Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
SWARTZ FOUNDATION TRUST

A Employer identification number
22-6554026

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O BGAPTS 501 MADISON AVE NO 17FL

B Telephone number (see instructions)
(212) 319-2700

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10022

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 59,476,772

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,756	1,756		
	4	Dividends and interest from securities	545,309	545,309		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,156,088			
	b	Gross sales price for all assets on line 6a 1,244,274				
	7	Capital gain net income (from Part IV, line 2)		1,156,088		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-96,372	-96,372		
	12	**Total.** Add lines 1 through 11	1,606,781	1,606,781		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	40,930	3,954		36,976
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	33,641	33,641		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	263,670	263,459		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	338,241	301,054		36,976
	25	Contributions, gifts, grants paid	9,377,158			9,377,158
	26	**Total expenses and disbursements.** Add lines 24 and 25	9,715,399	301,054		9,414,134
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-8,108,618			
	b	**Net investment income** (if negative, enter -0-)		1,305,727		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	11,760,552	4,557,746	4,557,746
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 588,882			
		Less allowance for doubtful accounts ▶ 0	588,882	588,882	588,882
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	409,292	1,285,086	1,285,086
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	52,090,219	53,045,058	53,045,058
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	64,848,945	59,476,772	59,476,772
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	64,848,945	59,476,772	
	30	**Total net assets or fund balances** (see instructions)	64,848,945	59,476,772	
	31	**Total liabilities and net assets/fund balances** (see instructions)	64,848,945	59,476,772	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	64,848,945
2	Enter amount from Part I, line 27a	2	-8,108,618
3	Other increases not included in line 2 (itemize) ▶	3	2,736,445
4	Add lines 1, 2, and 3	4	59,476,772
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	59,476,772

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,156,088
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	8,584,326	66,929,304	0 128260
2014	2,756,328	67,432,584	0 040875
2013	5,611,431	54,653,646	0 102673
2012	6,612,344	53,459,792	0 123688
2011	2,531,457	51,677,564	0 048986

2	**Total** of line 1, column (d)	2	0 444482
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 088896
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	59,635,433
5	Multiply line 4 by line 3	5	5,301,351
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	13,057
7	Add lines 5 and 6	7	5,314,408
8	Enter qualifying distributions from Part XII, line 4	8	9,414,134

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	13,057
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	13,057
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	13,057
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	193,473		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	193,473
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	180,416
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 180,416	**Refunded** ▶		11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MAIN STREET CPA CO BRANDYWINE Telephone no ▶(302) 234-5750

Located at ▶7234 LANCASTER PIKE SUITE 300A HOCKESSIN DE ZIP+4 ▶19707

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED C/O BRANDYWINE 7234 LANCASTER PIKE SUITE 300A HOCKESSIN, DE 19707	PRESIDENT 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶	0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	**1a**	52,971,504
b	Average of monthly cash balances.	**1b**	7,572,083
c	Fair market value of all other assets (see instructions).	**1c**	0
d	**Total** (add lines 1a, b, and c).	**1d**	60,543,587
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). **1e** 0		
2	Acquisition indebtedness applicable to line 1 assets.	**2**	0
3	Subtract line 2 from line 1d.	**3**	60,543,587
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	**4**	908,154
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	**5**	59,635,433
6	**Minimum investment return.** Enter 5% of line 5.	**6**	2,981,772

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	**1**	2,981,772
2a	Tax on investment income for 2016 from Part VI, line 5. **2a** 13,057		
b	Income tax for 2016 (This does not include the tax from Part VI). **2b**		
c	Add lines 2a and 2b.	**2c**	13,057
3	Distributable amount before adjustments Subtract line 2c from line 1.	**3**	2,968,715
4	Recoveries of amounts treated as qualifying distributions.	**4**	0
5	Add lines 3 and 4.	**5**	2,968,715
6	Deduction from distributable amount (see instructions).	**6**	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	**7**	2,968,715

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	**1a**	9,414,134
b	Program-related investments—total from Part IX-B.	**1b**	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	**2**	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	**3a**	
b	Cash distribution test (attach the required schedule).	**3b**	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	**4**	9,414,134
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	**5**	13,057
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	**6**	9,401,077

