

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation KASSEL-BACKER FOUNDATION		A Employer identification number 22-6966562
Number and street (or P.O. box number if mail is not delivered to street address) 56 LEONARD ST		B Telephone number 732-549-5600
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10013		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,564,255.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		65,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		2,985.	2,985.		Statement 1
4 Dividends and interest from securities		27,432.	27,432.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		70,927.			
b Gross sales price for all assets on line 6a 775,776.					
7 Capital gain net income (from Part IV, line 2)			70,927.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		166,344.	101,344.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes Stmt 3		1,585.	225.		1,360.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 4		18,407.	16,707.		1,700.
24 Total operating and administrative expenses. Add lines 13 through 23		19,992.	16,932.		3,060.
25 Contributions, gifts, grants paid		49,175.			49,175.
26 Total expenses and disbursements. Add lines 24 and 25		69,167.	16,932.		52,235.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		97,177.			
b Net investment income (if negative, enter -0-)			84,412.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 25 2017

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			66,273.	132,739.	132,739.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶	1,117.				
	Less: allowance for doubtful accounts ▶			618.	1,117.	1,117.
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations Stmt 5			212,493.	311,830.	311,830.
	b Investments - corporate stock Stmt 6			1,132,054.	1,060,737.	1,060,737.
	c Investments - corporate bonds Stmt 7			20,001.	57,832.	57,832.
	11 Investments - land, buildings and equipment basis ▶					
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment: basis ▶						
Less accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			1,431,439.	1,564,255.	1,564,255.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			1,431,439.	1,564,255.	
30 Total net assets or fund balances			1,431,439.	1,564,255.		
31 Total liabilities and net assets/fund balances			1,431,439.	1,564,255.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,431,439.
2 Enter amount from Part I, line 27a	2	97,177.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	3	35,644.
4 Add lines 1, 2, and 3	4	1,564,260.
5 Decreases not included in line 2 (itemize) ▶ PENALTY	5	5.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,564,255.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 775,776.		704,849.	70,927.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			70,927.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	70,927.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	76,912.	1,458,886.	.052720
2014	93,254.	1,534,228.	.060782
2013	95,248.	1,512,259.	.062984
2012	54,665.	1,047,295.	.052196
2011	52,509.	936,317.	.056080

2 Total of line 1, column (d)	2	.284762
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056952
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,419,553.
5 Multiply line 4 by line 3	5	80,846.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	844.
7 Add lines 5 and 6	7	81,690.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	52,235.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,688.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,688.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	1,688.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		40.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		1,728.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ None		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of MICHAEL A BACKER Telephone no. 732-549-5600		
Located at 56 LEONARD ST APT 31AE, NEW YORK, NY ZIP+4 10013		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
		15 N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL A BACKER	TRUSTEE			
56 LEONARD ST APT 31AE				
NY, NY 10013	0.50	0.	0.	0.
TERRY KASSEL	TRUSTEE			
44 W 77TH ST 12E				
NY, NY 10024	0.50	0.	0.	0.
STEPHEN BACKER	TRUSTEE			
211 BERKELEY PLACE				
BROOKLYN, NY 11217	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT ATTACHED	
2	0.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,364,865.
b	Average of monthly cash balances	1b	76,306.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,441,171.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,441,171.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,618.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,419,553.
6	Minimum investment return Enter 5% of line 5	6	70,978.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	70,978.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,688.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,688.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	69,290.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	69,290.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	69,290.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	52,235.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	52,235.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	52,235.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				69,290.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	6,019.			
b From 2012	2,655.			
c From 2013	21,304.			
d From 2014	16,807.			
e From 2015	5,328.			
f Total of lines 3a through e	52,113.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	52,235.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				52,235.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	17,055.			17,055.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	35,058.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	35,058.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013	12,923.			
c Excess from 2014	16,807.			
d Excess from 2015	5,328.			
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE ATTACHED IN RESPONSE TO PART I				49,175.
Total			► 3a	49,175.
b Approved for future payment				
None				
Total			► 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue.					
a <u>N/A</u>					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					2,985.
4 Dividends and interest from securities					27,432.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					70,927.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	101,344.
13 Total. Add line 12, columns (b), (d), and (e)				13	101,344.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | | | |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5
Date

Title _____

May the IRS discuss this return with the preparer shown below (see instr. 7)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

STEVEN J TRUPPO CPA

Preparer's signature

Date

Check ☐ self-employed

PTIN

P00027864

Firm's name ▶ ROSENBERG RICH BAKER BERMAN & CO

Firm's EIN ► 22-3271252

Firm's address ► 111 DUNNELL ROAD
MAPLEWOOD, NJ 07040

Phone no. **973-763-6363**

Form **990-PF** (2016)

KASSEL-BACKER FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE SCHEDULE ATTACHED-02001	P		
b	SEE SCHEDULE ATTACHED-02004	P		
c	SEE SCHEDULE ATTACHED-02004	P		
d	SEE SCHEDULE ATTACHED-02005	P		
e	SEE SCHEDULE ATTACHED-02005	P		
f	MISCELLANEOUS GAINS	P		
g	Capital Gains Dividends	P		
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 18,053.		9,339.	8,714.
b 64,098.		64,062.	36.
c 182,365.		181,863.	502.
d 55,567.		52,166.	3,401.
e 455,457.		397,419.	58,038.
f 42.			42.
g 194.			194.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8,714.
b			36.
c			502.
d			3,401.
e			58,038.
f			42.
g			194.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	70,927.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

KASSEL-BACKER FOUNDATION

Employer identification number

22-6966562

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

KASSEL-BACKER FOUNDATION**22-6966562****Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TERRY KASSEL 44 WEST 77TH ST 12E NEW YORK, NY 10024	\$ 65,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
0		\$	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

KASSEL-BACKER FOUNDATION**22-6966562****Part II Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

KASSEL-BACKER FOUNDATION**22-6966562****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
M LYNCH	2,985.	2,985.	
Total to Part I, line 3	2,985.	2,985.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
M LYNCH	27,432.	0.	27,432.	27,432.	
To Part I, line 4	27,432.	0.	27,432.	27,432.	

Form 990-PF Taxes Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES	225.	225.		0.
FEDERAL EXCISE TAX	1,360.	0.		1,360.
To Form 990-PF, Pg 1, ln 18	1,585.	225.		1,360.

Form 990-PF Other Expenses Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT MNGT FEES	16,704.	16,704.		0.
ACCOUNTING	1,700.	0.		1,700.
MISCELLANEOUS FEES	3.	3.		0.
To Form 990-PF, Pg 1, ln 23	18,407.	16,707.		1,700.

Form 990-PF	U.S. and State/City Government Obligations	Statement	5
-------------	--	-----------	---

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
SEE SCHEDULE ATTACHED #02004	X		311,830.	311,830.
Total U.S. Government Obligations			311,830.	311,830.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			311,830.	311,830.

Form 990-PF	Corporate Stock	Statement	6
-------------	-----------------	-----------	---

Description	Book Value	Fair Market Value
SEE SCHEDULE ATTACHED #02005	688,737.	688,737.
SEE SCHEDULE ATTACHED #02001	116,112.	116,112.
SEE SCHEDULE ATTACHED #02004	255,888.	255,888.
Total to Form 990-PF, Part II, line 10b	1,060,737.	1,060,737.

Form 990-PF	Corporate Bonds	Statement	7
-------------	-----------------	-----------	---

Description	Book Value	Fair Market Value
SEE SCHEDULE ATTACHED #02004	57,832.	57,832.
Total to Form 990-PF, Part II, line 10c	57,832.	57,832.

Form 990-PF	Part XV - Line 1a List of Foundation Managers	Statement	8
-------------	--	-----------	---

Name of Manager

MICHAEL A BACKER
TERRY KASSEL

KASSEL-BACKER FOUNDATION

EIN: 22-6961562

2016 CASH CONTRIBUTIONS

<u>Month</u>	<u>Payee</u>	<u>Contributions</u>
February	Yestermorrow	\$ 1,000.00
	WNET (Channel 13)	2,500.00
June	Manhattan Theatre Club	10,000.00
	The Mountain School	5,000.00
August	Englewood Hospital Foundation	5,000.00
September	Primary Care Progress	20,000.00
	Bass Foundation	500.00
	Bass Foundation	15.00
	Cystic Fibrosis Foundation	2,500.00
November	National MM Law Center	100.00
	Brooklyn Academy of Music	150.00
	BB*SPLC	100.00
	Peace Corps	100.00
December	WQXR Radio	360.00
	Brooklyn Academy of Music	1,500.00
	Central Park Conservancy	250.00
	Brooklyn Botanical Garden	100.00
Total	Contributions Paid - Page 1, Line 25	<u>\$ 49,175.00</u>

2016 FORM 990-PF

**KASSEL-BACKER FOUNDATION
EIN: 22-6966562**

Statement for Part IX-A

Under Article SECOND of the February 7, 2005 Trust Agreement by which this Foundation was established, the Trustees are directed to distribute so much of the net income and principal of the Trust fund "to or for the use of one or more 'charitable organizations' within the meaning of that term [as therein defined]." During 2016, the Trustees distributed amounts totaling \$49,175.00, as described more particularly in this Return, for allowed charitable purposes.



Fiscal Statement

Merrill Lynch
Bank of America Corporation

THE KASSEL-BACKER FOUNDATION
22-6966562

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		1.04	1.04			
100,610	ML BANK DEPOSIT PROGRAM	07/01/15	100,610.00	100,610.00			10.06
	Total Cash and Money Funds		100,611.04	100,611.04			10.06

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
900	AUTODESK INC DEL PV\$0.01	08/16/11	26,085.69	66,609.00	40,523.31	
300	AUTODESK INC DEL PV\$0.01	08/17/11	8,681.01	22,203.00	13,521.99	
10,000	HOVNANIAN ENTRPRSES CL A	04/10/13		27,300.00		
	Total Equities		34,766.70	116,112.00	54,045.30	0.00

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Fiscal Statement

Merrill Lynch
Bank of America Corporation

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IE KASSEL-BACKER FOUNDATION
22-6966562

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		784.39	784.39			
7,814	BIF MONEY FUND		7,814.00	7,814.00			
	Total Cash and Money Funds		8,598.39	8,598.39			
Government Securities							
12,000	FEDERAL HOME LN MTG CORP NOTES 01.000% SEP 29 2017	03/27/15	12,013.52	12,011.64	(1.88)	30.33	120.00
7,000	FEDERAL HOME LN MTG CORP ORIGINAL UNIT/TOTAL COST: 100.2780/7,019.46	04/20/16	7,010.17	7,006.79	(3.38)	17.69	70.00
7,000	INTL BK RECON & DEVELOP UNSECURED 01.875% MAR 15 2019	04/08/16	7,128.46	7,048.37	(80.09)	38.28	132.00
5,000	INTL BK RECON & DEVELOP ORIGINAL UNIT/TOTAL COST: 102.1460/5,107.30	04/21/16	5,082.29	5,034.55	(47.74)	27.34	94.00
19,000	U.S. TREASURY NOTE 1.000% DEC 31 2017 MOODY'S AAA S&P. ***	01/19/16	19,024.60	19,014.82	(9.78)	94.48	190.00
13,000	U S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.3830/13,049.79	04/20/16	13,029.69	13,010.14	(19.55)	64.65	130.00

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Fiscal Statement

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*THE KASSEL-BACKER

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
48,000	U.S. TREASURY NOTE 0.750% OCT 31 2018 00.750% OCT 31 2018	11/04/16	47,955.08	47,654.88	(300.20)	59.67	360.00
5,000	U.S. TREASURY NOTE 0.625% MAY 31 2017 MOODY'S: AAA S&P: ***	06/02/15	4,996.30	5,000.40	4.10	2.58	32.00
3,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.0120/3,000.36	04/20/16	3,000.14	3,000.24	0.10	1.55	19.00
25,000	U.S. TREASURY NOTE 0.750% FEB 28 2018 MOODY'S: AAA S&P: ***	07/19/16	25,016.29	24,941.50	(74.79)	62.67	188.00
47,000	U.S. TREASURY NOTE 0.875% MAR 31 2018 MOODY'S: AAA S&P: ***	04/08/16	47,093.53	46,939.37	(154.16)	102.81	412.00
30,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.1681/30,050.44	04/20/16	30,032.65	29,961.30	(71.35)	65.63	263.00
19,000	U.S. TREASURY NOTE 0.875% APR 15 2019 00.875% APR 15 2019	05/03/16	18,991.13	18,829.38	(161.75)	34.71	167.00



