4,992 16  |             |            |           |            |        |
| Acq       |                     | 07/15/15 | 130                         | 4,992.16  | 6,453.66    | 6,453 66   | -1,461 50 | -1,461 50  | L      |
|           |                     |          | <b>Total for 12/6/2016</b>  |           | 6,453 66    | 6,453 66   | -1,461 50 | -1,461 50  |        |
| Note      |                     |          |                             |           |             |            |           |            |        |
| 002824100 | ABBOTT LABORATORIES |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                     | 12/15/16 | 120                         | 4,663 59  |             |            |           |            |        |
| Acq       |                     | 11/12/15 | 110                         | 4,274 96  | 4,894 78    | 4,894 78   | -619 82   | -619 82    | L      |
| Acq       |                     | 07/15/15 | 10                          | 388 63    | 496 43      | 496.43     | -107 80   | -107 80    | L      |
|           |                     |          | <b>Total for 12/15/2016</b> |           | 5,391.21    | 5,391 21   | -727 62   | -727.62    |        |
| Note      |                     |          |                             |           |             |            |           |            |        |



**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description             | Date         | Shares                     | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-------------------------|--------------|----------------------------|------------|-------------|------------|-----------|------------|--------|
| 00287Y109 | ABBVIE INC              |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            | 10265400911 |            |           |            |        |
| Sold      |                         | 01/13/16     | 1550                       | 79,951.56  |             |            |           |            |        |
| Acq       |                         | 07/18/14     | 1550                       | 79,951.56  | 85,189.70   | 85,189.70  | -5,238.14 | -5,238.14  | L      |
|           |                         |              | <b>Total for 1/13/2016</b> |            | 85,189.70   | 85,189.70  | -5,238.14 | -5,238.14  |        |
| Note      |                         |              |                            |            |             |            |           |            |        |
| 00287Y109 | ABBVIE INC              |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            | 10260740386 |            |           |            |        |
| Sold      |                         | 06/29/16     | 6840                       | 422,593.34 |             |            |           |            |        |
| Acq       |                         | 03/12/15     | 6840                       | 422,593.34 | 399,730.28  | 399,730.28 | 22,863.06 | 22,863.06  | L      |
|           |                         |              | <b>Total for 6/29/2016</b> |            | 399,730.28  | 399,730.28 | 22,863.06 | 22,863.06  |        |
| Note      |                         |              |                            |            |             |            |           |            |        |
| 00287YAN9 | ABBVIE INC              | 1.8% 5/14/18 |                            |            |             |            |           |            |        |
|           |                         |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            | 10265400911 |            |           |            |        |
| Sold      |                         | 09/07/16     | 30000                      | 30,178.50  |             |            |           |            |        |
| Acq       |                         | 05/05/15     | 30000                      | 30,178.50  | 29,969.40   | 29,969.40  | 209.10    | 209.10     | L      |
|           |                         |              | <b>Total for 9/7/2016</b>  |            | 29,969.40   | 29,969.40  | 209.10    | 209.10     |        |
| Note      |                         |              |                            |            |             |            |           |            |        |
| 00507V109 | ACTIVISION BLIZZARD INC |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            | 10265400911 |            |           |            |        |
| Sold      |                         | 02/03/16     | 460                        | 15,123.51  |             |            |           |            |        |
| Acq       |                         | 11/12/15     | 410                        | 13,479.65  | 14,377.14   | 14,377.14  | -897.49   | -897.49    | S      |
| Acq       |                         | 10/13/15     | 50                         | 1,643.86   | 1,648.54    | 1,648.54   | -4.68     | -4.68      | S      |
|           |                         |              | <b>Total for 2/3/2016</b>  |            | 16,025.68   | 16,025.68  | -902.17   | -902.17    |        |
| Note      |                         |              |                            |            |             |            |           |            |        |
| 00507V109 | ACTIVISION BLIZZARD INC |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            | 10265400911 |            |           |            |        |
| Sold      |                         | 02/04/16     | 1360                       | 43,274.81  |             |            |           |            |        |
| Acq       |                         | 10/13/15     | 150                        | 4,772.96   | 4,945.62    | 4,945.62   | -172.66   | -172.66    | S      |
| Acq       |                         | 10/09/15     | 1210                       | 38,501.85  | 38,748.19   | 38,748.19  | -246.34   | -246.34    | S      |
|           |                         |              | <b>Total for 2/4/2016</b>  |            | 43,693.81   | 43,693.81  | -419.00   | -419.00    |        |
| Note      |                         |              |                            |            |             |            |           |            |        |
| 00724F101 | ADOBE SYS INC COM       |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            |             |            |           |            |        |
|           |                         |              |                            |            | 10265400911 |            |           |            |        |
| Sold      |                         | 07/12/16     | 170                        | 16,575.97  |             |            |           |            |        |
| Acq       |                         | 03/30/16     | 10                         | 975.06     | 939.04      | 939.04     | 36.02     | 36.02      | S      |
| Acq       |                         | 03/31/16     | 160                        | 15,600.91  | 15,004.24   | 15,004.24  | 596.67    | 596.67     | S      |
|           |                         |              | <b>Total for 7/12/2016</b> |            | 15,943.28   | 15,943.28  | 632.69    | 632.69     |        |
| Note      |                         |              |                            |            |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:25

**KATHERINE MABIS MCKENNA FDN**  
 Trust Year Ending 12/31/2016

| Type      | Description       | Date     | Shares               | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-------------------|----------|----------------------|-----------|-------------|------------|----------|------------|--------|
| 00724F101 | ADOBE SYS INC COM |          |                      |           | 10265400911 |            |          |            |        |
| Sold      |                   | 09/30/16 | 164                  | 17,717.91 |             |            |          |            |        |
| Acq       |                   | 02/10/16 | 64                   | 6,914.31  | 4,968.19    | 4,968.19   | 1,946.12 | 1,946.12   | S      |
| Acq       |                   | 03/31/16 | 20                   | 2,160.72  | 1,875.53    | 1,875.53   | 285.19   | 285.19     | S      |
| Acq       |                   | 08/04/15 | 80                   | 8,642.88  | 6,563.11    | 6,563.11   | 2,079.77 | 2,079.77   | L      |
|           |                   |          | Total for 9/30/2016  |           | 13,406.83   | 13,406.83  | 4,311.08 | 4,311.08   |        |
| Note      |                   |          |                      |           |             |            |          |            |        |
| 00724F101 | ADOBE SYS INC COM |          |                      |           | 10265400911 |            |          |            |        |
| Sold      |                   | 12/06/16 | 44                   | 4,453.23  |             |            |          |            |        |
| Acq       |                   | 02/12/13 | 18                   | 1,821.78  | 703.30      | 703.30     | 1,118.48 | 1,118.48   | L      |
| Acq       |                   | 02/10/16 | 16                   | 1,619.36  | 1,242.05    | 1,242.05   | 377.31   | 377.31     | S      |
| Acq       |                   | 10/24/13 | 10                   | 1,012.10  | 545.20      | 545.20     | 466.90   | 466.90     | L      |
|           |                   |          | Total for 12/6/2016  |           | 2,490.55    | 2,490.55   | 1,962.68 | 1,962.68   |        |
| Note      |                   |          |                      |           |             |            |          |            |        |
| 00724F101 | ADOBE SYS INC COM |          |                      |           | 10265400911 |            |          |            |        |
| Sold      |                   | 12/15/16 | 98                   | 10,264.09 |             |            |          |            |        |
| Acq       |                   | 02/12/13 | 98                   | 10,264.09 | 3,829.08    | 3,829.08   | 6,435.01 | 6,435.01   | L      |
|           |                   |          | Total for 12/15/2016 |           | 3,829.08    | 3,829.08   | 6,435.01 | 6,435.01   |        |
| Note      |                   |          |                      |           |             |            |          |            |        |
| 00773T101 | ADVANSIX INC      |          |                      |           | 10265400911 |            |          |            |        |
| Sold      |                   | 10/06/16 | 62                   | 866.90    |             |            |          |            |        |
| Acq       |                   | 10/03/06 | 55.96                | 782.45    | 310.66      | 310.66     | 471.79   | 471.79     | L      |
| Acq       |                   | 02/10/16 | 3.16                 | 44.18     | 43.44       | 43.44      | 0.74     | 0.74       | S      |
| Acq       |                   | 11/12/15 | 2.88                 | 40.27     | 39.18       | 39.18      | 1.09     | 1.09       | S      |
|           |                   |          | Total for 10/6/2016  |           | 393.28      | 393.28     | 473.62   | 473.62     |        |
| Note      |                   |          |                      |           |             |            |          |            |        |
| 00773T101 | ADVANSIX INC      |          |                      |           | 10265400911 |            |          |            |        |
| Sold      |                   | 11/03/16 | 0.84                 | 13.34     |             |            |          |            |        |
| Acq       |                   | 10/03/06 | 0.84                 | 13.34     | 4.66        | 4.66       | 8.68     | 8.68       | L      |
|           |                   |          | Total for 11/3/2016  |           | 4.66        | 4.66       | 8.68     | 8.68       |        |
| Note      |                   |          |                      |           |             |            |          |            |        |
| 00817Y108 | AETNA INC         |          |                      |           | 10265400911 |            |          |            |        |
| Sold      |                   | 11/04/16 | 819                  | 87,272.62 |             |            |          |            |        |
| Acq       |                   | 02/10/16 | 60                   | 6,393.60  | 6,011.28    | 6,011.28   | 382.32   | 382.32     | S      |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                 | Date     | Shares                      | Price     | Fed Cost  | State Cost  | Fed Gain | State Gain | (Type) |
|-----------|-----------------------------|----------|-----------------------------|-----------|-----------|-------------|----------|------------|--------|
| 00817Y108 | AETNA INC                   |          |                             |           |           |             |          |            |        |
|           |                             |          |                             |           |           | 10265400911 |          |            |        |
| Sold      |                             | 11/04/16 | 819                         | 87,272.62 |           |             |          |            |        |
| Acq       |                             | 01/13/16 | 759                         | 80,879.02 | 80,166.26 | 80,166.26   | 712.76   | 712.76     | S      |
|           |                             |          | <b>Total for 11/4/2016</b>  |           | 86,177.54 | 86,177.54   | 1,095.08 | 1,095.08   |        |
| Note      |                             |          |                             |           |           |             |          |            |        |
| 00846U101 | AGILENT TECHNOLOGIES INC    |          |                             |           |           |             |          |            |        |
|           |                             |          |                             |           |           | 10265400911 |          |            |        |
| Sold      |                             | 10/06/16 | 410                         | 19,339.53 |           |             |          |            |        |
| Acq       |                             | 12/09/15 | 410                         | 19,339.53 | 16,919.63 | 16,919.63   | 2,419.90 | 2,419.90   | S      |
|           |                             |          | <b>Total for 10/6/2016</b>  |           | 16,919.63 | 16,919.63   | 2,419.90 | 2,419.90   |        |
| Note      |                             |          |                             |           |           |             |          |            |        |
| 00846U101 | AGILENT TECHNOLOGIES INC    |          |                             |           |           |             |          |            |        |
|           |                             |          |                             |           |           | 10265400911 |          |            |        |
| Sold      |                             | 10/18/16 | 590                         | 26,804.77 |           |             |          |            |        |
| Acq       |                             | 12/09/15 | 590                         | 26,804.77 | 24,347.77 | 24,347.77   | 2,457.00 | 2,457.00   | S      |
|           |                             |          | <b>Total for 10/18/2016</b> |           | 24,347.77 | 24,347.77   | 2,457.00 | 2,457.00   |        |
| Note      |                             |          |                             |           |           |             |          |            |        |
| 00846U101 | AGILENT TECHNOLOGIES INC    |          |                             |           |           |             |          |            |        |
|           |                             |          |                             |           |           | 10265400911 |          |            |        |
| Sold      |                             | 12/06/16 | 40                          | 1,773.64  |           |             |          |            |        |
| Acq       |                             | 12/09/15 | 40                          | 1,773.64  | 1,650.70  | 1,650.70    | 122.94   | 122.94     | S      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 1,650.70  | 1,650.70    | 122.94   | 122.94     |        |
| Note      |                             |          |                             |           |           |             |          |            |        |
| 00846U101 | AGILENT TECHNOLOGIES INC    |          |                             |           |           |             |          |            |        |
|           |                             |          |                             |           |           | 10265400911 |          |            |        |
| Sold      |                             | 12/15/16 | 100                         | 4,663.09  |           |             |          |            |        |
| Acq       |                             | 12/09/15 | 100                         | 4,663.09  | 4,126.74  | 4,126.74    | 536.35   | 536.35     | L      |
|           |                             |          | <b>Total for 12/15/2016</b> |           | 4,126.74  | 4,126.74    | 536.35   | 536.35     |        |
| Note      |                             |          |                             |           |           |             |          |            |        |
| 015351109 | ALEXION PHARMACEUTICALS INC |          |                             |           |           |             |          |            |        |
|           |                             |          |                             |           |           | 10265400911 |          |            |        |
| Sold      |                             | 12/06/16 | 16                          | 1,986.39  |           |             |          |            |        |
| Acq       |                             | 11/04/16 | 16                          | 1,986.39  | 2,185.50  | 2,185.50    | -199.11  | -199.11    | S      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 2,185.50  | 2,185.50    | -199.11  | -199.11    |        |
| Note      |                             |          |                             |           |           |             |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                 | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 015351109 | ALEXION PHARMACEUTICALS INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/15/16 | 43                          | 4,966 74  |             |            |           |            |        |
| Acq       |                             | 11/04/16 | 43                          | 4,966 74  | 5,873 54    | 5,873 54   | -906 80   | -906 80    | S      |
|           |                             |          | <b>Total for 12/15/2016</b> |           | 5,873 54    | 5,873 54   | -906 80   | -906 80    |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 02005N100 | ALLY FINANCIAL INC          |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/06/16 | 240                         | 4,740.40  |             |            |           |            |        |
| Acq       |                             | 01/07/15 | 240                         | 4,740.40  | 5,545 25    | 5,545 25   | -804 85   | -804 85    | L      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 5,545.25    | 5,545 25   | -804 85   | -804 85    |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 02005N100 | ALLY FINANCIAL INC          |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/15/16 | 420                         | 8,355 09  |             |            |           |            |        |
| Acq       |                             | 01/07/15 | 420                         | 8,355 09  | 9,704 18    | 9,704.18   | -1,349.09 | -1,349.09  | L      |
|           |                             |          | <b>Total for 12/15/2016</b> |           | 9,704.18    | 9,704 18   | -1,349 09 | -1,349.09  |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 02079K305 | ALPHABET INC/CA             |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/02/16 | 43                          | 32,791 97 |             |            |           |            |        |
| Acq       |                             | 08/02/16 | 14                          | 10,676 46 | 11,158 08   | 11,158 08  | -481.62   | -481 62    | S      |
| Acq       |                             | 09/23/16 | 29                          | 22,115 51 | 23,634 37   | 23,634 37  | -1,518.86 | -1,518 86  | S      |
|           |                             |          | <b>Total for 12/2/2016</b>  |           | 34,792.45   | 34,792 45  | -2,000 48 | -2,000 48  |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 02079K305 | ALPHABET INC/CA             |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/06/16 | 13                          | 10,147 48 |             |            |           |            |        |
| Acq       |                             | 08/02/16 | 11                          | 8,586 33  | 8,767 07    | 8,767.07   | -180.74   | -180 74    | S      |
| Acq       |                             | 11/12/15 | 2                           | 1,561 15  | 1,520 54    | 1,520.54   | 40 61     | 40 61      | L      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 10,287.61   | 10,287.61  | -140 13   | -140 13    |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 02079K305 | ALPHABET INC/CA             |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/07/16 | 38                          | 29,526.10 |             |            |           |            |        |
| Acq       |                             | 11/12/15 | 38                          | 29,526 10 | 28,890 22   | 28,890 22  | 635.88    | 635 88     | L      |
|           |                             |          | <b>Total for 12/7/2016</b>  |           | 28,890.22   | 28,890 22  | 635 88    | 635.88     |        |
| Note      |                             |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description             | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 02079K305 | ALPHABET INC/CA         |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                         | 12/15/16 | 25                          | 20,377.10 |             |            |           |            |        |
| Acq       |                         | 11/12/15 | 25                          | 20,377.10 | 19,006.72   | 19,006.72  | 1,370.38  | 1,370.38   | L      |
|           |                         |          | <b>Total for 12/15/2016</b> |           | 19,006.72   | 19,006.72  | 1,370.38  | 1,370.38   |        |
| Note      |                         |          |                             |           |             |            |           |            |        |
| 023135106 | AMAZON COM INC          |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                         | 12/06/16 | 26                          | 19,901.05 |             |            |           |            |        |
| Acq       |                         | 02/10/16 | 21                          | 16,073.93 | 10,460.06   | 10,460.06  | 5,613.87  | 5,613.87   | S      |
| Acq       |                         | 06/01/11 | 5                           | 3,827.13  | 979.86      | 979.86     | 2,847.27  | 2,847.27   | L      |
|           |                         |          | <b>Total for 12/6/2016</b>  |           | 11,439.92   | 11,439.92  | 8,461.13  | 8,461.13   |        |
| Note      |                         |          |                             |           |             |            |           |            |        |
| 023135106 | AMAZON COM INC          |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                         | 12/15/16 | 25                          | 19,071.26 |             |            |           |            |        |
| Acq       |                         | 06/01/11 | 25                          | 19,071.26 | 4,899.29    | 4,899.29   | 14,171.97 | 14,171.97  | L      |
|           |                         |          | <b>Total for 12/15/2016</b> |           | 4,899.29    | 4,899.29   | 14,171.97 | 14,171.97  |        |
| Note      |                         |          |                             |           |             |            |           |            |        |
| 02665WAF8 | AMERICAN HONDA FINANCE  |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                         | 09/07/16 | 20000                       | 20,037.00 |             |            |           |            |        |
| Acq       |                         | 07/08/14 | 20000                       | 20,037.00 | 19,971.80   | 19,971.80  | 65.20     | 65.20      | L      |
|           |                         |          | <b>Total for 9/7/2016</b>   |           | 19,971.80   | 19,971.80  | 65.20     | 65.20      |        |
| Note      |                         |          |                             |           |             |            |           |            |        |
| 03027X100 | AMERICAN TOWER CORP-CLA |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                         | 12/06/16 | 75                          | 7,685.23  |             |            |           |            |        |
| Acq       |                         | 11/12/15 | 75                          | 7,685.23  | 7,332.32    | 7,332.32   | 352.91    | 352.91     | L      |
|           |                         |          | <b>Total for 12/6/2016</b>  |           | 7,332.32    | 7,332.32   | 352.91    | 352.91     |        |
| Note      |                         |          |                             |           |             |            |           |            |        |
| 03027X100 | AMERICAN TOWER CORP-CLA |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                         | 12/15/16 | 78                          | 8,292.10  |             |            |           |            |        |
| Acq       |                         | 11/12/15 | 78                          | 8,292.10  | 7,625.62    | 7,625.62   | 666.48    | 666.48     | L      |
|           |                         |          | <b>Total for 12/15/2016</b> |           | 7,625.62    | 7,625.62   | 666.48    | 666.48     |        |
| Note      |                         |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|----------------------------|----------|-----------------------------|-----------|-------------|------------|------------|------------|--------|
| 031162100 | AMGEN INC                  |          |                             |           | 10265400911 |            |            |            |        |
|           | <b>Sold</b>                | 07/08/16 | 174                         | 27,716.83 |             |            |            |            |        |
|           | <b>Acq</b>                 | 10/12/15 | 159                         | 25,327.45 | 24,449.08   | 24,449.08  | 878.37     | 878.37     | S      |
|           | <b>Acq</b>                 | 02/10/16 | 15                          | 2,389.38  | 2,204.97    | 2,204.97   | 184.41     | 184.41     | S      |
|           |                            |          | <b>Total for 7/8/2016</b>   |           | 26,654.05   | 26,654.05  | 1,062.78   | 1,062.78   |        |
|           | <b>Note</b>                |          |                             |           |             |            |            |            |        |
| 031162100 | AMGEN INC                  |          |                             |           | 10265400911 |            |            |            |        |
|           | <b>Sold</b>                | 10/19/16 | 357                         | 57,652.24 |             |            |            |            |        |
|           | <b>Acq</b>                 | 02/04/14 | 62                          | 10,012.43 | 7,210.98    | 7,210.98   | 2,801.45   | 2,801.45   | L      |
|           | <b>Acq</b>                 | 09/16/13 | 283                         | 45,701.92 | 33,063.71   | 33,063.71  | 12,638.21  | 12,638.21  | L      |
|           | <b>Acq</b>                 | 02/10/16 | 12                          | 1,937.89  | 1,763.98    | 1,763.98   | 173.91     | 173.91     | S      |
|           |                            |          | <b>Total for 10/19/2016</b> |           | 42,038.67   | 42,038.67  | 15,613.57  | 15,613.57  |        |
|           | <b>Note</b>                |          |                             |           |             |            |            |            |        |
| 032420101 | ANACOR PHARMACEUTICALS INC |          |                             |           | 10265400911 |            |            |            |        |
|           | <b>Sold</b>                | 05/26/16 | 423                         | 42,143.80 |             |            |            |            |        |
|           | <b>Acq</b>                 | 02/10/16 | 20                          | 1,992.61  | 1,391.16    | 1,391.16   | 601.45     | 601.45     | S      |
|           | <b>Acq</b>                 | 11/12/15 | 350                         | 34,870.76 | 36,732.89   | 36,732.89  | -1,862.13  | -1,862.13  | S      |
|           | <b>Acq</b>                 | 07/15/15 | 53                          | 5,280.43  | 7,088.92    | 7,088.92   | -1,808.49  | -1,808.49  | S      |
|           |                            |          | <b>Total for 5/26/2016</b>  |           | 45,212.97   | 45,212.97  | -3,069.17  | -3,069.17  |        |
|           | <b>Note</b>                |          |                             |           |             |            |            |            |        |
| 032654105 | ANALOG DEVICES INC         |          |                             |           | 10265400911 |            |            |            |        |
|           | <b>Sold</b>                | 01/06/16 | 612                         | 31,631.68 |             |            |            |            |        |
|           | <b>Acq</b>                 | 10/21/15 | 612                         | 31,631.68 | 37,872.77   | 37,872.77  | -6,241.09  | -6,241.09  | S      |
|           |                            |          | <b>Total for 1/6/2016</b>   |           | 37,872.77   | 37,872.77  | -6,241.09  | -6,241.09  |        |
|           | <b>Note</b>                |          |                             |           |             |            |            |            |        |
| 032654105 | ANALOG DEVICES INC         |          |                             |           | 10265400911 |            |            |            |        |
|           | <b>Sold</b>                | 01/07/16 | 1128                        | 57,141.51 |             |            |            |            |        |
|           | <b>Acq</b>                 | 10/21/15 | 1128                        | 57,141.51 | 69,804.70   | 69,804.70  | -12,663.19 | -12,663.19 | S      |
|           |                            |          | <b>Total for 1/7/2016</b>   |           | 69,804.70   | 69,804.70  | -12,663.19 | -12,663.19 |        |
|           | <b>Note</b>                |          |                             |           |             |            |            |            |        |

**Statement of Capital Gains and Losses From Sales**  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                         | Date     | Shares              | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-------------------------------------|----------|---------------------|-----------|-------------|------------|-----------|------------|--------|
| 03523TBN7 | ANHEUSER-BUSCH INBEV 1 375% 7/15/17 |          |                     |           | 10265400911 |            |           |            |        |
| Sold      |                                     | 01/13/16 | 15000               | 14,949.30 |             |            |           |            |        |
| Acq       |                                     | 07/11/12 | 15000               | 14,949.30 | 14,956.80   | 14,956.80  | -7.50     | -7.50      | L      |
|           |                                     |          | Total for 1/13/2016 |           | 14,956.80   | 14,956.80  | -7.50     | -7.50      |        |
| Note      |                                     |          |                     |           |             |            |           |            |        |
| 03523TBN7 | ANHEUSER-BUSCH INBEV 1 375% 7/15/17 |          |                     |           | 10265400911 |            |           |            |        |
| Sold      |                                     | 01/14/16 | 15000               | 14,946.45 |             |            |           |            |        |
| Acq       |                                     | 07/11/12 | 15000               | 14,946.45 | 14,956.80   | 14,956.80  | -10.35    | -10.35     | L      |
|           |                                     |          | Total for 1/14/2016 |           | 14,956.80   | 14,956.80  | -10.35    | -10.35     |        |
| Note      |                                     |          |                     |           |             |            |           |            |        |
| 03524A108 | ANHEUSER BUSCH INBEV SPN ADR        |          |                     |           | 10265400911 |            |           |            |        |
| Sold      |                                     | 01/06/16 | 318                 | 38,288.25 |             |            |           |            |        |
| Acq       |                                     | 04/14/14 | 315                 | 37,927.04 | 34,071.69   | 34,071.69  | 3,855.35  | 3,855.35   | L      |
| Acq       |                                     | 04/15/14 | 3                   | 361.21    | 323.64      | 323.64     | 37.57     | 37.57      | L      |
|           |                                     |          | Total for 1/6/2016  |           | 34,395.33   | 34,395.33  | 3,892.92  | 3,892.92   |        |
| Note      |                                     |          |                     |           |             |            |           |            |        |
| 03524A108 | ANHEUSER BUSCH INBEV SPN ADR        |          |                     |           | 10265400911 |            |           |            |        |
| Sold      |                                     | 04/04/16 | 338                 | 42,562.73 |             |            |           |            |        |
| Acq       |                                     | 02/10/16 | 24                  | 3,022.21  | 2,813.48    | 2,813.48   | 208.73    | 208.73     | S      |
| Acq       |                                     | 04/15/14 | 314                 | 39,540.52 | 33,873.91   | 33,873.91  | 5,666.61  | 5,666.61   | L      |
|           |                                     |          | Total for 4/4/2016  |           | 36,687.39   | 36,687.39  | 5,875.34  | 5,875.34   |        |
| Note      |                                     |          |                     |           |             |            |           |            |        |
| 037833100 | APPLE COMPUTER INC COM              |          |                     |           | 10265400911 |            |           |            |        |
| Sold      |                                     | 01/05/16 | 311                 | 32,050.14 |             |            |           |            |        |
| Acq       |                                     | 07/28/08 | 311                 | 32,050.14 | 7,099.41    | 7,099.41   | 24,950.73 | 24,950.73  | L      |
|           |                                     |          | Total for 1/5/2016  |           | 7,099.41    | 7,099.41   | 24,950.73 | 24,950.73  |        |
| Note      |                                     |          |                     |           |             |            |           |            |        |
| 037833100 | APPLE COMPUTER INC COM              |          |                     |           | 10265400911 |            |           |            |        |
| Sold      |                                     | 06/08/16 | 730                 | 72,182.14 |             |            |           |            |        |
| Acq       |                                     | 03/30/16 | 110                 | 10,876.76 | 12,047.65   | 12,047.65  | -1,170.89 | -1,170.89  | S      |
| Acq       |                                     | 03/31/16 | 113                 | 11,173.40 | 12,362.00   | 12,362.00  | -1,188.60 | -1,188.60  | S      |
| Acq       |                                     | 07/28/08 | 357                 | 35,300.03 | 8,149.49    | 8,149.49   | 27,150.54 | 27,150.54  | L      |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description            | Date         | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|------------------------|--------------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 037833100 | APPLE COMPUTER INC COM |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 06/08/16     | 730                         | 72,182.14 |             |            |           |            |        |
| Acq       |                        | 02/10/16     | 150                         | 14,831.95 | 14,308.20   | 14,308.20  | 523.75    | 523.75     | S      |
|           |                        |              | <b>Total for 6/8/2016</b>   |           | 46,867.34   | 46,867.34  | 25,314.80 | 25,314.80  |        |
| Note      |                        |              |                             |           |             |            |           |            |        |
| 037833100 | APPLE COMPUTER INC COM |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 09/08/16     | 258                         | 27,259.69 |             |            |           |            |        |
| Acq       |                        | 06/05/09     | 109                         | 11,516.69 | 2,252.50    | 2,252.50   | 9,264.19  | 9,264.19   | L      |
| Acq       |                        | 07/28/08     | 149                         | 15,743.00 | 3,401.33    | 3,401.33   | 12,341.67 | 12,341.67  | L      |
|           |                        |              | <b>Total for 9/8/2016</b>   |           | 5,653.83    | 5,653.83   | 21,605.86 | 21,605.86  |        |
| Note      |                        |              |                             |           |             |            |           |            |        |
| 037833100 | APPLE COMPUTER INC COM |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 11/14/16     | 285                         | 30,075.45 |             |            |           |            |        |
| Acq       |                        | 06/05/09     | 285                         | 30,075.45 | 5,889.57    | 5,889.57   | 24,185.88 | 24,185.88  | L      |
|           |                        |              | <b>Total for 11/14/2016</b> |           | 5,889.57    | 5,889.57   | 24,185.88 | 24,185.88  |        |
| Note      |                        |              |                             |           |             |            |           |            |        |
| 037833100 | APPLE COMPUTER INC COM |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 12/06/16     | 39                          | 4,290.38  |             |            |           |            |        |
| Acq       |                        | 06/05/09     | 39                          | 4,290.38  | 805.94      | 805.94     | 3,484.44  | 3,484.44   | L      |
|           |                        |              | <b>Total for 12/6/2016</b>  |           | 805.94      | 805.94     | 3,484.44  | 3,484.44   |        |
| Note      |                        |              |                             |           |             |            |           |            |        |
| 037833100 | APPLE COMPUTER INC COM |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 12/15/16     | 75                          | 8,687.79  |             |            |           |            |        |
| Acq       |                        | 06/05/09     | 75                          | 8,687.79  | 1,549.89    | 1,549.89   | 7,137.90  | 7,137.90   | L      |
|           |                        |              | <b>Total for 12/15/2016</b> |           | 1,549.89    | 1,549.89   | 7,137.90  | 7,137.90   |        |
| Note      |                        |              |                             |           |             |            |           |            |        |
| 037833BD1 | APPLE INC              | 2.0% 5/06/20 |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 04/01/16     | 45000                       | 45,731.70 |             |            |           |            |        |
| Acq       |                        | 11/02/15     | 45000                       | 45,731.70 | 45,152.98   | 45,152.98  | 578.72    | 578.72     | S      |
|           |                        |              | <b>Total for 4/1/2016</b>   |           | 45,152.98   | 45,152.98  | 578.72    | 578.72     |        |
| Note      |                        |              |                             |           |             |            |           |            |        |



**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**MCKENNA KATHERINE MABIS FDN INC-NO 2**

**Trust Year Ending 12/31/2016**

| Type        | Description                          | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-------------|--------------------------------------|----------|-----------------------------|------------|-------------|------------|-----------|------------|--------|
| 053015103   | AUTOMATIC DATA PROCESSING INC COMMON |          |                             |            | 10260740386 |            |           |            |        |
| <b>Sold</b> |                                      | 02/04/16 | 1340                        | 110,006.11 |             |            |           |            |        |
| <b>Acq</b>  |                                      | 07/31/09 | 195                         | 16,008.35  | 6,379.46    | 6,379.46   | 9,628.89  | 9,628.89   | L      |
| <b>Acq</b>  |                                      | 01/03/13 | 1145                        | 93,997.76  | 58,604.21   | 58,604.21  | 35,393.55 | 35,393.55  | L      |
|             |                                      |          | <b>Total for 2/4/2016</b>   |            | 64,983.67   | 64,983.67  | 45,022.44 | 45,022.44  |        |
| <b>Note</b> |                                      |          |                             |            |             |            |           |            |        |
| 060505104   | BANK AMER CORP                       |          |                             |            | 10265400911 |            |           |            |        |
| <b>Sold</b> |                                      | 12/06/16 | 800                         | 17,569.22  |             |            |           |            |        |
| <b>Acq</b>  |                                      | 05/11/15 | 800                         | 17,569.22  | 13,255.28   | 13,255.28  | 4,313.94  | 4,313.94   | L      |
|             |                                      |          | <b>Total for 12/6/2016</b>  |            | 13,255.28   | 13,255.28  | 4,313.94  | 4,313.94   |        |
| <b>Note</b> |                                      |          |                             |            |             |            |           |            |        |
| 060505104   | BANK AMER CORP                       |          |                             |            | 10265400911 |            |           |            |        |
| <b>Sold</b> |                                      | 12/12/16 | 2400                        | 54,520.33  |             |            |           |            |        |
| <b>Acq</b>  |                                      | 05/11/15 | 420                         | 9,541.06   | 6,959.02    | 6,959.02   | 2,582.04  | 2,582.04   | L      |
| <b>Acq</b>  |                                      | 02/25/15 | 1980                        | 44,979.27  | 32,670.99   | 32,670.99  | 12,308.28 | 12,308.28  | L      |
|             |                                      |          | <b>Total for 12/12/2016</b> |            | 39,630.01   | 39,630.01  | 14,890.32 | 14,890.32  |        |
| <b>Note</b> |                                      |          |                             |            |             |            |           |            |        |
| 060505104   | BANK AMER CORP                       |          |                             |            | 10265400911 |            |           |            |        |
| <b>Sold</b> |                                      | 12/15/16 | 530                         | 12,298.12  |             |            |           |            |        |
| <b>Acq</b>  |                                      | 02/25/15 | 530                         | 12,298.12  | 8,745.26    | 8,745.26   | 3,552.86  | 3,552.86   | L      |
|             |                                      |          | <b>Total for 12/15/2016</b> |            | 8,745.26    | 8,745.26   | 3,552.86  | 3,552.86   |        |
| <b>Note</b> |                                      |          |                             |            |             |            |           |            |        |
| 075887109   | BECTON DICKINSON AND COMPANY         |          |                             |            | 10260740386 |            |           |            |        |
| <b>Sold</b> |                                      | 02/04/16 | 875                         | 120,674.34 |             |            |           |            |        |
| <b>Acq</b>  |                                      | 01/03/13 | 875                         | 120,674.34 | 70,004.85   | 70,004.85  | 50,669.49 | 50,669.49  | L      |
|             |                                      |          | <b>Total for 2/4/2016</b>   |            | 70,004.85   | 70,004.85  | 50,669.49 | 50,669.49  |        |
| <b>Note</b> |                                      |          |                             |            |             |            |           |            |        |
| 075887109   | BECTON DICKINSON AND COMPANY         |          |                             |            | 10260740386 |            |           |            |        |
| <b>Sold</b> |                                      | 07/19/16 | 575                         | 100,184.58 |             |            |           |            |        |
| <b>Acq</b>  |                                      | 01/03/13 | 575                         | 100,184.58 | 46,003.18   | 46,003.18  | 54,181.40 | 54,181.40  | L      |
|             |                                      |          | <b>Total for 7/19/2016</b>  |            | 46,003.18   | 46,003.18  | 54,181.40 | 54,181.40  |        |
| <b>Note</b> |                                      |          |                             |            |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**  
**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                 | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 09061G101 | BIOMARIN PHARMACEUTICAL INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/06/16 | 30                          | 2,490.91  |             |            |           |            |        |
| Acq       |                             | 07/09/15 | 30                          | 2,490.91  | 4,217.44    | 4,217.44   | -1,726.53 | -1,726.53  | L      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 4,217.44    | 4,217.44   | -1,726.53 | -1,726.53  |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 09061G101 | BIOMARIN PHARMACEUTICAL INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/15/16 | 40                          | 3,386.00  |             |            |           |            |        |
| Acq       |                             | 07/09/15 | 39                          | 3,301.35  | 5,482.67    | 5,482.67   | -2,181.32 | -2,181.32  | L      |
| Acq       |                             | 11/12/15 | 1                           | 84.65     | 108.10      | 108.10     | -23.45    | -23.45     | L      |
|           |                             |          | <b>Total for 12/15/2016</b> |           | 5,590.77    | 5,590.77   | -2,204.77 | -2,204.77  |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 09062X103 | BIOMARIN PHARMACEUTICAL INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 01/20/16 | 54                          | 14,265.15 |             |            |           |            |        |
| Acq       |                             | 08/04/15 | 54                          | 14,265.15 | 17,820.24   | 17,820.24  | -3,555.09 | -3,555.09  | S      |
|           |                             |          | <b>Total for 1/20/2016</b>  |           | 17,820.24   | 17,820.24  | -3,555.09 | -3,555.09  |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 09062X103 | BIOMARIN PHARMACEUTICAL INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/06/16 | 16                          | 4,652.89  |             |            |           |            |        |
| Acq       |                             | 08/03/15 | 2                           | 581.61    | 646.86      | 646.86     | -65.25    | -65.25     | L      |
| Acq       |                             | 08/04/15 | 14                          | 4,071.28  | 4,620.06    | 4,620.06   | -548.78   | -548.78    | L      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 5,266.92    | 5,266.92   | -614.03   | -614.03    |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 09062X103 | BIOMARIN PHARMACEUTICAL INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/15/16 | 17                          | 4,880.45  |             |            |           |            |        |
| Acq       |                             | 08/03/15 | 17                          | 4,880.45  | 5,498.34    | 5,498.34   | -617.89   | -617.89    | L      |
|           |                             |          | <b>Total for 12/15/2016</b> |           | 5,498.34    | 5,498.34   | -617.89   | -617.89    |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 09062X103 | BIOMARIN PHARMACEUTICAL INC |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/19/16 | 228                         | 65,023.50 |             |            |           |            |        |
| Acq       |                             | 02/10/16 | 19                          | 5,418.63  | 4,873.46    | 4,873.46   | 545.17    | 545.17     | S      |
| Acq       |                             | 11/12/15 | 39                          | 11,122.44 | 11,424.39   | 11,424.39  | -301.95   | -301.95    | L      |
| Acq       |                             | 08/03/15 | 9                           | 2,566.72  | 2,910.89    | 2,910.89   | -344.17   | -344.17    | L      |

BNY / Mellon  
Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 12 of 74  
3/15/2017 12:58:25

| Type      | Description                 | Date     | Shares                      | Price     | Fed Cost  | State Cost  | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------|----------|-----------------------------|-----------|-----------|-------------|-----------|------------|--------|
| 09062X103 | BIOGEN IDEC INC             |          |                             |           |           | 10265400911 |           |            |        |
| Sold      |                             | 12/19/16 | 228                         | 65,023.50 |           |             |           |            |        |
| Acq       |                             | 02/04/14 | 161                         | 45,915.72 | 49,522.04 | 49,522.04   | -3,606.32 | -3,606.32  | L      |
|           |                             |          | <b>Total for 12/19/2016</b> |           | 68,730.78 | 68,730.78   | -3,707.28 | -3,707.28  |        |
| Note      |                             |          |                             |           |           |             |           |            |        |
| 10112RAT1 | BOSTON PROPERTIES LP        |          |                             |           |           | 10265400911 |           |            |        |
| Sold      |                             | 08/17/16 | 25000                       | 26,112.75 |           |             |           |            |        |
| Acq       |                             | 09/19/13 | 25000                       | 26,112.75 | 26,320.25 | 26,320.25   | -207.50   | -207.50    | L      |
|           |                             |          | <b>Total for 8/17/2016</b>  |           | 26,320.25 | 26,320.25   | -207.50   | -207.50    |        |
| Note      |                             |          |                             |           |           |             |           |            |        |
| 110122108 | BRISTOL MYERS SQUIBB CO COM |          |                             |           |           | 10265400911 |           |            |        |
| Sold      |                             | 12/06/16 | 100                         | 5,577.09  |           |             |           |            |        |
| Acq       |                             | 01/20/16 | 100                         | 5,577.09  | 6,263.87  | 6,263.87    | -686.78   | -686.78    | S      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 6,263.87  | 6,263.87    | -686.78   | -686.78    |        |
| Note      |                             |          |                             |           |           |             |           |            |        |
| 110122108 | BRISTOL MYERS SQUIBB CO COM |          |                             |           |           | 10265400911 |           |            |        |
| Sold      |                             | 12/15/16 | 100                         | 5,844.45  |           |             |           |            |        |
| Acq       |                             | 01/20/16 | 100                         | 5,844.45  | 6,263.87  | 6,263.87    | -419.42   | -419.42    | S      |
|           |                             |          | <b>Total for 12/15/2016</b> |           | 6,263.87  | 6,263.87    | -419.42   | -419.42    |        |
| Note      |                             |          |                             |           |           |             |           |            |        |
| 12189TAY0 | BURLINGTON NORTHN SANTA FE  |          |                             |           |           | 10265400911 |           |            |        |
| Sold      |                             | 06/03/16 | 40000                       | 41,682.40 |           |             |           |            |        |
| Acq       |                             | 11/10/14 | 40000                       | 41,682.40 | 43,458.36 | 43,458.36   | -1,775.96 | -1,775.96  | L      |
|           |                             |          | <b>Total for 6/3/2016</b>   |           | 43,458.36 | 43,458.36   | -1,775.96 | -1,775.96  |        |
| Note      |                             |          |                             |           |           |             |           |            |        |
| 126650100 | CVS CORP                    |          |                             |           |           | 10265400911 |           |            |        |
| Sold      |                             | 05/26/16 | 660                         | 64,020.91 |           |             |           |            |        |
| Acq       |                             | 08/25/15 | 190                         | 18,430.26 | 19,640.05 | 19,640.05   | -1,209.79 | -1,209.79  | S      |
| Acq       |                             | 09/22/15 | 470                         | 45,590.65 | 46,944.35 | 46,944.35   | -1,353.70 | -1,353.70  | S      |
|           |                             |          | <b>Total for 5/26/2016</b>  |           | 66,584.40 | 66,584.40   | -2,563.49 | -2,563.49  |        |
| Note      |                             |          |                             |           |           |             |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                       | Date     | Shares                      | Price      | Fed Cost  | State Cost | Fed Gain  | State Gain | (Type)      |
|-----------|-----------------------------------|----------|-----------------------------|------------|-----------|------------|-----------|------------|-------------|
| 126650100 | CVS CORP                          |          |                             |            |           |            |           |            |             |
|           |                                   |          |                             |            |           |            |           |            | 10265400911 |
| Sold      |                                   | 08/11/16 | 581                         | 56,384.47  |           |            |           |            |             |
| Acq       |                                   | 11/12/15 | 470                         | 45,612.22  | 43,944.06 | 43,944.06  | 1,668.16  | 1,668.16   | S           |
| Acq       |                                   | 02/10/16 | 60                          | 5,822.84   | 5,649.48  | 5,649.48   | 173.36    | 173.36     | S           |
| Acq       |                                   | 09/22/15 | 51                          | 4,949.41   | 5,093.96  | 5,093.96   | -144.55   | -144.55    | S           |
|           |                                   |          | <b>Total for 8/11/2016</b>  |            | 54,687.50 | 54,687.50  | 1,696.97  | 1,696.97   |             |
| Note      |                                   |          |                             |            |           |            |           |            |             |
| 126650CE8 | C V S CAREMARK CORP 2 25% 8/12/19 |          |                             |            |           |            |           |            |             |
|           |                                   |          |                             |            |           |            |           |            | 10265400911 |
| Sold      |                                   | 04/26/16 | 30000                       | 30,631.80  |           |            |           |            |             |
| Acq       |                                   | 08/07/14 | 15000                       | 15,315.90  | 14,991.60 | 14,991.60  | 324.30    | 324.30     | L           |
| Acq       |                                   | 08/07/14 | 15000                       | 15,315.90  | 15,023.51 | 15,023.51  | 292.39    | 292.39     | L           |
|           |                                   |          | <b>Total for 4/26/2016</b>  |            | 30,015.11 | 30,015.11  | 616.69    | 616.69     |             |
| Note      |                                   |          |                             |            |           |            |           |            |             |
| 127097103 | CABOT OIL & GAS CORP              |          |                             |            |           |            |           |            |             |
|           |                                   |          |                             |            |           |            |           |            | 10265400911 |
| Sold      |                                   | 12/15/16 | 200                         | 4,546.30   |           |            |           |            |             |
| Acq       |                                   | 12/09/16 | 200                         | 4,546.30   | 4,906.68  | 4,906.68   | -360.38   | -360.38    | S           |
|           |                                   |          | <b>Total for 12/15/2016</b> |            | 4,906.68  | 4,906.68   | -360.38   | -360.38    |             |
| Note      |                                   |          |                             |            |           |            |           |            |             |
| 13063A5D2 | CALIFORNIA ST                     |          |                             |            |           |            |           |            |             |
|           |                                   |          |                             |            |           |            |           |            | 10265400911 |
| Sold      |                                   | 03/01/16 | 35000                       | 35,140.00  |           |            |           |            |             |
| Acq       |                                   | 04/22/09 | 35000                       | 35,140.00  | 35,177.10 | 35,177.10  | -37.10    | -37.10     | L           |
|           |                                   |          | <b>Total for 3/1/2016</b>   |            | 35,177.10 | 35,177.10  | -37.10    | -37.10     |             |
| Note      |                                   |          |                             |            |           |            |           |            |             |
| 14040H105 | CAPITAL ONE FINL CORP COM         |          |                             |            |           |            |           |            |             |
|           |                                   |          |                             |            |           |            |           |            | 10265400911 |
| Sold      |                                   | 10/04/16 | 430                         | 31,392.63  |           |            |           |            |             |
| Acq       |                                   | 11/12/15 | 430                         | 31,392.63  | 33,464.75 | 33,464.75  | -2,072.12 | -2,072.12  | S           |
|           |                                   |          | <b>Total for 10/4/2016</b>  |            | 33,464.75 | 33,464.75  | -2,072.12 | -2,072.12  |             |
| Note      |                                   |          |                             |            |           |            |           |            |             |
| 14040H105 | CAPITAL ONE FINL CORP COM         |          |                             |            |           |            |           |            |             |
|           |                                   |          |                             |            |           |            |           |            | 10265400911 |
| Sold      |                                   | 10/05/16 | 1560                        | 114,978.07 |           |            |           |            |             |
| Acq       |                                   | 04/15/13 | 1350                        | 99,500.25  | 72,621.90 | 72,621.90  | 26,878.35 | 26,878.35  | L           |
| Acq       |                                   | 11/12/15 | 110                         | 8,107.43   | 8,560.75  | 8,560.75   | -453.32   | -453.32    | S           |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:25