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,968,715
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 15,478				
b From 2012. 4,168,980				
c From 2013. 2,999,743				
d From 2014.				
e From 2015. 5,269,879				
f **Total** of lines 3a through e.	12,454,080			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 9,414,134				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				2,968,715
e Remaining amount distributed out of corpus	6,445,419			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,899,499			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	15,478			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	18,884,021			
10 Analysis of line 9				
a Excess from 2012. . . . 4,168,980				
b Excess from 2013. . . . 2,999,743				
c Excess from 2014. . . .				
d Excess from 2015. . . . 5,269,879				
e Excess from 2016. . . . 6,445,419				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE ATTACHED

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				9,377,158
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,756	
4 Dividends and interest from securities. . . .			14	545,309	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,156,088	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a ORD INCOME (LOSS) THRU PARTNERSHIPS			14	-96,372	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		1,606,781	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 1,606,781

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2017-11-08 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JEFFREY ZUKOFF				P00116434
Firm's name ▶ DDK & COMPANY LLP				Firm's EIN ▶13-2738625
Firm's address ▶ ONE PENN PLAZA 4TH FLR NEW YORK, NY 10119				Phone no (212) 997-0600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
788 SHS FACEBOOK INC CLASS A STOCK	P	2010-10-22	2016-11-02
282 SHS LIMKEDIN CORP CLASS A	P	2012-12-18	2016-09-12
77 SHS SALESFORCE INC	P	2016-01-29	2016-11-23
1257 SHS SALESFORCE INC	P	2011-08-31	2016-11-23
8717 SHS SUNRUN INC	P	2009-07-23	2016-05-18
STATE STREET	P	2015-10-27	2016-10-05
STATE STREET	P	2015-01-01	2016-11-10
THRU PARTNERSHIPS	P	2016-01-01	2016-12-31
THRU PARTNERSHIPS	P	2015-01-01	2016-12-31
SECTION 1231 THRU PARTNERSHIPS	P	2015-01-01	2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
99,897		7,834	92,063
54,283		29,035	25,248
5,762		3,606	2,156
94,065		18,020	76,045
52,729		14,925	37,804
46		48	-2
2		2	0
		14,713	-14,713
617,185			617,185
		3	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			92,063
			25,248
			2,156
			76,045
			37,804
			-2
			0
			-14,713
			617,185
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GAIN ON DISPOSITION OF SOUNDPOINT	P	2015-01-01	2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
320,305			320,305