Fiscal Statement

Merrill Lynch
Bank of America Corporation

JE KASSEL-BACKER

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
32,000	U.S. TREASURY NOTE 0.750% AUG 31 2018 MOODY'S: AAA S&P: ***	09/15/16	32,002.18	31,802.56	(199.62)	80.22	240.00
24,000	U.S. TREASURY NOTE 1.375% AUG 31 2020 MOODY'S: AAA S&P: ***	09/08/15	23,832.23	23,750.64	(81.59)	110.30	330.00
17,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 100.6330/17,107.61	04/20/16	17,091.02	16,823.37	(267.65)	78.13	234.00
Total Government Securities			313,299.28	311,829.95	(1,469.33)	871.04	2,981.00
Corporate Bonds							
4,000	GOLDMAN SACHS GROUP INC SER GLOB GLB 02.375% JAN 22 2018	10/27/15	4,035.64	4,023.80	(11.84)	41.69	95.00
2,000	GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 101.3090/2,026.18	04/20/16	2,015.99	2,011.90	(4.09)	20.85	48.00
7,000	USD KFW 1.875% JUN 30 2020 MOODY'S: AAA S&P: AAA	04/08/16	7,130.95	6,985.23	(145.72)		132.00
5,000	USD KFW ORIGINAL UNIT/TOTAL COST: 101.7900/5,089.50	04/21/16	5,075.33	4,989.45	(85.88)		94.00

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Fiscal Statement

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THE KASSEL-BACKER

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
15,000	USD KFW 1.250% SEP 30 2019 MOODY'S: AAA S&P: AAA	10/24/16	15,000.00	14,824.05	(175.95)	36.98	188.00
8,000	WELLS FARGO & COMPANY SER MTN 01.400% SEP 08 2017	09/05/14	8,000.11	7,990.56	(9.55)	34.84	112.00
5,000	WELLS FARGO & COMPANY ORIGINAL UNIT/TOTAL COST: 100.2470/5,012.35	04/25/16	5,006.25	4,994.10	(12.15)	21.78	70.00
4,000	JPMORGAN CHASE & CO SER H 01.700% MAR 01 2018	10/27/15	4,004.78	3,999.64	(5.14)	22.48	68.00
2,000	JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 100.4100/2,008.20	04/20/16	2,005.19	1,999.82	(5.37)	11.24	34.00
4,000	BANK OF AMERICA CORP GLB 02.000% JAN 11 2018	10/27/15	4,027.96	4,009.20	(18.76)	37.56	80.00
2,000	BANK OF AMERICA CORP	04/20/16	2,009.68	2,004.60	(5.08)	18.78	40.00
Total Corporate Bonds			58,311.88	57,832.35	(479.53)	246.20	961.00

JE KASSEL-BACKER



Fiscal Statement



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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
26,879	BLACKROCK ALLOCATION TARGET SHARES SERIES S	05/03/13	264,069.24	255,888.08	(8,181.16)	8,708.00
Total Mutual Funds/Closed End Funds/UIT			264,069.24	255,888.08 ✓	(8,181.16)	8,708.00

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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		206.50	206.50			
23,323	BIF MONEY FUND		23,323.00	23,323.00			
	Total Cash and Money Funds		23,529.50	23,529.50			

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
1	ASTRAZENECA PLC SPND ADR	04/06/16	29.67	27.32	(2.35)	2.00
99	ASTRAZENECA PLC SPND ADR	04/06/16	2,953.77	2,704.68	(249.09)	136.00
36	ASTRAZENECA PLC SPND ADR	04/07/16	1,072.03	983.52	(88.51)	50.00
32	ASTRAZENECA PLC SPND ADR	04/21/16	962.24	874.24	(88.00)	44.00
57	ASTRAZENECA PLC SPND ADR	05/04/16	1,610.76	1,557.24	(53.52)	79.00
22	ASTRAZENECA PLC SPND ADR	05/19/16	624.44	601.04	(23.40)	31.00
33	ASTRAZENECA PLC SPND ADR	05/20/16	945.79	901.56	(44.23)	46.00
45	ASTRAZENECA PLC SPND ADR	10/20/16	1,394.92	1,229.40	(165.52)	62.00
46	ASTRAZENECA PLC SPND ADR	11/10/16	1,273.39	1,256.72	(16.67)	64.00

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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
12	ASTRAZENECA PLC SPND ADR	11/11/16	331.42	327.84	(3.58)	17.00
16	AETNA INC NEW	01/05/16	1,763.09	1,984.16	221.07	16.00
21	AETNA INC NEW	01/07/16	2,316.91	2,604.21	287.30	21.00
1	AETNA INC NEW	01/07/16	118.78	124.01	5.23	1.00
2	AETNA INC NEW	01/11/16	239.48	248.02	8.54	2.00
6	AETNA INC NEW	01/12/16	681.08	744.06	62.98	6.00
21	AETNA INC NEW	02/12/16	2,057.74	2,604.21	546.47	21.00
4	AETNA INC NEW	04/21/16	453.08	496.04	42.96	4.00
14	AETNA INC NEW	08/08/16	1,657.13	1,736.14	79.01	14.00
12	AETNA INC NEW	11/15/16	1,489.82	1,488.12	(1.70)	12.00
27	AMERICAN INTERNATIONAL GROUP INC	02/18/15	1,483.30	1,763.37	280.07	35.00
19	AMERICAN INTERNATIONAL GROUP INC	05/20/15	1,139.15	1,240.89	101.74	25.00
19	AMERICAN INTERNATIONAL GROUP INC	05/22/15	1,147.61	1,240.89	93.28	25.00
38	AMERICAN INTERNATIONAL GROUP INC	06/12/15	2,346.62	2,481.78	135.16	49.00

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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
37	AMERICAN INTERNATIONAL GROUP INC	03/11/16	1,954.85	2,416.47	461.62	48.00
33	AMERICAN INTERNATIONAL GROUP INC	04/21/16	1,848.00	2,155.23	307.23	43.00
2	BECTON DICKINSON CO	08/25/16	350.21	331.10	(19.11)	6.00
11	BECTON DICKINSON CO	08/26/16	1,927.21	1,821.05	(106.16)	33.00
11	BECTON DICKINSON CO	08/29/16	1,947.45	1,821.05	(126.40)	33.00
5	BECTON DICKINSON CO	08/30/16	886.70	827.75	(58.95)	15.00
28	COMCAST CORP NEW CL A	01/15/14	1,459.33	1,933.40	474.07	31.00
92	COMCAST CORP NEW CL A	03/05/14	4,627.59	6,352.60	1,725.01	102.00
30	COMCAST CORP NEW CL A	04/21/16	1,821.90	2,071.50	249.60	33.00
25	COMCAST CORP NEW CL A	08/08/16	1,676.75	1,726.25	49.50	28.00
29	CMS ENERGY CORP	03/19/15	1,020.22	1,206.98	186.76	36.00
64	CMS ENERGY CORP	03/20/15	2,272.31	2,663.68	391.37	80.00
22	CMS ENERGY CORP	03/23/15	785.40	915.64	130.24	28.00
28	CMS ENERGY CORP	04/21/16	1,106.00	1,165.36	59.36	35.00
2	CHEVRON CORP	03/05/14	228.42	235.40	6.98	9.00
13	CHEVRON CORP	03/12/14	1,548.42	1,530.10	(18.32)	57.00

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Equities						
27	CHEVRON CORP	09/18/15	2,095.13	3,177.90	1,082.77	117.00
29	CHEVRON CORP	09/23/15	2,210.51	3,413.30	1,202.79	126.00
16	CHEVRON CORP	08/08/16	1,618.81	1,883.20	264.39	70.00
18	CHEVRON CORP	08/25/16	1,832.38	2,118.60	286.22	78.00
34	CONOCOPHILLIPS	05/01/13	2,049.86	1,704.76	(345.10)	34.00
15	CONOCOPHILLIPS	05/08/13	948.09	752.10	(195.99)	15.00
30	CONOCOPHILLIPS	03/05/14	1,989.60	1,504.20	(485.40)	30.00
8	CME GROUP INC	02/03/14	592.81	922.80	329.99	20.00
-21	CME GROUP INC	03/05/14	1,602.72	2,422.35	819.63	51.00
12	CME GROUP INC	04/21/16	1,117.08	1,384.20	267.12	29.00
63	CITIGROUP INC COM NEW	05/08/13	3,003.89	3,744.09	740.20	41.00
2	CITIGROUP INC COM NEW	05/16/13	100.85	118.86	18.01	2.00
28	CITIGROUP INC COM NEW	08/22/14	1,428.51	1,664.04	235.53	18.00
47	CITIGROUP INC COM NEW	10/08/14	2,451.83	2,793.21	341.38	31.00
46	CITIGROUP INC COM NEW	04/01/15	2,384.66	2,733.78	349.12	30.00
48	CITIGROUP INC COM NEW	05/20/15	2,634.99	2,852.64	217.65	31.00
18	CITIGROUP INC COM NEW	05/21/15	988.04	1,069.74	81.70	12.00

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Equities						
74	CITIGROUP INC COM NEW	05/22/15	4,081.81	4,397.82	316.01	48.00
38	CITIGROUP INC COM NEW	06/12/15	2,164.56	2,258.34	93.78	25.00
32	CITIGROUP INC COM NEW	07/13/16	1,385.31	1,901.76	516.45	21.00
57	COCA COLA COM	05/20/15	2,362.49	2,363.22	0.73	80.00
42	COCA COLA COM	05/21/15	1,730.70	1,741.32	10.62	59.00
49	COCA COLA COM	01/22/16	2,058.39	2,031.54	(26.85)	69.00
41	COCA COLA COM	04/21/16	1,789.65	1,699.86	(89.79)	58.00
8	DIAGEO PLC SPSD ADR NEW	05/08/13	988.90	831.52	(157.38)	25.00
32	DIAGEO PLC SPSD ADR NEW	03/05/14	3,987.18	3,326.08	(661.10)	100.00
14	DIAGEO PLC SPSD ADR NEW	08/26/16	1,611.56	1,455.16	(156.40)	44.00
43	DOMINION RES INC NEW VA	05/01/13	2,636.33	3,293.37	657.04	121.00
61	DOMINION RES INC NEW VA	03/05/14	4,183.99	4,671.99	488.00	171.00
27	DOMINION RES INC NEW VA	04/21/16	1,883.18	2,067.93	184.75	76.00
15	DOLLAR GENERAL CORP	06/16/15	1,164.12	1,111.05	(53.07)	15.00
20	DOLLAR GENERAL CORP	06/17/15	1,558.78	1,481.40	(77.38)	20.00
20	DOLLAR GENERAL CORP	06/18/15	1,564.99	1,481.40	(83.59)	20.00
3	DOLLAR GENERAL CORP	06/19/15	235.94	222.21	(13.73)	3.00

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Equities						
35	DOLLAR GENERAL CORP	10/30/15	2,383.39	2,592.45	209.06	35.00
33	DOLLAR GENERAL CORP	12/17/15	2,371.78	2,444.31	72.53	33.00
30	DOLLAR GENERAL CORP	04/21/16	2,506.20	2,222.10	(284.10)	30.00
24	DOW CHEMICAL CO	05/01/13	800.16	1,373.28	573.12	45.00
32	DOW CHEMICAL CO	03/05/14	1,595.20	1,831.04	235.84	59.00
44	DOW CHEMICAL CO	10/30/15	2,276.56	2,517.68	241.12	81.00
24	DOW CHEMICAL CO	04/21/16	1,259.04	1,373.28	114.24	45.00
24	DOW CHEMICAL CO	11/17/16	1,278.14	1,373.28	95.14	45.00
-12	DOW CHEMICAL CO	11/18/16	638.53	686.64	48.11	23.00
16	DOW CHEMICAL CO	12/12/16	932.69	915.52	(17.17)	30.00
15	DOW CHEMICAL CO	12/13/16	873.98	858.30	(15.68)	28.00
9	DU PONT E I DE NEMOURS	05/01/13	461.79	660.60	198.81	14.00
73	DU PONT E I DE NEMOURS	03/05/14	4,672.73	5,358.20	685.47	111.00
33	DU PONT E I DE NEMOURS	04/21/16	2,154.90	2,422.20	267.30	51.00
4	EXXON MOBIL CORP COM	05/01/13	351.94	361.04	9.10	12.00
36	EXXON MOBIL CORP COM	05/08/13	3,247.39	3,249.36	1.97	108.00
4	EXXON MOBIL CORP COM	05/24/13	340.56	361.04	20.48	12.00