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description               | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|---------------------------|----------|-----------------------------|------------|-------------|------------|-----------|------------|--------|
| 14040H105 | CAPITAL ONE FINL CORP COM |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                           | 10/05/16 | 1560                        | 114,978.07 |             |            |           |            |        |
| Acq       |                           | 02/10/16 | 100                         | 7,370.39   | 6,309.80    | 6,309.80   | 1,060.59  | 1,060.59   | S      |
|           |                           |          | <b>Total for 10/5/2016</b>  |            | 87,492.45   | 87,492.45  | 27,485.62 | 27,485.62  |        |
| Note      |                           |          |                             |            |             |            |           |            |        |
| 14149Y108 | CARDINAL HEALTH INC COM   |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                           | 09/14/16 | 1160                        | 87,393.53  |             |            |           |            |        |
| Acq       |                           | 02/10/16 | 50                          | 3,766.96   | 3,931.40    | 3,931.40   | -164.44   | -164.44    | S      |
| Acq       |                           | 09/24/09 | 1110                        | 83,626.57  | 29,962.56   | 29,962.56  | 53,664.01 | 53,664.01  | L      |
|           |                           |          | <b>Total for 9/14/2016</b>  |            | 33,893.96   | 33,893.96  | 53,499.57 | 53,499.57  |        |
| Note      |                           |          |                             |            |             |            |           |            |        |
| 150870103 | CELANESE CORP DEL         |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                           | 12/06/16 | 110                         | 8,831.57   |             |            |           |            |        |
| Acq       |                           | 02/10/16 | 10                          | 802.87     | 573.58      | 573.58     | 229.29    | 229.29     | S      |
| Acq       |                           | 11/12/15 | 100                         | 8,028.70   | 6,827.80    | 6,827.80   | 1,200.90  | 1,200.90   | L      |
|           |                           |          | <b>Total for 12/6/2016</b>  |            | 7,401.38    | 7,401.38   | 1,430.19  | 1,430.19   |        |
| Note      |                           |          |                             |            |             |            |           |            |        |
| 150870103 | CELANESE CORP DEL         |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                           | 12/15/16 | 110                         | 9,175.81   |             |            |           |            |        |
| Acq       |                           | 02/10/16 | 80                          | 6,673.32   | 4,588.64    | 4,588.64   | 2,084.68  | 2,084.68   | S      |
| Acq       |                           | 04/14/10 | 30                          | 2,502.49   | 1,024.73    | 1,024.73   | 1,477.76  | 1,477.76   | L      |
|           |                           |          | <b>Total for 12/15/2016</b> |            | 5,613.37    | 5,613.37   | 3,562.44  | 3,562.44   |        |
| Note      |                           |          |                             |            |             |            |           |            |        |
| 151020104 | CELGENE CORP              |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                           | 12/06/16 | 21                          | 2,450.06   |             |            |           |            |        |
| Acq       |                           | 08/11/16 | 21                          | 2,450.06   | 2,389.55    | 2,389.55   | 60.51     | 60.51      | S      |
|           |                           |          | <b>Total for 12/6/2016</b>  |            | 2,389.55    | 2,389.55   | 60.51     | 60.51      |        |
| Note      |                           |          |                             |            |             |            |           |            |        |
| 151020104 | CELGENE CORP              |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                           | 12/15/16 | 42                          | 4,920.26   |             |            |           |            |        |
| Acq       |                           | 08/11/16 | 42                          | 4,920.26   | 4,779.10    | 4,779.10   | 141.16    | 141.16     | S      |
|           |                           |          | <b>Total for 12/15/2016</b> |            | 4,779.10    | 4,779.10   | 141.16    | 141.16     |        |
| Note      |                           |          |                             |            |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description            | Date     | Shares              | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|------------------------|----------|---------------------|-----------|-------------|------------|----------|------------|--------|
| 163072101 | CHEESECAKE FACTORY INC |          |                     |           | 10265400911 |            |          |            |        |
| Sold      |                        | 04/18/16 | 318                 | 16,284.80 |             |            |          |            |        |
| Acq       |                        | 02/19/15 | 318                 | 16,284.80 | 15,759.41   | 15,759.41  | 525.39   | 525.39     | L      |
|           |                        |          | Total for 4/18/2016 |           | 15,759.41   | 15,759.41  | 525.39   | 525.39     |        |
| Note      |                        |          |                     |           |             |            |          |            |        |
| 163072101 | CHEESECAKE FACTORY INC |          |                     |           | 10265400911 |            |          |            |        |
| Sold      |                        | 04/19/16 | 734                 | 37,013.27 |             |            |          |            |        |
| Acq       |                        | 12/15/14 | 10                  | 504.27    | 486.52      | 486.52     | 17.75    | 17.75      | L      |
| Acq       |                        | 11/12/15 | 272                 | 13,716.09 | 12,770.15   | 12,770.15  | 945.94   | 945.94     | S      |
| Acq       |                        | 12/16/14 | 130                 | 6,555.48  | 6,292.79    | 6,292.79   | 262.69   | 262.69     | L      |
| Acq       |                        | 12/17/14 | 20                  | 1,008.54  | 975.07      | 975.07     | 33.47    | 33.47      | L      |
| Acq       |                        | 02/10/16 | 80                  | 4,034.14  | 3,858.24    | 3,858.24   | 175.90   | 175.90     | S      |
| Acq       |                        | 02/19/15 | 222                 | 11,194.75 | 11,001.86   | 11,001.86  | 192.89   | 192.89     | L      |
|           |                        |          | Total for 4/19/2016 |           | 35,384.63   | 35,384.63  | 1,628.64 | 1,628.64   |        |
| Note      |                        |          |                     |           |             |            |          |            |        |
| 163072101 | CHEESECAKE FACTORY INC |          |                     |           | 10265400911 |            |          |            |        |
| Sold      |                        | 04/20/16 | 538                 | 27,149.04 |             |            |          |            |        |
| Acq       |                        | 11/12/15 | 538                 | 27,149.04 | 25,258.62   | 25,258.62  | 1,890.42 | 1,890.42   | S      |
|           |                        |          | Total for 4/20/2016 |           | 25,258.62   | 25,258.62  | 1,890.42 | 1,890.42   |        |
| Note      |                        |          |                     |           |             |            |          |            |        |
| 166764100 | CHEVRONTEXACO CORP     |          |                     |           | 10265400911 |            |          |            |        |
| Sold      |                        | 05/03/16 | 257                 | 26,042.91 |             |            |          |            |        |
| Acq       |                        | 11/09/12 | 156                 | 15,808.15 | 16,562.58   | 16,562.58  | -754.43  | -754.43    | L      |
| Acq       |                        | 04/30/14 | 18                  | 1,824.02  | 2,261.91    | 2,261.91   | -437.89  | -437.89    | L      |
| Acq       |                        | 03/03/16 | 83                  | 8,410.75  | 7,203.07    | 7,203.07   | 1,207.68 | 1,207.68   | S      |
|           |                        |          | Total for 5/3/2016  |           | 26,027.56   | 26,027.56  | 15.35    | 15.35      |        |
| Note      |                        |          |                     |           |             |            |          |            |        |
| 166764100 | CHEVRONTEXACO CORP     |          |                     |           | 10265400911 |            |          |            |        |
| Sold      |                        | 07/27/16 | 314                 | 32,087.24 |             |            |          |            |        |
| Acq       |                        | 03/03/16 | 314                 | 32,087.24 | 27,250.18   | 27,250.18  | 4,837.06 | 4,837.06   | S      |
|           |                        |          | Total for 7/27/2016 |           | 27,250.18   | 27,250.18  | 4,837.06 | 4,837.06   |        |
| Note      |                        |          |                     |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 166764100 | CHEVRONTEXACO CORP             |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/06/16 | 34                          | 3,824.30  |             |            |           |            |        |
| Acq       |                                | 03/03/16 | 34                          | 3,824.30  | 2,950.66    | 2,950.66   | 873.64    | 873.64     | S      |
|           |                                |          | <b>Total for 12/6/2016</b>  |           | 2,950.66    | 2,950.66   | 873.64    | 873.64     |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 166764100 | CHEVRONTEXACO CORP             |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 66                          | 7,713.71  |             |            |           |            |        |
| Acq       |                                | 03/03/16 | 66                          | 7,713.71  | 5,727.74    | 5,727.74   | 1,985.97  | 1,985.97   | S      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 5,727.74    | 5,727.74   | 1,985.97  | 1,985.97   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 174610105 | CITIZENS FINANCIAL GROUP INC   |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/06/16 | 180                         | 6,198.74  |             |            |           |            |        |
| Acq       |                                | 01/19/16 | 180                         | 6,198.74  | 4,010.81    | 4,010.81   | 2,187.93  | 2,187.93   | S      |
|           |                                |          | <b>Total for 12/6/2016</b>  |           | 4,010.81    | 4,010.81   | 2,187.93  | 2,187.93   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 174610105 | CITIZENS FINANCIAL GROUP INC   |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 340                         | 11,974.60 |             |            |           |            |        |
| Acq       |                                | 01/19/16 | 340                         | 11,974.60 | 7,575.98    | 7,575.98   | 4,398.62  | 4,398.62   | S      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 7,575.98    | 7,575.98   | 4,398.62  | 4,398.62   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 192446102 | COGNIZANT TECHNOLOGY SOLUTIONS |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 06/27/16 | 1050                        | 58,323.19 |             |            |           |            |        |
| Acq       |                                | 12/09/15 | 250                         | 13,886.47 | 15,063.27   | 15,063.27  | -1,176.80 | -1,176.80  | S      |
| Acq       |                                | 12/01/15 | 800                         | 44,436.72 | 52,083.36   | 52,083.36  | -7,646.64 | -7,646.64  | S      |
|           |                                |          | <b>Total for 6/27/2016</b>  |           | 67,146.63   | 67,146.63  | -8,823.44 | -8,823.44  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 192446102 | COGNIZANT TECHNOLOGY SOLUTIONS |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 06/30/16 | 890                         | 50,790.48 |             |            |           |            |        |
| Acq       |                                | 12/09/15 | 290                         | 16,549.71 | 17,473.40   | 17,473.40  | -923.69   | -923.69    | S      |
| Acq       |                                | 12/14/15 | 480                         | 27,392.62 | 28,524.05   | 28,524.05  | -1,131.43 | -1,131.43  | S      |
| Acq       |                                | 02/10/16 | 120                         | 6,848.15  | 6,488.16    | 6,488.16   | 359.99    | 359.99     | S      |
|           |                                |          | <b>Total for 6/30/2016</b>  |           | 52,485.61   | 52,485.61  | -1,695.13 | -1,695.13  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 192446102 | COGNIZANT TECHNOLOGY SOLUTIONS |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 11/28/16 | 670                         | 38,155.94 |             |            |           |            |        |
| Acq       |                                | 09/30/16 | 670                         | 38,155.94 | 32,248.24   | 32,248.24  | 5,907.70  | 5,907.70   | S      |
|           |                                |          | <b>Total for 11/28/2016</b> |           | 32,248.24   | 32,248.24  | 5,907.70  | 5,907.70   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 194162103 | COLGATE PALMOLIVE CO COM       |          |                             |           | 10260740386 |            |           |            |        |
| Sold      |                                | 02/04/16 | 760                         | 49,963.32 |             |            |           |            |        |
| Acq       |                                | 01/03/13 | 760                         | 49,963.32 | 40,507.77   | 40,507.77  | 9,455.55  | 9,455.55   | L      |
|           |                                |          | <b>Total for 2/4/2016</b>   |           | 40,507.77   | 40,507.77  | 9,455.55  | 9,455.55   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA           |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 01/07/16 | 520                         | 28,688.39 |             |            |           |            |        |
| Acq       |                                | 01/13/14 | 130                         | 7,172.10  | 6,895.06    | 6,895.06   | 277.04    | 277.04     | L      |
| Acq       |                                | 12/16/13 | 70                          | 3,861.90  | 3,466.50    | 3,466.50   | 395.40    | 395.40     | L      |
| Acq       |                                | 11/12/15 | 150                         | 8,275.50  | 9,248.70    | 9,248.70   | -973.20   | -973.20    | S      |
| Acq       |                                | 07/27/15 | 140                         | 7,723.80  | 8,624.07    | 8,624.07   | -900.27   | -900.27    | S      |
| Acq       |                                | 07/08/15 | 30                          | 1,655.10  | 1,876.80    | 1,876.80   | -221.70   | -221.70    | S      |
|           |                                |          | <b>Total for 1/7/2016</b>   |           | 30,111.13   | 30,111.13  | -1,422.74 | -1,422.74  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA           |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 01/13/16 | 410                         | 22,063.04 |             |            |           |            |        |
| Acq       |                                | 10/14/13 | 210                         | 11,300.58 | 9,737.09    | 9,737.09   | 1,563.49  | 1,563.49   | L      |
| Acq       |                                | 12/16/13 | 200                         | 10,762.46 | 9,904.28    | 9,904.28   | 858.18    | 858.18     | L      |
|           |                                |          | <b>Total for 1/13/2016</b>  |           | 19,641.37   | 19,641.37  | 2,421.67  | 2,421.67   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA           |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 01/21/16 | 250                         | 13,583.03 |             |            |           |            |        |
| Acq       |                                | 05/01/12 | 20                          | 1,086.64  | 614.31      | 614.31     | 472.33    | 472.33     | L      |
| Acq       |                                | 10/14/13 | 230                         | 12,496.39 | 10,664.43   | 10,664.43  | 1,831.96  | 1,831.96   | L      |
|           |                                |          | <b>Total for 1/21/2016</b>  |           | 11,278.74   | 11,278.74  | 2,304.29  | 2,304.29   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA           |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 08/19/16 | 470                         | 31,260.90 |             |            |           |            |        |
| Acq       |                                | 02/10/16 | 90                          | 5,986.13  | 5,082.86    | 5,082.86   | 903.27    | 903.27     | S      |



BNY / Mellon  
Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 18 of 74  
3/15/2017 12:58:26

| Type      | Description              | Date     | Shares               | Price     | Fed Cost  | State Cost  | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------|----------|----------------------|-----------|-----------|-------------|-----------|------------|--------|
| 20030N101 | COMCAST CORP NEW CLA     |          |                      |           |           | 10265400911 |           |            |        |
| Sold      |                          | 08/19/16 | 470                  | 31,260.90 |           |             |           |            |        |
| Acq       |                          | 07/19/16 | 380                  | 25,274.77 | 25,504.73 | 25,504.73   | -229.96   | -229.96    | S      |
|           |                          |          | Total for 8/19/2016  |           | 30,587.59 | 30,587.59   | 673.31    | 673.31     |        |
| Note      |                          |          |                      |           |           |             |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA     |          |                      |           |           | 10265400911 |           |            |        |
| Sold      |                          | 09/02/16 | 390                  | 25,750.87 |           |             |           |            |        |
| Acq       |                          | 05/01/12 | 320                  | 21,128.92 | 9,829.03  | 9,829.03    | 11,299.89 | 11,299.89  | L      |
| Acq       |                          | 02/10/16 | 70                   | 4,621.95  | 3,953.33  | 3,953.33    | 668.62    | 668.62     | S      |
|           |                          |          | Total for 9/2/2016   |           | 13,782.36 | 13,782.36   | 11,968.51 | 11,968.51  |        |
| Note      |                          |          |                      |           |           |             |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA     |          |                      |           |           | 10265400911 |           |            |        |
| Sold      |                          | 11/15/16 | 450                  | 30,140.21 |           |             |           |            |        |
| Acq       |                          | 05/01/12 | 140                  | 9,376.95  | 4,300.20  | 4,300.20    | 5,076.75  | 5,076.75   | L      |
| Acq       |                          | 04/16/12 | 310                  | 20,763.26 | 9,201.85  | 9,201.85    | 11,561.41 | 11,561.41  | L      |
|           |                          |          | Total for 11/15/2016 |           | 13,502.05 | 13,502.05   | 16,638.16 | 16,638.16  |        |
| Note      |                          |          |                      |           |           |             |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA     |          |                      |           |           | 10265400911 |           |            |        |
| Sold      |                          | 12/06/16 | 40                   | 2,753.94  |           |             |           |            |        |
| Acq       |                          | 04/16/12 | 40                   | 2,753.94  | 1,187.34  | 1,187.34    | 1,566.60  | 1,566.60   | L      |
|           |                          |          | Total for 12/6/2016  |           | 1,187.34  | 1,187.34    | 1,566.60  | 1,566.60   |        |
| Note      |                          |          |                      |           |           |             |           |            |        |
| 20030N101 | COMCAST CORP NEW CLA     |          |                      |           |           | 10265400911 |           |            |        |
| Sold      |                          | 12/15/16 | 80                   | 5,620.83  |           |             |           |            |        |
| Acq       |                          | 04/16/12 | 80                   | 5,620.83  | 2,374.67  | 2,374.67    | 3,246.16  | 3,246.16   | L      |
|           |                          |          | Total for 12/15/2016 |           | 2,374.67  | 2,374.67    | 3,246.16  | 3,246.16   |        |
| Note      |                          |          |                      |           |           |             |           |            |        |
| 21036P108 | CONSTELLATION BRANDS INC |          |                      |           |           | 10265400911 |           |            |        |
| Sold      |                          | 12/06/16 | 46                   | 6,818.65  |           |             |           |            |        |
| Acq       |                          | 02/10/16 | 36                   | 5,336.33  | 5,030.93  | 5,030.93    | 305.40    | 305.40     | S      |
| Acq       |                          | 11/12/15 | 10                   | 1,482.32  | 1,337.34  | 1,337.34    | 144.98    | 144.98     | L      |
|           |                          |          | Total for 12/6/2016  |           | 6,368.27  | 6,368.27    | 450.38    | 450.38     |        |
| Note      |                          |          |                      |           |           |             |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                      | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|----------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 21036P108 | CONSTELLATION BRANDS INC         |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                  | 12/15/16 | 47                          | 7,341.57  |             |            |           |            |        |
| Acq       |                                  | 11/12/15 | 47                          | 7,341.57  | 6,285.51    | 6,285.51   | 1,056.06  | 1,056.06   | L      |
|           |                                  |          | <b>Total for 12/15/2016</b> |           | 6,285.51    | 6,285.51   | 1,056.06  | 1,056.06   |        |
| Note      |                                  |          |                             |           |             |            |           |            |        |
| 22160K105 | COSTCO WHSL CORP NEW             |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                  | 12/15/16 | 45                          | 7,197.68  |             |            |           |            |        |
| Acq       |                                  | 12/04/15 | 45                          | 7,197.68  | 7,508.18    | 7,508.18   | -310.50   | -310.50    | L      |
|           |                                  |          | <b>Total for 12/15/2016</b> |           | 7,508.18    | 7,508.18   | -310.50   | -310.50    |        |
| Note      |                                  |          |                             |           |             |            |           |            |        |
| 25179M103 | DEVON ENERGY CORPORATION NEW     |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                  | 09/27/16 | 2230                        | 85,158.72 |             |            |           |            |        |
| Acq       |                                  | 03/17/16 | 2230                        | 85,158.72 | 60,920.03   | 60,920.03  | 24,238.69 | 24,238.69  | S      |
|           |                                  |          | <b>Total for 9/27/2016</b>  |           | 60,920.03   | 60,920.03  | 24,238.69 | 24,238.69  |        |
| Note      |                                  |          |                             |           |             |            |           |            |        |
| 25243YAR0 | DIAGEO CAPITAL PLC               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                  | 08/25/16 | 15000                       | 15,052.05 |             |            |           |            |        |
| Acq       |                                  | 03/26/13 | 5000                        | 5,017.35  | 5,069.65    | 5,069.65   | -52.30    | -52.30     | L      |
| Acq       |                                  | 05/08/12 | 10000                       | 10,034.70 | 9,956.00    | 9,956.00   | 78.70     | 78.70      | L      |
|           |                                  |          | <b>Total for 8/25/2016</b>  |           | 15,025.65   | 15,025.65  | 26.40     | 26.40      |        |
| Note      |                                  |          |                             |           |             |            |           |            |        |
| 25243YAR0 | DIAGEO CAPITAL PLC               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                  | 10/12/16 | 15000                       | 15,038.40 |             |            |           |            |        |
| Acq       |                                  | 05/08/12 | 15000                       | 15,038.40 | 14,934.00   | 14,934.00  | 104.40    | 104.40     | L      |
|           |                                  |          | <b>Total for 10/12/2016</b> |           | 14,934.00   | 14,934.00  | 104.40    | 104.40     |        |
| Note      |                                  |          |                             |           |             |            |           |            |        |
| 254687106 | DISNEY (WALT) COMPANY HOLDING CO |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                  | 12/06/16 | 50                          | 5,002.67  |             |            |           |            |        |
| Acq       |                                  | 12/06/16 | 50                          | 5,002.67  | 5,018.89    | 5,018.89   | -16.22    | -16.22     | S      |
|           |                                  |          | <b>Total for 12/6/2016</b>  |           | 5,018.89    | 5,018.89   | -16.22    | -16.22     |        |
| Note      |                                  |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type        | Description                      | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-------------|----------------------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| 254687106   | DISNEY (WALT) COMPANY HOLDING CO |          |                             |           |             |            |          |            |        |
|             |                                  |          |                             |           | 10265400911 |            |          |            |        |
| <b>Sold</b> |                                  | 12/15/16 | 83                          | 8,705.84  |             |            |          |            |        |
| <b>Acq</b>  |                                  | 12/06/16 | 83                          | 8,705.84  | 8,331.35    | 8,331.35   | 374.49   | 374.49     | S      |
|             |                                  |          | <b>Total for 12/15/2016</b> |           | 8,331.35    | 8,331.35   | 374.49   | 374.49     |        |
| <b>Note</b> |                                  |          |                             |           |             |            |          |            |        |
| 25746U109   | DOMINION RES INC VA NEW          |          |                             |           |             |            |          |            |        |
|             |                                  |          |                             |           | 10265400911 |            |          |            |        |
| <b>Sold</b> |                                  | 08/23/16 | 400                         | 30,362.74 |             |            |          |            |        |
| <b>Acq</b>  |                                  | 02/10/16 | 120                         | 9,108.82  | 8,453.76    | 8,453.76   | 655.06   | 655.06     | S      |
| <b>Acq</b>  |                                  | 01/06/16 | 280                         | 21,253.92 | 19,193.83   | 19,193.83  | 2,060.09 | 2,060.09   | S      |
|             |                                  |          | <b>Total for 8/23/2016</b>  |           | 27,647.59   | 27,647.59  | 2,715.15 | 2,715.15   |        |
| <b>Note</b> |                                  |          |                             |           |             |            |          |            |        |
| 25746U109   | DOMINION RES INC VA NEW          |          |                             |           |             |            |          |            |        |
|             |                                  |          |                             |           | 10265400911 |            |          |            |        |
| <b>Sold</b> |                                  | 12/06/16 | 40                          | 2,934.02  |             |            |          |            |        |
| <b>Acq</b>  |                                  | 01/06/16 | 40                          | 2,934.02  | 2,741.98    | 2,741.98   | 192.04   | 192.04     | S      |
|             |                                  |          | <b>Total for 12/6/2016</b>  |           | 2,741.98    | 2,741.98   | 192.04   | 192.04     |        |
| <b>Note</b> |                                  |          |                             |           |             |            |          |            |        |
| 25746U109   | DOMINION RES INC VA NEW          |          |                             |           |             |            |          |            |        |
|             |                                  |          |                             |           | 10265400911 |            |          |            |        |
| <b>Sold</b> |                                  | 12/15/16 | 80                          | 5,979.23  |             |            |          |            |        |
| <b>Acq</b>  |                                  | 01/06/16 | 80                          | 5,979.23  | 5,483.95    | 5,483.95   | 495.28   | 495.28     | S      |
|             |                                  |          | <b>Total for 12/15/2016</b> |           | 5,483.95    | 5,483.95   | 495.28   | 495.28     |        |
| <b>Note</b> |                                  |          |                             |           |             |            |          |            |        |
| 260543103   | DOW CHEMICAL COMPANY COMMON      |          |                             |           |             |            |          |            |        |
|             |                                  |          |                             |           | 10265400911 |            |          |            |        |
| <b>Sold</b> |                                  | 12/06/16 | 140                         | 7,828.38  |             |            |          |            |        |
| <b>Acq</b>  |                                  | 11/12/15 | 140                         | 7,828.38  | 7,090.44    | 7,090.44   | 737.94   | 737.94     | L      |
|             |                                  |          | <b>Total for 12/6/2016</b>  |           | 7,090.44    | 7,090.44   | 737.94   | 737.94     |        |
| <b>Note</b> |                                  |          |                             |           |             |            |          |            |        |
| 260543103   | DOW CHEMICAL COMPANY COMMON      |          |                             |           |             |            |          |            |        |
|             |                                  |          |                             |           | 10265400911 |            |          |            |        |
| <b>Sold</b> |                                  | 12/15/16 | 140                         | 8,147.76  |             |            |          |            |        |
| <b>Acq</b>  |                                  | 11/12/15 | 140                         | 8,147.76  | 7,090.44    | 7,090.44   | 1,057.32 | 1,057.32   | L      |
|             |                                  |          | <b>Total for 12/15/2016</b> |           | 7,090.44    | 7,090.44   | 1,057.32 | 1,057.32   |        |
| <b>Note</b> |                                  |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                          | Date     | Shares                     | Price        | Fed Cost     | State Cost   | Fed Gain   | State Gain | (Type) |
|-----------|--------------------------------------|----------|----------------------------|--------------|--------------|--------------|------------|------------|--------|
| 261967772 | DREYFUS INTMED TERM INC-Y            |          |                            |              | 10265400911  |              |            |            |        |
| Sold      |                                      | 06/21/16 | 183553.598                 | 2,500,000 00 |              |              |            |            |        |
| Acq       |                                      | 12/17/13 | 742 208                    | 10,108 87    | 10,123 55    | 10,123 55    | -14 68     | -14.68     | L      |
| Acq       |                                      | 07/15/14 | 477 861                    | 6,508 47     | 6,670 94     | 6,670 94     | -162 47    | -162 47    | L      |
| Acq       |                                      | 11/03/14 | 35790 981                  | 487,473 16   | 500,000.00   | 500,000.00   | -12,526 84 | -12,526.84 | L      |
| Acq       |                                      | 12/16/14 | 2788 98                    | 37,985 91    | 38,738 93    | 38,738 93    | -753 02    | -753 02    | L      |
| Acq       |                                      | 08/01/11 | 72877 274                  | 992,588 47   | 976,426 34   | 976,426.34   | 16,162.13  | 16,162 13  | L      |
| Acq       |                                      | 04/03/12 | 70876.294                  | 965,335.12   | 964,322.23   | 964,322 23   | 1,012.89   | 1,012 89   | L      |
|           |                                      |          | <b>Total for 6/21/2016</b> |              | 2,496,281 99 | 2,496,281 99 | 3,718 01   | 3,718 01   |        |
| Note      |                                      |          |                            |              |              |              |            |            |        |
| 26442CAL8 | DUKE ENERGY CAROLINAS 1.75% 12/15/16 |          |                            |              | 10265400911  |              |            |            |        |
| Sold      |                                      | 08/25/16 | 30000                      | 30,076 80    |              |              |            |            |        |
| Acq       |                                      | 12/05/11 | 30000                      | 30,076 80    | 29,951 10    | 29,951 10    | 125.70     | 125 70     | L      |
|           |                                      |          | <b>Total for 8/25/2016</b> |              | 29,951 10    | 29,951 10    | 125.70     | 125 70     |        |
| Note      |                                      |          |                            |              |              |              |            |            |        |
| 26817R108 | DYNEGY INC/NEW                       |          |                            |              | 10265400911  |              |            |            |        |
| Sold      |                                      | 12/06/16 | 260                        | 2,145.50     |              |              |            |            |        |
| Acq       |                                      | 06/01/16 | 260                        | 2,145 50     | 5,104.37     | 5,104 37     | -2,958.87  | -2,958.87  | S      |
|           |                                      |          | <b>Total for 12/6/2016</b> |              | 5,104 37     | 5,104.37     | -2,958 87  | -2,958.87  |        |
| Note      |                                      |          |                            |              |              |              |            |            |        |
| 26817R108 | DYNEGY INC/NEW                       |          |                            |              | 10265400911  |              |            |            |        |
| Sold      |                                      | 12/07/16 | 1350                       | 10,924 91    |              |              |            |            |        |
| Acq       |                                      | 05/31/16 | 780                        | 6,312 17     | 14,655.11    | 14,655.11    | -8,342 94  | -8,342.94  | S      |
| Acq       |                                      | 07/27/16 | 240                        | 1,942 21     | 3,873 43     | 3,873 43     | -1,931 22  | -1,931 22  | S      |
| Acq       |                                      | 06/01/16 | 330                        | 2,670 53     | 6,478 63     | 6,478.63     | -3,808 10  | -3,808.10  | S      |
|           |                                      |          | <b>Total for 12/7/2016</b> |              | 25,007.17    | 25,007.17    | -14,082 26 | -14,082.26 |        |
| Note      |                                      |          |                            |              |              |              |            |            |        |
| 26817R108 | DYNEGY INC/NEW                       |          |                            |              | 10265400911  |              |            |            |        |
| Sold      |                                      | 12/08/16 | 2360                       | 18,954.63    |              |              |            |            |        |
| Acq       |                                      | 03/18/16 | 730                        | 5,863 08     | 10,005 38    | 10,005 38    | -4,142.30  | -4,142 30  | S      |
| Acq       |                                      | 07/27/16 | 1630                       | 13,091 55    | 26,307 06    | 26,307 06    | -13,215 51 | -13,215 51 | S      |
|           |                                      |          | <b>Total for 12/8/2016</b> |              | 36,312.44    | 36,312 44    | -17,357 81 | -17,357 81 |        |
| Note      |                                      |          |                            |              |              |              |            |            |        |
| 26817R108 | DYNEGY INC/NEW                       |          |                            |              | 10265400911  |              |            |            |        |
| Sold      |                                      | 12/08/16 | 450                        | 3,676 78     |              |              |            |            |        |
| Acq       |                                      | 03/17/16 | 150                        | 1,225 59     | 2,040 54     | 2,040 54     | -814.95    | -814 95    | S      |

BNY / Mellon  
Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 22 of 74  
3/15/2017 12:58:26

| Type      | Description     | Date     | Shares               | Price     | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|-----------------|----------|----------------------|-----------|-------------|------------|------------|------------|--------|
| 26817R108 | DYNEGY INC/NEW  |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                 | 12/08/16 | 450                  | 3,676.78  |             |            |            |            |        |
| Acq       |                 | 03/18/16 | 300                  | 2,451.19  | 4,111.80    | 4,111.80   | -1,660.61  | -1,660.61  | S      |
|           |                 |          | Total for 12/8/2016  |           | 6,152.34    | 6,152.34   | -2,475.56  | -2,475.56  |        |
| Note      |                 |          |                      |           |             |            |            |            |        |
| 26817R108 | DYNEGY INC/NEW  |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                 | 12/09/16 | 2590                 | 22,157.48 |             |            |            |            |        |
| Acq       |                 | 03/17/16 | 2590                 | 22,157.48 | 35,233.32   | 35,233.32  | -13,075.84 | -13,075.84 | S      |
|           |                 |          | Total for 12/9/2016  |           | 35,233.32   | 35,233.32  | -13,075.84 | -13,075.84 |        |
| Note      |                 |          |                      |           |             |            |            |            |        |
| 285512109 | ELECTRONIC ARTS |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                 | 02/03/16 | 220                  | 13,176.68 |             |            |            |            |        |
| Acq       |                 | 07/18/14 | 220                  | 13,176.68 | 8,433.50    | 8,433.50   | 4,743.18   | 4,743.18   | L      |
|           |                 |          | Total for 2/3/2016   |           | 8,433.50    | 8,433.50   | 4,743.18   | 4,743.18   |        |
| Note      |                 |          |                      |           |             |            |            |            |        |
| 285512109 | ELECTRONIC ARTS |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                 | 02/04/16 | 720                  | 41,858.88 |             |            |            |            |        |
| Acq       |                 | 07/18/14 | 50                   | 2,906.87  | 1,916.71    | 1,916.71   | 990.16     | 990.16     | L      |
| Acq       |                 | 09/15/14 | 400                  | 23,254.93 | 14,608.24   | 14,608.24  | 8,646.69   | 8,646.69   | L      |
| Acq       |                 | 08/29/14 | 270                  | 15,697.08 | 10,199.20   | 10,199.20  | 5,497.88   | 5,497.88   | L      |
|           |                 |          | Total for 2/4/2016   |           | 26,724.15   | 26,724.15  | 15,134.73  | 15,134.73  |        |
| Note      |                 |          |                      |           |             |            |            |            |        |
| 285512109 | ELECTRONIC ARTS |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                 | 12/06/16 | 20                   | 1,556.61  |             |            |            |            |        |
| Acq       |                 | 11/02/16 | 20                   | 1,556.61  | 1,600.50    | 1,600.50   | -43.89     | -43.89     | S      |
|           |                 |          | Total for 12/6/2016  |           | 1,600.50    | 1,600.50   | -43.89     | -43.89     |        |
| Note      |                 |          |                      |           |             |            |            |            |        |
| 285512109 | ELECTRONIC ARTS |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                 | 12/15/16 | 90                   | 7,336.82  |             |            |            |            |        |
| Acq       |                 | 11/02/16 | 90                   | 7,336.82  | 7,202.26    | 7,202.26   | 134.56     | 134.56     | S      |
|           |                 |          | Total for 12/15/2016 |           | 7,202.26    | 7,202.26   | 134.56     | 134.56     |        |
| Note      |                 |          |                      |           |             |            |            |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

MCKENNA KATHERINE MABIS FDN INC-NO 2

Trust Year Ending 12/31/2016

| Type      | Description              | Date     | Shares                     | Price      | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|--------------------------|----------|----------------------------|------------|-------------|------------|------------|------------|--------|
| 291011104 | EMERSON ELECTRIC COMPANY |          |                            |            | 10260740386 |            |            |            |        |
| Sold      |                          | 03/18/16 | 12865                      | 699,726.31 |             |            |            |            |        |
| Acq       |                          | 09/21/11 | 450                        | 24,475.46  | 20,321.05   | 20,321.05  | 4,154.41   | 4,154.41   | L      |
| Acq       |                          | 05/17/11 | 550                        | 29,914.46  | 29,047.85   | 29,047.85  | 866.61     | 866.61     | L      |
| Acq       |                          | 10/30/08 | 950                        | 51,670.42  | 30,318.13   | 30,318.13  | 21,352.29  | 21,352.29  | L      |
| Acq       |                          | 09/17/08 | 975                        | 53,030.17  | 40,047.23   | 40,047.23  | 12,982.94  | 12,982.94  | L      |
| Acq       |                          | 08/07/08 | 300                        | 16,316.98  | 14,701.15   | 14,701.15  | 1,615.83   | 1,615.83   | L      |
| Acq       |                          | 04/17/13 | 560                        | 30,458.35  | 30,042.93   | 30,042.93  | 415.42     | 415.42     | L      |
| Acq       |                          | 09/28/12 | 415                        | 22,571.82  | 19,927.71   | 19,927.71  | 2,644.11   | 2,644.11   | L      |
| Acq       |                          | 01/03/13 | 1300                       | 70,706.89  | 71,843.05   | 71,843.05  | -1,136.16  | -1,136.16  | L      |
| Acq       |                          | 04/03/09 | 2004                       | 108,997.40 | 63,837.22   | 63,837.22  | 45,160.18  | 45,160.18  | L      |
| Acq       |                          | 12/22/08 | 1961                       | 106,658.63 | 68,259.26   | 68,259.26  | 38,399.37  | 38,399.37  | L      |
| Acq       |                          | 08/01/08 | 300                        | 16,316.98  | 14,498.74   | 14,498.74  | 1,818.24   | 1,818.24   | L      |
| Acq       |                          | 06/18/08 | 3100                       | 168,608.75 | 169,076.48  | 169,076.48 | -467.73    | -467.73    | L      |
|           |                          |          | <b>Total for 3/18/2016</b> |            | 571,920.80  | 571,920.80 | 127,805.51 | 127,805.51 |        |
| Note      |                          |          |                            |            |             |            |            |            |        |
| 30161N101 | EXELON CORP              |          |                            |            | 10265400911 |            |            |            |        |
| Sold      |                          | 03/17/16 | 1510                       | 52,707.21  |             |            |            |            |        |
| Acq       |                          | 08/19/15 | 1430                       | 49,914.78  | 48,869.39   | 48,869.39  | 1,045.39   | 1,045.39   | S      |
| Acq       |                          | 08/20/15 | 80                         | 2,792.43   | 2,730.24    | 2,730.24   | 62.19      | 62.19      | S      |
|           |                          |          | <b>Total for 3/17/2016</b> |            | 51,599.63   | 51,599.63  | 1,107.58   | 1,107.58   |        |
| Note      |                          |          |                            |            |             |            |            |            |        |
| 30161N101 | EXELON CORP              |          |                            |            | 10265400911 |            |            |            |        |
| Sold      |                          | 05/31/16 | 760                        | 25,919.69  |             |            |            |            |        |
| Acq       |                          | 08/20/15 | 760                        | 25,919.69  | 25,937.28   | 25,937.28  | -17.59     | -17.59     | S      |
|           |                          |          | <b>Total for 5/31/2016</b> |            | 25,937.28   | 25,937.28  | -17.59     | -17.59     |        |
| Note      |                          |          |                            |            |             |            |            |            |        |
| 30161N101 | EXELON CORP              |          |                            |            | 10265400911 |            |            |            |        |
| Sold      |                          | 12/06/16 | 90                         | 3,015.12   |             |            |            |            |        |
| Acq       |                          | 08/20/15 | 90                         | 3,015.12   | 3,071.52    | 3,071.52   | -56.40     | -56.40     | L      |
|           |                          |          | <b>Total for 12/6/2016</b> |            | 3,071.52    | 3,071.52   | -56.40     | -56.40     |        |
| Note      |                          |          |                            |            |             |            |            |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description      | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 30161N101 | EXELON CORP      |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                  | 12/15/16 | 210                         | 7,515.52  |             |            |           |            |        |
| Acq       |                  | 08/20/15 | 210                         | 7,515.52  | 7,166.88    | 7,166.88   | 348.64    | 348.64     | L      |
|           |                  |          | <b>Total for 12/15/2016</b> |           | 7,166.88    | 7,166.88   | 348.64    | 348.64     |        |
| Note      |                  |          |                             |           |             |            |           |            |        |
| 30231G102 | EXXON MOBIL CORP |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                  | 03/03/16 | 620                         | 50,917.69 |             |            |           |            |        |
| Acq       |                  | 06/18/14 | 159                         | 13,057.92 | 16,293.19   | 16,293.19  | -3,235.27 | -3,235.27  | L      |
| Acq       |                  | 07/22/15 | 150                         | 12,318.80 | 12,351.32   | 12,351.32  | -32.52    | -32.52     | S      |
| Acq       |                  | 01/07/15 | 40                          | 3,285.01  | 3,616.52    | 3,616.52   | -331.51   | -331.51    | L      |
| Acq       |                  | 11/12/15 | 51                          | 4,188.39  | 4,068.17    | 4,068.17   | 120.22    | 120.22     | S      |
| Acq       |                  | 02/10/16 | 110                         | 9,033.78  | 8,822.69    | 8,822.69   | 211.09    | 211.09     | S      |
| Acq       |                  | 07/27/15 | 110                         | 9,033.78  | 8,783.46    | 8,783.46   | 250.32    | 250.32     | S      |
|           |                  |          | <b>Total for 3/3/2016</b>   |           | 53,935.35   | 53,935.35  | -3,017.66 | -3,017.66  |        |
| Note      |                  |          |                             |           |             |            |           |            |        |
| 30231G102 | EXXON MOBIL CORP |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                  | 03/17/16 | 360                         | 30,280.49 |             |            |           |            |        |
| Acq       |                  | 08/21/15 | 61                          | 5,130.86  | 4,511.53    | 4,511.53   | 619.33    | 619.33     | S      |
| Acq       |                  | 11/12/15 | 299                         | 25,149.63 | 23,850.63   | 23,850.63  | 1,299.00  | 1,299.00   | S      |
|           |                  |          | <b>Total for 3/17/2016</b>  |           | 28,362.16   | 28,362.16  | 1,918.33  | 1,918.33   |        |
| Note      |                  |          |                             |           |             |            |           |            |        |
| 30231G102 | EXXON MOBIL CORP |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                  | 12/06/16 | 60                          | 5,219.42  |             |            |           |            |        |
| Acq       |                  | 08/21/15 | 60                          | 5,219.42  | 4,437.57    | 4,437.57   | 781.85    | 781.85     | L      |
|           |                  |          | <b>Total for 12/6/2016</b>  |           | 4,437.57    | 4,437.57   | 781.85    | 781.85     |        |
| Note      |                  |          |                             |           |             |            |           |            |        |
| 30231G102 | EXXON MOBIL CORP |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                  | 12/15/16 | 80                          | 7,250.40  |             |            |           |            |        |
| Acq       |                  | 08/21/15 | 80                          | 7,250.40  | 5,916.76    | 5,916.76   | 1,333.64  | 1,333.64   | L      |
|           |                  |          | <b>Total for 12/15/2016</b> |           | 5,916.76    | 5,916.76   | 1,333.64  | 1,333.64   |        |
| Note      |                  |          |                             |           |             |            |           |            |        |
| 30303M102 | FACEBOOK INC     |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                  | 09/30/16 | 222                         | 28,426.61 |             |            |           |            |        |
| Acq       |                  | 06/02/15 | 82                          | 10,499.92 | 6,608.07    | 6,608.07   | 3,891.85  | 3,891.85   | L      |