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			320,305

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALLIANCE TO PROTECT NANTUCKET SOUND 4 BARNSTABLE ROAD HYANNIS, MA 02601	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
BETHEL AME CHURCH 40 WALK HILL STREET JAMAICA PLAIN, MA 02130	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
BOSTON PHILHARMONIC YOUTH ORCHESTRA 236 HUNTINGDON AVENUE STE 209 BOSTON, MA 02115	N/A	501(C)3	CHARITABLE CONTRIBUTION	15,000
BREAKTHROUGH PO BOX 121 LINCOLN, VA 20160	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
CAMPUS CRUSADE FOR CHRIST PO BOX 62822 ORLANDO, FL 32862	N/A	501(C)3	CHARITABLE CONTRIBUTION	4,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE GSIA 213 PITTSBURGH, PA 15213	N/A	501(C)3	CHARITABLE CONTRIBUTION	7,030,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
CHICAGO MEDIA PROJECT 301 WEST GRAND AVENUE 170 CHICAGO, IL 60654	N/A	501(C)3	CHARITABLE CONTRIBUTION	22,632
CHRISTIAN CENTER OF PARK CITY 1283 DEER VALLEY DRIVE PARK CITY, UT 84068	N/A	501(C)3	CHARITABLE CONTRIBUTION	100,000
COMMON SENSE 650 TOWNSEND STREET STE 435 SAN FRANCISCO, CA 94103	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
Total . . . . ▶ 3a				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CORPORATION OF THE FINE ARTS MUSEUMS 50 HAGIWARA TEA GARDEN DRIVE SAN FRANCISCO, CA 94118	N/A	501(C)3	CHARTIABLE CONTRIBUTION	10,000
EDGARTOWN READING ROOM INC PO BOX 1393 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
EDGARTOWN YACHT CLUB FOUNDATION ONE DOCK STREET PO BOX 1309 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
EDINBORO UNIVERSITY 219 MEADVILLE STREET EDINBORO, PA 16444	N/A	501(C)3	CHARTIABLE CONTRIBUTION	5,000
EGYPTIAN THEATER 328 MAIN STREET PARK CITY, UT 84068	N/A	501(C)3	CHARTIABLE CONTRIBUTION	5,000
Total . . . . ▶ 3a				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FEATHERSTONE CENTER FOR THE ARTS PO BOX 1145 OAK BLUFFS, MA 02557	N/A	501(C)3	CHARITABLE CONTRIBUTION	500
FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE STE 100 COLUMBIA, MD 21046	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
FRIENDS OF MVYRADIO INC PO BOX 1148 VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
GLOBAL FUND FOR WOMEN 800 MARKET STREET 7TH FLR SAN FRANCISCO, CA 94108	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
GRACE CATHEDRAL 100 CALIFORNIA STREET SAN FRANCISCO, CA 94108	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GRACE WILSEY FOUNDATION PO BOX 114 MENLO PARK, CA 94026	N/A	501(C)3	CHARITABLE CONTRIBUTION	2,000
HARVARD DIVINITY SCHOOL 124 MOUNT AUBURN STREET CAMBRIDGE, MA 02138	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
HINKSON CHRISTIAN ACADEMY PO BOX 2827 GREENVILLE, NC 27836	N/A	501(C)3	CHARITABLE CONTRIBUTION	2,000
IGREJA EVANGELICA ASSEMBLEIA DE DEUS SEMEAR INC PO BOX 1251 VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
INTERNATIONAL JUSTICE MISSION PO BOX 96961 WASHINGTON, DC 20090	N/A	501(C)3	CHARITABLE CONTRIBUTION	50,000
Total . . . . ▶ 3a				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KIMBALL ART CENTER 638 PARK AVENUE PARK CITY, UT 84060	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
KPCW PO BOX 1372 PARK CITY, UT 84060	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
LEVEL PLAYING FIELD INSTITUTE 2201 BROADWAY SUITE 101 OAKLAND, CA 94612	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
MARTHAS VINEYARD ARENA INC PO BOX 2062 OAK BLUFFS, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	100,000
MARTHAS VINEYARD FILM FESTIVAL PO BOX 592 CHILMARK, MA 02535	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MARTHAS VINEYARD HOSPITAL PO BOX 1477 OAK BLUFFS, MA 02557	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
MARTHAS VINEYARD MUSEUM PO BOX 1310 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	500
MARTHAS VINEYARD PRESERVATION TRUST PO BOX 5277 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
MERITUS COLLEGE FUND PO BOX 29024 SAN FRANCISCO, CA 94129	N/A	501(C)3	CHARITABLE CONTRIBUTION	41,000
MV COMMUNITY SERVICES 11 EDGARTOWN ROAD VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MV YOUTH PO BOX 65 CHILMARK, MA 02535	N/A	501(C)3	CHARITABLE CONTRIBUTION	32,595
NATIONAL MUSEUM OF WOMEN IN THE ARTS 1250 NEW YORK AVENUE NW WASHINGTON, DC 20005	N/A	501(C)3	CHARITABLE CONTRIBUTION	60,500
NUZZLES & CO PO BOX 682155 PARK CITY, UT 84068	N/A	501(C)3	CHARITABLE CONTRIBUTION	500
