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Form 990-PF Department of the Treasury Internal Revenue Service		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Do not enter social security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf .			OMB No 1545-0052 2016 Open to Public Inspection		
For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016							
Name of foundation DEGENSTEIN CHARITABLE FOUNDATION				A Employer identification number 23-6661599			
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 2907 - TRUST TAX DEPT			Room/suite				
City or town, state or province, country, and ZIP or foreign postal code WILSON, NC 278942907				B Telephone number (see instructions) (276) 666-3264			
G Check all that apply <div><input type="checkbox"/> Initial return<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return<input type="checkbox"/> Amended return <input type="checkbox"/> Address change<input type="checkbox"/> Name change</div>				D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here			
I Fair market value of all assets at end of year (from Part II, col (c), line 16)▶\$ 2,617,165		J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income		
				(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)		
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)					
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities		81,874	81,874		
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10		210,428			
	b	Gross sales price for all assets on line 6a					
		4,162,825					
	7	Capital gain net income (from Part IV, line 2)			210,428		
	8	Net short-term capital gain				0	
	9	Income modifications					
Operating and Administrative Expenses	10a	Gross sales less returns and allowances					
	b	Less Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)		207			
	12	Total. Add lines 1 through 11		292,509	292,302		
	13	Compensation of officers, directors, trustees, etc		28,611	28,611		
	14	Other employee salaries and wages			0	0	0
	15	Pension plans, employee benefits			0	0	
	16a	Legal fees (attach schedule)		10,029			10,029
	b	Accounting fees (attach schedule)					
	c	Other professional fees (attach schedule)		893			893
	17	Interest					0
	18	Taxes (attach schedule) (see instructions)		645	645		0
	19	Depreciation (attach schedule) and depletion		0	0		
	20	Occupancy					
	21	Travel, conferences, and meetings			0	0	
	22	Printing and publications			0	0	
	23	Other expenses (attach schedule)		34	34		
24	Total operating and administrative expenses. Add lines 13 through 23		40,212	29,290	0	10,922	
25	Contributions, gifts, grants paid		130,200			130,200	
26	Total expenses and disbursements. Add lines 24 and 25		170,412	29,290	0	141,122	
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements		122,097			
	b	Net investment income (if negative, enter -0-)			263,012		
	c	Adjusted net income (if negative, enter -0-)				0	
For Paperwork Reduction Act Notice, see instructions.				Cat No 11289X		Form 990-PF (2016)	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		3,273	
	2 Savings and temporary cash investments	36,645	96,193	96,193
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	287,664		
	b Investments—corporate stock (attach schedule)	1,358,934	2,464,783	2,520,972
	c Investments—corporate bonds (attach schedule)	763,925		
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,447,168	2,564,249	2,617,165	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,447,168	2,564,249	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	2,447,168	2,564,249		
31 Total liabilities and net assets/fund balances (see instructions) .	2,447,168	2,564,249		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,447,168
2 Enter amount from Part I, line 27a	2	122,097
3 Other increases not included in line 2 (itemize) ▶ _____	3	282
4 Add lines 1, 2, and 3	4	2,569,547
5 Decreases not included in line 2 (itemize) ▶ _____	5	5,298
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,564,249

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	210,428
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	138,039	2,674,690	0 051609
2014	131,168	2,781,881	0 047151
2013	126,251	2,711,945	0 046554
2012	91,915	2,648,368	0 034706
2011	73,000	2,597,887	0 0281
2 Total of line 1, column (d)			2 0 20812
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 041624
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,577,302
5 Multiply line 4 by line 3			5 107,278
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,630
7 Add lines 5 and 6			7 109,908
8 Enter qualifying distributions from Part XII, line 4			8 141,122

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	2,630
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	2,630
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,630
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	964
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	964
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,666
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► <u>BRANCH BANKING AND TRUST COMPANY</u> Telephone no ► <u>(276) 666-3264</u>			

Located at ► PO BOX 2907 - TRUST TAX DEPT L709 WILSON NC ZIP+4 ► 278942907

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BRANCH BANKING AND TRUST COMPANY PO BOX 2907 - TRUST TAX DEPT L709 WILSON, NC 278942907	TRUSTEE / PART-TIME 1	28,611		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,521,675
b	Average of monthly cash balances.	1b	94,875
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,616,550
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,616,550
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	39,248
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,577,302
6	Minimum investment return. Enter 5% of line 5.	6	128,865

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	128,865
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,630
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,630
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	126,235
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	126,235
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	126,235

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	141,122
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	141,122
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	2,630
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	138,492

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				126,235
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			130,166	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 0				
b From 2012. 0				
c From 2013. 0				
d From 2014. 0				
e From 2015. 0				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>141,122</u>				
a Applied to 2015, but not more than line 2a			130,166	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				10,956
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				115,279
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012. 0				
b Excess from 2013. 0				
c Excess from 2014. 0				
d Excess from 2015. 0				
e Excess from 2016. 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed DIANE GOAD BRANCH BANKING AND TRUS 1 N HIGH STREET WEST CHESTER, PA 19381 (484) 881-4074	
b The form in which applications should be submitted and information and materials they should include PRE-PRINTED FORM FROM THE FOUNDATION	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors APPLICANT MUST RESIDE IN SNYDER, UNION OR NORTHUMBERLAND COUNTY	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	130,200
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
--	--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

☐ Yes ☒ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this return and the information provided are true, correct, and complete.

