



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation▶ **Do not enter social security numbers on this form as it may be made public.**
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

SHARON H LIMAYE FOUNDATION

A Employer identification number

23-2738735

Number and street (or P O box number if mail is not delivered to street address)

1001 N VALLEY ROAD

Room/suite

B Telephone number (see instructions)

610-213-9063

City or town, state or province, country, and ZIP or foreign postal code

PAOLI, PA 19301

C If exemption application is pending, check here ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	1,354,600			
	2	Check ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,833	1,833	1,833	
	4	Dividends and interest from securities	585	585	585	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	0			
	b	Gross sales price for all assets on line 6a	1,590,609			
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	89	89	89	
	12	Total. Add lines 1 through 11	1,357,107	2,507	2,507	
	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	71	71	71	71
	24	Total operating and administrative expenses. Add lines 13 through 23	71	71	71	71
	25	Contributions, gifts, grants paid	7,694			7,694
	26	Total expenses and disbursements. Add lines 24 and 25	7,765	71	71	7,765
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	1,349,342			
	b	Net investment income (if negative, enter -0-)		2,436		
	c	Adjusted net income (if negative, enter -0-)			2,436	

For Paperwork Reduction Act Notice, see instructions.

Cat No. 11289X

Form **990-PF** (2016)

3

SCANNED MAY 03 2017

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	0	5,146	5,146
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	383,086	1,531,881	1,531,881
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
Liabilities	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	383,086	1,531,881	1,531,881
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	383,086	1,531,881	
	31	Total liabilities and net assets/fund balances (see instructions)	383,086	1,531,881	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	383,086
2	Enter amount from Part I, line 27a	2	1,349,342
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,732,428
5	Decreases not included in line 2 (itemize) ▶ <u>Unrealized losses</u>	5	200,547
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,531,881

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TD Ameritrade Account (see attached statement)	P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,593,688		1,778,317	(184,629)
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	0
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	49
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	49
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	49
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	400
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	400
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	351
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded	11	351

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	✓
14 The books are in care of ▶ Dilip R Limaye Telephone no. ▶ 610-213-9063 Located at ▶ 1001 N Valley Road, Paoli, PA ZIP+4 ▶ 19301		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶ 20____, 20____, 20____, 20____ ☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**
If "Yes" to 6b, file Form 8870.**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dilip R Limaye 1001 N. Valley Road, Paoli, PA 19301	Trustee 0 2 hours/week	0	0	0
Ryan D Limaye 500 Huston Road, Wayne, PA 19087	Trustee 0.1 hours/week	0	0	0
Kris N Limaye 425 Clothier Springs Road, Phoenixville, PA 19460	Trustee 0 1 hours/week	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	None	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	None	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	336,868
b	Average of monthly cash balances	1b	6,348
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	343,215
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	343,215
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	5,148
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	338,067
6	Minimum investment return. Enter 5% of line 5	6	16,903

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	16,903
2a	Tax on investment income for 2016 from Part VI, line 5	2a	49
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	49
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	16,854
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	16,854
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	16,854

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,694
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,694
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,694

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				16,854
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	30,862			
b From 2012	12,656			
c From 2013	30,209			
d From 2014	21,725			
e From 2015	10,046			
f Total of lines 3a through e	105,498			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 7,694				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2016 distributable amount				7,694
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	9,160			9,160
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	96,338			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	21,702			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	74,636			
10 Analysis of line 9:				
a Excess from 2012	12,656			
b Excess from 2013	30,209			
c Excess from 2014	21,725			
d Excess from 2015	10,046			
e Excess from 2016	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon.				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Ryan D Limaye, Dilip R Limaye

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See attached schedule of donations				
Total			▶ 3a	7,694
b <i>Approved for future payment</i> None				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	None					
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
----------------------	---

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	✓
	(2) Other assets	1a(2)	✓
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	✓
	(3) Rental of facilities, equipment, or other assets	1b(3)	✓
	(4) Reimbursement arrangements	1b(4)	✓
	(5) Loans or loan guarantees	1b(5)	✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)	✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
		15-1-17	Trustee
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

Sharon Limaye Foundation - EIN 23-2738735**Form 990-PF, 2016****SUPPORTING SCHEDULES****List of Contributions & Grants Received - 2016**

Name of Contributor	Amount of Contribution	Notes
Dilip R. Limaye	100,000	Cash Contribution
Ryan D. Limaye	1,254,600	Equities
Total	<u>1,354,600</u>	

Year-End Amounts	Equities	Cash	Total
TD Ameritrade Account	1,526,735	0	1,526,735
Checking Acct	0	5,146	5,146
Totals	<u>1,526,735</u>	<u>5,146</u>	<u>1,531,881</u>

Interest and Dividends - 2016

Source	Interest	Dividends	Total
TD Ameritrade Account	1,833	585	2,418
Total	<u>1,833</u>	<u>585</u>	<u>2,418</u>

Other Income - 2015

Source	Income
TD Ameritrade	0
Refund	89
	<u>0</u>
TD Ameritrade	(184,630)

Expenses

Bank Fees	36
Credit Card Fees	35
Total	<u>71</u>

Calculation of Average amounts

	Month-End Amts		Average Amounts		Total
	TD Ameritrade	Checking Acct	TD Ameritrade	Checking Acct	
Dec-15	383,086	3,772			
Jan-16	481,744	3,659	432,415	3,716	436,131
Feb-16	552,516	3,321	517,130	3,490	520,620
Mar-16	364,144	8,149	458,330	5,735	464,065
Apr-16	320,247	7,978	342,196	8,064	350,259
May-16	250,742	7,720	285,495	7,849	293,344
Jun-16	306,702	7,662	278,722	7,691	286,413
Jul-16	215,344	7,509	261,023	7,586	268,609
Aug-16	284,964	7,428	250,154	7,469	257,623
Sep-16	296,965	6,820	290,965	7,124	298,089
Oct-16	284,043	5,762	290,504	6,291	296,795
Nov-16	281,165	5,704	282,604	5,733	288,337
Dec-16	1,526,535	5,146	352,877	5,425	358,302
Average	-	-	336,868	6,348	343,215
1.5%					5,148
		Net			338,067
5%					16,903

