

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

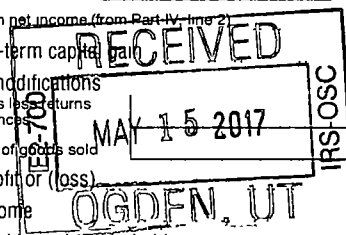
For calendar year 2016 or tax year beginning

, and ending

Name of foundation LUCILE AND MAURICE POLLAK FUND		A Employer identification number 22-6051671						
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 357	Room/suite	B Telephone number (609) 978-8718						
City or town, state or province, country, and ZIP or foreign postal code MANAHAWKIN, NJ 08050		C If exemption application is pending, check here ☐						
G Check all that apply: <table style="width:100%"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,562,641. (Part I, column (d) must be on cash basis)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		70,197.	67,410.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<16,091.>			
b Gross sales price for all assets on line 6a 654,809.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,211.	1,211.		STATEMENT 2
12 Total. Add lines 1 through 11		55,317.	68,621.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages		48,266.	18,581.		29,685.
15 Pension plans, employee benefits		5,973.	4,778.		1,195.
16a Legal fees					
b Accounting fees STMT 3		2,500.	0.		2,500.
c Other professional fees STMT 4		23,861.	23,861.		0.
17 Interest					
18 Taxes STMT 5		4,558.	2,020.		2,538.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		7,197.	1,494.		5,703.
24 Total operating and administrative expenses. Add lines 13 through 23		92,355.	50,734.		41,621.
25 Contributions, gifts, grants paid		160,250.			160,250.
26 Total expenses and disbursements. Add lines 24 and 25		252,605.	50,734.		201,871.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<197,288.>			
b Net investment income (if negative, enter -0-)			17,887.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 16 2017



15

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,045.	<6,267.>	<6,267.>
	2 Savings and temporary cash investments	178,658.	193,607.	193,607.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,831,004.	1,551,513.	2,293,963.
	c Investments - corporate bonds STMT 8	893,311.	942,652.	943,492.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9		28,242.	57,745.	137,846.
14 Land, buildings, and equipment: basis ▶ 1,404.				
Less: accumulated depreciation STMT 10 ▶ 1,404.				
15 Other assets (describe ▶ DUE FROM BROKER)		152.	0.	0.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,936,412.	2,739,250.	3,562,641.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO BROKER)	0.	126.	
23 Total liabilities (add lines 17 through 22)	0.	126.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	5,943,437.	5,943,437.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<3,007,025.>	<3,204,313.>	
30 Total net assets or fund balances	2,936,412.	2,739,124.		
31 Total liabilities and net assets/fund balances	2,936,412.	2,739,250.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,936,412.
2 Enter amount from Part I, line 27a	2	<197,288.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,739,124.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,739,124.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT ATTACHED		P		
b SEE STATEMENT ATTACHED		P		
c CAPITAL GAINS DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 200,495.		229,359.	<28,864.>
b 437,348.		441,541.	<4,193.>
c 16,966.			16,966.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<28,864.>
b			<4,193.>
c			16,966.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<16,091.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	220,048.	3,808,403.	.057780
2014	203,196.	3,898,672.	.052119
2013	181,007.	3,618,054.	.050029
2012	176,959.	3,480,310.	.050846
2011	224,970.	3,644,156.	.061734

2 Total of line 1, column (d)	2	.272508
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054502
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,523,021.
5 Multiply line 4 by line 3	5	192,012.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	179.
7 Add lines 5 and 6	7	192,191.
8 Enter qualifying distributions from Part XII, line 4	8	201,871.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	179.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	179.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	179.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,135.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,135.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,956.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			X
1c Did the foundation file Form 1120-POL for this year?			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► <u>ASH & PARSONT LLP</u> Telephone no. ► <u>212-953-1250</u> Located at ► <u>122 EAST 42ND STREET, SUITE 1507, NEW YORK, NY</u> ZIP+4 ► <u>10168</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,429,386.
b	Average of monthly cash balances	1b	147,285.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,576,671.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,576,671.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	53,650.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,523,021.
6	Minimum investment return. Enter 5% of line 5	6	176,151.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	176,151.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	179.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	179.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	175,972.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	175,972.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	175,972.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	201,871.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	201,871.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	179.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	201,692.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				175,972.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	3,805.			
b From 2012	4,541.			
c From 2013	3,950.			
d From 2014	14,482.			
e From 2015	31,598.			
f Total of lines 3a through e	58,376.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	201,871.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				175,972.
e Remaining amount distributed out of corpus	25,899.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	84,275.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	3,805.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	80,470.			
10 Analysis of line 9:				
a Excess from 2012	4,541.			
b Excess from 2013	3,950.			
c Excess from 2014	14,482.			
d Excess from 2015	31,598.			
e Excess from 2016	25,899.			

N/A

- ▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

1 Information Regarding Foundation Managers:

- NONE

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT - MONMOUTH BRANCH P.O. BOX 357 MANAHAWKIN, NJ 08050	NONE	AS PER SCHEDULE	FOR OPERATIONS	80,500.
SEE ATTACHMENT - WESTCHESTER BRANCH P.O. BOX 357 MANAHAWKIN, NJ 08050	NONE	AS PER SCHEDULE	FOR OPERATIONS	79,750.
Total			▶ 3a	160,250.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Albany Symphony Orchestra (June) 19 Clinton Avenue Albany, NY 12207 David Alan Miller, Music Director	\$500	11/12/16			BB \$500 Dec	Unrestricted
American Civil Liberties Union (John) 125 Broad Street, 18th Floor New York, NY 10004 Anthony Romero, Exec Director	\$1,000	11/18/16			BB New	Unrestricted
American Jewish Committee 165 East 56th Street New York, NY 10022 John M. Shapiro, President 212-751-4000	\$1,000	11/01/16	\$1,000		\$1,000 Nov	Unrestricted
Autism Speaks (June) 1060 State Road, 2nd Floor Princeton, NJ 08540 Heather Hays, Exec Director	\$1,000	12/09/16			BB \$500 Dec	Brian
Bloomfield Hills School District (June) 4175 Andover Road Bloomfield, MI 48302-1903					BB \$1,000 Oct	Leah \$700 authors visit \$300 unrestricted
Bloomfield Hills School Found (June) 7273 Wing Lake Road Bloomfield Hills, MI 48301	\$1,000	11/12/16			BB New	Leah West Hills Middle School
Boulder Shelter for Homeless (John) 4869 No Broadway Boulder, CO 80304 Greg Harms, Exec Director 303-442-4646					BB \$1,000 Oct	Unrestricted
Boy Scouts of America (June) Twin Rivers Council 253 Washington Avenue Ext. Albany, NY 12205 Richard Stockton, Scout Executive					BB \$1,000 Dec	Scholarship Fund in Memory of Richard Wallace

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Bridge House (John) 1120 Pine Street Boulder, CO 80302 Isabel McDevitt, Exec Director	\$2,000	04/17/16			\$2K Oct BB	Unrestricted
Burlington City Arts Foundation (Steve) 149 Church Street Burlington, VT 05401 Doreen Kraft, Exec. Director	\$250	12/09/16			xxx	Jean & Henry addtl gift Match for above
Capital Region Sponsor A Scholar, Inc. 3 Pine West PLZSTE 305 Albany, NY 12205 William K Corbett, President					BB \$250 Dec	Seven Below Arts Initiative
Capital Reg Youth Tennis Found (June) 785 Washington Avenue Albany, NY 12206 Amber Marino, Executive Director	\$1,000	11/12/16			xxx \$1,000 Dec BB	In memory of Addie Muhlfelder 15 Love book club in honor of 25th anniv 15 Love
Capital Repertory Theater (June) 111 North Pearl Street Albany, NY 12207 Maggie Mancinelli-Cahill, Exec Director	\$500	11/12/16			BB \$300 Dec	unrestricted
Cedar Place Elementary School (w)(Steve) 20 Cedar Place Yonkers, NY 10705 Magdaline Delany, Principal	\$1,000	05/17/16	\$1,000		\$1K June BB \$1K Nov	Unrestricted Unrestricted (for Steven)
Center for Narcolepsy (John) 3165 Porter Drive, MC5480 Palo Alto, CA 94304 Dr. Emmanuel Mignot, Exec. Director					BB \$1,500 Dec	Narcolepsy Research Fund
Citymeals on Wheels (Tom) 355 Lexington Avenue New York, NY 10017 Beth Shapiro, Exec. Director					BB \$500 Dec	Unrestricted
Coalition for the Homeless (Bill) 129 Fulton Street New York, NY 10038 Sarah Murphy, Director of Development	\$500	11/26/16			BB \$500 Nov	Unrestricted