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Form **990-PF** (2016)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25000 COLORADO RIVER TEX WTR 2 25% 1/01/16 FED TAXABLE/ST TAX EXEMPT		2011-12-08	2016-01-01
975 MORGAN STANLEY DEAN WITTER DIS CO		2015-02-20	2016-01-08
325 ABBOTT LABORATORIES		2013-06-18	2016-01-14
275 BED BATH & BEYOND INC		2015-06-25	2016-01-14
150 BED BATH & BEYOND INC		2015-11-30	2016-01-14
1200 FORD MTR CO DEL NEW		2015-03-06	2016-01-14
200 FORD MTR CO DEL NEW		2015-07-31	2016-01-14
125 KIMBERLY CLARK CORP		2010-03-16	2016-01-20
50000 JPMORGAN CHASE & CO 3 450% 03/01/16		2011-09-15	2016-03-01
25000 GOLDMAN SACHS 4 000% 03/15/16		2010-03-22	2016-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		25,175	-175
28,298		35,837	-7,539
13,296		12,061	1,235
12,082		18,999	-6,917
6,590		8,224	-1,634
14,451		19,202	-4,751
2,409		2,956	-547
15,318		9,753	5,565
50,000		50,000	
25,000		25,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-175
			-7,539
			1,235
			-6,917
			-1,634
			-4,751
			-547
			5,565

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50000 AT&T INC 2 950% 05/15/16		2011-06-15	2016-05-15
490 AT&T INC		2005-02-09	2016-06-13
300 ABBOTT LABORATORIES		2009-08-13	2016-06-13
275 ALLSTATE CORP		2016-01-22	2016-06-13
30 ALPHABET INC CL C		2014-12-05	2016-06-13
3275 ANNALY CAPITAL MANAGEMENT INC		2014-04-15	2016-06-13
70 APPLE COMPUTER COM		2014-03-13	2016-06-13
2475 BANK OF AMERICA CORP		2013-12-19	2016-06-13
95 BOEING CO CAP STK*		2014-07-24	2016-06-13
75 CME GROUP INC		2015-06-19	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,000		50,000	
19,729		13,369	6,360
11,401		8,363	3,038
18,466		16,072	2,394
21,633		15,855	5,778
34,665		37,256	-2,591
6,847		5,363	1,484
33,771		40,288	-6,517
12,404		11,836	568
7,175		7,132	43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,360
			3,038
			2,394
			5,778
			-2,591
			1,484
			-6,517
			568
			43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
175 CME GROUP INC		2015-04-10	2016-06-13
1200 CSX CORPORATION		2014-03-13	2016-06-13
420 CISCO SYS INC		2013-05-21	2016-06-13
125 COSTCO WHOLESALE CORP		2015-07-01	2016-06-13
600 EMC CORP		2013-10-18	2016-06-13
240 EXXON MOBIL CORP		2013-06-24	2016-06-13
450 FASTENAL CO		2015-07-31	2016-06-13
500 FIFTH THIRD BANCORP		2015-07-22	2016-06-13
1225 FIFTH THIRD BANCORP		2015-04-27	2016-06-13
960 GENERAL ELECTRIC CO COM STK		2008-12-11	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,742		16,098	644
31,997		35,171	-3,174
12,152		10,025	2,127
19,389		16,995	2,394
16,611		15,203	1,408
21,792		21,418	374
19,794		18,810	984
9,207		10,837	-1,630
22,558		24,149	-1,591
28,770		16,876	11,894

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			644
			-3,174
			2,127
			2,394
			1,408
			374
			984
			-1,630
			-1,591
			11,894