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Equities						
66	EXXON MOBIL CORP COM	03/05/14	6,193.44	5,957.16	(236.28)	198.00
33	EXXON MOBIL CORP COM	07/24/15	2,638.41	2,978.58	340.17	99.00
5	EXXON MOBIL CORP COM	07/27/15	395.76	451.30	55.54	15.00
20	EXXON MOBIL CORP COM	08/27/15	1,485.75	1,805.20	319.45	60.00
94	EXELON CORPORATION	10/16/15	2,911.30	3,336.06	424.76	120.00
29	EXELON CORPORATION	10/19/15	871.68	1,029.21	157.53	37.00
30	EXELON CORPORATION	04/21/16	1,001.63	1,064.70	63.07	39.00
24	GAP INC DELAWARE	08/18/15	832.46	538.56	(293.90)	23.00
28	GAP INC DELAWARE	08/19/15	959.39	628.32	(331.07)	26.00
43	GAP INC DELAWARE	08/21/15	1,482.71	964.92	(517.79)	40.00
84	GAP INC DELAWARE	01/22/16	1,973.34	1,884.96	(88.38)	78.00
16	GOLDMAN SACHS GROUP INC	10/03/14	2,996.04	3,831.20	835.16	42.00
6	GOLDMAN SACHS GROUP INC	10/09/14	1,131.31	1,436.70	305.39	16.00
11	GOLDMAN SACHS GROUP INC	04/01/15	2,110.72	2,633.95	523.23	29.00
1	GOLDMAN SACHS GROUP INC	04/02/15	192.37	239.45	47.08	3.00
68	GENERAL ELECTRIC	05/01/13	1,514.77	2,148.80	634.03	66.00
118	GENERAL ELECTRIC	11/08/13	3,168.80	3,728.80	560.00	114.00

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Equities						
235	GENERAL ELECTRIC	03/05/14	6,087.84	7,426.00	1,338.16	226.00
107	GENERAL ELECTRIC	08/27/15	2,652.31	3,381.20	728.89	103.00
128	GENERAL ELECTRIC	04/21/16	3,984.64	4,044.80	60.16	123.00
23	HONEYWELL INTL INC DEL	05/01/13	1,669.36	2,664.55	995.19	62.00
28	HONEYWELL INTL INC DEL	03/05/14	2,636.90	3,243.80	606.90	75.00
21	HONEYWELL INTL INC DEL	05/20/15	2,221.62	2,432.85	211.23	56.00
16	HONEYWELL INTL INC DEL	04/21/16	1,836.87	1,853.60	16.73	43.00
81	HESS CORP	02/12/16	3,215.44	5,045.49	1,830.05	81.00
22	HESS CORP	04/21/16	1,342.43	1,370.38	27.95	22.00
11	HESS CORP	11/17/16	558.25	685.19	126.94	11.00
27	HESS CORP	11/18/16	1,378.71	1,681.83	303.12	27.00
17	HOME DEPOT INC	03/05/14	1,408.96	2,279.36	870.40	47.00
41	HOME DEPOT INC	08/14/14	3,433.37	5,497.28	2,063.91	114.00
20	HOME DEPOT INC	04/21/16	2,691.00	2,681.60	(9.40)	56.00
36	INTEL CORP	01/22/16	1,067.81	1,305.72	237.91	38.00
66	INTEL CORP	03/11/16	2,092.87	2,393.82	300.95	69.00
94	INTEL CORP	04/21/16	3,004.23	3,409.38	405.15	98.00

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Equities						
13	INTL PAPER CO	08/01/13	659.25	689.78	30.53	25.00
39	INTL PAPER CO	08/28/14	1,883.45	2,069.34	185.89	73.00
25	INTL PAPER CO	08/29/14	1,206.92	1,326.50	119.58	47.00
12	INVESCO LTD	05/20/16	353.03	364.08	11.05	14.00
57	INVESCO LTD	05/23/16	1,699.30	1,729.38	30.08	64.00
61	INVESCO LTD	05/24/16	1,870.80	1,850.74	(20.06)	69.00
37	INVESCO LTD	05/25/16	1,159.00	1,122.58	(36.42)	42.00
57	JPMORGAN CHASE & CO	05/01/13	2,742.58	4,918.53	2,175.95	110.00
116	JPMORGAN CHASE & CO	03/05/14	6,744.08	10,009.64	3,265.56	223.00
54	JPMORGAN CHASE & CO	09/16/14	3,240.71	4,659.66	1,418.95	104.00
19	JPMORGAN CHASE & CO	05/20/15	1,263.63	1,639.51	375.88	37.00
20	JPMORGAN CHASE & CO	05/22/15	1,329.92	1,725.80	395.88	39.00
34	JPMORGAN CHASE & CO	02/12/16	1,950.74	2,933.86	983.12	66.00
86	JPMORGAN CHASE & CO	04/21/16	5,452.39	7,420.94	1,968.55	166.00
16	JOHNSON AND JOHNSON COM	03/05/14	1,480.96	1,843.36	362.40	52.00
25	JOHNSON AND JOHNSON COM	01/06/16	2,509.05	2,880.25	371.20	80.00
21	JOHNSON AND JOHNSON COM	04/21/16	2,370.27	2,419.41	49.14	68.00

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Equities						
109	KEYCORP NEW COM	09/26/16	1,309.53	1,991.43	681.90	38.00
157	KEYCORP NEW COM	09/26/16	1,885.88	2,868.39	982.51	54.00
143	KEYCORP NEW COM	09/27/16	1,729.90	2,612.61	882.71	49.00
39	KROGER CO	10/13/14	1,051.29	1,345.89	294.60	19.00
80	KROGER CO	10/14/14	2,128.10	2,760.80	632.70	39.00
56	KROGER CO	05/20/15	2,068.17	1,932.56	(135.61)	27.00
12	KROGER CO	05/21/15	442.23	414.12	(28.11)	6.00
44	KROGER CO	04/21/16	1,602.92	1,518.44	(84.48)	22.00
35	KROGER CO	08/08/16	1,131.25	1,207.85	76.60	17.00
14	KROGER CO	08/09/16	454.72	483.14	28.42	7.00
49	KROGER CO	08/25/16	1,604.52	1,690.99	86.47	24.00
9	LOCKHEED MARTIN CORP	02/09/15	1,747.97	2,249.46	501.49	66.00
10	LOCKHEED MARTIN CORP	02/10/15	1,946.64	2,499.40	552.76	73.00
8	LOCKHEED MARTIN CORP	02/11/15	1,567.71	1,999.52	431.81	59.00
7	LOCKHEED MARTIN CORP	04/21/16	1,584.10	1,749.58	165.48	51.00
67	MORGAN STANLEY	01/28/14	2,280.86	2,830.75	549.89	54.00
16	MORGAN STANLEY	08/25/14	550.15	676.00	125.85	13.00

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Equities						
118	MORGAN STANLEY	09/18/14	4,266.02	4,985.50	719.48	95.00
67	MORGAN STANLEY	09/19/14	2,431.29	2,830.75	399.46	54.00
70	MORGAN STANLEY	01/22/16	1,797.68	2,957.50	1,159.82	56.00
89	MORGAN STANLEY	02/12/16	2,033.26	3,760.25	1,726.99	72.00
12	METLIFE INC COM	07/16/13	606.05	646.68	40.63	20.00
16	METLIFE INC COM	03/12/14	860.61	862.24	1.63	26.00
55	METLIFE INC COM	08/29/14	3,007.74	2,963.95	(43.79)	88.00
63	METLIFE INC COM	09/02/14	3,478.27	3,395.07	(83.20)	101.00
55	MARATHON OIL CORP	05/01/13	1,760.29	952.05	(808.24)	11.00
23	MARATHON OIL CORP	05/08/13	763.04	398.13	(364.91)	5.00
46	MARATHON OIL CORP	03/05/14	1,545.60	796.26	(749.34)	10.00
66	MERCK AND CO INC SHS	05/01/13	3,010.25	3,885.42	875.17	125.00
34	MERCK AND CO INC SHS	01/15/14	1,785.57	2,001.58	216.01	64.00
94	MERCK AND CO INC SHS	03/05/14	5,345.78	5,533.78	188.00	177.00
46	MERCK AND CO INC SHS	04/21/16	2,603.14	2,708.02	104.88	87.00
31	MOTOROLA SOLUTIONS INC	10/03/13	1,878.58	2,569.59	691.01	59.00
25	MOTOROLA SOLUTIONS INC	03/05/14	1,658.75	2,072.25	413.50	47.00



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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
13	MOTOROLA SOLUTIONS INC	04/21/16	975.65	1,077.57	101.92	25.00
36	MARATHON PETROLEUM CORP	03/05/14	1,550.88	1,812.60	261.72	52.00
2	MARATHON PETROLEUM CORP	03/12/14	87.08	100.70	13.62	3.00
30	MARATHON PETROLEUM CORP	05/20/15	1,562.73	1,510.50	(52.23)	44.00
30	MARATHON PETROLEUM CORP	05/21/15	1,565.04	1,510.50	(54.54)	44.00
30	MARATHON PETROLEUM CORP	05/26/15	1,521.65	1,510.50	(11.15)	44.00
4	MARATHON PETROLEUM CORP	05/27/15	202.17	201.40	(0.77)	6.00
25	MARATHON PETROLEUM CORP	04/21/16	989.50	1,258.75	269.25	36.00
16	MONDELEZ INTERNATIONAL INC	05/01/13	505.36	709.28	203.92	13.00
65	MONDELEZ INTERNATIONAL INC	03/05/14	2,239.74	2,881.45	641.71	50.00
21	MONDELEZ INTERNATIONAL INC	04/21/16	885.36	930.93	45.57	16.00
10	MARSH & MCLENNAN COS INC	08/09/16	670.48	675.90	5.42	14.00
20	MARSH & MCLENNAN COS INC	08/10/16	1,340.97	1,351.80	10.83	28.00
19	MARSH & MCLENNAN COS INC	08/11/16	1,280.55	1,284.21	3.66	26.00
19	MARSH & MCLENNAN COS INC	08/12/16	1,280.30	1,284.21	3.91	26.00

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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8	MARSH & MCLENNAN COS INC	08/15/16	539.70	540.72	1.02	11.00
38	MICROSOFT CORP	03/05/14	1,445.14	2,361.32	916.18	60.00
80	MICROSOFT CORP	08/14/14	3,542.62	4,971.20	1,428.58	125.00
114	MICROSOFT CORP	02/18/15	4,963.55	7,083.96	2,120.41	178.00
51	MICROSOFT CORP	04/21/16	2,846.69	3,169.14	322.45	80.00
30	NEXTERA ENERGY INC SHS	05/01/13	2,444.10	3,583.80	1,139.70	105.00
43	NEXTERA ENERGY INC SHS	03/05/14	3,891.93	5,136.78	1,244.85	150.00
18	NEXTERA ENERGY INC SHS	04/21/16	2,035.04	2,150.28	115.24	63.00
10	NORTHROP GRUMMAN CORP	05/01/13	763.10	2,325.80	1,562.70	36.00
26	NORTHROP GRUMMAN CORP	03/05/14	3,212.30	6,047.08	2,834.78	94.00
10	NORTHROP GRUMMAN CORP	04/21/16	2,008.98	2,325.80	316.82	36.00
27	OCCIDENTAL PETE CORP CAL	05/08/13	2,335.47	1,923.21	(412.26)	83.00
9	OCCIDENTAL PETE CORP CAL	03/05/14	840.56	641.07	(199.49)	28.00
46	OCCIDENTAL PETE CORP CAL	01/15/15	3,489.13	3,276.58	(212.55)	140.00
21	OCCIDENTAL PETE CORP CAL	12/02/15	1,586.74	1,495.83	(90.91)	64.00
38	OCCIDENTAL PETE CORP CAL	12/03/15	2,839.89	2,706.74	(133.15)	116.00
31	OCCIDENTAL PETE CORP CAL	01/22/16	1,964.04	2,208.13	244.09	95.00