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Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 25 of 74  
3/15/2017 12:58:26

| Type      | Description        | Date     | Shares               | Price     | Fed Cost  | State Cost  | Fed Gain | State Gain | (Type) |
|-----------|--------------------|----------|----------------------|-----------|-----------|-------------|----------|------------|--------|
| 30303M102 | FACEBOOK INC       |          |                      |           |           | 10265400911 |          |            |        |
| Sold      |                    | 09/30/16 | 222                  | 28,426.61 |           |             |          |            |        |
| Acq       |                    | 02/10/16 | 140                  | 17,926.69 | 14,418.32 | 14,418.32   | 3,508.37 | 3,508.37   | S      |
|           |                    |          | Total for 9/30/2016  |           | 21,026.39 | 21,026.39   | 7,400.22 | 7,400.22   |        |
| Note      |                    |          |                      |           |           |             |          |            |        |
| 30303M102 | FACEBOOK INC       |          |                      |           |           | 10265400911 |          |            |        |
| Sold      |                    | 11/14/16 | 253                  | 29,337.29 |           |             |          |            |        |
| Acq       |                    | 06/02/15 | 253                  | 29,337.29 | 20,388.31 | 20,388.31   | 8,948.98 | 8,948.98   | L      |
|           |                    |          | Total for 11/14/2016 |           | 20,388.31 | 20,388.31   | 8,948.98 | 8,948.98   |        |
| Note      |                    |          |                      |           |           |             |          |            |        |
| 30303M102 | FACEBOOK INC       |          |                      |           |           | 10265400911 |          |            |        |
| Sold      |                    | 11/16/16 | 181                  | 21,193.19 |           |             |          |            |        |
| Acq       |                    | 06/02/15 | 164                  | 19,202.67 | 13,216.13 | 13,216.13   | 5,986.54 | 5,986.54   | L      |
| Acq       |                    | 05/04/15 | 17                   | 1,990.52  | 1,341.34  | 1,341.34    | 649.18   | 649.18     | L      |
|           |                    |          | Total for 11/16/2016 |           | 14,557.47 | 14,557.47   | 6,635.72 | 6,635.72   |        |
| Note      |                    |          |                      |           |           |             |          |            |        |
| 30303M102 | FACEBOOK INC       |          |                      |           |           | 10265400911 |          |            |        |
| Sold      |                    | 12/06/16 | 70                   | 8,196.26  |           |             |          |            |        |
| Acq       |                    | 05/04/15 | 70                   | 8,196.26  | 5,523.17  | 5,523.17    | 2,673.09 | 2,673.09   | L      |
|           |                    |          | Total for 12/6/2016  |           | 5,523.17  | 5,523.17    | 2,673.09 | 2,673.09   |        |
| Note      |                    |          |                      |           |           |             |          |            |        |
| 30303M102 | FACEBOOK INC       |          |                      |           |           | 10265400911 |          |            |        |
| Sold      |                    | 12/15/16 | 137                  | 16,461.84 |           |             |          |            |        |
| Acq       |                    | 12/16/14 | 94                   | 11,294.99 | 7,086.27  | 7,086.27    | 4,208.72 | 4,208.72   | L      |
| Acq       |                    | 05/04/15 | 43                   | 5,166.85  | 3,392.80  | 3,392.80    | 1,774.05 | 1,774.05   | L      |
|           |                    |          | Total for 12/15/2016 |           | 10,479.07 | 10,479.07   | 5,982.77 | 5,982.77   |        |
| Note      |                    |          |                      |           |           |             |          |            |        |
| 31381M2F1 | FNMA POOL #0465274 |          |                      |           |           | 10265400911 |          |            |        |
| Sold      |                    | 02/25/16 | 49.3                 | 49.30     |           |             |          |            |        |
| Acq       |                    | 02/10/14 | 49.3                 | 49.30     | 53.25     | 53.25       | -3.95    | -3.95      | L      |
|           |                    |          | Total for 2/25/2016  |           | 53.25     | 53.25       | -3.95    | -3.95      |        |
| Note      |                    |          |                      |           |           |             |          |            |        |



**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description | Date          | Shares                     | Price | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-------------|---------------|----------------------------|-------|-------------|------------|----------|------------|--------|
| 31381M2F1 | FNMA        | POOL #0465274 |                            |       | 10265400911 |            |          |            |        |
|           | <b>Sold</b> | 03/25/16      | 60 58                      | 60 58 |             |            |          |            |        |
|           | <b>Acq</b>  | 02/10/14      | 60 58                      | 60 58 | 65.43       | 65.43      | -4 85    | -4 85      | L      |
|           |             |               | <b>Total for 3/25/2016</b> |       | 65 43       | 65 43      | -4 85    | -4 85      |        |
|           | <b>Note</b> |               |                            |       |             |            |          |            |        |
| 31381M2F1 | FNMA        | POOL #0465274 |                            |       | 10265400911 |            |          |            |        |
|           | <b>Sold</b> | 04/25/16      | 49.8                       | 49.80 |             |            |          |            |        |
|           | <b>Acq</b>  | 02/10/14      | 49.8                       | 49 80 | 53 79       | 53 79      | -3.99    | -3 99      | L      |
|           |             |               | <b>Total for 4/25/2016</b> |       | 53 79       | 53 79      | -3.99    | -3 99      |        |
|           | <b>Note</b> |               |                            |       |             |            |          |            |        |
| 31381M2F1 | FNMA        | POOL #0465274 |                            |       | 10265400911 |            |          |            |        |
|           | <b>Sold</b> | 05/25/16      | 55 54                      | 55 54 |             |            |          |            |        |
|           | <b>Acq</b>  | 02/10/14      | 55 54                      | 55 54 | 59 99       | 59 99      | -4 45    | -4 45      | L      |
|           |             |               | <b>Total for 5/25/2016</b> |       | 59 99       | 59 99      | -4 45    | -4.45      |        |
|           | <b>Note</b> |               |                            |       |             |            |          |            |        |
| 31381M2F1 | FNMA        | POOL #0465274 |                            |       | 10265400911 |            |          |            |        |
|           | <b>Sold</b> | 06/25/16      | 50.28                      | 50 28 |             |            |          |            |        |
|           | <b>Acq</b>  | 02/10/14      | 50 28                      | 50 28 | 54.30       | 54.30      | -4 02    | -4 02      | L      |
|           |             |               | <b>Total for 6/25/2016</b> |       | 54 30       | 54 30      | -4 02    | -4.02      |        |
|           | <b>Note</b> |               |                            |       |             |            |          |            |        |
| 31381M2F1 | FNMA        | POOL #0465274 |                            |       | 10265400911 |            |          |            |        |
|           | <b>Sold</b> | 07/25/16      | 56                         | 56 00 |             |            |          |            |        |
|           | <b>Acq</b>  | 02/10/14      | 56                         | 56 00 | 60 48       | 60 48      | -4 48    | -4 48      | L      |
|           |             |               | <b>Total for 7/25/2016</b> |       | 60 48       | 60.48      | -4 48    | -4 48      |        |
|           | <b>Note</b> |               |                            |       |             |            |          |            |        |
| 31381M2F1 | FNMA        | POOL #0465274 |                            |       | 10265400911 |            |          |            |        |
|           | <b>Sold</b> | 08/25/16      | 50.76                      | 50 76 |             |            |          |            |        |
|           | <b>Acq</b>  | 02/10/14      | 50 76                      | 50 76 | 54 82       | 54.82      | -4 06    | -4 06      | L      |
|           |             |               | <b>Total for 8/25/2016</b> |       | 54.82       | 54 82      | -4.06    | -4 06      |        |
|           | <b>Note</b> |               |                            |       |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                 | Date          | Shares               | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------|---------------|----------------------|-----------|-------------|------------|-----------|------------|--------|
| 31381M2F1 | FNMA                        | POOL #0465274 |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 09/25/16      | 50 99                | 50.99     |             |            |           |            |        |
| Acq       |                             | 02/10/14      | 50 99                | 50.99     | 55.07       | 55.07      | -4.08     | -4.08      | L      |
|           |                             |               | Total for 9/25/2016  |           | 55.07       | 55.07      | -4.08     | -4.08      |        |
| Note      |                             |               |                      |           |             |            |           |            |        |
| 31381M2F1 | FNMA                        | POOL #0465274 |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 10/25/16      | 56 69                | 56.69     |             |            |           |            |        |
| Acq       |                             | 02/10/14      | 56 69                | 56.69     | 61.23       | 61.23      | -4.54     | -4.54      | L      |
|           |                             |               | Total for 10/25/2016 |           | 61.23       | 61.23      | -4.54     | -4.54      |        |
| Note      |                             |               |                      |           |             |            |           |            |        |
| 31381M2F1 | FNMA                        | POOL #0465274 |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 11/25/16      | 51 47                | 51.47     |             |            |           |            |        |
| Acq       |                             | 02/10/14      | 51 47                | 51.47     | 55.59       | 55.59      | -4.12     | -4.12      | L      |
|           |                             |               | Total for 11/25/2016 |           | 55.59       | 55.59      | -4.12     | -4.12      |        |
| Note      |                             |               |                      |           |             |            |           |            |        |
| 31381M2F1 | FNMA                        | POOL #0465274 |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/25/16      | 37421 59             | 37,421.59 |             |            |           |            |        |
| Acq       |                             | 02/10/14      | 37421 59             | 37,421.59 | 40,416.78   | 40,416.78  | -2,995.19 | -2,995.19  | L      |
|           |                             |               | Total for 12/25/2016 |           | 40,416.78   | 40,416.78  | -2,995.19 | -2,995.19  |        |
| Note      |                             |               |                      |           |             |            |           |            |        |
| 34074GDH4 | FLORIDA ST HURRICANE 2.995% | 7/01/20       |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 09/20/16      | 30000                | 31,324.50 |             |            |           |            |        |
| Acq       |                             | 04/10/13      | 30000                | 31,324.50 | 30,000.00   | 30,000.00  | 1,324.50  | 1,324.50   | L      |
|           |                             |               | Total for 9/20/2016  |           | 30,000.00   | 30,000.00  | 1,324.50  | 1,324.50   |        |
| Note      |                             |               |                      |           |             |            |           |            |        |
| 369604103 | GENERAL ELECTRIC CO         |               |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/06/16      | 230                  | 7,169.40  |             |            |           |            |        |
| Acq       |                             | 02/10/16      | 230                  | 7,169.40  | 6,582.14    | 6,582.14   | 587.26    | 587.26     | S      |
|           |                             |               | Total for 12/6/2016  |           | 6,582.14    | 6,582.14   | 587.26    | 587.26     |        |
| Note      |                             |               |                      |           |             |            |           |            |        |
| 369604103 | GENERAL ELECTRIC CO         |               |                      |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/15/16      | 240                  | 7,469.12  |             |            |           |            |        |
| Acq       |                             | 02/10/16      | 40                   | 1,244.85  | 1,144.72    | 1,144.72   | 100.13    | 100.13     | S      |

**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual  
 funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                 | Date     | Shares               | Price    | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-----------------------------|----------|----------------------|----------|-------------|------------|----------|------------|--------|
| 369604103 | GENERAL ELECTRIC CO         |          |                      |          | 10265400911 |            |          |            |        |
| Sold      |                             | 12/15/16 | 240                  | 7,469.12 |             |            |          |            |        |
| Acq       |                             | 01/22/16 | 200                  | 6,224.27 | 5,630.74    | 5,630.74   | 593.53   | 593.53     | S      |
|           |                             |          | Total for 12/15/2016 |          | 6,775.46    | 6,775.46   | 693.66   | 693.66     |        |
| Note      |                             |          |                      |          |             |            |          |            |        |
| 38373MRG7 | GNMA GTD REMIC P/T 05-59 C  |          |                      |          | 10265400911 |            |          |            |        |
| Sold      |                             | 01/16/16 | 373.65               | 373.65   |             |            |          |            |        |
| Acq       |                             | 03/11/14 | 373.65               | 373.65   | 393.26      | 393.26     | -19.61   | -19.61     | L      |
|           |                             |          | Total for 1/16/2016  |          | 393.26      | 393.26     | -19.61   | -19.61     |        |
| Note      |                             |          |                      |          |             |            |          |            |        |
| 38373MRG7 | GNMA GTD REMIC P/T 05-59 C  |          |                      |          | 10265400911 |            |          |            |        |
| Sold      |                             | 02/16/16 | 197.09               | 197.09   |             |            |          |            |        |
| Acq       |                             | 03/11/14 | 197.09               | 197.09   | 207.44      | 207.44     | -10.35   | -10.35     | L      |
|           |                             |          | Total for 2/16/2016  |          | 207.44      | 207.44     | -10.35   | -10.35     |        |
| Note      |                             |          |                      |          |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                      |          | 10265400911 |            |          |            |        |
| Sold      |                             | 01/16/16 | 72.45                | 72.45    |             |            |          |            |        |
| Acq       |                             | 01/27/14 | 54.34                | 54.34    | 54.25       | 54.25      | 0.09     | 0.09       | L      |
| Acq       |                             | 11/21/14 | 18.11                | 18.11    | 18.15       | 18.15      | -0.04    | -0.04      | L      |
|           |                             |          | Total for 1/16/2016  |          | 72.40       | 72.40      | 0.05     | 0.05       |        |
| Note      |                             |          |                      |          |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                      |          | 10265400911 |            |          |            |        |
| Sold      |                             | 02/16/16 | 72.65                | 72.65    |             |            |          |            |        |
| Acq       |                             | 11/21/14 | 18.16                | 18.16    | 18.20       | 18.20      | -0.04    | -0.04      | L      |
| Acq       |                             | 01/27/14 | 54.49                | 54.49    | 54.40       | 54.40      | 0.09     | 0.09       | L      |
|           |                             |          | Total for 2/16/2016  |          | 72.60       | 72.60      | 0.05     | 0.05       |        |
| Note      |                             |          |                      |          |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                      |          | 10265400911 |            |          |            |        |
| Sold      |                             | 03/16/16 | 72.84                | 72.84    |             |            |          |            |        |
| Acq       |                             | 11/21/14 | 18.21                | 18.21    | 18.25       | 18.25      | -0.04    | -0.04      | L      |
| Acq       |                             | 01/27/14 | 54.63                | 54.63    | 54.54       | 54.54      | 0.09     | 0.09       | L      |
|           |                             |          | Total for 3/16/2016  |          | 72.79       | 72.79      | 0.05     | 0.05       |        |
| Note      |                             |          |                      |          |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                 | Date     | Shares                     | Price  | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-----------------------------|----------|----------------------------|--------|-------------|------------|----------|------------|--------|
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                            |        | 10265400911 |            |          |            |        |
| Sold      |                             | 04/16/16 | 175.57                     | 175.57 |             |            |          |            |        |
| Acq       |                             | 01/27/14 | 131.68                     | 131.68 | 131.46      | 131.46     | 0.22     | 0.22       | L      |
| Acq       |                             | 11/21/14 | 43.89                      | 43.89  | 43.98       | 43.98      | -0.09    | -0.09      | L      |
|           |                             |          | <b>Total for 4/16/2016</b> |        | 175.44      | 175.44     | 0.13     | 0.13       |        |
| Note      |                             |          |                            |        |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                            |        | 10265400911 |            |          |            |        |
| Sold      |                             | 05/16/16 | 73.17                      | 73.17  |             |            |          |            |        |
| Acq       |                             | 01/27/14 | 54.88                      | 54.88  | 54.79       | 54.79      | 0.09     | 0.09       | L      |
| Acq       |                             | 11/21/14 | 18.29                      | 18.29  | 18.33       | 18.33      | -0.04    | -0.04      | L      |
|           |                             |          | <b>Total for 5/16/2016</b> |        | 73.12       | 73.12      | 0.05     | 0.05       |        |
| Note      |                             |          |                            |        |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                            |        | 10265400911 |            |          |            |        |
| Sold      |                             | 06/16/16 | 73.36                      | 73.36  |             |            |          |            |        |
| Acq       |                             | 01/27/14 | 55.02                      | 55.02  | 54.93       | 54.93      | 0.09     | 0.09       | L      |
| Acq       |                             | 11/21/14 | 18.34                      | 18.34  | 18.38       | 18.38      | -0.04    | -0.04      | L      |
|           |                             |          | <b>Total for 6/16/2016</b> |        | 73.31       | 73.31      | 0.05     | 0.05       |        |
| Note      |                             |          |                            |        |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                            |        | 10265400911 |            |          |            |        |
| Sold      |                             | 07/16/16 | 73.56                      | 73.56  |             |            |          |            |        |
| Acq       |                             | 01/27/14 | 55.17                      | 55.17  | 55.08       | 55.08      | 0.09     | 0.09       | L      |
| Acq       |                             | 11/21/14 | 18.39                      | 18.39  | 18.43       | 18.43      | -0.04    | -0.04      | L      |
|           |                             |          | <b>Total for 7/16/2016</b> |        | 73.51       | 73.51      | 0.05     | 0.05       |        |
| Note      |                             |          |                            |        |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                            |        | 10265400911 |            |          |            |        |
| Sold      |                             | 08/16/16 | 73.76                      | 73.76  |             |            |          |            |        |
| Acq       |                             | 01/27/14 | 55.32                      | 55.32  | 55.23       | 55.23      | 0.09     | 0.09       | L      |
| Acq       |                             | 11/21/14 | 18.44                      | 18.44  | 18.48       | 18.48      | -0.04    | -0.04      | L      |
|           |                             |          | <b>Total for 8/16/2016</b> |        | 73.71       | 73.71      | 0.05     | 0.05       |        |
| Note      |                             |          |                            |        |             |            |          |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                            |        | 10265400911 |            |          |            |        |
| Sold      |                             | 09/16/16 | 774.67                     | 774.67 |             |            |          |            |        |
| Acq       |                             | 11/21/14 | 193.67                     | 193.67 | 194.06      | 194.06     | -0.39    | -0.39      | L      |

**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual  
 funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                 | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 09/16/16 | 774 67                      | 774.67    |             |            |           |            |        |
| Acq       |                             | 01/27/14 | 581                         | 581 00    | 580 05      | 580 05     | 0.95      | 0 95       | L      |
|           |                             |          | <b>Total for 9/16/2016</b>  |           | 774.11      | 774 11     | 0.56      | 0 56       |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 10/16/16 | 73 49                       | 73.49     |             |            |           |            |        |
| Acq       |                             | 11/21/14 | 18 37                       | 18.37     | 18.41       | 18 41      | -0 04     | -0.04      | L      |
| Acq       |                             | 01/27/14 | 55 12                       | 55 12     | 55 03       | 55 03      | 0 09      | 0 09       | L      |
|           |                             |          | <b>Total for 10/16/2016</b> |           | 73.44       | 73.44      | 0 05      | 0.05       |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 11/16/16 | 73 69                       | 73 69     |             |            |           |            |        |
| Acq       |                             | 01/27/14 | 55 27                       | 55 27     | 55 18       | 55 18      | 0.09      | 0 09       | L      |
| Acq       |                             | 11/21/14 | 18.42                       | 18 42     | 18 46       | 18.46      | -0 04     | -0 04      | L      |
|           |                             |          | <b>Total for 11/16/2016</b> |           | 73 64       | 73 64      | 0.05      | 0.05       |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 38378B7N3 | GNMA GTD REMIC P/T 13-17 AB |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/16/16 | 73 88                       | 73 88     |             |            |           |            |        |
| Acq       |                             | 11/21/14 | 18 47                       | 18 47     | 18 51       | 18 51      | -0.04     | -0.04      | L      |
| Acq       |                             | 01/27/14 | 55 41                       | 55 41     | 55 32       | 55.32      | 0 09      | 0 09       | L      |
|           |                             |          | <b>Total for 12/16/2016</b> |           | 73 83       | 73 83      | 0 05      | 0.05       |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 406216101 | HALLIBURTON COMPANY COM     |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 06/22/16 | 690                         | 30,811.21 |             |            |           |            |        |
| Acq       |                             | 10/10/14 | 510                         | 22,773 50 | 28,188.16   | 28,188.16  | -5,414 66 | -5,414 66  | L      |
| Acq       |                             | 11/12/15 | 180                         | 8,037 71  | 6,774 84    | 6,774.84   | 1,262.87  | 1,262.87   | S      |
|           |                             |          | <b>Total for 6/22/2016</b>  |           | 34,963 00   | 34,963 00  | -4,151 79 | -4,151 79  |        |
| Note      |                             |          |                             |           |             |            |           |            |        |
| 406216101 | HALLIBURTON COMPANY COM     |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                             | 12/06/16 | 90                          | 4,763.78  |             |            |           |            |        |
| Acq       |                             | 11/12/15 | 90                          | 4,763 78  | 3,387 42    | 3,387.42   | 1,376 36  | 1,376 36   | L      |
|           |                             |          | <b>Total for 12/6/2016</b>  |           | 3,387.42    | 3,387 42   | 1,376.36  | 1,376.36   |        |
| Note      |                             |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description                      | Date            | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|----------------------------------|-----------------|-----------------------------|-----------|-------------|------------|------------|------------|--------|
| 406216101 | HALLIBURTON COMPANY COM          |                 |                             |           | 10265400911 |            |            |            |        |
| Sold      |                                  | 12/15/16        | 140                         | 7,542.61  |             |            |            |            |        |
| Acq       |                                  | 11/12/15        | 140                         | 7,542.61  | 5,269.32    | 5,269.32   | 2,273.29   | 2,273.29   | L      |
|           |                                  |                 | <b>Total for 12/15/2016</b> |           | 5,269.32    | 5,269.32   | 2,273.29   | 2,273.29   |        |
| Note      |                                  |                 |                             |           |             |            |            |            |        |
| 406216BH3 | HALLIBURTON CO                   | 3 375% 11/15/22 |                             |           | 10265400911 |            |            |            |        |
| Sold      |                                  | 05/12/16        | 20000                       | 20,200.00 |             |            |            |            |        |
| Acq       |                                  | 11/05/15        | 20000                       | 20,200.00 | 19,983.80   | 19,983.80  | 216.20     | 216.20     | S      |
|           |                                  |                 | <b>Total for 5/12/2016</b>  |           | 19,983.80   | 19,983.80  | 216.20     | 216.20     |        |
| Note      |                                  |                 |                             |           |             |            |            |            |        |
| 412822108 | HARLEY DAVIDSON INC              |                 |                             |           | 10265400911 |            |            |            |        |
| Sold      |                                  | 01/07/16        | 1470                        | 63,533.26 |             |            |            |            |        |
| Acq       |                                  | 08/19/13        | 560                         | 24,203.15 | 32,647.44   | 32,647.44  | -8,444.29  | -8,444.29  | L      |
| Acq       |                                  | 08/23/13        | 230                         | 9,940.58  | 13,760.97   | 13,760.97  | -3,820.39  | -3,820.39  | L      |
| Acq       |                                  | 08/13/14        | 110                         | 4,754.19  | 6,845.75    | 6,845.75   | -2,091.56  | -2,091.56  | L      |
| Acq       |                                  | 08/16/13        | 570                         | 24,635.35 | 33,302.65   | 33,302.65  | -8,667.30  | -8,667.30  | L      |
|           |                                  |                 | <b>Total for 1/7/2016</b>   |           | 86,556.81   | 86,556.81  | -23,023.55 | -23,023.55 |        |
| Note      |                                  |                 |                             |           |             |            |            |            |        |
| 416515104 | HARTFORD FINL SVCS GROUP INC COM |                 |                             |           | 10265400911 |            |            |            |        |
| Sold      |                                  | 07/20/16        | 690                         | 30,117.84 |             |            |            |            |        |
| Acq       |                                  | 02/10/16        | 160                         | 6,983.85  | 6,430.08    | 6,430.08   | 553.77     | 553.77     | S      |
| Acq       |                                  | 10/20/14        | 530                         | 23,133.99 | 19,334.19   | 19,334.19  | 3,799.80   | 3,799.80   | L      |
|           |                                  |                 | <b>Total for 7/20/2016</b>  |           | 25,764.27   | 25,764.27  | 4,353.57   | 4,353.57   |        |
| Note      |                                  |                 |                             |           |             |            |            |            |        |
| 416515104 | HARTFORD FINL SVCS GROUP INC COM |                 |                             |           | 10265400911 |            |            |            |        |
| Sold      |                                  | 12/06/16        | 110                         | 5,257.99  |             |            |            |            |        |
| Acq       |                                  | 10/04/16        | 110                         | 5,257.99  | 4,794.19    | 4,794.19   | 463.80     | 463.80     | S      |
|           |                                  |                 | <b>Total for 12/6/2016</b>  |           | 4,794.19    | 4,794.19   | 463.80     | 463.80     |        |
| Note      |                                  |                 |                             |           |             |            |            |            |        |
| 416515104 | HARTFORD FINL SVCS GROUP INC COM |                 |                             |           | 10265400911 |            |            |            |        |
| Sold      |                                  | 12/15/16        | 190                         | 9,133.34  |             |            |            |            |        |
| Acq       |                                  | 12/12/16        | 190                         | 9,133.34  | 9,210.31    | 9,210.31   | -76.97     | -76.97     | S      |
|           |                                  |                 | <b>Total for 12/15/2016</b> |           | 9,210.31    | 9,210.31   | -76.97     | -76.97     |        |
| Note      |                                  |                 |                             |           |             |            |            |            |        |

**Statement of Capital Gains and Losses From Sales**  
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**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                   | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 427866108 | HERSHEY FOODS CORPORATION COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                               | 01/08/16 | 290                         | 24,840.82 |             |            |           |            |        |
| Acq       |                               | 12/19/14 | 290                         | 24,840.82 | 30,476.62   | 30,476.62  | -5,635.80 | -5,635.80  | L      |
|           |                               |          | <b>Total for 1/8/2016</b>   |           | 30,476.62   | 30,476.62  | -5,635.80 | -5,635.80  |        |
| Note      |                               |          |                             |           |             |            |           |            |        |
| 427866108 | HERSHEY FOODS CORPORATION COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                               | 01/26/16 | 310                         | 26,329.40 |             |            |           |            |        |
| Acq       |                               | 12/19/14 | 271                         | 23,016.99 | 28,479.88   | 28,479.88  | -5,462.89 | -5,462.89  | L      |
| Acq       |                               | 01/07/15 | 39                          | 3,312.41  | 4,094.65    | 4,094.65   | -782.24   | -782.24    | L      |
|           |                               |          | <b>Total for 1/26/2016</b>  |           | 32,574.53   | 32,574.53  | -6,245.13 | -6,245.13  |        |
| Note      |                               |          |                             |           |             |            |           |            |        |
| 427866108 | HERSHEY FOODS CORPORATION COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                               | 03/22/16 | 497                         | 44,571.23 |             |            |           |            |        |
| Acq       |                               | 12/18/14 | 375                         | 33,630.20 | 38,736.90   | 38,736.90  | -5,106.70 | -5,106.70  | L      |
| Acq       |                               | 01/07/15 | 82                          | 7,353.80  | 8,609.27    | 8,609.27   | -1,255.47 | -1,255.47  | L      |
| Acq       |                               | 02/10/16 | 40                          | 3,587.22  | 3,603.12    | 3,603.12   | -15.90    | -15.90     | S      |
|           |                               |          | <b>Total for 3/22/2016</b>  |           | 50,949.29   | 50,949.29  | -6,378.06 | -6,378.06  |        |
| Note      |                               |          |                             |           |             |            |           |            |        |
| 437076102 | HOME DEPOT INC USD 0 05       |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                               | 12/06/16 | 80                          | 10,364.09 |             |            |           |            |        |
| Acq       |                               | 11/20/08 | 17                          | 2,202.37  | 326.37      | 326.37     | 1,876.00  | 1,876.00   | L      |
| Acq       |                               | 02/10/16 | 63                          | 8,161.72  | 7,265.21    | 7,265.21   | 896.51    | 896.51     | S      |
|           |                               |          | <b>Total for 12/6/2016</b>  |           | 7,591.58    | 7,591.58   | 2,772.51  | 2,772.51   |        |
| Note      |                               |          |                             |           |             |            |           |            |        |
| 437076102 | HOME DEPOT INC USD 0 05       |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                               | 12/15/16 | 81                          | 11,033.74 |             |            |           |            |        |
| Acq       |                               | 11/20/08 | 81                          | 11,033.74 | 1,555.04    | 1,555.04   | 9,478.70  | 9,478.70   | L      |
|           |                               |          | <b>Total for 12/15/2016</b> |           | 1,555.04    | 1,555.04   | 9,478.70  | 9,478.70   |        |
| Note      |                               |          |                             |           |             |            |           |            |        |
| 438516106 | HONEYWELL INTL INC            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                               | 10/06/16 | 49                          | 5,665.05  |             |            |           |            |        |
| Acq       |                               | 02/10/16 | 49                          | 5,665.05  | 5,027.80    | 5,027.80   | 637.25    | 637.25     | S      |
|           |                               |          | <b>Total for 10/6/2016</b>  |           | 5,027.80    | 5,027.80   | 637.25    | 637.25     |        |
| Note      |                               |          |                             |           |             |            |           |            |        |

Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

| Type      | Description                 | Date           | Shares               | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-----------------------------|----------------|----------------------|-----------|-------------|------------|----------|------------|--------|
| 438516106 | HONEYWELL INTL INC          |                |                      |           | 10265400911 |            |          |            |        |
| Sold      | 12/06/16                    |                | 45                   | 5,083.91  |             |            |          |            |        |
| Acq       | 02/10/16                    |                | 30                   | 3,389.27  | 3,078.24    | 3,078.24   | 311.03   | 311.03     | S      |
| Acq       | 11/12/15                    |                | 15                   | 1,694.64  | 1,523.11    | 1,523.11   | 171.53   | 171.53     | L      |
|           |                             |                | Total for 12/6/2016  |           | 4,601.35    | 4,601.35   | 482.56   | 482.56     |        |
| Note      |                             |                |                      |           |             |            |          |            |        |
| 438516106 | HONEYWELL INTL INC          |                |                      |           | 10265400911 |            |          |            |        |
| Sold      | 12/15/16                    |                | 101                  | 11,790.69 |             |            |          |            |        |
| Acq       | 11/12/15                    |                | 57                   | 6,654.15  | 5,787.83    | 5,787.83   | 866.32   | 866.32     | L      |
| Acq       | 10/03/06                    |                | 44                   | 5,136.54  | 1,823.36    | 1,823.36   | 3,313.18 | 3,313.18   | L      |
|           |                             |                | Total for 12/15/2016 |           | 7,611.19    | 7,611.19   | 4,179.50 | 4,179.50   |        |
| Note      |                             |                |                      |           |             |            |          |            |        |
| 448814JB0 | HYDRO-QUEBEC                | 2.0% 6/30/16   |                      |           | 10265400911 |            |          |            |        |
| Sold      | 06/30/16                    |                | 25000                | 25,000.00 |             |            |          |            |        |
| Acq       | 06/23/11                    |                | 25000                | 25,000.00 | 24,995.25   | 24,995.25  | 4.75     | 4.75       | L      |
|           |                             |                | Total for 6/30/2016  |           | 24,995.25   | 24,995.25  | 4.75     | 4.75       |        |
| Note      |                             |                |                      |           |             |            |          |            |        |
| 44890HAF0 | HART 2012-B C               | 1.95% 10/15/18 |                      |           | 10265400911 |            |          |            |        |
| Sold      | 08/15/16                    |                | 45000                | 45,000.00 |             |            |          |            |        |
| Acq       | 01/08/15                    |                | 45000                | 45,000.00 | 45,509.77   | 45,509.77  | -509.77  | -509.77    | L      |
|           |                             |                | Total for 8/15/2016  |           | 45,509.77   | 45,509.77  | -509.77  | -509.77    |        |
| Note      |                             |                |                      |           |             |            |          |            |        |
| 452308109 | ILLINOIS TOOL WORKS INC COM |                |                      |           | 10265400911 |            |          |            |        |
| Sold      | 12/06/16                    |                | 108                  | 13,590.64 |             |            |          |            |        |
| Acq       | 02/10/16                    |                | 90                   | 11,325.53 | 8,211.63    | 8,211.63   | 3,113.90 | 3,113.90   | S      |
| Acq       | 11/12/15                    |                | 18                   | 2,265.11  | 1,622.84    | 1,622.84   | 642.27   | 642.27     | L      |
|           |                             |                | Total for 12/6/2016  |           | 9,834.47    | 9,834.47   | 3,756.17 | 3,756.17   |        |
| Note      |                             |                |                      |           |             |            |          |            |        |
| 452308109 | ILLINOIS TOOL WORKS INC COM |                |                      |           | 10265400911 |            |          |            |        |
| Sold      | 12/15/16                    |                | 105                  | 13,141.72 |             |            |          |            |        |
| Acq       | 11/12/15                    |                | 82                   | 10,263.06 | 7,392.96    | 7,392.96   | 2,870.10 | 2,870.10   | L      |
| Acq       | 08/04/15                    |                | 23                   | 2,878.66  | 2,037.80    | 2,037.80   | 840.86   | 840.86     | L      |
|           |                             |                | Total for 12/15/2016 |           | 9,430.76    | 9,430.76   | 3,710.96 | 3,710.96   |        |
| Note      |                             |                |                      |           |             |            |          |            |        |



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Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 34 of 74  
3/15/2017 12:58:26

| Type      | Description         | Date     | Shares                      | Price     | Fed Cost  | State Cost | Fed Gain  | State Gain | (Type)      |
|-----------|---------------------|----------|-----------------------------|-----------|-----------|------------|-----------|------------|-------------|
| 45337C102 | INCYTE GENOMICS INC |          |                             |           |           |            |           |            | 10265400911 |
| Sold      |                     | 12/06/16 | 27                          | 2,815.28  |           |            |           |            |             |
| Acq       |                     | 10/06/16 | 27                          | 2,815.28  | 2,545.47  | 2,545.47   | 269.81    | 269.81     | S           |
|           |                     |          | <b>Total for 12/6/2016</b>  |           | 2,545.47  | 2,545.47   | 269.81    | 269.81     |             |
| Note      |                     |          |                             |           |           |            |           |            |             |
| 45337C102 | INCYTE GENOMICS INC |          |                             |           |           |            |           |            | 10265400911 |
| Sold      |                     | 12/15/16 | 36                          | 3,728.50  |           |            |           |            |             |
| Acq       |                     | 10/06/16 | 36                          | 3,728.50  | 3,393.97  | 3,393.97   | 334.53    | 334.53     | S           |
|           |                     |          | <b>Total for 12/15/2016</b> |           | 3,393.97  | 3,393.97   | 334.53    | 334.53     |             |
| Note      |                     |          |                             |           |           |            |           |            |             |
| 45688C107 | INGEVITY CORP       |          |                             |           |           |            |           |            | 10260740386 |
| Sold      |                     | 05/20/16 | 0.3334                      | 8.53      |           |            |           |            |             |
| Acq       |                     | 04/25/12 | 0.3334                      | 8.53      | 6.08      | 6.08       | 2.45      | 2.45       | L           |
|           |                     |          | <b>Total for 5/20/2016</b>  |           | 6.08      | 6.08       | 2.45      | 2.45       |             |
| Note      |                     |          |                             |           |           |            |           |            |             |
| 45688C107 | INGEVITY CORP       |          |                             |           |           |            |           |            | 10260740386 |
| Sold      |                     | 05/26/16 | 1433                        | 38,414.39 |           |            |           |            |             |
| Acq       |                     | 10/20/11 | 891.6667                    | 23,902.88 | 14,087.66 | 14,087.66  | 9,815.22  | 9,815.22   | L           |
| Acq       |                     | 04/25/12 | 541.3333                    | 14,511.51 | 9,865.28  | 9,865.28   | 4,646.23  | 4,646.23   | L           |
|           |                     |          | <b>Total for 5/26/2016</b>  |           | 23,952.94 | 23,952.94  | 14,461.45 | 14,461.45  |             |
| Note      |                     |          |                             |           |           |            |           |            |             |
| 458140100 | INTEL CORPORATION   |          |                             |           |           |            |           |            | 10265400911 |
| Sold      |                     | 11/11/16 | 760                         | 26,178.39 |           |            |           |            |             |
| Acq       |                     | 10/07/16 | 760                         | 26,178.39 | 28,969.91 | 28,969.91  | -2,791.52 | -2,791.52  | S           |
|           |                     |          | <b>Total for 11/11/2016</b> |           | 28,969.91 | 28,969.91  | -2,791.52 | -2,791.52  |             |
| Note      |                     |          |                             |           |           |            |           |            |             |
| 458140100 | INTEL CORPORATION   |          |                             |           |           |            |           |            | 10265400911 |
| Sold      |                     | 11/16/16 | 890                         | 30,905.56 |           |            |           |            |             |
| Acq       |                     | 10/07/16 | 40                          | 1,389.01  | 1,524.73  | 1,524.73   | -135.72   | -135.72    | S           |
| Acq       |                     | 06/23/16 | 850                         | 29,516.55 | 28,034.95 | 28,034.95  | 1,481.60  | 1,481.60   | S           |
|           |                     |          | <b>Total for 11/16/2016</b> |           | 29,559.68 | 29,559.68  | 1,345.88  | 1,345.88   |             |
| Note      |                     |          |                             |           |           |            |           |            |             |