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Congregation Kol Ami (w)(Bill) 252 Soundview Avenue White Plains, NY 10606 Hank Rouda, President 914-949-4717	\$3,565	06/30/16	\$3,565		\$4,900 June	16-17 dues (\$5065) less \$1500 payment in Dec 2015
	\$2,500	11/01/16	\$2,500		\$2,500 Nov	Legacy Fund
	\$335	12/19/16	\$335		New	Syrian Refugee Program
					\$4,900 Nov	\$30K pledge (2011-15) Capital Campaign
Mark Seiden & Ronnie Cohn Farley Co-Presidents					xxxx	Prepay of 2015 pledge
					xxxx	\$20K pledge Final payment
	\$500	12/09/16			BB \$250 Dec	Steve & Leslie
	\$500	11/26/16			BB \$500 Dec	Unrestricted
Cornell University (Steve) 55 Brown Road Ithaca, NY 14850 Laue Robinson, Director of Development						
Croton-Cortlandt Food Pantry (Tom) c/o Asbury United Methodist Church 19 Old Post Road So Croton-on-Hudson, NY 10520 Susan Franco, President	\$250	12/09/16			BB \$250 Dec	Unrestricted
Council for Unity (Steve) 50 Ave X, Suite 366 Brooklyn, NY 11223 Robert DeSena, President						
Croton Harman Education Found (Steve) PO Box 172 Croton on Hudson, NY 10520 Rick Craig, Treasurer					BB \$250 Dec	Unrestricted
Croton Youth Lacrosse (Steve) 99 Radnor Avenue Croton on Hudson, NY 10520 Ed Gomez	\$250	11/02/16			BB \$250 New	Unrestricted
Croton Harmon Schools (Steve) 8 Gerstein Street Croton on Hudson, NY 10520 Iris Stevens / Dr. Edward R. Fuhrman, Jr.	\$300	03/18/16			BB \$250 Dec	Math 2 Club Escape Room
	\$350	12/09/16			BB New	Math Enrichment/Chess

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Doctors Without Borders (DRC) (Tom) 333 7th Ave, 2nd Floor New York, NY 10001	\$1,000	11/01/16		** 2016 **	\$1,000 May	Unrestricted DRC
Sophie Delaunay, President & CEO	\$500	11/26/16			\$500 Dec BB	Tom Unrestricted
East Meets West Foundation (Bill) 1611 Telegraph Ave., Ste 1420 Oakland, CA 94612 John Nguyen					BB xxxx	SPELL Goes to College \$4K pledge 2011-2014 Final Payment
Emerg. Family Assistance Assoc (John) 1575 Yarmouth Avenue Boulder, CO 80304 Julie Van Domelen					\$500 Oct BB	Unrestricted
Environmental Defense Fund (John) 257 Park Avenue South New York, NY 10010 Fred Kruff, President					\$1,000 Dec BB	Unrestricted
Fashion Institute of Technology (Tom) 227 West 27th Street New York, NY 10001 Christine Pomeranz, Chair ITM	\$1,000	11/26/16			BB \$1,000 Dec	Dept of International Trade & Marketing
The Food Bank for Westchester (Tom) 200 Clearbrook Road Elmsford, NY 10523 Ellen Lynch, President & CEO	\$1,000	11/26/16			BB \$1K Dec	Merged w/ Coalition for Hungry/Homeless
Habitat for Humanity Internatl (Tom) 121 Habitat Street Americus, GA 31709-3498	\$1,000	11/01/16	\$1,000		\$1,000 Nov	2015 donation to WCHH
Hands Up for Haiti (DRC) Post Office Box 913 Mt. Kisco, NY 10549 Maryann LoFrumento, MD, President	\$500	11/26/16			BB \$500 Dec	Haiti ?
HIAS (DRC) 1300 Spring Street, Suite 500 Silver Spring, MD 20910 Mark Hetfield, Pres & CEO	\$1,000	11/01/16		** 2016 **	\$1,000 Dec	Unrestricted DRC
	\$1,000	11/01/16		** 2016 **	\$1,000 Nov	DRC Refugee Assistance Program

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Housing Action Council 55 South Broadway Tarrytown, NY 10591 Rosemarie Noonan, Exec Director 914-332-4144	\$1,000	11/01/16	\$1,000		\$1,000 Nov	Unrestricted
Intl Planned Parenthood Federation 125 Maiden Lane New York, NY 10038 Dr. Carmen Barroso, Regional Director	\$2,000	11/01/16	\$2,000		\$2,000 Nov	Unrestricted
International Rescue Committee (DRC) 122 E. 42nd Street New York, NY 10168 George Rupp, President	\$1,000	11/01/16			xxx	Unrestricted DRC
Jewish Home & Hospital 120 West 106th St, NYC 10025 Clara A. Nyman, CFRE, Dir of Development	\$5,000	11/01/16	\$5,000		\$5,000 Dec	Greenhouse Project \$25K Pledge 2012-2016
Sarah R. Neuman Nursing Home 845 Palmer Avenue, Mamk, NY 10543 Audrey Weiner, President & CEO 914-698-6005						
Lakes Region Humane Society 11 Old Route 28 - POB 655 Ossipee, NH 03864 Jim Allen, President 603-569-3549	\$500	06/30/16	\$500		\$500 Aug	Unrestricted
League of Women Voters NYS Education Foundation 62 Grand Street, Albany, NY 12207 Laura Ladd Bierman, Exec Director	\$1,000	11/01/16	\$1,000		\$1,000 Nov	Unrestricted
Memorial Sloan-Kettering Cancer Ctr 633 Third Avenue, 28th Floor New York, NY 10017 Richard K. Naum, VP Development 646-227-3549	\$2,000	11/01/16	\$2,000		\$2,000 Nov	Benefactor Program

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Mercy Corps. (DRC) PO Box 2669, Dept. W Portland, OR 97208-2669 Neal Keny-Guyer, CEO	\$1,000	11/01/16		** 2016 **	\$1,000 May	Unrestricted DRC
The Metropolitan Opera Lincoln Center New York, NY 10023 Naomi Weinstock, Dir, Patron Program 212-799-3100	\$5,000	11/01/16	\$5,000		\$5,000 Nov	\$5,000 Sponsor Patron 100% tax deductible
National MS Society (Bill) NYC SNY Chapter 733 Third Avenue, 3rd Floor New York, NY 10017 Robin Einbinder, President	\$500	11/12/16	\$500		\$500 Dec	In honor of Georgia Medical research
	\$3,000	11/26/16			BB \$4,000 Nov	Medical research
	\$500	11/26/16			BB \$1,000 Dec	Tom Unrestricted
Nat Resources Defense Council (Tom/John) 40 W. 20th Street New York, NY 10011 Peter Lehner, Executive Director	\$1,000	11/18/16			BB New	Unrestricted
New Israel Fund 6 East 39th Street, Suite 301 New York, NY 10016 Jenna Weinberg, NY Director	\$1,000	11/01/16	\$1,000		\$1,000 Nov	Unrestricted
New York Philharmonic 10 Lincoln Center Plaza New York, NY 10023 Sara Pinto, Senior Director of Development	\$250	11/01/16	\$250		\$250 Nov	Unrestricted
Open Door Family Medical Center (Tom) 165 Main Street Ossining, NY 10562 Lindsay Farrell, President & CEO 914-941-1263	\$500	11/26/16			BB \$500 Dec	Unrestricted
Pace University Dyson College of Arts & Sciences 41 Park Row, 16th Floor New York, NY 10038 Nira Herrmann, Dean 212-346-1517	\$2,000	11/29/16	\$2,000		\$2,000 Dec	MPA Scholarship \$2,000 Cap #GivingTues Matching Fund