**SHARON H. LIMAYE FOUNDATION
LIST OF CONTRIBUTIONS RECEIVED - 2016**

From	Description	No. Shares	Price	Total \$
Ryan D. Limaye	Stock - ABBV	20,000	62 73	1,254,600
Dilip R. Limaye	Cash	-	-	100,000
<i>Total</i>				<i>1,354,600</i>

LIST OF CONTRIBUTIONS PAID - 2015

TOTAL

Ameritrade Member NASD/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Customer Service: 800-669-3900	Income Summary Statement Account 868699122 SHARON H LIMAYE FOUNDATION ATTN: DILIP R LIMAYE 1001 N. VALLEY ROAD PAOLI, PA 19301	Statement Date: 03/08/2017 CORRECTED Document ID: T6HB 14G 8G48	2016
PAYER'S Federal ID No: 47-0533629	RECIPIENT'S ID No XX-XXX8735		

Summary Information

DIVIDENDS AND DISTRIBUTIONS		Not reported to the IRS*	MISCELLANEOUS INCOME	Not reported to the IRS*
1a- Total ordinary dividends (includes line 1b)	584.60		2- Royalties	0.00
1b- Qualified dividends	321.00		3- Other income	0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00
2c- Section 1202 gain	0.00			
2d- Collectibles (28%) gain	0.00			
3- Nondividend distributions	0.00			
4- Federal income tax withheld	0.00			
5- Investment expenses	0.00			
7- Foreign country or US possession	2.50			
8- Cash liquidation distributions	0.00			
9- Noncash liquidation distributions	0.00			
10- Exempt-interest dividends (includes line 11)	0.00			
11- Specified private activity bond interest dividends (AMT)	0.00			

REGULATED FUTURES CONTRACTS

8- Profit or (loss) realized in 2016 on closed contracts	-71,390.57
9- Unrealized profit or (loss) on open contracts-12/31/2015	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2016	0.00
11- Aggregate profit or (loss) on contracts	-71,390.57

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document

* This statement displays activity for this account which has NOT been reported to the IRS. Any transactions that are being furnished to the IRS are provided on Forms 1099, either packaged with this statement or sent separately. Because the formatting is similar to Forms 1099, we have provided the related instructions with this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the Proceeds not reported to the IRS pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes					
Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed
Short	C (Form 1099-B not received)	1,590,609.33	1,801,802.26	0.00	26,563.62
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	3,079.01	0.00	0.00	0.00
Grand total		1,593,688.34	1,801,802.26	0.00	26,563.62
Withholding		Amount			-184,629.31
Federal income tax withheld		0.00			

Changes to dividend tax classifications processed after your original tax form is issued for 2016 may require an amended tax form

TD AMERITRADE CLEARING INC

Account 868699122

2016

(continued)

03/08/2017 CORRECTED

Summary Information

INTEREST INCOME

Not reported to the IRS

This statement displays activity for this account which has NOT been reported to the IRS. Any transactions that are being furnished to the IRS are provided on Forms 1099, either packaged with this statement or sent separately. Because the formatting is similar to Forms 1099, we have provided the related instructions with this document.

1- Interest income (not included in line 3)	1,832.71	C
2- Early withdrawal penalty	0.00	
3- Interest on US Savings Bonds & Treasury obligations	0.00	
4- Federal income tax withheld	0.00	
5- Investment expenses	0.00	
7- Foreign country or US possession:	0.00	
6- Foreign tax paid:	0.00	
8- Tax-exempt interest (includes line 9)	0.00	
9- Specified private activity bond interest (AMT)	0.00	
10- Market discount (covered lots)	0.00	
11- Bond premium (covered lots)	0.00	
12- Bond premium on Treasury obligations (covered lots)	0.00	
13- Bond premium on tax-exempt bonds (categorized below)	0.00	
Tax-exempt obligations (covered lots)	0.00	
Tax-exempt private activity obligations (AMT, covered lots)	0.00	
14- Tax-exempt and tax credit bond CUSIP number	See detail	

The following amounts of tax-exempt original issue discount are not reported to the IRS.

Tax-exempt original issue discount (includes the line below)	0.00
Tax-exempt original issue discount private activity bonds (AMT)	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2016	0.00
Early withdrawal penalty	0.00
Federal income tax withheld	0.00
Market discount (covered lots)	0.00
Acquisition premium (total for covered lots, categorized below)	0.00
Non Treasury obligations	0.00
Treasury obligations	0.00
Original issue discount on Treasury obligations	0.00
Investment expenses	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ADJUSTMENTS TO INTEREST AND ORIGINAL ISSUE DISCOUNT

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Acquisition premium- Non Treasury obligations (noncovered lots)	0.00
Acquisition premium- Treasury obligations (noncovered lots)	0.00
Acquisition premium- Tax-exempt obligations (all lots)	0.00
Acquisition premium- Tax-exempt obligations (AMT, all lots)	0.00
Market discount (noncovered lots)	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	2,375.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UJT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	3,451.58
Fees & Expenses- Dividends paid on short position	460.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

