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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

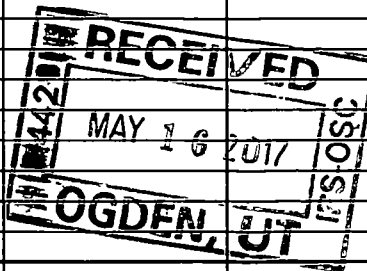
, and ending

Name of foundation FLEMING FOUNDATION		A Employer identification number 23-2585510
Number and street (or P O box number if mail is not delivered to street address) 7953 CROW ROAD	Room/suite	B Telephone number 6107370888
City or town, state or province, country, and ZIP or foreign postal code ZIONSVILLE, PA 18092		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,096,862.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		3,048,807.		N/A	
2 Check ☒ if the foundation is not required to attach Sch B interest on savings and temporary cash investments					
3 Dividends and interest from securities		886,051.	886,051.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		323,582.			
b Gross sales price for all assets on line 6a 6,620,610.					
7 Capital gain net income (from Part IV, line 2)			323,582.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		650,483.	650,483.		STATEMENT 2
12 Total. Add lines 1 through 11		4,908,923.	1,860,116.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		9,300.	9,300.		0.
c Other professional fees STMT 4		40,795.	40,795.		0.
17 Interest					
18 Taxes STMT 5		8,275.	8,275.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		58,370.	58,370.		0.
25 Contributions, gifts, grants paid		445,400.			445,400.
26 Total expenses and disbursements. Add lines 24 and 25		503,770.	58,370.		445,400.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		4,405,153.			
b Net investment income (if negative, enter -0-)			1,801,746.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	308,171.	2,025,214.	2,025,214.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7		8,530,617.	10,500,329.	10,071,648.
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 8)		<718,398.>	<428,681.>	0.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		8,120,390.	12,096,862.	12,096,862.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	8,120,390.	12,096,862.	
30 Total net assets or fund balances	8,120,390.	12,096,862.		
31 Total liabilities and net assets/fund balances	8,120,390.	12,096,862.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,120,390.
2 Enter amount from Part I, line 27a	2	4,405,153.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,525,543.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	428,681.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,096,862.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 6,620,610.		6,297,028.	323,582.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			323,582.

2 Capital gain, net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	323,582.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	443,475.	8,473,506.	.052337
2014	420,735.	9,074,714.	.046363
2013	370,839.	8,596,823.	.043137
2012	382,063.	7,468,573.	.051156
2011	357,825.	7,813,065.	.045798

2 Total of line 1, column (d)	2	.238791
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047758
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	10,204,668.
5 Multiply line 4 by line 3	5	487,355.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	18,017.
7 Add lines 5 and 6	7	505,372.
8 Enter qualifying distributions from Part XII, line 4	8	445,400.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	36,035.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	36,035.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	36,035.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	7,665.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	7,665.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	28,370.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>PA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of ROBERT L GORMAN Telephone no. (215) 627-4440		
Located at 901 SPRUCE STREET, PHILADELPHIA, PA ZIP+4 19107		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No		
If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHLEEN ARNOLD	TRUSTEE			
7953 CROW ROAD				
ZIONSVILLE, PA 18092	5.00	0.	0.	0.
ROBERT L GORMAN	TRUSTEE			
55 COVE ROAD				
MOORESTOWN, NJ 08057	5.00	0.	0.	0.
MARYANNE CORBETT GORMAN	TRUSTEE			
55 COVE ROAD				
MOORESTOWN, NJ 08057	5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,494,921.
b	Average of monthly cash balances	1b	865,148.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	10,360,069.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,360,069.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	155,401.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,204,668.
6	Minimum investment return. Enter 5% of line 5	6	510,233.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	510,233.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	36,035.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	36,035.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	474,198.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	474,198.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	474,198.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	445,400.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	445,400.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	445,400.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				474,198.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			421,277.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 445,400.				
a Applied to 2015, but not more than line 2a			421,277.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				24,123.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (if an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				450,075.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALLENTOWN RESCUE MISSION 355 HAMILTON ST ALLENTOWN, PA 18103	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 2500	3,500.
ALZHEIMERS ASSOCIATION 399 MARKET ST - SUITE 102 PHILADELPHIA, PA 19106	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1500	5,000.
AMERICAN RED CROSS OF THE GLV 2200 AVENUE A BETHLEHEM, PA 18017	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1500	2,500.
ANIMAL MEDICAL CENTER 25 WEST THIRD AVENUE TRAPPE, PA 19426	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
ANIMAL RESCUE LEAGUE OF BERKS COUNTY PO BOX 69 MOHNTON, PA 19540	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 250	2,500.
Total			SEE CONTINUATION SHEET(S)	3a 445,400.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
	Signature of officer or trustee <i>Kathleen Arnold</i>	Date <i>5/1/17</i>	Title <i>Trustee</i>	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	ROBERT L. GORMAN	<i>Robert Gorman</i>	5/4/17		P00145960
	Firm's name ▶ GORMAN & GORMAN, PC			Firm's EIN ▶ 23-2219882	
	Firm's address ▶ 901 SPRUCE STREET PHILADELPHIA, PA 19107			Phone no. 215 627-4440	

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

FLEMING FOUNDATION

Employer identification number

23-2585510

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization FLEMING FOUNDATION	Employer identification number 23-2585510
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF RICHARD FLEMING 7953 CROW ROAD ZIONSVILLE, PA 18092	\$ 3,048,807.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

FLEMING FOUNDATION

23-2585510

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	18,000 SHARES - AIR PRODUCTS & CHEMICALS INC - \$155.73 PER SHARE	\$ 2,803,140.	08/22/16
1	1000 SHARES - NGL ENERGY PARTNERS LP - \$8.355 PER SHARE	\$ 8,355.	02/19/16
1	2000 SHARES - CALAMP CORP - \$17.71 PER SHARE	\$ 35,420.	02/19/16
1	2065 SHARES - CHEVRON CORP - \$85.67 PER SHARE	\$ 178,622.	02/19/16
1	2000 SHARES - PDL BIOPHARMA INC - \$3.025 PER SHARE	\$ 6,050.	02/19/16
		\$	

Name of organization

Employer identification number

FLEMING FOUNDATION

23-2585510

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FLEMING FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO ADVISORS - XXX-6049	P	VARIOUS	VARIOUS
b	WELLS FARGO ADVISORS - XXX-6049	P	VARIOUS	VARIOUS
c	WELLS FARGO ADVISORS - XXX-6049	P	02/04/10	05/12/16
d	WELLS FARGO ADVISORS - XXX-6049	P	VARIOUS	VARIOUS
e	WELLS FARGO ADVISORS - XXX-9685	P	VARIOUS	VARIOUS
f	WELLS FARGO ADVISORS - XXX-9685	P	VARIOUS	VARIOUS
g	WELLS FARGO ADVISORS - XXX-9685	P	VARIOUS	VARIOUS
h	WELLS FARGO ADVISORS - XXX-9677	P	VARIOUS	VARIOUS
i	WELLS FARGO ADVISORS - XXX-9677	P	VARIOUS	VARIOUS
j	WELLS FARGO ADVISORS - XXX-9677	P	VARIOUS	VARIOUS
k	WELLS FARGO ADVISORS - XXX-9673	P	VARIOUS	VARIOUS
l	WELLS FARGO ADVISORS - XXX-9673	P	VARIOUS	VARIOUS
m	WELLS FARGO ADVISORS - XXX-7759	P	VARIOUS	VARIOUS
n	WELLS FARGO ADVISORS - XXX-7759	P	VARIOUS	VARIOUS
o	WELLS FARGO ADVISORS - XXX-7759	P	06/19/06	01/25/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 398,956.		453,459.	<54,503.>
b 481,946.		509,877.	<27,931.>
c 4,142.		2,191.	1,951.
d 23,152.		35,171.	<12,019.>
e 161,738.		169,810.	<8,072.>
f 452,923.		670,545.	<217,622.>
g 223,129.		157,404.	65,725.
h 252,650.		246,040.	6,610.
i 352,624.		330,666.	21,958.
j 9,739.		8,440.	1,299.
k 2,404,714.		2,106,432.	298,282.
l 1,142,809.		1,077,593.	65,216.
m 134,654.		140,270.	<5,616.>
n 454,410.		308,910.	145,500.
o 347.		151.	196.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<54,503.>
b			<27,931.>
c			1,951.
d			<12,019.>
e			<8,072.>
f			<217,622.>
g			65,725.
h			6,610.
i			21,958.
j			1,299.
k			298,282.
l			65,216.
m			<5,616.>
n			145,500.
o			196.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO ADVISORS - XXX-7759	P	VARIOUS	VARIOUS
b	TD AMERITRADE	P	VARIOUS	VARIOUS
c	CAPITAL GAINS DIVIDENDS			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 33,922.		19,649.	14,273.
b 54,389.		60,420.	<6,031.>
c 34,366.			34,366.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			14,273.
b			<6,031.>
c			34,366.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	323,582.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FLEMING FOUNDATION

23-2585510

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BACH CHOIR OF BETHLEHEM 423 HECKEWELDER PLACE BETHLEHEM, PA 18018	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 500	1,000.
BEST FRIENDS ANIMAL SOCIETY 307 WEST BROADWAY NEW YORK, NY 10013	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
BRIGHT FOCUS FOUNDATION 22512 GATEWAY CENTER DRIVE CLARKSBURG, MD 20871	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 170	3,000.
CACLV 1337 EAST FIFTH STREET BETHLEHEM, PA 18015	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	10,000.
CANCER SUPPORT COMMUNITY OF GLV 210 E. BROAD STREET - SUITE 202 FALLS CHURCH, VA 22046	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 300 ;LISTTOTAL 750	2,500.
CANINE PARTNERS FOR LIFE PO BOX 170 COCHRANVILLE, PA 19330	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1000	2,500.
DISABLED AMERICAN VETERANS CHARITABLE SERVICE TRUST 3725 ALEXANDRIA PIKE COLD SPRING, KY 41076	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 500	5,000.
DOGS FOR THE DEAF 10175 WHEELER ROAD CENTRAL POINT, OR 97502	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 500	2,500.
EQUI-LIBRIUM PO BOX 305 SCIOTA, PA 18354	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 500	5,000.
GENTLE GIANTS DRAFT HORSE RESCUE 17250 OLD FREDERICK ROAD MT AIRY, MD 21771	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 500	2,500.
Total from continuation sheets				426,900.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOOD SHEPARD REHAB HOSPITAL 850 SOUTH 5TH STREET ALLENTOWN, PA 18105	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1500	100,000.
LEHIGH VALLEY HOSPITAL 1247 S. CEDAR CREST BLVD - SUITE 200 ALLENTOWN, PA 18103	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1000	201,400.
MACUNGIE AMBULANCE CORPS 5550 NORTH WALNUT STREET MACUNGIE, PA 18062	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	1,000.
MAIN LINE ANIMAL RESCUE	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
MEALS ON WHEELS OF LEHIGH COUNTY 4234 DORNEY PARK ROAD ALLENTOWN, PA 18104	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1000	1,500.
MILLER KEYSTONE BLOOD CENTER 1255 S. CEDAR CREST BLVD - SUITE 1300 ALLENTOWN, PA 198103	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND CONTRIBUTION TO GENERAL FUND	2,500.
PUPPIES BEHIND BARS 263 W. 38TH STREET NEW YORK, NY 10018	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 150	3,000.
RAILS TO TRAILS CONSERVANCY 2121 WARD COURT, NW, 5TH FLOOR WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	2,500.
RYERSS FARM FOR AGED EQUINES 1710 RIDGE ROAD POTTSTOWN, PA 19465	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 750	2,500.
SACRED HEART FOUNDATION	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
Total from continuation sheets				

FLEMING FOUNDATION

23-2585510

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SDWR - SERVICE DOGS BY WARREN RETRIEVERS	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
SEMPER FI FUND	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
THE CAT SHACK INC PO BOX 950 TREXLETTOWN, PA 18187	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 1625	2,500.
THE LEADER IN ME / LEHIGH VALLEY 612 HAMILTON STREET - SUITE 202B ALLENTOWN, PA 18101	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 100	15,000.
THE SALVATION ARMY 144 NORTH 8TH STREET ALLENTOWN, PA 18102	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 15100	15,000.
UNITED WAY OF GREATER LEHIGH VALLEY 2200 AVENUE A - THIRD FLOOR BETHLEHEM, PA 18017	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 15060	15,000.
UPPER MILFORD WESTERN DISTRICT FIRE CO 4093 MAIN ROAD WEST EMMAUS, PA 18049	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND ;LISTTOTAL 500	1,000.
WILDLANDS CONSERVANCY 3701 ORCHID PLACE EMMAUS, PA 18049	N/A	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RICHARD FLEMING ESTATE	625,320.	0.	625,320.	625,320.	
TD AMERITRADE	2,431.	0.	2,431.	2,431.	
WELLS FARGO ADVISORS - A/C #6469	28,981.	0.	28,981.	28,981.	
WELLS FARGO ADVISORS - A/C #7759	81,983.	753.	81,230.	81,230.	
WELLS FARGO ADVISORS - A/C #9673	103,243.	917.	102,326.	102,326.	
WELLS FARGO ADVISORS - A/C #9677	8,270.	119.	8,151.	8,151.	
WELLS FARGO ADVISORS - A/C #9683	55,027.	32,577.	22,450.	22,450.	
WELLS FARGO ADVISORS - A/C #9685	15,162.	0.	15,162.	15,162.	
TO PART I, LINE 4	920,417.	34,366.	886,051.	886,051.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DISTRIBUTIONS FROM IRA ACCOUNT	528,457.	528,457.	
RICHARD FLEMING ESTATE	122,026.	122,026.	
TOTAL TO FORM 990-PF, PART I, LINE 11	650,483.	650,483.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,300.	9,300.		0.
TO FORM 990-PF, PG 1, LN 16B	9,300.	9,300.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	40,795.	40,795.		0.
TO FORM 990-PF, PG 1, LN 16C	40,795.	40,795.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITTHELD ON DIVIDENDS	8,275.	8,275.		0.
FEDERAL EXCISE TAXES PAID IN 2016 FOR 2015	0.	0.		0.
FEDERAL EXCISE TAXES PAID IN 2016 FOR 2016	0.	0.		0.
TO FORM 990-PF, PG 1, LN 18	8,275.	8,275.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
UNREALIZED DEPRECIATION IN INVESTMENTS	428,681.
TOTAL TO FORM 990-PF, PART III, LINE 5	428,681.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKETBLE SECURITIES	FMV	10,500,329.	10,071,648.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,500,329.	10,071,648.

FORM 990-PF	OTHER ASSETS	STATEMENT	8
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
UNREALIZED GAINS (LOSSES)	<718,398.>	<428,681.>	0.
TO FORM 990-PF, PART II, LINE 15	<718,398.>	<428,681.>	0.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 9

NAME OF CONTRIBUTOR

ADDRESS

ESTATE OF RICHARD FLEMING

7953 CROW ROAD
ZIONSVILLE, PA 18092



2016 Year-End Account Summary

As of Date: 02/21/17

Page 5 of 42

Account Number: 7465-6049

FLEMING FOUNDATION
FED INTL

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
AMERICA MOVIL SAB DE CV ADR SERIES L CUSIP 02364W105	236 00000	02/29/2016	08/01/2016	2,704 36	3,201 51	0 00	0 00	-497 15	Box 6: Gross Proceeds
ANHUI CONCH CEMENT CO LTD - UNSPON ADR CUSIP 035243104	198 00000 139 00000	01/23/2015 01/23/2015	01/08/2016 01/12/2016	2,368 97 1,555 42	3,533 32 2,480 46	0 00 0 00	0 00 0 00	-1,164 35 -925 04	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	337.00000			\$3,924.39	\$6,013.78	\$0.00	\$0.00	(\$2,089.39)	
ASTRAZENECA PLC SPON ADR CUSIP 046353108	48 00000	11/13/2015	11/07/2016	1,336 29	1,500 70	0 00	0 00	-164 41	Box 6: Gross Proceeds
ATAOS ORIGIN SA-ADR CUSIP 04962A105	31 00000 35 00000 181 00000 62 00000 55 00000 25 00000 33 00000 24 00000	08/28/2015 08/31/2015 09/01/2015 09/02/2015 09/03/2015 09/04/2015 09/09/2015 09/14/2015	08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	604 17 682 13 3,527 62 1,208 36 1,071 93 487 24 643 16 467 76	470 37 531 37 2,726 99 924 04 818 52 368 91 501 36 365 34	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	133 80 150 76 800 63 284 32 253 41 118 33 141 80 102 42	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	446.00000			\$8,692.37	\$6,706.90	\$0.00	\$0.00	\$1,985.47	

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Proceeds from Broker and Barrier Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
AXA-ADR CUSIP 054536107	27 00000	10/20/2015	08/01/2016	539 45	703 86	0 00	0 00	-164 41	Box 6: Gross Proceeds
	15 00000	10/21/2015	08/01/2016	299 69	393 95	0 00	0 00	-94 26	Box 6: Gross Proceeds
	55 00000	10/27/2015	08/01/2016	1,098 88	1,456 90	0 00	0 00	-358 02	Box 6: Gross Proceeds
	30 00000	10/29/2015	08/01/2016	599 39	801 60	0 00	0 00	-202 21	Box 6: Gross Proceeds
	29 00000	11/03/2015	08/01/2016	579 41	780 24	0 00	0 00	-200 83	Box 6: Gross Proceeds
	36 00000	11/04/2015	08/01/2016	719 27	958 60	0 00	0 00	-239 33	Box 6: Gross Proceeds
Subtotals	192.00000			\$3,836 09	\$5,095.15	\$0.00	\$0.00	(\$1,259 06)	
BCE INC CUSIP 055348760	32 00000	08/01/2016	11/07/2016	1,420 13	1,535 65	0 00	0 00	-115 52	Box 6: Gross Proceeds
BP PLC SPONS ADR CUSIP 055622104	35 00000	08/01/2016	11/07/2016	1,181 92	1,175 67	0 00	0 00	6 25	Box 6: Gross Proceeds
BANK MONTREAL QUEBEC CUSIP 063671101	17 00000	08/01/2016	11/07/2016	1,076 93	1,087 31	0 00	0 00	-10 38	Box 6: Gross Proceeds
BK YOKOHAMA LTD JPN ACHG CUSIP 066011206	120 00000	12/21/2015	04/26/2016	2,173 02	2,805 26	0 00	0 00	-632 24	Box 6: Gross Proceeds
	94 00000	12/22/2015	04/26/2016	1,702 20	2,230 64	0 00	0 00	-528 44	Merger Box 6: Gross Proceeds
	121 00000	12/24/2015	04/26/2016	2,191 12	2,916 02	0 00	0 00	-724 90	Merger Box 6: Gross Proceeds
	131 00000	12/29/2015	04/26/2016	2,372 21	3,206 45	0 00	0 00	-834 24	Merger Box 6: Gross Proceeds
	99 00000	12/30/2015	04/26/2016	1,792 74	2,456 71	0 00	0 00	-663 97	Merger Box 6: Gross Proceeds
	30 00000	01/06/2016	04/26/2016	543 25	727 85	0 00	0 00	-184 60	Merger Box 6: Gross Proceeds
	150 00000	02/29/2016	04/26/2016	2,716 30	2,713 50	0 00	0 00	2 80	Merger Box 6: Gross Proceeds



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~~Proceeds from Broker and Barter Exchange Transactions~~ continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
BK YOKOHAMA LTD JPN ACHG CUSIP 066011206	745.00000			\$13,490.84	\$17,056.43	\$0.00	\$0.00	(\$3,565.59)	
Subtotals									
BAYER AG SPONSORED ADR CUSIP 072730302	42.00000	01/25/2016	08/01/2016	4,519.73	4,802.76	0.00	0.00	-283.03	Box 6: Gross Proceeds
	3.00000	01/26/2016	08/01/2016	322.83	342.17	0.00	0.00	-19.34	Box 6: Gross Proceeds
	9.00000	01/27/2016	08/01/2016	968.52	1,024.01	0.00	0.00	-55.49	Box 6: Gross Proceeds
	2.00000	02/01/2016	08/01/2016	215.22	222.04	0.00	0.00	-6.82	Box 6: Gross Proceeds
	5.00000	02/02/2016	08/01/2016	538.08	546.71	0.00	0.00	-8.63	Box 6: Gross Proceeds
Subtotals	61.00000			\$6,564.38	\$6,937.69	\$0.00	\$0.00	(\$373.31)	
CAMECO CORP CUSIP 13321L108	522.00000	03/17/2016	08/01/2016	4,886.33	6,944.22	0.00	0.00	-2,057.89	Box 6: Gross Proceeds
	96.00000	07/12/2016	08/01/2016	898.64	1,035.55	0.00	0.00	-136.91	Box 6: Gross Proceeds
Subtotals	618.00000			\$5,784.97	\$7,979.77	\$0.00	\$0.00	(\$2,194.80)	
CDN IMPERIAL BK COMMRC CUSIP 136069101	15.00000	08/01/2016	11/07/2016	1,117.48	1,138.01	0.00	0.00	-20.53	Box 6: Gross Proceeds
CAPGEMINI SA - ADR CUSIP 139098107	155.00000	07/13/2016	08/01/2016	7,378.62	6,762.67	0.00	0.00	615.95	Box 6: Gross Proceeds
CARNIVAL PLC ADR CUSIP 14365C103	132.00000	05/11/2016	08/01/2016	6,357.11	6,892.75	0.00	0.00	-535.64	Box 6: Gross Proceeds
CHINA CONSTRUCTION BANK CORP - UNSPON ADR CUSIP 168919108	86.00000	10/22/2015	08/01/2016	1,176.02	1,278.72	0.00	0.00	-102.70	Box 6: Gross Proceeds
	83.00000	10/23/2015	08/01/2016	1,135.00	1,251.97	0.00	0.00	-116.97	Box 6: Gross Proceeds
	86.00000	10/26/2015	08/01/2016	1,176.02	1,296.10	0.00	0.00	-120.08	Box 6: Gross Proceeds
	93.00000	10/28/2015	08/01/2016	1,271.75	1,378.08	0.00	0.00	-106.33	Box 6: Gross Proceeds
	84.00000	10/30/2015	08/01/2016	1,148.67	1,225.24	0.00	0.00	-76.57	Box 6: Gross Proceeds
	79.00000	11/02/2015	08/01/2016	1,080.31	1,137.19	0.00	0.00	-56.88	Box 6: Gross Proceeds

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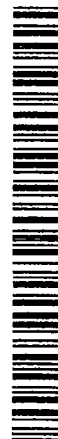
Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
CHINA CONSTRUCTION BANK CORP - UNSPON ADR CUSIP 168919108	87 00000	11/04/2015	08/01/2016	1,189 71	1,286 14	0 00	0 00	-96 43	Box 6: Gross Proceeds
Subtotals	598.00000			\$8,177.48	\$8,853.44	\$0.00	\$0.00	(\$675.96)	
COCA COLA AMATIL LTD SPONSORED ADR CUSIP 191085208	1025 00000	05/11/2016	08/01/2016	7,113 34	7,058 77	0 00	0 00	54 57	Box 6: Gross Proceeds
DEUTSCHE LUFTHANSA A G SPONSORED ADR CUSIP 251561304	217 00000 124 00000 191 00000 157 00000 143 00000 68 00000	12/21/2015 12/22/2015 12/23/2015 12/28/2015 01/07/2016 02/29/2016	08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	2,586 58 1,478 04 2,276 67 1,871 40 1,704 53 810 55	3,460 11 1,961 79 3,021 75 2,523 81 2,335 43 1,008 78	0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00	-873 53 -483 75 -745 08 -652 41 -630 90 -198 23	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	900.00000			\$10,727.77	\$14,311.67	\$0.00	\$0.00	(\$3,583.90)	
DIRECT LINE INSURANCE GRP PLC UNSPON CUSIP 25490E202	723 00000	04/28/2016	08/01/2016	13,490 89	15,217 05	0 00	0 00	-1,726 16	Box 6: Gross Proceeds
ENN ENERGY HLDGS LTD ADR CUSIP 26876F102	43 65454 49 89090 31 18181 31 18181 24 94545 12 47272 12 47272 6 23636	08/27/2015 08/28/2015 08/31/2015 09/01/2015 09/02/2015 09/04/2015 09/08/2015 09/09/2015	08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	833 56 952 64 595 40 595 40 476 32 238 16 238 16 119 08	889 30 1,063 73 650 40 632 85 505 70 255 87 253 60 131 27	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	-55 74 -111 09 -55 00 -37 45 -29 38 -17 71 -15 44 -12 19	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ENN ENERGY HLDGS LTD ADR CUSIP 26876F102	12 47272	09/10/2015	08/01/2016	238 16	265 66	0 00	0 00	-27 50	Box 6: Gross Proceeds
	12 47272	09/11/2015	08/01/2016	238 16	271 51	0 00	0 00	-33 35	Box 6: Gross Proceeds
	18 70909	09/14/2015	08/01/2016	357 24	397 06	0 00	0 00	-39 82	Box 6: Gross Proceeds
	18 70909	09/15/2015	08/01/2016	357 25	384 21	0 00	0 00	-26 96	Box 6: Gross Proceeds
	31 18181	09/16/2015	08/01/2016	595 41	631 37	0 00	0 00	-35 96	Box 6: Gross Proceeds
	24 94545	09/17/2015	08/01/2016	476 33	517 31	0 00	0 00	-40 98	Box 6: Gross Proceeds
	12 47281	09/18/2015	08/01/2016	238 18	260 46	0 00	0 00	-22 28	Box 6: Gross Proceeds
Subtotals	343.00000			\$6,549 45	\$7,110 30	\$0.00	\$0.00	(\$560.85)	
EMBRAER S A ADR SPONS ADR REPSTG PFD SHS CUSIP 29082A107	328 00000	06/03/2016	08/01/2016	5,786 11	6,909 32	0 00	0 00	-1,123 21	Box 6: Gross Proceeds
	1 00000	06/06/2016	08/01/2016	17 65	21 23	0 00	0 00	-3 58	Box 6: Gross Proceeds
Subtotals	329.00000			\$5,803.76	\$6,930.55	\$0.00	\$0.00	(\$1,126.79)	
ENEL SOCIETA PER AZIONI ADR CUSIP 29265W207	1378 00000	02/10/2016	08/01/2016	6,235 75	5,402 07	0 00	0 00	833 68	Box 6: Gross Proceeds
	621 00000	02/12/2016	08/01/2016	2,810 16	2,428 36	0 00	0 00	381 80	Box 6: Gross Proceeds
	674 00000	02/16/2016	08/01/2016	3,050 00	2,691 19	0 00	0 00	358 81	Box 6: Gross Proceeds
	209 00000	02/17/2016	08/01/2016	945 77	835 83	0 00	0 00	109 94	Box 6: Gross Proceeds
	350 00000	02/18/2016	08/01/2016	1,583 83	1,408 52	0 00	0 00	175 31	Box 6: Gross Proceeds
	126 00000	02/19/2016	08/01/2016	570 18	500 16	0 00	0 00	70 02	Box 6: Gross Proceeds
Subtotals	3358.00000			\$15,195.69	\$13,266.13	\$0.00	\$0.00	\$1,929.56	
ERICSSON (LM) TEL-SP ADR NEW CUSIP 294821608	1463 00000	03/17/2016	08/01/2016	10,730 88	14,145 31	0 00	0 00	-3,414 43	Box 6: Gross Proceeds