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 GENERAL MILLS		2016-01-14	2016-06-13
1025 INFOSYS TECHNOLOGIES SP ADR ISIN #US4567881085		2015-10-16	2016-06-13
1200 INTEL CORP		2011-02-03	2016-06-13
175 INTEL CORP		2015-09-01	2016-06-13
125 INTERNATIONAL BUSINESS MACHINES COM		2015-12-29	2016-06-13
650 INTERNATIONAL PAPER COMPANY		2014-05-28	2016-06-13
75 JOHNSON + JOHNSON COM STK		2007-07-25	2016-06-13
2450 KEYCORP NEW COM		2015-07-22	2016-06-13
800 MACYS INC		2015-11-12	2016-06-13
625 MERCK & CO INC		2008-09-23	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,503		16,628	2,875
18,383		18,238	145
38,735		26,320	12,415
5,649		4,882	767
18,929		17,453	1,476
27,446		29,830	-2,384
8,778		4,535	4,243
29,804		37,398	-7,594
25,491		32,221	-6,730
35,177		24,980	10,197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,875
			145
			12,415
			767
			1,476
			-2,384
			4,243
			-7,594
			-6,730
			10,197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
175 MERCK & CO INC		2015-07-29	2016-06-13
265 MICROSOFT CORP		2013-04-26	2016-06-13
75 ORACLE SYS CORP		2015-07-31	2016-06-13
875 ORACLE SYS CORP		2014-01-15	2016-06-13
175 PAYCHEK INC COMMON		2015-07-31	2016-06-13
500 PAYCHEK INC COMMON		2011-08-10	2016-06-13
400 PEPSICO INC		2013-06-24	2016-06-13
505 PFIZER INC COM STK		2005-10-25	2016-06-13
200 PROCTER & GAMBLE CO COM STK*		2015-10-22	2016-06-13
150 ROYAL DUTCH SHELL PLC SPONS ADR B		2004-11-30	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,850		10,238	-388
13,298		8,903	4,395
2,901		2,993	-92
33,848		34,542	-694
9,501		7,880	1,621
27,147		13,072	14,075
41,314		32,850	8,464
17,629		11,585	6,044
16,562		15,100	1,462
7,735		8,810	-1,075

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-388
			4,395
			-92
			-694
			1,621
			14,075
			8,464
			6,044
			1,462
			-1,075

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
180 SCHLUMBERGER LTD COMMON		2015-10-16	2016-06-13
255 SPECTRA ENERGY CORPORATION		2015-02-10	2016-06-13
475 SYSCO		2011-11-25	2016-06-13
225 TARGET CORP COM		2015-08-06	2016-06-13
50 TARGET CORP COM		2016-01-14	2016-06-13
925 THOMSON REUTERS CORP		2012-03-20	2016-06-13
150 THE TRAVELERS COMPANIES INC		2011-08-22	2016-06-13
225 UNITED PARCEL SERVICE INC		2014-02-06	2016-06-13
75 UNITED PARCEL SERVICE INC		2015-09-01	2016-06-13
400 V F CORP COMMON		2015-06-25	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,035		13,918	117
8,456		9,183	-727
23,051		15,387	7,664
15,114		17,422	-2,308
3,359		3,538	-179
38,648		26,916	11,732
16,983		7,428	9,555
23,430		21,487	1,943
7,810		7,210	600
25,103		28,640	-3,537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			117
			-727
			7,664
			-2,308
			-179
			11,732
			9,555
			1,943
			600
			-3,537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
150 VANECK VECTORS BIOTECH ETF		2015-08-11	2016-06-13
800 VANGUARD INTL EQUITY IDX EMR MKT ETF		2014-11-12	2016-06-13
295 VERIZON COMMUNICATIONS		2011-12-01	2016-06-13
900 VODAFONE GROUP PLC SPON ADR		2014-09-29	2016-06-13
425 WAL-MART STORES INC		2013-01-10	2016-06-13
125 WAL-MART STORES INC		2015-06-19	2016-06-13
550 WASTE MGMT INC DEL COM		2005-10-20	2016-06-13
125 WELLS FARGO & CO (NEW)		2014-12-02	2016-06-13
250 YUM! BRANDS INC		2015-12-09	2016-06-13
175 CORE LABORATORIES N V		2015-08-12	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,737		20,368	-4,631
27,059		33,488	-6,429
15,568		12,677	2,891
27,562		30,268	-2,706
30,019		31,204	-1,185
8,829		9,049	-220
34,465		15,601	18,864
6,022		6,772	-750
20,790		18,606	2,184
22,076		20,314	1,762

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,631
			-6,429
			2,891
			-2,706
			-1,185
			-220
			18,864
			-750
			2,184
			1,762

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 AT&T INC		2015-09-02	2016-10-21
15 ABBVIE INC		2016-06-13	2016-10-21
9 ADVANSIX INC COMMON		2016-06-13	2016-10-21
45 ALASKA AIR GROUP INC COMMON		2016-06-13	2016-10-21
10 ALTRIA GROUP INC		2016-06-13	2016-10-21
30 AMERIPRISE FINANCIAL INC		2016-06-13	2016-10-21
5 AMGEN INC		2016-06-13	2016-10-21
50 APPLE COMPUTER COM		2014-03-13	2016-10-21
30 BOEING CO CAP STK*		2015-07-31	2016-10-21
325 BRISTOL MYERS SQUIBB CO		2016-06-13	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,426		2,130	296
915		906	9
146		139	7
3,358		2,915	443
638		651	-13
2,929		2,979	-50
799		769	30
5,839		3,831	2,008
4,077		4,314	-237
16,318		23,587	-7,269