2016

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
ADVISORSHARES TR GARTMAN GOLD/YEN ETF / CUSIP: 00768Y685 / Symbol: GYEN							
05/10/16	2,000,000	24,349.47	03/29/16	24,809.99	...	-460.52	Sale
BUCKEYE PARTNERS L P UNIT LTD PARTN INITS / CUSIP: 118230101 / Symbol: BPL							
03/03/16	1,000,000	66,241.37	Various	54,365.00	.	11,876.37	Total of 4 transactions
03/08/16	1,000,000	68,228.52	01/25/16	54,364.99	.	13,863.53	Sale
Security total:		134,469.89		108,729.99	...	25,739.90	
CCA INDUSTRIES INC COM / CUSIP: 124867102 / Symbol: CAW							
01/19/16	3,000,000	9,739.78	Various	10,748.93		-1,009.15	Total of 6 transactions
CENTRAL FUND OF CANADA CLOSED END FUND CL A / CUSIP: 153501101 / Symbol: CEF							
05/25/16	1,000,000	12,379.84	Various	11,379.93	..	999.91	Total of 2 transactions
CHEVRON CORP COM / CUSIP: 166764100 / Symbol: CVX							
04/29/16	300,000	30,466.34	06/02/15	30,660.99	...	-194.65	Sale
COCA COLA CO COM / CUSIP: 191216100 / Symbol: KO							
02/02/16	2,000,000	85,161.44	Various	83,949.99	..	1,211.45	Total of 2 transactions
CREDIT SUISSE AG VS 3X LONG CRUDE ETN / CUSIP: 22539T316 / Symbol: UWTIF							
03/14/16	700,000	16,061.65	Various	19,509.48	.	-3,447.83	Sale
CREDIT SUISSE AG VELOCITY 3X LONG SILVER ETN / CUSIP: 22539T597 / Symbol: USLV							
02/10/16	1,000,000	12,449.88	01/12/16	9,670.00	...	2,779.88	Sale
02/23/16	1,000,000	12,850.72	01/12/16	9,669.99	...	3,180.73	Sale
03/08/16	800,000	10,477.86	03/03/16	9,945.99	.	531.87	Sale
Security total:		35,778.46		29,285.98	.	6,492.48	
CREDIT SUISSE VELOCITYSHARES 3X LNG GOLD ETN / CUSIP: 22542D688 / Symbol: UGLD							
02/10/16	1,000,000	10,090.82	01/12/16	7,937.68	.	2,153.14	Sale
02/23/16	1,000,000	11,039.86	01/12/16	7,932.24	.	3,107.62	Sale

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

(continued)

03/08/2017 CORRECTED

2016

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	UGLD (cont'd)	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
CREDIT SUISSE VELOCITYSHARES 3X LNG GOLD ETN / CUSIP 22542D688 / Symbol								
03/08/16	1,000.000	12,260.74	03/03/16	11,918.99		...	341.75	Sale
	Security total:	33,391.42		27,788.91			5,602.51	
DEUTSCHE BANK AG DB GOLD DBLE SHRT ETN / CUSIP 25154H756 / Symbol DZZ								
01/12/16	1,000.000	8,431.35	10/15/15	7,114.99		...	1,316.36	Sale
DIREXION SHARES TRUST EMRG MARKETS BEAR 3X SHRS / CUSIP 25459Y470 / Symbol EDZ								
04/29/16	100.000	3,415.09	08/28/15	4,823.69		...	-1,408.60	Sale
ENCANA CORPORATION COM / CUSIP 292505104 / Symbol ECA								
01/19/16	2,000.000	6,353.89	10/08/15	17,438.98			-11,085.09	Sale
FIDELITY BIOTECH PORTFO / CUSIP: 316390772 / Symbol FBIOX								
02/04/16	44.251	7,446.57	Various	10,456.24		...	-3,009.67	Sale
FREEPORT-MCMORAN INC COM / CUSIP 35671D857 / Symbol FCX								
03/02/16	2,000.000	17,069.63	12/14/15	13,409.99		...	3,659.64	Sale
GENERAL ELECTRIC CO COM / CUSIP 369604103 / Symbol GE								
05/04/16	400.000	12,117.74	04/29/16	12,293.35		...	-175.61	Short sale closed
IMATION CORPORATION 1.10 R/S 2/22/17 377185103 / CUSIP 45245A107 / Symbol.								
01/19/16	5,000.000	5,443.84	Various	10,009.49		...	-4,565.65	Total of 3 transactions
INDIA FD INC COM / CUSIP 454089103 / Symbol IFN								
05/03/16	200.000	4,479.93	01/25/16	4,168.96		...	310.97	Sale
08/11/16	800.000	20,161.57	01/25/16	16,674.73		..	3,486.84	Sale
	Security total	24,641.50		20,843.69		...	3,797.81	
INTERSECTIONS INC COM / CUSIP 460981301 / Symbol INTX								
01/19/16	5,000.000	11,640.29	10/26/15	11,905.56		...	-265.27	Sale

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

(continued)

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
ISHARES 1'2 R/S 11/7/16 46434G848 / CUSIP 464286368 / Symbol 01/19/16	2,000.000	13,890.55	09/21/15	20,789.99	...	-6,899.44	Sale
JP MORGAN CHASE & CO COM / CUSIP 46625H100 / Symbol JPM 08/12/16	500.000	29,499.36	08/09/16	32,897.49	.	-3,398.13	Short sale closed
JUNIPER NETWORKS INC COM / CUSIP 48203R104 / Symbol JNPR 01/19/16	2,000.000	50,949.27	11/04/15	64,569.99	.	-13,620.72	Sale
LUNA INNOVATIONS INC COM / CUSIP 550351100 / Symbol LUNA 01/19/16	2,000.000	1,869.97	11/12/15	2,368.79	.	-498.82	Sale
PROSHARES TRUST SHORT QQQ / CUSIP 74347B714 / Symbol PSQ 01/22/16	1,000.000	56,359.07	Various	52,082.97	.	4,276.10	Sale
PROSHARES ULTRA 7-10 YEAR TREASURY / CUSIP 74347R180 / Symbol UST 04/14/16	1,000.000	61,289.77	02/19/16	59,572.82	.	1,716.95	Sale
08/09/16	1,000.000	63,807.63	Various	59,572.82	...	4,234.81	Total of 2 transactions
Security total:		125,097.40		119,145.64	..	5,951.76	
PROSHARES 1 5 R/S 1/12/17 74347W239 / CUSIP 74347W254 / Symbol 07/25/16	1,600.000	50,316.91	Various	117,033.60	...	-66,716.69	Sale
PROSHARES 1 5 R/S 7/25/16 74347W254 / CUSIP 74347W312 / Symbol 06/27/16	6,000.000	89,939.89	Various	114,218.31	24,278.42 W	0.00	Total of 4 transactions
07/12/16	1,799.000	12,607.17	Various	20,816.23	...	-8,209.06	Total of 2 transactions
07/12/16	701.000	4,907.42	06/28/16	8,111.27	2,285.20 W	-918.65	Sale
Security total:		107,454.48		143,145.81	26,563.62 W	-9,127.71	
SPRINT CORP COM / CUSIP 85207U105 / Symbol S 02/16/16	8,000.000	36,146.64	02/10/16	21,129.19	.	15,017.45	Short sale closed

