Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

Name of foundation THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.	A Employer identification number 22-3613766
Number and street (or P O box number if mail is not delivered to street address) 355 EAST LINDEN AVENUE / Room/suite	B Telephone number (see instructions) (908) 862-4990
City or town, state or province, country, and ZIP or foreign postal code LINDEN NJ 07036	C If exemption application is pending, check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 9,557,349.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE 1 Contributions, gifts, grants, etc , received (attach schedule)				
2 Check ► ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	33.	33.		
4 Dividends and interest from securities	327,237.	313,877.		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	163,496.	L-6a Stmt		
b Gross sales price for all assets on line 6a 3,199,426.				
7 Capital gain net income (from Part IV, line 2)		169,031.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 **Total** Add lines 1 through 11	490,766.	482,941.		
OPERATING AND ADMINISTRATIVE EXPENSES 13 Compensation of officers, directors, trustees, etc	10,000.	1,500.		8,500.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule) L-16a Stmt	4,750.	713.		4,037.
b Accounting fees (attach sch)				
c Other professional fees (attach sch) L-16c Stmt	22,197.	21,297.		900.
17 Interest				
18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	1,499.	636.		863.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 **Total operating and administrative expenses** Add lines 13 through 23	38,446.	24,146.		14,300.
25 Contributions, gifts, grants paid	538,000.			540,000.
26 **Total expenses and disbursements** Add lines 24 and 25	576,446.	24,146.		554,300.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	-85,680.			
b **Net investment income** (if negative, enter -0-)		458,795.		
c **Adjusted net income** (if negative, enter -0-)				

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BAA For Paperwork Reduction Act Notice, see instructions. TEEA0301 12/16/16 Form **990-PF** (2016)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	631,380.	945,371.	945,371.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule) L-10a Stmt	118,867.	55,389.	56,370.
	b	Investments — corporate stock (attach schedule) L-10b Stmt	4,552,738.	4,142,878.	5,064,189.
	c	Investments — corporate bonds (attach schedule) L-10c Stmt	2,334,362.	2,063,952.	2,108,426.
	11	Investments — land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule) L-13 Stmt	401,169.	845,182.	857,993.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ► CERTIFICATES OF INTEREST)	525,000.	525,000.	525,000.
	16	Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	8,563,516.	8,577,772.	9,557,349.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)	0.	0.	
	23	Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES		Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	8,563,516.	8,577,772.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances (see instructions)	8,563,516.	8,577,772.	
	31	Total liabilities and net assets/fund balances (see instructions)	8,563,516.	8,577,772.	

Part III Analysis of Changes in Net Assets or Fund Balances

Line	Description		Amount
1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,563,516.
2	Enter amount from Part I, line 27a	2	-85,680.
3	Other increases not included in line 2 (itemize) ► UNREALIZED GAIN	3	99,936.
4	Add lines 1, 2, and 3	4	8,577,772.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	8,577,772.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE WELLS FARGO STATEMENT, ATTACHED	P	Various	Various
b	SEE TD AMERITRADE STATEMENT, ATTACHED	P	Various	Various
c	SEE MORGAN STANLEY STATEMENT, ATTACHED	P	Various	Various
d	FROM K-1s	P	Various	Various
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,102,522.		995,774.	106,748.
b	2,054,968.		2,000,156.	54,812.
c	47,603.		40,000.	7,603.
d	0.		132.	-132.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0.	0.	0.	106,748.
b	0.	0.	0.	54,812.
c	0.	0.	0.	7,603.
d				-132.
e				

2	Capital gain net income or (net capital loss) . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } . . .	2	169,031.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . .	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	563,523.	9,297,127.	0.060613
2014	530,707.	9,271,354.	0.057242
2013	483,721.	8,220,667.	0.058842
2012	447,023.	8,200,284.	0.054513
2011	317,859.	5,899,235.	0.053881

2	Total of line 1, column (d) . . .	2	0.285091
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.057018
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5. . .	4	9,229,173.
5	Multiply line 4 by line 3 . . .	5	526,229.
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	4,588.
7	Add lines 5 and 6. . .	7	530,817.
8	Enter qualifying distributions from Part XII, line 4 . . .	8	554,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _______ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	4,588.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	4,588.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,588.
6	Credits/Payments		
a	2016 estimated tax pmts and 2015 overpayment credited to 2016 — 6a: 7,600.		
b	Exempt foreign organizations – tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	7,600.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	3,012.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ► 3,012. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NEW JERSEY			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities (*continued*)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► EDMUND FAULKNER Telephone no ► (908) 862-4990