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			296
			9
			7
			443
			-13
			-50
			30
			2,008
			-237
			-7,269

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
75 BROADRIDGE FINANCIAL SOLUTIONS INC		2016-06-13	2016-10-21
125 CDW CORP OF DELAWARE COMMON		2016-06-13	2016-10-21
65 CMS ENERGY CORP		2016-06-13	2016-10-21
225 CVS CORP		2016-06-13	2016-10-21
385 CAMPBELL SOUP COMPANY		2016-06-13	2016-10-21
25 CHEVRON TEXACO CORP COMMON		2016-06-13	2016-10-21
190 CISCO SYS INC		2012-11-07	2016-10-21
245 DOLLAR GENERAL CORP COMMON		2016-06-13	2016-10-21
325 ELECTRONIC ARTS INC COMMON		2016-06-13	2016-10-21
35 EXXON MOBIL CORP		2013-10-03	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,825		4,754	71
5,525		5,325	200
2,672		2,828	-156
19,640		21,588	-1,948
20,502		23,991	-3,489
2,533		2,561	-28
5,710		4,768	942
16,677		22,342	-5,665
26,793		24,181	2,612
3,016		2,989	27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			71
			200
			-156
			-1,948
			-3,489
			-28
			942
			-5,665
			2,612
			27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
890 GENERAL ELECTRIC CO COM STK		2011-10-27	2016-10-21
590 HARTFORD FINL SVCS GRP COM		2016-06-13	2016-10-21
40 HONEYWELL INTL INC		2016-06-13	2016-10-21
502 626 HOTCHKIS & WILEY HIGH YIELD FUND-I		2016-06-15	2016-10-21
960 INTERPUBLIC GROUP COS INC		2016-06-13	2016-10-21
90 J P MORGAN CHASE & CO		2016-06-13	2016-10-21
30 JOHNSON + JOHNSON COM STK		2011-04-11	2016-10-21
545 KROGER CO COM STK		2016-06-13	2016-10-21
80 LAM RESEARCH CORP COMMON		2016-06-13	2016-10-21
527 516 LAZARD EMERGING MARKETS FD INSTL CL		2016-06-15	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,398		20,080	5,318
25,105		26,099	-994
4,356		4,606	-250
6,047		5,745	302
21,204		22,900	-1,696
6,149		5,733	416
3,405		1,797	1,608
16,716		19,803	-3,087
7,816		6,600	1,216
8,857		7,712	1,145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,318
			-994
			-250
			302
			-1,696
			416
			1,608
			-3,087
			1,216
			1,145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
120 MICROSOFT CORP		2013-04-17	2016-10-21
151 609 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-06-15	2016-10-21
80 NASDAQ OMX GROUP COMMON		2016-06-13	2016-10-21
64 025 NEUBERGER BERMAN STRATEGIC INCOME FUND-I		2016-06-15	2016-10-21
186 845 PIMCO REAL RETURN INSTL -122		2016-06-15	2016-10-21
265 PROLOGIS INC REIT		2016-06-13	2016-10-21
40 SCHLUMBERGER LTD COMMON		2015-10-16	2016-10-21
380 SEALED AIR CORP NEW COM		2016-06-13	2016-10-21
250 SPECTRA ENERGY CORPORATION		2015-02-10	2016-10-21
60 STANLEY BLACK & DECKER INC COMMON		2016-06-13	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,193		3,484	3,709
2,450		2,430	20
5,241		5,248	-7
712		690	22
2,095		2,061	34
13,849		13,251	598
3,213		2,922	291
16,470		18,094	-1,624
10,621		9,003	1,618
7,175		6,769	406

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,709
			20
			-7
			22
			34
			598
			291
			-1,624
			1,618
			406

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
89 368 STERLING CAPITAL TOTAL RETURN BOND FUND		2016-06-15	2016-10-21
5 STRYKER CORP COMMON		2016-06-13	2016-10-21
115 SUNTRUST BANKS INCORPORATED		2016-06-13	2016-10-21
905 221 VANGUARD DEVELOPED MKTS INDEX -127		2016-06-15	2016-10-21
40 VERIZON COMMUNICATIONS		2011-12-01	2016-10-21
475 WELLS FARGO & CO (NEW)		2012-08-14	2016-10-21
525 XCEL ENERGY INC		2016-06-13	2016-10-21
425 AMDOCS LTD COM		2016-06-13	2016-10-21
20 CHUBB LTD		2016-06-13	2016-10-21
210 LYONDELLBASELL INDUSTRIES NV		2016-06-13	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
962		961	1
568		576	-8
5,290		4,855	435
10,709		10,120	589
1,920		1,509	411
21,436		17,203	4,233
20,989		22,483	-1,494
25,172		24,287	885
2,430		2,502	-72
17,057		16,699	358

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			-8
			435
			589
			411
			4,233
			-1,494
			885
			-72
			358