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Pace University Lienhard School of Nursing 861 Bedford Road, Room L28 Pleasantville, NY 10570 Harriet Feldman, Dean 914-773-3341	\$1,000	11/29/16	\$1,000		\$1,000 Dec	Nursing Scholarship #GivingTues Matching Fund
Parkinson's Disease Foundation (Tom) 1359 Broadway New York, NY 10018	\$500	11/26/16			BB \$500 Dec	In honor of Candace Leeds
Planned Parent Fed of Amer (Bill/John) POB 96735 Washington, DC 20077-7525 Cecile Richards, President & CEO	\$500	11/26/16			BB \$500 Nov	Bill Unrestricted
	\$1,000	11/18/16			BB New	John - unrestricted
	\$1,600	11/01/16	\$1,600		\$1700 Dec	Unrestricted
Planned Parenthood Hudson/Peconic 4 Skyline Drive Hawthorne, NY 10532-2143 Reina Schiffman, CEO/President 914-467-7300	\$1,000	11/01/16	\$1,000		\$1,000 Nov	Unrestricted
Roosevelt High School (w)(Steve) 631 Tuckahoe Road Yonkers, NY 10701 Angela Gonzalez Perez					\$1,000 Nov BB	Autism program (for Steven)
	\$1,000	05/17/16	\$1,000		\$1K June	Unrestricted
Second Chance Rescue (Steve) Post Office Box 570701 Whitestone, NY 11357	\$350	12/09/16			BB New	Unrestricted
Seeds of Peace 370 Lexington Avenue New York, NY 10017 Janet Wallach, Executive VP	\$1,000	11/12/16	\$1,000		\$1,000 Nov	Unrestricted
Sierra Club (John) 85 Second Street, 2nd Floor San Francisco, CA 94105 Michael Brune, Exec Director					BB xxx	Unrestricted
Soldier On Foundation (June) 421 North Main Street, Bldg 6 Leeds, MA 01053 Casey DiCicco, Dir of Communications	\$1,000	11/12/16			BB \$1,000 Dec	Ann Lee Home Project Albany, NY
Special Olympics (June) 1133 19th Street NW, 12th Floor Washington, DC 20036 3604					BB \$500 Dec	Brian

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
State University of NY Purchase College Foundation 735 Anderson Hill Road Purchase, NY 10577-1400 Thomas J. Schwarz, President	\$500	11/01/16	\$500		\$500 Nov	President's Club
Temple Israel Northern Westr (Steve) 31 Glengary Road Croton on Hudson, NY 10520 Rabbi Jennifer Jaech	\$2,500	11/29/16			BB \$2,750 Sept	Unrestricted
The Page & Otto Marx Jr. Found. (Steve) 1983 Marcus Avenue, Suite 137 New Hyde Park, NY 11042 Attn: Jill Levy					BB New	check returned not resent
Tisch MS Research Center of NY (Bill) 521 West 57th Street, 4th Floor New York, NY 10019	\$1,000	11/26/16			BB \$1,000 Nov	Tisch Intl Research Ctr in honor of Dr A Williams
UJA Federation 130 East 59th Street New York, NY 10022 Ivin A. Rosenthal, CFO	\$2,000	11/01/16	\$2,000		\$2,000 Nov	Unrestricted
Wellesley College 106 Central Street Wellesley, MA 02481-8203 Paula A. Johnson, President	\$1,000	11/01/16	\$1,000		\$1,000 Nov	Unrestricted
Westchester Coalition for the Hungry & Homeless (Tom) 38 Mamaroneck Avenue, Suite 35/37 White Plains, NY 10601 Jeanne Blum, Exec Director 914-682-2737					BB xxx	Merged w/The Food Bank for Westchester (See FBFW)
WestCOP 2 Westchester Plaza Elmsford, NY 10523 O'Dean Magnum, Deputy Exec Director	\$500	11/01/16	\$500		\$1,000 Nov	See FBFW
Westr Commty College Foundation Hartford Hall, 75 Grasslands Road Valhalla, NY 10595 Eve Larner, VP & Dean	\$1,500	11/01/16	\$1,500		\$500 Nov	Five Steps to Five
					\$1,500 Nov	Scholarship Fund

\$79,750**Westchester Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Pledged	Balance Due	Prev. Year	Comments
Westchester Philharmonic 123 Main Street White Plains, NY 10601 Joshua Worby, Executive Director	\$500	11/01/16	\$500		\$500 Nov	Unrestricted
White Plains Hospital Center Davis Avenue @ East Post Road White Plains, NY 10601 Susan Fox, President	\$5,000	11/01/16	\$5,000		xx \$5,000 Nov	Cap Campaign \$15K pledge 2012-2014 Unrestricted
White Plains Library Foundation 100 Martine Avenue White Plains, NY 10601 Judith Nevins, President	\$250	06/30/16	\$250		\$250 Aug	Unrestricted
Williams College (June) 75 Park Street Williamstown, MA 01267 Peter Landry, Manager of Gift Admin					BB \$200 Dec	Parents Fund/Capital Camp. re Leah & Jason
WNET 13 450 West 33rd Street New York, NY 10001 Claud Johnson, VP Development 212-560-2828	\$3,000	11/01/16	\$3,000		\$3,000 Nov	Unrestricted
Yale Alumni Fund PO Box 2038 New Haven, CT 06521-2038 Joan E. O'Neil, VP Development	\$500	11/01/16	\$500		\$500 Dec	Pledge completed in 2013
Totals	\$79,750		\$50,000	\$0		

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Alex's Lemonade Stand Found (Peggy) 333 E. Lancaster Ave #414 Wynnewood, PA 19096 Jay Scott, Co-Exec Director					BB xxxx	David & Lindsey
American Ballet Theater 890 Broadway New York, NY 10003 Rachel S. Moore, CEO					\$250 March xx	In memory of Carley Broder same as above \$125/BB
American Cancer Society Eastern Division - Monmouth Unit PO Box 5066, Cherry Hill, NJ 08034	\$100	4/21/2016	\$100		\$300 Oct	Unrestricted
American Folk Art Museum 2 Lincoln Square, NY, NY 10023 Anne-Imelda Radice, PhD, Exec Director	\$300	12/11/2016	\$300		New	In memory of Ida Zukowski from LB/PW/JC \$100 each
American Heart Association PO Box 78851 Phoenix AZ 85062	\$500	10/1/2016	\$500		\$500 Dec	Benefactor Patron
Americares (Bob) 88 Hamilton Avenue Stamford, CT 06902 Karen Gottlieb, Exec Director 203-658-9500	\$100	4/21/2016	\$100		\$100 July	Unrestricted
Amnesty International USA 5 Penn Plaza, 16th Floor New York, NY 10001	\$500	11/1/2016			BB \$500 March	2:1 Match
Animal Rescue League of Boston (Bob) 10 Chandler Street Boston, MA 02116 Advancement Office	\$100	11/20/2016	\$100		\$238 Dec	Unrestricted
Axelrod Performing Arts Center 100 Grant Avenue Deal Park, NJ 07723 Jess Levy, Exec Director					BB xx	Jenny
Bantam Lake Protective Assoc. (Bob) PO Box 37 Morris, CT 06763 Constance Trolle, President 860-567-5335	\$400	10/1/2016	\$400		\$600 Oct	March 9 benefit Steve & Peggy plus \$400 to JCC
	\$500	11/1/16			BB \$500 Nov	Unrestricted