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Proceeds from Broker and Barrier Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
EUTELSAT - ADR COMMUNICATIONS SA SPON CUSIP 29888Q207	457 00000	01/25/2016	07/13/2016	2,122 90	3,457 52	0 00	0 00	-1,334 62	Box 6: Gross Proceeds
	112 00000	01/27/2016	07/13/2016	520 27	879 69	0 00	0 00	-359 42	Box 6: Gross Proceeds
	59 00000	02/02/2016	07/13/2016	274 07	481 44	0 00	0 00	-207 37	Box 6: Gross Proceeds
	67 00000	02/03/2016	07/13/2016	311 23	543 49	0 00	0 00	-232 26	Box 6: Gross Proceeds
	34 00000	02/04/2016	07/13/2016	157 94	279 21	0 00	0 00	-121 27	Box 6: Gross Proceeds
	59 00000	02/05/2016	07/13/2016	274 07	477 07	0 00	0 00	-203 00	Box 6: Gross Proceeds
	22 00000	02/09/2016	07/13/2016	102 20	173 93	0 00	0 00	-71 73	Box 6: Gross Proceeds
	45 00000	02/29/2016	07/13/2016	209 05	341 33	0 00	0 00	-132 28	Box 6: Gross Proceeds
Subtotals	855.00000			\$3,971.73	\$6,633.68	\$0.00	\$0.00	(\$2,661.95)	
FEDERATED MNGD POOL * SER INTL DIVID STGY PORT CUSIP 31421P605	1584 88000	08/01/2016	11/07/2016	13,202 05	13,804 31	0 00	0 00	-602 26	Box 6: Gross Proceeds
GLAXOSMITHKLINE PLC-ADR CUSIP 37733W105	27 00000	08/01/2016	11/07/2016	1,049 20	1,220 76	0 00	0 00	-171 56	Box 6: Gross Proceeds
	249 00000	08/01/2016	11/16/2016	9,540 02	11,258 04	0 00	0 00	-1,718 02	Box 6: Gross Proceeds
Subtotals	276.00000			\$10,589.22	\$12,478.80	\$0.00	\$0.00	(\$1,889.58)	
ITV PLC-UNSPON ADR CUSIP 45069P107	108 00000	04/27/2016	08/01/2016	2,736 66	3,476 67	0 00	0 00	-740 01	Box 6: Gross Proceeds
	349 00000	04/28/2016	08/01/2016	8,843 47	11,307 60	0 00	0 00	-2,464 13	Box 6: Gross Proceeds
Subtotals	457.00000			\$11,580.13	\$14,784.27	\$0.00	\$0.00	(\$3,204.14)	
ICICI BANK LTD SPON ADR CUSIP 45104G104	200 00000	08/18/2015	08/01/2016	1,467 96	1,973 68	0 00	0 00	-505 72	Box 6: Gross Proceeds
	540 00000	08/24/2015	08/01/2016	3,963 52	4,682 77	0 00	0 00	-719 25	Box 6: Gross Proceeds
	105 00000	08/25/2015	08/01/2016	770 68	943 27	0 00	0 00	-172 59	Box 6: Gross Proceeds
	60 00000	08/27/2015	08/01/2016	440 40	528 93	0 00	0 00	-88 53	Box 6: Gross Proceeds
Subtotals	905.00000			\$6,642.56	\$8,128.65	\$0.00	\$0.00	(\$1,486.09)	



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Proceeds from Broker and Barrier Exchange Transactions - continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KDDI CORP-UNSPONSORED ADR CUSIP: 48667L106	134 00000	10/01/2015	08/01/2016	2,077 34	1,490 01	0 00	0 00	587 33	Box 6: Gross Proceeds
	136 00000	10/06/2015	08/01/2016	2,108 35	1,555 83	0 00	0 00	552 52	Box 6: Gross Proceeds
	94 00000	10/07/2015	08/01/2016	1,457 24	1,075 82	0 00	0 00	381 42	Box 6: Gross Proceeds
	116 00000	10/08/2015	08/01/2016	1,798 30	1,330 79	0 00	0 00	467 51	Box 6: Gross Proceeds
	182 00000	10/19/2015	08/01/2016	2,821 49	2,032 49	0 00	0 00	789 00	Box 6: Gross Proceeds
Subtotals	662.00000			\$10,262.72	\$7,484.94	\$0.00	\$0.00	\$2,777.78	
KEPPEL LTD SPONSORED ADR CUSIP: 492051305	47 00000	05/19/2015	01/12/2016	344 05	626 19	0 00	0 00	-282 14	Box 6: Gross Proceeds
	197 00000	05/20/2015	01/12/2016	1,442 09	2,587 00	0 00	0 00	-1,144 91	Box 6: Gross Proceeds
	13 00000	05/21/2015	01/12/2016	95 17	171 01	0 00	0 00	-75 84	Box 6: Gross Proceeds
	23 00000	05/21/2015	01/20/2016	159 80	302 56	0 00	0 00	-142 76	Box 6: Gross Proceeds
	24 00000	05/21/2015	01/21/2016	165 83	315 71	0 00	0 00	-149 88	Box 6: Gross Proceeds
	135 00000	05/21/2015	01/22/2016	940 27	1,775 86	0 00	0 00	-835 59	Box 6: Gross Proceeds
	126 00000	05/21/2015	01/28/2016	839 97	1,657 46	0 00	0 00	-817 49	Box 6: Gross Proceeds
	75 00000	05/21/2015	02/01/2016	523 81	986 58	0 00	0 00	-462 77	Box 6: Gross Proceeds
	65 00000	05/22/2015	02/01/2016	453 97	869 61	0 00	0 00	-415 64	Box 6: Gross Proceeds
	24 00000	05/26/2015	02/01/2016	167 63	316 82	0 00	0 00	-149 19	Box 6: Gross Proceeds
	16 00000	05/26/2015	02/03/2016	106 93	211 20	0 00	0 00	-104 27	Box 6: Gross Proceeds
	66 00000	05/27/2015	02/03/2016	441 12	865 91	0 00	0 00	-424 79	Box 6: Gross Proceeds
	175 00000	05/28/2015	02/03/2016	1,169 65	2,287 44	0 00	0 00	-1,117 79	Box 6: Gross Proceeds
	64 00000	05/29/2015	02/03/2016	427 76	836 04	0 00	0 00	-408 28	Box 6: Gross Proceeds
	303 00000	05/29/2015	02/04/2016	2,093 69	3,958 09	0 00	0 00	-1,864 40	Box 6: Gross Proceeds
	8 00000	05/29/2015	02/05/2016	55 84	104 50	0 00	0 00	-48 66	Box 6: Gross Proceeds
Subtotals	1361.00000			\$9,427.58	\$17,871.98	\$0.00	\$0.00	(\$8,444.40)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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KOREA ELEC PWR CORP CUSIP 559222401	88 00000	01/11/2016	08/01/2016	2,399 15	1,874 47	0 00	0 00	524 68	Box 6: Gross Proceeds
SPONSORED ADR CUSIP 500631106	77 00000	01/15/2016	08/01/2016	2,099 27	1,604 55	0 00	0 00	494 72	Box 6: Gross Proceeds
Subtotals	165 00000			\$4,498 42	\$3,479 02	\$0.00	\$0.00	\$1,019 40	
MAGNA INTL INC CL A CUSIP 559222401	42 00000	09/24/2015	08/01/2016	1,620 85	1,896 30	0 00	0 00	-275 45	Box 6: Gross Proceeds
	40 00000	10/22/2015	08/01/2016	1,543 67	2,064 16	0 00	0 00	-520 49	Box 6: Gross Proceeds
	41 00000	11/09/2015	08/01/2016	1,582 26	1,971 90	0 00	0 00	-389 64	Box 6: Gross Proceeds
	41 00000	11/10/2015	08/01/2016	1,582 28	1,915 72	0 00	0 00	-333 44	Box 6: Gross Proceeds
Subtotals	164 00000			\$6,329 06	\$7,848 08	\$0.00	\$0.00	(\$1,519 02)	
MICHELIN (CGDE) UNSP ADR CUSIP 59410T106	87 00000	01/08/2016	08/01/2016	1,773 45	1,550 68	0 00	0 00	222 77	Box 6: Gross Proceeds
	103 00000	01/12/2016	08/01/2016	2,099 61	1,852 75	0 00	0 00	246 86	Box 6: Gross Proceeds
	143 00000	01/13/2016	08/01/2016	2,914 99	2,569 45	0 00	0 00	345 54	Box 6: Gross Proceeds
	43 00000	01/14/2016	08/01/2016	876 54	760 86	0 00	0 00	115 68	Box 6: Gross Proceeds
Subtotals	376 00000			\$7,664 59	\$6,733 74	\$0.00	\$0.00	\$930 85	
MITSUBISHI ELECTRIC CORP CUSIP 606776201	138 00000	08/14/2015	08/01/2016	3,175 31	3,041 18	0 00	0 00	134 13	Box 6: Gross Proceeds
	96 00000	08/18/2015	08/01/2016	2,208 91	2,103 79	0 00	0 00	105 12	Box 6: Gross Proceeds
	124 00000	08/20/2015	08/01/2016	2,853 18	2,588 24	0 00	0 00	264 94	Box 6: Gross Proceeds
Subtotals	358 00000			\$8,237 40	\$7,733 21	\$0.00	\$0.00	\$504 19	
NATIONAL GRID PLC SPON ADR NEW CUSIP 636274300	15 00000	08/01/2016	11/07/2016	941 53	1,092 41	0 00	0 00	-150 88	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
NESTLE S A REG ADR CUSIP: 641069406	18 00000	08/01/2016	11/07/2016	1,303 17	1,436 76	0 00	0 00	-133 59	Box 6: Gross Proceeds
NSK LTD-SPONSORED ADR CUSIP: 670184100	52 00000	08/13/2015	06/06/2016	874 19	1,354 28	0 00	0 00	-480 09	Box 6: Gross Proceeds
	105 00000	08/14/2015	06/06/2016	1,765 20	2,834 54	0 00	0 00	-1,069 34	Box 6: Gross Proceeds
	47 00000	08/17/2015	06/06/2016	790 13	1,267 64	0 00	0 00	-477 51	Box 6: Gross Proceeds
	37 00000	08/18/2015	06/06/2016	622 02	1,000 73	0 00	0 00	-378 71	Box 6: Gross Proceeds
	55 00000	08/19/2015	06/06/2016	924 63	1,487 90	0 00	0 00	-563 27	Box 6: Gross Proceeds
	33 00000	08/20/2015	06/06/2016	554 77	876 77	0 00	0 00	-322 00	Box 6: Gross Proceeds
	71 00000	08/21/2015	06/06/2016	1,193 61	1,860 81	0 00	0 00	-667 20	Box 6: Gross Proceeds
	93 00000	08/24/2015	06/06/2016	1,563 47	2,386 98	0 00	0 00	-823 51	Box 6: Gross Proceeds
	111 00000	08/25/2015	06/06/2016	1,866 07	2,846 94	0 00	0 00	-980 87	Box 6: Gross Proceeds
	141 00000	02/29/2016	06/06/2016	2,377 43	2,567 61	0 00	0 00	-197 18	Box 6: Gross Proceeds
Subtotals	745.00000			\$12,524.52	\$18,484.20	\$0.00	\$0.00	(\$5,959.68)	
LUKOIL PJSC SPON ADR 1 RCPT=1 ORD SHRS CUSIP: 69343P105	163 00000	06/03/2016	08/01/2016	7,076 50	6,461 32	0 00	0 00	615 18	Box 6: Gross Proceeds
RIO TINTO PLC SPONSORED ADR CUSIP: 767204100	121 00000	01/23/2015	01/11/2016	3,044 53	5,247 10	0 00	0 00	-2,202 57	Box 6: Gross Proceeds
	270 00000	01/23/2015	01/22/2016	6,404 82	11,708 38	0 00	0 00	-5,303 56	Box 6: Gross Proceeds
Subtotals	391.00000			\$9,449 35	\$16,955 48	\$0.00	\$0.00	(\$7,506.13)	
SHIRE PLC CUSIP: 82481R106	40 00000	02/19/2016	08/01/2016	7,779 43	6,533 15	0 00	0 00	1,246 28	Box 6: Gross Proceeds
	1 00000	02/23/2016	08/01/2016	194 49	162 30	0 00	0 00	32 19	Box 6: Gross Proceeds
Subtotals	41.00000			\$7,973.92	\$6,695.45	\$0.00	\$0.00	\$1,278.47	

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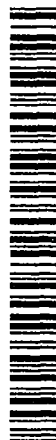
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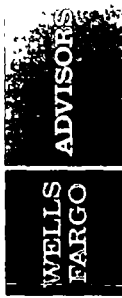
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Proceeds from Broker and Barrier Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SMURFIT KAPPA GROUP ADR CUSIP 83272W106	31 00000	01/12/2016	08/01/2016	716 24	810 22	0 00	0 00	-93 98	Box 6: Gross Proceeds
	22 00000	01/13/2016	08/01/2016	508 30	565 49	0 00	0 00	-57 19	Box 6: Gross Proceeds
	37 00000	01/14/2016	08/01/2016	854 87	924 10	0 00	0 00	-69 23	Box 6: Gross Proceeds
	60 00000	01/15/2016	08/01/2016	1,386 28	1,496 08	0 00	0 00	-109 80	Box 6: Gross Proceeds
	23 00000	01/19/2016	08/01/2016	531 40	574 69	0 00	0 00	-43 29	Box 6: Gross Proceeds
	28 00000	01/20/2016	08/01/2016	646 93	666 30	0 00	0 00	-19 37	Box 6: Gross Proceeds
	4 00000	01/21/2016	08/01/2016	92 42	93 53	0 00	0 00	-1 11	Box 6: Gross Proceeds
	28 00000	02/29/2016	08/01/2016	646 94	652 54	0 00	0 00	-5 60	Box 6: Gross Proceeds
Subtotals	233 00000			\$5,383.38	\$5,782.95	\$0.00	\$0.00	(\$399.57)	
SONIC HEALTHCARE LTD - UNSPON ADR CUSIP 83546A104	184 00000	01/25/2016	08/01/2016	3,194 17	2,323 13	0 00	0 00	871 04	Box 6: Gross Proceeds
	227 00000	01/28/2016	08/01/2016	3,940 63	2,968 07	0 00	0 00	972 56	Box 6: Gross Proceeds
	93 00000	02/02/2016	08/01/2016	1,614 45	1,222 34	0 00	0 00	392 11	Box 6: Gross Proceeds
Subtotals	504 00000			\$8,749.25	\$6,513.54	\$0.00	\$0.00	\$2,235.71	
SUMITOMO CHEMICAL CO- UNSPON ADR CUSIP 865612105	21 00000	09/30/2015	08/01/2016	421 25	521 86	0 00	0 00	-100 61	Box 6: Gross Proceeds
	65 00000	10/01/2015	08/01/2016	1,303 87	1,690 47	0 00	0 00	-386 60	Box 6: Gross Proceeds
	65 00000	10/02/2015	08/01/2016	1,303 87	1,760 18	0 00	0 00	-456 31	Box 6: Gross Proceeds
	33 00000	10/05/2015	08/01/2016	661 96	911 09	0 00	0 00	-249 13	Box 6: Gross Proceeds
	50 00000	10/06/2015	08/01/2016	1,002 97	1,400 28	0 00	0 00	-397 31	Box 6: Gross Proceeds
	61 00000	10/07/2015	08/01/2016	1,223 63	1,730 12	0 00	0 00	-506 49	Box 6: Gross Proceeds
	46 00000	10/20/2015	08/01/2016	922 74	1,310 25	0 00	0 00	-387 51	Box 6: Gross Proceeds
	3 00000	11/16/2015	08/01/2016	60 17	79 06	0 00	0 00	-18 89	Box 6: Gross Proceeds
	9 00000	12/03/2015	08/01/2016	180 53	264 19	0 00	0 00	-83 66	Box 6: Gross Proceeds
	11 00000	12/04/2015	08/01/2016	220 55	322 56	0 00	0 00	-101 91	Box 6: Gross Proceeds
	11 00000	12/07/2015	08/01/2016	220 65	323 11	0 00	0 00	-102 46	Box 6: Gross Proceeds
	10 00000	12/08/2015	08/01/2016	200 59	289 60	0 00	0 00	-89 01	Box 6: Gross Proceeds





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Proceeds from Broker and Barter Exchange Transactions - continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SUMITOMO CHEMICAL CO- UNSPON ADR CUSIP: 865612105	22 00000	12/09/2015	08/01/2016	441 31	622 99	0 00	0 00	-181 68	Box 6: Gross Proceeds
	38 00000	12/10/2015	08/01/2016	762 28	1,067 82	0 00	0 00	-305 54	Box 6: Gross Proceeds
	41 00000	12/11/2015	08/01/2016	822 46	1,145 15	0 00	0 00	-322 69	Box 6: Gross Proceeds
	38 00000	12/14/2015	08/01/2016	762 28	1,052 48	0 00	0 00	-290 20	Box 6: Gross Proceeds
Subtotals	524.00000			\$10,511.21	\$14,491.21	\$0.00	\$0.00	(\$3,980.00)	
SUMITOMO METAL MINING CO LTD-UNSPONSORED ADR CUSIP 86563T104	92 00000	02/24/2015	01/08/2016	1,034 98	1,414 77	0 00	0 00	-379 79	Box 6: Gross Proceeds
	201 00000	02/25/2015	01/08/2016	2,261 21	3,128 83	0 00	0 00	-867 62	Box 6: Gross Proceeds
	153 00000	02/26/2015	01/08/2016	1,721 22	2,403 53	0 00	0 00	-682 31	Box 6: Gross Proceeds
	28 00000	02/26/2015	01/13/2016	293 30	439 85	0 00	0 00	-146 55	Box 6: Gross Proceeds
	112 00000	03/03/2015	01/13/2016	1,173 21	1,794 98	0 00	0 00	-621 77	Box 6: Gross Proceeds
	96 00000	03/04/2015	01/13/2016	1,005 61	1,531 27	0 00	0 00	-525 66	Box 6: Gross Proceeds
	4 00000	03/04/2015	01/14/2016	41 20	63 80	0 00	0 00	-22 60	Box 6: Gross Proceeds
Subtotals	686.00000			\$7,530.73	\$10,777.03	\$0.00	\$0.00	(\$3,246.30)	
T&D HOLDINGS INC ADR CUSIP 872120100	136 00000	10/21/2015	08/01/2016	700 98	893 62	0 00	0 00	-192 64	Box 6: Gross Proceeds
	141 00000	10/23/2015	08/01/2016	726 75	945 56	0 00	0 00	-218 81	Box 6: Gross Proceeds
	152 00000	10/26/2015	08/01/2016	783 44	1,026 53	0 00	0 00	-243 09	Box 6: Gross Proceeds
	153 00000	10/29/2015	08/01/2016	788 60	1,004 02	0 00	0 00	-215 42	Box 6: Gross Proceeds
	165 00000	10/30/2015	08/01/2016	850 45	1,081 89	0 00	0 00	-231 44	Box 6: Gross Proceeds
	147 00000	11/02/2015	08/01/2016	757 88	949 08	0 00	0 00	-191 40	Box 6: Gross Proceeds
	70 00000	11/04/2015	08/01/2016	360 80	470 37	0 00	0 00	-109 57	Box 6: Gross Proceeds
	109 00000	11/05/2015	08/01/2016	561 81	750 72	0 00	0 00	-188 91	Box 6: Gross Proceeds
	452 00000	02/29/2016	08/01/2016	2,329 75	2,208 02	0 00	0 00	121 73	Box 6: Gross Proceeds
Subtotals	1525.00000			\$7,860.26	\$9,329.81	\$0.00	\$0.00	(\$1,469.55)	

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Proceeds from Broker and Dealer Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TELEOR ASA-ADS CUSIP 87944W105	426 00000	04/27/2016	08/01/2016	7,073 57	7,168 98	0 00	0 00	-95 41	Box 6: Gross Proceeds
	427 00000	06/03/2016	08/01/2016	7,090 18	7,123 33	0 00	0 00	-33 15	Box 6: Gross Proceeds
	11 00000	06/06/2016	08/01/2016	182 66	183 81	0 00	0 00	-1 15	Box 6: Gross Proceeds
Subtotals	864.00000			\$14,346.41	\$14,476.12	\$0.00	\$0.00	(\$129.71)	
TELIA COMPANY AB ADR UNSPN ADR CUSIP 87960M205	202 00000	05/19/2015	04/27/2016	1,943 19	2,492 40	0 00	0 00	-549 21	Box 6: Gross Proceeds
	130 00000	05/21/2015	04/27/2016	1,250 57	1,613 78	0 00	0 00	-363 21	Box 6: Gross Proceeds
	62 00000	05/22/2015	04/27/2016	596 42	771 29	0 00	0 00	-174 87	Box 6: Gross Proceeds
	95 00000	05/26/2015	04/27/2016	913 88	1,154 54	0 00	0 00	-240 66	Box 6: Gross Proceeds
	93 00000	05/27/2015	04/27/2016	894 64	1,107 63	0 00	0 00	-212 99	Box 6: Gross Proceeds
	141 00000	05/28/2015	04/27/2016	1,356 40	1,691 18	0 00	0 00	-334 78	Box 6: Gross Proceeds
	43 00000	01/12/2016	04/27/2016	413 66	398 84	0 00	0 00	14 82	Box 6: Gross Proceeds
	202 00000	01/12/2016	05/11/2016	1,844 21	1,873 58	0 00	0 00	-29 37	Box 6: Gross Proceeds
	261 00000	01/13/2016	05/11/2016	2,382 88	2,453 97	0 00	0 00	-71 09	Box 6: Gross Proceeds
	276 00000	01/14/2016	05/11/2016	2,519 83	2,521 84	0 00	0 00	-2 01	Box 6: Gross Proceeds
Subtotals	1505.00000			\$14,115 68	\$16,079.05	\$0.00	\$0.00	(\$1,963.37)	
TOTAL S A SPONS ADR CUSIP 89151E109	37 00000	08/01/2016	11/07/2016	1,743 22	1,751 59	0 00	0 00	-8 37	Box 6: Gross Proceeds
UNILEVER PLC SPONS ADR CUSIP 904767704	366 00000	08/01/2016	10/12/2016	16,612 53	17,073 90	0 00	0 00	-461 37	Box 6: Gross Proceeds
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR CUSIP 92857W308	44 00000	08/01/2016	11/07/2016	1,188 85	1,362 85	0 00	0 00	-174 00	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
WPP PLC NEW ADR CUSIP 92937A102	35.00000	08/17/2015	08/01/2016	3,918.55	3,890.32	0.00	0.00	28.23	Box 6: Gross Proceeds
	29.00000	08/18/2015	08/01/2016	3,246.79	3,246.42	0.00	0.00	0.37	Box 6: Gross Proceeds
	50.00000	08/19/2015	08/01/2016	5,597.93	5,514.64	0.00	0.00	83.29	Box 6: Gross Proceeds
	5.00000	08/20/2015	08/01/2016	559.79	546.13	0.00	0.00	13.66	Box 6: Gross Proceeds
	15.00000	08/21/2015	08/01/2016	1,679.38	1,608.68	0.00	0.00	70.70	Box 6: Gross Proceeds
	14.00000	08/27/2015	08/01/2016	1,567.43	1,441.61	0.00	0.00	125.82	Box 6: Gross Proceeds
Subtotals	148.00000			\$16,569.87	\$16,247.80	\$0.00	\$0.00	\$322.07	
WEST JAPAN RAILWAY CO- ADR CUSIP 953432101	41.00000	10/02/2015	08/01/2016	2,504.43	2,674.68	0.00	0.00	-170.25	Box 6: Gross Proceeds
	14.00000	10/06/2015	08/01/2016	2,504.43	2,705.27	0.00	0.00	-200.84	Box 6: Gross Proceeds
	8.00000	10/07/2015	08/01/2016	855.17	927.33	0.00	0.00	-72.16	Box 6: Gross Proceeds
	10.00000	10/08/2015	08/01/2016	488.66	535.63	0.00	0.00	-46.97	Box 6: Gross Proceeds
	35.00000	10/15/2015	08/01/2016	610.83	667.53	0.00	0.00	-56.70	Box 6: Gross Proceeds
	41.00000	12/21/2015	08/01/2016	2,137.93	2,275.36	0.00	0.00	-137.43	Box 6: Gross Proceeds
	23.00000	12/22/2015	08/01/2016	2,504.44	2,770.97	0.00	0.00	-266.53	Box 6: Gross Proceeds
	6.00000	12/24/2015	08/01/2016	1,404.93	1,559.80	0.00	0.00	-154.87	Box 6: Gross Proceeds
	219.00000	12/29/2015	08/01/2016	366.51	411.61	0.00	0.00	-45.10	Box 6: Gross Proceeds
Subtotals	219.00000			\$13,377.33	\$14,528.18	\$0.00	\$0.00	(\$1,150.85)	
COCA-COLA EUROPEAN PARTNERS PLC CUSIP G25839104	173.00000	06/03/2016	08/01/2016	6,599.97	6,921.71	0.00	0.00	-321.74	Box 6: Gross Proceeds
	1.00000	06/06/2016	08/01/2016	38.16	39.98	0.00	0.00	-1.82	Box 6: Gross Proceeds
Subtotals	174.00000			\$6,638.13	\$6,961.69	\$0.00	\$0.00	(\$323.56)	
Totals				\$398,956.44	\$453,459.23	\$0.00	\$0.00	(\$54,502.79)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AEGON N V - ORD AMER REG CUSIP 007924103	255 00000	02/19/2015	04/27/2016	1,493 21	1,927 80	0 00	0 00	-434 59	Box 6: Gross Proceeds
	569 00000	03/02/2015	04/27/2016	3,331 94	4,408 90	0 00	0 00	-1,076 96	Box 6: Gross Proceeds
	37 00000	03/02/2015	08/01/2016	146 88	286 69	0 00	0 00	-139 81	Box 6: Gross Proceeds
	280 00000	03/05/2015	08/01/2016	1,111 59	2,153 20	0 00	0 00	-1,041 61	Box 6: Gross Proceeds
	288 00000	03/09/2015	08/01/2016	1,143 35	2,266 27	0 00	0 00	-1,122 92	Box 6: Gross Proceeds
	433 00000	03/12/2015	08/01/2016	1,718 99	3,461 32	0 00	0 00	-1,742 33	Box 6: Gross Proceeds
	186 00000	03/16/2015	08/01/2016	738 42	1,513 30	0 00	0 00	-774 88	Box 6: Gross Proceeds
Subtotals	2048 00000			\$9,684.38	\$16,017.48	\$0.00	\$0.00	(\$6,333.10)	
AMERICA MOVIL SAB DE CV ADR SERIES L CUSIP 02364W105	755 00000	12/18/2014	08/01/2016	8,651 66	16,416 87	0 00	0 00	-7,765 21	Box 6: Gross Proceeds
ANHUI CONCH CEMENT CO LTD - UNSPON ADR CUSIP 035243104	139 00000	01/23/2015	01/29/2016	1,341 77	2,480 46	0 00	0 00	-1,138 69	Box 6: Gross Proceeds
	141 00000	01/23/2015	02/01/2016	1,378 19	2,516 15	0 00	0 00	-1,137 96	Box 6: Gross Proceeds
	143 00000	01/23/2015	02/02/2016	1,401 81	2,551 83	0 00	0 00	-1,150 02	Box 6: Gross Proceeds
	193 00000	01/23/2015	02/03/2016	1,792 15	3,444 07	0 00	0 00	-1,651 92	Box 6: Gross Proceeds
Subtotals	616 00000			\$5,913.92	\$10,992.51	\$0.00	\$0.00	(\$5,078.59)	
ATAOS ORIGIN SA-ADR CUSIP 04962A105	184 00000	06/22/2015	08/01/2016	3,586 08	2,836 70	0 00	0 00	749 38	Box 6: Gross Proceeds
	58 00000	06/23/2015	08/01/2016	1,130 39	910 54	0 00	0 00	219 85	Box 6: Gross Proceeds
	77 00000	06/24/2015	08/01/2016	1,500 69	1,211 77	0 00	0 00	288 92	Box 6: Gross Proceeds
	73 00000	06/25/2015	08/01/2016	1,422 74	1,131 20	0 00	0 00	291 54	Box 6: Gross Proceeds
	47 00000	06/26/2015	08/01/2016	916 01	732 14	0 00	0 00	183 87	Box 6: Gross Proceeds
	107 00000	06/29/2015	08/01/2016	2,085 38	1,641 28	0 00	0 00	444 10	Box 6: Gross Proceeds
Subtotals	546 00000			\$10,641.29	\$8,463.63	\$0.00	\$0.00	\$2,177.66	