**Statement of Capital Gains and Losses From Sales**  
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                  | Date           | Shares               | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|------------------------------|----------------|----------------------|-----------|-------------|------------|-----------|------------|--------|
| 458140100 | INTEL CORPORATION            |                |                      |           | 10265400911 |            |           |            |        |
| Sold      |                              | 12/06/16       | 120                  | 4,131.76  |             |            |           |            |        |
| Acq       |                              | 06/23/16       | 120                  | 4,131.76  | 3,957.88    | 3,957.88   | 173.88    | 173.88     | S      |
|           |                              |                | Total for 12/6/2016  |           | 3,957.88    | 3,957.88   | 173.88    | 173.88     |        |
| Note      |                              |                |                      |           |             |            |           |            |        |
| 458140100 | INTEL CORPORATION            |                |                      |           | 10265400911 |            |           |            |        |
| Sold      |                              | 12/15/16       | 220                  | 8,082.36  |             |            |           |            |        |
| Acq       |                              | 06/23/16       | 220                  | 8,082.36  | 7,256.11    | 7,256.11   | 826.25    | 826.25     | S      |
|           |                              |                | Total for 12/15/2016 |           | 7,256.11    | 7,256.11   | 826.25    | 826.25     |        |
| Note      |                              |                |                      |           |             |            |           |            |        |
| 458140AL4 | INTEL CORP                   | 1.35% 12/15/17 |                      |           | 10265400911 |            |           |            |        |
| Sold      |                              | 11/09/16       | 60000                | 60,232.20 |             |            |           |            |        |
| Acq       |                              | 02/13/15       | 5000                 | 5,019.35  | 5,008.79    | 5,008.79   | 10.56     | 10.56      | L      |
| Acq       |                              | 01/09/13       | 55000                | 55,212.85 | 55,059.40   | 55,059.40  | 153.45    | 153.45     | L      |
|           |                              |                | Total for 11/9/2016  |           | 60,068.19   | 60,068.19  | 164.01    | 164.01     |        |
| Note      |                              |                |                      |           |             |            |           |            |        |
| 45866F104 | INTERCONTINENTALEXCHANGE GRO |                |                      |           | 10265400911 |            |           |            |        |
| Sold      |                              | 07/20/16       | 218                  | 57,309.62 |             |            |           |            |        |
| Acq       |                              | 06/09/14       | 71                   | 18,665.06 | 13,542.01   | 13,542.01  | 5,123.05  | 5,123.05   | L      |
| Acq       |                              | 02/10/16       | 39                   | 10,252.64 | 9,313.12    | 9,313.12   | 939.52    | 939.52     | S      |
| Acq       |                              | 01/17/13       | 108                  | 28,391.92 | 14,153.79   | 14,153.79  | 14,238.13 | 14,238.13  | L      |
|           |                              |                | Total for 7/20/2016  |           | 37,008.92   | 37,008.92  | 20,300.70 | 20,300.70  |        |
| Note      |                              |                |                      |           |             |            |           |            |        |
| 45866F104 | INTERCONTINENTALEXCHANGE GRO |                |                      |           | 10265400911 |            |           |            |        |
| Sold      |                              | 12/06/16       | 100                  | 5,780.08  |             |            |           |            |        |
| Acq       |                              | 01/17/13       | 100                  | 5,780.08  | 2,621.07    | 2,621.07   | 3,159.01  | 3,159.01   | L      |
|           |                              |                | Total for 12/6/2016  |           | 2,621.07    | 2,621.07   | 3,159.01  | 3,159.01   |        |
| Note      |                              |                |                      |           |             |            |           |            |        |
| 45866F104 | INTERCONTINENTALEXCHANGE GRO |                |                      |           | 10265400911 |            |           |            |        |
| Sold      |                              | 12/15/16       | 190                  | 11,110.70 |             |            |           |            |        |
| Acq       |                              | 01/17/13       | 190                  | 11,110.70 | 4,980.04    | 4,980.04   | 6,130.66  | 6,130.66   | L      |
|           |                              |                | Total for 12/15/2016 |           | 4,980.04    | 4,980.04   | 6,130.66  | 6,130.66   |        |
| Note      |                              |                |                      |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description                         | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain    | State Gain  | (Type) |
|-----------|-------------------------------------|----------|-----------------------------|------------|-------------|------------|-------------|-------------|--------|
| 464287234 | ISHARES MSCI EMERGING MKTS INDEX FD |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                     | 05/25/16 | 15207                       | 499,285.09 |             |            |             |             |        |
| Acq       |                                     | 09/27/12 | 15207                       | 499,285.09 | 633,979.83  | 633,979.83 | -134,694.74 | -134,694.74 | L      |
|           |                                     |          | <b>Total for 5/25/2016</b>  |            | 633,979.83  | 633,979.83 | -134,694.74 | -134,694.74 |        |
| Note      |                                     |          |                             |            |             |            |             |             |        |
| 464287465 | ISHARES MSCI EAFE INDEX FD          |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                     | 12/19/16 | 3435                        | 199,878.28 |             |            |             |             |        |
| Acq       |                                     | 05/14/15 | 3435                        | 199,878.28 | 234,695.69  | 234,695.69 | -34,817.41  | -34,817.41  | L      |
|           |                                     |          | <b>Total for 12/19/2016</b> |            | 234,695.69  | 234,695.69 | -34,817.41  | -34,817.41  |        |
| Note      |                                     |          |                             |            |             |            |             |             |        |
| 478160104 | JOHNSON & JOHNSON COM               |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                     | 01/20/16 | 400                         | 38,242.22  |             |            |             |             |        |
| Acq       |                                     | 11/12/15 | 400                         | 38,242.22  | 40,291.20   | 40,291.20  | -2,048.98   | -2,048.98   | S      |
|           |                                     |          | <b>Total for 1/20/2016</b>  |            | 40,291.20   | 40,291.20  | -2,048.98   | -2,048.98   |        |
| Note      |                                     |          |                             |            |             |            |             |             |        |
| 478160104 | JOHNSON & JOHNSON COM               |          |                             |            | 10260740386 |            |             |             |        |
| Sold      |                                     | 02/04/16 | 780                         | 80,472.15  |             |            |             |             |        |
| Acq       |                                     | 01/09/13 | 530                         | 54,679.79  | 37,997.19   | 37,997.19  | 16,682.60   | 16,682.60   | L      |
| Acq       |                                     | 01/04/07 | 250                         | 25,792.36  | 16,802.85   | 16,802.85  | 8,989.51    | 8,989.51    | L      |
|           |                                     |          | <b>Total for 2/4/2016</b>   |            | 54,800.04   | 54,800.04  | 25,672.11   | 25,672.11   |        |
| Note      |                                     |          |                             |            |             |            |             |             |        |
| 478160104 | JOHNSON & JOHNSON COM               |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                     | 05/19/16 | 260                         | 29,199.96  |             |            |             |             |        |
| Acq       |                                     | 02/10/16 | 106                         | 11,904.60  | 10,843.59   | 10,843.59  | 1,061.01    | 1,061.01    | S      |
| Acq       |                                     | 11/12/15 | 154                         | 17,295.36  | 15,512.11   | 15,512.11  | 1,783.25    | 1,783.25    | S      |
|           |                                     |          | <b>Total for 5/19/2016</b>  |            | 26,355.70   | 26,355.70  | 2,844.26    | 2,844.26    |        |
| Note      |                                     |          |                             |            |             |            |             |             |        |
| 478160104 | JOHNSON & JOHNSON COM               |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                     | 10/06/16 | 185                         | 21,929.03  |             |            |             |             |        |
| Acq       |                                     | 08/04/15 | 2                           | 237.07     | 200.42      | 200.42     | 36.65       | 36.65       | L      |
| Acq       |                                     | 11/12/15 | 183                         | 21,691.96  | 18,433.22   | 18,433.22  | 3,258.74    | 3,258.74    | S      |
|           |                                     |          | <b>Total for 10/6/2016</b>  |            | 18,633.64   | 18,633.64  | 3,295.39    | 3,295.39    |        |
| Note      |                                     |          |                             |            |             |            |             |             |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description                  | Date          | Shares                      | Price      | Fed Cost   | State Cost  | Fed Gain   | State Gain | (Type) |
|-----------|------------------------------|---------------|-----------------------------|------------|------------|-------------|------------|------------|--------|
| 478160104 | JOHNSON & JOHNSON COM        |               |                             |            |            | 10265400911 |            |            |        |
| Sold      |                              | 12/06/16      | 29                          | 3,251.47   |            |             |            |            |        |
| Acq       |                              | 08/04/15      | 29                          | 3,251.47   | 2,906.03   | 2,906.03    | 345.44     | 345.44     | L      |
|           |                              |               | <b>Total for 12/6/2016</b>  |            | 2,906.03   | 2,906.03    | 345.44     | 345.44     |        |
| Note      |                              |               |                             |            |            |             |            |            |        |
| 478160104 | JOHNSON & JOHNSON COM        |               |                             |            |            | 10265400911 |            |            |        |
| Sold      |                              | 12/15/16      | 69                          | 7,960.41   |            |             |            |            |        |
| Acq       |                              | 08/04/15      | 69                          | 7,960.41   | 6,914.34   | 6,914.34    | 1,046.07   | 1,046.07   | L      |
|           |                              |               | <b>Total for 12/15/2016</b> |            | 6,914.34   | 6,914.34    | 1,046.07   | 1,046.07   |        |
| Note      |                              |               |                             |            |            |             |            |            |        |
| 500255104 | KOHL'S CORP                  |               |                             |            |            | 10265400911 |            |            |        |
| Sold      |                              | 05/09/16      | 1330                        | 55,440.24  |            |             |            |            |        |
| Acq       |                              | 01/07/16      | 1240                        | 51,688.64  | 63,139.31  | 63,139.31   | -11,450.67 | -11,450.67 | S      |
| Acq       |                              | 02/10/16      | 90                          | 3,751.60   | 3,618.72   | 3,618.72    | 132.88     | 132.88     | S      |
|           |                              |               | <b>Total for 5/9/2016</b>   |            | 66,758.03  | 66,758.03   | -11,317.79 | -11,317.79 |        |
| Note      |                              |               |                             |            |            |             |            |            |        |
| 502424104 | L-3 COMMUNICATIONS HLDGS INC |               |                             |            |            | 10260740386 |            |            |        |
| Sold      |                              | 07/19/16      | 1005                        | 150,208.15 |            |             |            |            |        |
| Acq       |                              | 04/15/15      | 1005                        | 150,208.15 | 124,887.73 | 124,887.73  | 25,320.42  | 25,320.42  | L      |
|           |                              |               | <b>Total for 7/19/2016</b>  |            | 124,887.73 | 124,887.73  | 25,320.42  | 25,320.42  |        |
| Note      |                              |               |                             |            |            |             |            |            |        |
| 512807AQ1 | LAM RESEARCH CORP            | 3.45% 6/15/23 |                             |            |            | 10265400911 |            |            |        |
| Sold      |                              | 10/13/16      | 45000                       | 45,450.00  |            |             |            |            |        |
| Acq       |                              | 08/30/16      | 45000                       | 45,450.00  | 46,317.85  | 46,317.85   | -867.85    | -867.85    | S      |
|           |                              |               | <b>Total for 10/13/2016</b> |            | 46,317.85  | 46,317.85   | -867.85    | -867.85    |        |
| Note      |                              |               |                             |            |            |             |            |            |        |
| 532457108 | ELI LILLY & CO COM           |               |                             |            |            | 10265400911 |            |            |        |
| Sold      |                              | 12/06/16      | 60                          | 4,030.84   |            |             |            |            |        |
| Acq       |                              | 01/20/16      | 60                          | 4,030.84   | 4,905.87   | 4,905.87    | -875.03    | -875.03    | S      |
|           |                              |               | <b>Total for 12/6/2016</b>  |            | 4,905.87   | 4,905.87    | -875.03    | -875.03    |        |
| Note      |                              |               |                             |            |            |             |            |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                         | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-------------------------------------|----------|-----------------------------|------------|-------------|------------|-----------|------------|--------|
| 532457108 | ELI LILLY & CO COM                  |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                                     | 12/15/16 | 100                         | 7,120 04   |             |            |           |            |        |
| Acq       |                                     | 01/20/16 | 100                         | 7,120 04   | 8,176 45    | 8,176 45   | -1,056 41 | -1,056 41  | S      |
|           |                                     |          | <b>Total for 12/15/2016</b> |            | 8,176 45    | 8,176.45   | -1,056 41 | -1,056 41  |        |
| Note      |                                     |          |                             |            |             |            |           |            |        |
| 548661107 | LOWES COMPANIES INC COM             |          |                             |            | 10260740386 |            |           |            |        |
| Sold      |                                     | 02/04/16 | 1930                        | 130,672 04 |             |            |           |            |        |
| Acq       |                                     | 01/09/13 | 1930                        | 130,672 04 | 67,555 46   | 67,555 46  | 63,116.58 | 63,116 58  | L      |
|           |                                     |          | <b>Total for 2/4/2016</b>   |            | 67,555 46   | 67,555 46  | 63,116 58 | 63,116 58  |        |
| Note      |                                     |          |                             |            |             |            |           |            |        |
| 548661107 | LOWES COMPANIES INC COM             |          |                             |            | 10260740386 |            |           |            |        |
| Sold      |                                     | 07/19/16 | 1220                        | 99,209 17  |             |            |           |            |        |
| Acq       |                                     | 05/23/12 | 550                         | 44,725 45  | 14,205.40   | 14,205 40  | 30,520 05 | 30,520 05  | L      |
| Acq       |                                     | 01/09/13 | 670                         | 54,483 72  | 23,451.89   | 23,451 89  | 31,031 83 | 31,031 83  | L      |
|           |                                     |          | <b>Total for 7/19/2016</b>  |            | 37,657 29   | 37,657 29  | 61,551.88 | 61,551 88  |        |
| Note      |                                     |          |                             |            |             |            |           |            |        |
| 55616XAN7 | MACYS RETAIL HLDGS IN 3 45% 1/15/21 |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                                     | 08/29/16 | 5000                        | 5,211 45   |             |            |           |            |        |
| Acq       |                                     | 12/22/15 | 5000                        | 5,211 45   | 4,958 55    | 4,958 55   | 252 90    | 252 90     | S      |
|           |                                     |          | <b>Total for 8/29/2016</b>  |            | 4,958.55    | 4,958 55   | 252 90    | 252.90     |        |
| Note      |                                     |          |                             |            |             |            |           |            |        |
| 55616XAN7 | MACYS RETAIL HLDGS IN 3 45% 1/15/21 |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                                     | 09/23/16 | 25000                       | 26,135 00  |             |            |           |            |        |
| Acq       |                                     | 12/22/15 | 25000                       | 26,135 00  | 24,792 75   | 24,792 75  | 1,342 25  | 1,342 25   | S      |
|           |                                     |          | <b>Total for 9/23/2016</b>  |            | 24,792.75   | 24,792 75  | 1,342 25  | 1,342.25   |        |
| Note      |                                     |          |                             |            |             |            |           |            |        |
| 56585A102 | MARATHON PETROLEUM CORP             |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                                     | 12/06/16 | 130                         | 6,186 89   |             |            |           |            |        |
| Acq       |                                     | 11/12/15 | 40                          | 1,903 66   | 2,188.93    | 2,188 93   | -285 27   | -285 27    | L      |
| Acq       |                                     | 11/12/15 | 90                          | 4,283 23   | 4,925 52    | 4,925 52   | -642 29   | -642.29    | L      |
|           |                                     |          | <b>Total for 12/6/2016</b>  |            | 7,114 45    | 7,114.45   | -927 56   | -927 56    |        |
| Note      |                                     |          |                             |            |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                    | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 56585A102 | MARATHON PETROLEUM CORP        |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 180                         | 8,798.56  |             |            |           |            |        |
| Acq       |                                | 11/12/15 | 180                         | 8,798.56  | 9,850.19    | 9,850.19   | -1,051.63 | -1,051.63  | L      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 9,850.19    | 9,850.19   | -1,051.63 | -1,051.63  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 57582PWK2 | MASSACHUSETTS ST               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 06/29/16 | 25000                       | 27,953.25 |             |            |           |            |        |
| Acq       |                                | 01/12/11 | 25000                       | 27,953.25 | 25,044.25   | 25,044.25  | 2,909.00  | 2,909.00   | L      |
|           |                                |          | <b>Total for 6/29/2016</b>  |           | 25,044.25   | 25,044.25  | 2,909.00  | 2,909.00   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 580135101 | MC DONALDS CORPORATION COMMON  |          |                             |           | 10260740386 |            |           |            |        |
| Sold      |                                | 02/04/16 | 625                         | 75,216.05 |             |            |           |            |        |
| Acq       |                                | 01/23/15 | 625                         | 75,216.05 | 56,316.19   | 56,316.19  | 18,899.86 | 18,899.86  | L      |
|           |                                |          | <b>Total for 2/4/2016</b>   |           | 56,316.19   | 56,316.19  | 18,899.86 | 18,899.86  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 58013MEB6 | MCDONALDS CORP MEDIUM TERM NTS |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/08/16 | 25000                       | 25,944.75 |             |            |           |            |        |
| Acq       |                                | 10/19/07 | 25000                       | 25,944.75 | 25,351.25   | 25,351.25  | 593.50    | 593.50     | L      |
|           |                                |          | <b>Total for 12/8/2016</b>  |           | 25,351.25   | 25,351.25  | 593.50    | 593.50     |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 58933Y105 | MERCK & CO INC                 |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/06/16 | 80                          | 4,823.03  |             |            |           |            |        |
| Acq       |                                | 08/11/16 | 80                          | 4,823.03  | 5,094.91    | 5,094.91   | -271.88   | -271.88    | S      |
|           |                                |          | <b>Total for 12/6/2016</b>  |           | 5,094.91    | 5,094.91   | -271.88   | -271.88    |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 58933Y105 | MERCK & CO INC                 |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 140                         | 8,711.93  |             |            |           |            |        |
| Acq       |                                | 08/11/16 | 140                         | 8,711.93  | 8,916.10    | 8,916.10   | -204.17   | -204.17    | S      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 8,916.10    | 8,916.10   | -204.17   | -204.17    |        |
| Note      |                                |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description        | Date          | Shares              | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------|---------------|---------------------|------------|-------------|------------|-----------|------------|--------|
| 59156RAU2 | METLIFE INC        | 6 75% 6/01/16 |                     |            |             |            |           |            |        |
|           |                    |               |                     |            | 10265400911 |            |           |            |        |
| Sold      |                    | 03/09/16      | 25000               | 25,314.75  |             |            |           |            |        |
| Acq       |                    | 05/26/09      | 25000               | 25,314.75  | 24,940.75   | 24,940.75  | 374.00    | 374.00     | L      |
|           |                    |               | Total for 3/9/2016  |            | 24,940.75   | 24,940.75  | 374.00    | 374.00     |        |
| Note      |                    |               |                     |            |             |            |           |            |        |
| 59156RAU2 | METLIFE INC        | 6 75% 6/01/16 |                     |            |             |            |           |            |        |
|           |                    |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            | 10265400911 |            |           |            |        |
| Sold      |                    | 06/01/16      | 10000               | 10,000.00  |             |            |           |            |        |
| Acq       |                    | 05/26/09      | 10000               | 10,000.00  | 9,976.30    | 9,976.30   | 23.70     | 23.70      | L      |
|           |                    |               | Total for 6/1/2016  |            | 9,976.30    | 9,976.30   | 23.70     | 23.70      |        |
| Note      |                    |               |                     |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            | 10260740386 |            |           |            |        |
| Sold      |                    | 02/04/16      | 3900                | 201,936.74 |             |            |           |            |        |
| Acq       |                    | 01/03/13      | 2637                | 136,540.30 | 72,385.35   | 72,385.35  | 64,154.95 | 64,154.95  | L      |
| Acq       |                    | 06/20/08      | 538                 | 27,856.91  | 15,257.68   | 15,257.68  | 12,599.23 | 12,599.23  | L      |
| Acq       |                    | 02/12/13      | 725                 | 37,539.52  | 20,178.45   | 20,178.45  | 17,361.07 | 17,361.07  | L      |
|           |                    |               | Total for 2/4/2016  |            | 107,821.48  | 107,821.48 | 94,115.26 | 94,115.26  |        |
| Note      |                    |               |                     |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            | 10265400911 |            |           |            |        |
| Sold      |                    | 02/11/16      | 360                 | 17,623.67  |             |            |           |            |        |
| Acq       |                    | 01/05/16      | 360                 | 17,623.67  | 19,861.99   | 19,861.99  | -2,238.32 | -2,238.32  | S      |
|           |                    |               | Total for 2/11/2016 |            | 19,861.99   | 19,861.99  | -2,238.32 | -2,238.32  |        |
| Note      |                    |               |                     |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            | 10265400911 |            |           |            |        |
| Sold      |                    | 05/06/16      | 520                 | 25,849.16  |             |            |           |            |        |
| Acq       |                    | 04/20/16      | 520                 | 25,849.16  | 29,187.97   | 29,187.97  | -3,338.81 | -3,338.81  | S      |
|           |                    |               | Total for 5/6/2016  |            | 29,187.97   | 29,187.97  | -3,338.81 | -3,338.81  |        |
| Note      |                    |               |                     |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            |             |            |           |            |        |
|           |                    |               |                     |            | 10265400911 |            |           |            |        |
| Sold      |                    | 06/23/16      | 2470                | 127,931.11 |             |            |           |            |        |
| Acq       |                    | 11/12/15      | 940                 | 48,686.33  | 50,371.87   | 50,371.87  | -1,685.54 | -1,685.54  | S      |
| Acq       |                    | 01/05/16      | 200                 | 10,358.79  | 11,034.44   | 11,034.44  | -675.65   | -675.65    | S      |
| Acq       |                    | 01/06/16      | 590                 | 30,558.44  | 31,856.34   | 31,856.34  | -1,297.90 | -1,297.90  | S      |
| Acq       |                    | 04/20/16      | 190                 | 9,840.85   | 10,664.83   | 10,664.83  | -823.98   | -823.98    | S      |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description        | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------|----------|-----------------------------|------------|-------------|------------|-----------|------------|--------|
| 594918104 | MICROSOFT CORP COM |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                    | 06/23/16 | 2470                        | 127,931.11 |             |            |           |            |        |
| Acq       |                    | 01/07/16 | 550                         | 28,486.68  | 29,098.13   | 29,098.13  | -611.45   | -611.45    | S      |
|           |                    |          | <b>Total for 6/23/2016</b>  |            | 133,025.61  | 133,025.61 | -5,094.50 | -5,094.50  |        |
| Note      |                    |          |                             |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                    | 09/02/16 | 560                         | 32,217.39  |             |            |           |            |        |
| Acq       |                    | 01/07/16 | 510                         | 29,340.84  | 26,981.91   | 26,981.91  | 2,358.93  | 2,358.93   | S      |
| Acq       |                    | 02/10/16 | 50                          | 2,876.55   | 2,517.68    | 2,517.68   | 358.87    | 358.87     | S      |
|           |                    |          | <b>Total for 9/2/2016</b>   |            | 29,499.59   | 29,499.59  | 2,717.80  | 2,717.80   |        |
| Note      |                    |          |                             |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                    | 10/13/16 | 360                         | 20,526.21  |             |            |           |            |        |
| Acq       |                    | 02/10/16 | 270                         | 15,394.66  | 13,595.44   | 13,595.44  | 1,799.22  | 1,799.22   | S      |
| Acq       |                    | 09/22/15 | 90                          | 5,131.55   | 3,925.21    | 3,925.21   | 1,206.34  | 1,206.34   | L      |
|           |                    |          | <b>Total for 10/13/2016</b> |            | 17,520.65   | 17,520.65  | 3,005.56  | 3,005.56   |        |
| Note      |                    |          |                             |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                    | 12/06/16 | 30                          | 1,803.92   |             |            |           |            |        |
| Acq       |                    | 09/22/15 | 30                          | 1,803.92   | 1,308.40    | 1,308.40   | 495.52    | 495.52     | L      |
|           |                    |          | <b>Total for 12/6/2016</b>  |            | 1,308.40    | 1,308.40   | 495.52    | 495.52     |        |
| Note      |                    |          |                             |            |             |            |           |            |        |
| 594918104 | MICROSOFT CORP COM |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                    | 12/15/16 | 60                          | 3,754.24   |             |            |           |            |        |
| Acq       |                    | 09/22/15 | 60                          | 3,754.24   | 2,616.80    | 2,616.80   | 1,137.44  | 1,137.44   | L      |
|           |                    |          | <b>Total for 12/15/2016</b> |            | 2,616.80    | 2,616.80   | 1,137.44  | 1,137.44   |        |
| Note      |                    |          |                             |            |             |            |           |            |        |
| 595112103 | MICRON TECHNOLOGY  |          |                             |            | 10265400911 |            |           |            |        |
| Sold      |                    | 12/06/16 | 90                          | 1,695.74   |             |            |           |            |        |
| Acq       |                    | 11/14/16 | 90                          | 1,695.74   | 1,592.95    | 1,592.95   | 102.79    | 102.79     | S      |
|           |                    |          | <b>Total for 12/6/2016</b>  |            | 1,592.95    | 1,592.95   | 102.79    | 102.79     |        |
| Note      |                    |          |                             |            |             |            |           |            |        |



**Statement of Capital Gains and Losses From Sales**  
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 funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                    | Date     | Shares               | Price     | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|--------------------------------|----------|----------------------|-----------|-------------|------------|------------|------------|--------|
| 595112103 | MICRON TECHNOLOGY              |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                                | 12/15/16 | 460                  | 9,313.41  |             |            |            |            |        |
| Acq       |                                | 12/07/16 | 460                  | 9,313.41  | 9,245.95    | 9,245.95   | 67.46      | 67.46      | S      |
|           |                                |          | Total for 12/15/2016 |           | 9,245.95    | 9,245.95   | 67.46      | 67.46      |        |
| Note      |                                |          |                      |           |             |            |            |            |        |
| 609207105 | MONDELEZ INTERNATIONAL INC     |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                                | 12/15/16 | 160                  | 7,170.26  |             |            |            |            |        |
| Acq       |                                | 04/04/16 | 160                  | 7,170.26  | 6,520.67    | 6,578.27   | 649.59     | 591.99     | S      |
|           |                                |          | Total for 12/15/2016 |           | 6,520.67    | 6,578.27   | 649.59     | 591.99     |        |
| Note      |                                |          |                      |           |             |            |            |            |        |
| 61174X109 | MONSTER BEVERAGE CORP          |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                                | 12/06/16 | 180                  | 8,079.50  |             |            |            |            |        |
| Acq       |                                | 02/10/16 | 144                  | 6,463.60  | 5,670.14    | 5,670.14   | 793.46     | 793.46     | S      |
| Acq       |                                | 01/13/14 | 36                   | 1,615.90  | 836.15      | 836.15     | 779.75     | 779.75     | L      |
|           |                                |          | Total for 12/6/2016  |           | 6,506.29    | 6,506.29   | 1,573.21   | 1,573.21   |        |
| Note      |                                |          |                      |           |             |            |            |            |        |
| 61174X109 | MONSTER BEVERAGE CORP          |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                                | 12/15/16 | 180                  | 7,982.19  |             |            |            |            |        |
| Acq       |                                | 01/13/14 | 180                  | 7,982.19  | 4,180.73    | 4,180.73   | 3,801.46   | 3,801.46   | L      |
|           |                                |          | Total for 12/15/2016 |           | 4,180.73    | 4,180.73   | 3,801.46   | 3,801.46   |        |
| Note      |                                |          |                      |           |             |            |            |            |        |
| 64110L106 | NETFLIX COM INC                |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                                | 07/19/16 | 582                  | 49,704.28 |             |            |            |            |        |
| Acq       |                                | 01/13/16 | 189                  | 16,141.08 | 20,449.38   | 20,449.38  | -4,308.30  | -4,308.30  | S      |
| Acq       |                                | 01/21/16 | 117                  | 9,992.10  | 12,450.43   | 12,450.43  | -2,458.33  | -2,458.33  | S      |
| Acq       |                                | 02/10/16 | 40                   | 3,416.10  | 3,607.52    | 3,607.52   | -191.42    | -191.42    | S      |
| Acq       |                                | 01/07/16 | 236                  | 20,155.00 | 27,989.22   | 27,989.22  | -7,834.22  | -7,834.22  | S      |
|           |                                |          | Total for 7/19/2016  |           | 64,496.55   | 64,496.55  | -14,792.27 | -14,792.27 |        |
| Note      |                                |          |                      |           |             |            |            |            |        |
| 6459186N8 | NEW JERSEY ST ECON DEV AUTH RE |          |                      |           | 10265400911 |            |            |            |        |
| Sold      |                                | 06/15/16 | 50000                | 50,000.00 |             |            |            |            |        |
| Acq       |                                | 04/24/14 | 50000                | 50,000.00 | 50,000.00   | 50,000.00  | 0.00       | 0.00       | L      |
|           |                                |          | Total for 6/15/2016  |           | 50,000.00   | 50,000.00  | 0.00       | 0.00       |        |
| Note      |                                |          |                      |           |             |            |            |            |        |

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**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:26

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description            | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|------------------------|----------|-----------------------------|------------|-------------|------------|------------|------------|--------|
| 654106103 | NIKE INC CL B          |          |                             |            | 10265400911 |            |            |            |        |
| Sold      |                        | 12/06/16 | 80                          | 4,084.08   |             |            |            |            |        |
| Acq       |                        | 12/04/15 | 80                          | 4,084.08   | 5,295.43    | 5,295.43   | -1,211.35  | -1,211.35  | L      |
|           |                        |          | <b>Total for 12/6/2016</b>  |            | 5,295.43    | 5,295.43   | -1,211.35  | -1,211.35  |        |
| Note      |                        |          |                             |            |             |            |            |            |        |
| 654106103 | NIKE INC CL B          |          |                             |            | 10265400911 |            |            |            |        |
| Sold      |                        | 12/15/16 | 160                         | 8,199.34   |             |            |            |            |        |
| Acq       |                        | 12/04/15 | 160                         | 8,199.34   | 10,590.86   | 10,590.86  | -2,391.52  | -2,391.52  | L      |
|           |                        |          | <b>Total for 12/15/2016</b> |            | 10,590.86   | 10,590.86  | -2,391.52  | -2,391.52  |        |
| Note      |                        |          |                             |            |             |            |            |            |        |
| 66987V109 | NOVARTIS AG SPNSRD ADR |          |                             |            | 10260740386 |            |            |            |        |
| Sold      |                        | 11/08/16 | 4930                        | 349,916.87 |             |            |            |            |        |
| Acq       |                        | 03/02/16 | 4805                        | 341,044.74 | 352,740.82  | 352,740.82 | -11,696.08 | -11,696.08 | S      |
| Acq       |                        | 06/24/16 | 125                         | 8,872.13   | 9,683.75    | 9,683.75   | -811.62    | -811.62    | S      |
|           |                        |          | <b>Total for 11/8/2016</b>  |            | 362,424.57  | 362,424.57 | -12,507.70 | -12,507.70 |        |
| Note      |                        |          |                             |            |             |            |            |            |        |
| 670346105 | NUCOR CORP             |          |                             |            | 10265400911 |            |            |            |        |
| Sold      |                        | 12/06/16 | 120                         | 7,822.60   |             |            |            |            |        |
| Acq       |                        | 04/24/13 | 120                         | 7,822.60   | 5,228.46    | 5,228.46   | 2,594.14   | 2,594.14   | L      |
|           |                        |          | <b>Total for 12/6/2016</b>  |            | 5,228.46    | 5,228.46   | 2,594.14   | 2,594.14   |        |
| Note      |                        |          |                             |            |             |            |            |            |        |
| 670346105 | NUCOR CORP             |          |                             |            | 10265400911 |            |            |            |        |
| Sold      |                        | 12/15/16 | 110                         | 6,901.02   |             |            |            |            |        |
| Acq       |                        | 04/24/13 | 110                         | 6,901.02   | 4,792.76    | 4,792.76   | 2,108.26   | 2,108.26   | L      |
|           |                        |          | <b>Total for 12/15/2016</b> |            | 4,792.76    | 4,792.76   | 2,108.26   | 2,108.26   |        |
| Note      |                        |          |                             |            |             |            |            |            |        |
| 67066G104 | NVIDIA CORP            |          |                             |            | 10265400911 |            |            |            |        |
| Sold      |                        | 12/06/16 | 60                          | 5,543.40   |             |            |            |            |        |
| Acq       |                        | 11/11/16 | 60                          | 5,543.40   | 5,211.62    | 5,216.66   | 331.78     | 326.74     | S      |
|           |                        |          | <b>Total for 12/6/2016</b>  |            | 5,211.62    | 5,216.66   | 331.78     | 326.74     |        |
| Note      |                        |          |                             |            |             |            |            |            |        |

3/15/2017 12:58:26

**Trust Year Ending 12/31/2016**STATEMENT 7

BNY / Mellon  
Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 45 of 74  
3/15/2017 12:58:26

| Type      | Description            | Date     | Shares               | Price      | Fed Cost   | State Cost  | Fed Gain  | State Gain | (Type) |
|-----------|------------------------|----------|----------------------|------------|------------|-------------|-----------|------------|--------|
| 697435105 | PALO ALTO NETWORKS INC |          |                      |            |            | 10265400911 |           |            |        |
| Sold      |                        | 08/02/16 | 243                  | 30,782.08  |            |             |           |            |        |
| Acq       |                        | 11/12/15 | 20                   | 2,533.50   | 3,107.96   | 3,107.96    | -574.46   | -574.46    | S      |
|           |                        |          | Total for 8/2/2016   |            | 37,490.49  | 37,490.49   | -6,708.41 | -6,708.41  |        |
| Note      |                        |          |                      |            |            |             |           |            |        |
| 697435105 | PALO ALTO NETWORKS INC |          |                      |            |            | 10265400911 |           |            |        |
| Sold      |                        | 12/06/16 | 18                   | 2,303.63   |            |             |           |            |        |
| Acq       |                        | 03/31/15 | 18                   | 2,303.63   | 2,648.46   | 2,648.46    | -344.83   | -344.83    | L      |
|           |                        |          | Total for 12/6/2016  |            | 2,648.46   | 2,648.46    | -344.83   | -344.83    |        |
| Note      |                        |          |                      |            |            |             |           |            |        |
| 697435105 | PALO ALTO NETWORKS INC |          |                      |            |            | 10265400911 |           |            |        |
| Sold      |                        | 12/15/16 | 36                   | 4,647.03   |            |             |           |            |        |
| Acq       |                        | 03/31/15 | 36                   | 4,647.03   | 5,296.92   | 5,296.92    | -649.89   | -649.89    | L      |
|           |                        |          | Total for 12/15/2016 |            | 5,296.92   | 5,296.92    | -649.89   | -649.89    |        |
| Note      |                        |          |                      |            |            |             |           |            |        |
| 69840W108 | PANERA BREAD CO        |          |                      |            |            | 10265400911 |           |            |        |
| Sold      |                        | 12/06/16 | 22                   | 4,669.00   |            |             |           |            |        |
| Acq       |                        | 04/20/16 | 22                   | 4,669.00   | 4,793.29   | 4,793.29    | -124.29   | -124.29    | S      |
|           |                        |          | Total for 12/6/2016  |            | 4,793.29   | 4,793.29    | -124.29   | -124.29    |        |
| Note      |                        |          |                      |            |            |             |           |            |        |
| 69840W108 | PANERA BREAD CO        |          |                      |            |            | 10265400911 |           |            |        |
| Sold      |                        | 12/15/16 | 34                   | 7,232.72   |            |             |           |            |        |
| Acq       |                        | 04/20/16 | 34                   | 7,232.72   | 7,407.81   | 7,407.81    | -175.09   | -175.09    | S      |
|           |                        |          | Total for 12/15/2016 |            | 7,407.81   | 7,407.81    | -175.09   | -175.09    |        |
| Note      |                        |          |                      |            |            |             |           |            |        |
| 713448108 | PEPSICO INC            |          |                      |            |            | 10260740386 |           |            |        |
| Sold      |                        | 02/04/16 | 1800                 | 175,293.78 |            |             |           |            |        |
| Acq       |                        | 01/03/13 | 940                  | 91,542.31  | 65,232.85  | 65,232.85   | 26,309.46 | 26,309.46  | L      |
| Acq       |                        | 01/09/13 | 860                  | 83,751.47  | 60,087.69  | 60,087.69   | 23,663.78 | 23,663.78  | L      |
|           |                        |          | Total for 2/4/2016   |            | 125,320.54 | 125,320.54  | 49,973.24 | 49,973.24  |        |
| Note      |                        |          |                      |            |            |             |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description               | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|---------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 713448108 | PEPSICO INC               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/06/16 | 117                         | 11,838.03 |             |            |           |            |        |
| Acq       |                           | 11/12/15 | 117                         | 11,838.03 | 11,562.65   | 11,562.65  | 275.38    | 275.38     | L      |
|           |                           |          | <b>Total for 12/6/2016</b>  |           | 11,562.65   | 11,562.65  | 275.38    | 275.38     |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| 713448108 | PEPSICO INC               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/15/16 | 120                         | 12,617.07 |             |            |           |            |        |
| Acq       |                           | 11/12/15 | 120                         | 12,617.07 | 11,859.13   | 11,859.13  | 757.94    | 757.94     | L      |
|           |                           |          | <b>Total for 12/15/2016</b> |           | 11,859.13   | 11,859.13  | 757.94    | 757.94     |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| 71645WAT8 | PETROBRAS INTL FIN 3.875% |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 01/27/16 | 45000                       | 45,000.00 |             |            |           |            |        |
| Acq       |                           | 01/20/11 | 35000                       | 35,000.00 | 34,784.83   | 34,882.05  | 215.17    | 117.95     | L      |
| Acq       |                           | 12/23/14 | 10000                       | 10,000.00 | 9,972.22    | 10,000.00  | 27.78     | 0.00       | L      |
|           |                           |          | <b>Total for 1/27/2016</b>  |           | 44,757.05   | 44,882.05  | 242.95    | 117.95     |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| 717081103 | PFIZER INC COM            |          |                             |           | 10260740386 |            |           |            |        |
| Sold      |                           | 07/20/16 | 2045                        | 75,211.05 |             |            |           |            |        |
| Acq       |                           | 01/03/13 | 2045                        | 75,211.05 | 52,701.28   | 52,701.28  | 22,509.77 | 22,509.77  | L      |
|           |                           |          | <b>Total for 7/20/2016</b>  |           | 52,701.28   | 52,701.28  | 22,509.77 | 22,509.77  |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| 717081103 | PFIZER INC COM            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 09/14/16 | 1640                        | 55,608.24 |             |            |           |            |        |
| Acq       |                           | 06/26/15 | 680                         | 23,057.08 | 23,276.74   | 23,276.74  | -219.66   | -219.66    | L      |
| Acq       |                           | 10/22/13 | 300                         | 10,172.24 | 9,217.98    | 9,217.98   | 954.26    | 954.26     | L      |
| Acq       |                           | 01/08/15 | 560                         | 18,988.18 | 18,206.16   | 18,206.16  | 782.02    | 782.02     | L      |
| Acq       |                           | 11/05/13 | 100                         | 3,390.75  | 3,116.75    | 3,116.75   | 274.00    | 274.00     | L      |
|           |                           |          | <b>Total for 9/14/2016</b>  |           | 53,817.63   | 53,817.63  | 1,790.61  | 1,790.61   |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| 717081103 | PFIZER INC COM            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 10/06/16 | 490                         | 16,438.75 |             |            |           |            |        |
| Acq       |                           | 02/10/16 | 240                         | 8,051.63  | 7,153.92    | 7,153.92   | 897.71    | 897.71     | S      |
| Acq       |                           | 06/04/14 | 70                          | 2,348.39  | 2,083.89    | 2,083.89   | 264.50    | 264.50     | L      |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description        | Date         | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------|--------------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 717081103 | PFIZER INC COM     |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                    | 10/06/16     | 490                         | 16,438.75 |             |            |           |            |        |
| Acq       |                    | 10/22/13     | 180                         | 6,038.72  | 5,530.79    | 5,530.79   | 507.93    | 507.93     | L      |
|           |                    |              | <b>Total for 10/6/2016</b>  |           | 14,768.60   | 14,768.60  | 1,670.15  | 1,670.15   |        |
| Note      |                    |              |                             |           |             |            |           |            |        |
| 717081103 | PFIZER INC COM     |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                    | 12/06/16     | 70                          | 2,210.70  |             |            |           |            |        |
| Acq       |                    | 06/04/14     | 40                          | 1,263.26  | 1,190.80    | 1,190.80   | 72.46     | 72.46      | L      |
| Acq       |                    | 07/07/09     | 30                          | 947.44    | 439.29      | 439.29     | 508.15    | 508.15     | L      |
|           |                    |              | <b>Total for 12/6/2016</b>  |           | 1,630.09    | 1,630.09   | 580.61    | 580.61     |        |
| Note      |                    |              |                             |           |             |            |           |            |        |
| 717081103 | PFIZER INC COM     |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                    | 12/15/16     | 190                         | 6,195.48  |             |            |           |            |        |
| Acq       |                    | 07/07/09     | 190                         | 6,195.48  | 2,782.17    | 2,782.17   | 3,413.31  | 3,413.31   | L      |
|           |                    |              | <b>Total for 12/15/2016</b> |           | 2,782.17    | 2,782.17   | 3,413.31  | 3,413.31   |        |
| Note      |                    |              |                             |           |             |            |           |            |        |
| 717081DB6 | PFIZER INC         | 6 2% 3/15/19 |                             |           | 10265400911 |            |           |            |        |
| Sold      |                    | 11/18/16     | 25000                       | 27,605.75 |             |            |           |            |        |
| Acq       |                    | 03/17/09     | 25000                       | 27,605.75 | 24,974.75   | 24,974.75  | 2,631.00  | 2,631.00   | L      |
|           |                    |              | <b>Total for 11/18/2016</b> |           | 24,974.75   | 24,974.75  | 2,631.00  | 2,631.00   |        |
| Note      |                    |              |                             |           |             |            |           |            |        |
| 718546104 | PHILLIPS 66        |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                    | 02/11/16     | 800                         | 58,147.05 |             |            |           |            |        |
| Acq       |                    | 12/10/14     | 90                          | 6,541.54  | 6,039.41    | 6,039.41   | 502.13    | 502.13     | L      |
| Acq       |                    | 11/20/14     | 310                         | 22,531.98 | 24,076.80   | 24,076.80  | -1,544.82 | -1,544.82  | L      |
| Acq       |                    | 07/22/15     | 160                         | 11,629.41 | 13,245.41   | 13,245.41  | -1,616.00 | -1,616.00  | S      |
| Acq       |                    | 02/10/16     | 40                          | 2,907.35  | 3,026.72    | 3,026.72   | -119.37   | -119.37    | S      |
| Acq       |                    | 12/11/14     | 120                         | 8,722.06  | 8,125.74    | 8,125.74   | 596.32    | 596.32     | L      |
| Acq       |                    | 11/12/15     | 80                          | 5,814.71  | 7,133.26    | 7,133.26   | -1,318.55 | -1,318.55  | S      |
|           |                    |              | <b>Total for 2/11/2016</b>  |           | 61,647.34   | 61,647.34  | -3,500.29 | -3,500.29  |        |
| Note      |                    |              |                             |           |             |            |           |            |        |
| 723787107 | PIONEER NAT RES CO |              |                             |           | 10265400911 |            |           |            |        |
| Sold      |                    | 05/03/16     | 168                         | 26,762.31 |             |            |           |            |        |
| Acq       |                    | 02/17/15     | 134                         | 21,346.13 | 21,069.14   | 21,069.14  | 276.99    | 276.99     | L      |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description             | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-------------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| 723787107 | PIONEER NAT RES CO      |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                         | 05/03/16 | 168                         | 26,762.31 |             |            |          |            |        |
| Acq       |                         | 02/19/15 | 34                          | 5,416.18  | 5,446.95    | 5,446.95   | -30.77   | -30.77     | L      |
|           |                         |          | <b>Total for 5/3/2016</b>   |           | 26,516.09   | 26,516.09  | 246.22   | 246.22     |        |
| Note      |                         |          |                             |           |             |            |          |            |        |
| 723787107 | PIONEER NAT RES CO      |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                         | 08/25/16 | 152                         | 27,398.05 |             |            |          |            |        |
| Acq       |                         | 02/13/15 | 26                          | 4,686.51  | 4,083.84    | 4,083.84   | 602.67   | 602.67     | L      |
| Acq       |                         | 02/17/15 | 126                         | 22,711.54 | 19,811.28   | 19,811.28  | 2,900.26 | 2,900.26   | L      |
|           |                         |          | <b>Total for 8/25/2016</b>  |           | 23,895.12   | 23,895.12  | 3,502.93 | 3,502.93   |        |
| Note      |                         |          |                             |           |             |            |          |            |        |
| 723787107 | PIONEER NAT RES CO      |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                         | 12/06/16 | 13                          | 2,431.63  |             |            |          |            |        |
| Acq       |                         | 11/03/16 | 13                          | 2,431.63  | 2,237.69    | 2,237.69   | 193.94   | 193.94     | S      |
|           |                         |          | <b>Total for 12/6/2016</b>  |           | 2,237.69    | 2,237.69   | 193.94   | 193.94     |        |
| Note      |                         |          |                             |           |             |            |          |            |        |
| 723787107 | PIONEER NAT RES CO      |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                         | 12/15/16 | 37                          | 6,904.50  |             |            |          |            |        |
| Acq       |                         | 11/03/16 | 37                          | 6,904.50  | 6,368.81    | 6,368.81   | 535.69   | 535.69     | S      |
|           |                         |          | <b>Total for 12/15/2016</b> |           | 6,368.81    | 6,368.81   | 535.69   | 535.69     |        |
| Note      |                         |          |                             |           |             |            |          |            |        |
| 742718109 | PROCTER & GAMBLE CO COM |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                         | 12/06/16 | 130                         | 10,799.35 |             |            |          |            |        |
| Acq       |                         | 02/10/16 | 130                         | 10,799.35 | 10,682.81   | 10,682.81  | 116.54   | 116.54     | S      |
|           |                         |          | <b>Total for 12/6/2016</b>  |           | 10,682.81   | 10,682.81  | 116.54   | 116.54     |        |
| Note      |                         |          |                             |           |             |            |          |            |        |
| 742718109 | PROCTER & GAMBLE CO COM |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                         | 12/15/16 | 130                         | 10,992.53 |             |            |          |            |        |
| Acq       |                         | 02/10/16 | 10                          | 845.58    | 821.75      | 821.75     | 23.83    | 23.83      | S      |
| Acq       |                         | 01/26/16 | 120                         | 10,146.95 | 9,491.13    | 9,491.13   | 655.82   | 655.82     | S      |
|           |                         |          | <b>Total for 12/15/2016</b> |           | 10,312.88   | 10,312.88  | 679.65   | 679.65     |        |
| Note      |                         |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**MCKENNA KATHERINE MABIS FDN INC-NO 2**

**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date          | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain    | State Gain  | (Type) |
|-----------|--------------------------------|---------------|-----------------------------|------------|-------------|------------|-------------|-------------|--------|
| 747525103 | QUALCOMM INC                   |               |                             |            | 10260740386 |            |             |             |        |
| Sold      |                                | 01/29/16      | 9440                        | 422,155.64 |             |            |             |             |        |
| Acq       |                                | 08/27/14      | 5845                        | 261,387.68 | 448,282.28  | 448,282.28 | -186,894.60 | -186,894.60 | L      |
| Acq       |                                | 02/03/15      | 3595                        | 160,767.96 | 236,984.20  | 236,984.20 | -76,216.24  | -76,216.24  | S      |
|           |                                |               | <b>Total for 1/29/2016</b>  |            | 685,266.48  | 685,266.48 | -263,110.84 | -263,110.84 |        |
| Note      |                                |               |                             |            |             |            |             |             |        |
| 747525103 | QUALCOMM INC                   |               |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                | 12/06/16      | 70                          | 4,673.95   |             |            |             |             |        |
| Acq       |                                | 10/27/16      | 70                          | 4,673.95   | 4,917.96    | 4,917.96   | -244.01     | -244.01     | S      |
|           |                                |               | <b>Total for 12/6/2016</b>  |            | 4,917.96    | 4,917.96   | -244.01     | -244.01     |        |
| Note      |                                |               |                             |            |             |            |             |             |        |
| 747525103 | QUALCOMM INC                   |               |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                | 12/15/16      | 190                         | 12,972.74  |             |            |             |             |        |
| Acq       |                                | 10/27/16      | 190                         | 12,972.74  | 13,348.73   | 13,348.73  | -375.99     | -375.99     | S      |
|           |                                |               | <b>Total for 12/15/2016</b> |            | 13,348.73   | 13,348.73  | -375.99     | -375.99     |        |
| Note      |                                |               |                             |            |             |            |             |             |        |
| 747525AD5 | QUALCOMM INC                   | 2 25% 5/20/20 |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                | 05/25/16      | 30000                       | 30,536.70  |             |            |             |             |        |
| Acq       |                                | 05/13/15      | 30000                       | 30,536.70  | 29,976.00   | 29,976.00  | 560.70      | 560.70      | L      |
|           |                                |               | <b>Total for 5/25/2016</b>  |            | 29,976.00   | 29,976.00  | 560.70      | 560.70      |        |
| Note      |                                |               |                             |            |             |            |             |             |        |
| 74977EPZ0 | COOPERATIEVE CENTRALE RAIFFEIS |               |                             |            | 10265400911 |            |             |             |        |
| Sold      |                                | 07/15/16      | 55000                       | 55,376.20  |             |            |             |             |        |
| Acq       |                                | 04/09/14      | 55000                       | 55,376.20  | 54,810.25   | 54,810.25  | 565.95      | 565.95      | L      |
|           |                                |               | <b>Total for 7/15/2016</b>  |            | 54,810.25   | 54,810.25  | 565.95      | 565.95      |        |
| Note      |                                |               |                             |            |             |            |             |             |        |
| 760759100 | REPUBLIC SVCS COM              |               |                             |            | 10260740386 |            |             |             |        |
| Sold      |                                | 02/04/16      | 5680                        | 250,782.86 |             |            |             |             |        |
| Acq       |                                | 01/31/14      | 1250                        | 55,189.89  | 39,954.95   | 39,954.95  | 15,234.94   | 15,234.94   | L      |
| Acq       |                                | 02/16/11      | 650                         | 28,698.74  | 19,514.35   | 19,514.35  | 9,184.39    | 9,184.39    | L      |
| Acq       |                                | 01/03/13      | 3780                        | 166,894.23 | 112,588.11  | 112,588.11 | 54,306.12   | 54,306.12   | L      |
|           |                                |               | <b>Total for 2/4/2016</b>   |            | 172,057.41  | 172,057.41 | 78,725.45   | 78,725.45   |        |
| Note      |                                |               |                             |            |             |            |             |             |        |