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

(continued)

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)/loss or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Jan 19 2018 90.0 Call / CUSIP		/ Symbol AAPL 01/19/18 C 90 000					
04/12/16	10.000	26,331.69	01/19/16	20,017.82	...	6,313.87	Option sale
APPLE INC AAPL Apr 29 2016 105.0 Call / CUSIP		/ Symbol AAPL 04/29/16 C 105 000					
04/08/16	10.000	6,102.13	03/29/16	4,987.75	...	1,114.38	Option sale
AMETEK INC AME Sep 16 2016 50.0 Put / CUSIP		/ Symbol AME 09/16/16 P 50.000					
06/27/16	20.000	12,894.26	04/14/16	4,805.46	.	8,088.80	Option sale
AMGEN INC AMGN Sep 16 2016 170.0 Call / CUSIP		/ Symbol AMGN 09/16/16 C 170 000					
09/14/16	50.000	6,201.19	09/12/16	12,448.67	..	-6,247.48	Option sale
AMAZON.COM INC AMZN Jan 20 2017 450.0 Put / CUSIP		/ Symbol AMZN 01/20/17 P 450 000					
08/09/16	14.000	2,079.13	02/25/16	48,720.84	...	-46,641.71	Option sale
DEUTSCHE X-TRACKERS ASHR Oct 21 2016 24 0 Call / CUSIP		/ Symbol ASHR 10/21/16 C 24 000					
07/14/16	60.000	9,663.39	06/30/16	8,036.40	..	1,626.99	Option sale
BOEING CO BA Sep 16 2016 128.0 Call / CUSIP		/ Symbol BA 09/16/16 C 128.000					
09/14/16	50.000	3,851.24	09/12/16	10,348.67		-6,497.43	Option sale
BIOCRYST PHARMACEUTICALS INC BCRX Mar 18 2016 9.0 Call / CUSIP		/ Symbol BCRX 03/18/16 C 9.000					
01/19/16	10.000	522.17	10/09/15	2,069.83	...	-1,547.66	Option sale
BLACKROCK INC BLK Jul 15 2016 270.0 Put / CUSIP		/ Symbol BLK 07/15/16 P 270.000					
07/15/16	20.000	0.00	02/18/16	24,425.50		-24,425.50	Option expiration
CATERPILLAR INC CAT May 20 2016 60.0 Put / CUSIP		/ Symbol CAT 05/20/16 P 60.000					
05/20/16	60.000	0.00	03/14/16	4,856.51	.	-4,856.51	Option expiration
CHECK POINT SOFTWARE TECH CHKP Jul 15 2016 87 5 Call / CUSIP		/ Symbol CHKP 07/15/16 C 87 500					
02/04/16	40.000	9,958.80	01/07/16	10,041.31	..	-82.51	Option sale
CALPINE INC CPN May 20 2016 15.0 Put / CUSIP		/ Symbol CPN 05/20/16 P 15.000					
05/20/16	30.000	656.78	04/07/16	4,203.20		-3,546.42	Option sale

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

2016

(continued)

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CREE INC CREE Feb 26 2016 28.0 Call / CUSIP	20 000	Symbol CREE 02/26/16 C 28.000	5,874.37	02/10/16	4,465.50	1,408.87	Option sale
CENTURYLINK INC CTL Jan 20 2017 28.0 Put / CUSIP	40,000	Symbol CTL 01/20/17 P 28.000	10,958.83	02/19/16	15,641.01	-4,682.18	Option sale
POWERSHARES DB COMM IND FD DBC Jul 15 2016 13.0 Call / CUSIP	20 000	Symbol DBC 07/15/16 C 13.000	1,314.47	12/08/15	3,225.65	-1,911.18	Option sale
DEERE & CO DE Sep 16 2016 75.0 Put / CUSIP	20 000	Symbol DE 09/16/16 P 75.000	2,334.49	04/14/16	8,325.46	-5,990.97	Option sale
DOVER CORP DOV Jun 17 2016 65.0 Put / CUSIP	30,000	Symbol DOV 06/17/16 P 65.000	30,566.18	11/25/15	16,503.48	14,062.70	Option sale
PROSHARES DXD Jan 15 2016 25.0 Call / CUSIP	20,000	Symbol DXD 01/15/16 C 25.000	0.00	09/02/15	5,125.65	-5,125.65	Option expiration
WISDOMTREE DXJ Jan 20 2017 40.0 Put / CUSIP	30,000	Symbol DXJ 01/20/17 P 40.000	5,426.68	03/15/16	8,343.25	-2,916.57	Option sale
ENCANA CORPORATION ECA Apr 15 2016 11.0 Call / CUSIP	20 000	Symbol ECA 04/15/16 C 11.000	983.66	01/19/16	0.00	983.66	Short sale closed-option
ISHARES ECH May 20 2016 29.0 Put / CUSIP	100 000	Symbol ECH 05/20/16 P 29.000	0.00	01/29/16	14,588.29	-14,588.29	Option expiration
PROSHARES EUO Mar 18 2016 25.0 Call / CUSIP	100,000	Symbol EUO 03/18/16 C 25.000	0.00	02/08/16	4,487.52	-4,487.52	Option expiration
PROSHARES EUO Apr 15 2016 25.0 Call / CUSIP	100 000	Symbol EUO 04/15/16 C 25.000	0.00	02/29/16	11,187.52	-11,187.52	Option expiration
PROSHARES EUO Jan 20 2017 28.0 Call / CUSIP	50 000	Symbol EUO 01/20/17 C 28.000	2,501.27	12/23/15	8,049.14	-5,547.87	Option sale