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9	OCCIDENTAL PETE CORP CAL	05/19/16	673.27	641.07	(32.20)	28.00
15	OCCIDENTAL PETE CORP CAL	05/20/16	1,124.88	1,068.45	(56.43)	46.00
15	ORACLE CORP \$0.01 DEL	08/28/15	558.38	576.75	18.37	9.00
33	ORACLE CORP \$0.01 DEL	08/31/15	1,224.42	1,268.85	44.43	20.00
71	ORACLE CORP \$0.01 DEL	01/22/16	2,462.60	2,729.95	267.35	43.00
53	ORACLE CORP \$0.01 DEL	02/12/16	1,856.66	2,037.85	181.19	32.00
59	ORACLE CORP \$0.01 DEL	03/02/16	2,216.16	2,268.55	52.39	36.00
55	ORACLE CORP \$0.01 DEL	04/21/16	2,252.79	2,114.75	(138.04)	33.00
40	ORACLE CORP \$0.01 DEL	08/08/16	1,647.51	1,538.00	(109.51)	24.00
44	ORACLE CORP \$0.01 DEL	11/17/16	1,768.82	1,691.80	(77.02)	27.00
8	PRUDENTIAL FINANCIAL INC	07/12/13	621.77	832.48	210.71	23.00
21	PRUDENTIAL FINANCIAL INC	07/19/13	1,689.29	2,185.26	495.97	59.00
47	PRUDENTIAL FINANCIAL INC	04/17/14	3,799.15	4,890.82	1,091.67	132.00
17	PRUDENTIAL FINANCIAL INC	09/23/15	1,295.01	1,769.02	474.01	48.00
14	PRUDENTIAL FINANCIAL INC	09/24/15	1,047.03	1,456.84	409.81	40.00
16	PHILIP MORRIS INTL INC	05/01/13	1,535.93	1,463.84	(72.09)	67.00
26	PHILIP MORRIS INTL INC	03/05/14	2,105.74	2,378.74	273.00	109.00

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Equities						
11	PHILIP MORRIS INTL INC	04/21/16	1,063.81	1,006.39	(57.42)	46.00
78	PFIZER INC	03/05/14	2,556.50	2,533.44	(23.06)	100.00
54	PFIZER INC	03/12/14	1,802.37	1,753.92	(48.45)	70.00
88	PFIZER INC	10/08/14	2,578.32	2,858.24	279.92	113.00
70	PFIZER INC	08/06/15	2,463.24	2,273.60	(189.64)	90.00
59	PFIZER INC	09/10/15	1,920.08	1,916.32	(3.76)	76.00
84	PFIZER INC	10/30/15	2,879.53	2,728.32	(151.21)	108.00
87	PFIZER INC	01/06/16	2,755.57	2,825.76	70.19	112.00
68	PFIZER INC	03/02/16	2,039.67	2,208.64	168.97	88.00
70	PFIZER INC	04/21/16	2,327.23	2,273.60	(53.63)	90.00
45	PFIZER INC	11/17/16	1,430.81	1,461.60	30.79	58.00
22	PRAXAIR INC	03/05/14	2,854.28	2,578.18	(276.10)	66.00
5	PRAXAIR INC	04/21/16	596.85	585.95	(10.90)	15.00
11	PROCTER & GAMBLE CO	03/05/14	855.03	924.88	69.85	30.00
27	PROCTER & GAMBLE CO	08/22/14	2,252.62	2,270.16	17.54	73.00
27	PROCTER & GAMBLE CO	11/11/14	2,421.11	2,270.16	(150.95)	73.00
26	PROCTER & GAMBLE CO	04/21/16	2,111.46	2,186.08	74.62	70.00

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Equities						
39	QUEST DIAGNOSTICS INC	03/27/15	2,958.09	3,584.10	626.01	71.00
17	QUEST DIAGNOSTICS INC	04/03/15	1,309.49	1,562.30	252.81	31.00
29	QUEST DIAGNOSTICS INC	10/30/15	1,972.00	2,665.10	693.10	53.00
5	QUEST DIAGNOSTICS INC	11/02/15	344.85	459.50	114.65	9.00
26	PUB SVC ENTERPRISE GRP	09/24/15	1,040.61	1,140.88	100.27	43.00
38	PUB SVC ENTERPRISE GRP	09/25/15	1,552.75	1,667.44	114.69	63.00
26	PUB SVC ENTERPRISE GRP	09/28/15	1,057.20	1,140.88	83.68	43.00
22	PUB SVC ENTERPRISE GRP	04/21/16	990.44	965.36	(25.08)	37.00
25	QUALCOMM INC	02/20/14	1,897.13	1,630.00	(267.13)	53.00
23	QUALCOMM INC	10/24/14	1,733.36	1,499.60	(233.76)	49.00
35	QUALCOMM INC	02/02/15	2,267.77	2,282.00	14.23	75.00
31	QUALCOMM INC	04/01/15	2,148.33	2,021.20	(127.13)	66.00
34	QUALCOMM INC	05/20/15	2,363.95	2,216.80	(147.15)	73.00
2	RAYTHEON CO DELAWARE NEW	05/01/13	124.18	284.00	159.82	6.00
54	RAYTHEON CO DELAWARE NEW	03/05/14	5,436.18	7,668.00	2,231.82	159.00
17	RAYTHEON CO DELAWARE NEW	04/21/16	2,163.55	2,414.00	250.45	50.00
30	REYNOLDS AMERICAN INC	09/04/15	1,253.18	1,681.20	428.02	56.00



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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
18	REYNOLDS AMERICAN INC	09/08/15	758.18	1,008.72	250.54	34.00
23	REYNOLDS AMERICAN INC	04/21/16	1,101.87	1,288.92	187.05	43.00
27	SCHLUMBERGER LTD	05/01/13	1,994.49	2,266.65	272.16	54.00
25	SCHLUMBERGER LTD	03/05/14	2,292.75	2,098.75	(194.00)	50.00
11	SCHLUMBERGER LTD	04/21/16	894.27	923.45	29.18	22.00
179	SUNCOR ENERGY INC NEW	06/03/16	4,942.94	5,851.51	908.57	154.00
12	SUNCOR ENERGY INC NEW	08/09/16	327.35	392.28	64.93	11.00
15	SUNCOR ENERGY INC NEW	08/10/16	412.85	490.35	77.50	13.00
34	SUNCOR ENERGY INC NEW	08/11/16	949.66	1,111.46	161.80	30.00
16	SUNCOR ENERGY INC NEW	10/14/16	455.99	523.04	67.05	14.00
19	SUNCOR ENERGY INC NEW	10/17/16	542.34	621.11	78.77	17.00
21	SUNCOR ENERGY INC NEW	10/18/16	605.54	686.49	80.95	18.00
2	ANTHEM INC	01/20/15	273.44	287.54	14.10	6.00
20	ANTHEM INC	01/21/15	2,789.30	2,875.40	86.10	52.00
20	ANTHEM INC	01/22/15	2,825.12	2,875.40	50.28	52.00
6	ANTHEM INC	01/23/15	858.14	862.62	4.48	16.00
10	ANTHEM INC	04/21/16	1,461.29	1,437.70	(23.59)	26.00

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Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
17	ANTHEM INC	05/12/16	2,335.74	2,444.09	108.35	45.00
45	SUNTRUST BKS INC COM	01/15/14	1,730.56	2,468.25	737.69	47.00
94	SUNTRUST BKS INC COM	03/05/14	3,620.85	5,155.90	1,535.05	98.00
123	SUNTRUST BKS INC COM	09/16/14	4,844.80	6,746.55	1,901.75	128.00
63	SUNTRUST BKS INC COM	04/21/16	2,505.35	3,455.55	950.20	66.00
10	TOTAL S.A. SP ADR	05/01/13	499.09	509.70	10.61	23.00
34	TOTAL S.A. SP ADR	05/08/13	1,739.34	1,732.98	(6.36)	78.00
47	TOTAL S.A. SP ADR	03/05/14	3,036.62	2,395.59	(641.03)	108.00
- 6	TOTAL S.A. SP ADR	01/15/15	295.81	305.82	10.01	14.00
39	TOTAL S.A. SP ADR	01/16/15	1,970.80	1,987.83	17.03	90.00
34	TOTAL S.A. SP ADR	01/20/15	1,718.29	1,732.98	14.69	78.00
4	TOTAL S.A. SP ADR	05/19/16	191.12	203.88	12.76	10.00
30	TOTAL S.A. SP ADR	05/20/16	1,446.80	1,529.10	82.30	69.00
6	3M COMPANY	05/01/13	630.18	1,071.42	441.24	27.00
21	3M COMPANY	03/05/14	2,813.58	3,749.97	936.39	94.00
6	3M COMPANY	04/21/16	1,014.30	1,071.42	57.12	27.00
48	TRAVELERS COS INC	03/05/14	4,044.95	5,876.16	1,831.21	129.00

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
14	TRAVELERS COS INC	04/21/16	1,547.81	1,713.88	166.07	38.00
36	UNILEVER NV NY REG SHS	03/05/14	1,414.80	1,478.16	63.36	43.00
14	UNILEVER NV NY REG SHS	06/21/16	635.95	574.84	(61.11)	17.00
33	UNILEVER NV NY REG SHS	06/22/16	1,507.84	1,354.98	(152.86)	40.00
31	UNILEVER NV NY REG SHS	06/27/16	1,340.96	1,272.86	(68.10)	37.00
9	UNILEVER NV NY REG SHS	06/28/16	401.57	369.54	(32.03)	11.00
1	UNILEVER NV NY REG SHS	08/08/16	45.32	41.06	(4.26)	2.00
15	UNILEVER NV NY REG SHS	08/09/16	687.81	615.90	(71.91)	18.00
17	UNILEVER NV NY REG SHS	08/10/16	780.00	698.02	(81.98)	21.00
25	UNITED PARCEL SVC CL B	05/01/13	2,130.00	2,866.00	736.00	78.00
24	UNITED PARCEL SVC CL B	03/05/14	2,328.48	2,751.36	422.88	75.00
12	UNITED PARCEL SVC CL B	04/21/16	1,265.01	1,375.68	110.67	38.00
24	UNITEDHEALTH GROUP INC	01/20/15	2,520.59	3,840.96	1,320.37	60.00
10	UNITEDHEALTH GROUP INC	01/21/15	1,083.68	1,600.40	516.72	25.00
19	UNITEDHEALTH GROUP INC	06/12/15	2,237.87	3,040.76	802.89	48.00
13	UNITEDHEALTH GROUP INC	04/21/16	1,727.28	2,080.52	353.24	33.00
65	US BANCORP (NEW)	05/01/13	2,139.80	3,339.05	1,199.25	73.00

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Equities						
77	US BANCORP (NEW)	03/05/14	3,189.34	3,955.49	766.15	87.00
50	US BANCORP (NEW)	04/05/16	2,008.85	2,568.50	559.65	56.00
47	US BANCORP (NEW)	04/21/16	1,995.15	2,414.39	419.24	53.00
20	UNION PACIFIC CORP	01/15/14	1,703.81	2,073.60	369.79	49.00
7	UNION PACIFIC CORP	01/22/14	640.42	725.76	85.34	17.00
26	UNION PACIFIC CORP	03/05/14	2,392.52	2,695.68	303.16	63.00
42	VERIZON COMMUNICATNS COM	05/01/13	2,199.34	2,241.96	42.62	98.00
45	VERIZON COMMUNICATNS COM	05/08/13	2,290.95	2,402.10	111.15	104.00
109	VERIZON COMMUNICATNS COM	03/05/14	5,179.15	5,818.42	639.27	252.00
31	WEYERHAEUSER CO	05/01/13	936.51	932.79	(3.72)	39.00
84	WEYERHAEUSER CO	03/05/14	2,491.44	2,527.56	36.12	105.00
26	WEYERHAEUSER CO	04/21/16	832.00	782.34	(49.66)	33.00
124	WELLS FARGO & CO NEW DEL	05/01/13	4,656.91	8,833.64	2,176.73	189.00
128	WELLS FARGO & CO NEW DEL	03/05/14	6,019.83	7,054.08	1,034.25	195.00
23	WELLS FARGO & CO NEW DEL	05/20/15	1,291.58	1,267.53	(24.05)	35.00
24	WELLS FARGO & CO NEW DEL	05/22/15	1,347.53	1,322.64	(24.89)	37.00
34	WELLS FARGO & CO NEW DEL	02/12/16	1,605.00	1,873.74	268.74	52.00

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78	WELLS FARGO & CO NEW DEL	04/21/16	3,903.71	4,298.58	394.87	119.00
Total Equities			598,321.93	688,736.82	90,414.89	17,946.00

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REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	PARTNERRE LTD	03/26/13	02/02/16	378.88	278.21	100.67 LT
4.0000	PHILIP MORRIS INTL INC	03/26/13	02/02/16	320.03	365.21	(45.18) LT
300.0000	AUTODESK INC DEL PV\$0.01	08/16/11	07/26/16	17,353.62	8,695.23	8,658.39 LT
TOTAL LT CAPITAL GAINS/LOSSES-A/C#02001				18052.53	9338.65	8713.88