**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**MCKENNA KATHERINE MABIS FDN INC-NO 2**

**Trust Year Ending 12/31/2016**

| Type      | Description                       | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 760759100 | REPUBLIC SVCS COM                 |          |                             |           | 10260740386 |            |           |            |        |
| Sold      |                                   | 07/20/16 | 955                         | 49,957.09 |             |            |           |            |        |
| Acq       |                                   | 01/03/13 | 955                         | 49,957.09 | 28,444.88   | 28,444.88  | 21,512.21 | 21,512.21  | L      |
|           |                                   |          | <b>Total for 7/20/2016</b>  |           | 28,444.88   | 28,444.88  | 21,512.21 | 21,512.21  |        |
| Note      |                                   |          |                             |           |             |            |           |            |        |
| 78011DAC8 | ROYAL BANK OF CANADA 1 2% 9/19/17 |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                   | 01/27/16 | 30000                       | 29,920.80 |             |            |           |            |        |
| Acq       |                                   | 09/12/12 | 30000                       | 29,920.80 | 29,997.00   | 29,997.00  | -76.20    | -76.20     | L      |
|           |                                   |          | <b>Total for 1/27/2016</b>  |           | 29,997.00   | 29,997.00  | -76.20    | -76.20     |        |
| Note      |                                   |          |                             |           |             |            |           |            |        |
| 79466L302 | SALESFORCE COM INC                |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                   | 07/12/16 | 200                         | 16,434.78 |             |            |           |            |        |
| Acq       |                                   | 03/30/16 | 10                          | 821.74    | 740.99      | 740.99     | 80.75     | 80.75      | S      |
| Acq       |                                   | 03/31/16 | 190                         | 15,613.04 | 14,031.43   | 14,031.43  | 1,581.61  | 1,581.61   | S      |
|           |                                   |          | <b>Total for 7/12/2016</b>  |           | 14,772.42   | 14,772.42  | 1,662.36  | 1,662.36   |        |
| Note      |                                   |          |                             |           |             |            |           |            |        |
| 79466L302 | SALESFORCE COM INC                |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                   | 10/13/16 | 460                         | 32,529.85 |             |            |           |            |        |
| Acq       |                                   | 02/10/16 | 10                          | 707.17    | 601.44      | 601.44     | 105.73    | 105.73     | S      |
| Acq       |                                   | 08/19/16 | 410                         | 28,994.00 | 31,722.81   | 31,722.81  | -2,728.81 | -2,728.81  | S      |
| Acq       |                                   | 03/31/16 | 40                          | 2,828.68  | 2,953.98    | 2,953.98   | -125.30   | -125.30    | S      |
|           |                                   |          | <b>Total for 10/13/2016</b> |           | 35,278.23   | 35,278.23  | -2,748.38 | -2,748.38  |        |
| Note      |                                   |          |                             |           |             |            |           |            |        |
| 79466L302 | SALESFORCE COM INC                |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                   | 10/14/16 | 350                         | 25,200.43 |             |            |           |            |        |
| Acq       |                                   | 06/22/10 | 270                         | 19,440.33 | 6,347.73    | 6,347.73   | 13,092.60 | 13,092.60  | L      |
| Acq       |                                   | 02/10/16 | 80                          | 5,760.10  | 4,811.50    | 4,811.50   | 948.60    | 948.60     | S      |
|           |                                   |          | <b>Total for 10/14/2016</b> |           | 11,159.23   | 11,159.23  | 14,041.20 | 14,041.20  |        |
| Note      |                                   |          |                             |           |             |            |           |            |        |
| 79466L302 | SALESFORCE COM INC                |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                   | 10/18/16 | 430                         | 31,263.16 |             |            |           |            |        |
| Acq       |                                   | 06/22/10 | 430                         | 31,263.16 | 10,109.34   | 10,109.34  | 21,153.82 | 21,153.82  | L      |
|           |                                   |          | <b>Total for 10/18/2016</b> |           | 10,109.34   | 10,109.34  | 21,153.82 | 21,153.82  |        |
| Note      |                                   |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date     | Shares                      | Price    | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|----------|-------------|------------|----------|------------|--------|
| 79466L302 | SALESFORCE COM INC             |          |                             |          | 10265400911 |            |          |            |        |
| Sold      |                                | 12/06/16 | 30                          | 2,118.36 |             |            |          |            |        |
| Acq       |                                | 06/22/10 | 30                          | 2,118.36 | 705.30      | 705.30     | 1,413.06 | 1,413.06   | L      |
|           |                                |          | <b>Total for 12/6/2016</b>  |          | 705.30      | 705.30     | 1,413.06 | 1,413.06   |        |
| Note      |                                |          |                             |          |             |            |          |            |        |
| 79466L302 | SALESFORCE COM INC             |          |                             |          | 10265400911 |            |          |            |        |
| Sold      |                                | 12/15/16 | 80                          | 5,632.03 |             |            |          |            |        |
| Acq       |                                | 06/22/10 | 80                          | 5,632.03 | 1,880.81    | 1,880.81   | 3,751.22 | 3,751.22   | L      |
|           |                                |          | <b>Total for 12/15/2016</b> |          | 1,880.81    | 1,880.81   | 3,751.22 | 3,751.22   |        |
| Note      |                                |          |                             |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |          |                             |          | 10265400911 |            |          |            |        |
| Sold      |                                | 01/15/16 | 2974.91                     | 2,974.91 |             |            |          |            |        |
| Acq       |                                | 11/20/14 | 2974.91                     | 2,974.91 | 2,994.08    | 2,994.08   | -19.17   | -19.17     | L      |
|           |                                |          | <b>Total for 1/15/2016</b>  |          | 2,994.08    | 2,994.08   | -19.17   | -19.17     |        |
| Note      |                                |          |                             |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |          |                             |          | 10265400911 |            |          |            |        |
| Sold      |                                | 02/15/16 | 2801.38                     | 2,801.38 |             |            |          |            |        |
| Acq       |                                | 11/20/14 | 2801.38                     | 2,801.38 | 2,819.44    | 2,819.44   | -18.06   | -18.06     | L      |
|           |                                |          | <b>Total for 2/15/2016</b>  |          | 2,819.44    | 2,819.44   | -18.06   | -18.06     |        |
| Note      |                                |          |                             |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |          |                             |          | 10265400911 |            |          |            |        |
| Sold      |                                | 03/15/16 | 2811.55                     | 2,811.55 |             |            |          |            |        |
| Acq       |                                | 11/20/14 | 2811.55                     | 2,811.55 | 2,829.67    | 2,829.67   | -18.12   | -18.12     | L      |
|           |                                |          | <b>Total for 3/15/2016</b>  |          | 2,829.67    | 2,829.67   | -18.12   | -18.12     |        |
| Note      |                                |          |                             |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |          |                             |          | 10265400911 |            |          |            |        |
| Sold      |                                | 04/15/16 | 2731.06                     | 2,731.06 |             |            |          |            |        |
| Acq       |                                | 11/20/14 | 2731.06                     | 2,731.06 | 2,748.66    | 2,748.66   | -17.60   | -17.60     | L      |
|           |                                |          | <b>Total for 4/15/2016</b>  |          | 2,748.66    | 2,748.66   | -17.60   | -17.60     |        |
| Note      |                                |          |                             |          |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date          | Shares                     | Price    | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|--------------------------------|---------------|----------------------------|----------|-------------|------------|----------|------------|--------|
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |               |                            |          | 10265400911 |            |          |            |        |
| Sold      |                                | 05/15/16      | 2477.75                    | 2,477.75 |             |            |          |            |        |
| Acq       |                                | 11/20/14      | 2477.75                    | 2,477.75 | 2,493.72    | 2,493.72   | -15.97   | -15.97     | L      |
|           |                                |               | <b>Total for 5/15/2016</b> |          | 2,493.72    | 2,493.72   | -15.97   | -15.97     |        |
| Note      |                                |               |                            |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |               |                            |          | 10265400911 |            |          |            |        |
| Sold      |                                | 06/15/16      | 2290.63                    | 2,290.63 |             |            |          |            |        |
| Acq       |                                | 11/20/14      | 2290.63                    | 2,290.63 | 2,305.40    | 2,305.40   | -14.77   | -14.77     | L      |
|           |                                |               | <b>Total for 6/15/2016</b> |          | 2,305.40    | 2,305.40   | -14.77   | -14.77     |        |
| Note      |                                |               |                            |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |               |                            |          | 10265400911 |            |          |            |        |
| Sold      |                                | 07/15/16      | 2310.68                    | 2,310.68 |             |            |          |            |        |
| Acq       |                                | 11/20/14      | 2310.68                    | 2,310.68 | 2,325.57    | 2,325.57   | -14.89   | -14.89     | L      |
|           |                                |               | <b>Total for 7/15/2016</b> |          | 2,325.57    | 2,325.57   | -14.89   | -14.89     |        |
| Note      |                                |               |                            |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |               |                            |          | 10265400911 |            |          |            |        |
| Sold      |                                | 08/15/16      | 2292.63                    | 2,292.63 |             |            |          |            |        |
| Acq       |                                | 11/20/14      | 2292.63                    | 2,292.63 | 2,307.41    | 2,307.41   | -14.78   | -14.78     | L      |
|           |                                |               | <b>Total for 8/15/2016</b> |          | 2,307.41    | 2,307.41   | -14.78   | -14.78     |        |
| Note      |                                |               |                            |          |             |            |          |            |        |
| 80281CAE5 | SANTANDER DRIVE AUTO RECEI 5 B |               |                            |          | 10265400911 |            |          |            |        |
| Sold      |                                | 09/15/16      | 2107.01                    | 2,107.01 |             |            |          |            |        |
| Acq       |                                | 11/20/14      | 2107.01                    | 2,107.01 | 2,120.59    | 2,120.59   | -13.58   | -13.58     | L      |
|           |                                |               | <b>Total for 9/15/2016</b> |          | 2,120.59    | 2,120.59   | -13.58   | -13.58     |        |
| Note      |                                |               |                            |          |             |            |          |            |        |
| 80282WAE0 | SDART 2012-3 C                 | 3.01% 4/16/18 |                            |          | 10265400911 |            |          |            |        |
| Sold      |                                | 01/15/16      | 4273.95                    | 4,273.95 |             |            |          |            |        |
| Acq       |                                | 10/29/14      | 4273.95                    | 4,273.95 | 4,353.09    | 4,353.09   | -79.14   | -79.14     | L      |
|           |                                |               | <b>Total for 1/15/2016</b> |          | 4,353.09    | 4,353.09   | -79.14   | -79.14     |        |
| Note      |                                |               |                            |          |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description              | Date          | Shares                     | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------|---------------|----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 80282WAE0 | SDART 2012-3 C           | 3.01% 4/16/18 |                            |           | 10265400911 |            |           |            |        |
|           | <b>Sold</b>              | 02/15/16      | 4309.74                    | 4,309.74  |             |            |           |            |        |
|           | <b>Acq</b>               | 10/29/14      | 4309.74                    | 4,309.74  | 4,389.54    | 4,389.54   | -79.80    | -79.80     | L      |
|           |                          |               | <b>Total for 2/15/2016</b> |           | 4,389.54    | 4,389.54   | -79.80    | -79.80     |        |
|           | <b>Note</b>              |               |                            |           |             |            |           |            |        |
| 80282WAE0 | SDART 2012-3 C           | 3.01% 4/16/18 |                            |           | 10265400911 |            |           |            |        |
|           | <b>Sold</b>              | 03/15/16      | 4307.41                    | 4,307.41  |             |            |           |            |        |
|           | <b>Acq</b>               | 10/29/14      | 4307.41                    | 4,307.41  | 4,387.16    | 4,387.16   | -79.75    | -79.75     | L      |
|           |                          |               | <b>Total for 3/15/2016</b> |           | 4,387.16    | 4,387.16   | -79.75    | -79.75     |        |
|           | <b>Note</b>              |               |                            |           |             |            |           |            |        |
| 80282WAE0 | SDART 2012-3 C           | 3.01% 4/16/18 |                            |           | 10265400911 |            |           |            |        |
|           | <b>Sold</b>              | 04/15/16      | 4118.86                    | 4,118.86  |             |            |           |            |        |
|           | <b>Acq</b>               | 10/29/14      | 4118.86                    | 4,118.86  | 4,195.12    | 4,195.12   | -76.26    | -76.26     | L      |
|           |                          |               | <b>Total for 4/15/2016</b> |           | 4,195.12    | 4,195.12   | -76.26    | -76.26     |        |
|           | <b>Note</b>              |               |                            |           |             |            |           |            |        |
| 80282WAE0 | SDART 2012-3 C           | 3.01% 4/16/18 |                            |           | 10265400911 |            |           |            |        |
|           | <b>Sold</b>              | 05/15/16      | 3629.14                    | 3,629.14  |             |            |           |            |        |
|           | <b>Acq</b>               | 10/29/14      | 3629.14                    | 3,629.14  | 3,696.34    | 3,696.34   | -67.20    | -67.20     | L      |
|           |                          |               | <b>Total for 5/15/2016</b> |           | 3,696.34    | 3,696.34   | -67.20    | -67.20     |        |
|           | <b>Note</b>              |               |                            |           |             |            |           |            |        |
| 80282WAE0 | SDART 2012-3 C           | 3.01% 4/16/18 |                            |           | 10265400911 |            |           |            |        |
|           | <b>Sold</b>              | 06/15/16      | 2005.98                    | 2,005.98  |             |            |           |            |        |
|           | <b>Acq</b>               | 10/29/14      | 2005.98                    | 2,005.98  | 2,043.12    | 2,043.12   | -37.14    | -37.14     | L      |
|           |                          |               | <b>Total for 6/15/2016</b> |           | 2,043.12    | 2,043.12   | -37.14    | -37.14     |        |
|           | <b>Note</b>              |               |                            |           |             |            |           |            |        |
| 806857108 | SCHLUMBERGER LIMITED COM |               |                            |           | 10265400911 |            |           |            |        |
|           | <b>Sold</b>              | 08/23/16      | 370                        | 30,264.34 |             |            |           |            |        |
|           | <b>Acq</b>               | 05/22/15      | 50                         | 4,089.78  | 4,604.75    | 4,604.75   | -514.97   | -514.97    | L      |
|           | <b>Acq</b>               | 07/22/15      | 120                        | 9,815.46  | 10,146.11   | 10,146.11  | -330.65   | -330.65    | L      |
|           | <b>Acq</b>               | 10/10/14      | 120                        | 9,815.46  | 11,277.34   | 11,277.34  | -1,461.88 | -1,461.88  | L      |
|           | <b>Acq</b>               | 05/26/15      | 80                         | 6,543.64  | 7,294.19    | 7,294.19   | -750.55   | -750.55    | L      |
|           |                          |               | <b>Total for 8/23/2016</b> |           | 33,322.39   | 33,322.39  | -3,058.05 | -3,058.05  |        |
|           | <b>Note</b>              |               |                            |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description              | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 806857108 | SCHLUMBERGER LIMITED COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/06/16 | 40                          | 3,335.61  |             |            |           |            |        |
| Acq       |                          | 07/22/15 | 40                          | 3,335.61  | 3,382.04    | 3,382.04   | -46.43    | -46.43     | L      |
|           |                          |          | <b>Total for 12/6/2016</b>  |           | 3,382.04    | 3,382.04   | -46.43    | -46.43     |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 806857108 | SCHLUMBERGER LIMITED COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/15/16 | 90                          | 7,683.10  |             |            |           |            |        |
| Acq       |                          | 07/22/15 | 50                          | 4,268.39  | 4,227.54    | 4,227.54   | 40.85     | 40.85      | L      |
| Acq       |                          | 11/12/15 | 40                          | 3,414.71  | 3,080.32    | 3,080.32   | 334.39    | 334.39     | L      |
|           |                          |          | <b>Total for 12/15/2016</b> |           | 7,307.86    | 7,307.86   | 375.24    | 375.24     |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 808513105 | SCHWAB CHARLES CORP NEW  |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/06/16 | 310                         | 12,075.64 |             |            |           |            |        |
| Acq       |                          | 11/12/15 | 300                         | 11,686.10 | 9,881.40    | 9,881.40   | 1,804.70  | 1,804.70   | L      |
| Acq       |                          | 06/29/15 | 10                          | 389.54    | 323.68      | 323.68     | 65.86     | 65.86      | L      |
|           |                          |          | <b>Total for 12/6/2016</b>  |           | 10,205.08   | 10,205.08  | 1,870.56  | 1,870.56   |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 808513105 | SCHWAB CHARLES CORP NEW  |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/15/16 | 310                         | 12,264.51 |             |            |           |            |        |
| Acq       |                          | 06/29/15 | 310                         | 12,264.51 | 10,034.08   | 10,034.08  | 2,230.43  | 2,230.43   | L      |
|           |                          |          | <b>Total for 12/15/2016</b> |           | 10,034.08   | 10,034.08  | 2,230.43  | 2,230.43   |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 816851109 | SEMPRA ENERGY            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 01/06/16 | 473                         | 43,675.83 |             |            |           |            |        |
| Acq       |                          | 05/06/15 | 227                         | 20,960.70 | 23,457.16   | 23,457.16  | -2,496.46 | -2,496.46  | S      |
| Acq       |                          | 05/07/15 | 246                         | 22,715.13 | 25,569.83   | 25,569.83  | -2,854.70 | -2,854.70  | S      |
|           |                          |          | <b>Total for 1/6/2016</b>   |           | 49,026.99   | 49,026.99  | -5,351.16 | -5,351.16  |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 816851109 | SEMPRA ENERGY            |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 01/07/16 | 959                         | 84,313.92 |             |            |           |            |        |
| Acq       |                          | 06/29/15 | 160                         | 14,066.97 | 15,936.22   | 15,936.22  | -1,869.25 | -1,869.25  | S      |
| Acq       |                          | 05/06/15 | 35                          | 3,077.15  | 3,616.74    | 3,616.74   | -539.59   | -539.59    | S      |
| Acq       |                          | 02/26/07 | 320                         | 28,133.95 | 19,658.85   | 19,658.85  | 8,475.10  | 8,475.10   | L      |
| Acq       |                          | 02/26/07 | 130                         | 11,429.42 | 7,982.65    | 7,982.65   | 3,446.77  | 3,446.77   | L      |
| Acq       |                          | 02/26/07 | 67                          | 5,890.54  | 4,115.48    | 4,115.48   | 1,775.06  | 1,775.06   | L      |

**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:27

**KATHERINE MABIS MCKENNA FDN**  
 Trust Year Ending 12/31/2016

| Type      | Description             | Date          | Shares                     | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-------------------------|---------------|----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 816851109 | SEMPRA ENERGY           |               |                            |           | 10265400911 |            |           |            |        |
| Sold      |                         | 01/07/16      | 959                        | 84,313.92 |             |            |           |            |        |
| Acq       |                         | 02/27/07      | 247                        | 21,715.89 | 15,249.28   | 15,249.28  | 6,466.61  | 6,466.61   | L      |
|           |                         |               | <b>Total for 1/7/2016</b>  |           | 66,559.22   | 66,559.22  | 17,754.70 | 17,754.70  |        |
| Note      |                         |               |                            |           |             |            |           |            |        |
| 81762P102 | SERVICENOW INC          |               |                            |           | 10265400911 |            |           |            |        |
| Sold      |                         | 03/17/16      | 510                        | 31,035.37 |             |            |           |            |        |
| Acq       |                         | 02/10/16      | 80                         | 4,868.29  | 4,095.18    | 4,095.18   | 773.11    | 773.11     | S      |
| Acq       |                         | 04/16/14      | 60                         | 3,651.22  | 3,181.63    | 3,181.63   | 469.59    | 469.59     | L      |
| Acq       |                         | 12/12/13      | 370                        | 22,515.86 | 18,142.62   | 18,142.62  | 4,373.24  | 4,373.24   | L      |
|           |                         |               | <b>Total for 3/17/2016</b> |           | 25,419.43   | 25,419.43  | 5,615.94  | 5,615.94   |        |
| Note      |                         |               |                            |           |             |            |           |            |        |
| 81762P102 | SERVICENOW INC          |               |                            |           | 10265400911 |            |           |            |        |
| Sold      |                         | 03/30/16      | 585                        | 35,879.38 |             |            |           |            |        |
| Acq       |                         | 12/12/13      | 190                        | 11,653.13 | 9,316.48    | 9,316.48   | 2,336.65  | 2,336.65   | L      |
| Acq       |                         | 08/15/13      | 395                        | 24,226.25 | 17,175.51   | 17,175.51  | 7,050.74  | 7,050.74   | L      |
|           |                         |               | <b>Total for 3/30/2016</b> |           | 26,491.99   | 26,491.99  | 9,387.39  | 9,387.39   |        |
| Note      |                         |               |                            |           |             |            |           |            |        |
| 81762P102 | SERVICENOW INC          |               |                            |           | 10265400911 |            |           |            |        |
| Sold      |                         | 03/31/16      | 585                        | 35,542.77 |             |            |           |            |        |
| Acq       |                         | 08/15/13      | 585                        | 35,542.77 | 25,437.14   | 25,437.14  | 10,105.63 | 10,105.63  | L      |
|           |                         |               | <b>Total for 3/31/2016</b> |           | 25,437.14   | 25,437.14  | 10,105.63 | 10,105.63  |        |
| Note      |                         |               |                            |           |             |            |           |            |        |
| 828807CX3 | SIMON PROPERTY GROUP LP |               |                            |           | 10265400911 |            |           |            |        |
| Sold      |                         | 08/11/16      | 25000                      | 25,867.50 |             |            |           |            |        |
| Acq       |                         | 01/08/16      | 25000                      | 25,867.50 | 24,979.50   | 24,979.50  | 888.00    | 888.00     | S      |
|           |                         |               | <b>Total for 8/11/2016</b> |           | 24,979.50   | 24,979.50  | 888.00    | 888.00     |        |
| Note      |                         |               |                            |           |             |            |           |            |        |
| 845467AL3 | SOUTHWESTERN ENERGY     | 4 95% 1/23/25 |                            |           | 10265400911 |            |           |            |        |
| Sold      |                         | 02/02/16      | 5000                       | 3,137.50  |             |            |           |            |        |
| Acq       |                         | 03/20/15      | 5000                       | 3,137.50  | 5,071.42    | 5,071.42   | -1,933.92 | -1,933.92  | S      |
|           |                         |               | <b>Total for 2/2/2016</b>  |           | 5,071.42    | 5,071.42   | -1,933.92 | -1,933.92  |        |
| Note      |                         |               |                            |           |             |            |           |            |        |

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Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 56 of 74  
3/15/2017 12:58:27

| Type      | Description              | Date          | Shares               | Price      | Fed Cost    | State Cost | Fed Gain   | State Gain | (Type) |
|-----------|--------------------------|---------------|----------------------|------------|-------------|------------|------------|------------|--------|
| 845467AL3 | SOUTHWESTERN ENERGY      | 4 95% 1/23/25 |                      |            | 10265400911 |            |            |            |        |
| Sold      |                          | 02/03/16      | 15000                | 9,431 25   |             |            |            |            |        |
| Acq       |                          | 03/20/15      | 15000                | 9,431 25   | 15,214 03   | 15,214 03  | -5,782 78  | -5,782 78  | S      |
|           |                          |               | Total for 2/3/2016   |            | 15,214.03   | 15,214 03  | -5,782 78  | -5,782.78  |        |
| Note      |                          |               |                      |            |             |            |            |            |        |
| 871607107 | SYNOPSIS INC COM         |               |                      |            | 10260740386 |            |            |            |        |
| Sold      |                          | 04/25/16      | 15830                | 772,455.49 |             |            |            |            |        |
| Acq       |                          | 01/03/13      | 5610                 | 273,750.81 | 180,759 22  | 180,759 22 | 92,991 59  | 92,991 59  | L      |
| Acq       |                          | 11/16/10      | 10220                | 498,704 68 | 252,416 63  | 252,416 63 | 246,288.05 | 246,288.05 | L      |
|           |                          |               | Total for 4/25/2016  |            | 433,175 85  | 433,175 85 | 339,279 64 | 339,279.64 |        |
| Note      |                          |               |                      |            |             |            |            |            |        |
| 87165B103 | SYNCHRONY FINANCIAL      |               |                      |            | 10265400911 |            |            |            |        |
| Sold      |                          | 12/06/16      | 110                  | 3,854.54   |             |            |            |            |        |
| Acq       |                          | 10/06/16      | 110                  | 3,854 54   | 3,031.55    | 3,031 55   | 822 99     | 822 99     | S      |
|           |                          |               | Total for 12/6/2016  |            | 3,031 55    | 3,031 55   | 822 99     | 822 99     |        |
| Note      |                          |               |                      |            |             |            |            |            |        |
| 87165B103 | SYNCHRONY FINANCIAL      |               |                      |            | 10265400911 |            |            |            |        |
| Sold      |                          | 12/15/16      | 280                  | 10,333 20  |             |            |            |            |        |
| Acq       |                          | 10/06/16      | 280                  | 10,333 20  | 7,716 66    | 7,716 66   | 2,616 54   | 2,616 54   | S      |
|           |                          |               | Total for 12/15/2016 |            | 7,716.66    | 7,716.66   | 2,616 54   | 2,616 54   |        |
| Note      |                          |               |                      |            |             |            |            |            |        |
| 874039100 | TAIWAN SEMICONDUCTOR ADR |               |                      |            | 10265400911 |            |            |            |        |
| Sold      |                          | 09/23/16      | 890                  | 26,878.75  |             |            |            |            |        |
| Acq       |                          | 06/30/16      | 890                  | 26,878.75  | 23,369 00   | 23,369 00  | 3,509 75   | 3,509 75   | S      |
|           |                          |               | Total for 9/23/2016  |            | 23,369 00   | 23,369.00  | 3,509 75   | 3,509 75   |        |
| Note      |                          |               |                      |            |             |            |            |            |        |
| 874039100 | TAIWAN SEMICONDUCTOR ADR |               |                      |            | 10265400911 |            |            |            |        |
| Sold      |                          | 12/06/16      | 150                  | 4,401 22   |             |            |            |            |        |
| Acq       |                          | 11/16/16      | 150                  | 4,401 22   | 4,476 40    | 4,476.40   | -75 18     | -75 18     | S      |
|           |                          |               | Total for 12/6/2016  |            | 4,476 40    | 4,476 40   | -75.18     | -75 18     |        |
| Note      |                          |               |                      |            |             |            |            |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description              | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 874039100 | TAIWAN SEMICONDUCTOR ADR |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/15/16 | 310                         | 9,311.26  |             |            |           |            |        |
| Acq       |                          | 11/16/16 | 310                         | 9,311.26  | 9,251.24    | 9,251.24   | 60.02     | 60.02      | S      |
|           |                          |          | <b>Total for 12/15/2016</b> |           | 9,251.24    | 9,251.24   | 60.02     | 60.02      |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 87938WAN3 | TELEFONICA EMIS TELEFO   |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 02/16/16 | 50000                       | 50,000.00 |             |            |           |            |        |
| Acq       |                          | 05/28/13 | 50000                       | 50,000.00 | 52,530.50   | 52,530.50  | -2,530.50 | -2,530.50  | L      |
|           |                          |          | <b>Total for 2/16/2016</b>  |           | 52,530.50   | 52,530.50  | -2,530.50 | -2,530.50  |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 88579Y101 | 3M CO                    |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/06/16 | 43                          | 7,369.27  |             |            |           |            |        |
| Acq       |                          | 11/12/15 | 43                          | 7,369.27  | 6,721.68    | 6,721.68   | 647.59    | 647.59     | L      |
|           |                          |          | <b>Total for 12/6/2016</b>  |           | 6,721.68    | 6,721.68   | 647.59    | 647.59     |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 88579Y101 | 3M CO                    |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/15/16 | 44                          | 7,734.45  |             |            |           |            |        |
| Acq       |                          | 02/10/16 | 16                          | 2,812.53  | 2,462.69    | 2,462.69   | 349.84    | 349.84     | S      |
| Acq       |                          | 11/12/15 | 28                          | 4,921.92  | 4,376.90    | 4,376.90   | 545.02    | 545.02     | L      |
|           |                          |          | <b>Total for 12/15/2016</b> |           | 6,839.59    | 6,839.59   | 894.86    | 894.86     |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 887317303 | TIME WARNER INC          |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/06/16 | 100                         | 9,374.95  |             |            |           |            |        |
| Acq       |                          | 11/20/14 | 100                         | 9,374.95  | 8,154.00    | 8,154.00   | 1,220.95  | 1,220.95   | L      |
|           |                          |          | <b>Total for 12/6/2016</b>  |           | 8,154.00    | 8,154.00   | 1,220.95  | 1,220.95   |        |
| Note      |                          |          |                             |           |             |            |           |            |        |
| 887317303 | TIME WARNER INC          |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                          | 12/15/16 | 140                         | 13,376.14 |             |            |           |            |        |
| Acq       |                          | 11/20/14 | 140                         | 13,376.14 | 11,415.60   | 11,415.60  | 1,960.54  | 1,960.54   | L      |
|           |                          |          | <b>Total for 12/15/2016</b> |           | 11,415.60   | 11,415.60  | 1,960.54  | 1,960.54   |        |
| Note      |                          |          |                             |           |             |            |           |            |        |



**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**MCKENNA KATHERINE MABIS FDN INC-NO 2**

**Trust Year Ending 12/31/2016**

| Type      | Description                       | Date     | Shares                     | Price      | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------------|----------|----------------------------|------------|-------------|------------|-----------|------------|--------|
| 904784709 | UNILEVER NV NY SHARE F NEW        |          |                            |            | 10260740386 |            |           |            |        |
| Sold      |                                   | 02/04/16 | 2350                       | 100,948.01 |             |            |           |            |        |
| Acq       |                                   | 01/03/13 | 1530                       | 65,723.60  | 58,549.02   | 58,549.02  | 7,174.58  | 7,174.58   | L      |
| Acq       |                                   | 06/04/15 | 820                        | 35,224.41  | 34,854.44   | 34,854.44  | 369.97    | 369.97     | S      |
|           |                                   |          | <b>Total for 2/4/2016</b>  |            | 93,403.46   | 93,403.46  | 7,544.55  | 7,544.55   |        |
| Note      |                                   |          |                            |            |             |            |           |            |        |
| 907818108 | UNION PACIFIC CORP                |          |                            |            | 10265400911 |            |           |            |        |
| Sold      |                                   | 01/22/16 | 1450                       | 100,531.87 |             |            |           |            |        |
| Acq       |                                   | 08/03/15 | 80                         | 5,546.59   | 7,701.63    | 7,701.63   | -2,155.04 | -2,155.04  | S      |
| Acq       |                                   | 08/04/15 | 280                        | 19,413.05  | 26,929.73   | 26,929.73  | -7,516.68 | -7,516.68  | S      |
| Acq       |                                   | 11/12/15 | 120                        | 8,319.88   | 10,084.56   | 10,084.56  | -1,764.68 | -1,764.68  | S      |
| Acq       |                                   | 09/24/09 | 802                        | 55,604.52  | 24,286.36   | 24,286.36  | 31,318.16 | 31,318.16  | L      |
| Acq       |                                   | 08/15/14 | 168                        | 11,647.83  | 17,106.43   | 17,106.43  | -5,458.60 | -5,458.60  | L      |
|           |                                   |          | <b>Total for 1/22/2016</b> |            | 86,108.71   | 86,108.71  | 14,423.16 | 14,423.16  |        |
| Note      |                                   |          |                            |            |             |            |           |            |        |
| 911312106 | UNITED PARCEL SVC INC CL B        |          |                            |            | 10260740386 |            |           |            |        |
| Sold      |                                   | 02/04/16 | 1050                       | 101,537.41 |             |            |           |            |        |
| Acq       |                                   | 01/03/13 | 1050                       | 101,537.41 | 80,135.68   | 80,135.68  | 21,401.73 | 21,401.73  | L      |
|           |                                   |          | <b>Total for 2/4/2016</b>  |            | 80,135.68   | 80,135.68  | 21,401.73 | 21,401.73  |        |
| Note      |                                   |          |                            |            |             |            |           |            |        |
| 912828A91 | UNITED STATES TREAS 0 75% 1/15/17 |          |                            |            | 10265400911 |            |           |            |        |
| Sold      |                                   | 04/13/16 | 5000                       | 5,008.79   |             |            |           |            |        |
| Acq       |                                   | 01/14/15 | 5000                       | 5,008.79   | 5,021.62    | 5,021.62   | -12.83    | -12.83     | L      |
|           |                                   |          | <b>Total for 4/13/2016</b> |            | 5,021.62    | 5,021.62   | -12.83    | -12.83     |        |
| Note      |                                   |          |                            |            |             |            |           |            |        |
| 912828A91 | UNITED STATES TREAS 0 75% 1/15/17 |          |                            |            | 10265400911 |            |           |            |        |
| Sold      |                                   | 05/20/16 | 35000                      | 35,047.85  |             |            |           |            |        |
| Acq       |                                   | 01/14/15 | 35000                      | 35,047.85  | 35,142.33   | 35,142.33  | -94.48    | -94.48     | L      |
|           |                                   |          | <b>Total for 5/20/2016</b> |            | 35,142.33   | 35,142.33  | -94.48    | -94.48     |        |
| Note      |                                   |          |                            |            |             |            |           |            |        |
| 912828A91 | UNITED STATES TREAS 0 75% 1/15/17 |          |                            |            | 10265400911 |            |           |            |        |
| Sold      |                                   | 07/12/16 | 45000                      | 45,072.07  |             |            |           |            |        |
| Acq       |                                   | 01/14/15 | 5000                       | 5,008.01   | 5,018.62    | 5,018.62   | -10.61    | -10.61     | L      |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description           | Date           | Shares                     | Price     | Fed Cost  | State Cost  | Fed Gain | State Gain | (Type) |
|-----------|-----------------------|----------------|----------------------------|-----------|-----------|-------------|----------|------------|--------|
| 912828A91 | UNITED STATES TREAS   | 0.75% 1/15/17  |                            |           |           | 10265400911 |          |            |        |
| Sold      |                       | 07/12/16       | 45000                      | 45,072.07 |           |             |          |            |        |
| Acq       |                       | 12/29/14       | 40000                      | 40,064.06 | 40,005.78 | 40,005.78   | 58.28    | 58.28      | L      |
|           |                       |                | <b>Total for 7/12/2016</b> |           | 45,024.40 | 45,024.40   | 47.67    | 47.67      |        |
| Note      |                       |                |                            |           |           |             |          |            |        |
| 912828A91 | UNITED STATES TREAS   | 0.75% 1/15/17  |                            |           |           | 10265400911 |          |            |        |
| Sold      |                       | 07/18/16       | 30000                      | 30,044.53 |           |             |          |            |        |
| Acq       |                       | 12/29/14       | 30000                      | 30,044.53 | 30,004.28 | 30,004.28   | 40.25    | 40.25      | L      |
|           |                       |                | <b>Total for 7/18/2016</b> |           | 30,004.28 | 30,004.28   | 40.25    | 40.25      |        |
| Note      |                       |                |                            |           |           |             |          |            |        |
| 912828A91 | UNITED STATES TREAS   | 0.75% 1/15/17  |                            |           |           | 10265400911 |          |            |        |
| Sold      |                       | 08/03/16       | 15000                      | 15,024.02 |           |             |          |            |        |
| Acq       |                       | 12/29/14       | 15000                      | 15,024.02 | 15,002.05 | 15,002.05   | 21.97    | 21.97      | L      |
|           |                       |                | <b>Total for 8/3/2016</b>  |           | 15,002.05 | 15,002.05   | 21.97    | 21.97      |        |
| Note      |                       |                |                            |           |           |             |          |            |        |
| 912828A91 | UNITED STATES TREAS   | 0.75% 1/15/17  |                            |           |           | 10265400911 |          |            |        |
| Sold      |                       | 08/26/16       | 35000                      | 35,043.75 |           |             |          |            |        |
| Acq       |                       | 12/29/14       | 35000                      | 35,043.75 | 35,004.54 | 35,004.54   | 39.21    | 39.21      | L      |
|           |                       |                | <b>Total for 8/26/2016</b> |           | 35,004.54 | 35,004.54   | 39.21    | 39.21      |        |
| Note      |                       |                |                            |           |           |             |          |            |        |
| 912828G38 | U S TREASURY NOTE     |                |                            |           |           | 10265400911 |          |            |        |
| Sold      |                       | 01/22/16       | 45000                      | 45,885.94 |           |             |          |            |        |
| Acq       |                       | 02/04/15       | 45000                      | 45,885.94 | 46,754.30 | 46,754.30   | -868.36  | -868.36    | S      |
|           |                       |                | <b>Total for 1/22/2016</b> |           | 46,754.30 | 46,754.30   | -868.36  | -868.36    |        |
| Note      |                       |                |                            |           |           |             |          |            |        |
| 912828K33 | U S TREASURY INFLATIO | 0.125% 4/15/20 |                            |           |           | 10265400911 |          |            |        |
| Sold      |                       | 03/17/16       | 30332.7                    | 30,716.60 |           |             |          |            |        |
| Acq       |                       | 09/28/15       | 28.8                       | 29.16     | 28.55     | 28.55       | 0.61     | 0.61       | S      |
| Acq       |                       | 08/13/15       | 30303.9                    | 30,687.44 | 30,150.16 | 30,150.16   | 537.28   | 537.28     | S      |
|           |                       |                | <b>Total for 3/17/2016</b> |           | 30,178.71 | 30,178.71   | 537.89   | 537.89     |        |
| Note      |                       |                |                            |           |           |             |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

3/15/2017 12:58:27

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                        | Date     | Shares                      | Price      | Fed Cost   | State Cost  | Fed Gain | State Gain | (Type) |
|-----------|------------------------------------|----------|-----------------------------|------------|------------|-------------|----------|------------|--------|
| 912828NW6 | U.S. TREASURY NOTE 1.875% 8/31/17  |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 10/27/16 | 55000                       | 55,556.45  |            |             |          |            |        |
| Acq       |                                    | 09/25/15 | 55000                       | 55,556.45  | 55,537.60  | 55,537.60   | 18.85    | 18.85      | L      |
|           |                                    |          | <b>Total for 10/27/2016</b> |            | 55,537.60  | 55,537.60   | 18.85    | 18.85      |        |
| Note      |                                    |          |                             |            |            |             |          |            |        |
| 912828NW6 | U.S. TREASURY NOTE 1.875% 8/31/17  |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 11/09/16 | 120000                      | 121,153.13 |            |             |          |            |        |
| Acq       |                                    | 09/25/15 | 120000                      | 121,153.13 | 121,122.88 | 121,122.88  | 30.25    | 30.25      | L      |
|           |                                    |          | <b>Total for 11/9/2016</b>  |            | 121,122.88 | 121,122.88  | 30.25    | 30.25      |        |
| Note      |                                    |          |                             |            |            |             |          |            |        |
| 912828PT1 | U.S. TREASURY NOTE 2.625%          |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 12/02/16 | 15000                       | 15,283.01  |            |             |          |            |        |
| Acq       |                                    | 11/03/14 | 15000                       | 15,283.01  | 15,512.82  | 15,512.82   | -229.81  | -229.81    | L      |
|           |                                    |          | <b>Total for 12/2/2016</b>  |            | 15,512.82  | 15,512.82   | -229.81  | -229.81    |        |
| Note      |                                    |          |                             |            |            |             |          |            |        |
| 912828RX9 | UNITED STATES TREAS 0.625% 6/30/18 |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 08/11/16 | 25000                       | 24,944.34  |            |             |          |            |        |
| Acq       |                                    | 06/30/16 | 25000                       | 24,944.34  | 25,006.43  | 25,006.43   | -62.09   | -62.09     | S      |
|           |                                    |          | <b>Total for 8/11/2016</b>  |            | 25,006.43  | 25,006.43   | -62.09   | -62.09     |        |
| Note      |                                    |          |                             |            |            |             |          |            |        |
| 912828RX0 | U.S. TREASURY NOTE 0.875% 12/31/16 |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 01/05/16 | 30000                       | 30,024.61  |            |             |          |            |        |
| Acq       |                                    | 11/04/14 | 30000                       | 30,024.61  | 30,165.34  | 30,165.34   | -140.73  | -140.73    | L      |
|           |                                    |          | <b>Total for 1/5/2016</b>   |            | 30,165.34  | 30,165.34   | -140.73  | -140.73    |        |
| Note      |                                    |          |                             |            |            |             |          |            |        |
| 912828RX0 | U.S. TREASURY NOTE 0.875% 12/31/16 |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 01/08/16 | 25000                       | 25,027.34  |            |             |          |            |        |
| Acq       |                                    | 11/04/14 | 25000                       | 25,027.34  | 25,137.78  | 25,137.78   | -110.44  | -110.44    | L      |
|           |                                    |          | <b>Total for 1/8/2016</b>   |            | 25,137.78  | 25,137.78   | -110.44  | -110.44    |        |
| Note      |                                    |          |                             |            |            |             |          |            |        |
| 912828RX0 | U.S. TREASURY NOTE 0.875% 12/31/16 |          |                             |            |            | 10265400911 |          |            |        |
| Sold      |                                    | 01/14/16 | 15000                       | 15,018.75  |            |             |          |            |        |
| Acq       |                                    | 11/04/14 | 10000                       | 10,012.50  | 10,055.11  | 10,055.11   | -42.61   | -42.61     | L      |

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Statement of Capital Gains and Losses From Sales  
(Does not include capital gain distributions from mutual  
funds or CTF's - See Tax Transaction Detail)  
KATHERINE MABIS MCKENNA FDN  
Trust Year Ending 12/31/2016

Page 61 of 74  
3/15/2017 12:58:27

| Type      | Description                        | Date     | Shares                     | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|------------------------------------|----------|----------------------------|-----------|-------------|------------|----------|------------|--------|
| 912828RX0 | U S. TREASURY NOTE 0 875% 12/31/16 |          |                            |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 01/14/16 | 15000                      | 15,018.75 |             |            |          |            |        |
| Acq       |                                    | 04/29/15 | 5000                       | 5,006.25  | 5,017.95    | 5,017.95   | -11.70   | -11.70     | S      |
|           |                                    |          | <b>Total for 1/14/2016</b> |           | 15,073.06   | 15,073.06  | -54.31   | -54.31     |        |
| Note      |                                    |          |                            |           |             |            |          |            |        |
| 912828RX0 | U S. TREASURY NOTE 0 875% 12/31/16 |          |                            |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 02/01/16 | 80000                      | 80,178.12 |             |            |          |            |        |
| Acq       |                                    | 04/29/15 | 80000                      | 80,178.12 | 80,276.42   | 80,276.42  | -98.30   | -98.30     | S      |
|           |                                    |          | <b>Total for 2/1/2016</b>  |           | 80,276.42   | 80,276.42  | -98.30   | -98.30     |        |
| Note      |                                    |          |                            |           |             |            |          |            |        |
| 912828RX0 | U S. TREASURY NOTE 0 875% 12/31/16 |          |                            |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 03/10/16 | 70000                      | 70,123.05 |             |            |          |            |        |
| Acq       |                                    | 04/29/15 | 35000                      | 35,061.53 | 35,107.06   | 35,107.06  | -45.53   | -45.53     | S      |
| Acq       |                                    | 07/31/14 | 35000                      | 35,061.53 | 35,069.84   | 35,069.84  | -8.31    | -8.31      | L      |
|           |                                    |          | <b>Total for 3/10/2016</b> |           | 70,176.90   | 70,176.90  | -53.85   | -53.85     |        |
| Note      |                                    |          |                            |           |             |            |          |            |        |
| 912828SC5 | U S. TREASURY NTS                  |          |                            |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 08/30/16 | 45000                      | 45,079.10 |             |            |          |            |        |
| Acq       |                                    | 06/18/14 | 45000                      | 45,079.10 | 45,087.34   | 45,087.34  | -8.24    | -8.24      | L      |
|           |                                    |          | <b>Total for 8/30/2016</b> |           | 45,087.34   | 45,087.34  | -8.24    | -8.24      |        |
| Note      |                                    |          |                            |           |             |            |          |            |        |
| 912828SC5 | U S. TREASURY NTS                  |          |                            |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 09/07/16 | 15000                      | 15,024.61 |             |            |          |            |        |
| Acq       |                                    | 06/18/14 | 15000                      | 15,024.61 | 15,028.82   | 15,028.82  | -4.21    | -4.21      | L      |
|           |                                    |          | <b>Total for 9/7/2016</b>  |           | 15,028.82   | 15,028.82  | -4.21    | -4.21      |        |
| Note      |                                    |          |                            |           |             |            |          |            |        |
| 912828SC5 | U S. TREASURY NTS                  |          |                            |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 09/08/16 | 90000                      | 90,144.14 |             |            |          |            |        |
| Acq       |                                    | 06/18/14 | 60000                      | 60,096.09 | 60,115.27   | 60,115.27  | -19.18   | -19.18     | L      |
| Acq       |                                    | 03/14/12 | 30000                      | 30,048.05 | 29,693.07   | 29,800.38  | 354.98   | 247.67     | L      |
|           |                                    |          | <b>Total for 9/8/2016</b>  |           | 89,808.34   | 89,915.65  | 335.80   | 228.49     |        |
| Note      |                                    |          |                            |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description       | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| 912828SC5 | U S TREASURY NTS  |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                   | 09/09/16 | 60000                       | 60,089.06 |             |            |          |            |        |
| Acq       |                   | 03/14/12 | 60000                       | 60,089.06 | 59,386.14   | 59,600.76  | 702.92   | 488.30     | L      |
|           |                   |          | <b>Total for 9/9/2016</b>   |           | 59,386.14   | 59,600.76  | 702.92   | 488.30     |        |
| Note      |                   |          |                             |           |             |            |          |            |        |
| 912828SC5 | U S TREASURY NTS  |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                   | 10/06/16 | 60000                       | 60,089.06 |             |            |          |            |        |
| Acq       |                   | 03/14/12 | 60000                       | 60,089.06 | 59,386.14   | 59,600.76  | 702.92   | 488.30     | L      |
|           |                   |          | <b>Total for 10/6/2016</b>  |           | 59,386.14   | 59,600.76  | 702.92   | 488.30     |        |
| Note      |                   |          |                             |           |             |            |          |            |        |
| 912828SJ0 | U S TREASURY NOTE |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                   | 05/24/16 | 55000                       | 55,092.38 |             |            |          |            |        |
| Acq       |                   | 02/24/12 | 55000                       | 55,092.38 | 54,978.52   | 54,978.52  | 113.86   | 113.86     | L      |
|           |                   |          | <b>Total for 5/24/2016</b>  |           | 54,978.52   | 54,978.52  | 113.86   | 113.86     |        |
| Note      |                   |          |                             |           |             |            |          |            |        |
| 912828SJ0 | U S TREASURY NOTE |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                   | 08/26/16 | 20000                       | 20,038.28 |             |            |          |            |        |
| Acq       |                   | 02/24/12 | 20000                       | 20,038.28 | 19,992.19   | 19,992.19  | 46.09    | 46.09      | L      |
|           |                   |          | <b>Total for 8/26/2016</b>  |           | 19,992.19   | 19,992.19  | 46.09    | 46.09      |        |
| Note      |                   |          |                             |           |             |            |          |            |        |
| 912828SJ0 | U S TREASURY NOTE |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                   | 10/06/16 | 10000                       | 10,017.58 |             |            |          |            |        |
| Acq       |                   | 02/24/12 | 10000                       | 10,017.58 | 9,996.09    | 9,996.09   | 21.49    | 21.49      | L      |
|           |                   |          | <b>Total for 10/6/2016</b>  |           | 9,996.09    | 9,996.09   | 21.49    | 21.49      |        |
| Note      |                   |          |                             |           |             |            |          |            |        |
| 912828SJ0 | U S TREASURY NOTE |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                   | 10/27/16 | 30000                       | 30,048.05 |             |            |          |            |        |
| Acq       |                   | 02/24/12 | 30000                       | 30,048.05 | 29,988.28   | 29,988.28  | 59.77    | 59.77      | L      |
|           |                   |          | <b>Total for 10/27/2016</b> |           | 29,988.28   | 29,988.28  | 59.77    | 59.77      |        |
| Note      |                   |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual  
 funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:27