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Bethany House of No. Virginia (Peter) 6601 Little River Turnpike Alexandria, VA 22312 Gayann Pearl, Executive Director 703-658-9500	\$500	11/14/16			BB \$500 Nov	Family Donation Jason
Bethesda Hospital Foundation PO Box 243628, Boynton Beach, FL 33424 Kay Harvey, Chair					\$1,000 March	In honor of Marge Robert's 85th Birthday
Boomer Esiason Foundation (Peggy) 200 B Armstrong Road Garden City, NY 11040 Etsuko Murase					BB \$500 Dec	David Wallach
Boys & Girls Club of E. Providence (Bob) 15 Williams Avenue Providence, RI 02914	\$250	11/1/16			BB \$250 Oct	Timothy
Boys & Girls Club of Pawtucket (Bob) 1 Moeller Place Pawtucket, RI 02860	\$250	11/1/16			BB \$250 Oct	Timothy
Brookdale Community College Center for Holocaust, HR & GE (LB/Peg) 765 Newman Springs Road Lincroft, NJ 07738 Dale Daniels, Executive Director	\$500	8/26/16	\$500		\$500 Oct	Unrestricted
Centers for Arts Bonita Springs (Peter) 26100 Old 41 Road Bonita Springs, FL 34135 Susan A Bridges, President	\$2,000	4/21/16			New BB \$4,000	Unrestricted \$2K in April \$2K in June
	\$2,000	11/14/16				
Clean Ocean Action 18 Hartshorne Dr, Suite 2/POB 505 Highlands, NJ 07732 Cindy Zipf, Exec Director 732-872-0111	\$500	8/22/16	\$500		\$500 Oct	Unrestricted
Collier Services Foundation 160 Covover Road Wickatunk, NJ 07765 Sr. Deborah Drago, LCSW, Exec Director	\$500	5/26/16	\$500		\$500 June	Kateri Day Camp

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Connecticut Food Bank (Bob) PO Box 8686 New Haven, CT 06531 Janet Kniffin, Development Director	\$500	11/1/16			BB \$500 Oct	Unrestricted
Connecticut Public Broadcasting (Bob) 1049 Asylum Avenue Hartford, CT 06105 Jerry Franklin, Pres & CEO 860-278-5310	\$1,000	11/1/16			BB \$1,000 Oct	WNPR
CT Volun Svcs for Blind/Hndicped (Bob) PO Box 363, Litchfield, CT 06759 Lynn Cossette, Treasurer					\$500 May	Unrestricted
CPC Behavioral Health Care 10 Industrial Way East Eatontown, NJ 07724 Kerry L. Herbert, Dr. Development 732-935-2222	\$10,000	1/9/16	\$10,000		\$10K Jan	Unrestricted
	\$250	11/20/16	\$250		\$500 Nov	in memory of Linda Lees
	\$1,000	1/9/16	\$1,000		\$250 Nov	holiday gifts
					New	Matching gift for camping equipment
Dana-Farber Cancer Institute 450 Brookline Avenue Boston, MA 02215 David Jackman, MD					\$250 Nov	Practicum Oncology Program in memory of Mary Strauss-Penka
Devereux NJ Treatment Network (Peter) 286 Mantua Grove Rd, Bldg. #4 West Deptford, NJ 08066 Brian Hancock, Exec. Director	\$500	11/14/16			BB \$500 Dec	Autism Fund (Leslie)
Doctors without Borders (Bob) 333 7th Avenue, 2nd Floor New York, NY 10001-5004 Alexa Adam, Sr Development Officer 212-679-6800	\$500	2/29/16	\$500		\$500 March	Unrestricted
					New Aug	Second gift
	\$500	11/1/16			BB \$500 Oct	Unrestricted (Bob)
Esperanza-Hope for the Children (Jim) 43 Peter Mulkeley Road Concord, MA 01742 Emily Collins, President	\$500	11/22/16			BB \$1000 Nov	Unrestricted
	\$500	11/22/16			BB New	Zachary

12/31/16

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Families Plus! (Peter) 35 E Church Street Frederick MD 21701					xx	Unrestricted
Jill Columbus, Exec Director 301-694-9002					xx	
Family & Children's Services 191 Bath Avenue Long Branch, NJ 07740	\$100	4/21/16	\$100		\$100 Aug	Unrestricted In honor of Lee Weber's 90th birthday
Diane Gribbin, Development Director	\$250	12/9/16	\$250		New	
First Parish in Concord (Jim) 20 Lexington Road Concord, MA 01742 Peggy A. Gallo	\$1,000	11/22/16			BB \$1,000 Nov	Unrestricted
Food Bank of Mon/Ocean Cty (LB)(Peg) 3300 Route 66 Neptune, NJ 07753	\$250	12/4/16			BB \$250 Dec	Julie & Steve
Carlos Rodriguez, Exec. Director 732-918-2600	\$1,000	8/22/16	\$1,000		\$1,000 Oct	Unrestricted
(Laurie Blanco, assistant)	\$500	12/4/16			BB \$500 Dec	Peggy & Steve Unrestricted
Frederick Cty Humane Society (Peter) 217 West Patrick Street Frederick, MD 21701					BB xxxx	Unrestricted
Brigette Farrell, Exec Director						
Habcore PO Box 2361 Red Bank, NJ 07701	\$250	4/21/16	\$250		250 July	Unrestricted
	\$250	12/11/16	\$250		New	Unrestricted
Habitat for Humanity of NE Mn Cty 193 Long Branch Avenue Long Branch, NJ 07740	\$250	8/22/16	\$250		\$250 Oct	Unrestricted
Ray Gabler, Exec Director						
Heifer International (Bob) One World Avenue Little Rock, AR 72202	\$1,000	11/1/16			BB \$1,000 Oct	Unrestricted (Bob)
Pierre Ferrari, President & CEO					xx	Unrestricted (Jenny)

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Hope Hospice (Peter) 9470 Health Park Circle Fort Myers, FL 33908 Development Office					BB \$1,000 June	Unrestricted
Hospice of Frederick County (Peter) 516 Trail Avenue, Suite C Frederick, MD 21702 Laurel Cucchi, Exec Director					xx	Unrestricted
Humane Society of Naples (Peter) 370 Airport Pulling Road N Naples, FL 34104 Michael Simonik, Exec Director	\$1,000	11/14/16			BB \$1,000 June	Unrestricted
Jewish Community Center 100 Grant Avenue #1 Deal Park, NJ 07723 Jess Levy, Exec Director	\$600	10/1/2016	\$600		400 Oct	Julie & Steve Caplan (plus \$600 to Axelrod)
Jewish Family & Children's Service 705 Summerfield Avenue Asbury Park, NJ 07712 Paul Freedman, ACSW	\$250	11/20/2016	\$250		\$500 Nov	annual campaign
Jewish Federation of Monmouth County 960 Holmdel Road, Building II, 2nd Floor Holmdel, NJ 07733 Keith Krivitzky, Exec. Director	\$1,000	2/29/16	\$1,000		\$1,000 Mar	Annual Campaign
Jewish War Veterans of the USA 1811 R Street, NW Washington, DC 20009	\$250	5/26/16	\$250		\$250 May	Unrestricted
League of Women Voters Educ Fund 1730 M Street, NW, Suite 1000 Washington, DC 20026-4508	\$250	4/21/16	\$250		\$250 Aug	Education Fund
Leukemia & Lymphoma Society (Peg) 3 International Drive #200 Rye Brook, NY 10573 Alica Rodd O'Rourke, Ch Dev Officer	\$250	12/4/2016			BB New	David & Lindsey

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Long Branch Historical Museum Assoc. PO Box 2204, Elberon, NJ 07740	\$250	11/20/16	\$250		\$125 Aug	HCoP full-page ad
Joseph Real 732-229-2396					\$500 May	Historic Church of Presidents Lee Rossbach's bday
Lunch Break (Peg) 121 Drs James Parker Boulevard Red Bank, NJ 07702	\$1,000	12/4/16			\$1000 Dec BB	Unrestricted
Gwendolyn Love, Exec. Director	\$250	12/4/16			\$250 Dec BB	Unrestricted Julie & Steve
Make A Wish NJ (Peg) 1347 Perrineville Road Monroe Township, NJ 08831	\$250	12/4/16			BB New	David & Lindsey
Jerry Murphy, VP Development						
Mental Health Assoc of Monmouth Cty 119 Ave at the Commons Shrewsbury, NJ 07702	\$250	8/22/16	\$250		\$250 Oct	Child Advocacy
Wendy DePedro, Exec Director 732-542-6422						
The Mercy Center 1106 Main Street Asbury Park, NJ 07712	\$250	4/21/16	\$250		New	Unrestricted
Carol Ann Henry, RSM, Exec Director						
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY	\$180	11/20/16	\$180		\$180 Dec	Family/Dual Member \$210 \$30 personal check from LB
Michael G. Puharic Mem Fund (Peggy) c/o Michael's Feat P.O. Box 787, Matawan, NJ 07747	\$500	2/29/16			\$500 March BB	Half page journal ad for Leo Olson
Monmouth Arts Council 105 Monmouth Street Red Bank, NJ 07701	\$250	12/11/2016	\$250		\$250 Dec	Unrestricted
Patricia Hassenkamp, Exec Director						
Monmouth Conservation Foundation PO Box 4150 Middletown, NJ 07748	\$500	8/25/16	\$500		\$550 Aug	Feathers Fox & Fur Half Page Ad
William Kastning, Exec Director						
Monmouth County SPCA 260 Wall Street Eatontown, NJ 07724	\$250	5/26/16	\$250		\$500 June	Unrestricted
Liz Jakubik, Development Director	\$277	12/11/16	\$277		\$250 Oct	Unrestricted