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Proceeds from Broker and Barter Exchange Transactions - continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AUSTRALIA & NEW ZEALAND BANKING CUSIP 052528304	584 00000 71 00000	05/21/2012 09/22/2014	08/01/2016 08/01/2016	11,408 19 1,386 96	12,071 28 1,961 38	0 00 0 00	0 00 0 00	-663 09 -574 42	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	655.00000			\$12,795.15	\$14,032.66	\$0.00	\$0.00	(\$1,237.51)	
AXA-ADR CUSIP 054536107	338 00000 166 00000 138 00000 48 00000	05/26/2015 05/27/2015 05/28/2015 05/29/2015	08/01/2016 08/01/2016 08/01/2016 08/01/2016	6,753 09 3,316 60 2,757 18 959 02	8,794 77 4,375 97 3,567 23 1,221 23	0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00	-2,041 68 -1,059 37 -810 05 -262 21	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	690.00000			\$13,785.89	\$17,959.20	\$0.00	\$0.00	(\$4,173.31)	
BAE SYSTEMS PLACE CUSIP 05523R107	431 00000 112 00000	05/21/2012 07/14/2014	08/01/2016 08/01/2016	12,074 87 3,137 79	7,469 23 3,211 71	0 00 0 00	0 00 0 00	4,605 64 -73 92	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	543.00000			\$15,212.66	\$10,680.94	\$0.00	\$0.00	\$4,531.72	
BELLE INTL HOLDINGS LTD- UNSPON ADR CUSIP 078454105	18 00000 38 00000 13 00000 21 00000 18 00000 12 00000 23 00000 81 00000 33 00000 34 00000 17 00000 22 00000 25 00000	12/18/2014 12/19/2014 12/22/2014 12/22/2014 12/23/2014 12/24/2014 12/29/2014 12/30/2014 12/30/2014 12/31/2014 01/02/2015 01/05/2015 01/06/2015	02/11/2016 02/11/2016 02/11/2016 02/12/2016 02/12/2016 02/12/2016 02/12/2016 02/12/2016 02/18/2016 02/18/2016 02/18/2016 02/18/2016 02/18/2016	116 24 245 41 83 96 131 80 112 98 75 32 144 36 508 42 225 89 232 74 116 37 150 59 171 13	198 61 414 90 145 36 234 81 198 60 133 09 263 75 909 29 370 45 381 69 194 70 247 83 278 76	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	-82 37 -169 49 -61 40 -103 01 -85 62 -57 77 -119 39 -400 87 -144 56 -148 95 -78 33 -97 24 -107 63	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
BELLE INTL HOLDINGS LTD- UNSPON ADR CUSIP 078454105	30 00000	01/07/2015	02/18/2016	205 36	341 14	0 00	0 00	-135 78	Box 6: Gross Proceeds
	24 00000	01/08/2015	02/18/2016	164 29	270 27	0 00	0 00	-105 98	Box 6: Gross Proceeds
	28 00000	01/09/2015	02/18/2016	191 68	323 11	0 00	0 00	-131 43	Box 6: Gross Proceeds
	6 00000	01/12/2015	02/18/2016	41 08	68 49	0 00	0 00	-27 41	Box 6: Gross Proceeds
	17 00000	01/12/2015	02/22/2016	117 80	194 04	0 00	0 00	-76 24	Box 6: Gross Proceeds
	11 00000	01/15/2015	02/22/2016	76 22	130 46	0 00	0 00	-54 24	Box 6: Gross Proceeds
	19 00000	01/16/2015	02/22/2016	131 66	222 63	0 00	0 00	-90 97	Box 6: Gross Proceeds
	34 00000	01/20/2015	02/22/2016	235 61	397 93	0 00	0 00	-162 32	Box 6: Gross Proceeds
	33 00000	01/20/2015	02/23/2016	225 61	386 21	0 00	0 00	-160 60	Box 6: Gross Proceeds
	36 00000	01/21/2015	02/23/2016	246 12	423 15	0 00	0 00	-177 03	Box 6: Gross Proceeds
	24 00000	01/22/2015	02/23/2016	164 09	282 39	0 00	0 00	-118 30	Box 6: Gross Proceeds
	21 00000	01/22/2015	02/25/2016	137 45	247 08	0 00	0 00	-109 63	Box 6: Gross Proceeds
	33 00000	01/23/2015	02/25/2016	216 01	391 35	0 00	0 00	-175 34	Box 6: Gross Proceeds
	4 00000	01/23/2015	02/26/2016	27 32	47 43	0 00	0 00	-20 11	Box 6: Gross Proceeds
Subtotals	675.00000			\$4,495.51	\$7,697.52	\$0.00	\$0.00	(\$3,202.01)	
BOC HONG KONG HOLDINGS SPONS ADR CUSIP 096813209	20 00000	11/19/2013	08/01/2016	1,323 87	1,335 72	0 00	0 00	-11 85	Box 6: Gross Proceeds
	35 00000	11/20/2013	08/01/2016	2,316 77	2,366 54	0 00	0 00	-49 77	Box 6: Gross Proceeds
	30 00000	11/22/2013	08/01/2016	1,985 80	2,036 37	0 00	0 00	-50 57	Box 6: Gross Proceeds
	20 00000	11/25/2013	08/01/2016	1,323 87	1,361 87	0 00	0 00	-38 00	Box 6: Gross Proceeds
	30 00000	11/26/2013	08/01/2016	1,985 80	2,046 89	0 00	0 00	-61 09	Box 6: Gross Proceeds
	37 00000	11/27/2013	08/01/2016	2,449 16	2,507 25	0 00	0 00	-58 09	Box 6: Gross Proceeds
	18 00000	02/03/2014	08/01/2016	1,191 48	1,078 18	0 00	0 00	113 30	Box 6: Gross Proceeds
	57 00000	07/14/2014	08/01/2016	3,773 06	3,466 74	0 00	0 00	306 32	Box 6: Gross Proceeds
Subtotals	247.00000			\$16,349.81	\$16,199.56	\$0.00	\$0.00	\$150.25	



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Proceeds from Broker and Bank Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CK HUTCHISON ADR HOLDINGS LTD UNSPON CUSIP 12562Y100	39 00000	10/20/2014	08/01/2016	456 87	654 48	0 00	0 00	-197 61	Box 6: Gross Proceeds
	115 00000	10/21/2014	08/01/2016	1,347 19	1,925 99	0 00	0 00	-578 80	Box 6: Gross Proceeds
	221 00000	10/22/2014	08/01/2016	2,588 96	3,779 25	0 00	0 00	-1,190 29	Box 6: Gross Proceeds
	59 00000	10/23/2014	08/01/2016	691 17	1,014 77	0 00	0 00	-323 60	Box 6: Gross Proceeds
	64 00000	10/24/2014	08/01/2016	749 74	1,095 26	0 00	0 00	-345 52	Box 6: Gross Proceeds
	161 00000	10/27/2014	08/01/2016	1,886 08	2,744 73	0 00	0 00	-858 65	Box 6: Gross Proceeds
	23 00000	10/28/2014	08/01/2016	269 44	395 69	0 00	0 00	-126 25	Box 6: Gross Proceeds
	50 00000	10/29/2014	08/01/2016	585 74	875 19	0 00	0 00	-289 45	Box 6: Gross Proceeds
	77 00000	10/30/2014	08/01/2016	902 03	1,353 98	0 00	0 00	-451 95	Box 6: Gross Proceeds
	98 00000	10/31/2014	08/01/2016	1,148 06	1,729 22	0 00	0 00	-581 16	Box 6: Gross Proceeds
Subtotals	907.00000			\$10,625.28	\$15,568.56	\$0.00	\$0.00	(\$4,943.28)	
CENTRICA PLC-SP ADR CUSIP 15639K300	526 00000	01/23/2015	08/01/2016	6,695 83	8,622 71	0 00	0 00	-1,926 88	Box 6: Gross Proceeds
CHINA CONSTRUCTION BANK CORP - UNSPON ADR CUSIP 168919108	61 00000	07/25/2013	03/16/2016	754 85	908 71	0 00	0 00	-153 86	Box 6: Gross Proceeds
	126 00000	07/26/2013	03/16/2016	1,559 21	1,881 37	0 00	0 00	-322 16	Box 6: Gross Proceeds
	157 00000	07/26/2013	03/17/2016	1,979 73	2,344 25	0 00	0 00	-364 52	Box 6: Gross Proceeds
	52 00000	07/26/2013	03/18/2016	660 36	776 43	0 00	0 00	-116 05	Box 6: Gross Proceeds
	85 00000	07/30/2013	03/18/2016	1,079 48	1,258 11	0 00	0 00	-178 63	Box 6: Gross Proceeds
	241 00000	07/30/2013	08/01/2016	3,295 60	3,567 08	0 00	0 00	-271 48	Box 6: Gross Proceeds
	99 00000	02/03/2014	08/01/2016	1,353 79	1,340 46	0 00	0 00	13 33	Box 6: Gross Proceeds
	196 00000	07/14/2014	08/01/2016	2,680 24	2,826 32	0 00	0 00	-146 08	Box 6: Gross Proceeds
Subtotals	1017.00000			\$13,363.28	\$14,902.73	\$0.00	\$0.00	(\$1,539.45)	

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Proceeds from Broker and Dealer Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CHINA MOBILE LTD SPONS ADR CUSIP 16941M109	81 00000	11/21/2013	08/01/2016	5,085 95	4,247 81	0 00	0 00	838 14	Box 6: Gross Proceeds
	105 00000	11/22/2013	08/01/2016	6,592 91	5,486 05	0 00	0 00	1,106 86	Box 6: Gross Proceeds
	20 00000	02/03/2014	08/01/2016	1,255 79	943 20	0 00	0 00	312 59	Box 6: Gross Proceeds
	48 00000	07/14/2014	08/01/2016	3,013 91	2,466 72	0 00	0 00	547 19	Box 6: Gross Proceeds
Subtotals	254.00000			\$15,948.56	\$13,143.78	\$0 00	\$0 00	\$2,804.78	
DNB ASA SPONSORED ADR CUSIP 23328E106	14 00000	12/18/2014	06/06/2016	1,805 04	2,097 46	0 00	0 00	-292 42	Box 6: Gross Proceeds
	7 00000	12/19/2014	06/06/2016	902 52	1,057 31	0 00	0 00	-154 79	Box 6: Gross Proceeds
	7 00000	12/29/2014	06/06/2016	902 52	1,044 61	0 00	0 00	-142 09	Box 6: Gross Proceeds
	21 00000	01/05/2015	06/06/2016	2,707 57	2,972 90	0 00	0 00	-265 33	Box 6: Gross Proceeds
	7 00000	01/06/2015	06/06/2016	902 53	969 76	0 00	0 00	-67 23	Box 6: Gross Proceeds
Subtotals	56 00000			\$7,220.18	\$8,142.04	\$0 00	\$0 00	(\$921.86)	
ICICI BANK LTD SPON ADR CUSIP 45104G104	426 00000	06/22/2015	08/01/2016	3,126 77	4,434 02	0 00	0 00	-1,307 25	Box 6: Gross Proceeds
	230 00000	06/23/2015	08/01/2016	1,688 16	2,389 70	0 00	0 00	-701 54	Box 6: Gross Proceeds
	167 00000	06/26/2015	08/01/2016	1,225 75	1,744 97	0 00	0 00	-519 22	Box 6: Gross Proceeds
Subtotals	823.00000			\$6,040.68	\$8,568.69	\$0 00	\$0 00	(\$2,528.01)	
ISUZU MOTORS LTD ADR CUSIP 465254209	400 00000	08/29/2013	08/01/2016	5,126 76	4,827 39	0 00	0 00	299 37	Box 6: Gross Proceeds
	400 00000	08/30/2013	08/01/2016	5,126 76	4,937 44	0 00	0 00	189 32	Box 6: Gross Proceeds
	350 00000	09/04/2013	08/01/2016	4,485 92	4,250 70	0 00	0 00	235 22	Box 6: Gross Proceeds
	100 00000	02/03/2014	08/01/2016	1,281 69	1,195 80	0 00	0 00	85 89	Box 6: Gross Proceeds
	140 00000	07/14/2014	08/01/2016	1,794 37	1,880 62	0 00	0 00	-86 25	Box 6: Gross Proceeds
Subtotals	1390.00000			\$17,815.50	\$17,091.95	\$0 00	\$0 00	\$723.55	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KDDI CORP-UNSPONSORED ADR CUSIP 48667L106	377 00000 288 00000 70 00000	06/23/2015 06/24/2015 06/30/2015	08/01/2016 08/01/2016 08/01/2016	5,844 47 4,464 74 1,085 18	4,486 79 3,440 45 835 78	0 00 0 00 0 00	0 00 0 00 0 00	1,357 68 1,024 29 249 40	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	735.00000			\$11,394.39	\$8,763.02	\$0.00	\$0.00	\$2,631.37	
KOC HOLDING AS-UNSPON ADR CUSIP 49989A109	39 00000 15 00000 25 00000 43 00000 26 00000 38 00000 35 00000 7 00000 4 00000 9 00000 17 00000 26 00000 48 00000	03/24/2015 03/25/2015 03/26/2015 03/27/2015 03/30/2015 03/31/2015 04/01/2015 04/02/2015 04/06/2015 04/10/2015 04/13/2015 04/14/2015 04/15/2015	08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	855 44 329 01 548 36 943 18 570 30 833 51 767 71 153 54 87 73 197 41 372 89 570 30 1,052 88	912 67 353 98 577 29 979 22 594 41 861 94 796 66 161 08 97 59 211 27 392 78 588 63 1,063 46	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	-57 23 -24 97 -28 93 -36 04 -24 11 -28 43 -28 95 -7 54 -9 86 -13 86 -19 89 -18 33 -10 58	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	332.00000			\$7,282.26	\$7,590.98	\$0.00	\$0.00	(\$308.72)	
KOREA ELEC PWR CORP SPONSORED ADR CUSIP 500631106	10 00000 9 00000 16 00000 31 00000 15 00000 17 00000 3 00000	02/17/2015 02/18/2015 02/19/2015 02/24/2015 02/25/2015 02/26/2015 02/27/2015	08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	272 62 245 36 436 20 845 15 408 94 463 47 81 78	202 81 182 36 323 92 639 70 313 38 356 62 61 79	0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00	69 81 63 00 112 28 205 45 95 56 106 85 19 99	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
KOREA ELEC PWR CORP SPONSORED ADR CUSIP 500631106	49 00000	02/27/2015	08/01/2016	1,335.88	1,010.89	0.00	0.00	324.99	Box 6: Gross Proceeds
	8 00000	03/02/2015	08/01/2016	218.10	163.12	0.00	0.00	54.98	Box 6: Gross Proceeds
	44 00000	03/02/2015	08/01/2016	1,199.57	896.23	0.00	0.00	303.34	Box 6: Gross Proceeds
	2 00000	03/02/2015	08/01/2016	54.52	40.71	0.00	0.00	13.81	Box 6: Gross Proceeds
	22 00000	03/03/2015	08/01/2016	599.78	455.51	0.00	0.00	144.27	Box 6: Gross Proceeds
	20 00000	03/03/2015	08/01/2016	545.26	412.11	0.00	0.00	133.15	Box 6: Gross Proceeds
	29 00000	03/03/2015	08/01/2016	790.62	597.99	0.00	0.00	192.63	Box 6: Gross Proceeds
	25 00000	03/04/2015	08/01/2016	681.57	515.32	0.00	0.00	166.25	Box 6: Gross Proceeds
	24 00000	03/05/2015	08/01/2016	654.31	487.26	0.00	0.00	167.05	Box 6: Gross Proceeds
	18 00000	03/06/2015	08/01/2016	490.73	364.40	0.00	0.00	126.33	Box 6: Gross Proceeds
	14 00000	03/09/2015	08/01/2016	381.68	284.37	0.00	0.00	97.31	Box 6: Gross Proceeds
	44 00000	03/10/2015	08/01/2016	1,199.57	864.45	0.00	0.00	335.12	Box 6: Gross Proceeds
	29 00000	03/12/2015	08/01/2016	790.62	546.29	0.00	0.00	244.33	Box 6: Gross Proceeds
	211 00000	04/21/2015	08/01/2016	5,752.51	4,474.32	0.00	0.00	1,278.19	Box 6: Gross Proceeds
	189 00000	04/27/2015	08/01/2016	5,152.72	4,109.29	0.00	0.00	1,043.43	Box 6: Gross Proceeds
Subtotals	829.00000			\$22,600.96	\$17,302.84	\$0.00	\$0.00	\$5,298.12	
MAGNA INTL INC CL A CUSIP 559222401	164 00000	05/21/2012	08/01/2016	6,329.05	3,415.91	0.00	0.00	2,913.14	Box 6: Gross Proceeds
MAN GROUP PLC-UNSPON ADR CUSIP 56164U107	215 00000	05/22/2015	08/01/2016	310.24	599.27	0.00	0.00	-289.03	Box 6: Gross Proceeds
	261 00000	05/26/2015	08/01/2016	376.62	713.23	0.00	0.00	-336.61	Box 6: Gross Proceeds
	228 00000	05/26/2015	08/01/2016	329.00	623.06	0.00	0.00	-294.06	Box 6: Gross Proceeds
	169 00000	05/28/2015	08/01/2016	243.86	462.55	0.00	0.00	-218.69	Box 6: Gross Proceeds
	235 00000	05/29/2015	08/01/2016	339.10	641.48	0.00	0.00	-302.38	Box 6: Gross Proceeds
	135 00000	06/01/2015	08/01/2016	194.80	375.03	0.00	0.00	-180.23	Box 6: Gross Proceeds
	228 00000	06/02/2015	08/01/2016	329.00	633.34	0.00	0.00	-304.34	Box 6: Gross Proceeds
	232 00000	06/03/2015	08/01/2016	334.77	647.86	0.00	0.00	-313.09	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions Continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
MAN GROUP PLC-UNSPON ADR CUSIP 56164U107	235 00000	06/04/2015	08/01/2016	339 10	641 97	0 00	0 00	-302 87	Box 6: Gross Proceeds
	209 00000	06/05/2015	08/01/2016	301 59	559 14	0 00	0 00	-257 55	Box 6: Gross Proceeds
	207 00000	06/08/2015	08/01/2016	298 70	538 72	0 00	0 00	-240 02	Box 6: Gross Proceeds
	202 00000	06/09/2015	08/01/2016	291 49	521 85	0 00	0 00	-230 36	Box 6: Gross Proceeds
	184 00000	06/10/2015	08/01/2016	265 51	478 53	0 00	0 00	-213 02	Box 6: Gross Proceeds
	104 00000	06/10/2015	08/01/2016	150 07	272 01	0 00	0 00	-121 94	Box 6: Gross Proceeds
	187 00000	06/12/2015	08/01/2016	269 85	480 48	0 00	0 00	-210 63	Box 6: Gross Proceeds
	159 00000	06/15/2015	08/01/2016	229 45	396 21	0 00	0 00	-166 76	Box 6: Gross Proceeds
	73 00000	06/16/2015	08/01/2016	105 35	187 42	0 00	0 00	-82 07	Box 6: Gross Proceeds
Subtotals	3263.00000			\$4,708.50	\$8,772.15	\$0.00	\$0.00	(\$4,063.65)	
MANULIFE FINL CORP CUSIP 56501R106	515 00000	05/21/2012	03/18/2016	7 261 08	5,673 03	0 00	0 00	1,588 05	Box 6: Gross Proceeds
	330 00000	05/21/2012	08/01/2016	4,502 39	3,635 14	0 00	0 00	867 25	Box 6: Gross Proceeds
	73 00000	07/14/2014	08/01/2016	995 98	1,485 92	0 00	0 00	-489 94	Box 6: Gross Proceeds
	84 00000	01/23/2015	08/01/2016	1,146 07	1,420 44	0 00	0 00	-274 37	Box 6: Gross Proceeds
Subtotals	1002.00000			\$13,905.52	\$12,214.53	\$0.00	\$0.00	\$1,690.99	
MARKS & SPENCER PLC-ADR CUSIP 570912105	488 00000	05/21/2012	08/01/2016	3,982 59	4,988 88	0 00	0 00	-1,006 29	Box 6: Gross Proceeds
	81 00000	10/15/2014	08/01/2016	689 29	1,013 13	0 00	0 00	-323 84	Box 6: Gross Proceeds
	213 00000	10/16/2014	08/01/2016	1,812 59	2,724 04	0 00	0 00	-911 45	Box 6: Gross Proceeds
	263 00000	10/17/2014	08/01/2016	2,238 08	3,452 11	0 00	0 00	-1,214 03	Box 6: Gross Proceeds
	54 00000	10/20/2014	08/01/2016	459 53	709 75	0 00	0 00	-250 22	Box 6: Gross Proceeds
	117 00000	10/20/2014	08/01/2016	995 66	1,579 50	0 00	0 00	-583 84	Box 6: Gross Proceeds
Subtotals	1196.00000			\$10,177.74	\$14,467.41	\$0.00	\$0.00	(\$4,289.67)	

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Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
MITSUBI & CO LTD ADR CUSIP 606827202	20 00000	01/23/2015	02/19/2016	4,644.75	5,338.80	0.00	0.00	-694.05	Box 6: Gross Proceeds
	10 00000	01/23/2015	02/23/2016	2,408.54	2,669.40	0.00	0.00	-260.86	Box 6: Gross Proceeds
	3 00000	01/23/2015	02/26/2016	706.24	800.82	0.00	0.00	-94.58	Box 6: Gross Proceeds
Subtotals	33.00000			\$7,759.53	\$8,809.02	\$0.00	\$0.00	(\$1,049.49)	
NORDEA BANK AB SPONSORED ADR CUSIP 65557A206	24 00000	05/15/2015	08/01/2016	212.04	316.15	0.00	0.00	-104.11	Box 6: Gross Proceeds
	156 00000	05/18/2015	08/01/2016	1,378.26	2,061.07	0.00	0.00	-682.81	Box 6: Gross Proceeds
	180 00000	05/20/2015	08/01/2016	1,590.30	2,372.38	0.00	0.00	-782.08	Box 6: Gross Proceeds
	212 00000	05/26/2015	08/01/2016	1,873.02	2,803.72	0.00	0.00	-930.70	Box 6: Gross Proceeds
	230 00000	05/27/2015	08/01/2016	2,032.06	3,032.39	0.00	0.00	-1,000.33	Box 6: Gross Proceeds
	275 00000	05/28/2015	08/01/2016	2,429.63	3,632.97	0.00	0.00	-1,203.34	Box 6: Gross Proceeds
	126 00000	05/29/2015	08/01/2016	1,113.21	1,650.81	0.00	0.00	-537.60	Box 6: Gross Proceeds
	144 00000	06/01/2015	08/01/2016	1,272.26	1,872.96	0.00	0.00	-600.70	Box 6: Gross Proceeds
Subtotals	1347.00000			\$11,900.78	\$17,742.45	\$0.00	\$0.00	(\$5,841.67)	
OPEN TEXT CORP CUSIP 683715106	43 00000	07/11/2014	08/01/2016	2,637.05	2,031.42	0.00	0.00	605.63	Box 6: Gross Proceeds
	7 00000	07/14/2014	08/01/2016	429.28	329.14	0.00	0.00	100.14	Box 6: Gross Proceeds
	5 00000	07/14/2014	08/01/2016	306.63	235.15	0.00	0.00	71.48	Box 6: Gross Proceeds
	16 00000	07/15/2014	08/01/2016	981.23	751.51	0.00	0.00	229.72	Box 6: Gross Proceeds
	6 00000	07/16/2014	08/01/2016	367.96	281.99	0.00	0.00	85.97	Box 6: Gross Proceeds
	6 00000	07/23/2014	08/01/2016	367.96	286.50	0.00	0.00	81.46	Box 6: Gross Proceeds
	5 00000	07/24/2014	08/01/2016	306.63	238.30	0.00	0.00	68.33	Box 6: Gross Proceeds
	9 00000	07/25/2014	08/01/2016	551.94	426.78	0.00	0.00	125.16	Box 6: Gross Proceeds
	10 00000	07/29/2014	08/01/2016	613.27	474.18	0.00	0.00	139.09	Box 6: Gross Proceeds
	5 00000	07/31/2014	08/01/2016	306.63	273.71	0.00	0.00	32.92	Box 6: Gross Proceeds
	29 00000	08/01/2014	08/01/2016	1,778.52	1,603.91	0.00	0.00	174.61	Box 6: Gross Proceeds
Subtotals	141.00000			\$8,647.10	\$6,932.59	\$0.00	\$0.00	\$1,714.51	



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Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LUKOIL PJSC SPON ADR 1 RCPT=1 ORD SHRS CUSIP: 69343P105	380 00000	01/23/2015	08/01/2016	16,497 34	16,986 00	0 00	0 00	-488 66	Box 6: Gross Proceeds
PT BANK RAKYAT INDONESIA UNSPONSORED ADR-TO PUR CUSIP: 69366X100	34 00000 106 00000 116 00000 43 00000 62 00000 77 00000 438 00000	10/01/2014 10/02/2014 10/03/2014 10/06/2014 10/07/2014 10/08/2014	08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	600 93 1,873 51 2,050 25 760 01 1,095 82 1,360 96 \$7,741.48	597 57 1,821 91 1,923 66 720 53 1,067 35 1,299 58 \$7,430.60	0 00 0 00 0 00 0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 0 00 0 00 0 00 \$0.00	3 36 51 60 126 59 39 48 28 47 61 38 \$310.88	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
PERSIMMON PLC-UNSPON ADR CUSIP: 715318101	14 00000 5 00000 30 00000 10 00000 45 00000 9 00000 31 00000 20 00000 15 00000 10 00000 15 00000 5 00000 15 00000 10 00000 50 00000 40 00000	04/29/2014 05/02/2014 05/06/2014 05/07/2014 05/08/2014 05/15/2014 05/15/2014 05/22/2014 05/23/2014 05/28/2014 05/29/2014 05/30/2014 06/17/2014 06/18/2014 06/19/2014 06/20/2014	03/08/2016 03/08/2016 03/08/2016 03/08/2016 03/08/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016 08/01/2016	782 49 279 46 1,676 78 558 93 2,515 19 503 04 1,362 60 879 10 659 32 439 55 659 32 219 77 659 32 439 55 2,197 77 1,758 21	617 05 224 81 1,406 45 482 47 2,097 14 406 29 1,399 41 911 92 674 92 456 63 666 83 225 06 616 79 410 52 2,105 83 1,683 69	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	165 44 54 65 270 33 96 46 418 05 96 75 -36 81 -32 82 -15 60 -17 08 -7 51 -5 29 42 53 29 03 91 94 74 52	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PERSIMMON PLC-UNSPON ADR CUSIP 715318101	47 00000	07/14/2014	08/01/2016	2,065 91	2,016 07	0 00	0 00	49 84	Box 6: Gross Proceeds
Subtotals	371.00000			\$17,656 31	\$16,381.88	\$0.00	\$0.00	\$1,274 43	
ROYAL DUTCH SHELL PLC ADR CL A CUSIP 780259206	290 00000	05/21/2012	08/01/2016	14,540 57	18,244 42	0 00	0 00	-3,703 85	Box 6: Gross Proceeds
	50 00000	09/05/2013	08/01/2016	2,506 99	3,234 50	0 00	0 00	-727 51	Box 6: Gross Proceeds
	52 00000	01/23/2015	08/01/2016	2,607 28	3,413 28	0 00	0 00	-806 00	Box 6: Gross Proceeds
Subtotals	392.00000			\$19,654.84	\$24,892.20	\$0.00	\$0.00	(\$5,237.36)	
SASOL LTD SPONS ADR CUSIP 803866300	484 00000	01/23/2015	08/01/2016	12,692 38	17,076 72	0 00	0 00	-4,384 34	Box 6: Gross Proceeds
SKY PLC SPONSORED CUSIP 83084V106	27 00000	08/22/2014	04/27/2016	1,506 63	1,554 56	0 00	0 00	-47 93	Box 6: Gross Proceeds
	15 00000	08/26/2014	04/27/2016	837 02	873 38	0 00	0 00	-36 36	Box 6: Gross Proceeds
	15 00000	08/27/2014	04/27/2016	837 02	878 70	0 00	0 00	-41 68	Box 6: Gross Proceeds
	5 00000	08/28/2014	04/27/2016	279 00	292 83	0 00	0 00	-13 83	Box 6: Gross Proceeds
	7 00000	08/29/2014	04/27/2016	390 61	411 55	0 00	0 00	-20 94	Box 6: Gross Proceeds
	12 00000	09/02/2014	04/27/2016	669 62	707 68	0 00	0 00	-38 06	Box 6: Gross Proceeds
	11 00000	09/03/2014	04/27/2016	613 81	648 66	0 00	0 00	-34 85	Box 6: Gross Proceeds
	6 00000	09/05/2014	04/27/2016	334 81	348 91	0 00	0 00	-14 10	Box 6: Gross Proceeds
	10 00000	09/08/2014	04/27/2016	558 01	574 47	0 00	0 00	-16 46	Box 6: Gross Proceeds
	18 00000	09/09/2014	04/27/2016	1,004 43	1,031 40	0 00	0 00	-26 97	Box 6: Gross Proceeds
	13 00000	09/10/2014	04/27/2016	725 44	746 40	0 00	0 00	-20 96	Box 6: Gross Proceeds
	6 00000	09/10/2014	04/27/2016	334 81	343 40	0 00	0 00	-8 59	Box 6: Gross Proceeds
Subtotals	145 00000			\$8,091.21	\$8,411 94	\$0.00	\$0.00	(\$320 73)	