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 BROADCOM LTD		2016-06-13	2016-10-21
75000 AFLAC INC 2 400% 03/16/20		2015-03-16	2016-12-13
395 AT&T INC		2005-08-16	2016-12-13
50000 AT&T INC 3 000% 02/15/22		2014-11-24	2016-12-13
50 ABBVIE INC		2016-06-13	2016-12-13
325 ABBVIE INC		2015-12-10	2016-12-13
470 ACTIVISION BLIZZARD INC COMMON		2016-10-21	2016-12-13
345 ALASKA AIR GROUP INC COMMON		2016-06-13	2016-12-13
195 ALBEMARLE CORP COMMON		2016-10-21	2016-12-13
30 ALPHABET INC CL A		2016-06-13	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,318		4,016	302
74,596		75,424	-828
16,337		12,227	4,110
49,060		49,728	-668
3,107		3,021	86
20,192		18,311	1,881
17,550		20,806	-3,256
29,959		22,350	7,609
17,765		16,094	1,671
24,528		22,048	2,480

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			302
			-828
			4,110
			-668
			86
			1,881
			-3,256
			7,609
			1,671
			2,480

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
340 ALTRIA GROUP INC		2016-06-13	2016-12-13
215 AMERIPRISE FINANCIAL INC		2016-06-13	2016-12-13
140 AMGEN INC		2016-06-13	2016-12-13
25000 APPLE INC 2 850% 05/06/21		2014-05-08	2016-12-13
50000 BP CAPITAL MKTS 3 561% 11/01/21		2014-03-27	2016-12-13
1275 BANK OF AMERICA CORP		2016-10-21	2016-12-13
20000 BELOIT WIS 2 500% 03/01/18 FED TAXABLE/ST TAX EXEMPT		2011-11-09	2016-12-13
25000 BOEING CAP CORP 4 700% 10/27/19		2009-12-15	2016-12-13
175 BOEING CO CAP STK*		2014-07-24	2016-12-13
460 CDW CORP OF DELAWARE COMMON		2016-06-13	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,688		22,135	553
24,694		21,350	3,344
20,805		21,546	-741
25,416		25,216	200
51,800		51,472	328
28,783		21,181	7,602
19,880		20,141	-261
26,858		25,133	1,725
27,372		22,187	5,185
24,615		19,596	5,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			553
			3,344
			-741
			200
			328
			7,602
			-261
			1,725
			5,185
			5,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
425 CMS ENERGY CORP		2016-06-13	2016-12-13
50000 CALERA AL 3 250% 09/01/24 FED TAXABLE/ST TAX EXEMPT		2015-02-11	2016-12-13
200 CHEVRON TEXACO CORP COMMON		2016-06-13	2016-12-13
350 COMCAST CORP NEW CL A		2016-06-13	2016-12-13
50000 CONNEAUT PA SD 2 370% 11/01/19 FED TAXABLE/ST TAX EXEMPT		2012-07-17	2016-12-13
60 D R HORTON INC D R HORTON INC COMMON		2016-10-21	2016-12-13
705 D R HORTON INC D R HORTON INC COMMON		2016-06-13	2016-12-13
435 DELL TECHNOLOGIES INC - CL V (TRACKING S		2016-10-21	2016-12-13
3202 369 DOUBLELINE TOTAL RETURN BOND FUND		2016-06-15	2016-12-13
50000 EMC CORP 2 650% 06/01/20		2013-12-11	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,680		18,490	-810
49,818		50,631	-813
23,548		20,488	3,060
24,510		21,968	2,542
49,136		50,207	-1,071
1,755		1,749	6
20,621		21,766	-1,145
23,394		20,693	2,701
34,041		34,997	-956
47,876		49,675	-1,799

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-810
			-813
			3,060
			2,542
			-1,071
			6
			-1,145
			2,701
			-956
			-1,799

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50000 EBAY INC 2 875% 08/01/21		2014-09-10	2016-12-13
505 EXELON CORPORATEION		2016-10-21	2016-12-13
160 FACEBOOK INC CL A		2016-10-21	2016-12-13
2036 612 FEDERATED MDT SMALL CAP GROWTH FUND CL		2016-10-25	2016-12-13
50000 GENERAL ELEC CAP CORP 6 00% 08/07/19		2009-09-18	2016-12-13
2081 754 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2016-10-25	2016-12-13
185 HONEYWELL INTL INC		2016-06-13	2016-12-13
50000 HOUSTON TX 1 837% 03/01/20 FED TAXABLE/ST TAX EXEMPT		2013-05-09	2016-12-13
160 INGREDION INC		2016-10-21	2016-12-13
50000 INTEL CORP 3 300% 10/01/21		2014-02-24	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49,707		49,885	-178
17,872		16,493	1,379
19,321		20,873	-1,552
41,201		37,718	3,483
55,050		51,451	3,599
49,567		49,629	-62
21,806		21,301	505
48,945		50,172	-1,227
20,059		20,860	-801
51,756		50,724	1,032