Located at ► 355 E. LINDEN AVE. LINDEN NJ ZIP + 4 ► 07036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES KOSLOVSKI 355 EAST LINDEN AVENUE LINDEN NJ 07036	PRESIDENT 5.00	2,000.	0.	0.
EDMUND FAULKNER 355 EAST LINDEN AVENUE LINDEN NJ 07036	VICE PRESIDENT 5.00	2,000.	0.	0.
VERONICA DANIEL 355 EAST LINDEN AVENUE LINDEN NJ 07036	SECRETARY 2.00	2,000.	0.	0.
See Information about Officers, Directors, Trustees, Etc		4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ►	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	8,550,789.
b	Average of monthly cash balances	1b	293,930.
c	Fair market value of all other assets (see instructions)	1c	525,000.
d	**Total** (add lines 1a, b, and c)	1d	9,369,719.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,369,719.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	140,546.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,229,173.
6	**Minimum investment return.** Enter 5% of line 5	6	461,459.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	461,459.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	4,588.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,588.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	456,871.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	456,871.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	456,871.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	554,300.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	554,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	4,588.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	549,712.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				456,871.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			25,856.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2016				
a From 2011 28,347.				
b From 2012 44,988.				
c From 2013 82,310.				
d From 2014 80,861.				
e From 2015 138,989.				
f **Total** of lines 3a through e	375,495.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► $ 554,300.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2016 distributable amount				456,871.
e Remaining amount distributed out of corpus	97,429.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	472,924.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions			25,856.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	28,347.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	444,577.			
10 Analysis of line 9				
a Excess from 2012 44,988.				
b Excess from 2013 82,310.				
c Excess from 2014 80,861.				
d Excess from 2015 138,989.				
e Excess from 2016 97,429.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

MARION BROZOWSKI (DATE OF DEATH: MAY 5, 2008)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

EDMUND FAULKNER
355 EAST LINDEN AVENUE
LINDEN NJ 07036 (908) 862-4990

b The form in which applications should be submitted and information and materials they should include

NO PRESCRIBED FORM

c Any submission deadlines

NO DEADLINE DATE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMANDLA CROSSING - MIPH 100 MITCH SNYDER DRIVE EDISON NJ 08837	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
AMERICA'S GROW A ROW 150 PITTSTOWN ROAD PITTSTOWN NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	7,500.
ASSOCIATED HUMANE SOCIETIES ANIMAL RESCUE 124 EVERGREEN AVENUE NEWARK NJ 07114	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	18,500.
BONNIE BRAE 3415 VALLEY ROAD LIBERTY CORNER NJ 07938	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
CAT ADOPTION AND PET INFO CENTER PO BOX 266 MARTINSVILLE NJ 08836	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	16,000.
CENTER FOR HOPE HOSPICE 1900 RARITAN ROAD SCOTCH PLAINS NJ 07076	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	30,000.
JACK'S KIDS 375 UNION AVENUE BRIDGEWATER NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,500.
COMMUNITY FOOD BANK OF NJ 33 EVANS TERMINAL ROAD HILLSIDE NJ 07205	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	11,000.
DEBORAH HOSPITAL FOUNDATION 20 PINE MILL ROAD BROWNS MILLS NJ 08015	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
See Line 3a statement				402,500.
Total ► 3a				538,000.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	33.	
4 Dividends and interest from securities			14	327,237.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	163,496.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				490,766.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 490,766.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
(1)	Cash	1 a (1)		X
(2)	Other assets	1 a (2)		X
b	Other transactions			
(1)	Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2)	Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3)	Rental of facilities, equipment, or other assets	1 b (3)		X
(4)	Reimbursement arrangements	1 b (4)		X
(5)	Loans or loan guarantees	1 b (5)		X
(6)	Performance of services or membership or fundraising solicitations	1 b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/17/17 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ROBERT R. STANICKI	[signature]	5-17-17		P01467546

Firm's name ▶ MACKEVICH BURKE & STANICKI — Firm's EIN ▶ 22-2363115

Firm's address ▶ 1435 RARITAN ROAD, CLARK NJ 07066 — Phone no (732) 388-2121

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2016

Name: THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.

Employer Identification Number: 22-3613766

Asset Information:

Description of Property: PUBLICALLY TRADED SECURITIES
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price: 3,199,426.
Cost or other basis (do not reduce by depreciation): 3,035,930.
Sales Expense:
Valuation Method:
Total Gain (Loss): 163,496.
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
2016 FEDERAL EXCISE TAX	833.			833.
MORGAN STANLEY - FOREIGN TAX	102.	102.		
TD AMERITRADE - FOREIGN TAX	534.	534.		
NJ REGISTRATION TAX	30.			30.
Total	1,499.	636.		863.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] PHYLLIS CORDUAN 355 EAST LINDEN AVENUE LINDEN NJ 07036	ASST. SECRETARY 2.00	2,000.	0.	0.
Person . . [X] Business . [ ] ROBERT R. STANICKI 1435 RARITAN ROAD CLARK NJ 07066	TREASURER 2.00	2,000.	0.	0.
Person . . [X] Business . [ ]				
Total		4,000.	0.	0.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a *Paid during the year*				
FOOD BANK OF OCEAN & MONMOUTH COUNTIES 3300 ROUTE 66 NEPTUNE NJ 07753	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 20,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a Paid during the year				
FRANCISCAN CHARITIES 117 HIGHWAY 35 SOUTH KEYPORT NJ 07735	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 32,500.
FRIENDS OF LINDEN ANIMAL SHELTER 1305 WOODLAWN AVENUE LINDEN NJ 07036	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 12,000.
FRIENDS OF CHILD ADVOCACY CENTER 783 SPRINGFIELD AVNUE SUMMIT NJ 07901	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 5,000.
TAMERLAINE FARM SANCTUARY 147 RIVER ROAD MONTAGUE NJ 07827	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 10,000.
HOUR CHILDREN 36-11A 12TH STREET LONG ISLAND CITY NY 11106	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 32,000.
JERSEY SHORE ANIMAL CENTER 185 BRICK BOULEVARD BRICK NJ 08723	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 15,000.
NOAH'S ARK ANIMAL RESCUE PO BOX 5734 CLARK NJ 07066	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 6,000.
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK VA 23509	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 13,000.
PANCREATIC CANCER ACTION NETWORK 1500 ROSECRANS AVENUE MANHATTAN BEACH CA 90266	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 20,000.
ST. HUBERT'S ANIMAL SHELTER 575 WOODLAND AVENUE MADISON NJ 07940	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 6,000.
ST. JOSEPH'S SOCIAL SERVICE CENTER 118 DIVISION STREET ELIZABETH NJ 07201	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 32,500.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST. JUDE PLACE MEMPHIS TN 38105	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 35,000.
SPAY USA FUND SOMERSET 100 COMMONS WAY BRIDGEWATER NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 5,500.
TABBY'S PLACE 1100 US HWY 202 RINGOES NJ 08551	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 6,000.
THE LUSTGARTEN FOUNDATION FOR RESEARCH 1111 STEWART AVENUE BETHPAGE NY 11714	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 18,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a Paid during the year				
THE SALVATION ARMY PO BOX 1428 ALEXANDRIA VA 22313	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 15,000.
VICTORY JUNCTION 4500 ADAMS WAY RANDLEMAN NC 27317	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 10,000.
WOODLANDS WILDLIFE REFUGE 676 COUNTY ROAD 513 PITTSTOWN NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 7,500.
WOUNDED WARRIOR PROJECT 370 7th AVE., STE 1802 NEW YORK NY 10001	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 35,000.
YOUTH CONSULTATION SERVICE FOUNDATION 284 BROADWAY NEWARK NJ 07104	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 3,500.
RISING TIDE 334 MARTIN LUTHER KING DR. JERSEY CITY NJ 07305	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 16,000.
ROOTS AND WINGS 75 BLOOMFIELD AVENUE DENVILLE NJ 07834	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 20,000.
RIDING WITH HEART 639 COUNTY ROAD 513 PITTSTOWN NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 7,000.
THE TEARS FOUNDATION 11102 SUNRISE BLVD E, STE 112 PUYALLUP WA 98374	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 10,000.
FRANCISCAN CHARITIES 355 SOUTH 6TH STREET NEWARK NJ 07103	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business [X] 10,000.
Total				402,500.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MACKEVICH, BURKE & STANICKI	LEGAL	4,750.	713.		

Form 990-PF, Page 1, Part I Continued
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total		4,750.	713.		

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	5,654.	5,423.		
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	5,359.	5,140.		
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	5,408.	5,187.		
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	5,622.	5,393.		
MORGAN STANLEY	MANAGEMENT FEES	154.	154.		
Total		22,197.	21,297.		

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	State and Local Obligations FMV	End of Year US Government Obligations Book Value	US Government Obligations FMV
SEE STATEMENT			55,389.	56,370.
Total			55,389.	56,370.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
SEE STATEMENT	4,142,878.	5,064,189.
Total	4,142,878.	5,064,189.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	2,063,952.	2,108,426.
Total	2,063,952.	2,108,426.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	845,182.	857,993.
Total	845,182.	857,993.

Supporting Statement of:

Form 990-PF, p3/Part IV, Line 1(e)-2

Description	Amount
SALES PRICE	2,049,433.
WASH SALES	5,535.
Total	2,054,968.

Supporting Statement of:

Form 990-PF,p11/Line 3a, Amount-32

Description	Amount
1	8,000.
2	12,000.
Total	20,000.

Supporting Statement of:

Form 990-PF,p11/Line 3a, Amount-34

Description	Amount
1	5,000.
2	5,000.
Total	10,000.

Form 990-PF, Page 2, Part II 2016
Line 10a Statement

US AND STATE GOVERNMENT OBLIGATIONS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CAMDEN CNTY NJ MUN UTIL 5.250% DUE 07/15/17	$ 25,144.77	$ 25,564.50
UNIVERSITY TX UNIV 5.250% DUE 08/15/17	$ 30,244.05	$ 30,806.10
TOTALS	$ 55,388.82	$ 56,370.60