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Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SMURFIT KAPPA GROUP ADR CUSIP 83272W106	8 000000	05/15/2014	08/01/2016	184 83	183 03	0 00	0 00	1 80	Box 6: Gross Proceeds
	60 000000	05/16/2014	08/01/2016	1,386 27	1,326 83	0 00	0 00	59 44	Box 6: Gross Proceeds
	30 000000	05/20/2014	08/01/2016	693 13	677 42	0 00	0 00	15 71	Box 6: Gross Proceeds
	10 000000	05/21/2014	08/01/2016	231 04	222 70	0 00	0 00	8 34	Box 6: Gross Proceeds
	30 000000	05/22/2014	08/01/2016	693 13	683 67	0 00	0 00	9 46	Box 6: Gross Proceeds
	10 000000	06/20/2014	08/01/2016	231 04	227 90	0 00	0 00	3 14	Box 6: Gross Proceeds
	10 000000	06/25/2014	08/01/2016	231 04	227 85	0 00	0 00	3 19	Box 6: Gross Proceeds
	12 000000	06/27/2014	08/01/2016	277 25	275 90	0 00	0 00	1 35	Box 6: Gross Proceeds
	86 000000	07/01/2014	08/01/2016	1,986 99	1,943 42	0 00	0 00	43 57	Box 6: Gross Proceeds
	86 000000	07/14/2014	08/01/2016	1,986 99	1,835 46	0 00	0 00	151 53	Box 6: Gross Proceeds
Subtotals	342.000000			\$7,901.71	\$7,604.18	\$0.00	\$0.00	\$297.53	
SVENSKA CELLULOSE AB SP ADR CUSIP 869587402	99 000000	05/21/2012	03/03/2016	2,902 62	1,424 61	0 00	0 00	1,478 01	Box 6: Gross Proceeds
	153 000000	05/21/2012	08/01/2016	4,518 76	2,201 67	0 00	0 00	2,317 09	Box 6: Gross Proceeds
	102 000000	07/14/2014	08/01/2016	3,012 51	2,618 34	0 00	0 00	394 17	Box 6: Gross Proceeds
Subtotals	354.000000			\$10,433.89	\$6,244.62	\$0.00	\$0.00	\$4,189.27	
T&D HOLDINGS INC ADR CUSIP 872120100	219 000000	03/23/2015	08/01/2016	1,128 78	1,472 86	0 00	0 00	-344 08	Box 6: Gross Proceeds
	202 000000	03/24/2015	08/01/2016	1,041 16	1,375 98	0 00	0 00	-334 82	Box 6: Gross Proceeds
	162 000000	03/25/2015	08/01/2016	834 99	1,116 78	0 00	0 00	-281 79	Box 6: Gross Proceeds
	197 000000	03/27/2015	08/01/2016	1,015 38	1,360 95	0 00	0 00	-345 57	Box 6: Gross Proceeds
	211 000000	03/30/2015	08/01/2016	1,087 55	1,445 58	0 00	0 00	-358 03	Box 6: Gross Proceeds
	204 000000	03/31/2015	08/01/2016	1,051 47	1,396 73	0 00	0 00	-345 26	Box 6: Gross Proceeds
Subtotals	1195.000000			\$6,159.33	\$8,168.88	\$0.00	\$0.00	(\$2,009.55)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

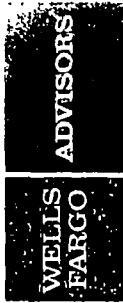
Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ISRAEL CHEMICALS LIMITED CUSIP M5920A109	38 00000	12/23/2014	02/01/2016	152 68	281 05	0 00	0 00	-128 37	Box 6: Gross Proceeds
	7 00000	12/23/2014	02/02/2016	27 65	51 78	0 00	0 00	-24 13	Box 6: Gross Proceeds
	85 00000	12/23/2014	02/03/2016	342 05	628 64	0 00	0 00	-286 59	Box 6: Gross Proceeds
	47 00000	12/23/2014	02/04/2016	197 07	347 59	0 00	0 00	-150 52	Box 6: Gross Proceeds
	38 00000	12/29/2014	02/04/2016	159 34	272 85	0 00	0 00	-113 51	Box 6: Gross Proceeds
	34 00000	12/29/2014	02/05/2016	142 88	244 13	0 00	0 00	-101 25	Box 6: Gross Proceeds
	45 00000	12/29/2014	02/08/2016	189 89	323 11	0 00	0 00	-133 22	Box 6: Gross Proceeds
	85 00000	12/29/2014	02/09/2016	359 36	610 32	0 00	0 00	-250 96	Box 6: Gross Proceeds
	35 00000	12/29/2014	02/10/2016	143 72	251 30	0 00	0 00	-107 58	Box 6: Gross Proceeds
	10 00000	12/29/2014	02/11/2016	39 64	71 80	0 00	0 00	-32 16	Box 6: Gross Proceeds
	35 00000	01/02/2015	02/11/2016	138 77	255 74	0 00	0 00	-116 97	Box 6: Gross Proceeds
	38 00000	01/02/2015	02/12/2016	151 07	277 66	0 00	0 00	-126 59	Box 6: Gross Proceeds
	15 00000	01/02/2015	02/16/2016	59 50	109 60	0 00	0 00	-50 10	Box 6: Gross Proceeds
	11 00000	02/06/2015	02/16/2016	43 65	82 38	0 00	0 00	-38 73	Box 6: Gross Proceeds
	27 00000	02/06/2015	02/18/2016	108 87	202 19	0 00	0 00	-93 32	Box 6: Gross Proceeds
	26 00000	02/06/2015	02/19/2016	103 22	194 69	0 00	0 00	-91 47	Box 6: Gross Proceeds
Subtotals	906 00000			\$3,899 54	\$6,636 42	\$0 00	\$0 00	(\$2,936 88)	
Totals				\$481,945 77	\$509,877 12	\$0 00	\$0 00	(\$27,931 35)	

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Proceeds from Broker and Barter Exchange Transactions: Continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AXIS CAPITAL HLDGS LTD CUSIP G0692U109	77 00000	02/04/2010	05/12/2016	4,142.20	2,190.61	0.00	0.00	1,951.59	Box 6: Gross Proceeds
Totals				\$4,142.20	\$2,190.61	\$0.00	\$0.00	\$1,951.59	

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Proceeds from Broker and Dealer Exchange Transactions - Continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ANHUI CONCH CEMENT CO LTD - UNSPON ADR CUSIP: 035243104	141 00000 143 00000 193 00000 477 00000	N/A N/A N/A	02/01/2016 02/02/2016 02/03/2016	1,378.19 1,401.81 1,792.15 \$4,572.15	2516 2552 3444 \$0.00	N/A N/A N/A \$0.00	N/A N/A N/A \$0.00	N/A N/A N/A \$0.00	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
BELLE INTL HOLDINGS LTD- UNSPON ADR CUSIP: 078454105	69 00000 155 00000 224 00000	N/A N/A	02/11/2016 02/12/2016	445.61 972.88 \$1,418.49	759 1739 2498	N/A N/A \$0.00	N/A N/A \$0.00	N/A N/A \$0.00	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
KEPPEL LTD SPONSORED ADR CUSIP: 492051305	164 00000 321 00000 303 00000 8 00000 796 00000	N/A N/A N/A N/A	02/01/2016 02/03/2016 02/04/2016 02/05/2016	1,145.41 2,145.46 2,093.69 55.84 \$5,440.40	2143 4200 3958 10 \$0.00	N/A N/A N/A N/A \$0.00	N/A N/A N/A N/A \$0.00	N/A N/A N/A N/A \$0.00	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
ZURICH INSURANCE GROUP ADR CUSIP: 989825104	291 00000 118 00000 62 00000 471 00000	N/A N/A N/A	02/09/2016 02/11/2016 02/12/2016	5,951.60 2,432.25 1,293.32 \$9,677.17	6219 2522 1399 \$0.00	N/A N/A N/A \$0.00	N/A N/A N/A \$0.00	N/A N/A N/A \$0.00	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
ISRAEL CHEMICALS LIMITED CUSIP: M5920A109	38 00000 7 00000 85 00000 85 00000 34 00000 45 00000 85 00000	N/A N/A N/A N/A N/A N/A	02/01/2016 02/02/2016 02/03/2016 02/04/2016 02/05/2016 02/08/2016 02/09/2016	152.68 27.65 342.05 356.41 142.88 189.89 359.36	281 52 629 626 244 323 610	N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A N/A	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds



2016 Year-End Account Summary

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Account Number: 7485-8045

FLEMING FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP	Quantity Sold	Date Acquired	Date Sold or Disposed	Proceeds Box 5a	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ISRAEL CHEMICALS LIMITED CUSIP: MS920A109	35.00000	N/A	02/10/2016	143.72	256	N/A	N/A	N/A	Box 6: Gross Proceeds
	45.00000	N/A	02/11/2016	178.41	323	N/A	N/A	N/A	Box 6: Gross Proceeds
	38.00000	N/A	02/12/2016	151.07	277	N/A	N/A	N/A	Box 6: Gross Proceeds
Subtotals	497.00000			\$2,044.12	365	\$0.00	\$0.00	(1571)	N/A
Totals				\$23,152.33	35171	\$0.00	\$0.00	(12019)	N/A

For some tax lots complete data is not available. Therefore you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any taxable cost or proceeds, even if the Gain/Loss is marked N/A.

Account Workbook

Closed Tax Lots

Filter Set: 02/01/2016 - 02/12/2016

ACCT: 7465-6049

Quantity	Description 1	CUSIP	Symbol	Open Date	Close Date	Unit Cost	Trade Price	Cost Amount	Close Price	Close Amt	Realized	Short	Long	Term
1	141 ANHUI CONCH CEMENT CO	035243-10-4	ANHCH	01/23/2015	02/01/2016	17.8450	17.8450	2,516.15	9.7746	1,378.19	-1,137.96		-1,137.96 LONG	
2	143 ANHUI CONCH CEMENT CO	035243-10-4	ANHCH	01/23/2015	02/02/2016	17.8450	17.8450	2,551.83	9.8031	1,401.81	-1,150.02		-1,150.02 LONG	
3	193 ANHUI CONCH CEMENT CO	035243-10-4	ANHCH	01/23/2015	02/03/2016	17.8449	17.8450	3,444.07	9.2859	1,792.15	-1,651.92		-1,651.92 LONG	
4	18 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/18/2014	02/11/2016	11.0339	11.0339	198.61	6.4583	116.24	-82.37		-82.37 LONG	
5	38 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/19/2014	02/11/2016	10.9184	10.9185	414.90	6.4583	245.41	-169.49		-169.49 LONG	
6	13 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/22/2014	02/11/2016	11.1815	11.1816	145.36	6.4583	83.96	-61.40		-61.40 LONG	
7	21 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/23/2014	02/12/2016	11.1814	11.1816	234.81	6.2768	131.80	-103.01		-103.01 LONG	
8	18 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/23/2014	02/12/2016	11.0333	11.0334	198.60	6.2768	112.98	-85.62		-85.62 LONG	
9	12 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/24/2014	02/12/2016	11.0908	11.0911	133.09	6.2768	75.32	-57.77		-57.77 LONG	
10	23 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/29/2014	02/12/2016	11.4674	11.4673	263.75	6.2768	144.36	-119.39		-119.39 LONG	
11	81 BELLE INTL HOLDINGS LTD	078454-10-5	BELLY	12/30/2014	02/12/2016	11.2558	11.2558	909.29	6.2768	508.42	-400.87		-400.87 LONG	
12	38 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/23/2014	02/01/2016	7.3961	7.3959	281.05	4.0180	152.68	-128.37		-128.37 LONG	
13	7 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/23/2014	02/02/2016	7.3971	7.3959	51.78	3.9500	27.65	-24.13		-24.13 LONG	
14	85 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/23/2014	02/03/2016	7.3958	7.3959	628.64	4.0242	342.05	-286.59		-286.59 LONG	
15	47 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/23/2014	02/04/2016	7.3955	7.3959	347.59	4.1932	197.07	-150.52		-150.52 LONG	
16	38 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/29/2014	02/04/2016	7.1803	7.1802	272.85	4.1932	159.34	-113.51		-113.51 LONG	
17	34 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/29/2014	02/05/2016	7.1803	7.1802	244.13	4.2024	142.88	-101.25		-101.25 LONG	
18	45 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/29/2014	02/08/2016	7.1802	7.1802	323.11	4.2197	189.89	-133.22		-133.22 LONG	
19	85 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/29/2014	02/09/2016	7.1802	7.1802	510.32	4.2279	359.36	-250.96		-250.96 LONG	
20	35 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/29/2014	02/10/2016	7.1800	7.1802	251.30	4.1063	143.72	-107.58		-107.58 LONG	
21	10 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	12/29/2014	02/11/2016	7.1800	7.1802	71.80	3.9647	39.64	-32.16		-32.16 LONG	
22	35 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	01/02/2015	02/11/2016	7.3068	7.3068	255.74	3.9647	138.77	-116.97		-116.97 LONG	
23	38 ISRAEL CHEMICALS LIMITED	M5920A-10-9	ICL	01/02/2015	02/12/2016	7.3068	7.3068	272.66	3.9754	151.07	-126.59		-126.59 LONG	
24	75 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/21/2015	02/01/2016	13.1544	13.1545	986.58	6.9843	523.81	-462.77		-462.77 SHORT	
25	65 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/22/2015	02/01/2016	13.3786	13.3786	869.61	6.9843	453.97	-415.64		-415.64 SHORT	
26	24 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/26/2015	02/01/2016	13.2008	13.2006	316.82	6.9843	167.63	-149.19		-149.19 SHORT	
27	16 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/26/2015	02/03/2016	13.2000	13.2006	211.20	6.6838	106.93	-104.27		-104.27 SHORT	
28	66 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/27/2015	02/03/2016	13.1198	13.1198	865.91	6.6838	441.12	-424.79		-424.79 SHORT	
29	175 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/28/2015	02/03/2016	13.0711	13.0711	2,287.44	6.6838	1,169.65	-1,117.79		-1,117.79 SHORT	
30	64 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/29/2015	02/03/2016	13.0631	13.0630	836.04	6.6838	427.76	-408.28		-408.28 SHORT	
31	303 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/29/2015	02/04/2016	13.0630	13.0630	3,958.09	6.9100	2,093.69	-1,864.40		-1,864.40 SHORT	

Indicators.
Y-Covered Tax lot-Cost information for this tax lot is covered by IRS reporting requirements, N-Noncovered Tax lot-Cost information for this tax lot is not covered by IRS reporting requirements; M-Mixed-Net Row is a mix of Covered and Noncovered tax lot details, W-Open date reflects Wash Sale Date-The cost for this tax lot has been adjusted due to wash sale activity as defined by IRS regulations; Filter "All Tax Years" represents the current and the previous two tax years; CSI - Cash Standing Instructions indicates the disposition of stock and money on cash accounts, MMF Code - The MMF Code in the report header indicates how money market account balances are treated

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Closed Tax Lots

Filter Set: 02/01/2016 - 02/12/2016

ACCT: 7465-6049

Quantity	Description 1	CUSIP	Symbol	Open Date	Close Date	Unit Cost	Trade Price	Cost Amount	Close Price	Close Amt	Realized	Short	Long	Term
32	8 KEPPEL LTD SPONSORED ADR	492051-30-5	KPELY	05/29/2015	02/05/2016	13.0625	13.0630	104.50	6.9800	23,152.33	-11,217.030	-48.66	-48.66	SHORT
33	291 ZURICH INSURANCE GROUP	989825-10-4	ZURVY	05/21/2012	02/09/2016	21.3700	21.3700	6,218.67	20.4526	5,951.60	257.33	257.33	257.33	LONG
34	118 ZURICH INSURANCE GROUP	989825-10-4	ZURVY	05/21/2012	02/11/2016	21.3700	21.3700	2,521.66	20.6127	2,432.25	123.23	123.23	123.23	LONG
35	42 ZURICH INSURANCE GROUP	989825-10-4	ZURVY	05/21/2012	02/12/2016	21.3700	21.3700	897.54	20.8605	876.12	54.26	54.26	54.26	LONG
36	20 ZURICH INSURANCE GROUP	989825-10-4	ZURVY	09/05/2013	02/12/2016	25.0795	25.0790	501.59	20.8605	417.20	84.37	-84.37	-84.37	LONG

Indicators

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2016 Year-End Account Summary

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Proceeds from Broker and Barter Exchange Transactions:

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AIA GROUP LTD SPONSORED ADR CUSIP: 001317205	17.00000 174.00000	02/29/2016 02/29/2016	11/03/2016 11/04/2016	421.66 4,278.47	353.06 3,613.64	0.00 0.00	0.00 0.00	68.60 664.83	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	191.00000			\$4,700.13	\$3,966.70	\$0.00	\$0.00	\$733.43	
ASSA ABLOY AB - ADR CUSIP: 045387107	462.00000	02/29/2016	03/02/2016	4,368.29	4,428.52	0.00	0.00	-60.23	Box 6: Gross Proceeds
CAIXABANK UNSPON ADR CUSIP: 12803K109	0.73400	04/04/2016	04/04/2016	0.69	0.00	0.00	0.00	0.69	Box 6: Gross Proceeds Cash in Lieu
	3887.00000	02/29/2016	05/09/2016	3,265.01	4,588.57	0.00	0.00	-1,323.56	Box 6: Gross Proceeds
Subtotals	3887.73400			\$3,265.70	\$4,588.57	\$0.00	\$0.00	(\$1,322.87)	
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101	383.00000	09/24/2015	02/29/2016	7,998.51	7,359.65	0.00	0.00	638.86	Box 6: Gross Proceeds
CHICAGO BRIDGE AND IRON - NEW YORK SHARES CUSIP: 167250109	61.00000 157.00000	12/11/2015 12/11/2015	02/23/2016 02/29/2016	2,089.81 5,319.86	2,352.38 6,054.46	0.00 0.00	0.00 0.00	-262.57 -734.60	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	218.00000			\$7,409.67	\$8,406.84	\$0.00	\$0.00	(\$997.17)	
COMPAGNIE FINANCIERE RICHEMONT SA-UNSPON ADR CUSIP: 204319107	9.00000 681.00000	02/29/2016 02/29/2016	10/03/2016 11/03/2016	54.36 4,380.98	57.39 4,342.38	0.00 0.00	0.00 0.00	-3.03 38.60	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	690.00000			\$4,435.34	\$4,399.77	\$0.00	\$0.00	\$35.57	

2016 Year-End Account Summary

As of Date: 02/21/17

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FLEMING FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CREDIT SUISSE GROUP SPON ADR CUSIP: 225401108	281 00000 22 00000	02/29/2016 03/09/2016	08/30/2016 08/30/2016	3,569.24 279.45	3,799.12 329.34	0.00 0.00	0.00 0.00	-229.88 -49.89	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	303.00000			\$3,848.69	\$4,128.46	\$0.00	\$0.00	(\$279.77)	
DBS GROUP HOLDINGS SPON ADR CUSIP: 23304Y100	74 00000 5 00000	02/29/2016 03/09/2016	04/04/2016 04/04/2016	3,322.78 224.52	2,877.49 222.88	0.00 0.00	0.00 0.00	445.29 1.64	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	79.00000			\$3,547.30	\$3,100.37	\$0.00	\$0.00	\$446.93	
DANONE SPONSORED ADR CUSIP: 23636T100	236 00000	02/29/2016	08/30/2016	3,634.32	3,311.98	0.00	0.00	322.34	Box 6: Gross Proceeds
DENSO CORPORATION ADR CUSIP: 24872B100	213 00000 8 00000	02/29/2016 03/09/2016	04/04/2016 04/04/2016	3,927.08 147.50	3,977.78 156.72	0.00 0.00	0.00 0.00	-50.70 -9.22	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	221.00000			\$4,074.58	\$4,134.50	\$0.00	\$0.00	(\$59.92)	
FANUC CORP UNSPONSORED ADR CUSIP: 307305102	0.00100	11/09/2016	11/09/2016	0.02	0.00	0.00	0.00	0.02	Box 6: Gross Proceeds Cash in Lieu
HDFC BANK LTD-ADR CUSIP: 40415F101	1.00000	02/29/2016	10/03/2016	72.08	53.38	0.00	0.00	18.70	Box 6: Gross Proceeds
JARDINE MATHESON HLDGS LTD-UNSP ADR CUSIP: 471115402	3.00000	02/29/2016	10/03/2016	182.55	175.02	0.00	0.00	7.53	Box 6: Gross Proceeds

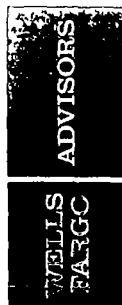
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
KAWASAKI HEAVY INDS LTD SPON ADR CUSIP: 486359201	316.00000 211.00000 30.00000	02/29/2016 02/29/2016 03/09/2016	05/12/2016 10/03/2016 10/03/2016	3,633.10 2,301.74 327.27	3,451.13 2,304.39 353.85	0.00 0.00 0.00	0.00 0.00 0.00	181.97 Box 6: Gross Proceeds -2.65 Box 6: Gross Proceeds -26.58 Box 6: Gross Proceeds	
Subtotals	557.00000			\$6,262.11	\$6,109.37	\$0.00	\$0.00	\$152.74	
L'OREAL CO ADR CUSIP: 502117203	122.00000	02/29/2016	11/29/2016	4,172.40	4,140.03	0.00	0.00	32.37 Box 6: Gross Proceeds	
NATIONAL GRID PLC SPON ADR NEW CUSIP: 636274300	70.00000	02/29/2016	06/14/2016	4,820.27	4,750.04	0.00	0.00	70.23 Box 6: Gross Proceeds	
NIDEC CORPORATION-ADR CUSIP: 654090109	5.00000	02/29/2016	10/03/2016	114.08	84.20	0.00	0.00	29.88 Box 6: Gross Proceeds	
NISSAN MTR LTD SPONS-ADR CUSIP: 654744408	30.00000 204.00000 27.00000	02/29/2016 02/29/2016 03/09/2016	08/30/2016 08/31/2016 08/31/2016	587.99 4,009.18 530.63	545.31 3,708.07 515.16	0.00 0.00 0.00	0.00 0.00 0.00	42.68 Box 6: Gross Proceeds 301.11 Box 6: Gross Proceeds 15.47 Box 6: Gross Proceeds	
Subtotals	261.00000			\$5,127.80	\$4,768.54	\$0.00	\$0.00	\$359.26	
NOVO NORDISK A S ADR CUSIP: 670100205	3.00000	02/29/2016	10/03/2016	122.79	155.22	0.00	0.00	-32.43 Box 6: Gross Proceeds	
ORIX CORP SPONSORED ADR CUSIP: 686330101	44.00000 7.00000	07/11/2016 10/03/2016	10/10/2016 10/10/2016	3,113.39 495.32	2,903.40 500.15	0.00 0.00	0.00 0.00	209.99 Box 6: Gross Proceeds -4.83 Box 6: Gross Proceeds	
Subtotals	51.00000			\$3,608.71	\$3,403.55	\$0.00	\$0.00	\$205.16	
POTASH CORP OF SASKATCHEWAN INC CUSIP: 737551107	253.00000	06/23/2015	02/29/2016	4,360.19	7,774.66	0.00	0.00	-3,414.47 Box 6: Gross Proceeds	

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Proceeds from Broker and Barter Exchange Transactions, continued**Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)**