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-178
			1,379
			-1,552
			3,483
			3,599
			-62
			505
			-1,227
			-801
			1,032

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
310 J P MORGAN CHASE & CO		2016-06-13	2016-12-13
70 KIMBERLY CLARK CORP		2016-06-13	2016-12-13
100 KIMBERLY CLARK CORP		2015-01-27	2016-12-13
245 LAM RESEARCH CORP COMMON		2016-06-13	2016-12-13
2965 423 LAZARD EMERGING MARKETS FD INSTL CL		2016-06-15	2016-12-13
190 LEAR CORP COMMON		2016-06-13	2016-12-13
270 LOWES COS INC		2016-06-13	2016-12-13
50 LOWES COS INC		2016-10-21	2016-12-13
50000 MCDONALDS CORP 3 500% 07/15/20		2015-07-21	2016-12-13
3291 891 METROPOLITAN WEST T R BOND FD I		2016-06-15	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,242		19,749	6,493
8,076		9,162	-1,086
11,537		11,270	267
25,517		20,214	5,303
48,129		43,354	4,775
25,837		21,626	4,211
20,144		21,057	-913
3,730		3,507	223
51,674		51,753	-79
34,565		36,025	-1,460

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,493
			-1,086
			267
			5,303
			4,775
			4,211
			-913
			223
			-79
			-1,460

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25000 MONSANTO CO 2 125% 07/15/19		2015-03-30	2016-12-13
4626 941 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-06-15	2016-12-13
295 NASDAQ OMX GROUP COMMON		2016-06-13	2016-12-13
1121 368 NEUBERGER BERMAN STRATEGIC INCOME FUND-I		2016-06-15	2016-12-13
430 NEWELL RUBBERMAID, INC		2016-10-21	2016-12-13
465 OMEGA HEALTHCARE INVESTORS INC REIT		2016-10-21	2016-12-13
20000 ONSLOW CNTY NC 1 970% 06/01/19 FED TAXABLE/ST TAX EXEMPT		2012-12-19	2016-12-13
395 OWENS CORNING COMMON		2016-10-21	2016-12-13
334 008 PIMCO REAL RETURN INSTL -122		2016-06-15	2016-12-13
190 PACKAGING CORP OF AMERICA		2016-10-21	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,774		25,213	-439
72,736		74,170	-1,434
20,423		19,352	1,071
12,122		12,077	45
20,321		22,553	-2,232
14,406		15,355	-949
19,577		20,121	-544
21,803		20,399	1,404
3,641		3,684	-43
16,469		15,545	924

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-439
			-1,434
			1,071
			45
			-2,232
			-949
			-544
			1,404
			-43
			924

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
670 PFIZER INC COM STK		2009-01-26	2016-12-13
30 PFIZER INC COM STK		2016-10-21	2016-12-13
325 PROLOGIS INC REIT		2016-06-13	2016-12-13
470 SPECTRA ENERGY CORPORATION		2015-02-10	2016-12-13
180 STANLEY BLACK & DECKER INC COMMON		2016-06-13	2016-12-13
50000 STATE STREET CORP 3 700% 11/20/23		2014-12-10	2016-12-13
25000 STATOIL ASA 3 125% 08/17/17		2010-10-12	2016-12-13
3473 428 STERLING CAPITAL TOTAL RETURN BOND FUND		2016-06-15	2016-12-13
205 STRYKER CORP COMMON		2016-06-13	2016-12-13
475 SUNTRUST BANKS INCORPORATED		2016-06-13	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,979		12,491	9,488
984		969	15
17,283		16,252	1,031
19,617		13,647	5,970
21,451		20,306	1,145
51,505		52,149	-644
25,209		25,470	-261
36,228		37,339	-1,111
24,151		23,603	548
25,820		20,052	5,768

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,488
			15
			1,031
			5,970
			1,145
			-644
			-261
			-1,111
			548
			5,768