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FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Quantity	Price	Debit	Credit
REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016							
				SALES	SALES	WASH	
				CREST	SALE	DISALLOWED	
18,000.0000	US TSY 0.250% FEB 29 2016		03/18/14	01/19/16	18017.77	-17,999.97	37.88 LT
6,000.0000	US TSY 0.250% FEB 29 2016		03/18/14	02/29/16	6000.00	-5,987.36	12.64 LT
1,000.0000	US TSY 0.375% MAR 31 2016		03/27/14	02/03/16	1001.49	-998.36	1.79 LT
195.0000	BLK ALCTN TRGT SHR SER S		05/03/13	02/02/16	1864.20	-1,957.80	(93.60) LT
1,000.0000	US TSY 0.250% MAY 15 2016		06/06/13	03/03/16	1000.58	-999.58	0.22 LT
29,000.0000	US TSY 0.375% MAR 31 2016		03/27/14	03/31/16	29000.00	-28,952.52	47.48 LT
33,000.0000	US TSY 1.500% FEB 28 2019		11/06/14	04/08/16	33666.27	-32,985.88	621.21 LT
1,000.0000	US TSY 1.375% AUG 31 2020		09/08/15	04/07/16	1013.80	-993.01	19.18 ST
232.0000	BLK ALCTN TRGT SHR SER S		05/03/13	04/07/16	2315.60	-2,329.28	N/C
6,000.0000	US TSY 0.250% MAY 15 2016		06/06/13	05/16/16	6000.00	-6,000.00	0.00
26,000.0000	US TSY 0.375% APR 30 2016		05/09/14	05/02/16	26000.00	-25,991.96	8.04 LT
7,000.0000	US TSY 0.625% JUL 15 2016		07/16/13	07/15/16	7000.00	-6,997.29	2.70 LT
5,000.0000	US TSY 0.625% JUL 15 2016		04/20/16	07/15/16	5000.01	-5,000.00	0.01 ST
24,000.0000	US TSY 1.000% OCT 31 2016		05/03/16	09/15/16	24017.77	-24,016.20	1.57 ST
7,000.0000	US TSY 0.625% FEB 15 2017		03/06/14	09/15/16	7009.19	-6,982.26	22.65 LT
1,000.0000	USD KFW 1.87% JUN30 20		04/08/16	09/29/16	1027.10	-1,019.95	2.25 ST
1,000.0000	INTL BK RECON & DEVELOP		04/08/16	09/29/16	1021.97	-1,020.34	0.64 ST
1,000.0000	US TSY 1.000% DEC 31 2017		01/19/16	09/29/16	1006.48	-1,001.60	2.27 ST
1,000.0000	US TSY 0.500% APR 30 2017		05/04/15	09/29/16	1002.09	-998.05	1.91 LT
2,000.0000	US TSY 0.875% MAR 31 2018		04/08/16	09/29/16	2004.33	-2,004.75	(0.61) ST
1,000.0000	US TSY 0.625% FEB 15 2017		03/06/14	10/24/16	1000.70	-997.46	3.24 LT
6,000.0000	US TSY 0.625% FEB 15 2017		04/20/16	10/24/16	6004.21	-6,002.45	1.76 ST
1,000.0000	US TSY 0.750% MAR 15 2017		03/27/14	09/29/16	1002.03	-995.55	6.09 LT
7,000.0000	US TSY 0.750% MAR 15 2017		03/27/14	10/24/16	7014.28	-7,008.19	39.34 LT
1,000.0000	US TSY 0.750% AUG 31 2018		09/15/16	09/29/16	1000.62	-1,000.08	(0.16) ST
1,000.0000	US TSY 1.375% AUG 31 2020		09/08/15	09/29/16	1014.06	-993.01	19.76 LT
693.0000	BLK ALCTN TRGT SHR SER S		05/03/13	09/29/16	6638.94	-6,957.72	(318.78) LT
15,000.0000	US TSY 0.500% APR 30 2017		05/04/15	11/04/16	14999.38	-14,970.73	28.65 LT
10,000.0000	US TSY 0.500% APR 30 2017		04/20/16	11/04/16	9999.60	-9,994.16	5.44 ST
11,000.0000	US TSY 0.750% MAR 15 2017		03/27/14	11/04/16	11012.01	-10,951.06	60.95 LT

IE KASSEL-BACKER

REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12,000.0000	US TSY 0.750% MAR 15 2017	04/20/16	11/04/16	23051.33	- 12,009.93	3.18 ST
					✓	
					246039.28	113.68
					(113.68)	424.02
					245925.60	113.68
					✓	531.70
	TOTAL ST + LT CAPITAL GAINS / LOSSES - A/c # 02004			246463.30		✓
	TOTAL ST			64098.00	64062.47	3553
	TOTAL LT			18236530	181863.13	502.17
				246463.30	245925.60	537.70
				✓		

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THE KASSEL-BACKER FOUNDATION

22-6966562

REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
42.0000	BRISTOL-MYERS SQUIBB CO	05/01/13	01/06/16	2,821.22 ✓	1,667.20 ✓	-1,154.02 LT
10.0000	BRISTOL-MYERS SQUIBB CO	05/01/13	01/07/16	663.46 ✓	396.95 ✓	-266.51 LT
12.0000	BRISTOL-MYERS SQUIBB CO	03/05/14	01/07/16	796.17 ✓	680.11 ✓	-116.06 LT
11.0000	INTL BUSINESS MACHINES	05/01/13	01/22/16	1,344.49 ✓	2,204.73 ✓	-(860.24) LT
18.0000	INTL BUSINESS MACHINES	03/05/14	01/22/16	2,200.09 ✓	3,369.78 ✓	-(1,169.69) LT
20.0000	TRAVELERS COS INC	06/04/13	01/22/16	2,049.88 ✓	1,678.17	371.71 LT
22.0000	UNITEDHEALTH GROUP INC	01/15/15	12/31/15	2,607.62 ✓	2,296.66	310.96 ST
8.0000	UNITEDHEALTH GROUP INC	01/15/15	01/04/16	923.79 ✓	835.15 ✓	-88.64 ST
27.0000	UNITEDHEALTH GROUP INC	01/16/15	01/04/16	3,117.82 ✓	2,831.95 ✓	-285.87 ST
17.0000	UNITEDHEALTH GROUP INC	01/16/15	01/07/16	1,907.38 ✓	1,783.08 ✓	-124.30 ST
82.0000	ABBOTT LABS	05/01/13	02/17/16	3,153.10 ✓	3,034.82 ✓	-118.28 LT
28.0000	ABBOTT LABS	03/05/14	02/17/16	1,076.67 ✓	1,116.36 ✓	-(39.69) LT
				<u>22,661.69</u>	<u>21,894.95</u>	<u>766.73</u>

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Merrill Lynch
Bank of America Corporation

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REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	AETNA INC NEW	12/31/15	02/03/16	101.38 ✓	109.22 ✓	N/C
2.0000	AETNA INC NEW	01/04/16	02/03/16	202.78 ✓	215.22 ✓	N/C
8.0000	ABBVIE INC SHS	05/01/13	02/03/16	437.91 ✓	366.56 ✓	-71.35 LT
10.0000	COMCAST CORP NEW CL A	05/01/13	02/03/16	557.69 ✓	398.40 ✓	-159.29 LT
11.0000	CMS ENERGY CORP	03/18/15	02/03/16	435.15 ✓	381.46 ✓	-53.69 ST
4.0000	CHEVRON CORP	05/01/13	02/03/16	322.27 ✓	483.12 ✓	-(160.85) LT
7.0000	CONOCOPHILLIPS	05/01/13	02/03/16	252.55 ✓	422.03 ✓	-(169.48) LT
8.0000	COCA COLA COM	05/01/13	02/03/16	341.18 ✓	339.01 ✓	-2.18 LT
4.0000	DIAGEO PLC SP5D ADR NEW	05/01/13	02/03/16	437.27 ✓	491.08 ✓	-(53.81) LT
10.0000	DOMINION RES INC NEW VA	05/01/13	02/03/16	698.69 ✓	613.10 ✓	-85.59 LT
6.0000	DOLLAR GENERAL CORP	04/17/15	02/03/16	451.61 ✓	448.70 ✓	-2.91 ST
8.0000	DOW CHEMICAL CO	05/01/13	02/03/16	369.35 ✓	266.72 ✓	-102.63 LT
18.0000	DU PONT E I DE NEMOURS	05/01/13	02/03/16	1,031.92 ✓	923.59 ✓	-108.33 LT
11.0000	EXELON CORPORATION	10/15/15	02/03/16	341.32 ✓	339.46 ✓	-1.86 ST
7.0000	EVERSOURCE ENERGY COM	05/01/13	02/03/16	389.54 ✓	315.99 ✓	-73.55 LT
4.0000	HONEYWELL INTL INC DEL	05/01/13	02/03/16	400.68 ✓	291.88 ✓	-108.80 LT
5.0000	HOME DEPOT INC	05/01/13	02/03/16	615.65 ✓	365.23 ✓	-250.42 LT
9.0000	INTL PAPER CO	07/16/13	02/03/16	306.53 ✓	427.87 ✓	-(121.34) LT
9.0000	JPMORGAN CHASE & CO	05/01/13	02/03/16	499.42 ✓	433.04 ✓	-66.38 LT
5.0000	JOHNSON AND JOHNSON COM	05/01/13	02/03/16	513.74 ✓	423.75 ✓	-89.99 LT
7.0000	KROGER CO	10/10/14	02/03/16	278.52 ✓	189.71 ✓	-88.81 LT
2.0000	LOCKHEED MARTIN CORP	02/03/14	02/03/16	421.49 ✓	300.99 ✓	-120.50 LT
7.0000	MOTOROLA SOLUTIONS INC	10/02/13	02/03/16	452.28 ✓	423.08 ✓	-29.20 LT
19.0000	MCDONALDS CORP COM	05/01/13	01/29/16	2,358.53 ✓	1,931.76	426.77 LT
10.0000	MICROSOFT CORP	05/01/13	02/03/16	524.79 ✓	328.46	196.33 LT
5.0000	NEXTERA ENERGY INC SHS	05/01/13	02/03/16	574.45 ✓	407.35	167.10 LT
2.0000	NORTHROP GRUMMAN CORP	05/01/13	02/03/16	375.32 ✓	152.62	222.70 LT
5.0000	PHILIP MORRIS INTL INC	05/01/13	02/03/16	445.59 ✓	479.98	(34.39) LT
33.0000	PFIZER INC	05/01/13	02/03/16	979.75 ✓	962.78	16.97 LT
7.0000	PROCTER & GAMBLE CO	05/01/13	02/03/16	564.47 ✓	540.51	23.96 LT
7.0000	PUB SVC ENTERPRISE GRP	09/22/15	02/03/16	293.85 ✓	280.75 ✓	-13.10 ST
8.0000	QUALCOMM INC	02/13/14	02/03/16	349.45 ✓	611.43	(261.98) LT
5.0000	RAYTHEON CO DELAWARE NEW	05/01/13	02/03/16	631.05 ✓	310.45	320.60 LT
5.0000	REYNOLDS AMERICAN INC	09/04/15	02/03/16	245.59 ✓	208.86 ✓	-36.73 ST
9.0000	TOTAL S A SP ADR	05/01/13	02/03/16	375.20 ✓	449.19	(73.99) LT
3.0000	3M COMPANY	05/01/13	02/03/16	450.15 ✓	315.09	135.06 LT
16.0000	TYCO INTL PLC SHS	10/10/14	02/16/16	545.27 ✓	675.55	(130.28) LT
34.0000	TYCO INTL PLC SHS	10/13/14	02/16/16	1,158.71 ✓	1,429.44	(270.73) LT
24.0000	TYCO INTL PLC SHS	10/13/14	02/17/16	831.49 ✓	1,009.02	(177.53) LT
26.0000	TYCO INTL PLC SHS	10/14/14	02/17/16	900.79 ✓	1,048.76	(147.97) LT
				2,1463.35	2,011.21	1372.45