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
PRUDENTIAL PLC SPON ADR CUSIP: 74435K204	101 00000	02/29/2016	07/11/2016	3,264.26	3,515.38	0.00	0.00	-251.12	Box 6: Gross Proceeds
RIO TINTO PLC SPONSORED ADR CUSIP: 767204100	16 00000	03/09/2016	11/29/2016	618.93	464.32	0.00	0.00	154.61	Box 6: Gross Proceeds
SAMPO OYJ-A SHS UNSP ADR CUSIP: 79588J102	151.00000	02/29/2016	10/03/2016	3,364.63	3,392.90	0.00	0.00	-28.27	Box 6: Gross Proceeds
SAMSONITE INTERNATIONAL SA-UNSPONSORED ADR CUSIP: 79604U107	9.00000	02/29/2016	10/03/2016	142.65	131.99	0.00	0.00	10.66	Box 6: Gross Proceeds
SAP SE-SPONSORED ADR CUSIP: 803054204	57 00000	02/29/2016	06/14/2016	4,307.06	4,336.40	0.00	0.00	-29.34	Box 6: Gross Proceeds
SOFTBANK GROUP CORP UNSPON ADR CUSIP: 83404D109	178 00000	02/29/2016	12/20/2016	5,963.07	4,408.18	0.00	0.00	1,554.89	Box 6: Gross Proceeds
SOUTH32 LTD ADR SPONSORED CUSIP: 84473L105	440 00000	05/29/2015	02/29/2016	1,960.16	3,960.00	0.00	0.00	-1,999.84	Box 6: Gross Proceeds
TECK RESOURCES LTD CLASS B CUSIP: 87874Z204	128 00000	02/23/2016	02/29/2016	747.54	777.72	0.00	0.00	-30.18	Box 6: Gross Proceeds
TREND MICRO INC SPON ADR CUSIP: 89486M206	3.00000 140.00000 7 00000	02/29/2016 02/29/2016 03/09/2016	11/03/2016 11/04/2016 11/04/2016	104.42 4,743.32 237.16	109.83 5,125.40 268.35	0.00 0.00 0.00	0.00 0.00 0.00	-5.41 -382.08 -31.19	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions: continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TREND MICRO INC SPON ADR CUSIP: 89486M206	3.00000	10/03/2016	11/04/2016	101.65	104.24	0.00	0.00	-2.59	Box 6: Gross Proceeds
Subtotals	153.00000			\$5,186.55	\$5,607.82	\$0.00	\$0.00	(\$421.27)	
VALE S A ADR CUSIP: 91912E105	324.00000	06/23/2015	02/23/2016	1,005.32	2,086.56	0.00	0.00	-1,081.24	Box 6: Gross Proceeds
	786.00000	06/23/2015	02/29/2016	2,318.64	5,061.84	0.00	0.00	-2,743.20	Box 6: Gross Proceeds
	1089.00000	09/24/2015	02/29/2016	3,212.48	4,824.27	0.00	0.00	-1,611.79	Box 6: Gross Proceeds
	5047.00000	01/12/2016	02/29/2016	14,888.33	12,020.94	0.00	0.00	2,867.39	Box 6: Gross Proceeds
Subtotals	7246.00000			\$21,424.77	\$23,993.61	\$0.00	\$0.00	(\$2,568.84)	
ENSCO PLC CLASS A CUSIP: G3157S106	269.00000	06/23/2015	02/29/2016	2,360.49	6,103.58	0.00	0.00	-3,743.09	Box 6: Gross Proceeds
	448.00000	02/23/2016	02/29/2016	3,931.24	3,740.76	0.00	0.00	190.48	Box 6: Gross Proceeds
Subtotals	717.00000			\$6,291.73	\$9,844.34	\$0.00	\$0.00	(\$3,552.61)	
LIBERTY GLOBAL PLC SHS CL A CUSIP: G5480U104	155.00000	02/29/2016	11/29/2016	5,003.06	5,029.45	0.00	0.00	-26.39	Box 6: Gross Proceeds
LIBERTY GLOBAL PLC LILAC SHS CL A USD CUSIP: G5480U138	0.35314	02/29/2016	07/01/2016	11.63	13.34	0.00	0.00	-1.71	Box 6: Gross Proceeds Cash in Lieu
	0.02286	03/09/2016	07/01/2016	0.75	0.84	0.00	0.00	-0.09	Box 6: Gross Proceeds Cash in Lieu
	0.04000	06/14/2016	07/01/2016	1.32	1.40	0.00	0.00	-0.08	Box 6: Gross Proceeds Cash in Lieu
	38.20055	02/29/2016	07/07/2016	1,309.08	1,442.63	0.00	0.00	-133.55	Box 6: Gross Proceeds Cash in Lieu
	2.47252	03/09/2016	07/07/2016	84.73	90.99	0.00	0.00	-6.26	Box 6: Gross Proceeds
	4.32693	06/14/2016	07/07/2016	148.28	151.37	0.00	0.00	-3.09	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LIBERTY GLOBAL PLC CUSIP: G5480U138	45.41600			\$1,555.79	\$1,700.57	\$0.00	\$0.00	(\$144.78)	
MEDTRONIC PLC CUSIP: G5960L103	1.00000	02/29/2016	10/03/2016	86.01	77.73	0.00	0.00	8.28	Original Basis: 78.01 Box 6: Gross Proceeds
NABORS INDUSTRIES LTD CUSIP: G6359F103	258.00000	02/23/2016	02/29/2016	1,906.58	1,758.30	0.00	0.00	148.28	Box 6: Gross Proceeds
NOBLE CORP PLC SHS USD CUSIP: G65431101	370.00000	02/23/2016	02/29/2016	3,204.21	2,828.69	0.00	0.00	375.52	Box 6: Gross Proceeds
KEYENCE CORP CUSIP: J3249T102	11.00000	02/29/2016	08/31/2016	7,693.66	5,685.23	0.00	0.00	2,008.43	Box 6: Gross Proceeds
ASML HOLDING NV NY REGISTRY SHS NEW 2012 CUSIP: N07059210	49.00000	02/29/2016	11/29/2016	5,072.10	4,503.42	0.00	0.00	568.68	Box 6: Gross Proceeds
LYONDELLBASELL INDUSTRIES AF SCA CUSIP: N53745100	27.00000 70.00000	12/09/2015 12/09/2015	02/23/2016 02/29/2016	2,077.33 5,665.84	2,381.11 6,173.24	0.00 0.00	0.00 0.00	-303.78 -507.40	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	97.00000			\$7,743.17	\$8,554.35	\$0.00	\$0.00	(\$811.18)	
AIR LIQUIDE ADR CUSIP: N1A	139.00000	10/25/2016	10/31/2016	76.72	0.00	0.00	0.00	76.72	Box 6: Gross Proceeds Tender
Totals				\$161,738.18	\$169,809.77	\$0.00	\$0.00	(\$8,071.59)	



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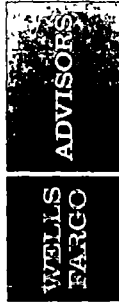
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AXA-ADR CUSIP: 054536107	78.00000	07/14/2014	02/23/2016	1,730.40	1,893.33	0.00	0.00	-162.93	Box 6: Gross Proceeds
	243.00000	07/14/2014	02/29/2016	5,342.24	5,898.45	0.00	0.00	-556.21	Box 6: Gross Proceeds
Subtotals	321.00000			\$7,072.64	\$7,791.78	\$0.00	\$0.00	(\$719.14)	
BASF SE SPONSORED ADR CUSIP: 055262505	79.00000	02/03/2015	02/29/2016	5,155.43	7,334.36	0.00	0.00	-2,178.93	Box 6: Gross Proceeds
BHP BILLITON LTD SPON ADR CUSIP: 088606108	622.00000	02/03/2015	02/29/2016	14,255.96	30,556.26	0.00	0.00	-16,300.30	Box 6: Gross Proceeds
BRITISH AMERN TOB PLC SPON ADR CUSIP: 110448107	20.00000	12/04/2012	03/08/2016	2,281.85	2,138.22	0.00	0.00	143.63	Box 6: Gross Proceeds
	17.00000	07/15/2013	03/08/2016	1,939.57	1,806.93	0.00	0.00	132.64	Box 6: Gross Proceeds
	17.00000	12/27/2013	03/08/2016	1,939.57	1,823.93	0.00	0.00	115.64	Box 6: Gross Proceeds
	55.00000	02/03/2015	03/08/2016	6,275.10	6,265.04	0.00	0.00	10.06	Box 6: Gross Proceeds
Subtotals	109.00000			\$12,436.09	\$12,034.12	\$0.00	\$0.00	\$401.97	
BROOKFIELD ASSET MANGMNT CLASS A CUSIP: 112585104	19.50000	12/27/2013	02/23/2016	594.25	499.33	0.00	0.00	94.92	Box 6: Gross Proceeds
	15.00000	07/14/2014	02/23/2016	457.12	437.96	0.00	0.00	19.16	Box 6: Gross Proceeds
	177.00000	07/14/2014	02/29/2016	5,489.38	5,167.88	0.00	0.00	321.50	Box 6: Gross Proceeds
Subtotals	211.50000			\$6,540.75	\$6,105.17	\$0.00	\$0.00	\$435.58	
CANADIAN NATL RY CO CUSIP: 136375102	4.00000	12/04/2012	02/23/2016	228.08	179.77	0.00	0.00	48.31	Box 6: Gross Proceeds
	36.00000	12/04/2012	02/29/2016	2,113.06	1,617.89	0.00	0.00	495.17	Box 6: Gross Proceeds
	238.00000	07/14/2014	02/29/2016	13,969.73	15,616.53	0.00	0.00	-1,646.80	Box 6: Gross Proceeds
Subtotals	278.00000			\$16,310.87	\$17,414.19	\$0.00	\$0.00	(\$1,103.32)	

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Proceeds from Broker and Barter Exchange Transactions: Continued**Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)**

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101	37 00000	04/23/2012	02/23/2016	744 23	1,179.32	0.00	0.00	-435.09	Box 6: Gross Proceeds
	130.00000	04/24/2012	02/23/2016	2,614.89	4,127.20	0.00	0.00	-1,512.31	Box 6: Gross Proceeds
	135 00000	12/07/2012	02/23/2016	2,715.46	3,802.64	0.00	0.00	-1,087.18	Box 6: Gross Proceeds
	54 00000	12/10/2012	02/23/2016	1,086.18	1,530.60	0.00	0.00	-444.42	Box 6: Gross Proceeds
	60 00000	02/14/2013	02/23/2016	1,206.87	1,868.57	0.00	0.00	-661.70	Box 6: Gross Proceeds
	75 00000	02/15/2013	02/23/2016	1,508.60	2,316.45	0.00	0.00	-807.85	Box 6: Gross Proceeds
	133 00000	07/15/2013	02/23/2016	2,675.25	4,229.17	0.00	0.00	-1,553.92	Box 6: Gross Proceeds
	16 00000	02/03/2015	02/23/2016	321.84	505.36	0.00	0.00	-183.52	Box 6: Gross Proceeds
	576 00000	02/03/2015	02/29/2016	12,029.09	18,192.96	0.00	0.00	-6,163.87	Box 6: Gross Proceeds
Subtotals	1216.00000			\$24,902.41	\$37,752.27	\$0.00	\$0.00	(\$12,849.86)	
CANADIAN PACIFIC RAILWAY LTD CUSIP: 13645T100	49 00000	10/30/2013	02/29/2016	5,988.42	7,103.18	0.00	0.00	-1,114.76	Box 6: Gross Proceeds
	127 00000	07/14/2014	02/29/2016	15,521.03	23,201.92	0.00	0.00	-7,680.89	Box 6: Gross Proceeds
	11 00000	02/03/2015	02/29/2016	1,344.35	2,012.34	0.00	0.00	-667.99	Box 6: Gross Proceeds
Subtotals	187.00000			\$22,853.80	\$32,317.44	\$0.00	\$0.00	(\$9,463.64)	
DIAGEO PLC SPONSORED ADR NEW CUSIP: 25243Q205	91 00000	02/03/2015	03/01/2016	9,560.48	10,635.32	0.00	0.00	-1,074.84	Box 6: Gross Proceeds
ENSIGN ENERGY SERVICE INC CUSIP: 293570107	573 00000	02/03/2015	02/29/2016	2,139.93	4,491.53	0.00	0.00	-2,351.60	Box 6: Gross Proceeds
FINNING INTL INC CUSIP: 318071404	34 00000	02/03/2015	02/23/2016	438.25	634.82	0.00	0.00	-196.57	Box 6: Gross Proceeds
	83 00000	02/03/2015	02/29/2016	1,149.69	1,549.69	0.00	0.00	-400.00	Box 6: Gross Proceeds
Subtotals	117.00000			\$1,587.94	\$2,184.51	\$0.00	\$0.00	(\$596.57)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MANULIFE FINL CORP CUSIP: 56501R106	210.00000	11/29/2011	02/23/2016	2,655.20	2,242.80	0.00	0.00	412.40	Box 6: Gross Proceeds
	13.00000	12/27/2013	02/23/2016	164.37	255.27	0.00	0.00	-90.90	Box 6: Gross Proceeds
	104.00000	12/27/2013	02/29/2016	1,395.05	2,042.14	0.00	0.00	-647.09	Box 6: Gross Proceeds
	319.00000	07/14/2014	02/29/2016	4,279.08	6,483.80	0.00	0.00	-2,204.72	Box 6: Gross Proceeds
Subtotals	646.00000			\$8,493.70	\$11,024.01	\$0.00	\$0.00	(\$2,530.31)	
NOVARTIS AG SPON ADR CUSIP: 66987V109	61.00000	07/14/2014	04/01/2016	4,331.48	5,505.86	0.00	0.00	-1,174.38	Box 6: Gross Proceeds
	55.00000	07/14/2014	07/11/2016	4,539.59	4,964.30	0.00	0.00	-424.71	Box 6: Gross Proceeds
Subtotals	116.00000			\$8,871.07	\$10,470.16	\$0.00	\$0.00	(\$1,599.09)	
POTASH CORP OF SASKATCHEWAN INC CUSIP: 73755L107	101.00000	07/15/2013	02/29/2016	1,740.62	4,017.48	0.00	0.00	-2,276.86	Box 6: Gross Proceeds
	261.00000	12/27/2013	02/29/2016	4,498.05	8,623.41	0.00	0.00	-4,125.36	Box 6: Gross Proceeds
	763.00000	07/14/2014	02/29/2016	13,149.50	27,396.43	0.00	0.00	-14,246.93	Box 6: Gross Proceeds
Subtotals	1125.00000			\$19,388.17	\$40,037.32	\$0.00	\$0.00	(\$20,649.15)	
RIO TINTO PLC SPONSORED ADR CUSIP: 767204100	194.00000	07/15/2013	02/23/2016	5,399.70	8,207.73	0.00	0.00	-2,808.03	Box 6: Gross Proceeds
	22.00000	07/15/2013	02/29/2016	580.88	930.77	0.00	0.00	-349.89	Box 6: Gross Proceeds
	820.00000	02/03/2015	02/29/2016	21,651.06	38,158.13	0.00	0.00	-16,507.07	Box 6: Gross Proceeds
	2.00000	02/03/2015	10/03/2016	66.82	93.07	0.00	0.00	-26.25	Box 6: Gross Proceeds
	129.00000	02/03/2015	11/29/2016	4,990.09	6,002.92	0.00	0.00	-1,012.83	Box 6: Gross Proceeds
Subtotals	1167.00000			\$32,688.55	\$53,392.62	\$0.00	\$0.00	(\$20,704.07)	
SCHLUMBERGER LTD CUSIP: 806857108	216.00000	02/03/2015	02/29/2016	15,686.06	18,643.24	0.00	0.00	-2,957.18	Box 6: Gross Proceeds

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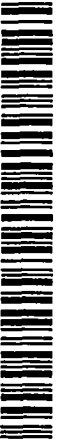
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SUNCOR ENERGY INC NEW CUSIP: 867224107	150 00000	11/29/2011	02/23/2016	3,554.17	4,296.78	0.00	0.00	-742.61	Box 6: Gross Proceeds
	406 00000	07/15/2013	02/23/2016	9,619.96	12,626.92	0.00	0.00	-3,006.96	Box 6: Gross Proceeds
	349 00000	07/15/2013	02/29/2016	8,683.88	10,854.16	0.00	0.00	-2,170.28	Box 6: Gross Proceeds
	790 00000	02/03/2015	02/29/2016	19,656.94	25,065.81	0.00	0.00	-5,408.87	Box 6: Gross Proceeds
Subtotals	1695.00000			\$41,514.95	\$52,843.67	\$0.00	\$0.00	(\$11,328.72)	
TECK RESOURCES LTD CLASS B CUSIP: 878742204	748 00000	02/03/2015	02/29/2016	4,368.37	10,673.96	0.00	0.00	-6,305.59	Box 6: Gross Proceeds
TENARIS S A SPONSORED ADR CUSIP: 88031M109	1017 00000	02/03/2015	02/29/2016	22,367.72	31,292.99	0.00	0.00	-8,925.27	Box 6: Gross Proceeds
UNILEVER N V CUSIP: 904784709	173.00000	02/03/2015	02/29/2016	7,439.69	7,439.74	0.00	0.00	-0.05	Box 6: Gross Proceeds
VALE S A ADR CUSIP: 91912E105	5271.00000	02/03/2015	02/23/2016	16,355.04	41,297.76	0.00	0.00	-24,942.72	Box 6: Gross Proceeds
YARA INTERNATIONAL ASA CUSIP: 984851204	2 00000	12/27/2013	02/29/2016	77.61	86.08	0.00	0.00	-8.47	Box 6: Gross Proceeds
	24 00000	07/14/2014	02/29/2016	931.44	1,166.40	0.00	0.00	-234.96	Box 6: Gross Proceeds
Subtotals	26.00000			\$1,009.05	\$1,252.48	\$0.00	\$0.00	(\$243.43)	
ALLEGION PLC CUSIP: G0176J109	31.50237	09/20/2013	02/23/2016	1,925.07	1,272.61	0.00	0.00	652.46	Box 6: Gross Proceeds
	3.00000	09/20/2013	02/29/2016	191.42	121.19	0.00	0.00	70.23	Box 6: Gross Proceeds
	59.00000	07/14/2014	02/29/2016	3,764.72	3,301.64	0.00	0.00	463.08	Box 6: Gross Proceeds
Subtotals	93.50237			\$5,881.21	\$4,695.44	\$0.00	\$0.00	\$1,185.77	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
EATON CORP PLC CUSIP: G29183103	207.00000	12/03/2012	02/23/2016	11,702.32	10,159.43	0.00	0.00	1,542.89	Original Basis: 10,744.45 Box 6: Gross Proceeds
	24.00000	12/03/2012	02/29/2016	1,374.44	1,177.91	0.00	0.00	196.53	Original Basis: 1,245.73 Box 6: Gross Proceeds
	25.00000	12/20/2012	02/29/2016	1,431.71	1,290.57	0.00	0.00	141.14	Original Basis: 1,361.20 Box 6: Gross Proceeds
	284.00000	02/03/2015	02/29/2016	16,264.27	19,131.06	0.00	0.00	-2,866.79	Original Basis: 19,755.86 Box 6: Gross Proceeds
Subtotals	540.00000			\$30,772.74	\$31,758.97	\$0.00	\$0.00	(\$986.23)	
ENSCO PLC CLASS A CUSIP: G3157S106	903.00000	02/03/2015	02/29/2016	7,923.89	26,584.41	0.00	0.00	-18,660.52	Box 6: Gross Proceeds
INGERSOLL-RAND PLC SHARES CUSIP: G47791101	104.00000 91.00000	09/20/2013 04/08/2014	02/29/2016 02/29/2016	5,831.01 5,102.15	5,447.72 5,014.10	0.00 0.00	0.00 0.00	383.29 88.05	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	195.00000			\$10,933.16	\$10,461.82	\$0.00	\$0.00	\$471.34	
WEATHERFORD INTERNATIONAL PLC CUSIP: G48833100	4057.00000	02/03/2015	02/29/2016	26,410.50	46,697.86	0.00	0.00	-20,287.36	Box 6: Gross Proceeds
NABORS INDUSTRIES LTD CUSIP: G6359F103	2908.00000	02/03/2015	02/29/2016	21,489.65	36,915.02	0.00	0.00	-15,425.37	Box 6: Gross Proceeds
NOBLE CORP PLC SHS USD CUSIP: G65431101	2096.00000	02/03/2015	02/29/2016	18,151.37	37,131.06	0.00	0.00	-18,979.69	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued**Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)**

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PARTNERRE LTD CUSIP: G6852T105	17.00000	07/14/2014	02/23/2016	2,380.12	1,885.47	0.00	0.00	494.65	Box 6: Gross Proceeds
	38.00000	07/14/2014	02/29/2016	5,327.86	4,214.58	0.00	0.00	1,113.28	Box 6: Gross Proceeds
Subtotals	55.00000			\$7,707.98	\$6,100.05	\$0.00	\$0.00	\$1,607.93	
UBS GROUP AG CUSIP: H42097107	235.00000	11/29/2011	02/23/2016	3,494.37	2,704.09	0.00	0.00	790.28	Original Basis 2,729.57 Box 6: Gross Proceeds
	302.00000	02/03/2015	02/23/2016	4,490.65	5,167.25	0.00	0.00	-676.60	Box 6: Gross Proceeds
	524.00000	02/03/2015	02/29/2016	8,066.75	8,965.70	0.00	0.00	-898.95	Box 6: Gross Proceeds
Subtotals	1061.00000			\$16,051.77	\$16,837.04	\$0.00	\$0.00	(\$785.27)	
CORE LABORATORIES INC CUSIP: N22717107	10.00000	02/03/2015	02/23/2016	1,035.32	1,028.70	0.00	0.00	6.62	Box 6: Gross Proceeds
	52.00000	02/03/2015	02/29/2016	5,566.48	5,349.24	0.00	0.00	217.24	Box 6: Gross Proceeds
Subtotals	62.00000			\$6,601.80	\$6,377.94	\$0.00	\$0.00	\$223.86	
Totals				\$452,922.74	\$670,544.51	\$0.00	\$0.00	(\$217,621.77)	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AXA-ADR CUSIP: 054536107	122.00000	03/16/2009	02/23/2016	2,706.50	1,251.20	0.00	0.00	1,455.30	Box 6: Gross Proceeds
BASF SE SPONSORED ADR CUSIP: 055262505	68.00000	03/22/2006	02/23/2016	4,474.64	2,632.96	0.00	0.00	1,841.68	Box 6: Gross Proceeds
	85.00000	03/22/2006	02/29/2016	5,546.98	3,291.18	0.00	0.00	2,255.80	Box 6: Gross Proceeds
Subtotals	153.00000			\$10,021.62	\$5,924.14	\$0.00	\$0.00	\$4,097.48	
BHP BILLITON LTD SPON ADR CUSIP: 088606108	42.00000	03/22/2006	02/23/2016	1,007.56	1,524.60	0.00	0.00	-517.04	Box 6: Gross Proceeds
	438.00000	03/22/2006	02/29/2016	10,038.75	15,899.40	0.00	0.00	-5,860.65	Box 6: Gross Proceeds
Subtotals	480.00000			\$11,046.31	\$17,424.00	\$0.00	\$0.00	(\$6,377.69)	
BRITISH AMERN TOB PLC SPON ADR CUSIP: 110448107	55.00000	09/25/2007	02/23/2016	5,949.70	3,853.61	0.00	0.00	2,096.09	Box 6: Gross Proceeds
	80.00000	12/21/2007	02/23/2016	8,654.12	6,355.56	0.00	0.00	2,298.56	Box 6: Gross Proceeds
	40.00000	12/21/2007	03/08/2016	4,563.70	3,177.77	0.00	0.00	1,385.93	Box 6: Gross Proceeds
Subtotals	175.00000			\$19,167.52	\$13,386.94	\$0.00	\$0.00	\$5,780.58	
BROOKFIELD ASSET MANGMNT CLASS A CUSIP: 112585104	97.50000	03/22/2006	02/23/2016	2,971.24	1,563.24	0.00	0.00	1,408.00	Box 6: Gross Proceeds
CANADIAN NATL RY CO CUSIP: 136375102	217.00000	03/22/2006	02/23/2016	12,373.09	5,058.81	0.00	0.00	7,314.28	Box 6: Gross Proceeds
CANADIAN PACIFIC RAILWAY LTD CUSIP: 136451100	52.00000	05/11/2010	02/23/2016	6,359.51	3,054.90	0.00	0.00	3,304.61	Box 6: Gross Proceeds
	14.00000	12/15/2010	02/23/2016	1,712.18	908.11	0.00	0.00	804.07	Box 6: Gross Proceeds
	11.00000	12/15/2010	02/29/2016	1,344.34	713.50	0.00	0.00	630.84	Box 6: Gross Proceeds
Subtotals	77.00000			\$9,416.03	\$4,676.51	\$0.00	\$0.00	\$4,739.52	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
DIAGEO PLC SPONSORED ADR NEW CUSIP: 25243Q205	25.00000 96.00000 64.00000	12/27/2006 09/25/2007 09/25/2007	02/23/2016 02/23/2016 03/01/2016	2,608.60 10,017.06 6,723.85	1,982.81 8,306.68 5,537.78	0.00 0.00 0.00	0.00 0.00 0.00	625.79 1,710.38 1,186.07	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	185.00000			\$19,349.51	\$15,827.27	\$0.00	\$0.00	\$3,522.24	
ENSIGN ENERGY SERVICE INC CUSIP: 293570107	60.00000 50.00000	01/22/2004 02/09/2004	02/29/2016 02/29/2016	224.07 186.72	538.50 420.25	0.00 0.00	0.00 0.00	-314.43 -233.53	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	110.00000			\$410.79	\$958.75	\$0.00	\$0.00	(\$547.96)	
NESTLE S A REG ADR CUSIP: 641069406	258.00000 2.00000 159.00000 1.00000	09/25/2007 09/25/2007 02/02/2010 02/02/2010	02/23/2016 02/29/2016 02/29/2016 10/03/2016	18,263.42 140.79 11,193.36 78.85	11,358.27 88.04 7,691.30 48.38	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	6,905.15 52.75 3,502.06 30.47	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	420.00000			\$29,676.42	\$19,185.99	\$0.00	\$0.00	\$10,490.43	
NOVARTIS AG SPON ADR CUSIP: 66987V109	76.00000 18.00000	03/22/2006 03/22/2006	02/23/2016 04/01/2016	5,600.33 1,278.13	4,275.76 1,012.68	0.00 0.00	0.00 0.00	1,324.57 265.45	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	94.00000			\$6,878.46	\$5,288.44	\$0.00	\$0.00	\$1,590.02	
POTASH CORP OF SASKATCHEWAN INC CUSIP: 73755L107	35.00000 145.00000	03/22/2006 03/22/2006	02/23/2016 02/29/2016	556.49 2,498.91	334.72 1,386.67	0.00 0.00	0.00 0.00	221.77 1,112.24	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	180.00000			\$3,055.40	\$1,721.39	\$0.00	\$0.00	\$1,334.01	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
SCHLUMBERGER LTD CUSIP: 806857108	248.00000	03/22/2006	02/23/2016	17,834.53	15,120.04	0.00	0.00	2,714.49	Box 6: Gross Proceeds
	157.00000	03/22/2006	02/29/2016	11,401.43	9,571.95	0.00	0.00	1,829.48	Box 6: Gross Proceeds
Subtotals	405.00000			\$29,235.96	\$24,691.99	\$0.00	\$0.00	\$4,543.97	
TENARIS S A SPONSORED ADR CUSIP: 88031M109	450.00000	03/30/2004	02/23/2016	9,312.15	2,860.17	0.00	0.00	6,451.98	Box 6: Gross Proceeds
	281.00000	03/30/2004	02/29/2016	6,180.26	1,786.01	0.00	0.00	4,394.25	Box 6: Gross Proceeds
Subtotals	731.00000			\$15,492.41	\$4,646.18	\$0.00	\$0.00	\$10,846.23	
UNILEVER N V CUSIP: 904784709	312.00000	12/21/2007	02/23/2016	13,385.52	11,097.05	0.00	0.00	2,288.47	Box 6: Gross Proceeds
	141.00000	12/21/2007	02/29/2016	6,063.56	5,015.01	0.00	0.00	1,048.55	Box 6: Gross Proceeds
Subtotals	453.00000			\$19,449.08	\$16,112.06	\$0.00	\$0.00	\$3,337.02	
VALE S A ADR CUSIP: 91912E105	225.00000	06/07/2006	02/23/2016	698.13	0.01	0.00	0.00	698.12	Box 6: Gross Proceeds
YARA INTERNATIONAL ASA CUSIP: 984851204	14.00000	03/22/2006	02/23/2016	541.51	224.70	0.00	0.00	316.81	Box 6: Gross Proceeds
	2.00000	03/22/2006	02/29/2016	77.61	32.10	0.00	0.00	45.51	Box 6: Gross Proceeds
Subtotals	16.00000			\$619.12	\$256.80	\$0.00	\$0.00	\$362.32	
ALLEGION PLC CUSIP: G0176J109	43.49763	03/22/2006	02/23/2016	2,658.08	1,100.62	0.00	0.00	1,557.46	Original Basis 1,136.81 Box 6: Gross Proceeds
INGERSOLL-RAND PLC SHARES CUSIP: G47791101	169.00000	03/22/2006	02/23/2016	9,051.99	5,545.60	0.00	0.00	3,506.39	Original Basis 5,727.10 Box 6: Gross Proceeds
	86.00000	03/22/2006	02/29/2016	4,821.80	2,822.03	0.00	0.00	1,999.77	Original Basis 2,914.38 Box 6: Gross Proceeds
Subtotals	255.00000			\$13,873.79	\$8,367.63	\$0.00	\$0.00	\$5,506.16	