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

(continued)

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
ISHARES EWJ Jan 20 2017 11.0 Put / CUSIP: / Symbol EWJ 01/20/17 P 11.000							
04/29/16	60.000	3,843.51	03/24/16	4,916.51	..	-1,073.00	Option sale
ISHARES EWM1 Apr 15 2016 11.0 Put / CUSIP: / Symbol EWM1 04/15/16 P 11.000							
04/15/16	40.000	0.00	11/10/15	6,641.31	..	-6,641.31	Option expiration
ISHARES EWT Jan 20 2017 13.0 Put / CUSIP / Symbol EWT 01/20/17 P 13.000							
08/09/16	50.000	951.31	02/19/16	8,298.76	..	-7,347.45	Option sale
ISHARES TRUST EWU Jul 15 2016 17.5 Call / CUSIP / Symbol EWU 07/15/16 C 17.500							
07/15/16	50.000	0.00	06/22/16	858.67	.	-858.67	Option expiration
ISHARES TRUST EWU Jul 15 2016 14.0 Put / CUSIP / Symbol EWU 07/15/16 P 14.000							
07/15/16	50.000	0.00	06/22/16	1,228.68	..	-1,228.68	Option expiration
ISHARES EWZ Oct 21 2016 35.0 Put / CUSIP: / Symbol EWZ 10/21/16 P 35.000							
09/13/16	100.000	30,911.98	09/08/16	12,887.34	..	18,024.64	Option sale
FASTENAL CO FAST Jan 20 2017 40.0 Put / CUSIP: / Symbol FAST 01/20/17 P 40.000							
08/09/16	10.000	1,782.23	02/02/16	5,457.75	..	-3,675.52	Option sale
FACEBOOK INC FB Jan 20 2017 90.0 Put / CUSIP: / Symbol FB 01/20/17 P 90.000							
02/11/16	50.000	47,250.36	Various	32,849.13	..	14,401.23	Option sale
FREEPORT-MCMORAN INC FCX Mar 18 2016 6.0 Call / CUSIP / Symbol FCX 03/18/16 C 6.000							
02/05/16	20.000	-251.03	02/04/16	0.00	..	-251.03	Short sale closed- option
FREEPORT-MCMORAN INC FCX Mar 18 2016 7.0 Call / CUSIP / Symbol FCX 03/18/16 C 7.000							
03/03/16	20.000	-2,591.04	03/02/16	0.00	..	-2,591.04	Short sale closed- option
FOUNDATION MEDICINE INC FMI Aug 19 2016 20.0 Call / CUSIP / Symbol FMI 08/19/16 C 20.000							
04/29/16	10.000	1,282.24	03/04/16	2,462.75	..	-1,180.51	Option sale
05/10/16	10.000	1,382.23	03/04/16	2,462.75	..	-1,080.52	Option sale
Security total		2,664.47		4,925.50		-2,261.03	

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

2016

(continued)

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
CURRENCYSHARES FXB Jan 20 2017 140.0 Put / CUSIP: / Symbol: FXB 01/20/17 P 140.000	20.000	18,995.12	Various	15,135.46	...	3,859.66	Total of 3 transactions
06/24/16							
SPDR GOLD TR GOLD SHS GLD May 20 2016 118.0 Put / CUSIP: / Symbol: GLD 05/20/16 P 118.000	20.000	0.00	03/08/16	5,545.50	..	-5,545.50	Option expiration
05/20/16							
SPDR GOLD TR GOLD SHS GLD Jan 19 2018 120.0 Call / CUSIP: / Symbol: GLD 01/19/18 C 120.000	40.000	51,557.86	02/16/16	48,441.01	...	3,116.85	Option sale
02/23/16							
MARINEMAX INC HZO Oct 21 2016 17.5 Put / CUSIP: / Symbol: HZO 10/21/16 P 17.500	20.000	674.52	05/18/16	6,025.46	..	-5,350.94	Option sale
08/09/16							
INTL BUSINESS MACHINES IBM Jan 20 2017 140.0 Put / CUSIP: / Symbol: IBM 01/20/17 P 140.000	15.000	3,743.32	04/29/16	14,421.60	..	-10,678.28	Option sale
08/09/16							
ISHARES IYT Mar 18 2016 145.0 Put / CUSIP: / Symbol: IYT 03/18/16 P 145.000	20.000	40,373.60	10/27/15	13,825.65	..	26,547.95	Option sale
01/12/16							
JUNIPER NETWORKS INC JNPR Feb 19 2016 28.0 Call / CUSIP: / Symbol: JNPR 02/19/16 C 28.000	20.000	1,368.66	01/19/16	0.00	...	1,368.66	Short sale closed- option
01/20/16							
SPDR KBE Sep 16 2016 30.0 Put / CUSIP: / Symbol: KBE 09/16/16 P 30.000	55.000	0.00	Various	24,252.63	..	-24,252.63	Option expiration
09/16/16							
COCA COLA CO KO Mar 18 2016 43.0 Call / CUSIP: / Symbol: KO 03/18/16 C 43.000	20.000	-171.21	Various	0.00	...	-171.21	Total of 2 transactions
02/03/16							
COCA COLA CO KO Mar 18 2016 39.0 Put / CUSIP: / Symbol: KO 03/18/16 P 39.000	20.000	914.33	01/19/16	1,025.65	...	-111.32	Option sale
01/19/16							
THE MOSAIC CO MOS Jan 15 2016 30.0 Call / CUSIP: / Symbol: MOS 01/15/16 C 30.000	30.000	0.00	12/07/15	6,273.48	.	-6,273.48	Option expiration
01/15/16							