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REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
49.0000	TYCO INTL PLC SHS	10/14/14	02/18/16	1,694.76 ✓	1,976.51	(281.75) LT
7.0000	TYCO INTL PLC SHS	10/14/14	02/19/16	234.44 ✓	282.36	(47.92) LT
4.0000	UNITEDHEALTH GROUP INC	01/16/15	02/03/16	448.63 ✓	419.55	29.08 LT
10.0000	VERIZON COMMUNICATNS COM	05/01/13	02/03/16	497.19 ✓	523.65	(26.46) LT
40.0000	VERIZON COMMUNICATNS COM	05/01/13	02/12/16	1,988.19 ✓	2,094.61	(106.42) LT
82.0000	BHP BILLITON LTD ADR	05/01/13	03/24/16	2,074.34 ✓	5,440.59 ✓	(3,366.25) LT
13.0000	BHP BILLITON LTD ADR	03/05/14	03/24/16	328.86 ✓	876.13 ✓	(547.27) LT
25.0000	BHP BILLITON LTD ADR	03/05/14	03/28/16	642.50 ✓	1,684.88 ✓	(1,042.38) LT
37.0000	EVERSOURCE ENERGY COM	05/01/13	03/02/16	1,975.26 ✓	1,670.22 ✓	305.04 LT
18.0000	HOME DEPOT INC	05/01/13	03/02/16	2,248.79 ✓	1,314.83 ✓	933.96 LT
17.0000	HOME DEPOT INC	05/01/13	03/11/16	2,176.74 ✓	1,241.78 ✓	934.96 LT
40.0000	VERIZON COMMUNICATNS COM	05/01/13	03/11/16	2,101.29 ✓	2,094.61	6.68 LT
99.0000	ASTRAZENECA PLC SPND ADR	04/06/16	04/14/16	2,931.34 ✓	2,937.03 ✓	N/C
1.0000	AETNA INC NEW	12/31/15	04/14/16	109.94 ✓	115.45 ✓	N/C
33.0000	AETNA INC NEW	01/04/16	04/14/16	3,628.27 ✓	3,551.18 ✓	-77.09 ST
2.0000	AETNA INC NEW	01/04/16	04/14/16	219.89 ✓	232.83 ✓	N/C
6.0000	AETNA INC NEW	01/05/16	04/14/16	659.70 ✓	661.16 ✓	N/C
104.0000	AMERICAN INTERNATIONAL	02/18/15	04/14/16	5,737.28 ✓	5,713.43 ✓	-23.85 LT
42.0000	ABBVIE INC SHS	05/01/13	04/14/16	2,493.07 ✓	1,924.44 ✓	-568.63 LT
5.0000	AMER EXPRESS COMPANY	05/01/13	04/14/16	311.59 ✓	342.95 ✓	N/C
8.0000	AMER EXPRESS COMPANY	05/01/13	04/14/16	498.55 ✓	548.72 ✓	(50.17) LT
5.0000	AMER EXPRESS COMPANY	03/05/14	04/14/16	311.60 ✓	460.70 ✓	(149.10) LT
39.0000	BRISTOL-MYERS SQUIBB CO	03/05/14	04/14/16	2,655.06 ✓	2,210.35 ✓	-444.71 LT
81.0000	COMCAST CORP NEW CL A	05/01/13	04/14/16	5,025.14 ✓	3,227.06 ✓	-1,798.08 LT
3.0000	COMCAST CORP NEW CL A	01/15/14	04/14/16	186.12 ✓	156.36 ✓	-29.76 LT
44.0000	CMS ENERGY CORP	03/18/15	04/14/16	1,812.76 ✓	1,525.83 ✓	-286.93 LT
36.0000	CMS ENERGY CORP	03/19/15	04/14/16	1,483.18 ✓	1,266.49 ✓	-216.69 LT
1.0000	CHEVRON CORP	05/01/13	04/14/16	97.52 ✓	120.78 ✓	N/C
13.0000	CHEVRON CORP	03/05/14	04/14/16	1,267.87 ✓	1,484.73 ✓	N/C
29.0000	CHEVRON CORP	03/05/14	04/14/16	2,828.31 ✓	3,312.09 ✓	(483.78) LT
15.0000	CONOCOPHILLIPS	05/01/13	04/14/16	658.82 ✓	904.35 ✓	N/C
32.0000	CONOCOPHILLIPS	05/01/13	04/14/16	1,405.49 ✓	1,929.28 ✓	(523.79) LT
27.0000	CME GROUP INC	01/31/14	04/14/16	2,449.11 ✓	1,993.54 ✓	-455.57 LT
9.0000	CME GROUP INC	02/03/14	04/14/16	816.38 ✓	666.91 ✓	-149.47 LT
71.0000	CITIGROUP INC COM NEW	05/01/13	04/14/16	3,170.79 ✓	3,266.00 ✓	N/C
2.0000	CITIGROUP INC COM NEW	05/09/13	04/14/16	89.32 ✓	97.49 ✓	N/C
47.0000	CITIGROUP INC COM NEW	05/09/13	04/14/16	2,098.97 ✓	2,291.03 ✓	(192.06) LT
45.0000	CITIGROUP INC COM NEW	03/05/14	04/14/16	2,009.66 ✓	2,221.88 ✓	(212.22) LT
64.0000	CITIGROUP INC COM NEW	08/22/14	04/14/16	2,858.20 ✓	3,265.15 ✓	(406.95) LT
8.0000	CALIFORNIA RESOURCES	05/01/13	03/31/16	8.11 ✓	11.86 ✓	(3.75) LT
Pg total				64,233.03	66,587.79	(1,799.77)



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Merrill Lynch
Bank of America Corporation

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REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	CALIFORNIA RESOURCES	03/05/14	03/31/16	3.04 ✓	4.91 ✓	— (1.87) LT
4.0000	CALIFORNIA RESOURCES	01/15/15	03/31/16	4.06 ✓	5.33 ✓	— (1.27) LT
2.0000	CALIFORNIA RESOURCES	12/02/15	03/31/16	2.03 ✓	2.68 ✓	— (0.65) ST
3.0000	CALIFORNIA RESOURCES	12/03/15	03/31/16	3.05 ✓	3.94 ✓	— (0.89) ST
3.0000	CALIFORNIA RESOURCES	01/22/16	03/31/16	3.05 ✓	3.52 ✓	— (0.47) ST
31.0000	COCA COLA COM	05/01/13	04/14/16	1,428.91 ✓	1,313.67 ✓	— 115.24 LT
66.0000	COCA COLA COM	05/01/13	04/14/16	3,042.21 ✓	2,795.51 ✓	— 246.70 LT
14.0000	COCA COLA COM	05/20/15	04/14/16	645.32 ✓	580.26 ✓	— 65.06 ST
8.0000	DIAGEO PLC SPSP ADR NEW	05/01/13	04/14/16	871.74 ✓	982.16 ✓	N/C
10.0000	DIAGEO PLC SPSP ADR NEW	05/01/13	04/14/16	1,089.67 ✓	1,227.70 ✓	— (138.03) LT
4.0000	DIAGEO PLC SPSP ADR NEW	03/05/14	04/14/16	435.88 ✓	498.40 ✓	— (62.52) LT
76.0000	DOMINION RES INC NEW VA	05/01/13	04/14/16	5,516.73 ✓	4,659.56 ✓	— 857.17 LT
7.0000	DOLLAR GENERAL CORP	04/17/15	04/14/16	580.91 ✓	523.49 ✓	— 57.42 ST
22.0000	DOLLAR GENERAL CORP	04/20/15	04/14/16	1,825.74 ✓	1,652.65 ✓	— 173.09 ST
21.0000	DOLLAR GENERAL CORP	04/21/15	04/14/16	1,742.75 ✓	1,588.39 ✓	— 154.36 ST
21.0000	DOLLAR GENERAL CORP	04/22/15	04/14/16	1,742.75 ✓	1,591.72 ✓	— 151.03 ST
12.0000	DOLLAR GENERAL CORP	04/23/15	04/14/16	995.86 ✓	914.46 ✓	— 81.40 ST
20.0000	DOLLAR GENERAL CORP	05/20/15	04/14/16	1,659.78 ✓	1,459.73 ✓	— 200.05 ST
1.0000	DOLLAR GENERAL CORP	05/21/15	04/14/16	82.99 ✓	73.45 ✓	— 9.54 ST
70.0000	DOW CHEMICAL CO	05/01/13	04/14/16	3,674.93 ✓	2,333.80 ✓	— 1,341.13 LT
97.0000	DU PONT E I DE NEMOURS	05/01/13	04/14/16	6,321.36 ✓	4,977.12 ✓	— 1,344.24 LT
36.0000	EXXON MOBIL CORP COM	05/01/13	04/14/16	3,068.57 ✓	3,167.49 ✓	N/C
71.0000	EXXON MOBIL CORP COM	05/01/13	04/14/16	6,051.91 ✓	6,246.98 ✓	— (195.07) LT
78.0000	EXELON CORPORATION	10/15/15	04/14/16	2,717.66 ✓	2,407.06 ✓	— 310.60 ST
5.0000	EXELON CORPORATION	10/16/15	04/14/16	174.21 ✓	154.86 ✓	— 19.35 ST
15.0000	EVERSOURCE ENERGY COM	05/01/13	04/05/16	858.94 ✓	677.12 ✓	— 181.82 LT
8.0000	EVERSOURCE ENERGY COM	05/01/13	04/06/16	454.47 ✓	361.13 ✓	— 93.34 LT
28.0000	EVERSOURCE ENERGY COM	03/05/14	04/06/16	1,590.67 ✓	1,224.72 ✓	— 365.95 LT
14.0000	EVERSOURCE ENERGY COM	03/05/14	04/07/16	797.23 ✓	612.36 ✓	— 184.87 LT
43.0000	GAP INC DELAWARE	08/14/15	04/14/16	1,019.66 ✓	1,472.09 ✓	N/C
15.0000	GAP INC DELAWARE	08/14/15	04/14/16	355.70 ✓	513.52 ✓	— (157.82) ST
88.0000	GAP INC DELAWARE	08/17/15	04/14/16	2,086.76 ✓	3,018.50 ✓	— (931.74) ST
6.0000	GOLDMAN SACHS GROUP INC	10/02/14	04/14/16	962.44 ✓	1,098.83 ✓	N/C
12.0000	GOLDMAN SACHS GROUP INC	10/02/14	04/14/16	1,924.88 ✓	2,197.66 ✓	— (272.78) LT
3.0000	GOLDMAN SACHS GROUP INC	10/03/14	04/14/16	481.22 ✓	561.76 ✓	— (80.54) LT
59.0000	GENERAL ELECTRIC	05/01/13	04/05/16	1,833.56 ✓	1,314.28 ✓	— 519.28 LT
400.0000	GENERAL ELECTRIC	05/01/13	04/14/16	12,419.77 ✓	8,910.40 ✓	— 3,509.37 LT
51.0000	HONEYWELL INTL INC DEL	05/01/13	04/14/16	5,827.65 ✓	3,721.47 ✓	— 2,106.18 LT
63.0000	HESS CORP	02/12/16	04/14/16	3,592.19 ✓	2,500.90 ✓	— 1,091.29 ST
10.0000	HOME DEPOT INC	05/01/13	04/14/16	1,331.69 ✓	730.46 ✓	— 601.23 LT

Ag total 79,221.94

68,084.04 7,581.6 11,936.06

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REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