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Proceeds from Broker and Barter Exchange Transactions, continued**Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)**

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
WEATHERFORD INTERNATIONAL PLC CUSIP: G48833100	279.00000 387.00000	01/22/2004 01/22/2004	02/23/2016 02/29/2016	1,701.86 2,519.31	2,777.65 3,852.85	0.00 0.00	0.00 0.00	-1,075.79 -1,333.54	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	666.00000			\$4,221.17	\$6,630.50	\$0.00	\$0.00	(\$2,409.33)	
PARTNERRE LTD CUSIP: G68521105	39.00000	03/22/2006	02/23/2016	5,460.27	2,407.10	0.00	0.00	3,053.17	Box 6: Gross Proceeds
CORE LABORATORIES INC CUSIP: N22717107	42.00000	03/22/2006	02/23/2016	4,348.30	925.04	0.00	0.00	3,423.26	Box 6: Gross Proceeds
Totals				\$223,129.20	\$157,404.61	\$0.00	\$0.00	\$65,724.59	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.
Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
AES CORP CUSIP: 00130H105	401.00000	12/09/2015	06/20/2016	4,747.79	3,661.09	0.00	0.00	1,086.70	Original Basis: 3,749.31 Box 6: Gross Proceeds
ADVANCE AUTO PARTS CUSIP: 00751Y106	49.00000	02/19/2016	06/20/2016	7,655.71	6,981.52	0.00	0.00	674.19	Box 6: Gross Proceeds
AGEAS SPONSORED ADR NEW CUSIP: 00844W208	126.00000	03/02/2016	06/20/2016	4,813.74	4,804.48	0.00	0.00	9.26	Box 6: Gross Proceeds
AGRIUM INC CUSIP: 008916108	26.00000	09/22/2015	06/20/2016	2,477.23	2,435.94	0.00	0.00	41.29	Box 6: Gross Proceeds
	33.00000	02/19/2016	06/20/2016	3,144.18	2,711.12	0.00	0.00	433.06	Box 6: Gross Proceeds
Subtotals	59.00000			\$5,621.41	\$5,147.06	\$0.00	\$0.00	\$474.35	
ALLY FINANCIAL INC CUSIP: 02005N100	113.00000	11/13/2015	06/20/2016	1,893.08	2,266.78	0.00	0.00	-373.70	Box 6: Gross Proceeds
	215.00000	12/03/2015	06/20/2016	3,601.88	4,295.49	0.00	0.00	-693.61	Box 6: Gross Proceeds
	145.00000	01/14/2016	06/20/2016	2,429.18	2,366.53	0.00	0.00	62.65	Box 6: Gross Proceeds
Subtotals	473.00000			\$7,924.14	\$8,928.80	\$0.00	\$0.00	(\$1,004.66)	
ARKEMA-SPONSORED ADR CUSIP: 041232109	116.00000	02/26/2016	06/20/2016	9,399.27	7,093.49	0.00	0.00	2,305.78	Box 6: Gross Proceeds
BEST BUY CO INC CUSIP: 086516101	76.00000	08/11/2015	06/20/2016	2,328.16	2,376.51	0.00	0.00	-48.35	Box 6: Gross Proceeds
	46.00000	11/19/2015	06/20/2016	1,409.16	1,374.48	0.00	0.00	34.68	Box 6: Gross Proceeds
Subtotals	122.00000			\$3,737.32	\$3,750.99	\$0.00	\$0.00	(\$13.67)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BRISTOW GROUP INC CUSIP: 110394103	53.00000	07/23/2015	06/20/2016	787.48	2,474.57	0.00	0.00	-1,687.09	Box 6: Gross Proceeds
	56.00000	08/28/2015	06/20/2016	832.05	1,989.12	0.00	0.00	-1,157.07	Box 6: Gross Proceeds
	93.00000	12/01/2015	06/20/2016	1,381.82	2,886.96	0.00	0.00	-1,505.14	Box 6: Gross Proceeds
Subtotals	202.00000			\$3,001.35	\$7,350.65	\$0.00	\$0.00	(\$4,349.30)	
CIT GROUP INC NEW CUSIP: 125581801	72.00000	01/11/2016	06/20/2016	2,271.79	2,599.31	0.00	0.00	-327.52	Box 6: Gross Proceeds
	90.00000	02/03/2016	06/20/2016	2,839.75	2,450.69	0.00	0.00	389.06	Box 6: Gross Proceeds
	118.00000	05/24/2016	06/20/2016	3,723.23	3,948.28	0.00	0.00	-225.05	Box 6: Gross Proceeds
Subtotals	280.00000			\$8,834.77	\$8,998.28	\$0.00	\$0.00	(\$163.51)	
CENTURYLINK INC CUSIP: 156700106	184.00000	08/25/2015	06/20/2016	5,120.79	4,787.62	0.00	0.00	333.17	Box 6: Gross Proceeds
	58.00000	09/24/2015	06/20/2016	1,614.16	1,453.43	0.00	0.00	160.73	Box 6: Gross Proceeds
	156.00000	12/11/2015	06/20/2016	4,341.54	3,905.97	0.00	0.00	435.57	Box 6: Gross Proceeds
	74.00000	01/14/2016	06/20/2016	2,059.45	1,769.93	0.00	0.00	289.52	Box 6: Gross Proceeds
Subtotals	472.00000			\$13,135.94	\$11,916.95	\$0.00	\$0.00	\$1,218.99	
CITIZENS FINANCIAL GRP INC CUSIP: 174610105	106.00000	10/30/2015	06/20/2016	2,275.05	2,543.99	0.00	0.00	-268.94	Box 6: Gross Proceeds
	87.00000	02/17/2016	06/20/2016	1,867.27	1,721.72	0.00	0.00	145.55	Box 6: Gross Proceeds
Subtotals	193.00000			\$4,142.32	\$4,265.71	\$0.00	\$0.00	(\$123.39)	
COLONY CAPITAL INC CUSIP: 19624R106	229.00000	08/24/2015	06/20/2016	3,916.40	4,973.67	0.00	0.00	-1,057.27	Original Basis 4,975.03 Box 6: Gross Proceeds
	136.00000	10/08/2015	06/20/2016	2,325.90	2,803.90	0.00	0.00	-478.00	Original Basis 2,804.32 Box 6: Gross Proceeds
	123.00000	10/16/2015	06/20/2016	2,103.57	2,543.25	0.00	0.00	-439.68	Original Basis 2,543.64 Box 6: Gross Proceeds
	130.00000	01/11/2016	06/20/2016	2,223.29	2,441.39	0.00	0.00	-218.10	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions: continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
COLONY CAPITAL INC CUSIP: 19624R106	280.00000	03/11/2016	06/20/2016	4,788.62	4,639.38	0.00	0.00	149.24	Box 6: Gross Proceeds
	267.00000	04/15/2016	06/20/2016	4,566.31	4,658.96	0.00	0.00	-92.65	Box 6: Gross Proceeds
Subtotals	1165.00000			\$19,924.09	\$22,060.55	\$0.00	\$0.00	(\$2,136.46)	
DSW INC CLASS A CUSIP: 23334L102	185.00000	09/30/2015	06/20/2016	3,879.68	4,689.75	0.00	0.00	-810.07	Box 6: Gross Proceeds
	107.00000	10/08/2015	06/20/2016	2,243.92	2,721.95	0.00	0.00	-478.03	Box 6: Gross Proceeds
	128.00000	12/09/2015	06/20/2016	2,684.33	3,049.70	0.00	0.00	-365.37	Box 6: Gross Proceeds
Subtotals	420.00000			\$8,807.93	\$10,461.40	\$0.00	\$0.00	(\$1,653.47)	
FIRST SOLAR INC CUSIP: 336433107	105.00000	06/06/2016	06/20/2016	5,206.84	5,265.63	0.00	0.00	-58.79	Box 6: Gross Proceeds
GOODYEAR TIRE & RUBBER CUSIP: 382550101	166.00000	05/05/2016	06/20/2016	4,618.31	4,652.96	0.00	0.00	-34.65	Box 6: Gross Proceeds
IMPAX LABORATORIES INC CUSIP: 45256B101	75.00000	11/02/2015	06/20/2016	2,399.86	2,618.99	0.00	0.00	-219.13	Box 6: Gross Proceeds
	114.00000	02/22/2016	06/20/2016	3,647.80	3,640.02	0.00	0.00	7.78	Box 6: Gross Proceeds
	134.00000	04/01/2016	06/20/2016	4,287.78	4,420.77	0.00	0.00	-132.99	Box 6: Gross Proceeds
Subtotals	323.00000			\$10,335.44	\$10,679.78	\$0.00	\$0.00	(\$344.34)	
INBOBLOX INC CUSIP: 45672H104	41.00000	09/16/2015	05/24/2016	755.21	716.68	0.00	0.00	38.53	Box 6: Gross Proceeds
	118.00000	09/16/2015	06/20/2016	2,230.31	2,062.62	0.00	0.00	167.69	Box 6: Gross Proceeds
	174.00000	10/16/2015	06/20/2016	3,288.77	2,909.80	0.00	0.00	378.97	Box 6: Gross Proceeds
	324.00000	04/13/2016	06/20/2016	6,123.94	4,756.29	0.00	0.00	1,367.65	Box 6: Gross Proceeds
Subtotals	657.00000			\$12,398.23	\$10,445.39	\$0.00	\$0.00	\$1,952.84	
INGRAM MICRO CL A CUSIP: 457153104	133.00000	02/25/2015	02/25/2016	4,760.81	3,590.59	0.00	0.00	1,170.22	Box 6: Gross Proceeds
	68.00000	02/27/2015	02/25/2016	2,434.10	1,699.18	0.00	0.00	734.92	Box 6: Gross Proceeds
	147.00000	03/13/2015	02/25/2016	5,261.97	3,417.25	0.00	0.00	1,844.72	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
INGRAM MICRO- CL A CUSIP 457153104	348.00000			\$12,456.88	\$8,707.02	\$0.00	\$0.00	\$3,749.86	
Subtotals									
LEUCADIA NATIONAL CORP CUSIP 527288104	108.00000	12/22/2015	06/20/2016	1,860.15	1,807.92	0.00	0.00	52.23	Box 6: Gross Proceeds
	265.00000	03/04/2016	06/20/2016	4,564.27	4,158.75	0.00	0.00	405.52	Box 6: Gross Proceeds
Subtotals	373.00000			\$6,424.42	\$5,966.67	\$0.00	\$0.00	\$457.75	
LEXINGTON REALTY TRUST REIT CUSIP: 529043101	270.00000	06/17/2015	06/06/2016	2,581.52	2,440.59	0.00	0.00	140.93	Original Basis 2,475.90 Box 6: Gross Proceeds
	157.00000	06/17/2015	06/07/2016	1,504.79	1,419.16	0.00	0.00	85.63	Original Basis: 1,439.69 Box 6: Gross Proceeds
	328.00000	06/29/2015	06/20/2016	3,242.09	2,827.24	0.00	0.00	414.85	Original Basis 2,849.53 Box 6: Gross Proceeds
	260.00000	08/12/2015	06/20/2016	2,569.95	2,304.06	0.00	0.00	265.89	Original Basis: 2,321.73 Box 6: Gross Proceeds
	334.00000	08/24/2015	06/20/2016	3,301.40	2,739.44	0.00	0.00	561.96	Original Basis: 2,762.15 Box 6: Gross Proceeds
	275.00000	02/23/2016	06/20/2016	2,718.23	2,052.55	0.00	0.00	665.68	Original Basis: 2,054.00 Box 6: Gross Proceeds
Subtotals	1624.00000			\$15,917.98	\$13,783.04	\$0.00	\$0.00	\$2,134.94	
MICRON TECHNOLOGY INC CUSIP: 595112103	508.00000	05/06/2016	06/20/2016	6,324.50	5,069.79	0.00	0.00	1,254.71	Box 6: Gross Proceeds
	300.00000	05/20/2016	06/20/2016	3,734.95	3,226.89	0.00	0.00	508.06	Box 6: Gross Proceeds
	130.00000	05/23/2016	06/20/2016	1,618.48	1,423.49	0.00	0.00	194.99	Box 6: Gross Proceeds
Subtotals	938.00000			\$11,677.93	\$9,720.17	\$0.00	\$0.00	\$1,957.76	



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
MITIE GROUP PLC UNSPONSORED ADR CUSIP 60671Y107	148 00000	02/22/2016	06/20/2016	2,317 07	2,395 75	0.00	0.00	-78 68	Box 6: Gross Proceeds
NRG ENERGY INC CUSIP: 629377508	74 00000 151 00000	08/12/2015 01/14/2016	06/20/2016 06/20/2016	1,072 49 2,188 47	1,504 42 1,582 48	0.00 0.00	0.00 0.00	-431 93 605 99	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	225.00000			\$3,260.96	\$3,086.90	\$0.00	\$0.00	\$174.06	
NET 1 UEPS TECHNOLOGIES INC CUSIP 64107N206	290 00000	10/16/2015	06/20/2016	2,851 62	5,066 94	0.00	0.00	-2,215.32	Box 6: Gross Proceeds
OLD REPUBLIC INTL CORP CUSIP: 680223104	187 00000	01/21/2015	01/11/2016	3,394 01	2,614 24	0.00	0.00	779 77	Box 6: Gross Proceeds
PATTERN ENERGY GRP INC CUSIP: 70338P100	322 00000	05/12/2016	06/20/2016	7,006.56	6,340.18	0.00	0.00	666.38	Box 6: Gross Proceeds
QUESTAR CORP CUSIP: 748356102	221 00000 84 00000	03/03/2015 06/04/2015	02/01/2016 02/01/2016	5,514 63 2,096 06	5,139 77 1,834 56	0.00 0.00	0.00 0.00	374 86 261 50	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	305.00000			\$7,610.69	\$6,974.33	\$0.00	\$0.00	\$636.36	
SKYWEST INC CUSIP 830879102	154 00000	01/19/2016	06/20/2016	3,884 61	2,151 36	0.00	0.00	1,733 25	Box 6: Gross Proceeds
SYMANTEC CORP CUSIP 871503108	141 00000	09/04/2015	06/20/2016	2,908 96	2,786 10	0.00	0.00	122.86	Box 6: Gross Proceeds
TIME INC CUSIP: 887228104	72 00000 114 00000 131 00000	10/13/2015 12/22/2015 02/12/2016	06/20/2016 06/20/2016 06/20/2016	1,242 33 1,967 03 2,260 36	1,428 83 1,753 31 1,637 50	0.00 0.00 0.00	0.00 0.00 0.00	-186.50 213 72 622.86	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	317.00000			\$5,469.72	\$4,819.64	\$0.00	\$0.00	\$650.08	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TRIUMPH GROUP INC NEW CUSIP: 896818101	68.00000 62.00000 130.00000	10/23/2015 02/19/2016	06/20/2016 06/20/2016	2,663.51 2,428.50 \$5,092.01	2,941.00 1,712.56 \$4,653.56	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-277.49 715.94 \$438.45	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
ATLANTICA YIELD PLC CUSIP: G0751N103	105.00000 80.00000 98.00000 283.00000	07/30/2015 08/07/2015 08/21/2015	06/20/2016 06/20/2016 06/20/2016	1,914.27 1,458.50 1,786.67 \$5,159.44	2,864.82 1,815.07 1,950.33 \$6,630.22	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	-950.55 -356.57 -163.66 (\$1,470.78)	Original Basis: 2,877.00 Box 6: Gross Proceeds Original Basis: 1,824.34 Box 6: Gross Proceeds Original Basis: 1,961.77 Box 6: Gross Proceeds
Subtotals									
BUNGE LTD CUSIP: G16962105	31.00000 56.00000 33.00000 52.00000 172.00000	07/31/2015 11/23/2015 12/08/2015 02/11/2016	06/20/2016 06/20/2016 06/20/2016 06/20/2016	1,920.05 3,468.49 2,043.93 3,220.76 \$10,653.23	2,480.00 3,840.47 2,099.46 2,523.03 \$10,942.96	0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 \$0.00	-559.95 -371.98 -\$5.53 697.73 (\$289.73)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
ALLIED WORLD ASSURANCE CO HOLDINGS LTD CUSIP: H01531104	204.00000 144.00000 348.00000	10/26/2015 12/01/2015	06/20/2016 06/20/2016	7,186.82 5,073.06 \$12,259.88	7,697.70 5,238.71 \$12,936.41	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-510.88 -165.65 (\$676.53)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
Totals				\$252,650.57	\$246,040.22	\$0.00	\$0.00	\$6,610.35	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
AES CORP CUSIP 00130H105	350.00000	06/02/2014	06/20/2016	4,143.94	4,716.25	0.00	0.00	-572.31	Original Basis 4,933.25 Box 6: Gross Proceeds
	50.00000	06/03/2014	06/20/2016	591.99	677.18	0.00	0.00	-85.19	Original Basis 708.18 Box 6: Gross Proceeds
	129.00000	06/04/2014	06/20/2016	1,527.33	1,751.81	0.00	0.00	-224.48	Original Basis 1,831.79 Box 6: Gross Proceeds
	163.00000	10/14/2014	06/20/2016	1,929.89	2,046.94	0.00	0.00	-117.05	Original Basis 2,148.00 Box 6: Gross Proceeds
	140.00000	01/20/2015	06/20/2016	1,657.58	1,738.79	0.00	0.00	-81.21	Original Basis 1,825.59 Box 6: Gross Proceeds
	242.00000	02/13/2015	06/20/2016	2,865.24	2,780.56	0.00	0.00	84.68	Original Basis 2,906.40 Box 6: Gross Proceeds
Subtotals	1074.00000			\$12,715.97	\$13,711.53	\$0.00	\$0.00	(\$95.56)	
AGEAS SPONSORED ADR NEW CUSIP: 00844W208	147.12131	02/18/2011	06/20/2016	5,620.64	4,919.82	0.00	0.00	700.82	Box 6: Gross Proceeds
	99.87869	05/07/2012	06/20/2016	3,815.78	1,780.60	0.00	0.00	2,035.18	Box 6: Gross Proceeds
	33.00000	12/13/2013	06/20/2016	1,260.73	1,327.93	0.00	0.00	-67.20	Box 6: Gross Proceeds
Subtotals	280.00000			\$10,697.15	\$8,028.35	\$0.00	\$0.00	\$2,668.80	
AGRIUM INC CUSIP: 008916108	3.00000	07/09/2013	06/20/2016	285.83	274.99	0.00	0.00	10.84	Box 6: Gross Proceeds
	33.00000	07/23/2013	06/20/2016	3,144.17	2,937.21	0.00	0.00	206.96	Box 6: Gross Proceeds
	31.00000	09/09/2013	06/20/2016	2,953.61	2,704.25	0.00	0.00	249.36	Box 6: Gross Proceeds
Subtotals	67.00000			\$6,383.61	\$5,916.45	\$0.00	\$0.00	\$467.16	
ALLSCRIPTS HEALTHCARE SOLUTIONS INC CUSIP 01988P108	32.00000	10/06/2014	06/20/2016	407.26	438.71	0.00	0.00	-31.45	Box 6: Gross Proceeds
	178.00000	10/21/2014	06/20/2016	2,265.41	2,353.14	0.00	0.00	-87.73	Box 6: Gross Proceeds
	232.00000	11/07/2014	06/20/2016	2,952.68	2,679.60	0.00	0.00	273.08	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions continued

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ALLSCRIPTS HEALTHCARE SOLUTIONS INC CUSIP: 01988P108	297.00000	03/19/2015	06/20/2016	3,779.95	3,615.41	0.00	0.00	164.54	Box 6: Gross Proceeds
Subtotals	739.00000			\$9,405.30	\$9,086.86	\$0.00	\$0.00	\$318.44	
ALLY FINANCIAL INC CUSIP: 02005N100	218.00000	04/10/2014	06/20/2016	3,652.13	5,219.14	0.00	0.00	-1,567.01	Box 6: Gross Proceeds
	171.00000	06/02/2014	06/20/2016	2,864.74	4,026.30	0.00	0.00	-1,161.56	Box 6: Gross Proceeds
	172.00000	01/13/2015	06/20/2016	2,881.49	3,778.58	0.00	0.00	-897.09	Box 6: Gross Proceeds
	88.00000	02/02/2015	06/20/2016	1,474.25	1,657.57	0.00	0.00	-183.32	Box 6: Gross Proceeds
	49.00000	05/28/2015	06/20/2016	820.89	1,106.42	0.00	0.00	-285.53	Box 6: Gross Proceeds
Subtotals	698.00000			\$11,693.50	\$15,788.01	\$0.00	\$0.00	(\$4,094.51)	
ARCTIC CAT INC CUSIP: 039670104	175.00000	06/02/2014	06/20/2016	3,032.33	5,923.32	0.00	0.00	-2,890.99	Box 6: Gross Proceeds
	85.00000	01/27/2015	06/20/2016	1,472.85	2,442.89	0.00	0.00	-970.04	Box 6: Gross Proceeds
Subtotals	260.00000			\$4,505.18	\$8,366.21	\$0.00	\$0.00	(\$3,861.03)	
BEST BUY CO INC CUSIP: 086516101	63.00000	01/31/2014	06/20/2016	1,929.92	1,493.01	0.00	0.00	436.91	Box 6: Gross Proceeds
	72.00000	06/02/2014	06/20/2016	2,205.62	1,974.60	0.00	0.00	231.02	Box 6: Gross Proceeds
Subtotals	135.00000			\$4,135.54	\$3,467.61	\$0.00	\$0.00	\$667.93	
BRISTOW GROUP INC CUSIP: 110394103	77.00000	12/29/2014	06/20/2016	1,144.07	5,097.21	0.00	0.00	-3,953.14	Box 6: Gross Proceeds
	47.00000	01/06/2015	06/20/2016	698.33	2,831.78	0.00	0.00	-2,133.45	Box 6: Gross Proceeds
	47.00000	02/13/2015	06/20/2016	698.33	2,850.93	0.00	0.00	-2,152.60	Box 6: Gross Proceeds
Subtotals	171.00000			\$2,540.73	\$10,779.92	\$0.00	\$0.00	(\$8,239.19)	
CBIZ INC CUSIP: 124805102	16.00000	05/08/2012	06/20/2016	170.13	95.04	0.00	0.00	75.09	Box 6: Gross Proceeds
	50.00000	08/01/2012	06/20/2016	531.66	266.75	0.00	0.00	264.91	Box 6: Gross Proceeds
	179.00000	08/03/2012	06/20/2016	1,903.35	954.36	0.00	0.00	948.99	Box 6: Gross Proceeds
	224.00000	01/30/2013	06/20/2016	2,381.86	1,339.18	0.00	0.00	1,042.68	Box 6: Gross Proceeds



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CBIZ INC CUSIP: 124805102	469,00000			\$4,987.00	\$2,655.33	\$0.00	\$0.00	\$2,331.67	
Subtotals									
CIT GROUP INC NEW CUSIP: 125581801	115 00000	07/15/2014	06/20/2016	3,628.55	5,093.34	0.00	0.00	-1,464.79	Box 6: Gross Proceeds
	88 00000	08/01/2014	06/20/2016	2,776.63	4,317.92	0.00	0.00	-1,541.29	Box 6: Gross Proceeds
	48 00000	02/26/2015	06/20/2016	1,514.53	2,218.00	0.00	0.00	-703.47	Box 6: Gross Proceeds
Subtotals	251,00000			\$7,919.71	\$11,629.26	\$0.00	\$0.00	(\$3,709.55)	
C N A FINANCIAL CORP CUSIP: 126117100	125,00000	02/18/2011	06/20/2016	3,879.18	3,741.25	0.00	0.00	137.93	Box 6: Gross Proceeds
	72 00000	09/09/2011	06/20/2016	2,234.41	1,648.66	0.00	0.00	585.75	Box 6: Gross Proceeds
	52 00000	01/27/2012	06/20/2016	1,613.74	1,464.28	0.00	0.00	149.46	Box 6: Gross Proceeds
	65 00000	12/18/2012	06/20/2016	2,017.18	1,854.09	0.00	0.00	163.09	Box 6: Gross Proceeds
	30 00000	02/12/2013	06/20/2016	931.00	953.45	0.00	0.00	-22.45	Box 6: Gross Proceeds
	29 00000	02/13/2013	06/20/2016	899.97	930.69	0.00	0.00	-30.72	Box 6: Gross Proceeds
	61 00000	01/13/2014	06/20/2016	1,893.06	2,536.72	0.00	0.00	-643.66	Box 6: Gross Proceeds
Subtotals	434,00000			\$13,468.54	\$13,129.14	\$0.00	\$0.00	\$339.40	
CITIZENS FINANCIAL GRP INC CUSIP: 174610105	228,00000	09/24/2014	06/20/2016	4,893.50	5,058.81	0.00	0.00	-165.31	Box 6: Gross Proceeds
	128 00000	12/09/2014	06/20/2016	2,747.22	3,186.46	0.00	0.00	-439.24	Box 6: Gross Proceeds
	67 00000	01/21/2015	06/20/2016	1,438.00	1,556.42	0.00	0.00	-118.42	Box 6: Gross Proceeds
Subtotals	423,00000			\$9,078.72	\$9,801.69	\$0.00	\$0.00	(\$722.97)	
DEAN FOODS CO NEW CUSIP: 242370203	40,00000	12/23/2013	01/11/2016	695.58	679.61	0.00	0.00	15.97	Box 6: Gross Proceeds
	75 00000	02/04/2014	01/11/2016	1,304.22	1,128.17	0.00	0.00	176.05	Box 6: Gross Proceeds
	18 00000	02/12/2014	01/11/2016	313.02	249.35	0.00	0.00	63.67	Box 6: Gross Proceeds
	100 00000	02/12/2014	02/10/2016	2,007.95	1,385.27	0.00	0.00	622.68	Box 6: Gross Proceeds
	6 00000	10/06/2014	02/10/2016	120.48	80.64	0.00	0.00	39.84	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
DEAN FOODS CO NEW CUSIP 242370203	163.00000	10/06/2014	02/17/2016	3,393.39	2,190.72	0.00	0.00	1,202.67	Box 6: Gross Proceeds
Subtotals	402.00000			\$7,834.64	\$5,713.76	\$0.00	\$0.00	\$2,120.88	
FIRST SOLAR INC CUSIP 336433107	29.00000	01/07/2015	02/24/2016	1,949.64	1,212.86	0.00	0.00	736.78	Box 6: Gross Proceeds
	50.00000	01/07/2015	06/20/2016	2,479.44	2,091.12	0.00	0.00	388.32	Box 6: Gross Proceeds
	54.00000	05/28/2015	06/20/2016	2,677.80	2,771.27	0.00	0.00	-93.47	Box 6: Gross Proceeds
Subtotals	133.00000			\$7,106.88	\$6,075.25	\$0.00	\$0.00	\$1,031.63	
FIRSTENERGY CORP CUSIP 337932107	47.00000	03/19/2014	02/23/2016	1,562.24	1,495.38	0.00	0.00	66.86	Box 6: Gross Proceeds
	23.00000	03/19/2014	03/31/2016	826.83	731.78	0.00	0.00	95.05	Box 6: Gross Proceeds
	52.00000	06/02/2014	03/31/2016	1,869.35	1,743.56	0.00	0.00	125.79	Box 6: Gross Proceeds
	65.00000	03/03/2015	03/31/2016	2,336.71	2,237.94	0.00	0.00	98.77	Box 6: Gross Proceeds
Subtotals	187.00000			\$6,595.13	\$6,208.66	\$0.00	\$0.00	\$386.47	
FOSSIL GROUP INC CUSIP 34988V106	62.00000	03/17/2015	06/20/2016	1,902.32	5,036.10	0.00	0.00	-3,133.78	Box 6: Gross Proceeds
	31.00000	03/24/2015	06/20/2016	951.16	2,576.10	0.00	0.00	-1,624.94	Box 6: Gross Proceeds
	25.00000	05/06/2015	06/20/2016	767.08	1,937.75	0.00	0.00	-1,170.67	Box 6: Gross Proceeds
Subtotals	118.00000			\$3,620.56	\$9,549.95	\$0.00	\$0.00	(\$5,929.39)	
GOODYEAR TIRE & RUBBER CUSIP 382550101	11.00000	08/28/2014	06/20/2016	306.03	283.31	0.00	0.00	22.72	Box 6: Gross Proceeds
	153.00000	08/29/2014	06/20/2016	4,256.62	3,935.14	0.00	0.00	321.48	Box 6: Gross Proceeds
	119.00000	09/19/2014	06/20/2016	3,310.71	2,866.70	0.00	0.00	444.01	Box 6: Gross Proceeds
	138.00000	10/14/2014	06/20/2016	3,839.31	2,776.62	0.00	0.00	1,062.69	Box 6: Gross Proceeds
Subtotals	421.00000			\$11,712.67	\$9,861.77	\$0.00	\$0.00	\$1,850.90	