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description                    | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| 912828TS9 | U S TREASURY NOTE              |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                | 11/09/16 | 20000                       | 19,987 50 |             |            |          |            |        |
| Acq       |                                | 03/04/16 | 20000                       | 19,987 50 | 19,934 17   | 19,934 17  | 53 33    | 53 33      | S      |
|           |                                |          | <b>Total for 11/9/2016</b>  |           | 19,934.17   | 19,934 17  | 53 33    | 53 33      |        |
| Note      |                                |          |                             |           |             |            |          |            |        |
| 912828TS9 | U S TREASURY NOTE              |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                | 11/18/16 | 30000                       | 29,956 64 |             |            |          |            |        |
| Acq       |                                | 03/04/16 | 10000                       | 9,985 55  | 9,965.46    | 9,967.16   | 20 09    | 18.39      | S      |
| Acq       |                                | 01/27/16 | 20000                       | 19,971 09 | 19,931 75   | 19,935 15  | 39.34    | 35 94      | S      |
|           |                                |          | <b>Total for 11/18/2016</b> |           | 29,897 21   | 29,902 31  | 59 43    | 54.33      |        |
| Note      |                                |          |                             |           |             |            |          |            |        |
| 912828UX6 | TSY INFL IX N/B 0.125% 4/15/18 |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                | 02/11/16 | 25622.5                     | 25,689.56 |             |            |          |            |        |
| Acq       |                                | 06/09/15 | 25622.5                     | 25,689.56 | 25,972.73   | 25,972.73  | -283 17  | -283.17    | S      |
|           |                                |          | <b>Total for 2/11/2016</b>  |           | 25,972.73   | 25,972.73  | -283 17  | -283.17    |        |
| Note      |                                |          |                             |           |             |            |          |            |        |
| 912828UX6 | TSY INFL IX N/B 0.125% 4/15/18 |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                | 03/04/16 | 30707 7                     | 31,024 37 |             |            |          |            |        |
| Acq       |                                | 06/09/15 | 30707 7                     | 31,024 37 | 31,129.01   | 31,129 01  | -104 64  | -104 64    | S      |
|           |                                |          | <b>Total for 3/4/2016</b>   |           | 31,129 01   | 31,129 01  | -104 64  | -104 64    |        |
| Note      |                                |          |                             |           |             |            |          |            |        |
| 912828VE7 | US TREASURY N/B 1% 5/31/18     |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                | 08/18/16 | 45000                       | 45,217 97 |             |            |          |            |        |
| Acq       |                                | 06/14/16 | 45000                       | 45,217 97 | 45,215.86   | 45,215.86  | 2 11     | 2.11       | S      |
|           |                                |          | <b>Total for 8/18/2016</b>  |           | 45,215 86   | 45,215 86  | 2 11     | 2.11       |        |
| Note      |                                |          |                             |           |             |            |          |            |        |
| 912828VE7 | US TREASURY N/B 1% 5/31/18     |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                | 08/26/16 | 5000                        | 5,014 65  |             |            |          |            |        |
| Acq       |                                | 06/14/16 | 5000                        | 5,014 65  | 5,023 61    | 5,023.61   | -8 96    | -8 96      | S      |
|           |                                |          | <b>Total for 8/26/2016</b>  |           | 5,023.61    | 5,023 61   | -8.96    | -8 96      |        |
| Note      |                                |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description                        | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|------------------------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| 912828XF2 | UNITED STATES TREAS 1 125% 6/15/18 |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 08/11/16 | 20000                       | 20,134.38 |             |            |          |            |        |
| Acq       |                                    | 06/11/15 | 20000                       | 20,134.38 | 19,985.94   | 19,985.94  | 148.44   | 148.44     | L      |
|           |                                    |          | <b>Total for 8/11/2016</b>  |           | 19,985.94   | 19,985.94  | 148.44   | 148.44     |        |
| Note      |                                    |          |                             |           |             |            |          |            |        |
| 912828XJ4 | U S TREASURY NOTE                  |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 05/24/16 | 50000                       | 49,923.83 |             |            |          |            |        |
| Acq       |                                    | 07/07/15 | 50000                       | 49,923.83 | 50,031.50   | 50,031.50  | -107.67  | -107.67    | S      |
|           |                                    |          | <b>Total for 5/24/2016</b>  |           | 50,031.50   | 50,031.50  | -107.67  | -107.67    |        |
| Note      |                                    |          |                             |           |             |            |          |            |        |
| 913017109 | UNITED TECHNOLOGIES CORP           |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 12/06/16 | 73                          | 7,846.02  |             |            |          |            |        |
| Acq       |                                    | 11/12/15 | 60                          | 6,448.78  | 5,951.88    | 5,951.88   | 496.90   | 496.90     | L      |
| Acq       |                                    | 02/10/16 | 13                          | 1,397.24  | 1,139.29    | 1,139.29   | 257.95   | 257.95     | S      |
|           |                                    |          | <b>Total for 12/6/2016</b>  |           | 7,091.17    | 7,091.17   | 754.85   | 754.85     |        |
| Note      |                                    |          |                             |           |             |            |          |            |        |
| 913017109 | UNITED TECHNOLOGIES CORP           |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 12/15/16 | 78                          | 8,442.35  |             |            |          |            |        |
| Acq       |                                    | 09/24/09 | 31                          | 3,355.29  | 1,926.26    | 1,926.26   | 1,429.03 | 1,429.03   | L      |
| Acq       |                                    | 02/10/16 | 47                          | 5,087.06  | 4,118.99    | 4,118.99   | 968.07   | 968.07     | S      |
|           |                                    |          | <b>Total for 12/15/2016</b> |           | 6,045.25    | 6,045.25   | 2,397.10 | 2,397.10   |        |
| Note      |                                    |          |                             |           |             |            |          |            |        |
| 913017BQ1 | UNITED TECHNOLOGIES CORP NT        |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 12/01/16 | 15000                       | 16,438.50 |             |            |          |            |        |
| Acq       |                                    | 12/15/08 | 15000                       | 16,438.50 | 14,975.70   | 14,975.70  | 1,462.80 | 1,462.80   | L      |
|           |                                    |          | <b>Total for 12/1/2016</b>  |           | 14,975.70   | 14,975.70  | 1,462.80 | 1,462.80   |        |
| Note      |                                    |          |                             |           |             |            |          |            |        |
| 91324P102 | UNITED HEALTH GROUP INC            |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           |             |            |          |            |        |
|           |                                    |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                                    | 12/06/16 | 34                          | 5,351.21  |             |            |          |            |        |
| Acq       |                                    | 10/19/16 | 34                          | 5,351.21  | 4,956.19    | 4,956.19   | 395.02   | 395.02     | S      |
|           |                                    |          | <b>Total for 12/6/2016</b>  |           | 4,956.19    | 4,956.19   | 395.02   | 395.02     |        |
| Note      |                                    |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| 91324P102 | UNITED HEALTH GROUP INC        |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 82                          | 13,196.94 |             |            |           |            |        |
| Acq       |                                | 10/19/16 | 82                          | 13,196.94 | 11,953.16   | 11,953.16  | 1,243.78  | 1,243.78   | S      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 11,953.16   | 11,953.16  | 1,243.78  | 1,243.78   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 913903100 | UNIVERSAL HEALTH SVCS INC CL B |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/06/16 | 45                          | 5,647.13  |             |            |           |            |        |
| Acq       |                                | 09/22/15 | 45                          | 5,647.13  | 6,253.09    | 6,253.09   | -605.96   | -605.96    | L      |
|           |                                |          | <b>Total for 12/6/2016</b>  |           | 6,253.09    | 6,253.09   | -605.96   | -605.96    |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 913903100 | UNIVERSAL HEALTH SVCS INC CL B |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 46                          | 4,966.60  |             |            |           |            |        |
| Acq       |                                | 09/22/15 | 46                          | 4,966.60  | 6,392.05    | 6,392.05   | -1,425.45 | -1,425.45  | L      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 6,392.05    | 6,392.05   | -1,425.45 | -1,425.45  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 91913Y100 | VALERO ENERGY CORP NEW         |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 03/17/16 | 450                         | 28,886.36 |             |            |           |            |        |
| Acq       |                                | 02/10/16 | 100                         | 6,419.19  | 5,487.80    | 5,487.80   | 931.39    | 931.39     | S      |
| Acq       |                                | 02/11/16 | 350                         | 22,467.17 | 19,146.54   | 19,146.54  | 3,320.63  | 3,320.63   | S      |
|           |                                |          | <b>Total for 3/17/2016</b>  |           | 24,634.34   | 24,634.34  | 4,252.02  | 4,252.02   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 91913Y100 | VALERO ENERGY CORP NEW         |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 10/28/16 | 480                         | 28,143.42 |             |            |           |            |        |
| Acq       |                                | 02/11/16 | 60                          | 3,517.93  | 3,282.26    | 3,282.26   | 235.67    | 235.67     | S      |
| Acq       |                                | 05/03/16 | 420                         | 24,625.49 | 24,488.10   | 24,488.10  | 137.39    | 137.39     | S      |
|           |                                |          | <b>Total for 10/28/2016</b> |           | 27,770.36   | 27,770.36  | 373.06    | 373.06     |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| 91913Y100 | VALERO ENERGY CORP NEW         |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 11/03/16 | 440                         | 25,331.83 |             |            |           |            |        |
| Acq       |                                | 06/22/16 | 200                         | 11,514.47 | 10,599.94   | 10,599.94  | 914.53    | 914.53     | S      |
| Acq       |                                | 02/11/16 | 240                         | 13,817.36 | 13,129.06   | 13,129.06  | 688.30    | 688.30     | S      |
|           |                                |          | <b>Total for 11/3/2016</b>  |           | 23,729.00   | 23,729.00  | 1,602.83  | 1,602.83   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |



**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description                | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|----------------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| 91913Y100 | VALERO ENERGY CORP NEW     |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                            | 12/06/16 | 30                          | 1,926.51  |             |            |          |            |        |
| Acq       |                            | 06/22/16 | 30                          | 1,926.51  | 1,589.99    | 1,589.99   | 336.52   | 336.52     | S      |
|           |                            |          | <b>Total for 12/6/2016</b>  |           | 1,589.99    | 1,589.99   | 336.52   | 336.52     |        |
| Note      |                            |          |                             |           |             |            |          |            |        |
| 91913Y100 | VALERO ENERGY CORP NEW     |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                            | 12/15/16 | 120                         | 8,117.84  |             |            |          |            |        |
| Acq       |                            | 06/22/16 | 120                         | 8,117.84  | 6,359.96    | 6,359.96   | 1,757.88 | 1,757.88   | S      |
|           |                            |          | <b>Total for 12/15/2016</b> |           | 6,359.96    | 6,359.96   | 1,757.88 | 1,757.88   |        |
| Note      |                            |          |                             |           |             |            |          |            |        |
| 92343V104 | VERIZON COMMUNICATIONS INC |          |                             |           | 10260740386 |            |          |            |        |
| Sold      |                            | 02/04/16 | 2000                        | 99,995.21 |             |            |          |            |        |
| Acq       |                            | 04/10/14 | 465                         | 23,248.89 | 22,359.94   | 22,359.94  | 888.95   | 888.95     | L      |
| Acq       |                            | 10/10/14 | 1535                        | 76,746.32 | 75,428.37   | 75,428.37  | 1,317.95 | 1,317.95   | L      |
|           |                            |          | <b>Total for 2/4/2016</b>   |           | 97,788.31   | 97,788.31  | 2,206.90 | 2,206.90   |        |
| Note      |                            |          |                             |           |             |            |          |            |        |
| 92343V104 | VERIZON COMMUNICATIONS INC |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                            | 09/02/16 | 550                         | 28,908.14 |             |            |          |            |        |
| Acq       |                            | 11/12/15 | 160                         | 8,409.64  | 7,190.08    | 7,190.08   | 1,219.56 | 1,219.56   | S      |
| Acq       |                            | 08/12/15 | 20                          | 1,051.21  | 946.87      | 946.87     | 104.34   | 104.34     | L      |
| Acq       |                            | 02/10/16 | 100                         | 5,256.03  | 5,002.63    | 5,002.63   | 253.40   | 253.40     | S      |
| Acq       |                            | 09/29/15 | 270                         | 14,191.27 | 11,769.87   | 11,769.87  | 2,421.40 | 2,421.40   | S      |
|           |                            |          | <b>Total for 9/2/2016</b>   |           | 24,909.45   | 24,909.45  | 3,998.69 | 3,998.69   |        |
| Note      |                            |          |                             |           |             |            |          |            |        |
| 92343V104 | VERIZON COMMUNICATIONS INC |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                            | 12/06/16 | 30                          | 1,500.57  |             |            |          |            |        |
| Acq       |                            | 09/29/15 | 30                          | 1,500.57  | 1,307.76    | 1,307.76   | 192.81   | 192.81     | L      |
|           |                            |          | <b>Total for 12/6/2016</b>  |           | 1,307.76    | 1,307.76   | 192.81   | 192.81     |        |
| Note      |                            |          |                             |           |             |            |          |            |        |
| 92343V104 | VERIZON COMMUNICATIONS INC |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                            | 12/15/16 | 70                          | 3,630.26  |             |            |          |            |        |
| Acq       |                            | 09/29/15 | 70                          | 3,630.26  | 3,051.45    | 3,051.45   | 578.81   | 578.81     | L      |
|           |                            |          | <b>Total for 12/15/2016</b> |           | 3,051.45    | 3,051.45   | 578.81   | 578.81     |        |
| Note      |                            |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:27

KATHERINE MABIS MCKENNA FDN

Trust Year Ending 12/31/2016

| Type      | Description          | Date     | Shares               | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|----------------------|----------|----------------------|-----------|-------------|------------|-----------|------------|--------|
| 92826C839 | VISA INC             |          |                      |           | 10265400911 |            |           |            |        |
| Sold      |                      | 12/06/16 | 60                   | 4,663.58  |             |            |           |            |        |
| Acq       |                      | 07/20/16 | 60                   | 4,663.58  | 4,773.62    | 4,773.62   | -110.04   | -110.04    | S      |
|           |                      |          | Total for 12/6/2016  |           | 4,773.62    | 4,773.62   | -110.04   | -110.04    |        |
| Note      |                      |          |                      |           |             |            |           |            |        |
| 92826C839 | VISA INC             |          |                      |           | 10265400911 |            |           |            |        |
| Sold      |                      | 12/15/16 | 120                  | 9,579.63  |             |            |           |            |        |
| Acq       |                      | 07/20/16 | 120                  | 9,579.63  | 9,547.23    | 9,547.23   | 32.40     | 32.40      | S      |
|           |                      |          | Total for 12/15/2016 |           | 9,547.23    | 9,547.23   | 32.40     | 32.40      |        |
| Note      |                      |          |                      |           |             |            |           |            |        |
| 929089100 | VOYA FINANCIAL INC   |          |                      |           | 10265400911 |            |           |            |        |
| Sold      |                      | 12/06/16 | 140                  | 5,553.97  |             |            |           |            |        |
| Acq       |                      | 11/12/15 | 140                  | 5,553.97  | 5,728.52    | 5,728.52   | -174.55   | -174.55    | L      |
|           |                      |          | Total for 12/6/2016  |           | 5,728.52    | 5,728.52   | -174.55   | -174.55    |        |
| Note      |                      |          |                      |           |             |            |           |            |        |
| 929089100 | VOYA FINANCIAL INC   |          |                      |           | 10265400911 |            |           |            |        |
| Sold      |                      | 12/15/16 | 250                  | 10,111.97 |             |            |           |            |        |
| Acq       |                      | 11/12/15 | 240                  | 9,707.49  | 9,820.32    | 9,820.32   | -112.83   | -112.83    | L      |
| Acq       |                      | 10/22/15 | 10                   | 404.48    | 406.77      | 406.77     | -2.29     | -2.29      | L      |
|           |                      |          | Total for 12/15/2016 |           | 10,227.09   | 10,227.09  | -115.12   | -115.12    |        |
| Note      |                      |          |                      |           |             |            |           |            |        |
| 949746101 | WELLS FARGO & CO NEW |          |                      |           | 10265400911 |            |           |            |        |
| Sold      |                      | 07/20/16 | 610                  | 29,564.83 |             |            |           |            |        |
| Acq       |                      | 11/12/15 | 550                  | 26,656.81 | 30,463.40   | 30,463.40  | -3,806.59 | -3,806.59  | S      |
| Acq       |                      | 02/10/16 | 60                   | 2,908.02  | 2,798.67    | 2,798.67   | 109.35    | 109.35     | S      |
|           |                      |          | Total for 7/20/2016  |           | 33,262.07   | 33,262.07  | -3,697.24 | -3,697.24  |        |
| Note      |                      |          |                      |           |             |            |           |            |        |
| 949746101 | WELLS FARGO & CO NEW |          |                      |           | 10265400911 |            |           |            |        |
| Sold      |                      | 12/06/16 | 80                   | 4,356.45  |             |            |           |            |        |
| Acq       |                      | 02/10/16 | 80                   | 4,356.45  | 3,731.55    | 3,731.55   | 624.90    | 624.90     | S      |
|           |                      |          | Total for 12/6/2016  |           | 3,731.55    | 3,731.55   | 624.90    | 624.90     |        |
| Note      |                      |          |                      |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description          | Date     | Shares                      | Price      | Fed Cost    | State Cost | Fed Gain    | State Gain  | (Type) |
|-----------|----------------------|----------|-----------------------------|------------|-------------|------------|-------------|-------------|--------|
| 949746101 | WELLS FARGO & CO NEW |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                      | 12/15/16 | 150                         | 8,320.76   |             |            |             |             |        |
| Acq       |                      | 02/26/10 | 150                         | 8,320.76   | 4,093.85    | 4,093.85   | 4,226.91    | 4,226.91    | L      |
|           |                      |          | <b>Total for 12/15/2016</b> |            | 4,093.85    | 4,093.85   | 4,226.91    | 4,226.91    |        |
| Note      |                      |          |                             |            |             |            |             |             |        |
| 958102105 | WESTERN DIGITAL CORP |          |                             |            | 10260740386 |            |             |             |        |
| Sold      |                      | 01/26/16 | 5245                        | 239,244.18 |             |            |             |             |        |
| Acq       |                      | 07/10/15 | 830                         | 37,859.42  | 63,984.53   | 63,984.53  | -26,125.11  | -26,125.11  | S      |
| Acq       |                      | 04/29/15 | 4415                        | 201,384.76 | 424,304.02  | 424,304.02 | -222,919.26 | -222,919.26 | S      |
|           |                      |          | <b>Total for 1/26/2016</b>  |            | 488,288.55  | 488,288.55 | -249,044.37 | -249,044.37 |        |
| Note      |                      |          |                             |            |             |            |             |             |        |
| 96145D105 | WESTROCK CO          |          |                             |            | 10260740386 |            |             |             |        |
| Sold      |                      | 07/20/16 | 2300                        | 100,149.27 |             |            |             |             |        |
| Acq       |                      | 04/25/12 | 2300                        | 100,149.27 | 64,426.93   | 64,426.93  | 35,722.34   | 35,722.34   | L      |
|           |                      |          | <b>Total for 7/20/2016</b>  |            | 64,426.93   | 64,426.93  | 35,722.34   | 35,722.34   |        |
| Note      |                      |          |                             |            |             |            |             |             |        |
| 969457100 | WILLIAMS CO INC      |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                      | 12/06/16 | 80                          | 2,468.44   |             |            |             |             |        |
| Acq       |                      | 09/27/16 | 80                          | 2,468.44   | 2,440.50    | 2,440.50   | 27.94       | 27.94       | S      |
|           |                      |          | <b>Total for 12/6/2016</b>  |            | 2,440.50    | 2,440.50   | 27.94       | 27.94       |        |
| Note      |                      |          |                             |            |             |            |             |             |        |
| 969457100 | WILLIAMS CO INC      |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                      | 12/15/16 | 190                         | 5,502.00   |             |            |             |             |        |
| Acq       |                      | 09/27/16 | 190                         | 5,502.00   | 5,796.20    | 5,796.20   | -294.20     | -294.20     | S      |
|           |                      |          | <b>Total for 12/15/2016</b> |            | 5,796.20    | 5,796.20   | -294.20     | -294.20     |        |
| Note      |                      |          |                             |            |             |            |             |             |        |
| 988498101 | YUM BRANDS INC       |          |                             |            | 10265400911 |            |             |             |        |
| Sold      |                      | 12/06/16 | 100                         | 6,302.73   |             |            |             |             |        |
| Acq       |                      | 04/25/14 | 50                          | 3,151.37   | 2,777.32    | 2,777.32   | 374.04      | 374.04      | L      |
| Acq       |                      | 04/24/14 | 50                          | 3,151.37   | 2,750.29    | 2,750.29   | 401.07      | 401.07      | L      |
|           |                      |          | <b>Total for 12/6/2016</b>  |            | 5,527.61    | 5,527.61   | 775.12      | 775.12      |        |
| Note      |                      |          |                             |            |             |            |             |             |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**  
**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                 | Date | Shares    | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|-----------------------------|------|-----------|-----------|-------------|------------|-----------|------------|--------|
| 988498101 | YUM BRANDS INC              |      |           |           | 10265400911 |            |           |            |        |
| Sold      | 12/15/16                    | 110  | 7,015.54  |           |             |            |           |            |        |
| Acq       | 02/10/16                    | 60   | 3,826.66  | 2,918.64  | 2,918.64    | 908.02     | 908.02    | S          |        |
| Acq       | 04/24/14                    | 50   | 3,188.88  | 2,750.29  | 2,750.29    | 438.59     | 438.59    | L          |        |
|           | <b>Total for 12/15/2016</b> |      |           |           | 5,668.93    | 5,668.93   | 1,346.61  | 1,346.61   |        |
| Note      |                             |      |           |           |             |            |           |            |        |
| 98850P109 | YUM CHINA HOLDINGS INC      |      |           |           | 10265400911 |            |           |            |        |
| Sold      | 12/06/16                    | 100  | 2,811.77  |           |             |            |           |            |        |
| Acq       | 04/24/14                    | 50   | 1,405.89  | 1,089.17  | 1,089.17    | 316.71     | 316.71    | L          |        |
| Acq       | 04/25/14                    | 50   | 1,405.89  | 1,099.88  | 1,099.88    | 306.00     | 306.00    | L          |        |
|           | <b>Total for 12/6/2016</b>  |      |           |           | 2,189.05    | 2,189.05   | 622.72    | 622.72     |        |
| Note      |                             |      |           |           |             |            |           |            |        |
| 98850P109 | YUM CHINA HOLDINGS INC      |      |           |           | 10265400911 |            |           |            |        |
| Sold      | 12/06/16                    | 1580 | 44,175.84 |           |             |            |           |            |        |
| Acq       | 09/24/09                    | 990  | 27,679.80 | 9,237.11  | 9,237.11    | 18,442.69  | 18,442.69 | L          |        |
| Acq       | 04/24/14                    | 50   | 1,397.97  | 1,089.17  | 1,089.17    | 308.80     | 308.80    | L          |        |
| Acq       | 02/01/13                    | 30   | 838.78    | 560.27    | 560.27      | 278.51     | 278.51    | L          |        |
| Acq       | 11/12/15                    | 430  | 12,022.54 | 8,168.25  | 8,168.25    | 3,854.29   | 3,854.29  | L          |        |
| Acq       | 02/10/16                    | 80   | 2,236.75  | 1,541.12  | 1,541.12    | 695.63     | 695.63    | S          |        |
|           | <b>Total for 12/6/2016</b>  |      |           |           | 20,595.92   | 20,595.92  | 23,579.92 | 23,579.92  |        |
| Note      |                             |      |           |           |             |            |           |            |        |
| 98956P102 | ZIMMER HLDGS INC            |      |           |           | 10265400911 |            |           |            |        |
| Sold      | 12/06/16                    | 37   | 3,733.29  |           |             |            |           |            |        |
| Acq       | 05/27/16                    | 37   | 3,733.29  | 4,551.21  | 4,551.21    | -817.92    | -817.92   | S          |        |
|           | <b>Total for 12/6/2016</b>  |      |           |           | 4,551.21    | 4,551.21   | -817.92   | -817.92    |        |
| Note      |                             |      |           |           |             |            |           |            |        |
| 98956P102 | ZIMMER HLDGS INC            |      |           |           | 10265400911 |            |           |            |        |
| Sold      | 12/15/16                    | 55   | 5,644.70  |           |             |            |           |            |        |
| Acq       | 05/27/16                    | 55   | 5,644.70  | 6,765.32  | 6,765.32    | -1,120.62  | -1,120.62 | S          |        |
|           | <b>Total for 12/15/2016</b> |      |           |           | 6,765.32    | 6,765.32   | -1,120.62 | -1,120.62  |        |
| Note      |                             |      |           |           |             |            |           |            |        |
| G0177J108 | ALLERGAN PLC                |      |           |           | 10265400911 |            |           |            |        |
| Sold      | 01/20/16                    | 178  | 51,523.91 |           |             |            |           |            |        |
| Acq       | 06/22/15                    | 24   | 6,947.04  | 7,362.78  | 7,362.78    | -415.74    | -415.74   | S          |        |
| Acq       | 06/26/15                    | 60   | 17,367.61 | 18,464.96 | 18,464.96   | -1,097.35  | -1,097.35 | S          |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description                    | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|--------------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| <hr/>     |                                |          |                             |           |             |            |           |            |        |
| G0177J108 | ALLERGAN PLC                   |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 01/20/16 | 178                         | 51,523.91 |             |            |           |            |        |
| Acq       |                                | 09/30/13 | 94                          | 27,209.26 | 13,536.94   | 13,536.94  | 13,672.32 | 13,672.32  | L      |
|           |                                |          | <b>Total for 1/20/2016</b>  |           | 39,364.68   | 39,364.68  | 12,159.23 | 12,159.23  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| <hr/>     |                                |          |                             |           |             |            |           |            |        |
| G0177J108 | ALLERGAN PLC                   |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/06/16 | 21                          | 4,002.34  |             |            |           |            |        |
| Acq       |                                | 02/10/16 | 21                          | 4,002.34  | 5,894.65    | 5,894.65   | -1,892.31 | -1,892.31  | S      |
|           |                                |          | <b>Total for 12/6/2016</b>  |           | 5,894.65    | 5,894.65   | -1,892.31 | -1,892.31  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| <hr/>     |                                |          |                             |           |             |            |           |            |        |
| G0177J108 | ALLERGAN PLC                   |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 12/15/16 | 39                          | 7,507.81  |             |            |           |            |        |
| Acq       |                                | 02/10/16 | 5                           | 962.54    | 1,403.49    | 1,403.49   | -440.95   | -440.95    | S      |
| Acq       |                                | 05/19/16 | 34                          | 6,545.27  | 7,734.59    | 7,734.59   | -1,189.32 | -1,189.32  | S      |
|           |                                |          | <b>Total for 12/15/2016</b> |           | 9,138.08    | 9,138.08   | -1,630.27 | -1,630.27  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| <hr/>     |                                |          |                             |           |             |            |           |            |        |
| G1151C101 | ACCENTURE PLC IRELAND SHS CL A |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 02/11/16 | 160                         | 14,942.74 |             |            |           |            |        |
| Acq       |                                | 02/10/16 | 60                          | 5,603.53  | 5,712.58    | 5,712.58   | -109.05   | -109.05    | S      |
| Acq       |                                | 11/07/14 | 100                         | 9,339.21  | 8,344.93    | 8,344.93   | 994.28    | 994.28     | L      |
|           |                                |          | <b>Total for 2/11/2016</b>  |           | 14,057.51   | 14,057.51  | 885.23    | 885.23     |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| <hr/>     |                                |          |                             |           |             |            |           |            |        |
| G1151C101 | ACCENTURE PLC IRELAND SHS CL A |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 04/20/16 | 354                         | 40,943.39 |             |            |           |            |        |
| Acq       |                                | 11/07/14 | 354                         | 40,943.39 | 29,541.05   | 29,541.05  | 11,402.34 | 11,402.34  | L      |
|           |                                |          | <b>Total for 4/20/2016</b>  |           | 29,541.05   | 29,541.05  | 11,402.34 | 11,402.34  |        |
| Note      |                                |          |                             |           |             |            |           |            |        |
| <hr/>     |                                |          |                             |           |             |            |           |            |        |
| G1151C101 | ACCENTURE PLC IRELAND SHS CL A |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                                | 10/07/16 | 272                         | 31,599.67 |             |            |           |            |        |
| Acq       |                                | 11/07/14 | 272                         | 31,599.67 | 22,698.21   | 22,698.21  | 8,901.46  | 8,901.46   | L      |
|           |                                |          | <b>Total for 10/7/2016</b>  |           | 22,698.21   | 22,698.21  | 8,901.46  | 8,901.46   |        |
| Note      |                                |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

3/15/2017 12:58:27

**KATHERINE MABIS MCKENNA FDN**  
 Trust Year Ending 12/31/2016

| Type      | Description                   | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain | State Gain | (Type) |
|-----------|-------------------------------|----------|-----------------------------|-----------|-------------|------------|----------|------------|--------|
| G1151C101 | ACCENTURE PLC IRELAND SHS CLA |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                               | 12/06/16 | 20                          | 2,359.59  |             |            |          |            |        |
| Acq       |                               | 11/28/16 | 20                          | 2,359.59  | 2,421.98    | 2,421.98   | -62.39   | -62.39     | S      |
|           |                               |          | <b>Total for 12/6/2016</b>  |           | 2,421.98    | 2,421.98   | -62.39   | -62.39     |        |
| Note      |                               |          |                             |           |             |            |          |            |        |
| G1151C101 | ACCENTURE PLC IRELAND SHS CLA |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                               | 12/15/16 | 51                          | 6,355.84  |             |            |          |            |        |
| Acq       |                               | 11/28/16 | 51                          | 6,355.84  | 6,176.04    | 6,176.04   | 179.80   | 179.80     | S      |
|           |                               |          | <b>Total for 12/15/2016</b> |           | 6,176.04    | 6,176.04   | 179.80   | 179.80     |        |
| Note      |                               |          |                             |           |             |            |          |            |        |
| G29183103 | EATON CORP PLC                |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                               | 12/06/16 | 140                         | 9,496.28  |             |            |          |            |        |
| Acq       |                               | 08/04/15 | 140                         | 9,496.28  | 7,841.82    | 8,161.02   | 1,654.46 | 1,335.26   | L      |
|           |                               |          | <b>Total for 12/6/2016</b>  |           | 7,841.82    | 8,161.02   | 1,654.46 | 1,335.26   |        |
| Note      |                               |          |                             |           |             |            |          |            |        |
| G29183103 | EATON CORP PLC                |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                               | 12/15/16 | 150                         | 10,148.32 |             |            |          |            |        |
| Acq       |                               | 08/03/15 | 50                          | 3,382.77  | 2,794.83    | 2,908.83   | 587.94   | 473.94     | L      |
| Acq       |                               | 02/10/16 | 20                          | 1,353.11  | 1,040.76    | 1,086.36   | 312.35   | 266.75     | S      |
| Acq       |                               | 08/04/15 | 80                          | 5,412.44  | 4,481.04    | 4,663.44   | 931.40   | 749.00     | L      |
|           |                               |          | <b>Total for 12/15/2016</b> |           | 8,316.63    | 8,658.63   | 1,831.69 | 1,489.69   |        |
| Note      |                               |          |                             |           |             |            |          |            |        |
| G47791101 | INGERSOLL-RAND PLC            |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                               | 12/06/16 | 160                         | 12,006.46 |             |            |          |            |        |
| Acq       |                               | 11/12/15 | 160                         | 12,006.46 | 9,162.88    | 9,162.88   | 2,843.58 | 2,843.58   | L      |
|           |                               |          | <b>Total for 12/6/2016</b>  |           | 9,162.88    | 9,162.88   | 2,843.58 | 2,843.58   |        |
| Note      |                               |          |                             |           |             |            |          |            |        |
| G47791101 | INGERSOLL-RAND PLC            |          |                             |           | 10265400911 |            |          |            |        |
| Sold      |                               | 12/15/16 | 160                         | 12,313.79 |             |            |          |            |        |
| Acq       |                               | 11/12/15 | 20                          | 1,539.22  | 1,145.36    | 1,145.36   | 393.86   | 393.86     | L      |
| Acq       |                               | 12/03/13 | 140                         | 10,774.57 | 7,838.64    | 7,838.64   | 2,935.93 | 2,935.93   | L      |
|           |                               |          | <b>Total for 12/15/2016</b> |           | 8,984.00    | 8,984.00   | 3,329.79 | 3,329.79   |        |
| Note      |                               |          |                             |           |             |            |          |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**  
**Trust Year Ending 12/31/2016**

| Type      | Description               | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|---------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| G491BT108 | INVESCO LTD               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/06/16 | 190                         | 6,051.64  |             |            |           |            |        |
| Acq       |                           | 07/20/16 | 60                          | 1,911.04  | 1,683.20    | 1,683.20   | 227.84    | 227.84     | S      |
| Acq       |                           | 11/12/15 | 130                         | 4,140.60  | 4,254.64    | 4,254.64   | -114.04   | -114.04    | L      |
|           |                           |          | <b>Total for 12/6/2016</b>  |           | 5,937.84    | 5,937.84   | 113.80    | 113.80     |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| G491BT108 | INVESCO LTD               |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/15/16 | 360                         | 11,439.21 |             |            |           |            |        |
| Acq       |                           | 07/20/16 | 360                         | 11,439.21 | 10,099.22   | 10,099.22  | 1,339.99  | 1,339.99   | S      |
|           |                           |          | <b>Total for 12/15/2016</b> |           | 10,099.22   | 10,099.22  | 1,339.99  | 1,339.99   |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| G6359F103 | NABORS INDUSTRIES LTD COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 11/30/16 | 1660                        | 26,816.38 |             |            |           |            |        |
| Acq       |                           | 10/28/16 | 1660                        | 26,816.38 | 20,068.90   | 20,068.90  | 6,747.48  | 6,747.48   | S      |
|           |                           |          | <b>Total for 11/30/2016</b> |           | 20,068.90   | 20,068.90  | 6,747.48  | 6,747.48   |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| G6359F103 | NABORS INDUSTRIES LTD COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/06/16 | 230                         | 3,910.37  |             |            |           |            |        |
| Acq       |                           | 10/28/16 | 230                         | 3,910.37  | 2,780.63    | 2,780.63   | 1,129.74  | 1,129.74   | S      |
|           |                           |          | <b>Total for 12/6/2016</b>  |           | 2,780.63    | 2,780.63   | 1,129.74  | 1,129.74   |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| G6359F103 | NABORS INDUSTRIES LTD COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/06/16 | 1540                        | 26,341.58 |             |            |           |            |        |
| Acq       |                           | 10/28/16 | 240                         | 4,105.18  | 2,901.53    | 2,901.53   | 1,203.65  | 1,203.65   | S      |
| Acq       |                           | 08/23/16 | 1300                        | 22,236.40 | 13,345.67   | 13,345.67  | 8,890.73  | 8,890.73   | S      |
|           |                           |          | <b>Total for 12/6/2016</b>  |           | 16,247.20   | 16,247.20  | 10,094.38 | 10,094.38  |        |
| Note      |                           |          |                             |           |             |            |           |            |        |
| G6359F103 | NABORS INDUSTRIES LTD COM |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                           | 12/15/16 | 380                         | 6,057.82  |             |            |           |            |        |
| Acq       |                           | 08/23/16 | 380                         | 6,057.82  | 3,901.04    | 3,901.04   | 2,156.78  | 2,156.78   | S      |
|           |                           |          | <b>Total for 12/15/2016</b> |           | 3,901.04    | 3,901.04   | 2,156.78  | 2,156.78   |        |
| Note      |                           |          |                             |           |             |            |           |            |        |

**Statement of Capital Gains and Losses From Sales**  
**(Does not include capital gain distributions from mutual**  
**funds or CTF's - See Tax Transaction Detail)**

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type      | Description            | Date     | Shares                      | Price     | Fed Cost    | State Cost | Fed Gain  | State Gain | (Type) |
|-----------|------------------------|----------|-----------------------------|-----------|-------------|------------|-----------|------------|--------|
| N6596X109 | NXP SEMICONDUCTORS N V |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 09/02/16 | 140                         | 12,309.93 |             |            |           |            |        |
| Acq       |                        | 07/21/16 | 140                         | 12,309.93 | 11,824.40   | 11,824.40  | 485.53    | 485.53     | S      |
|           |                        |          | <b>Total for 9/2/2016</b>   |           | 11,824.40   | 11,824.40  | 485.53    | 485.53     |        |
| Note      |                        |          |                             |           |             |            |           |            |        |
| N6596X109 | NXP SEMICONDUCTORS N V |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 10/13/16 | 319                         | 32,398.49 |             |            |           |            |        |
| Acq       |                        | 07/12/16 | 99                          | 10,054.70 | 7,986.31    | 7,986.31   | 2,068.39  | 2,068.39   | S      |
| Acq       |                        | 07/21/16 | 220                         | 22,343.79 | 18,581.20   | 18,581.20  | 3,762.59  | 3,762.59   | S      |
|           |                        |          | <b>Total for 10/13/2016</b> |           | 26,567.51   | 26,567.51  | 5,830.98  | 5,830.98   |        |
| Note      |                        |          |                             |           |             |            |           |            |        |
| N6596X109 | NXP SEMICONDUCTORS N V |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 10/27/16 | 310                         | 30,999.35 |             |            |           |            |        |
| Acq       |                        | 07/12/16 | 271                         | 27,099.43 | 21,861.52   | 21,861.52  | 5,237.91  | 5,237.91   | S      |
| Acq       |                        | 02/04/16 | 39                          | 3,899.92  | 2,965.35    | 2,965.35   | 934.57    | 934.57     | S      |
|           |                        |          | <b>Total for 10/27/2016</b> |           | 24,826.87   | 24,826.87  | 6,172.48  | 6,172.48   |        |
| Note      |                        |          |                             |           |             |            |           |            |        |
| N6596X109 | NXP SEMICONDUCTORS N V |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 10/28/16 | 530                         | 52,818.17 |             |            |           |            |        |
| Acq       |                        | 02/04/16 | 530                         | 52,818.17 | 40,298.34   | 40,298.34  | 12,519.83 | 12,519.83  | S      |
|           |                        |          | <b>Total for 10/28/2016</b> |           | 40,298.34   | 40,298.34  | 12,519.83 | 12,519.83  |        |
| Note      |                        |          |                             |           |             |            |           |            |        |
| N6596X109 | NXP SEMICONDUCTORS N V |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 11/02/16 | 530                         | 52,666.49 |             |            |           |            |        |
| Acq       |                        | 02/04/16 | 530                         | 52,666.49 | 40,298.33   | 40,298.33  | 12,368.16 | 12,368.16  | S      |
|           |                        |          | <b>Total for 11/2/2016</b>  |           | 40,298.33   | 40,298.33  | 12,368.16 | 12,368.16  |        |
| Note      |                        |          |                             |           |             |            |           |            |        |
| N6596X109 | NXP SEMICONDUCTORS N V |          |                             |           | 10265400911 |            |           |            |        |
| Sold      |                        | 11/11/16 | 611                         | 59,665.23 |             |            |           |            |        |
| Acq       |                        | 02/11/16 | 370                         | 36,131.15 | 24,238.52   | 24,238.52  | 11,892.63 | 11,892.63  | S      |
| Acq       |                        | 02/04/16 | 141                         | 13,768.90 | 10,720.88   | 10,720.88  | 3,048.02  | 3,048.02   | S      |
| Acq       |                        | 02/10/16 | 100                         | 9,765.18  | 6,683.80    | 6,683.80   | 3,081.38  | 3,081.38   | S      |
|           |                        |          | <b>Total for 11/11/2016</b> |           | 41,643.20   | 41,643.20  | 18,022.03 | 18,022.03  |        |
| Note      |                        |          |                             |           |             |            |           |            |        |



**Statement of Capital Gains and Losses From Sales**  
 (Does not include capital gain distributions from mutual  
 funds or CTF's - See Tax Transaction Detail)