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Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
36.0000	HOME DEPOT INC	01/15/14	04/14/16	4,794.10 ✓	2,922.92 ✓	-1,871.18 LT
15.0000	HOME DEPOT INC	03/05/14	04/14/16	1,997.55 ✓	1,243.20 ✓	-754.35 LT
187.0000	INTEL CORP	05/01/13	04/14/16	5,934.31 ✓	4,500.29 ✓	-1,434.02 LT
126.0000	INTEL CORP	02/12/14	04/14/16	3,998.53 ✓	3,095.19 ✓	-903.34 LT
48.0000	INTL PAPER CO	07/16/13	04/06/16	1,912.37 ✓	2,281.95 ✓	-(369.58) LT
8.0000	INTL PAPER CO	07/16/13	04/14/16	326.55 ✓	380.33 ✓	-(53.78) LT
13.0000	INTL PAPER CO	07/16/13	04/05/16	518.82 ✓	618.03 ✓	N/C
9.0000	INTL PAPER CO	07/16/13	04/05/16	359.19 ✓	427.86 ✓	-(68.67) LT
32.0000	INTL PAPER CO	03/05/14	04/14/16	1,306.21 ✓	1,528.89 ✓	-(222.48) LT
5.0000	INTL PAPER CO	08/28/14	04/14/16	204.10 ✓	241.47 ✓	-(37.37) LT
251.0000	JPMORGAN CHASE & CO	05/01/13	04/14/16	15,647.98 ✓	12,076.99 ✓	-3,570.99 LT
63.0000	JOHNSON AND JOHNSON COM	05/01/13	04/14/16	6,926.71 ✓	5,339.25 ✓	-1,587.46 LT
67.0000	KROGER CO	10/10/14	04/14/16	2,493.26 ✓	1,815.79 ✓	-677.47 LT
63.0000	KROGER CO	10/13/14	04/14/16	2,344.41 ✓	1,688.24 ✓	-646.17 LT
5.0000	LOCKHEED MARTIN CORP	02/03/14	04/14/16	1,131.08 ✓	752.49 ✓	-378.59 LT
9.0000	LOCKHEED MARTIN CORP	03/05/14	04/14/16	2,035.96 ✓	1,501.92 ✓	-534.04 LT
6.0000	LOCKHEED MARTIN CORP	02/06/15	04/14/16	1,357.31 ✓	1,174.37 ✓	-182.94 LT
82.0000	MORGAN STANLEY	01/21/14	04/14/16	2,118.79 ✓	2,671.70 ✓	N/C
50.0000	MORGAN STANLEY	03/05/14	04/14/16	1,291.94 ✓	1,629.08 ✓	-(337.14) LT
51.0000	MORGAN STANLEY	03/05/14	04/14/16	1,317.78 ✓	1,630.96 ✓	-(313.18) LT
51.0000	MORGAN STANLEY	08/22/14	04/14/16	1,317.79 ✓	1,708.10 ✓	-(390.31) LT
18.0000	MORGAN STANLEY	08/25/14	04/14/16	485.11 ✓	618.91 ✓	-(153.80) LT
12.0000	METLIFE INC COM	07/09/13	04/14/16	533.65 ✓	584.70 ✓	N/C
16.0000	METLIFE INC COM	03/05/14	04/14/16	711.54 ✓	832.16 ✓	N/C
4.0000	METLIFE INC COM	03/05/14	04/14/16	177.89 ✓	208.04 ✓	-(30.15) LT
26.0000	METLIFE INC COM	08/28/14	04/14/16	1,156.26 ✓	1,414.54 ✓	-(258.28) LT
32.0000	METLIFE INC COM	08/29/14	04/14/16	1,423.10 ✓	1,749.96 ✓	-(326.86) LT
23.0000	MARATHON OIL CORP	05/01/13	04/14/16	297.84 ✓	736.12 ✓	N/C
62.0000	MARATHON OIL CORP	05/01/13	04/14/16	802.89 ✓	1,984.32 ✓	-(1,181.43) LT
149.0000	MERCK AND CO INC SHS	05/01/13	04/14/16	8,390.01 ✓	6,795.88 ✓	-1,594.13 LT
33.0000	MOTOROLA SOLUTIONS INC	10/02/13	04/14/16	2,480.55 ✓	1,994.53 ✓	-486.02 LT
7.0000	MOTOROLA SOLUTIONS INC	10/03/13	04/14/16	526.18 ✓	424.19 ✓	-101.99 LT
90.0000	MARATHON PETROLEUM CORP	05/01/13	04/14/16	3,520.72 ✓	3,346.88 ✓	-173.84 LT
2.0000	MARATHON PETROLEUM CORP	03/05/14	04/14/16	78.24 ✓	86.16 ✓	N/C
64.0000	MONDELEZ INTERNATIONAL COM	05/01/13	04/14/16	2,703.31 ✓	2,021.44 ✓	-681.87 LT
35.0000	MCDONALDS CORP COM	05/01/13	04/14/16	4,465.90 ✓	3,558.49 ✓	-907.41 LT
66.0000	MICROSOFT CORP	05/01/13	04/14/16	3,652.36 ✓	2,167.82 ✓	-1,484.54 LT
61.0000	MICROSOFT CORP	01/15/14	04/14/16	3,375.66 ✓	2,237.21 ✓	-1,138.45 LT
41.0000	MICROSOFT CORP	03/05/14	04/14/16	2,268.90 ✓	1,559.23 ✓	-709.67 LT
51.0000	NEXTERA ENERGY INC SHS	05/01/13	04/14/16	5,926.08 ✓	4,154.97 ✓	-1,771.11 LT
Pg Total 41				102,290.93	85,744.37	169.99
						17846.55



Fiscal Statement

Merrill Lynch
Bank of America Corporation

IE KASSEL-BACKER

9/13
Wash
Lester
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REALIZED CAPITAL GAIN AND LOSS SUMMARY 2/2/16

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
31.0000	NORTHROP GRUMMAN CORP	05/01/13	04/14/16	6,215.36	2,365.81	3,849.75 LT
35.0000	OCCIDENTAL PETE CORP CAL	05/01/13	04/14/16	2,572.09	2,954.96	N/C
51.0000	OCCIDENTAL PETE CORP CAL	05/01/13	04/14/16	3,747.91	4,305.80	(557.89) LT
23.0000	OCCIDENTAL PETE CORP CAL	03/05/14	04/14/16	1,690.24	2,148.10	(457.86) LT
170.0000	ORACLE CORP \$0.01 DEL	07/16/15	04/14/16	7,023.39	6,938.33	-85.06 ST
3.0000	ORACLE CORP \$0.01 DEL	08/28/15	04/14/16	123.95	111.68	-12.27 ST
44.0000	PRUDENTIAL FINANCIAL INC	05/01/13	04/14/16	3,335.57	2,619.76	715.81 LT
21.0000	PRUDENTIAL FINANCIAL INC	07/12/13	04/14/16	1,591.98	1,632.14	N/C
4.0000	PRUDENTIAL FINANCIAL INC	07/12/13	04/14/16	303.24	310.88	(7.64) LT
30.0000	PHILIP MORRIS INTL INC	05/01/13	04/14/16	3,021.53	2,879.87	141.66 LT
276.0000	PFIZER INC	05/01/13	04/14/16	9,009.82	8,052.36	957.46 LT
64.0000	PFIZER INC	01/15/14	04/14/16	2,089.23	1,977.60	111.63 LT
54.0000	PFIZER INC	03/05/14	04/14/16	1,762.80	1,769.88	N/C
17.0000	PRAXAIR INC	05/01/13	04/14/16	1,961.59	1,931.54	30.05 LT
29.0000	PROCTER & GAMBLE CO	05/01/13	04/13/16	2,375.71	2,239.24	136.47 LT
77.0000	PROCTER & GAMBLE CO	05/01/13	04/14/16	6,325.42	5,945.56	379.86 LT
17.0000	QUEST DIAGNOSTICS INC	03/27/15	04/14/16	1,257.32	1,289.43	N/C
39.0000	QUEST DIAGNOSTICS INC	03/27/15	04/14/16	2,884.45	2,958.09	(73.64) LT
11.0000	PUB SVC ENTERPRISE GRP	09/22/15	04/14/16	503.35	441.19	-62.16 ST
38.0000	PUB SVC ENTERPRISE GRP	09/23/15	04/14/16	1,738.84	1,515.92	-222.92 ST
12.0000	PUB SVC ENTERPRISE GRP	09/24/15	04/14/16	549.12	480.28	-68.84 ST
30.0000	QUALCOMM INC	02/13/14	04/14/16	1,550.14	2,292.87	N/C
15.0000	QUALCOMM INC	02/13/14	04/14/16	775.07	1,146.43	(371.36) LT
5.0000	QUALCOMM INC	02/13/14	04/14/16	258.35	384.47	(126.12) LT
22.0000	QUALCOMM INC	03/05/14	04/14/16	1,136.77	1,686.30	(549.53) LT
12.0000	QUALCOMM INC	10/24/14	04/14/16	620.07	904.36	(284.29) LT
55.0000	RAYTHEON CO DELAWARE NEW	05/01/13	04/14/16	6,877.06	3,414.95	3,462.11 LT
62.0000	REYNOLDS AMERICAN INC	09/04/15	04/14/16	3,065.84	2,589.90	-475.94 ST
39.0000	SCHLUMBERGER LTD	05/01/13	04/14/16	3,003.42	2,880.93	122.49 LT
17.0000	ANTHEM INC	01/16/15	04/14/16	2,415.98	2,289.20	126.78 LT
18.0000	ANTHEM INC	01/20/15	04/14/16	2,558.11	2,460.95	97.16 LT
134.0000	SUNTRUST BKS INC COM	05/01/13	04/14/16	5,192.40	3,896.72	1,295.68 LT
73.0000	SUNTRUST BKS INC COM	05/09/13	04/14/16	2,828.70	2,246.45	582.25 LT
34.0000	TOTAL SA SP ADR	05/01/13	04/14/16	1,639.10	1,696.92	N/C
67.0000	TOTAL SA SP ADR	05/01/13	04/14/16	3,230.00	3,343.94	(113.94) LT
19.0000	3M COMPANY	05/01/13	04/14/16	3,199.15	1,995.57	1,203.58 LT
35.0000	TRAVELERS COS INC	06/04/13	04/14/16	4,038.30	2,936.79	1,101.51 LT
4.0000	TRAVELERS COS INC	03/05/14	04/14/16	461.52	337.08	124.44 LT
31.0000	UNILEVER NV NY REG SHS	05/01/13	04/14/16	1,412.33	1,318.11	94.22 LT
38.0000	UNITED PARCEL SVC CL B	05/01/13	04/14/16	3,985.45	3,237.60	747.85 LT

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EMASM Fiscal Statement

KASSEL-BACKER

REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Wick
Ladd
Dietrich

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
7.0000	UNITEDHEALTH GROUP INC	01/16/15	04/14/16	895.70 ✓	734.21	161.49 LT
32.0000	UNITEDHEALTH GROUP INC	01/20/15	04/14/16	4,094.63 ✓	3,360.78	733.85 LT
146.0000	US BANCORP (NEW)	05/01/13	04/14/16	6,031.67 ✓	4,806.32	1,225.35 LT
7.0000	UNION PACIFIC CORP	01/15/14	04/14/16	572.33 ✓	596.33	N/C
29.0000	UNION PACIFIC CORP	01/15/14	04/14/16	2,371.06 ✓	2,470.52	(99.46) LT
6.0000	UNITED TECHS CORP COM	03/05/14	04/14/16	630.00 ✓	704.70	N/C
14.0000	UNITED TECHS CORP COM	03/05/14	04/14/16	1,470.00 ✓	1,644.30	(174.30) LT
45.0000	VERIZON COMMUNICATNS COM	05/01/13	04/14/16	2,317.62 ✓	2,356.43	N/C
76.0000	VERIZON COMMUNICATNS COM	05/01/13	04/14/16	3,914.21 ✓	3,979.76	(65.55) LT
87.0000	WEYERHAEUSER CO	05/01/13	04/14/16	2,743.93 ✓	2,628.27	115.66 LT
243.0000	WELLS FARGO & CO NEW DEL	05/01/13	04/14/16	11,811.99 ✓	9,126.03	2,685.96 LT
10.0000	ABBVIE INC SHS	05/01/13	05/11/16	627.99 ✓	458.20 ✓	169.79 LT
22.0000	ABBVIE INC SHS	05/01/13	05/12/16	1,381.39 ✓	1,008.04 ✓	373.35 LT
28.0000	ABBVIE INC SHS	03/05/14	05/12/16	1,758.14 ✓	1,450.11 ✓	308.03 LT
14.0000	ABBVIE INC SHS	04/21/16	05/12/16	879.08 ✓	854.84 ✓	24.24 ST
15.0000	JOHNSON AND JOHNSON COM	05/01/13	05/19/16	1,692.03 ✓	1,271.25 ✓	420.78 LT
5.0000	NORTHROP GRUMMAN CORP	05/01/13	05/19/16	1,055.35 ✓	381.55	673.80 LT
2.0000	NORTHROP GRUMMAN CORP	05/01/13	05/20/16	425.18 ✓	152.62	272.56 LT
5.0000	RAYTHEON CO DELAWARE NEW	05/01/13	05/19/16	646.77 ✓	310.45	336.32 LT
6.0000	RAYTHEON CO DELAWARE NEW	05/01/13	05/20/16	776.68 ✓	372.54	404.14 LT
38.0000	BRISTOL-MYERS SQUIBB CO	03/05/14	05/26/16	2,689.53 ✓	2,153.67 ✓	535.86 LT
5.0000	PROCTER & GAMBLE CO	05/01/13	06/20/16	416.31 ✓	386.08	30.23 LT
15.0000	PROCTER & GAMBLE CO	03/05/14	06/20/16	1,248.94 ✓	1,165.95	82.99 LT
18.0000	PROCTER & GAMBLE CO	03/05/14	06/24/16	1,482.89 ✓	1,399.14	83.75 LT
12.0000	BRISTOL-MYERS SQUIBB CO	03/05/14	06/28/16	859.01 ✓	680.11 ✓	178.90 LT
11.0000	BRISTOL-MYERS SQUIBB CO	04/21/16	06/28/16	787.44 ✓	765.05 ✓	22.39 ST
34.0000	JPMORGAN CHASE & CO	05/01/13	07/13/16	2,138.78 ✓	1,635.93 ✓	502.85 LT
26.0000	UNITED TECHS CORP COM	03/05/14	06/28/16	2,558.09 ✓	3,053.69	(495.60) LT
6.0000	UNITED TECHS CORP COM	03/12/14	06/28/16	590.34 ✓	708.53	(118.19) LT
2.0000	DOLLAR GENERAL CORP	05/21/15	08/08/16	185.72 ✓	146.90 ✓	38.82 LT
8.0000	DOLLAR GENERAL CORP	05/21/15	08/09/16	737.83 ✓	587.59 ✓	150.24 LT
4.0000	DOLLAR GENERAL CORP	05/21/15	08/10/16	371.66 ✓	293.80 ✓	77.86 LT
33.0000	GAP INC DELAWARE	08/18/15	08/25/16	869.33 ✓	1,144.64 ✓	(275.31) LT
31.0000	GAP INC DELAWARE	08/18/15	08/26/16	822.75 ✓	1,075.26 ✓	(252.51) LT
13.0000	HOME DEPOT INC	03/05/14	08/08/16	1,770.94 ✓	1,077.44 ✓	693.50 LT
1.0000	INTEL CORP	02/12/14	08/08/16	34.94 ✓	24.57 ✓	10.37 LT
10.0000	INTEL CORP	03/05/14	08/08/16	349.43 ✓	244.26 ✓	105.17 LT
51.0000	INTEL CORP	03/05/14	08/09/16	1,782.50 ✓	1,245.70 ✓	536.80 LT
53.0000	INTEL CORP	03/05/14	08/10/16	1,834.76 ✓	1,294.55 ✓	540.21 LT
64.0000	INTEL CORP	08/14/14	08/10/16	2,215.58 ✓	2,174.56 ✓	41.02 LT
				69842.52	59924.67	10055.36
				13751		

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Fiscal Statement

Merrill Lynch
Bank of America Corporation

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E.KASSEL-BACKER

REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
38 0000	INTEL CORP	08/14/14	08/11/16	1,314.32✓	1,291.15✓	-23.17 LT
80.0000	INTEL CORP	07/20/15	08/11/16	2,767.00✓	2,331.02✓	-435.98 LT
1.0000	INTEL CORP	01/22/16	08/11/16	34.59✓	29.66✓	-4.93 ST
42.0000	INTEL CORP	01/22/16	08/12/16	1,453.82✓	1,245.77✓	-208.05 ST
4 0000	MCDONALDS CORP COM	05/01/13	08/08/16	473.17✓	406.69	66.48 LT
11.0000	MCDONALDS CORP COM	03/05/14	08/08/16	1,301.23✓	1,045.11	256.12 LT
12.0000	MCDONALDS CORP COM	03/05/14	08/25/16	1,383.84✓	1,140.12	243.72 LT
19.0000	MCDONALDS CORP COM	03/05/14	08/26/16	2,183.32✓	1,805.19	378.13 LT
5.0000	MCDONALDS CORP COM	04/21/16	08/26/16	574.57✓	636.85✓	-(62.28) ST
14 0000	HOME DEPOT INC	03/05/14	09/23/16	1,797.29✓	1,160.32✓	-636.97 LT
6.0000	MCDONALDS CORP COM	04/21/16	08/23/16	691.02✓	764.22✓	-(73.20) ST
21.0000	REYNOLDS AMERICAN INC	09/04/15	09/23/16	1,017.35✓	877.23	140.12 LT
16.0000	REYNOLDS AMERICAN INC	09/04/15	09/26/16	767.63✓	668.36	99.27 LT
2.0000	AETNA INC NEW	01/05/16	09/29/16	231.72✓	220.39✓	-11.33 ST
5.0000	AMERICAN INTERNATIONAL	02/18/15	09/29/16	297.70✓	274.68✓	-23.02 LT
2.0000	ADVANSIX INC	03/05/14	10/11/16	31.32✓	22.57✓	-8.75 LT
1.0000	ADVANSIX INC	04/21/16	10/11/16	15.67✓	14.25✓	-1.42 ST
5.0000	AMER EXPRESS COMPANY	05/08/13	10/14/16	302.87✓	359.26✓	-(56.39) LT
12.0000	AMER EXPRESS COMPANY	03/05/14	10/14/16	726.89✓	1,105.67✓	-(378.78) LT
10.0000	AMER EXPRESS COMPANY	03/05/14	10/17/16	599.56✓	921.40✓	-(321.84) LT
5.0000	COMCAST CORP NEW CL A	01/15/14	09/29/16	333.04✓	260.60✓	-72.44 LT
1.0000	CHEVRON CORP	05/08/13	09/29/16	101.73✓	125.69✓	-(23.96) LT
1.0000	CHEVRON CORP	03/05/14	09/29/16	101.73✓	114.21✓	-(12.48) LT
2.0000	CME GROUP INC	02/03/14	09/29/16	210.89✓	148.20✓	-62.69 LT
8 0000	CITIGROUP INC COM NEW	05/08/13	09/29/16	377.35✓	381.45✓	-(4.10) LT
9 6000	COCA COLA COM	05/20/15	09/29/16	379.79✓	373.03✓	-6.76 LT
4 0000	DOMINION RES INC NEW VA	05/01/13	09/29/16	299.87✓	245.24✓	-54.63 LT
2 0000	DOLLAR GENERAL CORP	05/21/15	09/29/16	139.50✓	146.90✓	-(7.40) LT
2 0000	DOLLAR GENERAL CORP	06/16/15	09/29/16	139.50✓	155.22✓	-(15.72) LT
3 0000	DU PONT E I DE NEMOURS	05/01/13	09/29/16	201.18✓	153.93✓	-47.25 LT
3 0000	EXXON MOBIL CORP COM	05/01/13	09/29/16	259.48✓	263.96✓	-(4.47) LT
20 0000	EXXON MOBIL CORP COM	05/01/13	10/14/16	1,734.38✓	1,759.72✓	-(25.34) LT
20 0000	GENERAL ELECTRIC	05/01/13	09/29/16	600.04✓	445.52✓	-154.52 LT
2 0000	HONEYWELL INTL INC DEL	05/01/13	09/29/16	234.33✓	145.94✓	-88.39 LT
9 0000	HESS CORP	02/12/16	09/29/16	478.07✓	357.27✓	-120.80 ST
2 0000	HOME DEPOT INC	03/05/14	09/29/16	258.07✓	165.76✓	-92.31 LT
8 0000	JPMORGAN CHASE & CO	05/01/13	09/29/16	535.19✓	384.92✓	-150.27 LT
2 0000	JOHNSON AND JOHNSON COM	05/01/13	09/29/16	236.25✓	169.50✓	-66.75 LT
1 0000	LOCKHEED MARTIN CORP	02/09/15	09/29/16	243.40✓	194.22✓	-49.18 LT
15 0000	MORGAN STANLEY	01/28/14	09/29/16	478.19✓	510.64✓	-(32.45) LT
pg Total				25306.87	22821.83	2485.04

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EMASM Fiscal Statement

THE KASSEL-BACKER FOUNDATION
22-6966562

Wish
Selling
Discovered

REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6.0000	MONDELEZ INTERNATIONAL	05/01/13	09/29/16	262.13 ✓	189.51 *	* 72.62 LT
5.0000	MICROSOFT CORP	03/05/14	09/29/16	290.19 ✓	190.15	100.04 LT
2.0000	NEXTERA ENERGY INC SHS	05/01/13	09/29/16	247.31 ✓	162.94	84.37 LT
1.0000	NORTHROP GRUMMAN CORP	05/01/13	09/29/16	214.19 ✓	76.31	137.88 LT
8.0000	OCCIDENTAL PETE CORP CAL	05/08/13	09/29/16	582.47 ✓	691.99	(109.52) LT
8.0000	ORACLE CORP \$0.01 DEL	08/28/15	09/29/16	316.15 ✓	297.81	18.34 LT
3.0000	PRUDENTIAL FINANCIAL INC	07/12/13	09/29/16	244.43 ✓	233.16	11.27 LT
12.0000	PFIZER INC	03/05/14	09/29/16	405.41 ✓	383.31	12.10 LT
3.0000	PROCTER & GAMBLE CO	03/05/14	09/29/16	265.04 ✓	233.19	31.85 LT
5.0000	QUALCOMM INC	02/20/14	09/29/16	314.69 ✓	379.43	(64.74) LT
2.0000	RAYTHEON CO DELAWARE NEW	05/01/13	09/29/16	274.53 ✓	124.18	150.35 LT
5.0000	SUNTRUST BKS INC COM	05/09/13	09/29/16	219.49 ✓	153.87	65.62 LT
5.0000	SUNTRUST BKS INC COM	01/15/14	09/29/16	219.50 ✓	192.28	27.22 LT
9.0000	TOTAL S.A. SP ADR	05/01/13	09/29/16	431.45 ✓	449.19	(17.74) LT
4.0000	TRAVELERS COS INC	05/01/13	09/29/16	459.27 ✓	337.08	122.19 LT
2.0000	UNITED PARCEL SVC CL B	03/05/14	09/29/16	218.31 ✓	170.40	47.91 LT
8.0000	US BANCORP (NEW)	05/01/13	09/29/16	344.79 ✓	263.36	81.43 LT
13.0000	VERIZON COMMUNICATNS COM	05/01/13	09/29/16	680.67 ✓	680.75	(0.08) LT
12.0000	WELLS FARGO & CO NEW DEL	05/01/13	09/29/16	540.71 ✓	450.67	90.04 LT
4.0000	CME GROUP INC	02/03/14	11/17/16	462.18 ✓	296.41 ✓	-165.77 LT
12.0000	CME GROUP INC	02/03/14	11/18/16	1,377.09 ✓	889.21 ✓	-487.88 LT
6.0000	DU PONT E IDE NEMOURS	05/01/13	11/17/16	413.13 ✓	307.86 ✓	-105.27 LT
13.0000	DU PONT E IDE NEMOURS	05/01/13	11/18/16	895.60 ✓	667.04 ✓	-228.56 LT
13.0000	DU PONT E IDE NEMOURS	05/01/13	11/21/16	901.78 ✓	667.04 ✓	-234.74 LT
10.0000	JOHNSON AND JOHNSON COM	05/01/13	11/10/16	1,195.50 ✓	847.50 ✓	-348.00 LT
6.0000	JOHNSON AND JOHNSON COM	03/05/14	11/10/16	717.30 ✓	555.36 ✓	-161.94 LT
14.0000	JOHNSON AND JOHNSON COM	03/05/14	11/17/16	1,626.90 ✓	1,295.84 ✓	-331.06 LT
6.0000	RAYTHEON CO DELAWARE NEW	05/01/13	11/17/16	867.77 ✓	372.54	495.23 LT
6.0000	RAYTHEON CO DELAWARE NEW	05/01/13	11/18/16	871.25 ✓	372.54	498.71 LT
13.0000	DU PONT E IDE NEMOURS	05/01/13	12/12/16	980.96 ✓	667.04 ✓	-313.92 LT
11.0000	DU PONT E IDE NEMOURS	05/01/13	12/13/16	823.90 ✓	564.42 ✓	-259.48 LT

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7.19 7.19
453718.96 4134.70 61439.95

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ADVANSIX INC - CASH IN LIEU
CALIFORNIA RESRCS CORP - CASH IN LIEU

ADJUSTED TOTALS

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THE KASSEL-BACKER FOUNDATION
22-6966562

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EMA Fiscal Statement

Mark
Selig
Diedrich

REALIZED CAPITAL GAIN AND LOSS SUMMARY 2016

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
	TOTAL ST CAPITAL GAINS/LOSSES - A/C # 02005				52663.83 (498.31) 52165.52	498.31 3401.68
	TOTAL ST CAPITAL GAINS/LOSSES - A/C # 02005			55567.20		
	TOTAL LT CAPITAL GAINS/LOSSES - A/C # 02005			455456.81 511024.01	401055.03 (3636.39) 397418.64 449584.16	3636.39 58038.17 61439.25