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 12)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
IMPAX LABORATORIES INC CUSIP: 45256B101	17.00000	08/07/2013	06/20/2016	543.96	353.29	0.00	0.00	190.67	Box 6: Gross Proceeds
	113.00000	08/09/2013	06/20/2016	3,615.79	2,406.77	0.00	0.00	1,209.02	Box 6: Gross Proceeds
	87.00000	07/29/2014	06/20/2016	2,783.84	2,065.38	0.00	0.00	718.46	Box 6: Gross Proceeds
Subtotals	217.00000			\$6,943.59	\$4,825.44	\$0.00	\$0.00	\$2,118.15	
INFOBLOX INC CUSIP: 45672H104	195.00000	07/25/2014	05/24/2016	3,591.83	2,390.13	0.00	0.00	1,201.70	Box 6: Gross Proceeds
INGRAM MICRO CL A CUSIP: 457153104	97.00000	05/27/2011	02/18/2016	3,498.75	1,808.59	0.00	0.00	1,690.16	Box 6: Gross Proceeds
	143.00000	07/23/2012	02/18/2016	5,157.95	2,251.06	0.00	0.00	2,906.89	Box 6: Gross Proceeds
	80.00000	09/26/2012	02/18/2016	2,885.56	1,223.88	0.00	0.00	1,661.68	Box 6: Gross Proceeds
	49.00000	04/30/2013	02/18/2016	1,767.41	866.52	0.00	0.00	900.89	Box 6: Gross Proceeds
	10.00000	05/30/2013	02/18/2016	360.69	190.31	0.00	0.00	170.38	Box 6: Gross Proceeds
	41.00000	05/31/2013	02/18/2016	1,478.87	783.46	0.00	0.00	695.41	Box 6: Gross Proceeds
	49.00000	05/31/2013	02/25/2016	1,753.98	936.31	0.00	0.00	817.67	Box 6: Gross Proceeds
Subtotals	469.00000			\$16,903.21	\$8,060.13	\$0.00	\$0.00	\$8,843.08	
INGREDION INCORPORATED CUSIP: 457187102	36.00000	08/26/2013	05/12/2016	4,301.59	2,265.79	0.00	0.00	2,035.80	Box 6: Gross Proceeds
	6.00000	08/26/2013	05/20/2016	701.85	377.63	0.00	0.00	324.22	Box 6: Gross Proceeds
	36.00000	08/28/2013	05/20/2016	4,211.14	2,248.54	0.00	0.00	1,962.60	Box 6: Gross Proceeds
Subtotals	78.00000			\$9,214.58	\$4,891.96	\$0.00	\$0.00	\$4,322.62	
INTERPUBLIC GRP CO INC CUSIP: 460690100	77.00000	06/26/2013	06/20/2016	1,853.34	1,115.09	0.00	0.00	738.25	Box 6: Gross Proceeds
	185.00000	10/16/2013	06/20/2016	4,452.86	2,963.18	0.00	0.00	1,489.68	Box 6: Gross Proceeds
Subtotals	262.00000			\$6,306.20	\$4,078.27	\$0.00	\$0.00	\$2,227.93	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
KT CORP SP ADR CUSIP: 48268K101	331 00000	11/19/2013	06/20/2016	4,635 20	5,108 42	0.00	0.00	-473.22	Box 6: Gross Proceeds
	109 00000	12/04/2013	06/20/2016	1,526 40	1,576 41	0.00	0.00	-50.01	Box 6: Gross Proceeds
	124 00000	02/04/2015	06/20/2016	1,736 46	1,673 03	0.00	0.00	63.43	Box 6: Gross Proceeds
Subtotals	564.00000			\$7,898.06	\$8,357.86	\$0.00	\$0.00	(\$459.80)	
LEUCADIA NATIONAL CORP CUSIP: 527288104	334.00000	12/02/2014	06/20/2016	5,752 68	7,541 72	0.00	0.00	-1,789 04	Box 6: Gross Proceeds
LEXINGTON REALTY TRUST REIT CUSIP: 529043101	164 00000	06/17/2015	06/20/2016	1,621 04	1,482 45	0.00	0.00	138.59	Original Basis, 1,503 88 Box 6: Gross Proceeds
MANPOWERGROUP INC CUSIP: 56418H100	20.00000	11/20/2014	06/20/2016	1,510 75	1,330 35	0.00	0.00	180.40	Box 6: Gross Proceeds
	39 00000	12/15/2014	06/20/2016	2,945 97	2,510 18	0.00	0.00	435 79	Box 6: Gross Proceeds
Subtotals	59.00000			\$4,456.72	\$3,840.53	\$0.00	\$0.00	\$616.19	
MITIE GROUP PLC UNSPONSORED ADR CUSIP: 60671Y107	301 00000	04/27/2015	06/20/2016	4,712 41	5,347 17	0.00	0.00	-634.76	Box 6: Gross Proceeds
MURRAY & ROBERTS HLDGS LTD-SPONSORED ADR CUSIP: 626805204	990.00000	01/27/2015	06/20/2016	767 23	1,679 61	0.00	0.00	-912.38	Box 6: Gross Proceeds
NRG ENERGY INC CUSIP: 629377508	213.00000	03/03/2015	05/12/2016	3,339 78	5,133 30	0.00	0.00	-1,793.52	Box 6: Gross Proceeds
	1.00000	03/16/2015	05/12/2016	15 68	23 89	0.00	0.00	-8.21	Box 6: Gross Proceeds
	99.00000	03/16/2015	06/20/2016	1,434 82	2,365 10	0.00	0.00	-930.28	Box 6: Gross Proceeds
Subtotals	313.00000			\$4,790.28	\$7,522.29	\$0.00	\$0.00	(\$2,732.01)	



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Proceeds from Broker and Barter Exchange Transactions - continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
OLD REPUBLIC INTL CORP CUSIP: 680223104	81.00000	12/29/2014	01/11/2016	1,470.13	1,197.17	0.00	0.00	272.96	Box 6: Gross Proceeds
PETROBRAS ARGENTINA S A SPONSORED ADR CUSIP: 71646J109	40.00000	04/20/2012	06/08/2016	252.29	238.16	0.00	0.00	14.13	Box 6: Gross Proceeds
	100.00000	10/17/2012	06/08/2016	630.73	441.94	0.00	0.00	188.79	Box 6: Gross Proceeds
	15.00000	10/17/2012	06/09/2016	93.84	66.29	0.00	0.00	27.55	Box 6: Gross Proceeds
	90.00000	11/02/2012	06/09/2016	563.07	398.66	0.00	0.00	164.41	Box 6: Gross Proceeds
	80.00000	11/02/2012	06/10/2016	496.78	354.36	0.00	0.00	142.42	Box 6: Gross Proceeds
	166.00000	11/02/2012	06/16/2016	1,025.80	735.29	0.00	0.00	290.51	Box 6: Gross Proceeds
Subtotals	491.00000			\$3,062.51	\$2,234.70	\$0.00	\$0.00	\$827.81	
RUBY TUESDAY INC CUSIP: 781182100	565.00000	09/11/2014	06/20/2016	2,197.85	3,487.69	0.00	0.00	-1,289.84	Box 6: Gross Proceeds
	267.00000	09/12/2014	06/20/2016	1,038.63	1,647.31	0.00	0.00	-608.68	Box 6: Gross Proceeds
Subtotals	832.00000			\$3,236.48	\$5,135.00	\$0.00	\$0.00	(\$1,898.52)	
SKYWEST INC CUSIP: 830879102	198.00000	06/25/2012	06/20/2016	4,994.48	1,332.83	0.00	0.00	3,661.65	Box 6: Gross Proceeds
	122.00000	02/04/2014	06/20/2016	3,077.40	1,491.85	0.00	0.00	1,585.55	Box 6: Gross Proceeds
	130.00000	08/19/2014	06/20/2016	3,279.20	1,275.66	0.00	0.00	2,003.54	Box 6: Gross Proceeds
	201.00000	08/20/2014	06/20/2016	5,070.16	1,996.85	0.00	0.00	3,073.31	Box 6: Gross Proceeds
	105.00000	09/16/2014	06/20/2016	2,648.59	920.31	0.00	0.00	1,728.28	Box 6: Gross Proceeds
	72.00000	09/17/2014	06/20/2016	1,816.17	637.84	0.00	0.00	1,178.33	Box 6: Gross Proceeds
	125.00000	10/02/2014	06/20/2016	3,153.08	956.24	0.00	0.00	2,196.84	Box 6: Gross Proceeds
	491.00000	04/17/2015	06/20/2016	12,385.32	6,850.58	0.00	0.00	5,534.74	Box 6: Gross Proceeds
Subtotals	1444.00000			\$36,424.40	\$15,462.16	\$0.00	\$0.00	\$20,962.24	
SUPERIOR ENERGY SVCS INC CUSIP: 868157108	197.00000	01/27/2015	06/20/2016	3,718.11	3,899.06	0.00	0.00	-180.95	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SYMANTEC CORP CUSIP: 871503108	135 00000	04/01/2014	06/17/2016	2,676.99	2,720.41	0.00	0.00	-43.42	Box 6: Gross Proceeds
	53 00000	06/02/2014	06/17/2016	1,050.98	1,158.58	0.00	0.00	-107.60	Box 6: Gross Proceeds
	111 00000	06/02/2014	06/20/2016	2,290.03	2,426.44	0.00	0.00	-136.41	Box 6: Gross Proceeds
Subtotals	299.00000			\$6,018.00	\$6,305.43	\$0.00	\$0.00	(\$287.43)	
TATE & LYLE PLC SPONSORED ADR CUSIP: 876570607	153 00000	02/19/2015	06/20/2016	5,602.73	5,550.05	0.00	0.00	52.68	Box 6: Gross Proceeds
TECH DATA CORP CUSIP: 878237106	35 00000	03/26/2013	03/01/2016	2,525.54	1,590.39	0.00	0.00	935.15	Box 6: Gross Proceeds
	29 00000	03/26/2013	03/11/2016	2,227.15	1,317.74	0.00	0.00	909.41	Box 6: Gross Proceeds
	40 00000	05/07/2013	03/11/2016	3,071.94	1,912.58	0.00	0.00	1,159.36	Box 6: Gross Proceeds
Subtotals	104.00000			\$7,824.63	\$4,820.71	\$0.00	\$0.00	\$3,003.92	
TIME INC CUSIP: 887228104	226 00000	06/13/2014	06/20/2016	3,899.54	5,073.68	0.00	0.00	-1,174.14	Box 6: Gross Proceeds
	91 00000	06/30/2014	06/20/2016	1,570.17	2,203.88	0.00	0.00	-633.71	Box 6: Gross Proceeds
	148 00000	10/21/2014	06/20/2016	2,553.68	3,011.45	0.00	0.00	-457.77	Box 6: Gross Proceeds
	231 00000	04/27/2015	06/20/2016	3,985.82	5,220.60	0.00	0.00	-1,234.78	Box 6: Gross Proceeds
Subtotals	696.00000			\$12,009.21	\$15,509.61	\$0.00	\$0.00	(\$3,500.40)	
TRIUMPH GROUP INC NEW CUSIP: 896818101	43 00000	01/29/2014	06/20/2016	1,684.27	2,890.53	0.00	0.00	-1,206.26	Box 6: Gross Proceeds
	55 00000	02/24/2014	06/20/2016	2,154.30	3,505.80	0.00	0.00	-1,351.50	Box 6: Gross Proceeds
	100 00000	01/27/2015	06/20/2016	3,916.92	6,236.00	0.00	0.00	-2,319.08	Box 6: Gross Proceeds
Subtotals	198.00000			\$7,755.49	\$12,632.33	\$0.00	\$0.00	(\$4,876.84)	
TYSON FOODS INC CL A CUSIP: 902494103	64 00000	12/18/2014	01/14/2016	3,390.62	2,507.87	0.00	0.00	882.75	Box 6: Gross Proceeds
	41 00000	01/05/2015	01/14/2016	2,172.12	1,601.66	0.00	0.00	570.46	Box 6: Gross Proceeds
	27 00000	01/05/2015	04/15/2016	1,769.00	1,054.75	0.00	0.00	714.25	Box 6: Gross Proceeds
	58 00000	02/02/2015	04/15/2016	3,800.08	2,287.15	0.00	0.00	1,512.93	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TYSON FOODS INC CL A CUSIP: 902494103	190.00000			\$11,131.82	\$7,451.43	\$0.00	\$0.00	\$3,680.39	
Subtotals									
AXIS CAPITAL HLDGS LTD CUSIP: G0692U109	97.00000	02/18/2011	06/20/2016	5,141.86	3,625.16	0.00	0.00	1,516.70	Box 6: Gross Proceeds
	32.00000	04/09/2012	06/20/2016	1,696.28	1,072.17	0.00	0.00	624.11	Box 6: Gross Proceeds
	45.00000	11/28/2012	06/20/2016	2,385.40	1,611.57	0.00	0.00	773.83	Box 6: Gross Proceeds
	72.00000	07/25/2013	06/20/2016	3,816.64	3,284.56	0.00	0.00	532.08	Box 6: Gross Proceeds
	82.00000	09/10/2013	06/20/2016	4,346.75	3,552.45	0.00	0.00	794.30	Box 6: Gross Proceeds
Subtotals	328.00000			\$17,386.93	\$13,145.91	\$0.00	\$0.00	\$4,241.02	
ATLANTICA YIELD PLC CUSIP: G075IN103	75.00000	03/27/2015	06/20/2016	1,367.33	2,485.67	0.00	0.00	-1,118.34	Original Basis: 2,497.92 Box 6: Gross Proceeds
	78.00000	03/30/2015	06/20/2016	1,422.03	2,640.92	0.00	0.00	-1,218.89	Original Basis: 2,653.66 Box 6: Gross Proceeds
	30.00000	04/09/2015	06/20/2016	546.93	1,027.89	0.00	0.00	-480.96	Original Basis: 1,032.78 Box 6: Gross Proceeds
	42.00000	04/10/2015	06/20/2016	765.71	1,461.21	0.00	0.00	-695.50	Original Basis: 1,468.08 Box 6: Gross Proceeds
Subtotals	225.00000			\$4,102.00	\$7,615.69	\$0.00	\$0.00	(\$3,513.69)	
BUNGE LTD CUSIP: G16962105	21.00000	03/08/2013	05/06/2016	1,273.83	1,582.82	0.00	0.00	-308.99	Box 6: Gross Proceeds
	15.00000	04/12/2013	05/06/2016	909.88	1,030.15	0.00	0.00	-120.27	Box 6: Gross Proceeds
	25.00000	05/07/2013	05/06/2016	1,516.47	1,799.96	0.00	0.00	-283.49	Box 6: Gross Proceeds
	5.00000	07/28/2014	05/06/2016	303.29	371.51	0.00	0.00	-68.22	Box 6: Gross Proceeds
	16.00000	07/29/2014	05/06/2016	970.55	1,186.60	0.00	0.00	-216.05	Box 6: Gross Proceeds
	2.00000	07/29/2014	06/20/2016	123.87	148.32	0.00	0.00	-24.45	Box 6: Gross Proceeds
	13.00000	03/26/2015	06/20/2016	805.18	1,025.96	0.00	0.00	-220.78	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
BUNGE LTD CUSIP: G16962105	97.00000			\$5,903.07	\$7,145.32	\$0.00	\$0.00	(\$1,242.25)	
Subtotals									
ENDURANCE SPECIALTY HLDG CUSIP: G30397106	8.00000	12/09/2011	06/20/2016	525.50	295.99	0.00	0.00	229.51	Box 6: Gross Proceeds
	50.00000	07/18/2012	06/20/2016	3,284.42	1,787.04	0.00	0.00	1,497.38	Box 6: Gross Proceeds
	10.00000	08/10/2012	06/20/2016	656.88	360.63	0.00	0.00	296.25	Box 6: Gross Proceeds
	57.00000	08/13/2012	06/20/2016	3,744.25	2,052.31	0.00	0.00	1,691.94	Box 6: Gross Proceeds
	43.00000	05/22/2013	06/20/2016	2,824.61	2,062.89	0.00	0.00	761.72	Box 6: Gross Proceeds
	39.00000	09/09/2013	06/20/2016	2,561.87	1,982.05	0.00	0.00	579.82	Box 6: Gross Proceeds
Subtotals	207.00000			\$13,597.53	\$8,540.91	\$0.00	\$0.00	\$5,056.62	
FLEX LTD CUSIP: Y2573F102	75.00000	02/13/2014	06/20/2016	973.48	660.00	0.00	0.00	313.48	Box 6: Gross Proceeds
	284.00000	03/03/2014	06/20/2016	3,686.26	2,499.20	0.00	0.00	1,187.06	Box 6: Gross Proceeds
	105.00000	06/02/2014	06/20/2016	1,362.89	1,073.82	0.00	0.00	289.07	Box 6: Gross Proceeds
Subtotals	464.00000			\$6,022.63	\$4,233.02	\$0.00	\$0.00	\$1,789.61	
Totals				\$352,624.33	\$330,665.81	\$0.00	\$0.00	\$21,958.52	



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Account Number: 3249-9677

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Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the initial cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

Account Workbook

Closed Tax Lots

Filter Set: 02/01/2016 - 02/10/2016

ACCT: 3249-9677

Household

Account Information

3249-9677
FLEMING FOUNDATION
TRDW SMID
7953 CROW ROAD
ZIONSVILLE PA 18092-2902

Birth Date

Details

Registration D143 T-Religious/Nonprofit
Product Type STANDARD BROKERAGE
CSI 4C-Street Name-Hold/Hold All Funds
MMF Code BDS-FDIC-Insured Bank Deposit
Investment Obj E-Aggressive Growth
Online Client OL-Online Access

Balances

Total Account Value
Funds Available
Total Cash without Borrowing
Cash Balance
Margin Balance
Money Market Fund Balance
Free Credit/Miscellaneous Debit
Federal Call

Contact Information

Home Phone 215/679-2661 Business Phone 610/966-2010 Other Phone 610/737-0888

Quantity	Description 1	CUSIP	Symbol	Open Date	Close Date	Unit Cost	Trade Price	Cost Amount	Close Price	Close Amt	Realized	Short	Long	Term
1	100 DEAN FOODS CO NEW	242370-20-3	DF	02/12/2014	02/10/2016	13.8527	13.8527	8,440.24	20.0800	2,007.95	622.68	622.68	662.52	
2	6 DEAN FOODS CO NEW	242370-20-3	DF	10/06/2014	02/10/2016	13.4400	13.4400	80.64	20.0800	120.48	39.84	39.84	39.84	LONG
3	221 QUESTAR CORP	748356-10-2	STR	03/03/2015	02/01/2016	23.2569	23.2569	5,139.77	24.95356	5,514.63	374.86	374.86	374.86	SHORT
4	84 QUESTAR CORP	748356-10-2	STR	06/04/2015	02/01/2016	21.8400	21.8400	1,834.56	24.95356	2,096.06	261.50	261.50	261.50	SHORT

Indicators:

Y-Covered Tax lot-Cost information for this tax lot is covered by IRS reporting requirements, N-Noncovered Tax lot-Cost information for this tax lot is not covered by IRS reporting requirements, M-Mixed-Net Row is a mix of Covered and Noncovered tax lot details, W-Open date reflects Wash Sale Date-The cost for this tax lot has been adjusted due to wash sale activity as defined by IRS regulations; Filter - "All Tax Years" represents the current and the previous two tax years, CSI - Cash Standing Instructions indicates the disposition of stock and money on cash accounts; MMF Code - The MMF Code in the report header indicates how money market account balances are treated

This report is not the official record of your account. However it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and performance does not guarantee future results.

Investments in securities and insurance products are

NOT FDIC-INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 3249-9673

FLEMING FOUNDATION
UNMANAGED

WELLS
FARGO

ADVISORS

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Proceeds from Broker and Panel Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
INVESCO GLOBAL REAL ESTATE Y CUSIP 00142C219	2039 79900 9 03000 23 58100 2072 41000	08/06/2015 09/18/2015 12/14/2015	06/14/2016 06/14/2016 06/14/2016	26,245.32 116.18 303.42 \$26,664.92	26,415.39 111.16 287.45 \$26,814.00	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	-170.07 5.02 15.97 (\$149.08)	Gross Proceeds Gross Proceeds Gross Proceeds
AIR PRODUCTS & CHEMICALS INC CUSIP 009158106	3983 00000 3000 00000 4017 00000 11000.00000	12/24/2015 12/24/2015 12/24/2015	12/16/2016 12/16/2016 12/16/2016	585,868.21 429,896.23 590,869.32 \$1,606,633.76	484,979.24 365,286.90 489,468.20 \$1,339,734.34	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	100,888.97 64,609.33 101,401.12 \$266,899.42	Gross Proceeds Assignment Gross Proceeds Assignment Gross Proceeds Assignment
AMERICAN HIGH INCOME TRUST CUSIP 026547828	2174 75700 10 31000 12 16800 14 27800 13 57500 3 97800 11 20900 11 85400 2252.12900	08/06/2015 09/01/2015 10/01/2015 11/02/2015 12/01/2015 04/01/2016 05/02/2016 06/01/2016	06/14/2016 06/14/2016 06/14/2016 06/14/2016 06/14/2016 06/14/2016 06/14/2016 06/14/2016	21,240.61 100.69 118.84 139.45 132.59 38.85 109.48 115.79 \$21,996.30	22,682.71 105.06 119.61 142.49 131.54 37.63 109.74 116.17 \$23,444.95	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 \$0.00	-1,442.10 -4.37 -0.77 -3.04 1.05 1.22 -0.26 -0.38 (\$1,448.65)	Gross Proceeds Gross Proceeds Gross Proceeds Gross Proceeds Gross Proceeds Gross Proceeds Gross Proceeds Gross Proceeds

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3249-9673

FLEMING FOUNDATION
UNMANAGED

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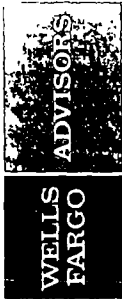
Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BOND FUND OF AMER THE *	1221 00800	08/06/2015	06/14/2016	15,890 60	15,531 21	0 00	0 00	359 39	Box 6: Gross Proceeds
CLASS F2	2 17800	09/01/2015	06/14/2016	28 34	27 64	0 00	0 00	0 70	Box 6: Gross Proceeds
CUSIP 097873822	2 66300	10/01/2015	06/14/2016	34 65	33 93	0 00	0 00	0 72	Box 6: Gross Proceeds
	2 73900	11/02/2015	06/14/2016	35 65	34 89	0 00	0 00	0 76	Box 6: Gross Proceeds
	2 86800	12/01/2015	06/14/2016	37 33	36 40	0 00	0 00	0 93	Box 6: Gross Proceeds
	0 62600	04/01/2016	06/14/2016	8 14	8 08	0 00	0 00	0 06	Box 6: Gross Proceeds
	1 53400	05/02/2016	06/14/2016	19 97	19 87	0 00	0 00	0 10	Box 6: Gross Proceeds
	1 63300	06/01/2016	06/14/2016	21 26	21 07	0 00	0 00	0 19	Box 6: Gross Proceeds
Subtotals	1235.24900			\$16,075.94	\$15,713.09	\$0.00	\$0.00	\$362.85	
CYS INVESTMENTS INC	1600 00000	08/03/2015	08/01/2016	14,556 03	12,136 46	0 00	0 00	2,419 57	Original Basis 12,454 86
CUSIP 12673A108	100 00000	08/03/2015	08/01/2016	903 05	758 52	0 00	0 00	144 53	Box 6: Gross Proceeds Original Basis 778 42
	5300 00000	08/03/2015	08/01/2016	48,225 31	40,202 02	0 00	0 00	8,023 29	Box 6: Gross Proceeds Original Basis 41,256 72
Subtotals	7000.00000			\$63,684.39	\$53,097.00	\$0.00	\$0.00	\$10,587.39	Box 6: Gross Proceeds
AMERICAN CAP WORLD BD *	815 58900	08/06/2015	06/14/2016	16,304 82	15,610 37	0 00	0 00	694 45	Box 6: Gross Proceeds
CLASS F2	1 74700	10/06/2015	06/14/2016	34 92	33 63	0 00	0 00	1 29	Box 6: Gross Proceeds
CUSIP 140541822	3 30200	12/16/2015	06/14/2016	66 02	62 15	0 00	0 00	3 87	Box 6: Gross Proceeds
Subtotals	820.63800			\$16,405.76	\$15,706.15	\$0.00	\$0.00	\$699.61	
DEUTSCHE SECS TR *	1361 83400	12/17/2015	06/14/2016	17,410 85	15,443 20	0 00	0 00	1,967 65	Box 6: Gross Proceeds
ENHANCED COMMODITY STRAT	0 66500	03/24/2016	06/14/2016	8 51	7 76	0 00	0 00	0 75	Box 6: Gross Proceeds
CUSIP 25159L836	1362.49900			\$17,419.36	\$15,450.96	\$0.00	\$0.00	\$1,968.40	

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2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 3249-9673

FLEMING FOUNDATION
UNMANAGED

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Proceeds from Broker and Barter Exchange Transactions: continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AMERICAN EUROPA PACIFIC *	997 21700	08/06/2015	06/14/2016	43,063 05	50,160 01	0 00	0 00	-7,096 96	Box 6: Gross Proceeds
GROWTH FU CL F2	22 32400	12/24/2015	06/14/2016	964 02	1,019 07	0 00	0 00	-55 05	Box 6: Gross Proceeds
CUSIP 29875E100	14 25700	12/24/2015	06/14/2016	615 67	650 84	0 00	0 00	-35 17	Box 6: Gross Proceeds
Subtotals	1033 79800			\$44,642.74	\$51,829.92	\$0.00	\$0.00	(\$7,187.18)	
FIRST EAGLE FUNDS *	496 41500	08/06/2015	06/14/2016	26,829 30	26,314 96	0 00	0 00	514 34	Box 6: Gross Proceeds
FIRST EAGLE GLOBAL FUND	0 37500	12/18/2015	06/14/2016	20 27	19 19	0 00	0 00	1 08	Box 6: Gross Proceeds
CUSIP 32008F606	5 63300	12/18/2015	06/14/2016	304 44	288 43	0 00	0 00	16 01	Box 6: Gross Proceeds
	2 36300	12/18/2015	06/14/2016	127 72	120 96	0 00	0 00	6 76	Box 6: Gross Proceeds
Subtotals	504.78600			\$27,281.73	\$26,743.54	\$0.00	\$0.00	\$538.19	
GOLDMAN SACHS MID CAP *	546 70000	08/06/2015	06/14/2016	18,685 59	22,310 81	0 00	0 00	-3,625 22	Box 6: Gross Proceeds
VALUE FUND -INST	15 85200	12/11/2015	06/14/2016	541 81	534 07	0 00	0 00	7 74	Box 6: Gross Proceeds
CUSIP 38141W398	62 02200	12/11/2015	06/14/2016	2,119 84	2,089 51	0 00	0 00	30 33	Box 6: Gross Proceeds
	5 42900	12/22/2015	06/14/2016	185 56	176 88	0 00	0 00	8 68	Box 6: Gross Proceeds
Subtotals	630.00300			\$21,532.80	\$25,111.27	\$0.00	\$0.00	(\$3,578.47)	
GOLDMAN SACHS TR *	4947 81000	08/06/2015	06/14/2016	4,940 81	4,947 81	0 00	0 00	-7 00	Box 6: Gross Proceeds
FINL SQUARE TREAS INSTRS	0 30000	04/01/2016	06/14/2016	0 30	0 30	0 00	0 00	0 00	Box 6: Gross Proceeds
CUSIP 38142B500	0 64000	05/02/2016	06/14/2016	0 64	0 64	0 00	0 00	0 00	Box 6: Gross Proceeds
	0 70000	06/01/2016	06/14/2016	0 70	0 70	0 00	0 00	0 00	Box 6: Gross Proceeds
Subtotals	4949.45000			\$4,942.45	\$4,949.45	\$0.00	\$0.00	(\$7.00)	
AMERICAN FUNDS GROWTH *	1262 81900	08/06/2015	06/14/2016	52,122 79	57,268 86	0 00	0 00	-5,146 07	Box 6: Gross Proceeds
FUND OF AMERICA CL F2	12 27000	12/23/2015	06/14/2016	506 45	503 42	0 00	0 00	3 03	Box 6: Gross Proceeds
CUSIP 399874825	112 35700	12/23/2015	06/14/2016	4,637 53	4,610 00	0 00	0 00	27 53	Box 6: Gross Proceeds
Subtotals	1387 44600			\$57,266.77	\$62,382.28	\$0.00	\$0.00	(\$5,115.51)	