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
430 SYSCO		2016-10-21	2016-12-13
125000 U S TREASURY NOTES 4 500% 05/15/17		2007-05-30	2016-12-13
10514 001 VANGUARD DEVELOPED MKTS INDEX -127		2016-06-15	2016-12-13
3377 891 VIRTUS QUALITY SMALL CAP FUND CL I FUND		2016-10-25	2016-12-13
65 DR PEPPER SNAPPLE GROUP INC		2016-12-13	2016-12-14
80 EXXON MOBIL CORP		2016-12-13	2016-12-14
230 BROADRIDGE FINANCIAL SOLUTIONS INC		2016-12-14	2016-12-15
21441 US TREASURY INFL BD DTD 07/29/2011 625%		2016-12-16	2016-12-20
543 96 FED HOME LOAN MTG CORP POOL #G08669 DTD 09/01/2015 4% 09/01/204		2016-12-20	2016-12-31
353 75 FED HOME LOAN MTG CORP POOL #G08681 DTD 12/01/2015 3 5% 12/01/2		2016-12-20	2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,912		20,392	3,520
127,002		121,465	5,537
126,168		117,547	8,621
59,113		51,614	7,499
5,831		5,958	-127
7,226		6,682	544
15,039		14,577	462
21,975		21,919	56
544		566	-22
354		359	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,520
			5,537
			8,621
			7,499
			-127
			544
			462
			56
			-22
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
429 52 FED HOME LOAN MTG CORP POOL #G08687 DTD 01/01/2016 3 5% 01/01/2		2016-12-20	2016-12-31
681 2 FED HOME LOAN MTG CORP POOL# G08694 DTD 02/01/2016 4% 02/01/2046		2016-12-20	2016-12-31
369 36 FED HOME LOAN MTG CORP POOL# G08706 DTD 05/01/2016 3 5% 05/01/2		2016-12-20	2016-12-31
375 39 FED HOME LOAN MTG CORP POOL# G08711 DTD 06/01/2016 3 5% 06/01/2		2016-12-20	2016-12-31
519 39 FED NATL MTG ASSN POOL #AL7767 DTD 11/01/2015 4 5% 06/01/2044		2016-12-20	2016-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
430		436	-6
681		709	-28
369		375	-6
375		381	-6
519		555	-36
			7,337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-28
			-6
			-6
			-36