**KATHERINE MABIS MCKENNA FDN**

**Trust Year Ending 12/31/2016**

| Type                                  | Description   | Date     | Shares                      | Price     | Fed Cost             | State Cost    | Fed Gain     | State Gain   | (Type) |
|---------------------------------------|---------------|----------|-----------------------------|-----------|----------------------|---------------|--------------|--------------|--------|
| Y09827109                             | BROADCOM LTD  |          |                             |           | 10265400911          |               |              |              |        |
| Sold                                  |               | 09/02/16 | 79                          | 13,635.98 |                      |               |              |              |        |
| Acq                                   |               | 03/17/16 | 79                          | 13,635.98 | 11,967.51            | 11,967.51     | 1,668.47     | 1,668.47     | S      |
|                                       |               |          | <b>Total for 9/2/2016</b>   |           | 11,967.51            | 11,967.51     | 1,668.47     | 1,668.47     |        |
| Note                                  |               |          |                             |           |                      |               |              |              |        |
| Y09827109                             | BROADCOM LTD  |          |                             |           | 10265400911          |               |              |              |        |
| Sold                                  |               | 12/06/16 | 44                          | 7,359.99  |                      |               |              |              |        |
| Acq                                   |               | 12/02/16 | 44                          | 7,359.99  | 7,219.57             | 7,219.57      | 140.42       | 140.42       | S      |
|                                       |               |          | <b>Total for 12/6/2016</b>  |           | 7,219.57             | 7,219.57      | 140.42       | 140.42       |        |
| Note                                  |               |          |                             |           |                      |               |              |              |        |
| Y09827109                             | BROADCOM LTD. |          |                             |           | 10265400911          |               |              |              |        |
| Sold                                  |               | 12/15/16 | 103                         | 18,534.64 |                      |               |              |              |        |
| Acq                                   |               | 12/02/16 | 103                         | 18,534.64 | 16,900.35            | 16,900.35     | 1,634.29     | 1,634.29     | S      |
|                                       |               |          | <b>Total for 12/15/2016</b> |           | 16,900.35            | 16,900.35     | 1,634.29     | 1,634.29     |        |
| Note                                  |               |          |                             |           |                      |               |              |              |        |
| <b>Total for Account: 10265400911</b> |               |          |                             |           | 15,173,421.86        | 15,174,824.11 | 1,138,269.21 | 1,136,866.96 |        |
| <b>Total Proceeds:</b>                |               |          |                             |           |                      | <b>Fed</b>    | <b>State</b> |              |        |
| 16,311,691.07                         |               |          |                             |           | <b>S/T Gain/Loss</b> | -316,224.68   | -316,349.78  |              |        |
|                                       |               |          |                             |           | <b>L/T Gain/Loss</b> | 1,454,493.89  | 1,453,216.74 |              |        |

# KATHERINE MABIS MCKENNA FOUNDATION, INC.

## 2016 GRANT REPORT

December 31, 2016

| Organization Name                                             | Address                                                              | Purpose                                                  | Grant 2016 |
|---------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------|------------|
| Adams Memorial Library                                        | 1112 Ligonier Street<br>Latrobe, PA 15650                            | General Operations                                       | \$5,000    |
| Allegheny County Parks Foundation                             | 675 Old Frankstown Rd.<br>Pittsburgh, PA 15239                       | General Operations                                       | \$10,000   |
| American Red Cross                                            | 351 Harvey Ave., Suite B<br>Greensburg, PA 15601                     | General Operations                                       | \$20,000   |
| American Red Cross                                            | 351 Harvey Ave., Suite B<br>Greensburg, PA 15601                     | General Operations<br>(\$70,000/2 years) 1 of 2          | \$35,000   |
| Association of Independent Colleges<br>and Universities of PA | 101 North Front Street<br>Harrisburg, PA 17101                       | General Operation 2016                                   | \$15,000   |
| Audubon Pennsylvania                                          | 614 Dorseyville Road<br>Pittsburgh, PA 15238                         | Centennial Campaign for the<br>Whetzel Land Steward Fund | \$50,000   |
| Botanical Society of Westmoreland<br>County                   | 582 Alexander Road<br>Latrobe, PA 15650                              | General Operations                                       | \$2,500    |
| Bucknell University                                           | Bucknell University<br>Lewisburg, PA 17837                           | McKenna Environmental Internship<br>Program (2 of 4)     | \$35,000   |
| Bucknell University                                           | Bucknell University<br>Lewisburg, PA 17837                           | McKenna Scholarship Fund                                 | \$100,000  |
| Carnegie Institute /<br>Carnegie Science Center               | One Allegheny Avenue<br>Pittsburgh, PA 15212                         | Science on the Road,<br>Westmoreland County (2 of 2)     | \$27,000   |
| Carnegie Institute /<br>Carnegie Museums of Pittsburgh        | 4400 Forbes Avenue<br>Pittsburgh, PA 15213                           | General Operations                                       | \$60,000   |
| Children's Museum of Pittsburgh                               | 10 Children's Way,<br>Allegheny Square<br>Pittsburgh, PA 15212       | General Operations (3 of 3)                              | \$20,000   |
| Economic Growth Connection of<br>Westmoreland                 | 5th Floor, Suite 510<br>40 Pennsylvania Ave.<br>Greensburg, PA 15601 | General Operations (2 of 2)                              | \$12,500   |
| Fort Ligonier Association                                     | 216 South Market Street<br>Ligonier, PA 15658                        | Capital Campaign (2 of 2)                                | \$200,000  |
| Fort Ligonier Association                                     | 216 South Market Street<br>Ligonier, PA 15658                        | General Operations<br>(1 of 3)                           | \$50,000   |
| Fort Ligonier Association                                     | 216 South Market Street<br>Ligonier, PA 15658                        | Additional support for the Capital<br>Campaign           | \$100,000  |
| Friends of Flight 93 Memorial                                 | P.O. Box 911<br>Shanksville, PA 15560                                | General Operations<br>(2 of 3)                           | \$50,000   |

| Organization Name                                           | Address                                                                  | Purpose                                                                                     | Grant 2016 |
|-------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|------------|
| Friends of Flight 93 Memorial                               | P.O. Box 911<br>Shanksville, PA 15560                                    | General Operations<br>(3 of 3)                                                              | \$50,000   |
| Garden Club of McKeesport                                   | 1400 Pin Oak Drive<br>McKeesport, PA 15132                               | General Operations                                                                          | \$2,500    |
| Gettysburg Foundation                                       | 1195 Baltimore Pike<br>Gettysburg, PA 17325                              | Trail to Spangler Farm                                                                      | \$75,000   |
| Girl Scouts of Western Pennsylvania                         | 30 Isabella Street<br>Pittsburgh, PA 15212                               | Support for the remediation of water damage E. Otterman St. building in Greensburg          | \$11,000   |
| Goodwill Industries of Pittsburgh                           | 118 52nd Street<br>Pittsburgh, PA 15201                                  | General Operations                                                                          | \$2,500    |
| Grantmakers of Western PA                                   | Centre City Tower, #210<br>650 Smithfield Street<br>Pittsburgh, PA 15222 | General Operation: grant \$5,172 / dues \$625 (check amount \$5,797)                        | \$5,172    |
| Greater Latrobe-Laurel Valley Community Chamber of Commerce | 3960 US 30, #100<br>Latrobe, PA 15650                                    | Support for the Great American Banana Split Celebration (1 of 3)                            | \$10,000   |
| Greensburg Community Development Corporation                | 41 W. Otterman St, Ste 520<br>Greensburg, PA 15601                       | Veteran's Monument restoration & relocation                                                 | \$5,000    |
| Historical Society of Western Pennsylvania                  | Heinz History Museum<br>122 Smallman St.<br>Pittsburgh, PA 15222         | Senator John Heinz History exhibition: <i>#Pixburgh</i>                                     | \$25,000   |
| Johnstown Area Heritage Association                         | P.O. Box 1889<br>Johnstown, PA 15907                                     | Support for planned building & exhibition upgrades at the Johnstown Flood Museum (2 of 2)   | \$50,000   |
| Latrobe Area Historical Society                             | 1501 Ligonier Street<br>Latrobe, PA 15650                                | Assistance with acquisition and remodeling of the new Latrobe headquarters                  | \$25,000   |
| Latrobe Area Hospital Aid Society                           | One Mellon Way<br>Latrobe, PA 15650                                      | 2016 Annual Children's Health Fair                                                          | \$500      |
| Latrobe Art Center                                          | 819 Ligonier St.,<br>Latrobe, PA 15650                                   | Bringing Fred Home Event                                                                    | \$1,000    |
| Latrobe Community Revitalization Program, Inc.              | 816 Ligonier St., Suite 307<br>Latrobe, PA 15650                         | Support for 2016 <u>Around Latrobe Magazine</u> - Profiles of the Past                      | \$2,000    |
| Latrobe Community Revitalization Program, Inc.              | 816 Ligonier St., Suite 307<br>Latrobe, PA 15650                         | General Operations (3 of 3)                                                                 | \$15,000   |
| Latrobe Community Revitalization Program, Inc.              | 816 Ligonier St., Suite 307<br>Latrobe, PA 15650                         | General Operations (1 of 3)                                                                 | \$45,000   |
| Latrobe Foundation                                          | P. O. Box 110<br>Latrobe, PA 15650                                       | Clean-up, brush removal & fencing on New Derry property along the Norfolk Southern corridor | \$25,000   |
| Latrobe Foundation                                          | P. O. Box 110<br>Latrobe, PA 15650                                       | Latrobe Parks & Recreation<br>\$150,000 over 3-years (3 of 3)                               | \$50,000   |

| Organization Name                                            | Address                                             | Purpose                                                      | Grant 2016 |
|--------------------------------------------------------------|-----------------------------------------------------|--------------------------------------------------------------|------------|
| Latrobe GLSD Parks and Recreation                            | P.O. Box 307<br>Latrobe, PA 15650                   | Support for Creekside programming                            | \$15,000   |
| Latrobe Volunteer Fire Department                            | P.O. Box 172<br>Latrobe, PA 15650                   | Support for vital services                                   | \$5,000    |
| Laurel Area Interfaith Volunteer Caregivers, Inc.            | 428 Main St./ PO Box 854<br>Latrobe, PA 15650       | General Operations                                           | \$2,000    |
| Ligonier Valley Library Association                          | 120 West Main Street<br>Ligonier, PA 15658          | General Operations                                           | \$25,000   |
| Ligonier Valley Memorial Association                         | P.O. Box 862<br>Ligonier, PA 15658                  | Cemetery's general support                                   | \$5,000    |
| Ligonier Valley Rail Road Association                        | PO Box 21<br>Ligonier, PA 15658                     | General Operations<br>(1 of 3)                               | \$10,000   |
| Ligonier Valley Rail Road Association                        | PO Box 21<br>Ligonier, PA 15658                     | General Operations                                           | \$5,000    |
| Lincoln Highway Heritage Corridor                            | 3435 State Route 30 East<br>Latrobe, PA 15650       | General Operations                                           | \$10,000   |
| Loyalhanna Watershed Association                             | 110 Andi Lane<br>Ligonier, PA 15658                 | Youth Conservation Corps 2016                                | \$23,000   |
| Loyalhanna Watershed Association                             | 110 Andi Lane<br>Ligonier, PA 15658                 | General Operations                                           | \$20,000   |
| National Road Heritage Corridor                              | 65 West Main St., Ste. 103<br>Uniontown, PA 15401   | General Operations                                           | \$5,000    |
| Nine Mile Run Watershed Assn.                                | 321 Pennwood Ave, St 202<br>Pittsburgh, PA 15221    | Restoration Stewardship and Interpretation Program           | \$10,000   |
| Pennsylvania Assn. for the Blind- Westmoreland County Branch | 911 South Main Street<br>Greensburg, PA 15601       | Assistance with purchase of equipment for Work Activity Ctr. | \$20,000   |
| Pennsylvania Environmental Council                           | 22 Terminal Way<br>Pittsburgh, PA 15219             | Annual Dinner \$3,000<br>(T&E 10 x \$60 \$600/Grant \$2,400) | \$2,400    |
| Pennsylvania Parks & Forest Fdn. / Friends of Ohiopyle       | 1845 Market St, 3rd Floor,<br>Camp Hill, PA 17011   | Camping facilities in Ohiopyle State Park                    | \$75,000   |
| Phipps Conservatory and Botanical Gardens                    | One Schenley Park<br>Pittsburgh, PA 15213           | Environmental science research & education (4 of 5)          | \$40,000   |
| Phipps Conservatory and Botanical Gardens                    | One Schenley Park<br>Pittsburgh, PA 15213           | Environmental science research & education (5 of 5)          | \$40,000   |
| Pittsburgh Ballet Theatre                                    | 2900 Liberty Avenue<br>Pittsburgh, PA 15201         | Performance at the Palace Theatre in Greensburg              | \$5,000    |
| Pittsburgh Community Broadcasting- WYEP FM                   | 67 Bedford Square<br>Pittsburgh, PA 15203           | Environmental programming for the region                     | \$5,000    |
| Pittsburgh History & Landmarks Foundation                    | 1 Station Square, Suite 450<br>Pittsburgh, PA 15219 | The Historic Religious Properties program                    | \$10,000   |

| Organization Name                                                                           | Address                                                                  | Purpose                                                                                                 | Grant 2016 |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------|
| Pittsburgh Parks Conservancy                                                                | 45 S. 23rd St., Suite 101<br>Pittsburgh, PA 15203                        | Frick Environmental Center, bench:<br>Katherine Mabis McKenna                                           | \$15,000   |
| Redevelopment Authority of the<br>City of Connellsville                                     | 124 West Crawford Avenue<br>Connellsville, PA 15425                      | Acquisition of two properties near<br>the new hotel in Connellsville                                    | \$150,000  |
| Redevelopment Authority of the<br>County of Westmoreland -<br>Westmoreland County Land Bank | 40 N. Pennsylvania Ave.<br>5th floor, Suite 520<br>Greensburg, PA 15601  | Strategic Stabilization Revolving<br>Fund initiative for properties in<br>Latrobe & Greensburg (2 of 2) | \$25,000   |
| Regional Trail Corporation                                                                  | P.O. Box 95<br>West Newton, PA 15089                                     | Support for the ATA Director of<br>Community Relations (2 of 3)                                         | \$100,000  |
| Regional Trail Corporation                                                                  | P.O. Box 95<br>West Newton, PA 15089                                     | Construction on the Latrobe-St.<br>Vincent Trail                                                        | \$50,000   |
| Regional Trail Corporation                                                                  | P.O. Box 95<br>West Newton, PA 15089                                     | Support for trail maintenance along<br>the Great Allegheny Passage                                      | \$25,000   |
| Regional Trail Corporation                                                                  | P.O. Box 95<br>West Newton, PA 15089                                     | ATA's C&O Canal Resurfacing<br>Project                                                                  | \$10,000   |
| River City Brass Band                                                                       | 500 Grant St, Suite 2720<br>Pittsburgh, PA 15219                         | Underwrite 8 performances at the<br>Palace Theatre (1 of 4)                                             | \$5,000    |
| Rotary Club of Ligonier Charitable<br>Foundation                                            | P.O. Box 214<br>Ligonier, PA 15089                                       | Additional assistance for<br>renovations to Ligonier Friendship<br>Park                                 | \$15,000   |
| Saint Vincent College                                                                       | 300 Fraser Purchase Road<br>Latrobe, PA 15650                            | Support for the Library<br>Renovations (1 of 4)                                                         | \$500,000  |
| Saint Vincent College                                                                       | 300 Fraser Purchase Road<br>Latrobe, PA 15650                            | McKenna School: Equipment,<br>professional development,<br>international travel                         | \$100,000  |
| Smart Growth Partnership of<br>Westmoreland County, Inc.                                    | GreenForge Building<br>226 Donohoe Rd., Ste. 105<br>Greensburg, PA 15601 | General Operations<br>(2 of 2)                                                                          | \$40,000   |
| Southern Alleghenies Museum of<br>Art                                                       | P O. Box 9<br>Loretto, PA 15940                                          | Annual Regional Exhibition at the<br>Ligonier Valley Museum                                             | \$10,000   |
| United Way of Westmoreland<br>County                                                        | 1011 Old Salem Rd,<br>Suite 101<br>Greensburg, PA 15601                  | Mothers Making More program                                                                             | \$30,000   |
| University of Dayton, School of<br>Engineering Women's Summer<br>Camp                       | 300 College Park, KL261<br>Dayton, Ohio 45469                            | Women in Engineering Summer<br>Camp 2016                                                                | \$4,655    |
| Western Pennsylvania Conservancy                                                            | P O. Box R<br>Mill Run, PA 15464                                         | Fallingwater Internship (2 of 2)                                                                        | \$20,000   |
| Western Pennsylvania Conservancy                                                            | 800 Waterfront Drive<br>Pittsburgh, PA 15222                             | Conservation initiative to protect<br>scenic landscape along Great<br>Allegheny Passage (3 of 3)        | \$40,000   |
| Western Pennsylvania Conservancy                                                            | 800 Waterfront Drive<br>Pittsburgh, PA 15222                             | Ligonier Valley Farmland<br>Protection Fund                                                             | \$75,000   |

| <b>Organization Name</b>                                       | <b>Address</b>                                      | <b>Purpose</b>                                                                               | <b>Grant 2016</b>  |
|----------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------|--------------------|
| Westmoreland Arts & Heritage Festival                          | 252 Twin Lakes Road<br>Latrobe, PA 15650            | General Operations: 2016 Festival                                                            | \$7,500            |
| Westmoreland Conservation District                             | 218 Donohoe Road<br>Greensburg, PA 15601            | Sustaining Conservation capital campaign, plus maintenance of the planting on the green roof | \$85,000           |
| Westmoreland County Chamber of Commerce                        | 241 Tollgate Road<br>Greensburg, PA 15601           | 2016-17 Leadership Westmoreland                                                              | \$5,000            |
| Westmoreland County Food Bank, Inc.                            | 100 Devonshire Drive<br>Delmont, PA 15627           | Support for the Food Pantry Distribution Program                                             | \$10,000           |
| Westmoreland County Historical Society                         | 362 Sand Hill Road, Suite 1<br>Greensburg, PA 15601 | Support for its Westmoreland History Education Center capital campaign                       | \$50,000           |
| Westmoreland County Historical Society                         | 362 Sand Hill Road, Suite 1<br>Greensburg, PA 15601 | Support for Strategic planning and other specific planning initiatives                       | \$35,000           |
| Westmoreland Cultural Trust - Greensburg Garden & Civic Center | 102 N. Main St., Ste 232<br>Greensburg, PA 15601    | Debt Reduction                                                                               | \$25,000           |
| Westmoreland Cultural Trust - Greensburg Garden & Civic Center | 102 N. Main St., Ste 232<br>Greensburg, PA 15601    | 2016 General Operations (2 of 4)                                                             | \$30,000           |
| Westmoreland Cultural Trust - Greensburg Garden & Civic Center | 102 N. Main St., Ste 232<br>Greensburg, PA 15601    | 2016 General Operations (3 of 4)                                                             | \$30,000           |
| Westmoreland Cultural Trust - Greensburg Garden & Civic Center | 102 N. Main St., Ste 232<br>Greensburg, PA 15601    | 2016 General Operations (4 of 4)                                                             | \$30,000           |
| Westmoreland Cultural Trust - Greensburg Garden & Civic Center | 102 N. Main St., Ste 232<br>Greensburg, PA 15601    | <b>2017 General Operations</b> (1 of 4)                                                      | \$30,000           |
| Westmoreland Cultural Trust - Greensburg Garden & Civic Center | 102 N. Main St., Ste 232<br>Greensburg, PA 15601    | Palace Theater Apartment renovations                                                         | \$200,000          |
| Westmoreland Museum of American Art                            | 221 North Main Street<br>Greensburg, PA 15601       | General Operations (1 of 5)                                                                  | \$100,000          |
| Westmoreland Museum of American Art                            | 221 North Main Street<br>Greensburg, PA 15601       | Additional support for the Capital Campaign                                                  | \$100,000          |
| Westmoreland Symphony Orchestra                                | 951 Old Salem Road<br>Greensburg, PA 15601          | Audience development initiative (3 of 3)                                                     | \$15,000           |
| YWCA of Westmoreland County                                    | 424 N. Main Street<br>Greensburg, PA 15601          | General Operations (2 of 2)                                                                  | \$20,000           |
| <b>TOTAL 12-31-2016</b>                                        |                                                     |                                                                                              | <b>\$3,511,227</b> |

Katherine Mabis McKenna Foundation  
2016 Form 990-PF

Statement 5, Other Investment Detail

|                       | <u>Value</u>        |
|-----------------------|---------------------|
| BNY Mellon (STMT 9.1) | \$23,181,304        |
| BNY Mellon (STMT 9.2) | <u>\$53,483,391</u> |
| Total                 | <u>\$76,664,695</u> |

STATEMENT 9

# Asset detail

## Equities

### U.S. large cap

| Shares/par value | Description                                         | Market price | Market value  | Tax cost      | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-----------------------------------------------------|--------------|---------------|---------------|---------------------------|-------------------------|---------------|-----------------|
| 2,040            | Allergan PLC ( AGN )                                | \$ 210.0100  | \$ 428,420.40 | \$ 491,291.47 | \$ -62,871.07             | \$ 5,712.00             | 1.3%          | 1.8%            |
| 12,810           | Eaton Corp PLC ( ETN )                              | 67.0900      | 859,422.90    | 632,290.61    | 227,132.29                | 29,206.80               | 3.4           | 3.5             |
| 550              | Alphabet, Inc. ( GOOG )                             | 771.8200     | 424,501.00    | 394,000.98    | 30,500.02                 | 0.00                    | 0.0           | 1.8             |
| 9,875            | Amerisourcebergen Corp ( ABC )                      | 78.1900      | 772,126.25    | 335,523.38    | 436,602.87                | 14,417.50               | 1.9           | 3.2             |
| 9,409            | Automatic Data Processing Inc ( ADP )               | 102.7800     | 967,057.02    | 292,109.54    | 674,947.48                | 21,452.52               | 2.2           | 4.0             |
| 4,955            | Becton Dickinson & Co ( BDX )                       | 165.5500     | 820,300.25    | 388,367.54    | 431,932.71                | 14,468.60               | 1.8           | 3.4             |
| 12,385           | Colgate-Palmolive Company ( CL )                    | 65.4400      | 810,474.40    | 551,022.51    | 259,451.89                | 19,320.60               | 2.4           | 3.3             |
| 10,134           | Conocophillips ( COP )                              | 50.1400      | 508,118.76    | 438,065.34    | 70,053.42                 | 10,134.00               | 2.0           | 2.1             |
| 9,745            | Exxon Mobil Corp ( XOM )                            | 90.2600      | 879,583.70    | 736,547.83    | 143,035.87                | 29,235.00               | 3.3           | 3.6             |
| 4,595            | International Business Machines ( IBM ) Corporation | 165.9900     | 762,724.05    | 746,521.95    | 16,202.10                 | 25,732.00               | 3.4           | 3.1             |
| 7,471            | Johnson & Johnson ( JNJ )                           | 115.2100     | 860,733.91    | 436,659.73    | 424,074.18                | 23,907.20               | 2.8           | 3.6             |
| 5,775            | L-3 Communications Hldgs Inc ( LLL )                | 152.1100     | 878,435.25    | 667,023.27    | 211,411.98                | 16,170.00               | 1.8           | 3.6             |
| 10,415           | Lowe's Companies Inc ( LOW )                        | 71.1200      | 740,714.80    | 237,821.16    | 502,893.64                | 14,581.00               | 2.0           | 3.1             |
| 9,415            | Mastercard Inc ( MA )                               | 103.2500     | 972,098.75    | 297,158.37    | 674,940.38                | 8,285.20                | 0.9           | 4.0             |
| 3,775            | Mc Donald's Corporation ( MCD )                     | 121.7200     | 459,493.00    | 340,149.77    | 119,343.23                | 14,194.00               | 3.1           | 1.9             |
| 14,930           | Microsoft Corporation ( MSFT )                      | 62.1400      | 927,750.20    | 381,614.99    | 546,135.21                | 23,290.80               | 2.5           | 3.8             |
| 9,642            | PNC Financial Services Group ( PNC )                | 116.9600     | 1,127,728.32  | 529,068.93    | 598,659.39                | 21,212.40               | 1.9           | 4.7             |



December 1 - December 31, 2016

McKenna Katherine Mabie Fdn Inc-No 2

## Equities

U.S. large cap  
continued

| Shares/unit value    | Description                           | Market price | Market value     | Tax cost         | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|----------------------|---------------------------------------|--------------|------------------|------------------|---------------------------|-------------------------|---------------|-----------------|
| 9,195                | Packaging Corp Amer (PKG)             | 84.8200      | 779,919.90       | 644,007.38       | 135,912.52                | 23,171.40               | 3.0           | 3.2             |
| 7,793                | Pepsico Inc (PEP)                     | 104.6300     | 815,381.59       | 299,304.04       | 516,077.55                | 23,456.93               | 2.9           | 3.4             |
| 26,925               | Pfizer Inc (PFE)                      | 32.4800      | 874,524.00       | 566,548.12       | 307,975.88                | 34,464.00               | 3.9           | 3.6             |
| 6,360                | Praxair Inc (PX)                      | 117.1900     | 745,328.40       | 594,285.70       | 151,042.70                | 19,080.00               | 2.6           | 3.1             |
| 16,845               | Republic Sves Inc Cl A ( RSG )        | 57.0500      | 961,007.25       | 446,541.13       | 514,466.12                | 21,561.60               | 2.2           | 4.0             |
| 8,060                | Schlumberger Ltd ( SLB )              | 83.9500      | 676,637.00       | 513,139.41       | 163,497.59                | 16,120.00               | 2.4           | 2.8             |
| 5,055                | Thermo Fisher Scientific Inc. ( TMO ) | 141.1000     | 713,260.50       | 663,818.76       | 49,441.74                 | 3,033.00                | 0.4           | 2.9             |
| 7,610                | United Parcel Service Cl B ( UPS )    | 114.6400     | 872,410.40       | 524,373.92       | 348,036.48                | 23,743.20               | 2.7           | 3.6             |
| 15,195               | Verizon Communications Inc ( VZ )     | 53.3800      | 811,109.10       | 728,876.21       | 82,232.89                 | 35,100.45               | 4.3           | 3.3             |
| 8,160                | Wells Fargo & Company ( WFC )         | 55.1100      | 449,697.60       | 396,666.16       | 53,031.44                 | 12,403.20               | 2.8           | 1.9             |
| 6,300                | Westrock Company ( WRK )              | 50.7700      | 319,851.00       | 156,533.69       | 163,317.31                | 10,080.00               | 3.2           | 1.3             |
| Total U.S. large cap |                                       |              | \$ 21,218,809.70 | \$ 13,429,331.89 | \$ 7,789,477.81           | \$ 513,533.40           |               | 87.4%           |

## Developed international

| Shares/par value              | Description                                         | Market price | Market value     | Tax cost         | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|-------------------------------|-----------------------------------------------------|--------------|------------------|------------------|---------------------------|-------------------------|---------------|-----------------|
| 18,100                        | Bce Inc ( BCE )                                     | \$ 43.2400   | \$ 782,644.00    | \$ 645,754.78    | \$ 136,889.22             | \$ 36,887.80            | 4.7%          | 3.2%            |
| 4,985                         | Nestle S A Sponsored ADR Repstg Reg ( NSRGY )<br>Sh | 71.7400      | 357,623.90       | 394,905.72       | -37,281.82                | 9,705.80                | 2.7           | 1.5             |
| 20,025                        | Unilever NV New York Shs ADR New ( UN )             | 41.0600      | 822,226.50       | 679,665.01       | 142,561.49                | 23,869.80               | 2.9           | 3.4             |
| Total developed international |                                                     |              | \$ 1,962,494.40  | \$ 1,720,325.51  | \$ 242,168.89             | \$ 70,463.40            |               | 8.1%            |
| Total equities                |                                                     |              | \$ 23,181,304.10 | \$ 15,149,657.40 | \$ 8,031,646.70           | \$ 583,996.80           |               | 95.5%           |

## Asset detail

### Fixed income

#### Taxable

#### Individual securities Treasury

| Shares/par value | Description                                                                                   | Market price | Market value  | Tax cost      | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-----------------------------------------------------------------------------------------------|--------------|---------------|---------------|---------------------------|-------------------------|---------------|-----------------|
| 190,000          | United States Treasury Note<br>DTD 9/30/2012 0.625% 9/30/2017<br>Moody's: AAA S&P: AA+        | \$ 99.8590   | \$ 189,732.10 | \$ 188,467.97 | \$ 1,264.13               | \$ 1,187.50             | 0.6%          | 0.4%            |
| 270,000          | United States Treasury Note<br>DTD 10/31/2012 0.75% 10/31/2017<br>Moody's: AAA S&P: AA+       | 99.9100      | 269,757.00    | 268,964.44    | 792.56                    | 2,025.00                | 0.8           | 0.5             |
| 250,000          | United States Treasury Note<br>DTD 1/31/2011 2.625% 1/31/2018<br>Moody's: AAA S&P: AA+        | 101.7770     | 254,442.50    | 254,813.41    | -370.91                   | 6,562.50                | 2.6           | 0.5             |
| 99,339.60        | US Treasury Inflation Indexed Bond<br>DTD 4/15/2013 0.125% 4/15/2018<br>Moody's: AAA S&P: AA+ | 100.9100     | 100,243.59    | 100,652.24    | -408.65                   | 124.17                  | 0.1           | 0.2             |
| 215,000          | United States Treasury Note<br>DTD 6/15/2015 1.125% 6/15/2018<br>Moody's: AAA S&P: AA+        | 100.0980     | 215,210.70    | 214,848.83    | 361.87                    | 2,418.75                | 1.1           | 0.4             |



December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

## Fixed income

### Taxable

#### Individual securities Treasury

continued

| Shares/par value | Description                                                                                                       | Market price | Market value | Tax cost   | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------------------------------------------------------------------------------------|--------------|--------------|------------|---------------------------|-------------------------|---------------|-----------------|
| 130,000          | United States Treasury Note<br>DTD 5/16/2016 0.875% 5/15/2019<br>Moody's: AAA S&P: AA+                            | 99.0430      | 128,755.90   | 129,125.78 | -369.88                   | 1,137.50                | 0.9           | 0.2             |
| 25,000           | United States Treasury Note<br>DTD 11/15/2009 3.375% 11/15/2019<br>Moody's: AAA S&P: AA+                          | 105.5040     | 26,376.00    | 25,040.14  | 1,335.86                  | 843.75                  | 3.2           | 0.1             |
| 30,965.70        | U S Treasury Inflation Indexed Bond<br>DTD 4/15/2015 0.125% 4/15/2020<br>Moody's: AAA S&P: AA+                    | 101.0390     | 31,287.43    | 30,698.44  | 588.99                    | 38.71                   | 0.1           | 0.1             |
| 150,000          | United States Treasury N/B<br>DTD 12/2/2013 2% 11/30/2020<br>Moody's: AAA S&P: AA+                                | 101.0350     | 151,552.50   | 152,488.48 | -935.98                   | 3,000.00                | 2.0           | 0.3             |
| 55,000           | United States Treasury Note<br>DTD 2/29/2016 1.125% 2/28/2021<br>Moody's: AAA S&P: AA+                            | 97.3010      | 53,515.55    | 54,552.93  | -1,037.38                 | 618.75                  | 1.2           | 0.1             |
| 30,000           | United States Treasury Notes<br>DTD 6/30/2016 1.125% 6/30/2021<br>Moody's: AAA S&P: AA+                           | 96.7850      | 29,035.50    | 29,977.73  | -942.23                   | 337.50                  | 1.2           | 0.1             |
| 80,436           | United States Treasury Inflation<br>Protected Security DTD 7/15/2011<br>0.625% 7/15/2021<br>Moody's: AAA S&P: AA+ | 103.1900     | 83,001.91    | 83,162.26  | -160.35                   | 502.73                  | 0.6           | 0.2             |
| 100,000          | United States Treasury Note<br>DTD 7/31/2015 2% 7/31/2022<br>Moody's: AAA S&P: AA+                                | 99.6330      | 99,633.00    | 101,581.04 | -1,948.04                 | 2,000.00                | 2.0           | 0.2             |
| 70,000           | United States Treasury Note<br>DTD 9/30/2015 1.75% 9/30/2022<br>Moody's: AAA S&P: AA+                             | 98.1060      | 68,674.20    | 71,222.55  | -2,548.35                 | 1,225.00                | 1.8           | 0.1             |
| 60,000           | United States Treasury Note<br>DTD 6/30/2016 1.25% 6/30/2023<br>Moody's: AAA S&P: AA+                             | 94.9920      | 56,995.20    | 59,639.06  | -2,643.86                 | 825.00                  | 1.5           | 0.1             |

## Fixed income

### Taxable

#### Individual securities Treasury

continued

| Shares/par value | Description                                                                              | Market price | Market value | Tax cost   | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|------------------------------------------------------------------------------------------|--------------|--------------|------------|---------------------------|-------------------------|---------------|-----------------|
| 105,000          | United States Treasury Note<br>DTD 8/3/2016 1.375% 8/31/2023<br>Moody's: AAA S&P: AA+    | 94.7460      | 99,483.30    | 103,989.85 | -4,506.55                 | 1,443.75                | 1.5           | 0.2             |
| 85,000           | United States Treasury Note<br>DTD 9/30/2016 1.375% 9/30/2023<br>Moody's: AAA S&P: AA+   | 94.6990      | 80,494.15    | 83,456.05  | -2,961.90                 | 1,168.75                | 1.5           | 0.2             |
| 140,000          | United States Treasury Notes<br>DTD 10/31/2016 1.5% 10/31/2023<br>Moody's: AAA S&P: AA+  | 96.2070      | 134,689.80   | 139,048.44 | -4,358.64                 | 2,275.00                | 1.7           | 0.3             |
| 175,000          | United States Treasury Notes<br>DTD 11/15/2014 2.25% 11/15/2024<br>Moody's: AAA S&P: AA+ | 99.3870      | 173,927.25   | 179,761.93 | -5,834.68                 | 3,937.50                | 2.3           | 0.3             |
| 180,000          | United States Treasury Note<br>DTD 5/16/2016 1.625% 5/15/2026<br>Moody's: AAA S&P: AA+   | 93.2500      | 167,850.00   | 181,153.42 | -13,303.42                | 2,925.00                | 1.7           | 0.3             |

#### Individual securities Government related

| Shares/par value | Description                                                                           | Market price | Market value | Tax cost     | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|---------------------------------------------------------------------------------------|--------------|--------------|--------------|---------------------------|-------------------------|---------------|-----------------|
| 40,000           | Federal Home Loan Bank<br>DTD 5/4/2004 5.375% 5/15/2019<br>Moody's: AAA S&P: AA+      | \$ 109.3310  | \$ 43,732.40 | \$ 44,980.46 | \$ -1,248.06              | \$ 2,150.00             | 4.9%          | 0.1%            |
| 30,000           | Federal National Mortgage Assn<br>DTD 4/30/2012 2% 4/30/2020<br>Moody's: AAA S&P: AA+ | 101.1940     | 30,358.20    | 29,782.80    | 575.40                    | 600.00                  | 2.0           | 0.1             |

#### Individual securities Corporate

| Shares/par value | Description                                                                    | Market price | Market value | Tax cost     | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|--------------------------------------------------------------------------------|--------------|--------------|--------------|---------------------------|-------------------------|---------------|-----------------|
| 40,000           | Nova Scotia Province<br>DTD 1/26/2007 5.125% 1/26/2017<br>Moody's: AA2 S&P: A+ | \$ 100.2370  | \$ 40,094.80 | \$ 40,868.40 | \$ -773.60                | \$ 2,050.00             | 5.1%          | 0.1%            |



BNY MELLON  
WEALTH MANAGEMENT

December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

Individual securities Corporate

continued

| Shares/par value | Description                                                                      | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|----------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 35,000           | British Telecom PLC<br>DTD 2/14/2014 1.25% 2/14/2017<br>Moody's: BAA1 S&P: BBB+  | 100.0050     | 35,001.75    | 34,975.50 | 26.25                     | 437.50                  | 1.3           | 0.1             |
| 10,000           | Diageo Capital PLC<br>DTD 5/11/2012 1.5% 5/11/2017<br>Moody's: A3 S&P: A-        | 100.1470     | 10,014.70    | 9,956.00  | 58.70                     | 150.00                  | 1.5           | 0.0             |
| 40,000           | Ford Motor Credit Co LLC<br>DTD 6/12/2012 3% 6/12/2017<br>Moody's: BAA2 S&P: BBB | 100.5820     | 40,232.80    | 39,998.74 | 234.06                    | 1,200.00                | 3.0           | 0.1             |
| 35,000           | Comerica Bank<br>DTD 8/22/2005 5.2% 8/22/2017<br>Moody's: A3 S&P: BBB+           | 102.2460     | 35,786.10    | 35,763.32 | 22.78                     | 1,820.00                | 5.1           | 0.1             |
| 50,000           | Astrazeneca PLC<br>DTD 9/12/2007 5.9% 9/15/2017<br>Moody's: A3 S&P: A-           | 103.1300     | 51,565.00    | 47,742.20 | 3,822.80                  | 2,950.00                | 5.7           | 0.1             |
| 25,000           | Thomson Reuters Corp<br>DTD 9/29/2014 1.65% 9/29/2017<br>Moody's: BAA2 S&P: BBB+ | 100.1120     | 25,028.00    | 24,961.95 | 66.05                     | 412.50                  | 1.7           | 0.1             |
| 10,000           | McDonald's Corp<br>DTD 10/18/2007 5.8% 10/15/2017<br>Moody's: BAA1 S&P: BBB+     | 103.4150     | 10,341.50    | 10,140.50 | 201.00                    | 580.00                  | 5.6           | 0.0             |
| 25,000           | Coca-Cola Co/The<br>DTD 3/5/2013 1.15% 4/1/2018<br>Moody's: AA3 S&P: AA-         | 99.7730      | 24,943.25    | 24,714.75 | 228.50                    | 287.50                  | 1.2           | 0.1             |
| 35,000           | Bank Of Montreal<br>DTD 4/10/2015 1.4% 4/10/2018<br>Moody's: AA3 S&P: A+         | 99.7420      | 34,909.70    | 34,985.20 | -75.50                    | 490.00                  | 1.4           | 0.1             |
| 40,000           | Glaxosmithkline Cap Inc<br>DTD 5/13/2008 5.65% 5/15/2018<br>Moody's: A2 S&P: A+  | 105.4010     | 42,160.40    | 37,169.20 | 4,991.20                  | 2,260.00                | 5.4           | 0.1             |
| 45,000           | Grupo Televisa Sab<br>DTD 5/12/2008 6% 5/15/2018<br>Moody's: BAA1 S&P: BBB+      | 105.3270     | 47,397.15    | 52,393.95 | -4,996.80                 | 2,700.00                | 5.7           | 0.1             |

Individual securities Corporate  
continued

| Shares/par value | Description                                                                         | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 45,000           | Emc Corp<br>DTD 6/6/2013 1.875% 6/1/2018<br>Moody's: BA2 S&P: BB-                   | 98.8920      | 44,501.40    | 44,974.35 | -472.95                   | 843.75                  | 1.9           | 0.1             |
| 35,000           | Southern Co<br>DTD 5/24/2016 1.55% 7/1/2018<br>Moody's: BAA2 S&P: BBB+              | 99.6280      | 34,869.80    | 34,983.85 | -114.05                   | 542.50                  | 1.6           | 0.1             |
| 30,000           | Unitedhealth Group Inc<br>DTD 7/23/2015 1.9% 7/16/2018<br>Moody's: A3 S&P: A+       | 100.4440     | 30,133.20    | 29,961.90 | 171.30                    | 570.00                  | 1.9           | 0.1             |
| 45,000           | American Express Credit<br>DTD 7/31/2015 1.8% 7/31/2018<br>Moody's: A2 S&P: A-      | 100.1290     | 45,058.05    | 44,941.05 | 117.00                    | 810.00                  | 1.8           | 0.1             |
| 35,000           | Societe Generale<br>DTD 10/1/2013 2.625% 10/1/2018<br>Moody's: A2 S&P: A            | 101.2580     | 35,440.30    | 35,022.25 | 418.05                    | 918.75                  | 2.6           | 0.1             |
| 30,000           | Sumitomo Mitsui Banking<br>DTD 10/19/2016 1.762% 10/19/2018<br>Moody's: A1 S&P: A   | 99.5830      | 29,874.90    | 30,002.17 | -127.27                   | 528.60                  | 1.8           | 0.1             |
| 25,000           | Boston Properties LP<br>DTD 11/10/2011 3.7% 11/15/2018<br>Moody's: BAA2 S&P: A-     | 103.0040     | 25,751.00    | 26,304.20 | -553.20                   | 925.00                  | 3.6           | 0.1             |
| 30,000           | John Deere Capital Corp<br>DTD 1/8/2016 1.95% 1/8/2019<br>Moody's: A2 S&P: A        | 100.4210     | 30,126.30    | 29,998.20 | 128.10                    | 585.00                  | 1.9           | 0.1             |
| 40,000           | Bank Of America Corp<br>DTD 10/22/2013 2.6% 1/15/2019<br>Moody's: BAA1 S&P: BBB+    | 100.8550     | 40,342.00    | 40,089.59 | 252.41                    | 1,040.00                | 2.6           | 0.1             |
| 45,000           | Dr Pepper Snapple Group<br>DTD 11/15/2011 2.6% 1/15/2019<br>Moody's: BAA1 S&P: BBB+ | 101.4640     | 45,658.80    | 45,541.35 | 117.45                    | 1,170.00                | 2.6           | 0.1             |
| 30,000           | Kroger Co/The<br>DTD 12/23/2013 2.3% 1/15/2019<br>Moody's: BAA1 S&P: BBB            | 100.6210     | 30,186.30    | 30,187.83 | -1.53                     | 690.00                  | 2.3           | 0.1             |

December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

Individual securities Corporate  
continued

| Shares/Jan value | Description                                                                            | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|----------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 45,000           | Toyota Motor Credit Corp<br>DTD 1/17/2014 2.1% 1/17/2019<br>Moody's: AA3 S&P: AA-      | 100.5490     | 45,247.05    | 44,961.75 | 285.30                    | 945.00                  | 2.1           | 0.1             |
| 55,000           | Goldman Sachs Group Inc<br>DTD 1/31/2014 2.625% 1/31/19<br>Moody's: A3 S&P: BBB+       | 101.0750     | 55,591.25    | 55,503.75 | 87.50                     | 1,443.75                | 2.6           | 0.1             |
| 25,000           | Amgen Inc<br>DTD 1/16/2009 5.7% 2/1/2019<br>Moody's: BAA1 S&P: A                       | 107.4810     | 26,870.25    | 24,944.25 | 1,926.00                  | 1,425.00                | 5.3           | 0.1             |
| 60,000           | Cisco Systems<br>DTD 03/03/2014 2.125% 03/01/2019<br>Moody's: A1 S&P: AA-              | 101.3180     | 60,790.80    | 60,028.92 | 761.88                    | 1,275.00                | 2.1           | 0.1             |
| 25,000           | Exxon Mobil Corporation<br>DTD 3/3/2016 1.708% 3/1/2019<br>Moody's: AAA S&P: AA+       | 100.2160     | 25,054.00    | 25,000.00 | 54.00                     | 427.00                  | 1.7           | 0.1             |
| 35,000           | BP Cap Mkts P L C<br>DTD 3/10/2009 4.75% 3/10/2019<br>Moody's: A2 S&P: A-              | 106.0070     | 37,102.45    | 37,220.66 | -118.21                   | 1,662.50                | 4.5           | 0.1             |
| 25,000           | Berkshire Hathaway Fin<br>DTD 3/15/2016 1.7% 3/15/2019<br>Moody's: AA2 S&P: AA         | 99.9110      | 24,977.75    | 24,981.00 | -3.25                     | 425.00                  | 1.7           | 0.1             |
| 50,000           | Caterpillar Financial SE<br>DTD 6/9/2014 2.1% 6/9/2019<br>Moody's: A3 S&P: A           | 100.3640     | 50,182.00    | 50,043.91 | 138.09                    | 1,050.00                | 2.1           | 0.1             |
| 80,000           | Citigroup Inc<br>DTD 7/29/2014 2.5% 7/29/2019<br>Moody's: BAA1 S&P: BBB+               | 100.6860     | 80,548.80    | 79,381.90 | 1,166.90                  | 2,000.00                | 2.5           | 0.2             |
| 45,000           | Ontario (Province Of)<br>DTD 10/7/2009 4% 10/7/2019<br>Moody's: AA2 S&P: A+            | 105.6560     | 47,545.20    | 44,922.60 | 2,622.60                  | 1,800.00                | 3.8           | 0.1             |
| 25,000           | Enterprise Products Oper<br>DTD 10/14/2014 2.55% 10/15/2019<br>Moody's: BAA1 S&P: BBB+ | 101.0210     | 25,255.25    | 25,204.58 | 50.67                     | 637.50                  | 2.5           | 0.1             |