PACIFIC RESEARCH INSTITUTE 101 MONTGOMERY STREET 1300 SAN FRANCISCO, CA 94104	N/A	501(C)3	CHARITABLE CONTRIBUTION	4,550
PAN MASS CHALLENGE 77 4TH AVENUE NEEDHAM, MA 02494	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PARK CITY PERFORMING ARTS CENTER PO BOX 1297 PARK CITY, UT 84060	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
POLICY IMPACT 1107 9TH STREET STE 500 SACRAMENTO, CA 95814	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
RIGHT TO PLAY 49 WEST 27TH ST SUITE 930 NEW YORK, NY 10001	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
ROCKEFELLER PHILANTHROPY ADVISORS- BREAKTHROUGH INSTITUTE FUND 6 WEST 48TH STREET 10TH FLR NEW YORK, NY 10036	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
ROCKING THE BOAT 812 EDGEWATER ROAD BRONX, NY 10474	N/A	501(C)3	CHARITABLE CONTRIBUTION	2,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROYAL ACADEMY AMERICA 54 THOMPSON STREET 3RD FLR NEW YORK, NY 10012	N/A	501(C)3	CHARITABLE CONTRIBUTION	4,640
SAIL MV 110 MAIN STREET PO BOX 1998 VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
SALT LAKE CHRISTIAN FELLOWSHIP 615 EAST SEGO LILY DRIVE SANDY, UT 84070	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
SAN FRANCISCO BALLET 455 FRANKLIN STREET SAN FRANCISCO, CA 94102	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
SAN FRANCISCO MUSEUM OF MODERN ART 151 THIRD STREET SAN FRANCISCO, CA 94103	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,050,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SAN FRANCISCO OPERA 201 VAN NESS AVENUE SAN FRANCISCO, CA 94102	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
SAN FRANCISCO SYMPHONY 201 VAN NESS AVENUE SAN FRANCISCO, CA 94102	N/A	501(C)3	CHARITABLE CONTRIBUTION	41,500
SHERIFF'S MEADOW FOUNDATION RRI BOX 319 X VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	2,500
SHINING HOPE FOR COMMUNITIES 175 VARICK STREET 5TH FLR NEW YORK, NY 10014	N/A	501(C)3	CHARITABLE CONTRIBUTION	12,000
SPIRIT OF LIBERTY FOUNDATION BOX 2000 RANCHO SANTA FE, CA 92067	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SPRINGVILLE MUSEUM OF ART 126 EAST 400 SOUTH SPRINGVILLE, UT 84663	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
STORY PRESERVATION INITIATIVE PO BOX 280 ANDOVER, NH 03216	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
SUNDANCE INSTITUTE PO BOX 684429 PARK CITY, UT 84068	N/A	501(C)3	CHARITABLE CONTRIBUTION	72,075
THE FARM INSTITUTE PO BOX 1868 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	500
THE ISLAND AUTISM GROUP INC PO BOX 2786 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	500
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE NATURE CONSERVANCY OF UTAH 559 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84102	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
THE PERMANENT ENDOWMENT FUND FOR MARTHA'S VINEYARD PO BOX 1182 OAK BLUFFS, MA 02557	N/A	501(C)3	CHARITABLE CONTRIBUTION	16,666
THE RIVENDELL INSTITUTE 16 LYNWOOD PLACE NEW HAVEN, CT 06511	N/A	501(C)3	CHARITABLE CONTRIBUTION	2,000
THE TRINITY FORUM 2011 PENNSYLVANIA AVE NW SUITE 250 WASHINGTON, DC 20006	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
THE VINEYARD PLAYHOUSE PO BOX 2452 VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THIRD WAY INSTITUTE 1025 CONNECTICUT AVE NW SUITE 501 WASHINGTON, DC 20036	N/A	501(C)3	CHARITABLE CONTRIBUTION	50,000
TIPPING POINT COMMUNITY 703 MARKET STREET SAN FRANCISCO, CA 94103	N/A	501(C)3	CHARITABLE CONTRIBUTION	50,000
UC DAVIS FOUNDATION 1460 DREW AVENUE STE 100 DAVIS, CA 95618	N/A	501(C)3	CHARITABLE CONTRIBUTION	7,500
UCSF FOUNDATION 220 MONTGOMERY STREET 5TH FLR SAN FRANCISCO, CA 94143	N/A	501(C)3	CHARITABLE CONTRIBUTION	5,000
UNITED STATES OLYMPIC AND PARALYMPIC FOUNDATION 1 OLYMPIC PLAZA COLORADO SPRINGS, CO 80909	N/A	501(C)3	CHARITABLE CONTRIBUTION	30,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNITED STATES SKI AND SNOWBOARD TEAM FOUNDATION PO BOX 100 PARK CITY, UT 84060	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
US SKI & SNOWBOARD ASSOCIATION PO BOX 100 PARK CITY, UT 84060	N/A	501(C)3	CHARITABLE CONTRIBUTION	57,000
UTAH FILM CENTER 122 SOUTH MAIN STREET SALT LAKE CITY, UT 84101	N/A	501(C)3	CHARITABLE CONTRIBUTION	25,000
UTAH MUSEUM OF FINE ARTS 410 CAMPUS CENTER DRIVE SALT LAKE CITY, UT 84112	N/A	501(C)3	CHARITABLE CONTRIBUTION	1,000
UTAH SYMPHONY & OPERA 123 WEST SOUTH TEMPLE SALT LAKE CITY, UT 84101	N/A	501(C)3	CHARITABLE CONTRIBUTION	20,000
Total . . . . ▶ **3a**				9,377,158

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VGC FOUNDATION INC PO BOX 96961 EDGARTOWN, MA 02539	N/A	501(C)3	CHARITABLE CONTRIBUTION	500
VINEYARD HOUSE INC PO BOX 4599 VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	10,000
WORLD VISION PO BOX 70102 TACOMA, WA 98481	N/A	501(C)3	CHARITABLE FOUNDATION	1,000
YMCA OF MARTHA'S VINEYARD INC PO BOX 881 VINEYARD HAVEN, MA 02568	N/A	501(C)3	CHARITABLE CONTRIBUTION	115,000
Total . . . . ▶ **3a**				9,377,158

TY 2016 Explanation of Non-Filing with Attorney General Statement

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Statement: WE ARE AN EXEMPT CHARITY NOT REQUIRED TO REGISTER.

TY 2016 Investments Corporate Stock Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Name of Stock	End of Year Book Value	End of Year Fair Market Value
IVAN PLATS LIMITED	59,470	59,470
YUME	34,816	34,816
ETSY INC	1,046,264	1,046,264
FACEBOOK	0	0
LINKEDIN CORPORATION	0	0
SUNRUN	46,287	46,287
VARONIS SYSTEMS	98,249	98,249

TY 2016 Investments - Other Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ACCEL 98	AT COST	289,644	289,644
ACCEL 99	AT COST	151,707	151,707
ACCEL 98(B)	AT COST	63,834	63,834
ACCEL MER. INV. II	AT COST	79,773	79,773
ACCEL INV. 2000	AT COST	110,267	110,267
ACCEL 2002	AT COST	102,455	102,455
ACCEL 2003	AT COST	26,457	26,457
ACCEL EUROPE 2003	AT COST	114,215	114,215
ACCEL 2004	AT COST	88,745	88,745
ACCEL EUROPE 2004	AT COST	165,488	165,488
PACIFIC STARBUCK	AT COST	388,561	388,561
ACCEL EUROPE 2005	AT COST	256,038	256,038
ACCEL 2005	AT COST	168,526	168,526
ACCEL 2006	AT COST	20,098	20,098
ACCEL LONDON 2006	AT COST	30,997	30,997
ACCEL MERITECH III	AT COST	93,103	93,103
IDG-ACCEL CHINA	AT COST	345,442	345,442
GIOVINE INVESTMENT PARTNERS OFFSHORE	AT COST	0	0
PACIFIC COMMUNITY VENTURES III	AT COST	74,134	74,134
ACCEL 2007	AT COST	106,885	106,885
ACCEL LONDON 2007	AT COST	61,860	61,860
ACCEL-KKR II	AT COST	5,307	5,307
IDG-ACCEL CHINA II	AT COST	450,979	450,979
ACCEL INVESTORS 2008	AT COST	500,936	500,936
ACCEL LONDON INVESTORS 2008	AT COST	58,605	58,605
ACCEL INVESTORS 2009	AT COST	121,577	121,577
ACCEL INVESTORS 2010	AT COST	424,967	424,967
SEMBENE'	AT COST	4,893	4,893
WITCH BABA YAGA	AT COST	0	0
ONE IN A BILLION	AT COST	17,250	17,250