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Monmouth Day Care Center 9 Drs James Parker Boulevard Red Bank, NJ 07701 Heidi Zaentz, Exec Director 732-741-4313	\$350	8/25/16	\$350		\$1,000 March	Capital Campaign New Playground
					\$250 Oct	honor roll listing & in memory of B Feinswog
	\$4,000	12/9/16	\$4,000		\$4,000 Dec	Pollak Clinic
	\$250	10/1/16	\$250		\$250 Dec	H Pollak Auxiliary/Pollak Clinic
Monmouth Medical Ctr Found (LB)(Peg) 300 Second Avenue Long Branch, NJ 07740 Robin Embry, Development Office 732-923-7623					\$1000 Mar	Marv's care
	\$2,000	12/9/16			BB \$2000 Dec	Pollak Clinic
					xx	Nancy Rossbach's bday
					xx	Edward Kaufman's bday
Monmouth Park Charity Fund 175 Oceanport Avenue Oceanport, NJ 07757 Maureen Lloyd, President 732-571-5325	\$500	10/1/16	\$500		\$500 Oct	Women's Aux Luncheon
	\$500	4/21/16	\$500		\$500 April	Kentucky Derby Rose Underwriter
					xx	in honor of Mary Ann's retirement
	\$10,000	1/9/16	\$10,000		Jan \$5,000	Renovations to the Pollak Theater/Art Gallery
Monmouth University (LB)(Peg) 400 Cedar Avenue West Long Branch, NJ 07764 Jason Kroll, VP Advancement 732-571-3411					\$5,000	Addtl funds for show
	\$1,000	10/1/16	\$1,000		New	In memory of Jules Plangere
	\$250	11/20/16	\$250		\$500 Nov	Jewish Culture Program
	\$1,000	5/26/16	\$1,000		\$800 March	Honoring LB's 70th Reunion Class of '46
Mount Holyoke College 50 College Street So Hadley, MA 01075 MaryAnne C. Young, VP, Advancement 413-538-2753					\$250 April	B Einhorn Discretionary Fund in memory of Frank Whyman
	\$500	11/1/16			\$500 Oct BB	Jennifer
	\$300	05/26/16	\$300		\$500 May	Unrestricted (synagogue)
	\$140	11/20/16	\$140		\$140 Oct	Dual Membership
MSPCA (Bob) 350 So Huntington Avenue Boston, MA 02130 Sandra Wong, Development Office						
Museum at Eldridge Street 12 Eldridge Street New York, NY 10002 Bonnie Dimun						
Museum of Modern Art 11 West 53rd St, NYC 10019 Meagan Johnson, Dir of Membership						

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
National Park Foundation 1110 Vermont Ave, Suite 200 Washington, DC 20005	\$250	10/01/16	\$250		\$250 Dec	Unrestricted
New Israel Fund 6 East 39th Street, Suite 301 New York, NY 10016 Stephanie Ives, NY Director	\$1,000	10/01/16	\$1,000		\$1,000 Oct	Unrestricted
NJ Repertory Company 179 Broadway Long Branch, NJ 07740 Gabe Barabas, Exec Producer 732-229-3166 x105	\$500	2/29/16	\$500		\$500 March	Unrestricted
NY Svc for the Handicapped (LB)(Peg) (Camp Oakhurst) 1140 Broadway, Suite 903 New York, NY 10001-5004 Robert Pacenza, Exec Director 732-531-0215 / 212-533-4020 (Wendy)	\$500	11/16/16	\$500		\$1,000 Mar	in honor of Jane Rau's 100th birthday
Noble High School (Jim) 388 Somersworth Street North Berwick, ME 03906 Adam Atherton	\$500	8/22/16	\$500		\$500 Aug	Camp Oakhurst Fall Benefit
					xx	Camp Oakhurst July Benefit
180 Turning Lives Around (Peg) 1 Bethany Road, Bldg 3, Suite 42 Hazlet, NJ 07730 Anna Diaz-White, Exec. Director	\$1,000	11/22/16			BB New	Multiple Pathways Program
Parker Family Health Clinic Volunteers in Medicine 211 Shrewsbury Avenue Red Bank, NJ 07701 Dr Eugene Cheslock 732-212-0777	\$500	10/1/16	\$500		\$1,000 Dec BB	Unrestricted
Pearl Theatre Company 555 West 42nd Street New York, NY 10036 Mary Candler, Director of Development 212-505-3401	\$500	10/1/16	\$500		\$500 Oct	Unrestricted
Philadelphia Zoological Society (Peg) 3400 West Girard Avenue Philadelphia, PA 19131 Lori Franzke, Director					xx	year-end monies
					\$250 Oct	In honor of friendship with Ellen Jakobson
					\$250 Feb	In memory of Peter Jakobson - Children's Theatrical Enrichment Fund
	\$500	9/5/16			\$450 April	Feed the Animals program

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Planned Parntd of Central NJ (LB/Peg) 196 Speedwell Avenue Morristown, NJ 07960 Triste Brooks, President & CEO 973-539-9580	\$500	2/29/16	\$500		\$500 Mar	Unrestricted
	\$250	11/20/16	\$250		\$500 Aug	check pay to PPCGNNJ in honor of Nancy Rossbach's 90th birthday (2016)
	\$1,000	2/29/16	\$1,000		\$1,000 Mar	RRQ Quilt Show (even years only)
Poricy Park Rebecca's Reel Quilters Post Office Box 36 Middletown, NJ 07748 Lynn Liebenow 732-842-5966						Unrestricted (odd years only)
Poricy Park Conservancy Post Office Box 36 Middletown, NJ 07748 732-842-5966					\$1,000 June	
Riverview Medical Center One Riverview Plaza Red Bank, NJ 07701 Jennifer L. Smith, Executive Director					xx	In memory of Al Thompson
Ronald McDonald House (Peg) 131 Bath Avenue Long Branch, NJ 07740 Lynn Siedentop, Director of Development					BB xx	Unrestricted
Rosie's Place (Jim) 889 Harrison Avenue Boston, MA 02118 Sue Marsh, Executive Director	\$500	11/22/16			BB \$500 Nov	Samantha's donation
Shore House 279 Broadway, Suite 400 Long Branch, NJ 07740 Susan Sandlass, Pres. (732-859-6800)	\$2,000	2/29/16	\$2,000		\$1,000 Mar	Mental illness recovery programs
				\$0	\$1,000 August	Mental illness recovery programs
					\$1,000 Jan	MB care
Shrewsbury First Aid Squad, Inc. PO Box 262 Shrewsbury, NJ 07702 Michael Bell, President	\$500	10/1/16	\$500		\$1,000 March	MB care
Shrewsbury Historical Society PO Box 333 Shrewsbury, NJ 07702	\$100	5/26/16	\$100		\$500 May	Unrestricted