**Individual securities Corporate**  
continued

| Shares/par value | Description                                                                        | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 30,000           | Abbott Laboratories<br>DTD 11/22/2016 2.35% 11/22/2019<br>Moody's: BAA3 S&P: A+    | 100.1180     | 30,035.40    | 29,970.60 | 64.80                     | 705.00                  | 2.4           | 0.1             |
| 50,000           | Amazon.Com Inc<br>DTD 12/5/2014 2.6% 12/5/2019<br>Moody's: BAA1 S&P: AA-           | 101.9700     | 50,985.00    | 50,900.07 | 84.93                     | 1,300.00                | 2.6           | 0.1             |
| 25,000           | Bank Of Montreal<br>DTD 12/12/2016 2.1% 12/12/2019<br>Moody's: AA3 S&P: A+         | 100.0000     | 25,000.00    | 24,989.25 | 10.75                     | 525.00                  | 2.1           | 0.1             |
| 50,000           | Adobe Systems Inc<br>DTD 2/1/2010 4.75% 2/1/2020<br>Moody's: BAA1 S&P: A-          | 107.4440     | 53,722.00    | 55,812.80 | -2,090.80                 | 2,375.00                | 4.4           | 0.1             |
| 35,000           | Santander Holdings USA<br>DTD 4/17/2015 2.65% 4/17/2020<br>Moody's: BAA3 S&P: BBB+ | 99.1130      | 34,689.55    | 34,840.95 | -151.40                   | 927.50                  | 2.7           | 0.1             |
| 55,000           | Wal-Mart Stores Inc<br>DTD 7/8/2010 3.625% 7/8/2020<br>Moody's: AA2 S&P: AA        | 105.7090     | 58,139.95    | 60,299.24 | -2,159.29                 | 1,993.75                | 3.4           | 0.1             |
| 30,000           | C V S Health Corp<br>DTD 7/20/2015 2.8% 7/20/2020<br>Moody's: BAA1 S&P: BBB+       | 101.4610     | 30,438.30    | 30,887.38 | -449.08                   | 840.00                  | 2.8           | 0.1             |
| 60,000           | Intel Corp<br>DTD 7/29/2015 2.45% 7/29/2020<br>Moody's: A1 S&P: A+                 | 101.4100     | 60,846.00    | 61,715.47 | -869.47                   | 1,470.00                | 2.4           | 0.1             |
| 30,000           | Automatic Data Processing<br>DTD 9/15/2015 2.25% 9/15/2020<br>Moody's: AA3 S&P: AA | 100.5170     | 30,155.10    | 29,973.30 | 181.80                    | 675.00                  | 2.2           | 0.1             |
| 31,000           | Dow Chemical Co/The<br>DTD 11/9/2010 4.25% 11/15/2020<br>Moody's: BAA2 S&P: BBB    | 105.9080     | 32,831.48    | 31,742.14 | 1,089.34                  | 1,317.50                | 4.0           | 0.1             |
| 50,000           | Intercontinentalexchange<br>DTD 11/24/2015 2.75% 12/1/2020<br>Moody's: A2 S&P: A   | 101.0140     | 50,507.00    | 49,944.00 | 563.00                    | 1,375.00                | 2.7           | 0.1             |



December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

Individual securities Corporate

continued

| Shares/par value | Description                                                                         | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 65,000           | HSBC Finance Corp<br>DTD 7/15/2011 6.676% 1/15/2021<br>Moody's: BAA2 S&P: A-        | 112.4040     | 73,062.60    | 76,676.70 | -3,614.10                 | 4,339.40                | 5.9           | 0.1             |
| 45,000           | Anheuser-Busch Inbev Fin<br>DTD 1/25/2016 2.65% 2/1/2021<br>Moody's: A3 S&P: A-     | 100.5750     | 45,258.75    | 44,859.15 | 399.60                    | 1,192.50                | 2.6           | 0.1             |
| 25,000           | General Elec Cap Corp<br>DTD 2/11/2011 5.3% 2/11/2021<br>Moody's: A2 S&P: A+        | 110.9060     | 27,726.50    | 28,555.75 | -829.25                   | 1,325.00                | 4.8           | 0.1             |
| 45,000           | Apple Inc<br>DTD 2/23/2016 2.25% 2/23/2021<br>Moody's: AA1 S&P: AA+                 | 99.9170      | 44,962.65    | 45,688.89 | -726.24                   | 1,012.50                | 2.3           | 0.1             |
| 50,000           | Nbc Universal Media LLC<br>DTD 4/1/2011 4.375% 4/1/2021<br>Moody's: A3 S&P: A-      | 108.0320     | 54,016.00    | 56,870.00 | -2,854.00                 | 2,187.50                | 4.1           | 0.1             |
| 30,000           | Deutsche Bank AG<br>DTD 5/12/2016 3.375% 5/12/2021<br>Moody's: BAA2 S&P: BBB+       | 98.9890      | 29,696.70    | 29,682.90 | 13.80                     | 1,012.50                | 3.4           | 0.1             |
| 50,000           | AT&T Inc<br>DTD 4/29/2011 4.45% 5/15/2021<br>Moody's: BAA1 S&P: BBB+                | 105.7580     | 52,879.00    | 51,921.06 | 957.94                    | 2,225.00                | 4.2           | 0.1             |
| 45,000           | Aetna Inc<br>DTD 6/9/2016 2.4% 6/15/2021<br>Moody's: BAA2 S&P: A-                   | 99.5470      | 44,796.15    | 44,995.50 | -199.35                   | 1,080.00                | 2.4           | 0.1             |
| 35,000           | American Honda Finance<br>DTD 9/9/2016 1.7% 9/9/021<br>Moody's: A1 S&P: A+          | 96.5060      | 33,777.10    | 34,949.20 | -1,172.10                 | 595.00                  | 1.8           | 0.1             |
| 25,000           | Macy's Retail Hldgs Inc<br>DTD 1/13/2012 3.875% 1/15/2022<br>Moody's: BAA2 S&P: BBB | 102.4230     | 25,605.75    | 26,346.42 | -740.67                   | 968.75                  | 3.8           | 0.1             |
| 55,000           | Time Warner Inc<br>DTD 10/17/2011 4% 1/15/2022<br>Moody's: BAA2 S&P: BBB            | 103.8220     | 57,102.10    | 58,094.69 | -992.59                   | 2,200.00                | 3.9           | 0.1             |

Individual securities Corporate  
continued

| Shares/par value | Description                                                                         | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 45,000           | Petroleos Mexicanos<br>DTD 7/24/2012 4.875% 1/24/2022<br>Moody's: BAA3 S&P: BBB+    | 100.3000     | 45,135.00    | 46,912.50 | -1,777.50                 | 2,193.75                | 4.9           | 0.1             |
| 30,000           | Activis Funding Scs<br>DTD 3/12/2015 3.45% 3/15/2022<br>Moody's: BAA3 S&P: BBB      | 101.5010     | 30,450.30    | 29,957.40 | 492.90                    | 1,035.00                | 3.4           | 0.1             |
| 25,000           | Apache Corp<br>DTD 4/9/2012 3.25% 4/15/2022<br>Moody's: BAA3 S&P: BBB               | 101.5710     | 25,392.75    | 24,505.65 | 887.10                    | 812.50                  | 3.2           | 0.1             |
| 55,000           | Oracle Corp<br>DTD 5/5/2015 2.5% 5/15/2022<br>Moody's: A1 S&P: AA-                  | 99.3060      | 54,618.30    | 54,806.40 | -188.10                   | 1,375.00                | 2.5           | 0.1             |
| 50,000           | 21ST Centy Fox Amer Inc<br>DTD 9/14/2012 3% 09/15/2022<br>Moody's: BAA1 S&P: BBB+   | 99.5300      | 49,765.00    | 49,049.00 | 716.00                    | 1,500.00                | 3.0           | 0.1             |
| 25,000           | Kimco Realtycorp<br>DTD 10/19/2015 3.4% 11/1/2022<br>Moody's: BAA1 S&P: BBB+        | 101.4780     | 25,369.50    | 26,369.00 | -999.50                   | 850.00                  | 3.4           | 0.1             |
| 60,000           | Morgan Stanley<br>DTD 10/23/12 4.875% 11/1/22<br>Moody's: BAA2 S&P: BBB-            | 107.1740     | 64,304.40    | 62,207.44 | 2,096.96                  | 2,925.00                | 4.6           | 0.1             |
| 30,000           | Telefonica Emisiones Sau<br>DTD 4/29/2013 4.57% 4/27/2023<br>Moody's: BAA3 S&P: BBB | 104.9130     | 31,473.90    | 31,542.48 | -68.58                    | 1,371.00                | 4.4           | 0.1             |
| 55,000           | JP Morgan Chase & Co<br>DTD 5/1/2013 3.375% 5/1/2023<br>Moody's: BAA1 S&P: BBB+     | 99.6420      | 54,803.10    | 54,704.10 | 99.00                     | 1,856.25                | 3.4           | 0.1             |
| 30,000           | Abbvie Inc<br>DTD 5/12/2016 2.85% 5/14/2023<br>Moody's: BAA2 S&P: A-                | 97.0080      | 29,102.40    | 30,596.72 | -1,494.32                 | 855.00                  | 2.9           | 0.1             |
| 70,000           | Verizon Communications<br>DTD 9/18/2013 5.15% 9/15/2023<br>Moody's: BAA1 S&P: BBB+  | 110.5740     | 77,401.80    | 69,773.20 | 7,628.60                  | 3,605.00                | 4.7           | 0.1             |

December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

Individual securities Corporate  
continued

| Shares/par value | Description                                                                         | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 45,000           | Fidelity National Inform<br>DTD 6/3/2014 3.875% 6/5/2024<br>Moody's: BAA3 S&P: BBB  | 102.0260     | 45,911.70    | 44,832.84 | 1,078.86                  | 1,743.75                | 3.8           | 0.1             |
| 35,000           | Waste Management Inc<br>DTD 2/26/2015 3.125% 3/1/2025<br>Moody's: BAA2 S&P: A-      | 100.1290     | 35,045.15    | 34,896.70 | 148.45                    | 1,093.75                | 3.1           | 0.1             |
| 20,000           | Spectra Energy Partners<br>DTD 3/12/2015 3.5% 3/15/2025<br>Moody's: BAA2 S&P: BBB   | 97.6730      | 19,534.60    | 19,922.60 | -388.00                   | 700.00                  | 3.6           | 0.0             |
| 35,000           | Bank Of America Corp<br>DTD 4/21/2015 3.95% 4/21/2025<br>Moody's: BAA3 S&P: BBB     | 99.5490      | 34,842.15    | 34,896.75 | -54.60                    | 1,382.50                | 4.0           | 0.1             |
| 40,000           | Fiserv Inc<br>DTD 5/22/2015 3.85% 6/1/2025<br>Moody's: BAA2 S&P: BBB                | 101.7040     | 40,681.60    | 39,973.20 | 708.40                    | 1,540.00                | 3.8           | 0.1             |
| 45,000           | Microsoft Corp<br>DTD 11/3/2015 3.125% 11/3/2025<br>Moody's: AAA S&P: AAA           | 101.1170     | 45,502.65    | 44,988.30 | 514.35                    | 1,406.25                | 3.1           | 0.1             |
| 50,000           | Citizens Financial Group<br>DTD 12/3/2015 4.3% 12/3/2025<br>Moody's: N/A S&P: BBB   | 101.5820     | 50,791.00    | 50,112.18 | 678.82                    | 2,150.00                | 4.2           | 0.1             |
| 45,000           | Royal Bank Of Canada<br>DTD 1/27/2016 4.65% 1/27/2026<br>Moody's: BAA1 S&P: A-      | 106.5330     | 47,939.85    | 44,903.70 | 3,036.15                  | 2,092.50                | 4.4           | 0.1             |
| 55,000           | Cooperative Rabobank Ua<br>DTD 7/21/2016 3.75% 7/21/2026<br>Moody's: A3 S&P: BBB+   | 98.0250      | 53,913.75    | 54,867.69 | -953.94                   | 2,062.50                | 3.8           | 0.1             |
| 15,000           | Weingarten Realty Invest<br>DTD 8/11/2016 3.25% 8/15/2026<br>Moody's: BAA1 S&P: BBB | 94.3380      | 14,150.70    | 14,873.25 | -722.55                   | 487.50                  | 3.4           | 0.0             |
| 25,000           | U D R Inc<br>DTD 8/23/2016 2.95% 9/1/2026<br>Moody's: BAA1 S&P: BBB+                | 93.5370      | 23,384.25    | 25,000.00 | -1,615.75                 | 737.50                  | 3.2           | 0.0             |

STATEMENT 9.2

**Individual securities Corporate**  
continued

| Shares/par value | Description                                                                         | Market price | Market value | Tax cost  | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------------------------------------------------------|--------------|--------------|-----------|---------------------------|-------------------------|---------------|-----------------|
| 35,000           | Shire Acq Inv Ireland Da<br>DTD 9/23/2016 3.2% 9/23/2026<br>Moody's: BAA3 S&P: BBB- | 93.4340      | 32,701.90    | 34,984.79 | -2,282.89                 | 1,120.00                | 3.4           | 0.1             |
| 30,000           | Prov ST Joseph Hlth Obl<br>DTD 9/28/2016 2.746% 10/1/2026<br>Moody's: AA3 S&P: AA-  | 94.6650      | 28,399.50    | 30,000.00 | -1,600.50                 | 823.80                  | 2.9           | 0.1             |
| 45,000           | Premier Health Partners<br>DTD 8/31/2016 2.911% 11/15/2026<br>Moody's: A2 S&P: N/A  | 92.1110      | 41,449.95    | 45,000.00 | -3,550.05                 | 1,309.95                | 3.2           | 0.1             |

**Individual securities Mortgage backed securities**

| Shares/par value | Description                                                                                                                                   | Market price | Market value | Tax cost     | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------|--------------|---------------------------|-------------------------|---------------|-----------------|
| 32,894.13        | GNMA REMIC Trust 2013-17<br>Passthr CTF Gnr 2013-17 Ab<br>DTD 2/1/2013 2.29917% 1/16/2049<br>Series 2013-17 Class Ab<br>Moody's: N/A S&P: N/A | \$ 96.9530   | \$ 31,891.85 | \$ 32,870.30 | \$ -978.45                | \$ 756.29               | 2.4%          | 0.1%            |

**Individual securities Other**

| Shares/par value | Description                                                                                                | Market price | Market value | Tax cost     | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|------------------------------------------------------------------------------------------------------------|--------------|--------------|--------------|---------------------------|-------------------------|---------------|-----------------|
| 35,000           | California ST Earthquake Auth<br>DTD 11/6/2014 2.805% 7/1/2019<br>Txbl<br>Moody's: A3 S&P: N/R             | \$ 101.1780  | \$ 35,412.30 | \$ 34,998.70 | \$ 413.60                 | \$ 981.75               | 2.8%          | 0.1%            |
| 45,000           | Univ Of California CA Revenues<br>DTD 3/14/2013 1.796% 7/1/2019<br>Txbl-Nts-Ser Ah<br>Moody's: AA2 S&P: AA | 100.3080     | 45,138.60    | 45,000.00    | 138.60                    | 808.20                  | 1.8           | 0.1             |
| 45,000           | Florida ST Hurricane Catastrophe<br>DTD 4/23/2013 2.995% 7/1/2020<br>Ser A<br>Moody's: AA3 S&P: AA         | 102.3940     | 46,077.30    | 45,000.00    | 1,077.30                  | 1,347.75                | 2.9           | 0.1             |

December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

| Individual securities Other         |                                                                                                                  |              |                  |                  |                           |                         |               |                 |  |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------|--------------|------------------|------------------|---------------------------|-------------------------|---------------|-----------------|--|
| continued                           |                                                                                                                  |              |                  |                  |                           |                         |               |                 |  |
| Shares/par value                    | Description                                                                                                      | Market price | Market value     | Tax cost         | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |  |
| 35,000                              | Massachusetts ST<br>DTD 12/1/2010 4.2% 12/1/2021<br>Build America Bonds-Ser E<br>Moody's: AA1 S&P: AA+           | 107.3410     | 37,569.35        | 35,061.95        | 2,507.40                  | 1,470.00                | 3.9           | 0.1             |  |
| 25,000                              | South Carolina ST Public Svc Auth<br>DTD 7/20/2016 2.388% 12/1/2023<br>Txbl-Obligs-Ser D<br>Moody's: A1 S&P: AA- | 96.9060      | 24,226.50        | 25,000.00        | -773.50                   | 597.00                  | 2.5           | 0.0             |  |
| Total taxable individual securities |                                                                                                                  |              | \$ 5,925,944.36  | \$ 5,962,600.32  | \$ -36,655.96             | \$ 149,030.10           |               | 10.8%           |  |
| Pooled vehicle                      |                                                                                                                  |              |                  |                  |                           |                         |               |                 |  |
| Shares/par value                    | Description                                                                                                      | Market price | Market value     | Tax cost         | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |  |
| 380,098.333                         | Dreyfus Intermediate Term Income Fund                                                                            | \$ 13.2500   | \$ 5,036,302.91  | \$ 5,090,878.50  | \$ -54,575.59             | \$ 125,432.45           | 2.5%          | 9.2%            |  |
| Total taxable pooled vehicle        |                                                                                                                  |              | \$ 5,036,302.91  | \$ 5,090,878.50  | \$ -54,575.59             | \$ 125,432.45           |               | 9.2%            |  |
| Total taxable fixed income          |                                                                                                                  |              | \$ 10,962,247.27 | \$ 11,053,478.82 | \$ -91,231.55             | \$ 274,462.55           |               | 19.9%           |  |
| High yield                          |                                                                                                                  |              |                  |                  |                           |                         |               |                 |  |
| Pooled vehicle                      |                                                                                                                  |              |                  |                  |                           |                         |               |                 |  |
| Shares/par value                    | Description                                                                                                      | Market price | Market value     | Tax cost         | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |  |
| 486,861.001                         | T Rowe Price High Yield FD Inc Com                                                                               | \$ 6.6500    | \$ 3,237,625.66  | \$ 3,133,085.10  | \$ 104,540.56             | \$ 185,980.90           | 5.7%          | 5.9%            |  |
| Total high yield pooled vehicle     |                                                                                                                  |              | \$ 3,237,625.66  | \$ 3,133,085.10  | \$ 104,540.56             | \$ 185,980.90           |               | 5.9%            |  |
| Total high yield fixed income       |                                                                                                                  |              | \$ 3,237,625.66  | \$ 3,133,085.10  | \$ 104,540.56             | \$ 185,980.90           |               | 5.9%            |  |
| Total fixed income                  |                                                                                                                  |              | \$ 14,199,872.93 | \$ 14,186,563.92 | \$ 13,309.01              | \$ 460,443.45           |               | 25.8%           |  |

# Equities

U.S. large cap

| Shares/par value | Description                           | Market price | Market value  | Tax cost     | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|---------------------------------------|--------------|---------------|--------------|---------------------------|-------------------------|---------------|-----------------|
| 527              | Allergan PLC ( AGN )                  | \$ 210.0100  | \$ 110,675.27 | \$ 87,931.98 | \$ 22,743.29              | \$ 1,475.60             | 1.3%          | 0.2%            |
| 691              | Accenture PLC ( ACN )                 | 117.1300     | 80,936.83     | 65,982.54    | 14,954.29                 | 1,672.22                | 2.1           | 0.2             |
| 2,020            | Eaton Corp PLC ( ETN )                | 67.0900      | 135,521.80    | 96,013.29    | 39,508.51                 | 4,605.60                | 3.4           | 0.3             |
| 2,240            | Ingersoll-Rand PLC ( IR )             | 75.0400      | 168,089.60    | 81,417.51    | 86,672.09                 | 3,584.00                | 2.1           | 0.3             |
| 4,840            | Invesco Limited ( IVZ )               | 30.3400      | 146,845.60    | 109,129.88   | 37,715.72                 | 5,420.80                | 3.7           | 0.3             |
| 6,210            | Nabors Industries Ltd ( NBR )         | 16.4000      | 101,844.00    | 63,086.83    | 38,757.17                 | 1,490.40                | 1.5           | 0.2             |
| 1,413            | Broadcom Ltd. ( AVGO )                | 176.7700     | 249,776.01    | 106,657.62   | 143,118.39                | 5,785.04                | 2.3           | 0.5             |
| 3,780            | AT&T Inc ( T )                        | 42.5300      | 160,763.40    | 125,450.27   | 35,313.13                 | 7,408.80                | 4.6           | 0.3             |
| 1,670            | Abbott Laboratories ( ABT )           | 38.4100      | 64,144.70     | 66,269.28    | -2,124.58                 | 1,770.20                | 2.8           | 0.1             |
| 1,334            | Adobe Systems Inc ( ADBE )            | 102.9500     | 137,335.30    | 51,664.75    | 85,670.55                 | 0.00                    | 0.0           | 0.3             |
| 1,420            | Agilent Technologies Inc ( A )        | 45.5600      | 64,695.20     | 57,752.04    | 6,943.16                  | 749.76                  | 1.2           | 0.1             |
| 702              | Alexion Pharmaceuticals Inc ( ALXN )  | 122.3500     | 85,889.70     | 93,461.37    | -7,571.67                 | 0.00                    | 0.0           | 0.2             |
| 6,910            | Ally Financial Inc. ( ALLY )          | 19.0200      | 131,428.20    | 140,030.02   | -8,601.82                 | 2,211.20                | 1.7           | 0.2             |
| 402              | Alphabet, Inc. ( GOOGL )              | 792.4500     | 318,564.90    | 233,072.59   | 85,492.31                 | 0.00                    | 0.0           | 0.6             |
| 347              | Amazon.Com Inc ( AMZN )               | 749.8700     | 260,204.89    | 68,002.14    | 192,202.75                | 0.00                    | 0.0           | 0.5             |
| 1,057            | American Tower Corporation ( AMT )    | 105.6800     | 111,703.76    | 99,883.99    | 11,819.77                 | 2,452.24                | 2.2           | 0.2             |
| 1,032            | Apple Inc ( AAPL )                    | 115.8200     | 119,526.24    | 21,310.40    | 98,215.84                 | 2,352.96                | 2.0           | 0.2             |
| 8,950            | Bank Of America Corporation ( BAC )   | 22.1000      | 197,795.00    | 144,812.22   | 52,982.78                 | 2,685.00                | 1.4           | 0.4             |
| 600              | Biomarin Pharmaceutical Inc ( BMRN )  | 82.8400      | 49,704.00     | 59,287.28    | -9,583.28                 | 0.00                    | 0.0           | 0.1             |
| 1,400            | Bristol-Myers Squibb Company ( BMY )  | 58.4400      | 81,816.00     | 69,449.33    | 12,366.67                 | 2,184.00                | 2.7           | 0.2             |
| 3,220            | Cabot Oil & Gas Corp Cl A ( COG )     | 23.3600      | 75,219.20     | 77,294.33    | -2,075.13                 | 257.60                  | 0.3           | 0.1             |
| 1,510            | Celanese Corp ( CE )<br>Series A      | 78.7400      | 118,897.40    | 51,577.82    | 67,319.58                 | 2,174.40                | 1.8           | 0.2             |
| 748              | Celgene Corp ( CELG )                 | 115.7500     | 86,581.00     | 81,062.85    | 5,518.15                  | 0.00                    | 0.0           | 0.2             |
| 898              | Chevron Corporation ( CVX )           | 117.7000     | 105,694.60    | 64,670.03    | 41,024.57                 | 3,879.36                | 3.7           | 0.2             |
| 4,580            | Citizens Financial Group Inc. ( CFG ) | 35.6300      | 163,185.40    | 98,791.12    | 64,394.28                 | 2,198.40                | 1.4           | 0.3             |
| 1,060            | Comcast Corp Cl A ( CMCSA )           | 69.0500      | 73,193.00     | 31,437.91    | 41,755.09                 | 1,166.00                | 1.6           | 0.1             |

December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

# Equities

U.S. large cap  
continued

| Shares/par value | Description                                 | Market price | Market value | Tax cost   | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|---------------------------------------------|--------------|--------------|------------|---------------------------|-------------------------|---------------|-----------------|
| 642              | Constellation Brands Inc-A ( STZ )          | 153.3100     | 98,425.02    | 82,304.00  | 16,121.02                 | 1,027.20                | 1.0           | 0.2             |
| 651              | Costco Whsl Corp New ( COST )               | 160.1100     | 104,231.61   | 67,119.51  | 37,112.10                 | 1,171.80                | 1.1           | 0.2             |
| 1,115            | The Walt Disney Company ( DIS )             | 104.2200     | 116,205.30   | 55,732.85  | 60,472.45                 | 1,739.40                | 1.5           | 0.2             |
| 1,200            | Dominion Res Inc VA New ( D )               | 76.5900      | 91,908.00    | 82,223.10  | 9,684.90                  | 3,360.00                | 3.7           | 0.2             |
| 1,920            | Dow Chemical Co ( DOW )                     | 57.2200      | 109,862.40   | 96,151.10  | 13,711.30                 | 3,532.80                | 3.2           | 0.2             |
| 1,180            | Electronic Arts Inc ( EA )                  | 78.7600      | 92,936.80    | 94,291.42  | -1,354.62                 | 0.00                    | 0.0           | 0.2             |
| 2,860            | Exelon Corp ( EXC )                         | 35.4900      | 101,501.40   | 89,088.77  | 12,412.63                 | 3,637.92                | 3.6           | 0.2             |
| 1,064            | Exxon Mobil Corp ( XOM )                    | 90.2600      | 96,036.64    | 38,273.46  | 57,763.18                 | 3,192.00                | 3.3           | 0.2             |
| 1,876            | Facebook Inc. ( FB )                        | 115.0500     | 215,833.80   | 103,624.72 | 112,209.08                | 0.00                    | 0.0           | 0.4             |
| 3,290            | General Electric Company ( GE )             | 31.6000      | 103,964.00   | 92,625.67  | 11,338.33                 | 3,158.40                | 3.0           | 0.2             |
| 1,900            | Halliburton Co ( HAL )                      | 54.0900      | 102,771.00   | 58,212.19  | 44,558.81                 | 1,368.00                | 1.3           | 0.2             |
| 3,170            | Hartford Finl Svcs Group Inc ( HIG )        | 47.6500      | 151,050.50   | 122,768.87 | 28,281.63                 | 2,916.40                | 1.9           | 0.3             |
| 1,108            | Home Depot Inc ( HD )                       | 134.0800     | 148,560.64   | 21,170.68  | 127,389.96                | 3,058.08                | 2.1           | 0.3             |
| 1,376            | Honeywell Intl Inc ( HON )                  | 115.8500     | 159,409.60   | 57,021.50  | 102,388.10                | 3,660.16                | 2.3           | 0.3             |
| 1,427            | Illinois Tool Wks Inc ( ITW )               | 122.4600     | 174,750.42   | 124,440.41 | 50,310.01                 | 3,710.20                | 2.1           | 0.3             |
| 628              | Incyte Corporation ( INCY )                 | 100.2700     | 62,969.56    | 60,426.55  | 2,543.01                  | 0.00                    | 0.0           | 0.1             |
| 3,010            | Intel Corp ( INTC )                         | 36.2700      | 109,172.70   | 99,098.92  | 10,073.78                 | 3,130.40                | 2.9           | 0.2             |
| 2,545            | Intercontinentalexchange Group, Inc.( ICE ) | 56.4200      | 143,588.90   | 66,706.28  | 76,882.62                 | 1,730.60                | 1.2           | 0.3             |
| 945              | Johnson & Johnson ( JNJ )                   | 115.2100     | 108,873.45   | 94,305.07  | 14,568.38                 | 3,024.00                | 2.8           | 0.2             |
| 1,430            | Lilly Eli & Co ( LLY )                      | 73.5500      | 105,176.50   | 114,891.01 | -9,714.51                 | 2,974.40                | 2.8           | 0.2             |
| 2,470            | Marathon Petroleum Corp ( MPC )             | 50.3500      | 124,364.50   | 106,341.62 | 18,022.88                 | 3,556.80                | 2.9           | 0.2             |
| 1,930            | Merck & Co Inc ( MRK )                      | 58.8700      | 113,619.10   | 106,557.98 | 7,061.12                  | 3,628.40                | 3.2           | 0.2             |
| 890              | Microsoft Corporation ( MSFT )              | 62.1400      | 55,304.60    | 38,815.93  | 16,488.67                 | 1,388.40                | 2.5           | 0.1             |
| 7,610            | Micron Technology Inc ( MU )                | 21.9200      | 166,811.20   | 136,268.07 | 30,543.13                 | 0.00                    | 0.0           | 0.3             |
| 2,490            | Mondelez International ( MDLZ )             | 44.3300      | 110,381.70   | 101,738.22 | 8,643.48                  | 1,892.40                | 1.7           | 0.2             |
| 2,475            | Monster Beverage Corporation ( MNST )       | 44.3400      | 109,741.50   | 57,485.01  | 52,256.49                 | 0.00                    | 0.0           | 0.2             |

# Equities

U.S. large cap  
continued

| Shares/par value | Description                                              | Market price | Market value | Tax cost   | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|----------------------------------------------------------|--------------|--------------|------------|---------------------------|-------------------------|---------------|-----------------|
| 2,176            | Nike Inc Class B Stock ( NKE )                           | 50.8300      | 110,606.08   | 128,397.10 | -17,791.02                | 1,566.72                | 1.4           | 0.2             |
| 1,610            | Nucor Corp ( NUE )                                       | 59.5200      | 95,827.20    | 67,161.69  | 28,665.51                 | 2,431.10                | 2.5           | 0.2             |
| 1,860            | Nvidia Corp ( NVDA )                                     | 106.7400     | 198,536.40   | 125,383.45 | 73,152.95                 | 1,041.60                | 0.5           | 0.4             |
| 487              | Palo Alto Networks Inc ( PANW )                          | 125.0500     | 60,899.35    | 67,177.31  | -6,277.96                 | 0.00                    | 0.0           | 0.1             |
| 464              | Panera Bread Co Cl A ( PNRA )                            | 205.0900     | 95,161.76    | 100,174.85 | -5,013.09                 | 0.00                    | 0.0           | 0.2             |
| 1,638            | Pepsico Inc ( PEP )                                      | 104.6300     | 171,383.94   | 92,827.46  | 78,556.48                 | 4,930.38                | 2.9           | 0.3             |
| 2,570            | Pfizer Inc ( PFE )                                       | 32.4800      | 83,473.60    | 37,630.78  | 45,842.82                 | 3,289.60                | 3.9           | 0.2             |
| 497              | Pioneer Natural Resources Company ( PXD )                | 180.0700     | 89,494.79    | 69,741.78  | 19,753.01                 | 39.76                   | 0.0           | 0.2             |
| 1,760            | The Procter & Gamble Company ( PG )                      | 84.0800      | 147,980.80   | 117,075.63 | 30,905.17                 | 4,713.28                | 3.2           | 0.3             |
| 2,610            | Qualcomm Inc ( QCOM )                                    | 65.2000      | 170,172.00   | 167,225.52 | 2,946.48                  | 5,533.20                | 3.3           | 0.3             |
| 1,066            | Salesforce.Com Inc ( CRM )                               | 68.4600      | 72,978.36    | 24,503.21  | 48,475.15                 | 0.00                    | 0.0           | 0.1             |
| 1,157            | Schlumberger Ltd ( SLB )                                 | 83.9500      | 97,130.15    | 72,839.77  | 24,290.38                 | 2,314.00                | 2.4           | 0.2             |
| 4,260            | Schwab Charles Corp New ( SCHW )                         | 39.4700      | 168,142.20   | 134,393.06 | 33,749.14                 | 1,192.80                | 0.7           | 0.3             |
| 3,760            | Synchrony Financial ( SYF )                              | 36.2700      | 136,375.20   | 103,623.72 | 32,751.48                 | 1,955.20                | 1.4           | 0.3             |
| 4,260            | Taiwan Semiconductor Mfg Co Ltd ( TSM )<br>Sponsored ADR | 28.7500      | 122,475.00   | 113,530.44 | 8,944.56                  | 3,203.52                | 2.6           | 0.2             |
| 599              | 3M Co ( MMM )                                            | 178.5700     | 106,963.43   | 85,593.06  | 21,370.37                 | 2,659.56                | 2.5           | 0.2             |
| 2,030            | Time Warner Inc ( TWX )                                  | 96.5300      | 195,955.90   | 150,195.16 | 45,760.74                 | 3,268.30                | 1.7           | 0.4             |
| 1,069            | United Technologies Corp ( UTX )                         | 109.6200     | 117,183.78   | 66,424.88  | 50,758.90                 | 2,822.16                | 2.4           | 0.2             |
| 1,116            | Unitedhealth Group Inc ( UNH )                           | 160.0400     | 178,604.64   | 149,754.17 | 28,850.47                 | 2,790.00                | 1.6           | 0.3             |
| 622              | Universal Health Services Inc Cl B ( UHS )               | 106.3800     | 66,168.36    | 81,716.16  | -15,547.80                | 248.80                  | 0.4           | 0.1             |
| 1,570            | Valero Energy Corp New ( VLO )                           | 68.3200      | 107,262.40   | 33,269.60  | 73,992.80                 | 3,768.00                | 3.5           | 0.2             |
| 910              | Verizon Communications Inc ( VZ )                        | 53.3800      | 48,575.80    | 25,855.44  | 22,720.36                 | 2,102.10                | 4.3           | 0.1             |
| 1,630            | Visa Inc-Class A Shrs ( V )                              | 78.0200      | 127,172.60   | 125,215.76 | 1,956.84                  | 1,075.80                | 0.9           | 0.2             |
| 3,470            | Voya Financial Inc ( VOYA )                              | 39.2200      | 136,093.40   | 116,828.76 | 19,264.64                 | 138.80                  | 0.1           | 0.3             |
| 2,050            | Wells Fargo & Company ( WFC )                            | 55.1100      | 112,975.60   | 55,949.21  | 57,026.29                 | 3,116.00                | 2.8           | 0.2             |
| 2,600            | Williams Cos Inc ( WMB )                                 | 31.1400      | 80,964.00    | 79,316.38  | 1,647.62                  | 2,080.00                | 2.6           | 0.2             |



BNY MELLON  
WEALTH MANAGEMENT



December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

**Equities****U.S. large cap  
continued**

| Shares/par value            | Description                 | Market price | Market value           | Tax cost               | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|-----------------------------|-----------------------------|--------------|------------------------|------------------------|---------------------------|-------------------------|---------------|-----------------|
| 1,470                       | Yum! Brands Inc ( YUM )     | 63.3300      | 93,095.10              | 46,338.34              | 46,756.76                 | 1,764.00                | 1.9           | 0.2             |
| 744                         | Zimmer Holdings Inc ( ZBH ) | 103.2000     | 76,780.80              | 91,203.76              | -14,422.96                | 714.24                  | 0.9           | 0.1             |
| <b>Total U.S. large cap</b> |                             |              | <b>\$ 9,745,914.38</b> | <b>\$ 6,942,528.75</b> | <b>\$ 2,803,385.63</b>    | <b>\$ 171,120.26</b>    |               | <b>17.7%</b>    |

**U.S. mid cap**

| Shares/par value          | Description                                       | Market price | Market value           | Tax cost               | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|---------------------------|---------------------------------------------------|--------------|------------------------|------------------------|---------------------------|-------------------------|---------------|-----------------|
| 61,481.813                | Dreyfus Opportunistic Midcap Value ( DMCVX ) Fund | \$ 32.6400   | \$ 2,006,766.38        | \$ 1,586,697.35        | \$ 420,069.03             | \$ 0.00                 | 0.0%          | 3.7%            |
| 149,664.085               | Dreyfus Midcap Index Fund Inc ( DMIDX )           | 34.9700      | 5,233,753.05           | 3,231,627.15           | 2,002,125.90              | 0.00                    | 0.0           | 9.5             |
| <b>Total U.S. mid cap</b> |                                                   |              | <b>\$ 7,240,519.43</b> | <b>\$ 4,818,324.50</b> | <b>\$ 2,422,194.93</b>    | <b>\$ 0.00</b>          |               | <b>13.2%</b>    |

**U.S. small cap**

| Shares/par value            | Description                                   | Market price | Market value           | Tax cost               | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|-----------------------------|-----------------------------------------------|--------------|------------------------|------------------------|---------------------------|-------------------------|---------------|-----------------|
| 59,741.025                  | Dreyfus Opportunistic Small Cap Fund( DSCVX ) | \$ 33.2700   | \$ 1,987,583.90        | \$ 1,553,703.86        | \$ 433,880.04             | \$ 0.00                 | 0.0%          | 3.6%            |
| 140,138.32                  | Dreyfus Smallcap Stock Index Fund ( DISIX )   | 30.0700      | 4,213,959.28           | 2,535,177.62           | 1,678,781.66              | 0.00                    | 0.0           | 7.7             |
| <b>Total U.S. small cap</b> |                                               |              | <b>\$ 6,201,543.18</b> | <b>\$ 4,088,881.48</b> | <b>\$ 2,112,661.70</b>    | <b>\$ 0.00</b>          |               | <b>11.3%</b>    |

**Developed international**

| Shares/par value                     | Description                         | Market price | Market value           | Tax cost               | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|--------------------------------------|-------------------------------------|--------------|------------------------|------------------------|---------------------------|-------------------------|---------------|-----------------|
| 53,417                               | ISHARES MSCI Eafe Index FD ( EFA )  | \$ 57.7300   | \$ 3,083,763.41        | \$ 3,463,110.77        | \$ -379,347.36            | \$ 94,601.51            | 3.1%          | 5.6%            |
| 83,361                               | Vanguard Europe Pacific ETF ( VEA ) | 36.5400      | 3,046,010.94           | 3,397,265.48           | -351,254.54               | 92,864.15               | 3.1           | 5.5             |
| <b>Total developed international</b> |                                     |              | <b>\$ 6,129,774.35</b> | <b>\$ 6,860,376.25</b> | <b>\$ -730,601.90</b>     | <b>\$ 187,465.66</b>    |               | <b>11.2%</b>    |

## Emerging markets

| Shares/par value              | Description                                          | Market price | Market value           | Tax cost               | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|-------------------------------|------------------------------------------------------|--------------|------------------------|------------------------|---------------------------|-------------------------|---------------|-----------------|
| 40,661                        | ISHARES MSCI Emerging Markets Index ( EEM ) Fund     | \$ 35.0100   | \$ 1,423,541.61        | \$ 1,241,986.29        | \$ 181,555.32             | \$ 26,917.58            | 1.9%          | 2.6%            |
| 28,875                        | Vanguard Emerging Markets ETF ( VWO )                | 35.7800      | 1,033,147.50           | 1,189,009.10           | -155,861.60               | 25,987.50               | 2.5           | 1.9             |
| 55,803.571                    | Virtus Emerging Markets ( HIEMX ) Opportunities Fund | 9.0500       | 505,022.32             | 500,000.00             | 5,022.32                  | 2,232.14                | 0.4           | 0.9             |
| <b>Total emerging markets</b> |                                                      |              | <b>\$ 2,951,711.43</b> | <b>\$ 2,930,995.39</b> | <b>\$ 30,716.04</b>       | <b>\$ 55,137.22</b>     |               | <b>5.4%</b>     |
| <b>Equity reits</b>           |                                                      |              |                        |                        |                           |                         |               |                 |

| Shares/par value          | Description                  | Market price | Market value            | Tax cost                | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|---------------------------|------------------------------|--------------|-------------------------|-------------------------|---------------------------|-------------------------|---------------|-----------------|
| 20,000                    | Vanguard Reit Vipers ( VNO ) | \$ 82.5300   | \$ 1,650,600.00         | \$ 1,240,715.18         | \$ 409,884.82             | \$ 79,520.00            | 4.8%          | 3.0%            |
| <b>Total equity reits</b> |                              |              | <b>\$ 1,650,600.00</b>  | <b>\$ 1,240,715.18</b>  | <b>\$ 409,884.82</b>      | <b>\$ 79,520.00</b>     |               | <b>3.0%</b>     |
| <b>Total equities</b>     |                              |              | <b>\$ 33,930,052.77</b> | <b>\$ 26,861,821.55</b> | <b>\$ 7,048,241.22</b>    | <b>\$ 493,243.14</b>    |               | <b>51.7%</b>    |

## Alternative investments

### Absolute return

| Shares/par value             | Description                     | Market price | Market value           | Tax cost               | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------------------|---------------------------------|--------------|------------------------|------------------------|---------------------------|-------------------------|---------------|-----------------|
| 170,532.06                   | Dreyfus Global Real Return Fund | \$ 13.9100   | \$ 2,372,100.95        | \$ 2,500,000.00        | \$ -127,899.05            | \$ 106,582.54           | 4.5%          | 4.3%            |
| <b>Total absolute return</b> |                                 |              | <b>\$ 2,372,100.95</b> | <b>\$ 2,500,000.00</b> | <b>\$ -127,899.05</b>     | <b>\$ 106,582.54</b>    |               | <b>4.3%</b>     |
| <b>Private equity</b>        |                                 |              |                        |                        |                           |                         |               |                 |

| Shares/par value | Description                         | Market price | Market value  | Tax cost      | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|------------------|-------------------------------------|--------------|---------------|---------------|---------------------------|-------------------------|---------------|-----------------|
| 678,656          | Draper Triangle Ventures III, LP    | \$ 1.0000    | \$ 678,656.00 | \$ 678,656.00 |                           | \$ 0.00                 | 0.0%          | 1.2%            |
| 200,000          | Alta V LP                           | 0.0015       | 292.00        | 5,820.17      | -5,528.17                 | 0.00                    | 0.0           | 0.0             |
| 518,924          | Draper Triangle Ventures II, LP     | 1.0000       | 518,924.00    | 518,924.00    |                           | 0.00                    | 0.0           | 0.9             |
| 686,234          | Meakem Becker Venture Capital I, LP | 1.0000       | 686,234.00    | 686,234.00    |                           | 0.00                    | 0.0           | 1.3             |



BNY MELLON  
WEALTH MANAGEMENT

December 1 - December 31, 2016

Katherine Mabis McKenna Fdn

Private equity  
continued

| Shares/par value              | Description                               | Market price   | Market value    | Tax cost        | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|-------------------------------|-------------------------------------------|----------------|-----------------|-----------------|---------------------------|-------------------------|---------------|-----------------|
| 1                             | Timbervest Partners II For Km McKenna Fdn | 1,071,728.3200 | 1,071,728.32    | 962,749.00      | 108,979.32                | 0.00                    | 0.0           | 2.0             |
| Total private equity          |                                           |                | \$ 2,955,834.32 | \$ 2,852,383.17 | \$ 103,451.15             | \$ 0.00                 |               | 5.4%            |
| Total alternative investments |                                           |                | \$ 5,327,935.27 | \$ 5,352,383.17 | \$ -24,447.90             | \$ 105,582.54           |               | 9.7%            |

Other assets  
Other

| Shares/par value   | Description                                               | Market price | Market value | Tax cost     | Unrealized gain (or loss) | Estimated annual income | Current yield | Pct of holdings |
|--------------------|-----------------------------------------------------------|--------------|--------------|--------------|---------------------------|-------------------------|---------------|-----------------|
| 54                 | Art Furniture And Misc Items From The Estate Of K McKenna | \$ 472.5926  | \$ 25,520.00 | \$ 25,520.00 |                           | \$ 0.00                 | 0.0%          | 0.1%            |
| Total other        |                                                           |              | \$ 25,520.00 | \$ 25,520.00 | \$ 0.00                   | \$ 0.00                 |               | 0.1%            |
| Total other assets |                                                           |              | \$ 25,520.00 | \$ 25,520.00 | \$ 0.00                   | \$ 0.00                 |               | 0.1%            |

Total Other Investments

\$53,483,390.97

Attachment to Form 990-PF

Part VIII, Column (b)

Title and average hours per week devoted to position

As Trustee, BNY Mellon provides administrative services such as acting as investment manager for the named organization. These services require numerous individual bank employees' involvement in the activities of the organization and thus cannot be qualified on an hourly basis. Therefore, the Trustee's fees are not based upon an hourly basis but are calculated based upon factors such as the market value of the account and in accordance with our agreement with the client.