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
IDG-ACCEL CHINA III INVESTORS	AT COST	825,215	825,215
MCP IV (A) INVESTORS LLC	AT COST	213,947	213,947
ACCEL GROWTH FUND INVESTORS 2012	AT COST	752,399	752,399
ACCEL INV. 2012	AT COST	412,692	412,692
ACCEL-KKR IV INVESTORS	AT COST	838,786	838,786
MIDWAY (SKY MOO FILMS)	AT COST	19,333	19,333
CAUGHT IN THE NET	AT COST	465	465
WHO IS DAYANI CRYSTAL	AT COST	598	598
ACCEL 2013	AT COST	269,297	269,297
ACCEL GROWTH FUND INVESTORS 2013	AT COST	667,865	667,865
SCS INTERNATIONAL EQUITY FUND	AT COST	4,118,145	4,118,145
SCS US EQUITY FUND	AT COST	7,558,239	7,558,239
NEW GENERATION TURNAROUND FD (DELAWARE)	AT COST	1,240,137	1,240,137
THE CRASH REEL	AT COST	187	187
THE C WORD FKA A MATTER OF LIFE	AT COST	25,000	25,000
AGAINST THE CLOCK	AT COST	11,875	11,875
PRIDE	AT COST	12,955	12,955
ANITA	AT COST	19,167	19,167
ACCEL GROWTH FUND INVESTORS 2014	AT COST	1,483,631	1,483,631
ACCEL INVESTORS 2014	AT COST	586,756	586,756
SCS PARTNERS	AT COST	28,784,778	28,784,778
FREEDOM FIGHTERS	AT COST	3,333	3,333
ACCEL INDIA IV INVESTORS LLC	AT COST	305,746	305,746
SOUNDS OF SACHAL	AT COST	9,000	9,000
DEATH BY DESIGN	AT COST	20,000	20,000
BEND THE ARC	AT COST	60,000	60,000
NIGHT SCHOOL	AT COST	3,529	3,529
NOTES ON BLINDNESS	AT COST	16,667	16,667
EAGLE HUNTRESS	AT COST	0	0
ICARUS	AT COST	177,500	177,500

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ACCEL INVESTORS 2016 LLC	AT COST	76,484	76,484
ACCEL LONDON INVESTORS 2016 LLC	AT COST	123,959	123,959
APOLLO	AT COST	18,421	18,421
FANTASY ISLAND	AT COST	11,842	11,842
RULES TO LIVE BY	AT COST	2,125	2,125
STEP	AT COST	3,704	3,704
THE EVIL WE KNOW	AT COST	12,000	12,000
NO MANS LAND FKA ME THE PEOPLE	AT COST	25,000	25,000
DINA	AT COST	11,538	11,538

TY 2016 Other Expenses Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
THRU PARTNERSHIPS	164,148	164,148		0
NON DEDUCTIBLE EXPENSES THRU PARTNERSHIPS	211	0		0
INVESTMENT MANAGEMENT FEES	99,311	99,311		0

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TY 2016 Other Income Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORD INCOME (LOSS) THRU PARTNERSHIPS	-96,372	-96,372	-96,372

TY 2016 Other Increases Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Description	Amount
ADJUSTMENT TO INVESTMENT COSTS-UNREALIZED	2,736,445

TY 2016 Other Professional Fees Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEES	35,000	0		35,000
PLEASANTVILLE TAX SERVICES	5,930	3,954		1,976

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TY 2016 Taxes Schedule

Name: SWARTZ FOUNDATION TRUST

EIN: 22-6554026

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES THRU PARTNERSHIPS	28,153	28,153		0
FOREIGN TAXES THRU STATE STREET	5,488	5,488		0