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
Shrewsbury Hose Company No. 1 PO Box 7239 Shrewsbury, NJ 07702 John Merris, President	\$250	5/26/16	\$250		\$250 May	Unrestricted
Shrewsbury PBA PO Box 7398 Shrewsbury, NJ 07702 Jessica Boyd, President, Local 308	\$100	4/21/16	\$100		\$100 Oct	Unrestricted
Shrewsbury Police Department 419 Sycamore Avenue Shrewsbury, NJ 07702 Louis G Ferraro, Chief	\$250	5/26/16	\$250		\$250 Aug	Unrestricted
Smile Train (Bob) POB 96246 Washington, DC 20090-6246 Susannah Schaefer, CEO	\$500	5/11/16			BB \$500 Oct	2.1 Match
So Others Might Eat (SOME) (Peter) 71 "O" Street, NW Washington, DC 20001 Fr. John Adams, President					BB xx	Unrestricted
Temple Beth Miriam 180 Lincoln Avenue Elberon, NJ 07740 Rabbi Cy Stanway 732-222-3754	\$1,486	1/9/16	\$1,486		\$1,420 Jan	Annual donation incl Sisterhood dues
					\$72 May	Sisterhood Member dues
Temple Rodef Shalom 2100 Westmoreland Street Falls Church, VA	\$250	5/26/16	\$250		New	In memory of Herman "Obe" Obermayer
The Bowery Mission (Jim) 432 Park Avenue So New York, NY 10016 Robert Depue, CFO	\$500	11/22/16			BB \$500 Nov	Jessica's donation
The Trustees of Reservations (Jim) 572 Essex Street Beverly, MA 01915 Ina Drouin, Dep Dir of Advancement	\$2,000	11/22/16			BB \$4,000 Nov	Charles Eliot Society Benefactor
Two River Theater Co. 21 Bridge Avenue Red Bank, NJ 07701 Jennifer Anderson, Dir of Development	\$1,000	1/9/16	\$1,000		\$1,000 Jan	Operating expenses
	\$467	4/21/16	\$467		\$625 April	Unrestricted

\$80,500**Monmouth Branch 2016 Grants****12/31/16**

Grantee	Amt Paid	Date Paid	Amt Pledged	Balance Due	Prev. Year	Comments
US Holocaust Memorial Museum POB 97140 Washington, DC 20077	\$300	5/26/16	\$300		\$500 May	Unrestricted
Unitarian Universalist Fellow of Elkhart 1732 Garden Street Elkhart, ID 46514 Amy Debeck, Pastor					\$500 Oct	In memory of Lynne Rossbach Schmidt
VNA Health Group 176 Riverside Avenue Red Bank, NJ 07701 Steven Landers, President	\$500	10/1/16	\$500		\$500 Oct	unrestricted
White Memorial Conservation Ctr (Bob) 80 Whitehall Road Litchfield, CT 06759 Arthur Diedrick, Pres & CEO	\$500	11/1/16			BB \$1,000 Nov	unrestricted
Wildlife Conservation Society (Peg) c/o The Bronx Zoo 2300 Southern Boulevard Bronx, NY 10460					BB \$550 Dec	Peggy & Steve Supporter Membership
WNET 13 825 Eighth Avenue New York, NY 10019-7435 Ralph M. Baruch, Chairman 212-560-2828	\$3,000	1/9/16	\$3,000		\$2,500 Jan	PBS News / Masterpiece
Wounded Warriors Project PO Box 758517 Topeka, KS 66675	\$500	11/20/16	\$500		\$500 May	in memory of Gwen Ifill
					xx	Unrestricted
					\$250 June	Unrestricted Amount ??
Yale Alumni Fund Post Office Box 2038 New Haven, CT 06521-2038 Inge T. Reichenbach, VP Development	\$100	5/26/16	\$100		\$250 May	Unrestricted
Totals	\$80,500		\$56,000	\$0		

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
EVERCORE WEALTH MANAGEMENT - DIVIDENDS	87,163.	16,966.	70,197.	67,410.	
TO PART I, LINE 4	87,163.	16,966.	70,197.	67,410.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP LP	1,211.	1,211.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,211.	1,211.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,500.	0.		2,500.
TO FORM 990-PF, PG 1, LN 16B	2,500.	0.		2,500.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORS FEES	23,861.	23,861.		0.
TO FORM 990-PF, PG 1, LN 16C	23,861.	23,861.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	4,126.	1,588.		2,538.	
FOREIGN TAXES	432.	432.		0.	
TO FORM 990-PF, PG 1, LN 18	4,558.	2,020.		2,538.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WORKMEN'S COMP INS	375.	144.		231.	
OFFICE EXPENSE	813.	0.		813.	
POSTAGE	417.	0.		417.	
TELEPHONE	1,263.	486.		777.	
BOARD MEETINGS	293.	0.		293.	
DUES AND SUBSCRIPTIONS	1,550.	0.		1,550.	
PAYROLL PROCESSING FEE	1,729.	864.		865.	
INSURANCE	757.	0.		757.	
TO FORM 990-PF, PG 1, LN 23	7,197.	1,494.		5,703.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCK	1,551,513.	2,293,963.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,551,513.	2,293,963.		

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	942,652.	943,492.
TOTAL TO FORM 990-PF, PART II, LINE 10C	942,652.	943,492.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
REITS AND OTHER INVESTMENTS	COST	57,745.	137,846.
TOTAL TO FORM 990-PF, PART II, LINE 13		57,745.	137,846.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
-------------	--	-----------	----

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	1,404.	1,404.	0.
TOTAL TO FM 990-PF, PART II, LN 14	1,404.	1,404.	0.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 11
-------------	---	--------------

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT STERN 124 LAKE SHORE DRIVE NEW HARTFORD, CT 06057	PRESIDENT 1.00	0.	0.	0.
THOMAS POLLAK 1845 SPRING VALLEY ROAD OSSINING, NY 10562	SECRETARY 1.00	0.	0.	0.
WILLIAM POLLAK 12 AVONDALE ROAD WHITE PLAINS, NY 10605	V.PRESIDENT 1.00	0.	0.	0.
STEVEN WALLACH 11 DELTA DRIVE WAYSIDE, NJ 07712	TREASURER 1.00	0.	0.	0.
BRIAN POLLAK 239 WARREN AVENUE FORT LEE, NJ 07024	MANAGER 0.25	0.	0.	0.
JOHN POLLAK 3234 SEVENTH STREET BOULDER, CO 80304	MANAGER 0.25	0.	0.	0.
STEVEN POLLAK 1845 SPRING VALLEY ROAD OSSINING, NY 10562	MANAGER 0.25	0.	0.	0.
JAMES STERN 5-4 OAK RIDGE DRIVE MAYNARD, MD 01754	MANAGER 0.25	0.	0.	0.
PETER STERN 276 DILL AVENUE FREDERICK, MD 21701	MANAGER 0.25	0.	0.	0.
PEGGY WALLACH 11 DELTA DRIVE WAYSIDE, NJ 07712	MANAGER 0.25	0.	0.	0.
JEAN DEB. POLLAK 3 LEITH PLACE WHITE PLAINS, NY 10605	EMERITUS 0.25	0.	0.	0.

LUCILE AND MAURICE POLLAK FUND

22-6051671

HENRY POLLAK II	EMERITUS			
3 LEITH PLACE	0.25	0.	0.	0.
WHITE PLAINS, NY 10605				
LOIS P. BRODER	EMERITUS			
30 ADAMS WAY	0.25	0.	0.	0.
SHREWSBURY, NJ 07702				
MARVIN K. BRODER	EMERITUS			
	0.25	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
----	----	----

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LUCILE AND MAURICE POLLAK FUND
P.O. BOX 357
MANAHAWKIN, NJ 08050

TELEPHONE NUMBER

609-978-8718

FORM AND CONTENT OF APPLICATIONS

UPON WRITTEN REQUEST AN APPLICATION WILL BE SENT SPECIFYING THE FORM AND INFORMATION THAT SHOULD BE SUBMITTED. PLEASE SEND YOUR APPLICATION REQUEST TO THE ATTENTION OF HENRY POLLAK II, PRESIDENT OR LOIS P. BRODER, VICE-PRESIDENT.