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CENTRAL SUSQUEHANNA INTERMEDIATE UNIT 90 LAWTON LN MILTON, PA 17847	NONE	EXEMPT	COMMUNITY	1,000
LITTLE SHAMOKIN CREEK WATERSHED ASSOCIATION 441 PLUM CREEK RD SUNBURY, PA 17801	NONE	EXEMPT	COMMUNITY	1,000
GEISINGER HEALTH SYSTEM 100 NORTH ACADEMY AVE DANVILLE, PA 17822	NONE	EXEMPT	COMMUNITY	2,500
LOWER ANTHRACITE REGION UNITED WAY 2 E ARCH ST 306 SHAMOKIN, PA 17872	NONE	EXEMPT	COMMUNITY	2,500
MINISTRY OF THE WATER AND SPIRIT 915 HIGH RD ASHLAND, PA 17921	NONE	EXEMPT	COMMUNITY	2,500
MARINE TOYS FOR TOTS 18251 QUANTICO GATEWAY DR TRIANGLE, VA 22172	NONE	EXEMPT	COMMUNITY	2,500
MIFFLINBURG AREA HIGH SCHOOL 75 MARKET ST MIFFLINBURG, PA 17844	NONE	EXEMPT	COMMUNITY	1,000
SUSQUEHANNA COUNCIL BOY SCOUTS OF AMERIC 225 THOMAS DAM RD MILLMONT, PA 17845	NONE	EXEMPT	COMMUNITY	2,500
MIDDLECREEK AREA COMMUNITY CENTER 67 ELM ST BEAVER SPRINGS, PA 17812	NONE	EXEMPT	COMMUNITY	1,500
NORTH PENN LEGAL SERVICES 559 MAIN STREET STE 200 BETHLEHEM, PA 18018	NONE	EXEMPT	COMMUNITY	5,000
SUSQUEHANNA VALLEY CHORALE PO BOX 172 LEWISBURG, PA 17837	NONE	EXEMPT	COMMUNITY	3,000
SUSQUEHANNA VALLEY LAW ENFORCEMENT CAMP CADET INC 50 LAWTON LANE MILTON, PA 17847	NONE	N/A	SUPPORT SUMMER CAMP FOR	2,500
RANDOM CANYON RIDING PROGRAM INC 15 COSTENBADER LANE SELINGSGROVE, PA 17870	NONE	N/A	CONSTRUCTION OF OUTDOOR	2,000
THE SALVATION ARMY 40 SOUTH FOURTH STREET SUNBURY, PA 17801	NONE	N/A	SUPPORT SUMMER PLAYGROUND	10,700
THE IMPROVED MILTON EXPERIENCE ATTN R JEFFREY COUP PRESIDENT 139 SOUTH FRONT STREET MILTON, PA 17847	NONE	N/A	SUPPORT FOR THE MILTON	3,000
Total ► 3a				130,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
OUTREACH INC ATTN FLOYD HAMMER 33279 280TH STREET UNION, PA 50258	NONE	N/A	SUPPORT OPERATIONS OF	3,000
SUNBURY'S REVITALIZATION INC ATTN JANET YONKOSKI PRES 225 MARKET STREET SUNBURY, PA 17801	NONE	N/A	FOR SUNBURY'S RIVER	11,000
WOMEN'S LEADERSHIP COUNCIL C/O GREATER SUSQ VALLEY UNITED WAY 335 MARKET STREET SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF	3,500
DANVILLE CHILD DEVELOPMENT CENTER ATTN DIANA DIXON EXEC DIRECTOR 398 WALL STREET DANVILLE, PA 17821	NONE	N/A	SUPPORT OPERATIONS OF CHILD	2,000
AMATEUR SOFTBALL ASSOC OF PA 311 RACE STREET SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF	5,000
SOROPTIMIST INTERNATIONAL HABITAT FOR HUMANITY 2138 RTE522 SELINGSGROVE, PA 178700064	NONE	N/A	SUPPORT OPERATIONS OF	3,000
LINE MOUNTAIN FOOD BANK 1043 S FRONT ST SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF THE	5,000
SNYDER-UNION-NORTHUMBERLAND HABITAT FOR HUMANITY 2138 RTE522 SELINGSGROVE, PA 178700064	NONE	N/A	SUPPORT OPERATIONS OF	1,000
DONALD HEITER COMMUNITY CENTER 100 NORTH FIFTH STREET LEWISBURG, PA 17837	NONE	N/A	SUMMER DAY CAMP FOR	5,000
KIDSGROVE INC ATTN MEGAN L WILL PRESIDENT 2 SASSAFRAS STREET SELINGSGROVE, PA 17870	NONE	N/A	SUPPORT OPERATIONS OF	1,500
NORTHUMBERLAND CNTY COUNCIL FOR THE ARTS ATTN JEANNE SHAFFER EXEC DIR 2 EAST ARCH STREET - PO BOX 472 SHAMOKIN, PA 17872	NONE	N/A	SUPPORT OPERATIONS OF	4,500
SNYDER COUNTY CHILDREN & YOUTH YOUTH SERVICES 713 BRIDGE STREET STE 15 SELINGSGROVE, PA 17870	NONE	N/A	ASSISTANCE FOR NEEDY	7,500
CITY OF SUNBURY ATTN JIM EISTER - PARKS & RECREATI 225 MARKET STREET SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF	2,000
SUNCOM INDUSTRIES INC ATTN PEGGY A VITALE CEO 128 WATER STREET NORTHUMBERLAND, PA 17857	NONE	N/A	SUPPORT OPERATIONS OF	5,000
FRIENDSHIP HOSE CO 29 SOUTH TENTH STREET SUNBURY, PA 17801	NONE	N/A	PURCHASE AND MAINTENANCE OF	1,000
Total ►				130,200
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTRAL SUSQUEHANNA SIGHT SERVICES 348 MARKET STREET SUNBURY, PA 17801	NONE	N/A	ASSIST INDIVIDUALS FOR	7,500
PRIESTLEY FORSYTH MEM LIBRARY ATTN C LYNN WILLIAMS DIRECTOR 100 KING ST NORTHUMBERLAND, PA 17857	NONE	N/A	SUPPORT OPERATIONS OF	2,000
NORTHUMBERLAND COUNTY CHILDREN & YOUTH ATTN JENNIFER WILLARD ADM 322 NORTH SECOND STREET SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF	3,000
MONTGOMERY HOUSE INC ATTN MEGHAN EVANS LIBRARY DIRECTO 20 CHURCH STREET MCEWENSVILLE, PA 17749	NONE	N/A	IMPROVE TECHNOLOGY AT THE	1,500
CAMP ENERGY INC ATTN GERARD FOLEY 171 OXFORD DRIVE LEWISBURG, PA 17837	NONE	N/A	FOR ADOLESCENT HEALTH	2,500
LINE MOUNTAIN SCHOOL DISTRICT ATTN DAVID CAMPBELL SUPERINTENDENT 185 LINE MOUNTAIN ROAD HERNDON, PA 17830	NONE	N/A	SUPPORT OPERATIONS OF	2,000
MOSTLY MUTTS INC ATTN CHERYL HILL PRESIDENT 284 LITTLE MOUNTAIN ROAD SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF	1,500
SPREADING ANTLERS CHILDREN'S FDN 25 S SECOND STREET SUNBURY, PA 17801	NONE	N/A	SUPPORT OPERATIONS OF	2,500
TA-TA TROT INC 456 SECOND STREET NORTHUMBERLAND, PA 17857	NONE	N/A	SUPPORT OPERATIONS OF	3,000
UNION COUNTY VETERANS 4TH OF JULY COMMITTEE - ATTN GRAHAM C SHOWALTER PO BOX 544 LEWISBURG, PA 17837	NONE	N/A	FOR THE 4TH OF JULY PARADE	1,500
Total 3a				130,200

TY 2016 Other Decreases Schedule**Name:** DEGENSTEIN CHARITABLE FOUNDATION**EIN:** 23-6661599

Description	Amount
ACCRUED INTEREST PAID	4,199
COST BASIS ADJUSTMENT	1,099

TY 2016 Other Increases Schedule

Name: DEGENSTEIN CHARITABLE FOUNDATION
EIN: 23-6661599

Description	Amount
MUTUAL FUND FACTOR BOND PREMIUMJUSTMENT	282