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

(continued)

03/08/2017 CORRECTED

2016

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
NEWMONT MINING CORP NEM Mar 18 2016 17.0 Put / CUSIP			/ Symbol NEM 03/18/16 P 17.000				
02/01/16	50.000	1,851.20	01/26/16	4,849.14		-2,997.94	Option sale
NETFLIX.COM INC NFLX Jan 20 2017 70.0 Put / CUSIP			/ Symbol NFLX 01/20/17 P 70.000				
08/09/16	10.000	2,152.22	02/11/16	10,017.75		-7,865.53	Option sale
NORTHROP GRUMMAN CORP NOC Jan 20 2017 200.0 Call / CUSIP			/ Symbol NOC 01/20/17 C 200.000				
08/09/16	15.000	30,725.74	Various	16,746.74	..	13,979.00	Total of 2 transactions
VANECK VECTORS OIH Jul 15 2016 25.0 Call / CUSIP			/ Symbol. OIH 07/15/16 C 25.000				
04/07/16	20.000	4,354.44	02/05/16	4,145.50		208.94	Option sale
VANECK VECTORS OIH Jul 15 2016 30.0 Call / CUSIP			/ Symbol OIH 07/15/16 C 30.000				
04/08/16	20.000	299.00	04/07/16	0.00		299.00	Short sale closed- option
VANECK VECTORS OIH Aug 26 2016 30.0 Put / CUSIP			/ Symbol OIH 08/26/16 P 30.000				
08/09/16	30.000	4,736.70	07/20/16	5,373.20	..	-636.50	Option sale
PANERA BREAD CO PNRA Jan 20 2017 200.0 Put / CUSIP			/ Symbol PNRA 01/20/17 P 200.000				
08/09/16	4.000	2,386.86	03/24/16	7,093.10		-4,706.24	Option sale
POST HOLDINGS INC POST Sep 16 2016 70.0 Put / CUSIP			/ Symbol POST 09/16/16 P 70.000				
06/27/16	16.000	3,817.55	05/05/16	10,582.37	..	-6,764.82	Option sale
PROSHARES TRUST PSQ Apr 15 2016 52.0 Call / CUSIP			/ Symbol: PSQ 04/15/16 C 52.000				
02/11/16	50.000	38,908.52	Various	12,299.14		26,609.38	Total of 2 transactions
PROSHARES TRUST PSQ Apr 15 2016 58.0 Call / CUSIP			/ Symbol: PSQ 04/15/16 C 58.000				
04/15/16	50.000	0.00	02/19/16	9,998.76		-9,998.76	Option expiration
PROSHARES QID Sep 16 2016 25.0 Call / CUSIP			/ Symbol. QID 09/16/16 C 25.000				
09/13/16	200.000	18,434.90	08/25/16	17,764.69		670.21	Option sale

TD AMERITRADE CLEARING INC

Proceeds Not Reported to the IRS

2016

(continued)

Account 868699122

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
PROSHARES QID Oct 21 2016 25.0 Call / CUSIP		/ Symbol QID 10/21/16 C 25 000					
10/21/16	200.000	35.31	09/21/16	14,964.69	.	-14,929.38	Option sale
ROYAL GOLD INC RGLD Jan 19 2018 35.0 Call / CUSIP		/ Symbol: RGLD 01/19/18 C 35.000					
02/23/16	20.000	31,573.81	01/12/16	13,345.65	..	18,228.16	Option sale
REAL NETWORKS INC RNWK May 20 2016 2.5 Call / CUSIP		/ Symbol RNWK 05/20/16 C 2 500					
04/29/16	30.000	5,066.68	11/04/15	6,033.48	...	-966.80	Option sale
VANECK VECTORS RSX Jan 19 2018 12.0 Call / CUSIP		/ Symbol RSX 01/19/18 C 12.000					
03/15/16	50.000	24,250.71	01/26/16	16,849.14	.	7,401.57	Option sale
PROSHARES SH Jan 15 2016 21.0 Call / CUSIP		/ Symbol SH 01/15/16 C 21 000					
01/07/16	150.000	12,622.32	12/15/15	6,877.44	..	5,744.88	Option sale
ISHARES SLV Jan 15 2016 14.0 Call / CUSIP		/ Symbol SLV 01/15/16 C 14 000					
01/15/16	50.000	0.00	11/30/15	1,549.14	..	-1,549.14	Option expiration
SCOTTS MIRACLE-GRO CO SMG Sep 16 2016 70.0 Put / CUSIP		/ Symbol SMG 09/16/16 P 70 000					
09/16/16	20.000	0.00	03/31/16	7,025.50	...	-7,025.50	Option expiration
VANECK VECTORS SMH Oct 21 2016 65.0 Put / CUSIP		/ Symbol SMH 10/21/16 P 65 000					
09/09/16	100.000	21,512.19	09/07/16	11,587.34	...	9,924.85	Option sale
SONY CORP SNE Jan 20 2017 25.0 Put / CUSIP		/ Symbol SNE 01/20/17 P 25.000					
05/03/16	16.000	5,497.50	04/29/16	5,782.37	...	-284.87	Option sale
ISHARES TLT Jan 19 2018 135.0 Call / CUSIP		/ Symbol TLT 01/19/18 C 135 000					
05/20/16	15.000	9,053.20	03/02/16	9,681.62	.	-628.42	Option sale
TETRAPHASE PHARMACEUTICALS INC TTPH May 20 2016 12.5 Call / CUSIP		/ Symbol: TTPH 05/20/16 C 12.500					
05/20/16	20.000	0.00	09/21/15	7,125.65		-7,125.65	Option expiration
ISHARES TUR Aug 19 2016 30.0 Put / CUSIP		/ Symbol TUR 08/19/16 P 30 000					
08/19/16	30.000	0.00	01/29/16	9,933.48	.	-9,933.48	Option expiration