ANY SUBMISSION DEADLINES

NONE, BUT MEETINGS ARE GENERALLY HELD IN MAY AND NOVEMBER EACH YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS IN THE FIELDS OF HEALTH AND EDUCATION FAVORED. JEWISH CHARITIES AND SPECIAL SOCIAL SERVICES ARE EMPHASIZED AND FOCUSED IN MONMOUTH COUNTY, NJ AND WESTCHESTER, NY

Asset Detail

Statement of Value and Activity

December 1, 2016 - December 31, 2016

Asset Detail

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Cash & Equivalents						
Money Market						
BlackRock Liq Fd Treasury-In TICKER: TTTXX	193,606.88	\$1,000	\$193,606.88	\$193,606.88	\$666.03	0.34%
			\$193,606.88	\$193,606.88	\$666.03	
Cash						
Cash			\$311.93	\$311.93		
			\$311.93	\$311.93	\$0.00	
Total Cash & Equivalents			\$193,918.81	\$193,918.81	\$666.03	
Fixed Income						
Tax Exempt Bonds						
Massachusetts State Educatnl Fing Education Revenue DTD 06/27/2013 4.375% 07/01/2023 Callable	45,000.00	\$104.280	\$43,691.08	\$46,926.00	\$1,968.75	4.19%
			\$43,691.08	\$46,926.00	\$1,968.75	
Taxable Bonds						
New Hampshire State HSG FIN Auths Single Family Housing Revenue DTD 01/28/2015 1.346% 01/01/2017 Non Callable TICKER: NSH0017	45,000.00	\$100.000	\$45,000.00	\$45,000.00	\$605.70	1.35%

Asset Detail (continued)**Statement of Value and Activity**

December 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Celgene Corp DTD 05/15/2014 2.250% 05/15/2019 Non Callable	50,000.00	\$100.057	\$50,785.22	\$50,028.50	\$1,125.00	2.25%
Anheuser-Busch InBev FIN DTD 01/25/2016 3.300% 02/01/2023 Callable	50,000.00	\$101.773	\$49,781.10	\$50,886.50	\$1,650.00	3.24%
ING Bank NV Medium Term Note DTD 11/21/2013 Var Cpn 11/21/2023 Callable	50,000.00	\$100.980	\$50,593.14	\$50,490.00	\$2,062.50	4.08%
Rabobank Nederland Medium Term Note DTD 11/29/2013 4.625% 12/01/2023 Non Callable	50,000.00	\$105.209	\$52,483.63	\$52,604.50	\$2,312.50	4.40%
ACE Ina Holdings DTD 05/27/2014 3.350% 05/15/2024 Non Callable	50,000.00	\$101.879	\$51,855.75	\$50,939.50	\$1,675.00	3.29%
New Jersey Economic Dev Auth Revenue Bonds DTD 06/15/1997 7.425% 02/15/2029 Non Callable	50,000.00	\$117.740	\$62,103.85	\$58,870.00	\$3,712.50	6.31%
Natl Morgan Stanley DTD 04/29/2014 Var Cpn 07/29/2049 Callable	50,000.00	\$99.000	\$50,000.00	\$49,500.00	\$2,725.00	5.50%
AEGON NV DTD 07/15/2004 Var Cpn 07/29/2049 Callable	50,000.00	\$72.311	\$30,539.97	\$36,155.50	\$838.50	2.32%

Asset Detail (continued)**Statement of Value and Activity**

December 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Southern Cal Edison DTD 01/17/2012 Var Cpn 08/01/2049 Callable	50,000.00	\$110.875	\$51,249.23	\$55,437.50	\$3,125.00	5.64%
Stanley Black & Decker DTD 12/03/2013 Var Cpn 12/15/2053 Callable	50,000.00	\$105.500	\$51,441.87	\$52,750.00	\$2,875.00	5.45%
Enterprise Products Oper DTD 05/24/2007 Var Cpn 01/15/2068 Callable	50,000.00	\$102.102	\$51,464.05	\$51,051.00	\$3,517.00	6.89%
Bond Mutual Funds			\$597,297.81	\$603,713.00	\$26,223.70	
Doubleline Total Return Bond Fund TICKER: DBLTX	9,182.74	\$10.620	\$101,663.03	\$97,520.66	\$3,663.91	3.76%
The Frost Total Return Bond Fund TICKER: FIJEX	9,174.31	\$10.360	\$100,000.00	\$95,045.87	\$3,706.42	3.90%
Muzinich Credit Opportunities Fund TICKER: MZCSX	9,551.10	\$10.500	\$100,000.00	\$100,286.53	\$2,617.00	2.61%
Total Fixed Income			\$301,663.03	\$292,853.06	\$9,987.33	
Equities						
Materials						
Weyerhaeuser CO TICKER: WY	2,750.00	\$30.090	\$83,016.72	\$82,747.50	\$3,410.00	4.12%
Celanese Corp-A TICKER: CE	1,000.00	\$78.740	\$38,469.80	\$78,740.00	\$1,440.00	1.83%

0068151 - 0504087

Asset Detail (continued)**Statement of Value and Activity**

December 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Westrock CO TICKER: WRK	1,000.00	\$50.770	\$30,033.39	\$50,770.00	\$1,600.00	3.15%
Consumer Discretionary			\$151,519.91	\$212,257.50	\$6,450.00	
AutoZone Inc TICKER: AZO	100.00	\$789.790	\$38,268.35	\$78,979.00	\$0.00	0.00%
Home Depot Inc TICKER: HD	500.00	\$134.080	\$40,862.50	\$67,040.00	\$1,380.00	2.06%
Nike Inc-Class B TICKER: NKE	1,200.00	\$50.830	\$19,363.38	\$60,996.00	\$864.00	1.42%
Autonation Inc TICKER: AN	750.00	\$48.650	\$37,219.85	\$36,487.50	\$0.00	0.00%
Healthcare			\$135,714.08	\$243,502.50	\$2,244.00	
UnitedHealth Group Inc TICKER: UNH	400.00	\$160.040	\$22,231.80	\$64,016.00	\$1,000.00	1.56%
McKesson Corp TICKER: MCK	400.00	\$140.450	\$14,567.24	\$56,180.00	\$448.00	0.80%
Gilead Sciences Inc TICKER: GILD	335.00	\$71.610	\$29,424.56	\$23,989.35	\$629.80	2.63%
Energy			\$66,223.60	\$144,185.35	\$2,077.80	
Schlumberger LTD TICKER: SLB	600.00	\$83.950	\$26,100.00	\$50,370.00	\$1,200.00	2.38%

Asset Detail (continued)

Statement of Value and Activity

December 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Core Laboratories NV TICKER: CLB	220.00	\$120.040	\$21,630.18	\$26,408.80	\$484.00	1.83%
Financials						
Chubb LTD TICKER: CB	600.00	\$132.120	\$23,980.20	\$79,272.00	\$1,656.00	2.09%
CBRE Group Inc TICKER: CBG	1,575.00	\$31.490	\$41,105.77	\$49,596.75	\$0.00	0.00%
East West Bancorp Inc TICKER: EWBC	910.00	\$50.830	\$33,347.77	\$46,255.30	\$728.00	1.57%
Synchrony Financial TICKER: SYF	890.00	\$36.270	\$24,980.34	\$32,280.30	\$462.80	1.43%
Lendingclub Corp TICKER: LC	6,000.00	\$5.250	\$41,031.30	\$31,500.00	\$0.00	0.00%
Industrials						
3M CO TICKER: MMM	500.00	\$178.570	\$48,565.00	\$89,285.00	\$2,220.00	2.49%
United Technologies Corp TICKER: UTX	800.00	\$109.620	\$33,759.28	\$87,696.00	\$2,112.00	2.41%
Roper Technologies Inc TICKER: ROP	400.00	\$183.080	\$33,122.86	\$73,232.00	\$560.00	0.76%
Information Technology						
Microsoft Corp TICKER: MSFT	1,500.00	\$62.140	\$50,932.50	\$93,210.00	\$2,340.00	2.51%