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 3249-9673

FLEMING FOUNDATION
UNMANAGED

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Proceeds from Broker and Barter Exchange Transactions (continued)

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LORD ABBETT SECS TR *	1339 35700	08/06/2015	06/14/2016	25,079.70	27,483.61	0.00	0.00	-2,403.91	Box 6: Gross Proceeds
VALUE OPTYS FUND	113 69500	11/25/2015	06/14/2016	2,128.96	2,104.50	0.00	0.00	24.46	Box 6: Gross Proceeds
CUSIP 54400A605									
Subtotals	1453.05200			\$27,208.66	\$29,588.11	\$0.00	\$0.00	(\$2,379.45)	
MFS SER TR I	1645 86900	08/06/2015	06/14/2016	56,891.08	58,560.01	0.00	0.00	-1,668.93	Box 6: Gross Proceeds
VALUE FD	9 11500	09/29/2015	06/14/2016	315.06	290.77	0.00	0.00	24.29	Box 6: Gross Proceeds
CUSIP 552983694	2 52100	12/11/2015	06/14/2016	87.14	83.62	0.00	0.00	3.52	Box 6: Gross Proceeds
	68 79000	12/11/2015	06/14/2016	2,377.80	2,281.76	0.00	0.00	96.04	Box 6: Gross Proceeds
	10 81500	12/11/2015	06/14/2016	373.83	358.73	0.00	0.00	15.10	Box 6: Gross Proceeds
	6 73900	03/30/2016	06/14/2016	232.95	225.63	0.00	0.00	7.32	Box 6: Gross Proceeds
Subtotals	1743.84900			\$60,277.86	\$61,800.52	\$0.00	\$0.00	(\$1,522.66)	
AMERICAN FUNDS	1080 53200	08/06/2015	06/14/2016	37,693.24	42,129.93	0.00	0.00	-4,436.69	Box 6: Gross Proceeds
NEW PERSPECTIVE FUND	68 25500	12/24/2015	06/14/2016	2,381.00	2,466.73	0.00	0.00	-85.73	Box 6: Gross Proceeds
CUSIP 648018828	12 09300	12/24/2015	06/14/2016	421.86	437.05	0.00	0.00	-15.19	Box 6: Gross Proceeds
Subtotals	1160.88000			\$40,496.10	\$45,033.71	\$0.00	\$0.00	(\$4,537.61)	
AMERICAN FUNDS	824 92800	08/06/2015	06/14/2016	41,082.73	44,364.61	0.00	0.00	-3,281.88	Box 6: Gross Proceeds
NEW WORLD FUND CL F2	8 59400	12/24/2015	06/14/2016	428.00	431.87	0.00	0.00	-3.87	Box 6: Gross Proceeds
CUSIP 649280823									
Subtotals	833.52200			\$41,510.73	\$44,796.48	\$0.00	\$0.00	(\$3,285.75)	
PROSPECT CAPITAL CORP	2500 00000	07/29/2015	06/14/2016	18,957.83	17,995.66	0.00	0.00	962.17	Box 6: Gross Proceeds
CUSIP 743481102	2500 00000	07/29/2015	06/14/2016	18,917.09	17,995.67	0.00	0.00	921.42	Box 6: Gross Proceeds
	2500 00000	07/29/2015	06/14/2016	18,910.09	17,995.67	0.00	0.00	914.42	Box 6: Gross Proceeds
Subtotals	7500.00000			\$56,785.01	\$53,987.00	\$0.00	\$0.00	\$2,798.01	



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2016 Year-End Account Summary

As of Date: 02/21/17

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FLEMING FOUNDATION
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Proceeds from Broker and Dealer Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
RMR GRP INC/THE CUSIP 74967R106	35 00000	12/15/2015	08/01/2016	1,176 12	416 15	0 00	0 00	759 97	Box 6: Gross Proceeds
T ROWE PRICE MID-CAP *	256 31900	08/06/2015	06/14/2016	18,963 88	21,015 61	0 00	0 00	-2,051 73	Box 6: Gross Proceeds
GROWTH FUND INC	27 67700	12/15/2015	06/14/2016	2,047 70	2,000 19	0 00	0 00	47 51	Box 6: Gross Proceeds
CUSIP 779556109	1 33200	12/15/2015	06/14/2016	98 55	96 25	0 00	0 00	2 30	Box 6: Gross Proceeds
Subtotals	285.32800			\$21,110.13	\$23,112.05	\$0.00	\$0.00	(\$2,001.92)	
SCOUT FUNDS INTL FUND *	658 38500	08/06/2015	06/14/2016	15,071 88	22,003 22	0 00	0 00	-6,931 34	Box 6: Gross Proceeds
CUSIP 81063U503	230 74100	12/21/2015	06/14/2016	5,282 17	5,274 74	0 00	0 00	7 43	Box 6: Gross Proceeds
Subtotals	8 42500	12/21/2015	06/14/2016	192 87	192 59	0 00	0 00	0 28	Box 6: Gross Proceeds
SMALLCAP WORLD FD INC *	897.55100			\$20,546.92	\$27,470.55	\$0.00	\$0.00	(\$6,923.63)	
CL F2	1184 46400	08/06/2015	06/14/2016	51,659 76	59,187 66	0 00	0 00	-7,527 90	Box 6: Gross Proceeds
CUSIP 831681820	79 87300	12/23/2015	06/14/2016	3,483 62	3,492 86	0 00	0 00	-9 24	Box 6: Gross Proceeds
Subtotals	1264.33700			\$55,143.38	\$62,680.52	\$0.00	\$0.00	(\$7,537.14)	
VERSUM MATERIALS INC	1991 59122	12/24/2015	12/16/2016	65,055 20	45,518 63	0 00	0 00	19,536 57	Box 6: Gross Proceeds
CUSIP 92532W103	1500 00000	12/24/2015	12/16/2016	47,671 14	34,283 11	0 00	0 00	13,388 03	Box 6: Gross Proceeds
Subtotals	3491.59122			\$112,726.34	\$79,801.74	\$0.00	\$0.00	\$32,924.60	Assignment
WELLS FARGO EMERGING *	857 71800	08/06/2015	06/14/2016	16,032 33	16,768 38	0 00	0 00	-736 05	Box 6: Gross Proceeds
MARKETS EQUITY FUND									
CUSIP 94975P751									

2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 3249-9673

FLEMING FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CALL AIR PRODS CHEMICAL \$150 EXP 09/16/16 CUSIP N/A	30 00000	09/16/2016	06/23/2016	10,484 70	0 00	0 00	0 00	10,484 70	Box 6: Gross Proceeds Short Sale
CALL AIR PRODS CHEMICAL \$155 EXP 09/16/16 CUSIP N/A	30 00000	09/16/2016	06/23/2016	4,884 82	0 00	0 00	0 00	4,884 82	Box 6: Gross Proceeds Short Sale
CALL APDT=APD+50VSM \$165 EXP 12/16/16 CUSIP N/A	50 00000	12/16/2016	08/18/2016	11,783 71	0 00	0 00	0 00	11,783 71	Box 6: Gross Proceeds Short Sale
Totals				\$2,404,713.73	\$2,106,432.16	\$0.00	\$0.00	\$298,281.57	



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FLEMING FOUNDATION
UNMANAGED

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Proceeds from Broker and Dealer Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BLACKROCK CAPITAL INVESTMENT CORP CUSIP 092533108	1000 00000	07/29/2015	12/29/2016	6,968 74	8,886 13	0 00	0 00	-1,917 39	Box 6: Gross Proceeds
	4800 00000	07/29/2015	12/29/2016	33,425 82	42,653 43	0 00	0 00	-9,227 61	Box 6: Gross Proceeds
	400 00000	07/29/2015	12/29/2016	2,787 50	3,557 44	0 00	0 00	-769 94	Box 6: Gross Proceeds
Subtotals	6200 00000			\$43,182.06	\$55,097.00	\$0.00	\$0.00	(\$11,914.94)	
HCP INC CUSIP 40414L109	1400 00000	07/29/2015	08/01/2016	55,016 24	52,553 16	0 00	0 00	2,463 08	Original Basis 53,937 00 Box 6: Gross Proceeds
INVESCO MORTGAGE CAP INC CUSIP 46131B100	300 00000	08/03/2015	12/19/2016	4,512 01	4,309 49	0 00	0 00	202 52	Box 6: Gross Proceeds
	300 00000	08/03/2015	12/19/2016	4,519 76	4,309 48	0 00	0 00	210 28	Box 6: Gross Proceeds
	3200 00000	08/03/2015	12/19/2016	48,205 93	45,967 87	0 00	0 00	2,238 06	Box 6: Gross Proceeds
Subtotals	3800 00000			\$57,237.70	\$54,586.84	\$0.00	\$0.00	\$2,650.86	
VANGUARD HIGH DIVIDEND YIELD INDEX FUNDS CUSIP 921946406	300 00000	07/10/2014	06/14/2016	21,107 26	19,910 11	0 00	0 00	1,197 15	Box 6: Gross Proceeds
	9800 00000	07/10/2014	06/14/2016	689,536 12	650,396 98	0 00	0 00	39,139 14	Box 6: Gross Proceeds
	2800 00000	07/10/2014	06/14/2016	197,038 35	185,827 71	0 00	0 00	11,210 64	Box 6: Gross Proceeds
	200 00000	07/10/2014	06/14/2016	14,075 17	13,273 40	0 00	0 00	801 77	Box 6: Gross Proceeds
Subtotals	13100 00000			\$921,756.90	\$869,408.20	\$0.00	\$0.00	\$52,348.70	
VERSUM MATERIALS INC CUSIP 92532W103	0 09122	12/24/2015	10/03/2016	2 06	2 09	0 00	0 00	-0 03	Box 6: Gross Proceeds Cash in Lieu
	0 40878	12/24/2015	10/03/2016	9 25	9 34	0 00	0 00	-0 09	Box 6: Gross Proceeds Cash in Lieu
	2008 40878	12/24/2015	12/16/2016	65,604 52	45,935 75	0 00	0 00	19,668 77	Box 6: Gross Proceeds Assignment
Subtotals	2008 90878			\$65,615.83	\$45,947.18	\$0.00	\$0.00	\$19,668.65	
Totals				\$1,142,808.73	\$1,077,592.38	\$0.00	\$0.00	\$65,216.35	

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As of Date: 02/21/17

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FLEMING FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions continued

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.



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FLEMING FOUNDATION
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FARGO ADVISORS

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
ABBVIE INC CUSIP: 00287Y109	7 00000	01/07/2016	01/25/2016	415.44	401.69	0.00	0.00	13.75	Box 6: Gross Proceeds
BP PLC SPONS ADR CUSIP: 055622104	166 00000	06/25/2015	02/25/2016	4,707.19	6,974.73	0.00	0.00	-2,267.54	Box 6: Gross Proceeds
	381.00000	01/25/2016	02/25/2016	10,803.86	11,406.77	0.00	0.00	-602.91	Box 6: Gross Proceeds
Subtotals	547.00000			\$15,511.05	\$18,381.50	\$0.00	\$0.00	(\$2,870.45)	
EXXON MOBIL CORP CUSIP: 30231G102	16 00000	08/26/2015	01/25/2016	1,211.98	1,140.49	0.00	0.00	71.49	Box 6: Gross Proceeds
HCP INC CUSIP: 40414L109	10 00000	02/02/2015	01/07/2016	368.62	466.92	0.00	0.00	-98.30	Original Basis: 468.20 Box 6: Gross Proceeds
ISHARES SELECT ETF DIVIDEND CUSIP: 464287168	1287 00000	12/24/2015	01/25/2016	92,323.67	97,947.91	0.00	0.00	-5,624.24	Box 6: Gross Proceeds
OMEGA HEALTHCARE REIT INVESTORS INC CUSIP: 681936100	10 00000	01/25/2016	07/12/2016	327.33	337.90	0.00	0.00	-10.57	Original Basis: 339.49 Box 6: Gross Proceeds
REYNOLDS AMERICAN INC CUSIP: 761713106	454 00000	10/13/2016	10/21/2016	24,495.59	21,593.24	0.00	0.00	2,902.35	Box 6: Gross Proceeds
Totals				\$134,653.68	\$140,269.65	\$0.00	\$0.00	(\$5,615.97)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AT & T INC CUSIP 00206R102	10.00000	12/05/2011	01/25/2016	349.29	291.07	0.00	0.00	58.22	Box 6: Gross Proceeds
ALTRIA GROUP INC CUSIP: 02209S103	132.00000	12/05/2011	04/14/2016	8,148.33	3,731.01	0.00	0.00	4,417.32	Box 6: Gross Proceeds
	206.00000	12/05/2011	07/22/2016	14,181.18	5,822.63	0.00	0.00	8,358.55	Box 6: Gross Proceeds
Subtotals	338.00000			\$22,329.51	\$9,553.64	\$0.00	\$0.00	\$12,775.87	
GENERAL MILLS INC CUSIP: 370334104	147.00000	11/03/2014	07/22/2016	10,457.50	7,730.03	0.00	0.00	2,727.47	Box 6: Gross Proceeds
	154.00000	11/03/2014	08/03/2016	10,719.53	8,098.12	0.00	0.00	2,621.41	Box 6: Gross Proceeds
Subtotals	301.00000			\$21,177.03	\$15,828.15	\$0.00	\$0.00	\$5,348.88	
GLAXOSMITHKLINE PLC-ADR CUSIP: 37733W105	239.00000	02/02/2015	07/12/2016	10,444.12	10,505.39	0.00	0.00	-61.27	Box 6: Gross Proceeds
	511.00000	02/02/2015	11/17/2016	19,611.03	22,461.32	0.00	0.00	-2,850.29	Box 6: Gross Proceeds
Subtotals	750.00000			\$30,055.15	\$32,966.71	\$0.00	\$0.00	(\$2,911.56)	
HCP INC CUSIP 40414L109	324.00000	12/05/2011	01/07/2016	11,942.93	11,988.66	0.00	0.00	-45.73	Original Basis 12,226.49 Box 6: Gross Proceeds
	3.00000	06/25/2012	01/07/2016	110.58	125.41	0.00	0.00	-14.83	Original Basis: 126.87 Box 6: Gross Proceeds
	15.00000	09/17/2013	01/07/2016	552.91	623.64	0.00	0.00	-70.73	Original Basis: 625.78 Box 6: Gross Proceeds
	184.00000	01/08/2014	01/07/2016	6,782.41	6,838.44	0.00	0.00	-56.03	Original Basis: 6,858.64 Box 6: Gross Proceeds
	168.00000	03/19/2014	01/07/2016	6,192.63	6,202.87	0.00	0.00	-10.24	Original Basis 6,221.31 Box 6: Gross Proceeds
	235.00000	07/14/2014	01/07/2016	8,662.32	9,688.03	0.00	0.00	-1,025.71	Original Basis 9,713.87 Box 6: Gross Proceeds
	12.00000	11/04/2014	01/07/2016	442.33	528.04	0.00	0.00	-85.71	Original Basis 529.32 Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
HCP INC									
CUSIP: 40414L109									
Subtotals	941.00000			\$34,686.11	\$35,995.09	\$0.00	\$0.00	(\$1,308.98)	
JOHNSON & JOHNSON									
CUSIP: 478160104	91.00000	12/05/2011	07/12/2016	11,193.83	5,739.02	0.00	0.00	5,454.81	Box 6: Gross Proceeds
	92.00000	12/05/2011	10/13/2016	10,894.15	5,802.08	0.00	0.00	5,092.07	Box 6: Gross Proceeds
Subtotals	183.00000			\$22,087.98	\$11,541.10	\$0.00	\$0.00	\$10,546.88	
KRAFT HEINZ CO									
CUSIP: 500754106	6.00000	10/11/2012	01/25/2016	444.11	276.06	0.00	0.00	168.05	Original Basis 278.90 Box 6: Gross Proceeds
	72.00000	10/11/2012	04/14/2016	5,552.82	3,278.40	0.00	0.00	2,274.42	Original Basis 3,346.72 Box 6: Gross Proceeds
	89.00000	10/12/2012	04/14/2016	6,863.91	4,053.84	0.00	0.00	2,810.07	Original Basis 4,138.30 Box 6: Gross Proceeds
	200.00000	10/12/2012	05/18/2016	16,225.14	9,109.74	0.00	0.00	7,115.40	Original Basis 9,299.54 Box 6: Gross Proceeds
	225.00000	12/05/2012	05/18/2016	18,253.29	10,181.59	0.00	0.00	8,071.70	Original Basis 10,395.11 Box 6: Gross Proceeds
	79.00000	05/07/2013	05/18/2016	6,408.94	4,209.15	0.00	0.00	2,199.79	Original Basis 4,284.11 Box 6: Gross Proceeds
	131.00000	05/07/2013	07/12/2016	11,520.22	6,917.55	0.00	0.00	4,602.67	Original Basis 7,104.03 Box 6: Gross Proceeds
	26.00000	05/07/2013	10/13/2016	2,263.34	1,360.09	0.00	0.00	903.25	Original Basis 1,409.95 Box 6: Gross Proceeds
	17.00000	09/17/2013	10/13/2016	1,479.88	901.33	0.00	0.00	578.55	Original Basis 933.92 Box 6: Gross Proceeds
	5.00000	12/27/2013	10/13/2016	435.26	259.17	0.00	0.00	176.09	Original Basis 268.75 Box 6: Gross Proceeds

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FLEMING FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KRAFT HEINZ CO CUSIP: 500754106	79.00000	07/14/2014	10/13/2016	6,877.11	4,598.10	0.00	0.00	2,279.01	Original Basis: 4,749.83 Box 6: Gross Proceeds
Subtotals	929.00000			\$76,324.02	\$45,145.02	\$0.00	\$0.00	\$31,179.00	
MCDONALDS CORP CUSIP: 580135101	3.00000	06/25/2012	01/25/2016	362.04	263.94	0.00	0.00	98.10	Box 6: Gross Proceeds
	16.00000	12/05/2012	01/25/2016	1,930.93	1,396.33	0.00	0.00	534.60	Box 6: Gross Proceeds
	138.00000	12/05/2012	02/04/2016	16,578.17	12,043.27	0.00	0.00	4,534.90	Box 6: Gross Proceeds
Subtotals	157.00000			\$18,871.14	\$13,703.54	\$0.00	\$0.00	\$5,167.60	
MERCK & CO INC NEW CUSIP: 58933Y105	166.00000	01/06/2012	10/13/2016	10,408.34	6,396.75	0.00	0.00	4,011.59	Box 6: Gross Proceeds
	318.00000	01/06/2012	11/17/2016	19,824.74	12,254.00	0.00	0.00	7,570.74	Box 6: Gross Proceeds
Subtotals	484.00000			\$30,233.08	\$18,650.75	\$0.00	\$0.00	\$11,582.33	
OMEGA HEALTHCARE REIT INVESTORS INC CUSIP: 681936100	343.00000	06/09/2015	07/12/2016	11,227.38	11,815.64	0.00	0.00	-588.26	Original Basis: 12,031.21 Box 6: Gross Proceeds
	343.00000	06/10/2015	07/12/2016	11,227.38	12,132.11	0.00	0.00	-904.73	Original Basis: 12,389.78 Box 6: Gross Proceeds
Subtotals	686.00000			\$22,454.76	\$23,947.75	\$0.00	\$0.00	(\$1,492.99)	
PHILIP MORRIS INTERNATIONAL INC CUSIP: 718172109	10.00000	12/05/2011	01/25/2016	868.59	757.66	0.00	0.00	110.93	Box 6: Gross Proceeds
	142.00000	12/05/2011	04/14/2016	14,187.41	10,758.77	0.00	0.00	3,428.64	Box 6: Gross Proceeds
Subtotals	152.00000			\$15,056.00	\$11,516.43	\$0.00	\$0.00	\$3,539.57	
PROCTER & GAMBLE CO CUSIP: 742718109	173.00000	12/05/2011	07/22/2016	14,815.37	11,169.93	0.00	0.00	3,645.44	Box 6: Gross Proceeds
	3.00000	06/25/2012	07/22/2016	256.91	178.92	0.00	0.00	77.99	Box 6: Gross Proceeds
	6.00000	09/17/2013	07/22/2016	513.84	478.00	0.00	0.00	35.84	Box 6: Gross Proceeds
	1.00000	07/09/2014	07/22/2016	85.64	81.63	0.00	0.00	4.01	Box 6: Gross Proceeds



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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
PROCTER & GAMBLE CO CUSIP: 742718109	183.00000			\$15,671.76	\$11,908.48	\$0.00	\$0.00	\$3,763.28	
Subtotals									
REALTY INCOME CORP REIT CUSIP: 756109104	150.00000	12/27/2013	08/03/2016	10,344.27	5,575.41	0.00	0.00	4,768.86	Original Basis 5,707.80 Box 6: Gross Proceeds
REYNOLDS AMERICAN INC CUSIP: 761713106	368.00000	12/05/2011	02/04/2016	17,343.56	7,605.91	0.00	0.00	9,737.65	Box 6: Gross Proceeds
	402.00000	12/05/2011	07/12/2016	20,915.24	8,308.62	0.00	0.00	12,606.62	Box 6: Gross Proceeds
	206.00000	12/05/2011	10/21/2016	11,114.73	4,257.64	0.00	0.00	6,857.09	Box 6: Gross Proceeds
	18.00000	06/25/2012	10/21/2016	971.19	388.35	0.00	0.00	582.84	Box 6: Gross Proceeds
	330.00000	10/24/2012	10/21/2016	17,805.15	6,812.67	0.00	0.00	10,992.48	Box 6: Gross Proceeds
	16.00000	09/17/2013	10/21/2016	863.28	396.57	0.00	0.00	466.71	Box 6: Gross Proceeds
	10.00000	12/27/2013	10/21/2016	539.55	248.80	0.00	0.00	290.75	Box 6: Gross Proceeds
	602.00000	07/14/2014	10/21/2016	32,480.93	18,967.70	0.00	0.00	13,513.23	Box 6: Gross Proceeds
	78.00000	11/04/2014	10/21/2016	4,208.49	2,508.95	0.00	0.00	1,699.54	Box 6: Gross Proceeds
	2.00000	02/02/2015	10/21/2016	107.91	68.02	0.00	0.00	39.89	Box 6: Gross Proceeds
Subtotals	2032.00000			\$106,350.03	\$49,563.23	\$0.00	\$0.00	\$56,786.80	
UNILEVER PLC SPONS ADR CUSIP: 904767704	285.00000	12/05/2011	10/13/2016	12,413.56	9,372.79	0.00	0.00	3,040.77	Box 6: Gross Proceeds
	9.00000	06/25/2012	10/13/2016	392.00	290.75	0.00	0.00	101.25	Box 6: Gross Proceeds
	7.00000	09/17/2013	10/13/2016	304.89	279.76	0.00	0.00	25.13	Box 6: Gross Proceeds
	2.00000	12/27/2013	10/13/2016	87.11	82.16	0.00	0.00	4.95	Box 6: Gross Proceeds
	95.00000	11/03/2014	10/13/2016	4,137.87	3,791.17	0.00	0.00	346.70	Box 6: Gross Proceeds
Subtotals	398.00000			\$17,335.43	\$13,816.63	\$0.00	\$0.00	\$3,518.80	

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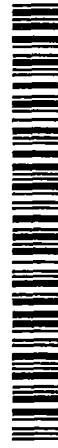
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
VENTAS INC CUSIP 92276F100	158.00000	09/13/2013	10/13/2016	10,518.16	8,449.27	0.00	0.00	2,068.89	Box 6: Gross Proceeds
VERIZON COMMUNICATIONS COM CUSIP 92343V104	12.00000	12/05/2011	01/25/2016	566.03	457.25	0.00	0.00	108.78	Box 6: Gross Proceeds
Totals				\$454,409.75	\$308,909.52	\$0.00	\$0.00	\$145,500.23	



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Proceeds from Broker and Barter Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
PHILIP MORRIS INTERNATIONAL INC CUSIP 718172109	4 00000	06/19/2006	01/25/2016	347.43	150.85	0.00	0.00	196.58	Box 6: Gross Proceeds

Totals

\$347.43 \$150.85 \$0.00 \$0.00 \$196.58

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~~Proceeds from Broker and Barter Exchange Transactions, continued~~

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
MCDONALDS CORP CUSIP: 580135101	138.00000	N/A	02/04/2016	16,578.17	12,643	N/A	N/A	4335	N/A Box 6: Gross Proceeds
REYNOLDS AMERICAN INC CUSIP: 761713106	368.00000	N/A	02/04/2016	17,343.56	7606	N/A	N/A	9738	N/A Box 6: Gross Proceeds
Totals				\$33,921.73	19649	\$0.00	\$0.00	14273	N/A

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

Account Workbook

Closed Tax Lots

Filter Set: 02/04/2016 - 02/04/2016

ACCT: 2688-7759

Quantity	Description 1	CUSIP	Symbol	Open Date	Close Date	Unit Cost	Trade Price	Cost Amount	Close Price	Close Amt	Realized	Short	Long	Term
1	138 MCDONALD'S CORP	580135-10-1	MCD	12/05/2011	02/04/2016	87.2701	87.2701	19,649.18	120.1339	33,921.73	14,272.5500		14,272.55	
2	368 REYNOLDS AMERICAN INC	761713-10-6	RAI	12/05/2011	02/04/2016	20.6682	20.66821485	7,605.91	47.1301	17,343.56	9,737.65		9,737.65	LONG

Indicators:

Y-Covered Tax lot-Cost information for this tax lot is covered by IRS reporting requirements; N-Noncovered Tax lot-Cost information for this tax lot is not covered by IRS reporting requirements, M-Mixed-Net Row is a mix of Covered and Noncovered tax lot details, W-Open date reflects Wash Sale Date-The cost for this tax lot has been adjusted due to wash sale activity as defined by IRS regulations, Filter - "All Tax Years" represents the current and the previous two tax years, CSI - Cash Standing Instructions indicates the disposition of stock and money on cash accounts, MMF Code - The MMF Code in the report header indicates how money market account balances are treated.

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