Form 990-PF, Page 2, Part II
Line 10b Statement

2016

CORPORATE STOCK

DESCRIPTION		ENDING BOOK VALUE		ENDING FMV
3M CO	$	32,879.00	$	33,035.45
ACUITY BRANDS INC	$	28,341.26	$	25,856.32
ALLERGAN PLC	$	31,786.39	$	24,361.16
ALPHABET INC	$	40,368.79	$	63,396.00
AMAZON.COM INC	$	50,520.62	$	53,990.64
APPLE INC	$	67,142.63	$	78,525.96
BERKSHIRE HATHAWAY INC DEL	$	53,219.01	$	74,970.80
BRIGHT HORIZONS FAM SOL INC	$	21,603.54	$	23,666.76
BRISTOL-MYERS SQUIBB	$	26,381.61	$	22,733.16
CABOT OIL & GAS CORP	$	21,876.63	$	20,720.32
CHEVRON CORP	$	21,412.24	$	26,129.40
CME GROUP INC	$	43,733.63	$	52,253.55
CONAGRA BRANDS INC	$	47,117.28	$	55,014.05
CONCHO RESOURCES INC	$	24,487.19	$	27,183.00
CROWN CASTLE INTL CORP	$	34,542.56	$	32,365.21
ECOLAB INC	$	21,040.82	$	21,334.04
EDWARDS LIFE SCIENCES	$	31,639.20	$	33,919.40
F5 NETWORKS INC	$	18,956.07	$	26,628.48
FACEBOOK INC	$	15,577.29	$	41,993.25
HESS CORPORATION	$	22,248.28	$	24,542.26
HOME DEPOT INC	$	17,354.92	$	34,190.40
HONEYWELL INTERNATIONAL INC	$	36,611.51	$	42,285.25
JOHNSON & JOHNSON	$	41,734.71	$	40,553.92
KRAFT HEINZ CO	$	33,408.00	$	33,268.92
LAM RESEARCH CORP	$	31,800.55	$	39,225.83
LOCKHEED MARTIN	$	37,676.72	$	45,239.14
LYONDELLBASELL INDUSTRIES	$	19,193.72	$	19,043.16
MARKETAXESS HOLDINGS INC	$	40,203.99	$	39,374.56
MARTIN MARIETTA MATLS INC	$	22,274.45	$	24,811.36
MASTERCARD INC	$	31,069.82	$	61,743.50
MICROCHIP TECHNOLOGY INC	$	23,023.23	$	34,448.55
NEWELL BRANDS INC	$	58,518.31	$	56,214.35
NEXTERA ENERGY INC	$	38,953.28	$	43,483.44
NORTHROP GRUMMAN CORP	$	42,406.68	$	52,330.50
OLD DOMINION FREIGHT LINE	$	28,374.85	$	45,897.65
PFIZER INC	$	36,125.18	$	35,598.08
PROLOGIS	$	22,033.26	$	21,591.11
QUALCOMM INC	$	26,429.81	$	31,687.20
ROSS STORES INC	$	29,924.50	$	52,086.40
S&P GLOBAL INC	$	27,546.19	$	23,228.64
SCHLUMBERGER LTD	$	40,476.63	$	47,095.95
SUNTRUST BKS INC	$	42,504.63	$	54,191.80

UNION PAC CORP	$	34,713.07	$	42,197.76
UNITED RENTALS CV	$	22,082.10	$	30,301.46
US BANCORP	$	41,436.27	$	51,626.85
VULCAN MATLS CO	$	22,077.07	$	22,151.55
WALT DISNEY CO	$	42,597.99	$	41,062.68
AT&T INC	$	2,513.30	$	4,805.89
CATERPILLAR	$	13,416.00	$	14,374.70
COMCAST CORP	$	2,535.00	$	10,357.50
FRONTIER COMMUNICATIONS	$	-	$	730.08
JPM DUAL DIRECTIONAL TRIGGER	$	34,000.00	$	34,510.00
NEW JERSEY RESOURCES CORP	$	3,150.00	$	7,171.00
TRANSNET CORP	$	1.00	$	1.00
VERIZON COMMUNICATIONS	$	21,442.47	$	45,426.38
ALTRIA GROUP INC	$	43,832.42	$	102,281.06
AT & T INC	$	190,123.86	$	275,193.59
BRISTOL MYERS SQUIBB CO	$	100,106.57	$	178,421.99
BUCKEYE PARTNERS L P	$	74,207.46	$	76,285.98
CONOCOPHILLIPS	$	57,883.74	$	49,250.91
DUKE ENERGY	$	97,969.55	$	100,802.99
GLAXO SMITH KLINE	$	217,358.49	$	205,222.37
ISHARES ET U.S. PREFERRED STOCK	$	153,122.54	$	143,713.42
KIMBERLY-CLARK CORP	$	65,445.91	$	114,052.78
KRAFT FOODS GROUP	$	59,453.51	$	99,463.94
LOCKHEED MARTIN CORP	$	51,036.88	$	123,865.25
NUSTAR ENERGY LP	$	74,900.10	$	64,365.25
POWERSHARES ET PREFERRED	$	153,800.96	$	150,088.98
PPL CORPORATION	$	120,101.86	$	126,053.44
REYNOLDS AMERICAN INC	$	60,159.50	$	155,179.63
ROYAL DUTCH SHELL PLC	$	106,972.92	$	103,030.08
SCANA CORP	$	107,564.78	$	203,782.08
SPDR BARCLAYS ET LONG TERM	$	225,123.66	$	232,468.73
SUBURBAN PROPANE PARTNERS L.P.	$	74,326.52	$	48,124.58
THE SOUTHERN COMPANY	$	132,183.26	$	158,170.00
VANGUARD LONG TERM CORP BOND	$	224,804.59	$	236,200.03
VERIZON COMMUNICATIONS	$	129,915.74	$	173,245.75
TOTALS	$	4,142,878.07	$	5,064,188.60

THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC 22-3613766

Form 990-PF, Page 2, Part II 2016
Line 10c Statement

CORPORATE BONDS

DESCRIPTION		ENDING BOOK VALUE		ENDING FMV
FREEPORT-MCMORGAN 2.15% DUE 3/1/17	$	225,273.11	$	224,718.75
MERRILL LYNCH & CO 5.700% DUE 05/02/17	$	101,198.00	$	101,474.00
US STEEL 7.000% DUE 02/01/18	$	51,731.66	$	52,000.00
GATX CORP 2.500% DUE 03/15/19	$	75,530.37	$	75,111.00
BOEING CAPITAL 4.700% DUE 10/27/19	$	75,288.50	$	80,877.00
GOLDMAN SACHS 5.375% DUE 03/15/20	$	51,094.00	$	54,226.50
L-3 COMMUNICATIONS 4.750% DUE 07/15/20	$	98,400.00	$	106,218.00
MORGAN STANLEY 5.500% DUE 07/24/20	$	154,654.06	$	163,920.00
ALCOA INC 5.400% DUE 04/15/21	$	153,512.00	$	159,442.50
HEWLETT-PACKARD 4.300% DUE 06/01/21	$	152,556.00	$	157,125.00
PEPSICO INC SR 3.000% DUE 08/25/21	$	236,802.50	$	235,628.10
PERKINELMER INC 5.000% DUE 11/15/21	$	77,616.05	$	80,758.50
AT&T 2.625% DUE 12/01/22	$	49,585.00	$	47,963.50
JERSEY CENTRAL PWR&LT 6.400% DUE 05/15/36	$	63,932.05	$	62,052.10
WESTERN UNION 6.200% DUE 11/17/36	$	163,235.00	$	157,195.50
AMGEN INC 6.375% DUE 06/01/37	$	85,597.63	$	83,600.37
BARCLAYS BANK PLC 5.140% DUE 10/14/20	$	147,596.00	$	158,979.00
ROYAL BK OF SCOTLAND 6.125% DUE 01/11/21	$	100,350.00	$	107,136.00
TOTALS	$	2,063,951.93	$	2,108,425.82

Form 990-PF, Page 2, Part II 2016
Line 2 Statement

CASH AND SAVINGS ACCOUNTS

CASH	*ENDING BOOK VALUE*	*ENDING FMV*
WELLS FARGO BANK, N.A.	$ 882,767.19	$ 882,767.19
TD INSURED DEPOSIT ACCOUNT	$ 38,734.00	$ 38,734.00
MS ACTIVE ASSETS MONEY TRST	$ 23,870.00	$ 23,870.00
TOTAL CASH & SAVINGS ACCOUNTS	$ 945,371.19	$ 945,371.19

Form 990-PF, Page 2, Part II
Line 13 Statement

OTHER INVESTMENTS

MUTUAL FUNDS	*ENDING BOOK VALUE*	*ENDING FMV*
DFA INTERNATIONAL CORE	$ 108,570.52	$ 109,107.97
DFA US CORE EQUITY	$ 108,723.59	$ 108,118.63
VANGUARD FINANCIALS INDEX	$ 66,465.00	$ 66,650.05
ALLIANCEBERNSTEIN	$ 70,645.24	$ 69,888.35
JANUS FUND CLASS T	$ 27,841.00	$ 93,928.35
ALERIAN MLP ETF	$ 12,766.00	$ 9,702.00
ISHARES INTL SELECT DIV	$ 13,246.00	$ 11,232.80
SPDR GOLD TR	$ 10,924.90	$ 8,768.80
WISDOMTREE TRUST	$ 19,019.66	$ 13,255.70
AMERICAN HIGH INCOME TRUST CLASS A	$ 75,005.00	$ 64,881.95
LORD ABBETT HIGH YIELD FD	$ 75,005.00	$ 69,882.13
PIMCO HIGH YIELD FUND CL A	$ 75,005.00	$ 67,769.23
PRINCIPAL FDS INC HIGH YIELD FD	$ 75,005.00	$ 65,669.85
NUVEEN DIVIDEND ADVANTG	$ 106,959.60	$ 99,137.20
TOTAL OTHER INVESTMENTS	$ 845,181.51	$ 857,993.01

ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PEPCO HOLDINGS LLC CHG CUSIP 713291102	57 95700	03/31/2015	03/28/2016	1,579 33	1,561 08	0 00	0 00	18.25	**Box 6:** Gross Proceeds Merger
	58 79500	06/30/2015	03/28/2016	1,602 17	1,576 73	0 00	0 00	25 44	**Box 6:** Gross Proceeds Merger
	65 68200	09/30/2015	03/28/2016	1,789 84	1,592 60	0 00	0 00	197 24	**Box 6:** Gross Proceeds Merger
	61 39700	12/31/2015	03/28/2016	1,673 08	1,610 33	0 00	0 00	62 75	**Box 6:** Gross Proceeds Merger
Subtotals	**243.83100**			**$6,644.42**	**$6,340.74**	**$0.00**	**$0.00**	**$303.68**	
PIEDMONT NATURAL GAS CHG CUSIP 720186105	18 95200	10/15/2015	10/04/2016	1,137 12	781 71	0.00	0 00	355 41	**Box 6:** Gross Proceeds Merger
	11 05800	01/15/2016	10/04/2016	663 48	639 79	0 00	0 00	23 69	**Box 6:** Gross Proceeds Merger
	11 08300	04/15/2016	10/04/2016	664 98	662 94	0 00	0 00	2 04	**Box 6:** Gross Proceeds Merger
	11 14800	07/15/2016	10/04/2016	668 88	666 71	0.00	0 00	2 17	**Box 6:** Gross Proceeds Merger
Subtotals	**52.24100**			**$3,134.46**	**$2,751.15**	**$0.00**	**$0.00**	**$383.31**	
Totals				**$9,778.88**	**$9,091.89**	**$0.00**	**$0.00**	**$686.99**	

GKF1E052 007847 052172529115 NNNNN NNNNN NNNNNN 000003

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN BROZOWSKI FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
BANK OF CHINA CD NEW YORK CITY NY ACT/365 CPN. 5000% DUE 12/01/2016 CUSIP 06426TZE2	250000 00000	08/26/2016	12/01/2016	250,000 00	250,000 00	0 00	0 00	0 00	**Box 6:** Gross Proceeds Redemption
WELLS FARGO BANK NA CD SIOUX FALLS SD ACT/365 CPN 5500% DUE 12/01/2016 CUSIP. 9497485S2	250000 00000	05/24/2016	12/01/2016	250,000 00	250,000 00	0 00	0 00	0 00	**Box 6:** Gross Proceeds Redemption
Totals				**$500,000.00**	**$500,000.00**	**$0.00**	**$0.00**	**$0.00**	

WELLS FARGO ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
GOLDMAN SACHS GROUP INC INTERNOTES CPN 3 1000% DUE 02/27/2023 CUSIP 38147QUY0	140000 00000	02/26/2015	08/29/2016	140,000 00	140,000 00	0 00	0 00	0 00	Original Basis.140,413 00 **Box 6:** Gross Proceeds Redemption
PEPCO HOLDINGS LLC CHG CUSIP 713291102	5100 00000	08/20/2012	03/28/2016	138,975 00	99,844 92	0 00	0 00	39,130 08	**Box 6:** Gross Proceeds Merger
	73 02100	09/28/2012	03/28/2016	1,989 82	1,377 00	0 00	0 00	612 82	**Box 6:** Gross Proceeds Merger
	72.25000	12/31/2012	03/28/2016	1,968 81	1,396 72	0 00	0 00	572 09	**Box 6:** Gross Proceeds Merger
	66 89100	03/28/2013	03/28/2016	1,822 78	1,416 22	0 00	0 00	406 56	**Box 6:** Gross Proceeds Merger
	70 97100	06/28/2013	03/28/2016	1,933 96	1,434 28	0 00	0 00	499 68	**Box 6:** Gross Proceeds Merger
	78 82200	09/30/2013	03/28/2016	2,147 90	1,453 45	0 00	0 00	694 45	**Box 6:** Gross Proceeds Merger
	77 05500	12/31/2013	03/28/2016	2,099 74	1,474 73	0 00	0 00	625 01	**Box 6:** Gross Proceeds Merger
	73 67200	03/31/2014	03/28/2016	2,007 56	1,495 53	0 00	0 00	512 03	**Box 6:** Gross Proceeds Merger
	55.22100	06/30/2014	03/28/2016	1,504 77	1,515 42	0 00	0 00	-10 65	**Box 6:** Gross Proceeds Merger
	56 87100	09/30/2014	03/28/2016	1,549 73	1,530 33	0 00	0 00	19 40	**Box 6:** Gross Proceeds Merger
	56.99500	12/31/2014	03/28/2016	1,553 11	1,545 69	0 00	0 00	7 42	**Box 6:** Gross Proceeds Merger

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN BROZOWSKI FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PEPCO HOLDINGS LLC CHG CUSIP 713291102									
Subtotals	**5781.76900**			**$157,553.18**	**$114,484.29**	**$0.00**	**$0.00**	**$43,068.89**	
PIEDMONT NATURAL GAS CHG CUSIP. 720186105	18 23100	01/13/2012	10/04/2016	1,093 86	599 51	0 00	0 00	494 35	**Box 6:** Gross Proceeds Merger
	21 11800	04/13/2012	10/04/2016	1,267 08	625 66	0 00	0 00	641 42	**Box 6:** Gross Proceeds Merger
	19 41300	07/13/2012	10/04/2016	1,164 78	631 99	0 00	0 00	532 79	**Box 6:** Gross Proceeds Merger
	20 27100	10/15/2012	10/04/2016	1,216 26	637 82	0 00	0 00	578 44	**Box 6:** Gross Proceeds Merger
	20 89100	12/31/2012	10/04/2016	1,253 46	643 90	0 00	0 00	609 56	**Box 6:** Gross Proceeds Merger
	19 56500	04/15/2013	10/04/2016	1,173 90	671 84	0 00	0 00	502 06	**Box 6:** Gross Proceeds Merger
	19 59000	07/15/2013	10/04/2016	1,175 40	677 90	0 00	0 00	497 50	**Box 6:** Gross Proceeds Merger
	20 80300	10/15/2013	10/04/2016	1,248 18	683 98	0 00	0 00	564 20	**Box 6:** Gross Proceeds Merger
	21 04200	01/15/2014	10/04/2016	1,262 52	690 42	0 00	0 00	572 10	**Box 6:** Gross Proceeds Merger
	20 03300	04/15/2014	10/04/2016	1,201 98	719 43	0 00	0 00	482 55	**Box 6:** Gross Proceeds Merger
	19 97900	07/15/2014	10/04/2016	1,198 74	725 84	0 00	0 00	472 90	**Box 6:** Gross Proceeds Merger
	20 66500	10/15/2014	10/04/2016	1,239 90	732 23	0 00	0 00	507 67	**Box 6:** Gross Proceeds Merger

ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION

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3 Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PIEDMONT NATURAL GAS CHG CUSIP 720186105	18 34200	01/15/2015	10/04/2016	1,100 52	738 85	0 00	0 00	361 67	**Box 6:** Gross Proceeds Merger
	20 60100	04/15/2015	10/04/2016	1,236 06	767 99	0 00	0 00	468 07	**Box 6:** Gross Proceeds Merger
	20 98400	07/15/2015	10/04/2016	1,259 04	774 79	0 00	0 00	484 25	**Box 6:** Gross Proceeds Merger
Subtotals	**301.52800**			**$18,091.68**	**$10,322.15**	**$0.00**	**$0.00**	**$7,769.53**	
Totals				**$315,644.86**	**$264,806.44**	**$0.00**	**$0.00**	**$50,838.42**	

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
BANK OF AMERICA CORP MEDIUM TERM NOTE CPN 4 7500% DUE. 06/15/2016 CUSIP 06050WCY9	50000 00000	06/01/2010	06/15/2016	50,000 00	50,000 00	0 00	0 00	0 00	**Box 6:** Gross Proceeds Redemption
GENERAL ELEC CAP CORP MEDIUM TERM NOTES CPN 5 3750% DUE. 10/20/2016 CUSIP 36962GY40	75000 00000	01/12/2009	10/20/2016	75,000 00	74,664 50	0 00	0 00	335 50	**Box 6:** Gross Proceeds Redemption
KLICKITAT CNTY WA PUB UTIL DIST 001 ELEC REV CPN. 5 2500% DUE 12/01/2020 CUSIP 498622HW2	2000 00000	08/03/2006	12/01/2016	2,000 00	2,000 00	0 00	0 00	0 00	Original Basis 2,146 20 **Box 6:** Gross Proceeds Redemption
	28000 00000	08/03/2006	12/01/2016	28,000 00	28,000 00	0 00	0 00	0 00	Original Basis 30,046 80 **Box 6:** Gross Proceeds Redemption
Subtotals	**30000.00000**			**$30,000.00**	**$30,000.00**	**$0.00**	**$0.00**	**$0.00**	
KLICKITAT CNTY WA PUB UTIL DIST 001 ELEC REV CPN 5 2500% DUE 12/01/2020 CUSIP. 498622JJ9	5000 00000	08/03/2006	12/01/2016	5,000 00	5,000 00	0 00	0 00	0 00	Original Basis 5,365 50 **Box 6:** Gross Proceeds Redemption
LOUISIANA ST CITIZENS PPTY INS CORP ASSMT REV CPN 5 0000% DUE· 06/01/2021 CUSIP 546456BD5	18000 00000	04/03/2007	06/01/2016	18,000 00	18,000 00	0 00	0 00	0 00	Original Basis 19,083 06 **Box 6:** Gross Proceeds Redemption
	2000 00000	04/03/2007	06/01/2016	2,000 00	2,000 00	0 00	0 00	0 00	Original Basis 2,120 34 **Box 6:** Gross Proceeds Redemption

WELLS FARGO ADVISORS

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN BROZOWSKI FOUNDATION

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
LOUISIANA ST CITIZENS PPTY INS CORP ASSMT REV CPN 5 0000% DUE 06/01/2021 CUSIP. 546456BD5									
Subtotals	**20000.00000**			**$20,000.00**	**$20,000.00**	**$0.00**	**$0.00**	**$0.00**	
PIEDMONT NATURAL GAS CHG CUSIP. 720186105	900 00000	05/28/2010	10/04/2016	54,000 00	23,257 85	0 00	0 00	30,742 15	**Box 6:** Gross Proceeds Merger
	601.00000	05/28/2010	10/04/2016	36,060 00	15,533 82	0 00	0 00	20,526 18	**Box 6:** Gross Proceeds Merger
	20 78900	07/15/2010	10/04/2016	1,247 34	546 00	0 00	0 00	701 34	**Box 6:** Gross Proceeds Merger
	18 57500	10/15/2010	10/04/2016	1,114 50	551 82	0 00	0 00	562 68	**Box 6:** Gross Proceeds Merger
	19 45800	01/18/2011	10/04/2016	1,167 48	557 02	0 00	0 00	610 46	**Box 6:** Gross Proceeds Merger
	19 71300	04/15/2011	10/04/2016	1,182 78	582 56	0 00	0 00	600 22	**Box 6:** Gross Proceeds Merger
	19 36600	07/15/2011	10/04/2016	1,161 96	588 28	0 00	0 00	573 68	**Box 6:** Gross Proceeds Merger
	19 39100	10/14/2011	10/04/2016	1,163 46	593 89	0 00	0 00	569 57	**Box 6:** Gross Proceeds Merger
Subtotals	**1618.29200**			**$97,097.52**	**$42,211.24**	**$0.00**	**$0.00**	**$54,886.28**	
Totals				**$277,097.52**	**$221,875.74**	**$0.00**	**$0.00**	**$55,221.78**	

For some tax lots complete data is not available Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 6008-6436

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION

Details of Year-End Account Summary

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Dividend and Distribution Details

Dividend Distributions

Description	CUSIP	Payment Date	# of Payments	Total Ordinary Dividends (Box 1a, Includes 1b)	Nonqualified Dividends (Included In Box 1a)	Short-Term Capital Gains (Included In Box 1a)	Qualified Dividends (Box 1b)	Notes
AT & T INC	00206R102	Multiple	4	12,050 64	0 00	0 00	12,050 64	
ALTRIA GROUP INC	02209S103	Multiple	4	3,409 73	0 00	0 00	3,409 73	
AMERICAN HIGH INCOME A	026547109	Multiple	11	3,278 10	3,153 86	0 00	124 24	
AMERICAN HIGH INCOME A	026547109	01/03/2017	1	346 09	332 97	0 00	13 12	
BRISTOL MYERS SQUIBB	110122108	Multiple	4	4,570 03	0 00	0 00	4,570 03	
CONOCOPHILLIPS	20825C104	Multiple	4	968 39	0 00	0 00	968 39	
DUKE ENERGY CORP	26441C204	Multiple	4	4,248 72	0 00	0 00	4,248 72	
GLAXOSMITHKLINE PLC-ADR	37733W105	Multiple	4	14,002 63	0 00	0 00	14,002 63	
ISHS U S PREFRD STK ETF	464288687	Multiple	12	8,160 43	2,579 35	0 00	5,581 08	
KIMBERLY-CLARK CORP	494368103	Multiple	4	3,575 46	0 00	0 00	3,575 46	
KRAFT HEINZ CO	500754106	Multiple	5	570 51	0 00	0 00	570 51	
LOCKHEED MARTIN CORP	539830109	Multiple	4	3,297 58	0 00	0 00	3,297 58	
LORD ABBETT HIGH YLD A	54400N102	Multiple	12	4,089 45	4,062 14	0 00	27 31	
PIMCO HI YLD FD CL A	693390379	Multiple	12	3,334 97	3,334 97	0 00	0 00	
PPL CORPORATION	69351T106	Multiple	4	5,473 06	0 00	0 00	5,473 06	
PIEDMONT NATURAL GAS CO	720186105	Multiple	5	2,718 82	0 00	0 00	2,718 82	
PWRSHR PREFERED PORT ETF	73936T565	Multiple	12	8,757 56	2,725 36	0 00	6,032 20	
PRINCIPAL HIGH YLD CL A	74254V661	Multiple	12	3,688 52	3,660 49	0 00	28 03	
REYNOLDS AMERICAN INC	761713106	Multiple	4	4,703 53	0 00	0 00	4,703 53	
ROYAL DUTCH SHL ADR CL A	780259206	Multiple	4	6,796 72	0 00	0 00	6,796 72	
SPDR BLOOMBERG LONG ETF	78464A367	Multiple	11	9,053 12	9,053 12	0 00	0 00	
SPDR BLOOMBERG LONG ETF	78464A367	01/06/2017	1	844 99	844 99	0 00	0 00	
SCANA CORP COM	80589M102	Multiple	4	6,189 46	0 00	0 00	6,189 46	
SOUTHERN COMPANY/THE	842587107	Multiple	4	6,949 46	0 00	0 00	6,949 46	
VANGUARD LONG TERM ETF	92206C813	Multiple	12	10,008 37	10,008 37	0 00	0 00	
VERIZON COMMUNICATIONS	92343V104	Multiple	4	7,174 60	0 00	0 00	7,174 60	