0068152 - 0504097

Asset Detail (continued)**Statement of Value and Activity**

December 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
Apple Inc TICKER: AAPL	800.00	\$115.820	\$11,741.13	\$92,656.00	\$1,824.00	1.97%
Accenture PLC CL A TICKER: ACN	700.00	\$117.130	\$21,830.48	\$81,991.00	\$1,694.00	2.07%
MasterCard Inc CL A TICKER: MA	700.00	\$103.250	\$11,248.39	\$72,275.00	\$616.00	0.85%
NXP Semiconductors TICKER: NXPI	350.00	\$98.010	\$12,693.24	\$34,303.50	\$0.00	0.00%
Alphabet Inc CL A TICKER: GOOGL	35.00	\$792.450	\$14,389.60	\$27,735.75	\$0.00	0.00%
Alphabet Inc CL C TICKER: GOOG	35.00	\$771.820	\$14,387.80	\$27,013.70	\$0.00	0.00%
Ansys Inc TICKER: ANSS	250.00	\$92.490	\$19,810.75	\$23,122.50	\$0.00	0.00%
				\$452,307.45	\$6,474.00	
Equity Mutual Funds						
Stone Ridge All Asset Var RK TICKER: AVRPX	19,950.53	\$10.480	\$200,000.00	\$209,081.52	\$0.00	0.00%
DFA International Core Eqty TICKER: DFIEX	11,984.10	\$11.660	\$150,879.76	\$139,734.55	\$3,643.16	2.61%
Matthews Pacific Tiger-Inst TICKER: MIPTX	5,444.65	\$22.900	\$150,000.00	\$124,682.39	\$931.03	0.75%
Gotham Neutral Fund-Instl TICKER: GONIX	10,008.71	\$10.420	\$110,733.80	\$104,290.72	\$0.00	0.00%

Asset Detail (continued)**Statement of Value and Activity**

December 1, 2016 - December 31, 2016

Description	Shares/Par Value	Current Price	Tax Cost	Market Value	Est. Ann. Income	Current Yield
AQR Multi Strategy Alt Fd-I TICKER: ASAIX	10,318.46	\$9.500	\$101,785.57	\$98,025.33	\$869.54	0.89%
Total Equities						
			\$713,399.13	\$675,814.51	\$5,443.73	
REITS						
American Tower Corp REIT TICKER: AMT	1,000.00	\$105.680	\$28,242.40	\$105,680.00	\$2,320.00	2.19%
Total REITS						
			\$28,242.40	\$105,680.00	\$2,320.00	
Miscellaneous						
Blackstone Group LP TICKER: BX	1,190.00	\$27.030	\$29,525.69	\$32,165.70	\$1,975.40	6.14%
Total Miscellaneous						
			\$29,525.69	\$32,165.70	\$1,975.40	
Total All Assets				\$3,569,220.03	\$75,253.54	

2016 Tax Information Statement

Page 6 of 19

Account Number: 1000451
 Recipient's Tax ID Number: XX-XXX1671
 Recipient's Name and Address:
 LUCILE AND MAURICE POLLAK FUND
 C/O HENRY POLLAK II
 3 LEITH PLACE
 WHITE PLAINS, NY 10605

EVERCORE TRUST COMPANY, N.A.
 55 EAST 52ND STREET
 NEW YORK, NY 10055

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
2510.0	ISHA HEDGED MSCI GERMANY									
46434V704	02/25/2016	03/12/2015	54,139.51	71,088.89			-16,949.38		0.00	0.00
8000.0	ISHARES GOLD TRUST									
464285105	11/23/2016	08/15/2016	91,278.00	103,689.61			-12,411.61		0.00	0.00
0 0	ISHARES GOLD TRUST									
464285105	12/31/2016	08/15/2016	62.88	64.79			-1.91		0.00	0.00
50000.0	PFIZER INC 6.200% 3/15/19									
717081DB6	12/15/2016	12/17/2015	55,014.42	54,515.54			498.88		0.00	0.00
Total Short Term Sales			200,494.81	229,358.83	0.00	0.00	-28,864.02		0.00	0.00
Long Term Sales										
300 0	ACCENTURE PLC CL A									
G1151C101	08/15/2016	03/18/2009	34,119.03	9,355.92			24,763.11		0.00	0.00
300.0	CELANESE CORP-A									
150870103	10/24/2016	12/09/2010	21,490.78	11,540.94			9,949.84		0.00	0.00
200.0	CHUBB LTD									
H1467J104	08/15/2016	03/18/2009	25,263.88	7,993.40			17,270.48		0.00	0.00

This is important tax information and is being furnished to you.



2016 Tax Information Statement

Page 7 of 19

Account Number: 1000451
 Recipient's Tax ID Number: XX-XXX1671

Recipient's Name and Address:
 LUCILE AND MAURICE POLLAK FUND
 C/O HENRY POLLAK II
 3 LEITH PLACE
 WHITE PLAINS, NY 10605

EVERCORE TRUST COMPANY, N.A.
 55 EAST 52ND STREET
 NEW YORK, NY 10055

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired								
450 0	DISNEY WALT CO									
254687106	03/09/2016	04/30/2013		43,821.80	28,336.46			15,485.34	0.00	0.00
50000.0	ENERGY TRAN PTNR 3.600%	2/01/23								
29273RAS8	09/09/2016	03/16/2015		49,330.50	50,067.89			-737.39	0.00	0.00
300 0	FIVE BELOW									
33829M101	05/09/2016	Various		12,125.80	10,303.34			1,822.46	0.00	0.00
73.0	FIVE BELOW									
33829M101	05/10/2016	01/29/2015		2,912.05	2,494.55			417.50	0.00	0.00
77.0	FIVE BELOW									
33829M101	05/12/2016	01/29/2015		2,993.67	2,631.24			362.43	0.00	0.00
193.0	FIVE BELOW									
33829M101	05/13/2016	01/29/2015		7,534.25	6,595.17			939.08	0.00	0.00
327.0	FIVE BELOW									
33829M101	05/16/2016	01/29/2015		12,734.90	11,174.21			1,560.69	0.00	0.00
2592 223	GOTHAM NEUTRAL FUND-INSTL									
360873111	08/15/2016	09/24/2014		25,974.07	29,266.20			-3,292.13	0.00	0.00
0.6667	INGEVITY CORP									
45888C107	05/24/2016	04/04/2012		17.79	13.03			4.76	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

Page 8 of 19

Recipient's Name and Address:
LUCILE AND MAURICE POLLAK FUND
C/O HENRY POLLAK II
3 LEITH PLACE
WHITE PLAINS, NY 10605

Account Number: 1000451
Recipient's Tax ID Number: XX-XXX1671

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

EVERCORE TRUST COMPANY, N.A.
55 EAST 52ND STREET
NEW YORK, NY 10055

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
166 0	INGEVITY CORP									
45688C107	10/24/2016	04/04/2012	7,045.73	3,243.53			3,802.20		0.00	0.00
1000.0	LAS VEGAS SANDS CORP									
517834107	02/10/2016	02/22/2012	40,034.83	52,952.54			-12,917.71		0.00	0.00
5000.0	MA STUDENT LOAN 4 375% 7/01/23									
57563RKV0	07/01/2016	07/02/2013	5,000.00	4,843.99			156.01		0.00	0.00
970.0	MARATHON OIL CORP									
565849106	01/22/2016	04/02/2012	8,386.86	31,472.91			-23,086.05		0.00	0.00
25000.0	MASCO CORP 6 125% 10/03/16									
574599BD7	04/15/2016	03/31/2011	25,639.98	25,028.07			611.91		0.00	0.00
5000.0	NH ST HOUSING FIN 1 346% 1/01/17									
64469DVU2	06/01/2016	01/16/2015	5,000.00	5,000.00			0.00		0.00	0.00
120.0	NXP SEMICONDUCTORS									
N6596X109	09/30/2016	08/14/2013	12,286.36	4,351.97			7,934.39		0.00	0.00
350.0	RALPH LAUREN CORP									
751212101	02/10/2016	09/24/2014	29,726.74	59,090.47			-29,363.73		0.00	0.00
50000.0	TECK RESOURCES 4 750% 1/15/22									
878742AV7	02/16/2016	05/29/2013	27,750.00	52,768.00			-25,018.00		0.00	0.00

This is important tax information and is being furnished to you.



2016 Tax Information Statement

Page 9 of 19

Recipient's Name and Address:
LUCILE AND MAURICE POLLAK FUND
C/O HENRY POLLAK II
3 LEITH PLACE
WHITE PLAINS, NY 10605

Account Number: 1000451
Recipient's Tax ID Number: XX-XXX1671

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

EVERCORE TRUST COMPANY, N.A.
55 EAST 52ND STREET
NEW YORK, NY 10055

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
800.0	WESTERN DIGITAL CORP									
958102105	06/03/2016	Various	38,158.61	33,017.24			5,141.37		0.00	0.00
Total Long Term Sales			437,347.63	441,541.07	0.00	0.00	-4,193.44		0.00	0.00

This is important tax information and is being furnished to you.