TD AMERITRADE CLEARING INC

Account 868699122

Proceeds Not Reported to the IRS

(continued)

03/08/2017 CORRECTED

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
DIREXION SHS TZA Jan 15 2016 42.0 Call / CUSIP 01/08/16	20 000	Symbol TZA 01/15/16 C 42.000 27,473.84	11/02/15	10,125.65	..	17,348.19	Option sale
DIREXION SHS TZA Apr 15 2016 59.0 Call / CUSIP 04/15/16	40 000	Symbol TZA 04/15/16 C 59.000 0.00	02/24/16	21,441.01	..	-21,441.01	Option expiration
WPP PLC WPPGY Feb 17 2017 105.0 Put / CUSIP 08/09/16	10 000	Symbol WPPGY 02/17/17 P 105.000 6,282.13	07/12/16	7,617.73	.	-1,335.60	Option sale
SPDR XHB Jun 17 2016 34.0 Put / CUSIP 05/05/16	40 000	Symbol XHB 06/17/16 P 34.000 5,198.95	05/04/16	5,640.93	..	-441.98	Option sale
SELECT SECTOR SPDR TRUST XLP May 20 2016 52.0 Put / CUSIP 05/20/16	50 000	Symbol XLP 05/20/16 P 52 000 0.00	03/24/16	4,798.76	..	-4,798.76	Option expiration
SELECT SECTOR SPDR TRUST XLY Jan 20 2017 70.0 Put / CUSIP 05/05/16	30 000	Symbol XLY 01/20/17 P 70.000 6,506.65	02/23/16	13,683.25	..	-7,176.60	Option sale
XPO LOGISTICS INC XPO Jan 19 2018 25.0 Put / CUSIP 08/09/16	14 000	Symbol XPO 01/19/18 P 25 000 4,519.07	Various	8,700.82	..	-4,181.75	Total of 2 transactions
SPDR XRT Sep 16 2016 44.0 Put / CUSIP 05/12/16	30 000	Symbol XRT 09/16/16 P 44 000 11,096.55	03/08/16	7,743.25	.	3,353.30	Option sale
YAHOO! INC YHOO Jan 29 2016 33.5 Put / CUSIP 01/07/16	40 000	Symbol YHOO 01/29/16 P 33 500 14,298.41	Various	5,161.31	.	9,137.10	Total of 2 transactions
PROSHARES ZSL May 20 2016 50.0 Put / CUSIP 03/24/16	20 000	Symbol ZSL 05/20/16 P 50.000 7,774.32	03/08/16	11,025.50	...	-3,251.18	Option sale
Totals:		1,590,609.33		1,801,802.26	26,563.62 W	-184,629.31	

TD AMERITRADE CLEARING INC

Proceeds Not Reported to the IRS

(continued)

Account 868699122

03/08/2017 CORRECTED

2016

UNDETERMINED TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
BANC OF AMERICA FUNDING CORP 2007-4 2-A-15 / CUSIP: 05953YBL4 / Symbol							
12/31/15	0.000	141.47	N/A	..	..	..	Principal payment
01/29/16	0.000	354.41	N/A	..	..	..	Principal payment
02/29/16	0.000	372.26	N/A	..	..	..	Principal payment
03/31/16	0.000	346.65	N/A	..	..	..	Principal payment
04/29/16	0.000	188.33	N/A	..	..	..	Principal payment
05/31/16	0.000	321.24	N/A	..	..	..	Principal payment
06/30/16	0.000	211.69	N/A	..	..	..	Principal payment
07/29/16	0.000	333.51	N/A	..	..	..	Principal payment
08/31/16	0.000	155.44	N/A	..	..	..	Principal payment
09/30/16	0.000	158.74	N/A	..	..	..	Principal payment
10/31/16	0.000	195.48	N/A	..	..	..	Principal payment
11/30/16	0.000	299.79	N/A	..	..	..	Principal payment
	Security total	3,079.01		..	..	..	
	Totals:	3,079.01		..	..	..	

TD AMERITRADE CLEARING INC

Account 868699122

Detail for Dividends and Distributions

03/08/2017 CORRECTED

2016

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CENTRAL FUND OF CANADA CLOSED END FUND CL A	153501101	CEF	11/14/16	10.00	Nonqualified dividend	
			11/14/16	-2.50	Foreign tax withheld-CA	
				10.00	Total Dividends & distributions	
				-2.50	Total Foreign tax withheld	
CHEVRON CORP COM	166764100	CVX	03/10/16	321.00	Qualified dividend	
PROSHARES ULTRA 7-10 YEAR TREASURY	74347R180	UST	04/01/16	175.86	Nonqualified dividend	
			06/30/16	77.74	Nonqualified dividend	
				253.60	Total Dividends & distributions	
				584.60	Total Dividends & distributions	
				-2.50	Total Foreign tax withheld	

TD AMERITRADE CLEARING INC

Account 868699122

Detail for Interest Income

2016

03/08/2017 CORRECTED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		06/30/16	0.01	Credit interest	
		08/31/16	0.08	Credit interest	
			0.09	Total Interest	
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	01/31/16	0.12	Interest- money mkt	
		01/31/16	0.05	Interest- money mkt	
		02/29/16	0.20	Interest- money mkt	
		06/30/16	0.01	Interest- money mkt	
		08/31/16	0.84	Interest- money mkt	
		09/30/16	1.80	Interest- money mkt	
		10/31/16	1.63	Interest- money mkt	
		11/30/16	1.43	Interest- money mkt	
		12/31/16	1.37	Interest- money mkt	
			7.45	Total Interest	
BANC OF AMERICA FUNDING CORP 2007-4 2-A-15	05953YBL4	01/25/16	84.27	Interest	C
		02/25/16	83.40	Interest	C
		03/25/16	81.48	Interest	C
		04/25/16	79.56	Interest	C
		05/25/16	77.76	Interest	C
		06/25/16	76.75	Interest	C
		07/25/16	74.75	Interest	C
		08/25/16	73.35	Interest	C
		09/25/16	71.68	Interest	C
		10/25/16	70.75	Interest	C
		11/25/16	69.96	Interest	C
		12/25/16	68.96	Interest	C
			912.67	Total Interest	
GYMBOREE CORP SENIOR NOTE CALLABLE MW	403777AB1	06/01/16	456.25	Interest	
		12/01/16	456.25	Interest	
			912.50	Total Interest	
			1,832.71	Total Interest	

TD AMERITRADE CLEARING INC

Account 868699122

Regulated Futures Contracts

2016

03/08/2017 CORRECTED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
PROSHARES UCO Apr 15 2016 12.0 Call	UCO 04/15/16 C 12.000	03/21/16	30.00	-6,846.76	Realized futures profit/loss	
UNITED STATES OIL FUND LP USO Mar 18 2016 8.0 Call	USO 03/18/16 C 8.000	03/18/16	20.00	-951.26	Realized futures profit/loss	
UNITED STATES OIL FUND LP USO Mar 18 2016 11.0 Call	USO 03/18/16 C 11.000	03/18/16	-20.00	1,468.80	Realized futures profit/loss	
UNITED STATES OIL FUND LP USO Sep 16 2016 11.0 Call	USO 09/16/16 C 11.000	08/26/16	200.00	-4,329.49	Realized futures profit/loss	
PROSHARES UVXY Jan 15 2016 39.0 Call	UVXY 01/15/16 C 39.000	01/11/16	20.00	-9,871.55	Realized futures profit/loss	
PROSHARES UVXY Mar 18 2016 30.0 Call	UVXY 03/18/16 C 30.000	01/11/16	30.00	27,682.02	Realized futures profit/loss	
PROSHARES UVXY Mar 18 2016 55.0 Call	UVXY 03/18/16 C 55.000	03/18/16	40.00	-40,641.01	Realized futures profit/loss	
PROSHARES UVXY Apr 15 2016 44.0 Call	UVXY 04/15/16 C 44.000	04/04/16	40.00	-31,721.95	Realized futures profit/loss	
PROSHARES UVXY Jun 17 2016 44.0 Call	UVXY 06/17/16 C 44.000	04/04/16	30.00	-32,286.54	Realized futures profit/loss	
PROSHARES UVXY Jun 17 2016 45.0 Call	UVXY 06/17/16 C 45.000	01/25/16	6.00	-1,064.84	Realized futures profit/loss	
		01/25/16	10.00	-1,786.72	Realized futures profit/loss	
				-2,851.56	Security Total	
PROSHARES UVXY Sep 16 2016 40.0 Call	UVXY 09/16/16 C 40.000	02/12/16	40.00	41,405.45	Realized futures profit/loss	
PROSHARES UVXY Jan 20 2017 30.0 Call	UVXY 01/20/17 C 30.000	01/11/16	20.00	17,647.72	Realized futures profit/loss	
BACLAYS BANK VXX Jan 20 2017 22.0 Call	VXX 01/20/17 C 22.000	01/15/16	-30.00	12,362.27	Realized futures profit/loss	
BACLAYS BANK VXX1 Jan 20 2017 20.0 Call	VXX1 01/20/17 C 20.000	09/14/16	30.00	-42,456.71	Realized futures profit/loss	
				-71,390.57	Total Regulated Futures Contracts	

TD AMERITRADE CLEARING INC

Other Receipts and Reconciliations

Account 868699122

2016

03/08/2017 CORRECTED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
BUCKEYE PARTNERS L P UNIT LTD PARTN INITs	118230101 BPL	03/01/16	2,375.00	Partnership distribution	

2,375.00 Total Partnership distribution

TD AMERITRADE CLEARING INC

Fees and Expenses

Account 868699122

03/08/2017 CORRECTED

2016

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/29/16	-283.70	Margin interest paid	
		02/29/16	-510.27	Margin interest paid	
		03/31/16	-679.32	Margin interest paid	
		04/29/16	-685.09	Margin interest paid	
		05/31/16	-544.85	Margin interest paid	
		06/30/16	-367.50	Margin interest paid	
		07/29/16	-363.69	Margin interest paid	
		08/31/16	-17.16	Margin interest paid	
			-3,451.58	Total Margin interest paid	
JP MORGAN CHASE & CO COM	46625H100 JPM	04/30/16	-220.00	Dividend paid- short	
		07/31/16	-240.00	Dividend paid- short	
			-460.00	Total Dividend paid- short	
			-3,451.58	Total Margin interest paid	
			-460.00	Total Dividend paid- short	

TD AMERITRADE CLEARING INC

Account 868699122

Mutual Fund and UIT Supplemental Information

2016

03/08/2017 CORRECTED

PROSHARES ULTRA 7-10 YEAR TREASURY / 74347R180 / UST

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

95.13% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	95.13	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

TD AMERITRADE CLEARING INC

Account 868699122

Foreign Income and Taxes Summary

03/08/2017 CORRECTED

2016

This schedule lists all income and foreign tax by country. Foreign withholding from mutual funds is listed in a separate section with a country designation of "RIC."

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
CA	CENTRAL FUND OF CANADA CLOSED END FUND CL A	153501101	10.00	0.00	0.00	10.00	-2.50
Total CANADA			10.00	0.00	0.00	10.00	-2.50
Grand Total	Total Foreign Source Income*			10.00			
	Qualified Foreign Source Income**			0.00			
	Foreign Tax			-2.50			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied