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Form: **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.		A Employer identification number 22-3512759
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 489, YOUNG'S ROAD	Room/suite	B Telephone number (973) 993-5974
City or town, state or province, country, and ZIP or foreign postal code NEW VERNON, NJ 07976-0489		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,646,427. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	20,692.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	158,414.	158,414.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3,491,649.			
b	Gross sales price for all assets on line 6a	6,344,585.			
7	Capital gain net income (from Part IV, line 2)		3,491,649.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales, less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	3,670,755.	3,650,063.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 2	6,738.	2,246.		4,492.
c	Other professional fees				
17	Interest				
18	Taxes STMT 3	6,401.	314.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 4	21,234.	7,068.		14,136.
24	Total operating and administrative expenses. Add lines 13 through 23	34,373.	9,628.		18,628.
25	Contributions, gifts, grants paid	719,614.			719,614.
26	Total expenses and disbursements. Add lines 24 and 25	753,987.	9,628.		738,242.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	2,916,768.			
b	Net investment income (if negative, enter -0-)		3,640,435.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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FAMILY FOUNDATION, INC.**

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,467.	265,706.	265,706.
	2 Savings and temporary cash investments	201,597.		
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	2,492,155.	4,861,816.	4,894,142.
	c Investments - corporate bonds STMT 6	0.	488,465.	486,579.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment, basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,699,219.	5,615,987.	5,646,427.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	497,883.	497,883.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,201,336.	5,118,104.	
	30 Total net assets or fund balances	2,699,219.	5,615,987.	
	31 Total liabilities and net assets/fund balances	2,699,219.	5,615,987.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,699,219.
2 Enter amount from Part I, line 27a	2	2,916,768.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,615,987.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,615,987.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 6,344,585.		2,852,936.	3,491,649.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,491,649.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,491,649.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	364,470.	5,060,676.	.072020
2014	342,267.	5,628,798.	.060806
2013	309,333.	4,966,254.	.062287
2012	175,663.	4,427,068.	.039679
2011	193,797.	4,185,972.	.046297

2 Total of line 1, column (d)	2	.281089
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056218
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,638,334.
5 Multiply line 4 by line 3	5	316,976.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	36,404.
7 Add lines 5 and 6	7	353,380.
8 Enter qualifying distributions from Part XII, line 4	8	738,242.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	36,404.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	36,404.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	36,404.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6a	4,400.
6 Credits/Payments:		6b	
a 2016 estimated tax payments and 2015 overpayment credited to 2016		6c	50,206.
b Exempt foreign organizations - tax withheld at source		6d	
c Tax paid with application for extension of time to file (Form 8868)		7	54,606.
d Backup withholding erroneously withheld		8	
7 Total credits and payments. Add lines 6a through 6d		9	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		10	18,202.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		11	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 18,202. Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 7	X	

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FAMILY FOUNDATION, INC.**

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ MARSHALL BARTLETT Telephone no. ▶ 973-993-5974 Located at ▶ YOUNG'S ROAD, P.O. BOX 489, NEW VERNON, NJ ZIP+4 ▶ 07976		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**
Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,206,422.
b	Average of monthly cash balances	1b	517,775.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,724,197.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,724,197.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	85,863.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,638,334.
6	Minimum investment return. Enter 5% of line 5	6	281,917.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	281,917.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	36,404.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	36,404.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	245,513.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	245,513.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	245,513.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	738,242.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	738,242.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	36,404.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	701,838.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				245,513.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013	65,076.			
d From 2014	68,059.			
e From 2015	120,232.			
f Total of lines 3a through e	253,367.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 738,242.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				245,513.
e Remaining amount distributed out of corpus	492,729.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	746,096.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	746,096.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013	65,076.			
c Excess from 2014	68,059.			
d Excess from 2015	120,232.			
e Excess from 2016	492,729.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling **▶**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				Prior 3 years	(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013		
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% of line 2a						
c Qualifying distributions from Part XII, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon:						
a "Assets" alternative test - enter:						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed						
c "Support" alternative test - enter:						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	48,000.
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	1,650.
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEW LONDON, CT 06320	NONE	PUBLIC	GENERAL	50,000.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	107,750.
INTERLOCHEN CENTER FOR ARTS P.O. BOX 199 INTERLOCHEN, MI 49643	NONE	PUBLIC	GENERAL	6,000.
Total	SEE CONTINUATION SHEET(S)			719,614.
b Approved for future payment				
NONE				
Total				0.

Schedule B(For: 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

Employer identification number

22-3512759

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

Employer identification number

22-3512759

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>MARSHALL BARTLETT</u> <u>P.O. BOX 489, YOUNG'S ROAD</u> <u>NEW VERNON, NJ 07976</u>	\$ <u>10,346.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u>2</u>	<u>MARSHALL BARTLETT</u> <u>P.O. BOX 489, YOUNG'S ROAD</u> <u>NEW VERNON, NJ 07976</u>	\$ <u>10,346.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

Employer identification number

22-3512759

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	2000 SHARES OF PRECISION CASTPARTS CORP STOCK	\$ 469,630.	01/26/16
2	2000 SHARES OF PRECISION CASTPARTS CORP STOCK	\$ 469,680.	01/27/16
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

22-3512759**Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

CONTINUATION FOR 990-PF, PART IV
22-3512759 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS - 4910- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
b UBS - 4910- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
c UBS - 4909- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
d UBS - 4909- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
e UBS - 4370 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
f UBS - 4370 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
g UBS - 4911 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
h UBS - 4912- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 688,880.		661,337.	27,543.
b 1,435,909.		656,895.	779,014.
c 196,872.		162,963.	33,909.
d 424,189.		238,085.	186,104.
e 47,514.		48,843.	-1,329.
f 2,449,016.		734,235.	1,714,781.
g 913,434.		161,848.	751,586.
h 188,771.		188,730.	41.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			27,543.
b			779,014.
c			33,909.
d			186,104.
e			-1,329.
f			1,714,781.
g			751,586.
h			41.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,491,649.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARVARD LAW SCHOOL ANNUAL FUND-ALUMNI CENTER 1563 MASSACHUSETTS AVE. CAMBRIDGE, MA 02138	NONE	PUBLIC	GENERAL	500.
WHARTON INSTITUTE FOR THE PERFORMING ARTS 60 LOCUST AVENUE BERKELEY HEIGHTS, NJ 07922	NONE	PUBLIC	GENERAL	1,000.
FOXCROFT SCHOOL 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	GENERAL	80,000.
WESTERN COLLEGE ALUMNAE ASSOCIATION 325 SOUTH PATTERSON AVENUE OXFORD, OH 45056	NONE	PUBLIC	GENERAL	50,000.
BOY SCOUTS OF AMERICA 350 FIFTH AVENUE, SUITE 7820 NEW YORK, NY 10118	NONE	PUBLIC	GENERAL	1,000.
AMERICAN HELLENIC INSTITUTE 1220 SIXTEENTH STREET NW WASHINGTON, DC 20077-5702	NONE	PUBLIC	GENERAL	250.
FAIRLEIGH DICKINSON UNIVERSITY 1000 RIVER ROAD H-DH3-17 TEANECK, NJ 07666	NONE	PUBLIC	GENERAL	200,000.
MORVEN MUSEUM & GARDEN 55 STOCKTON STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	500.
UNIVERSITY OF VA OFFICE OF UNIVERSITY ADVANCEMENT P.O. BOX 400807 CHARLOTTESVILLE, VA 22904-4807	NONE	PUBLIC	GENERAL	2,500.
FRIENDS OF INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,750.
Total from continuation sheets				506,214.

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRIENDS OF THE PRINCETON UNIVERSITY LIBRARY P.O. BOX 5357 PRINCETON, NJ 08543	NONE	PUBLIC	GENERAL	1,075.
ARTS COUNCIL OF PRINCETON, PAUL ROBESON CENTER FOR THE ARTS 102 WITHERSPOON ST. PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	1,000.
NAVAL SPECIAL WARFARE FOUNDATION, IN MEMORY OF MARK METHERELL P.O. BOX 5965 VIRGINIA BEACH, VA 23471	NONE	PUBLIC	GENERAL	500.
PRINCETON UNIVERSITY ANNUAL GIVING PO BOX 5357 PRINCETON, NJ 08543-5357	NONE	PUBLIC	GENERAL	1,250.
POTOMAC VALLEY OPERA COMPANY 2218 N. KENSINGTON STREET ARLINGTON, VA 22205	NONE	PUBLIC	GENERAL	2,500.
GREAT SWAMP WATERSHED ASSOCIATION PO BOX 300 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	1,500.
EAGLEBROOK SCHOOL 271 PINE NOOK ROAD DEERFIELD, MA 01342-0007	NONE	PUBLIC	GENERAL	35,000.
PRINCETON UNIVERSITY ART MUSEUM MCCORMICK HALL PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	2,000.
WASHINGTON ASSOCIATION OF NJ PO BOX 1473 MORRISTOWN, NJ 07962-1473	NONE	PUBLIC	GENERAL	3,500.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
US FUND FOR INTERNATIONAL SCOUTING 1325 WEST WALNUT HILL LANE, PO BOX 152079 IRVING, TX 75015-2079	NONE	PUBLIC	GENERAL	5,000.
KEMMERER LIBRARY P.O. BOX 283 NEW VERNON, NJ 07976-0283	NONE	PUBLIC	GENERAL	125.
PRINCETON HEALTHCARE SYSTEM FOUNDATION 253 WITHERSPOON STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	500.
STONY BROOK MILLSTONE WATERSHED ASSOCIATION 31 TITUS MILL ROAD PENNINGTON, NJ 08534	NONE	PUBLIC	GENERAL	1,000.
PRINCETON PUBLIC LIBRARY FOUNDATION SANDS LIBRARY BLDG, 65 WITHERSPOON RD. PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	500.
PRINCETON UNIVERSITY CHAPEL MURRAY-DODGE HALL PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	500.
TRINITY EPISCOPAL CHURCH 33 MERCER STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	750.
THE FOOTE SCHOOL 50 LOOMIS PLACE NEW HAVEN, CT 06511	NONE	PUBLIC	GENERAL	2,500.
NEW YORK PUBLIC RADIO P.O. BOX 1550 NEW YORK, NY 10116-1550	NONE	PUBLIC	GENERAL	250.
EVERYTOWN FOR GUN SAFETY SUPPORT FUND P.O. BOX 3886 NEW YORK, NY 10017	NONE	PUBLIC	GENERAL	25.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLLEGE OF ST. ELIZABETH 2 CONVENT ROAD MORRISTOWN, NJ 07960-9766	NONE	PUBLIC	GENERAL	500.
HARVARD MAGAZINE PO BOX 414946 BOSTON, MA 02241-4946	NONE	PUBLIC	GENERAL	100.
HELEN KELLER INTERNATIONAL PO BOX 509 ETNA, NH 03750-9902	NONE	PUBLIC	GENERAL	250.
AMERICAN INDIAN COLLEGE FUND P.O. BOX 172449 DENVER, CO 80217-9673	NONE	PUBLIC	GENERAL	1,000.
PG CHAMBERS SCHOOL 15 HALKO DRIVE CEDAR KNOLLS, NJ 07927	NONE	PUBLIC	GENERAL	250.
RWJ UNIVERSITY HOSPITAL FOUNDATION 10 PLUM ST. SUITE 910 NEW BRUNSWICK, NJ 08901	NONE	PUBLIC	GENERAL	250.
SUMMIT AREA YMCA 490 MORRIS AVENUE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	500.
SUMMIT SPEECH SCHOOL 705 CENTRAL AVENUE NEW PROVIDENCE, NJ 07974	NONE	PUBLIC	GENERAL	250.
AMISTAD MISSION PO BOX 23030 NASHVILLE, TN 37202	NONE	PUBLIC	GENERAL	1,000.
CAMP PASQUANEY 5 SOUTH STATE STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
US FUND FOR UNICEF P.O. BOX 96964 WASHINGTON, DC 20090-6964	NONE	PUBLIC	GENERAL	2,500.
PRINCETON DAY SCHOOL 650 GREAT ROAD PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	25,000.
NEW JERSEY AUDUBON 9 HARDCRABBLE ROAD BERNARDSVILLE, NJ 07924-9906	NONE	PUBLIC	GENERAL	5,000.
WNET THIRTEEN PO BOX 1313 NEW YORK, NY 10101-1313	NONE	PUBLIC	GENERAL	125.
DREW UNIVERSITY, OFFICE OF UNIVERSITY ADVANCEMENT 36 MADISON AVENUE MADISON, NJ 07940-1493	NONE	PUBLIC	GENERAL	41,000.
NEW VERNON VOLUNTEER FIRE DEPARTMENT FUND PO BOX 143 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	300.
AMERICAN RED CROSS PO BOX 37839 BOONE, IA 50037-0839	NONE	PUBLIC	GENERAL	1,000.
GEORGE W. BUSH PRESIDENTIAL CENTER/FOUNDATION 2943 SMU BOULEVARD DALLAS, TX 75205	NONE	PUBLIC	GENERAL	500.
DOCTORS WITHOUT BORDERS PO BOX 5023 HAGERSTOWN, MD 21741-5023	NONE	PUBLIC	GENERAL	500.
CAMBRIDGE IN AMERICA 292 MADISON AVE., 8TH FLOOR NEW YORK, NY 10017	NONE	PUBLIC	GENERAL	1,500.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. ANDREWS EPISCOPAL CHURCH PO BOX 272 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	1,000.
ST. HUBERT'S 575 WOODLAND AVE., P.O. BOX 159 MADISON, NJ 07940-0159	NONE	PUBLIC	GENERAL	250.
OXFAM AMERICA PO BOX 6024 ALBERT LEA, MN 56007-9890	NONE	PUBLIC	GENERAL	250.
EAST WOODS SCHOOL 31 YELLOW COTE RD OYSTER BAY, NY 11771-4199	NONE	PUBLIC	GENERAL	250.
HARDING TOWNSHIP EDUCATION FUND PO BOX 734 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	200.
CARE PO BOX 1871 MERRIFIELD, VA 22116-9753	NONE	PUBLIC	GENERAL	100.
NATURE CONSERVANCY PO BOX 6014 ALBERT LEA, MN 56007-9984	NONE	PUBLIC	GENERAL	200.
FRIENDS OF ROCK HALL 199 BROADWAY LAWRENCE, NY 11559	NONE	PUBLIC	GENERAL	50.
NASSAU NURSERY SCHOOL C/O TRINITY CHURCH 33 MERCER ST. PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	2,800.
DAYTOP NJ @ CRAWFORD HOUSE PO BOX 255 SKILLMAN, NJ 08558	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOUNDATION FOR MORRISTOWN MEDICAL CENTER 475 SOUTH ST., 1ST FLR MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	1,000.
HARDING TOWNSHIP CIVIC ASSOCIATION PO BOX 72 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	50.
DUKE OF EDINGURGH INTERNATIONAL AWARD PROGRAM 222 BROADWAY, 19TH FLR NEW YORK, NY 10038	NONE	PUBLIC	GENERAL	250.
MEMORIAL SLOAN KETTERING CANCER CENTER PO BOX 5028 HAGERSTOWN, MD 21741-5028	NONE	PUBLIC	GENERAL	2,500.
FOUNTAIN HOUSE 425 WEST 47TH ST. NEW YORK, NY 10036	NONE	PUBLIC	GENERAL	2,500.
THE TOWN SCHOOL 540 EAST 76TH ST. NEW YORK, NY 10021-3394	NONE	PUBLIC	GENERAL	3,327.
CONVENT OF THE SACRED HEART 1 EAST 91ST STREET NEW YORK, NY 10128-0689	NONE	PUBLIC	GENERAL	5,587.
THE CAUMSETT FOUNDATION 25 LLOYD HARBOR RD LLOYD HARBOR, NY 11743	NONE	PUBLIC	GENERAL	1,050.
COLD SPRING HARBOR FISH HATCHERY 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	NONE	PUBLIC	GENERAL	1,000.
CHATHAM HIGH SCHOOL ATHLETIC BOOSTERS PO BOX 543 CHATHAM, NJ 07928	NONE	PUBLIC	GENERAL	100.
Total from continuation sheets				

22-3512759

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS - 4370	109,127.	0.	109,127.	109,127.	
UBS - 4370	16,510.	0.	16,510.	16,510.	
UBS - 4909	3,089.	0.	3,089.	3,089.	
UBS - 4910	22,476.	0.	22,476.	22,476.	
UBS - 4911 & 4912	7,212.	0.	7,212.	7,212.	
TO PART I, LINE 4	158,414.	0.	158,414.	158,414.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,738.	2,246.		4,492.
TO FORM 990-PF, PG 1, LN 16B	6,738.	2,246.		4,492.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	314.	314.		0.
EXCISE TAX	6,087.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,401.	314.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NJ CHARITIES REGISTRATION FEE	30.	0.		0.
BANK FEES	78.	26.		52.
UBS - INVESTMENT ADVISORY FEES	21,126.	7,042.		14,084.
TO FORM 990-PF, PG 1, LN 23	21,234.	7,068.		14,136.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 4370- SEE ATTACHED STMT	1,542,505.	1,475,000.
UBS 4911 - SEE ATTACHED STMT	868,318.	893,586.
UBS 4910- SEE ATTACHED STMT	1,887,993.	1,929,810.
UBS 4909- SEE ATTACHED STMT	563,000.	595,746.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,861,816.	4,894,142.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 4912- SEE ATTACHED STMT	488,465.	486,579.
TOTAL TO FORM 990-PF, PART II, LINE 10C	488,465.	486,579.

<u>NAME OF CONTRIBUTOR</u>	<u>ADDRESS</u>
MARSHALL BARTLETT	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489
MARGARET BARTLETT	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489
ESTATE OF EDMUND BARTLETT, MARSHALL BARTLETT, EXECUTOR	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE, PRES., &TREASURER 0.00	0.	0.	0.
MARGARET W. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & VICE-PRESIDENT 0.00	0.	0.	0.
JOHN P. BARTLETT 19 CLEVELAND LANE PRINCETON, NJ 085403049	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
STEPHEN W. BARTLETT 885 PARK AVE., APT. 8C NEW YORK, NY 10075	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & SECRETARY 0.00	0.	0.	0.
DAVID L. SCULL 8401 CONNECTICUT AVENUE # 1202 CHEVY CHASE, MD 208155804	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

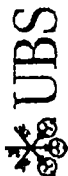
STATEMENT 9

NAME OF MANAGER

MARSHALL P. BARTLETT
MARGARET W. BARTLETT

Account name: M. & M. BARTLETT FAMILY
 Friendly account name: UTES/Cash
 Account number: 4370

Business Services Account
 December 2016



Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

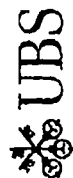
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
REAVES UTILITIES ETF	50,000.000	30.850	1,542,505.25	1,542,505.25	29.500	1,475,000.00	-67,505.25	-67,505.25	ST
Trade date: Jun 6, 16									
EAI \$30,000 Current yield: 2.03%									

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Portfolio Management Program
December 2016

Account name:
Account number:

M. & M. BARTLETT FAMILY
4911

Account 4911

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION
EIN 22-3512759
ATTACHMENT TO 2016 FORM 990-PF, PAGE 2, PART II, LINES 10(b) & 10(c), STATEMENTS 5 & 6

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Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

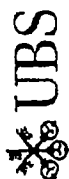
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol: IVD									
Trade date: Jun 7, 16	1,322,000	103.668	137,049.49	137,049.49	112.030	148,103.66	11,054.17		ST
Trade date: Jun 8, 16	353,000	103.668	36,594.91	36,594.91	112.030	39,546.59	2,951.68		ST
EAT: \$4,221 Current yield: 2.25%									
Security total	1,675,000	103.668	173,644.40	173,644.40		187,650.25	14,005.85	14,005.85	

ISHARES CORE HIGH DIVD ETF

continued next page

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Portfolio Management Program
December 2016

M & M BARTLETT FAMILY

Account name:

Account 4911

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION

EIN 22-3512759

3 of 25

ATTACHMENT TO 2016 FORM 990-PF, PAGE 2, PART II, LINES 10(b) & 10(c), STATEMENTS 5 & 6

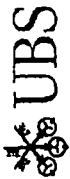
Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Symbol	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Symbol: HDV										
Trade date: Jun 8, 16		2,135,000	81.239	173,447.19	173,447.19	82.250	175,603.75	2,156.56	2,156.56	ST
EAI: \$5.765 Current yield 3.28%										
ISHARES EDGE MSCI MIN VOL USA										
ETF										
Symbol: USMV										
Trade date: Jun 8, 16		3,866,000	44.918	173,652.99	173,652.99	45.220	174,820.52	1,167.53	1,167.53	ST
EAI: \$3.878 Current yield 2.22%										
ISHARES EDGE MSCI USA MOMENTUM										
FACTOR ETF										
Symbol: MTUM										
Trade date: Jun 8, 16		2,309,000	75.239	173,728.01	173,728.01	75.860	175,160.74	1,432.73	1,432.73	ST
EAI: \$2.505 Current yield 1.43%										
ISHARES EDGE MSCI USA QUALITY										
FACTOR ETF										
Symbol: QUAL										
Trade date: Jun 8, 16		2,610,000	66.607	173,845.58	173,845.58	69.100	180,351.00	6,505.42	6,505.42	ST
EAI: \$2.988 Current yield 1.66%										
Total				\$868,318.17	\$868,318.17		\$893,586.26	\$25,268.09	\$25,268.09	
Total estimated annual income: \$19.357										

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Account name: M. & M. BARTLETT FAMILY
Account number: 4910

Portfolio Management Program
December 2016



Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC IRELAND CL A Symbol: ACN Exchange: NYSE EAI \$598 Current yield 2.07%	Jun 7, 16	247,000	119.455	29,505.51	117.130	28,931.11	-574.40	ST
ADIANT PLC								
Symbol ADNT Exchange: NYSE	Oct 31, 16	70,900	46.584	3,302.87	58.600	4,154.74	851.87	ST
	Oct 31, 16	10,100	46.585	470.51	58.600	591.86	121.35	ST
Security total		81,000	46.585	3,773.38		4,746.60	973.22	
ADOBE SYSTEMS INC (DELAWARE) Symbol: ADBE Exchange: OTC	Dec 14, 15 Jun 7, 16	268,000 6,000	91.895 99.350	24,627.99 596.10	102.950 102.950	27,590.60 617.70	2,962.61 21.60	LT ST
Security total		274,000	92.059	25,224.09		28,208.30	2,984.21	
ALPHABET INC CL A Symbol: GOOGL Exchange: OTC	Jun 7, 16	37,000	734.510	27,176.87	792.450	29,320.65	2,143.78	ST

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Portfolio Management Program
December 2016

Account name: M. & M. BARTLETT FAMILY

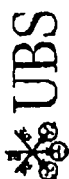
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAL \$879 Current yield 2.70%	Jun 7, 16	293 000	101 948	29,870 88	110 940	32,505.42	2,634 54	ST
BECTON DICKINSON & CO								
Symbol BDX Exchange NYSE								
EAL \$491 Current yield 1.77%	Jun 7, 16	168 000	168 984	28,389 35	165 550	27,812.40	-576 95	ST
BLACKROCK INC								
Symbol BLK Exchange NYSE								
EAL \$797 Current yield 2.41%	Dec 14, 16	87 000	393 050	34,195.35	380 540	33,106.98	-1,088 37	ST
BRITISH AMER TOBACCO PLC GB								
SPON ADR								
Symbol BTI Exchange AMEX								
EAL \$1 272 Current yield 3.85%	Jun 7, 16	293 000	124 517	36,483 54	112 670	33,012.31	-3,471.23	ST
CHUBB LTD CHF								
Symbol CB Exchange NYSE								
EAL \$847 Current yield 2.09%	Jun 7, 16	304 000	126 649	38,501.33	132 120	40,164.48	1,663 15	ST
CHF Exchange rate 1.01635	Oct 4, 16	3 000	123 070	369 21	132 120	396 36	27 15	ST
Security total		307 000	126 614	38,870.54		40,560.84	1,690 30	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAL \$1,261 Current yield 3.38%	Jun 7, 16	848 000	45 387	38,488 43	41 460	35,158.08	-3,330 35	ST
	Oct 4, 16	53 000	41 969	2,224 40	41 460	2,197 38	-27 02	ST
Security total		901 000	45 186	40,712 83		37,355 46	-3,357 37	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAL \$1,420 Current yield 2.38%	Jun 7, 16	910 000	71 878	65,409 17	65 440	59,550 40	-5,858 77	ST
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAL \$494 Current yield 1.59%	Jun 7, 16	449 000	63 566	28,541 27	69 050	31,003 45	2,462.18	ST
CRANE CO								
Symbol CR Exchange NYSE								
EAL \$800 Current yield 1.83%	Jun 7, 16	606 000	59 643	36,144.21	72 120	43,704 72	7,560 51	ST

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Account name: M & M BARTLETT FAMILY

Portfolio Management Program
December 2016

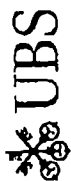
Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
CVS HEALTH CORP								
Symbol: CVS Exchange: NYSE	Jun 7, 16	314 000	97.026	30,466.42	78.910	24,777.74	-5,688.68	ST
EAT: \$684 Current yield: 2.53%	Oct 4, 16	28 000	86.478	2,421.41	78.910	2,209.48	-211.93	ST
Security total		342 000	96.163	32,887.83		26,987.22	-5,900.61	
DANAHER CORP								
Symbol: DHR Exchange: NYSE	Jun 7, 16	305 000	75.201	22,936.32	77.840	23,741.20	804.88	ST
EAT: \$190 Current yield: 0.64%	Oct 4, 16	75 000	78.029	5,852.18	77.840	5,838.00	-14.18	ST
Security total		380 000	75.759	28,788.50		29,579.20	790.70	
DIAGEO PLC NEW GB SPON ADR								
Symbol: DEO Exchange: NYSE	Jun 7, 16	323 000	110.141	35,575.58	103.940	33,572.62	-2,002.96	ST
EAT: \$1,006 Current yield: 3.00%								
ECOLAB INC								
Symbol: ECL Exchange: NYSE	Sep 28, 15	224 000	108.094	24,213.24	117.220	26,257.28	2,044.04	LT
EAT: \$366 Current yield: 1.26%	Jun 7, 16	23 000	120.350	2,768.05	117.220	2,696.06	-71.99	ST
Security total		247 000	109.236	26,981.29		28,953.34	1,972.05	
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC	Jun 7, 16	231 000	117.826	27,217.99	115.050	26,576.55	-641.44	ST
HOMER DEPOT INC								
Symbol: HD Exchange: NYSE	Jun 7, 16	515 000	130.024	66,962.87	134.080	69,051.20	2,088.33	ST
EAT: \$1,421 Current yield: 2.06%								
HONEYWELL INTL INC								
Symbol: HON Exchange: NYSE	Jan 31, 01	257 000	47.805	12,286.00	115.850	29,773.45	17,487.45	LT
EAT: \$684 Current yield: 2.30%								
INTEL CORP								
Symbol: INTC Exchange: OTC	Jul 7, 15	573 000	29.812	17,082.44	36.270	20,782.71	3,700.27	LT
EAT: \$1,043 Current yield: 2.87%	Sep 28, 15	430 000	29.151	12,535.02	36.270	15,596.10	3,061.08	LT
Security total		1,003 000	29.529	29,617.46		36,378.81	6,761.35	

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ATTACHMENT TO 2016 FORM 990-PF, PAGE 2, PART II, LINES 10(b) & 10(c), STATEMENTS 5 & 6

Portfolio Management Program
December 2016

Account name: M & M BARTLETT FAMILY

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
INTERCONTINENTAL EXCHANGE GROUP								
Symbol: ICE Exchange: NYSE								
EAL \$374 Current yield: 1.21%	Jun 7, 16	550,000	53.049	29,176.95	56.420	31,031.00	1,854.05	ST
INVESCO LTD								
Symbol: IZ Exchange: NYSE								
EAL \$2,372 Current yield: 3.69%	Jun 7, 16	2,118,000	30.269	64,109.75	30.340	64,260.12	150.37	ST
JOHNSON CONTROLS INTL PLC								
Symbol: JCI Exchange: NYSE								
EAL \$818 Current yield: 2.43%	Sep 6, 16	717,000	47.842	34,303.39	41.190	29,533.23	-4,770.16	ST
	Oct 4, 16	101,000	46.166	4,662.81	41.190	4,160.19	-502.62	ST
Security total		818,000	47.636	38,966.20		33,693.42	-5,272.78	
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAL \$1,158 Current yield: 2.91%	Jun 7, 16	159,000	240.441	38,230.22	249.940	39,740.46	1,510.24	ST
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE								
EAL \$570 Current yield: 1.97%	Jun 7, 16	383,000	79.429	30,421.65	71.120	27,238.96	-3,182.69	ST
	Oct 4, 16	24,000	72.686	1,744.47	71.120	1,706.88	-37.59	ST
Security total		407,000	79.032	32,166.12		28,945.84	-3,220.28	
MARKS & SPENCER PLC								
Symbol: MMC Exchange: NYSE								
EAL \$774 Current yield: 2.01%	Jun 7, 16	569,000	66.517	37,848.18	67.590	38,458.71	610.53	ST
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAL \$1,241 Current yield: 3.09%	Dec 14, 15	200,000	116.519	23,303.93	121.720	24,344.00	1,040.07	LT
	Jun 7, 16	114,000	122.786	13,997.70	121.720	13,876.08	-121.62	ST
	Oct 4, 16	16,000	114.528	1,832.46	121.720	1,947.52	115.06	ST
Security total		330,000	118.588	39,134.09		40,167.60	1,033.51	
MICROSOFT CORP								
Symbol: MCK Exchange: NYSE								
EAL \$200 Current yield: 0.80%	Jun 7, 16	163,000	186.929	30,469.44	140.450	22,893.35	-7,576.09	ST
	Oct 4, 16	16,000	165.658	2,650.54	140.450	2,247.20	-403.34	ST
Security total		179,000	185.028	33,119.98		25,140.55	-7,979.43	

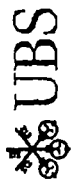
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Portfolio Management Program
December 2016

Account name:

M. & M. BARTLETT FAMILY

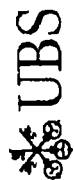


Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAL \$1,324 Current yield: 2.41%	Jun 7, 16	770,000	83.780	64,510.60	71.230	54,847.10	-9,663.50	ST
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAL \$1,030 Current yield: 2.51%	Jul 7, 15	385,000	44.177	17,008.44	62.140	23,923.90	6,915.46	LT
	Sep 28, 15	275,000	43.668	12,008.73	62.140	17,088.50	5,079.77	LT
Security total		660,000	43.965	29,017.17		41,012.40	11,995.23	
MYLAN NV EUR								
Symbol: MYL Exchange: OTC								
EUR Exchange rate: 0.94809	Jun 7, 16	657,000	46.379	30,471.16	38.150	25,064.55	-5,406.61	ST
	Oct 4, 16	124,000	37.976	4,709.10	38.150	4,730.60	21.50	ST
Security total		781,000	45.045	35,180.26		29,795.15	-5,385.11	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAL \$1,096 Current yield: 2.91%	Jun 7, 16	315,000	122.193	38,490.99	119.460	37,629.90	-861.09	ST
NORDSTROM INC								
Symbol: JWN Exchange: NYSE								
EAL \$1,092 Current yield: 3.09%	Jun 7, 16	738,000	39.851	29,410.63	47.930	35,372.34	5,961.71	ST
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAL \$1,101 Current yield: 3.16%	Jun 7, 16	470,000	81.925	38,504.75	72.840	34,234.80	-4,269.95	ST
	Oct 4, 16	8,000	79.198	633.59	72.840	582.72	-50.87	ST
Security total		478,000	81.879	39,138.34		34,817.52	-4,320.82	
O'REILLY AUTOMOTIVE INC								
Symbol: ORLY Exchange: OTC								
	Jun 7, 16	107,000	259.602	27,777.51	278.410	29,789.87	2,012.36	ST
PAREXEL INTL CORP								
Symbol: PRXL Exchange: OTC								
	Jun 7, 16	429,000	62.367	26,755.87	65.720	28,193.88	1,438.01	ST
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAL \$1,060 Current yield: 2.88%	Jun 7, 16	352,000	102.908	36,223.69	104.630	36,829.76	606.07	ST
PRAXAIR INC								
Symbol: PX Exchange: NYSE								
EAL \$936 Current yield: 2.56%	Oct 4, 16	312,000	121.185	37,809.72	117.190	36,563.28	-1,246.44	ST

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Portfolio Management Program
December 2016

Account name:

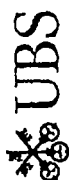
M. & M. BARTLETT FAMILY

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec. 30 (\$)	Unrealized gain or loss (\$)	Holding period
RED HAT INC Symbol: RHT Exchange NYSE	Jun 7, 16	371,000	78.433	29,098.87	69.700	25,858.70	-3,240.17	ST
ROCKWELL COLLINS INC Symbol: COL Exchange NYSE EAI \$467 Current yield: 1.42%	Jun 7, 16 Oct 4, 16	343,000 11,000	88.830 83.649	30,468.69 920.14	92.760 92.760	31,816.68 1,020.36	1,347.99 100.22	ST ST
Security total		354,000	88.669	31,388.83		32,837.04	1,448.21	
ROCKWELL AUTOMATION INC NEW Symbol: ROK Exchange NYSE EAI \$1,684 Current yield: 2.26%	Jun 7, 16	554,000	119.468	66,185.44	134.400	74,457.60	8,272.16	ST
STARBUCKS CORP Symbol: SBUX Exchange: OTC EAI \$551 Current yield: 1.80%	Jun 7, 16 Oct 4, 16	547,000 4,000	55.698 53.800	30,466.92 215.20	55.520 55.520	30,369.44 222.08	-97.48 6.88	ST ST
Security total		551,000	55.684	30,682.12		30,591.52	-90.60	
TEXAS INSTRUMENTS Symbol: TXN Exchange OTC EAI \$1,086 Current yield: 2.74%	Jun 7, 16	543,000	61.627	33,463.62	72.970	39,622.71	6,159.09	ST
THERMO FISHER SCIENTIFIC INC Symbol: TMO Exchange NYSE EAI \$112 Current yield: 0.42%	Jun 7, 16	187,000	152.634	28,542.71	141.100	26,385.70	-2,157.01	ST
TIME WARNER INC NEW Symbol: TWX Exchange NYSE EAI \$604 Current yield: 1.67%	Aug 13, 15 Jun 7, 16	289,000 86,000	78.923 75.749	22,808.94 6,514.49	96.530 96.530	27,897.17 8,301.58	5,088.23 1,787.09	LT ST
Security total		375,000	78.196	29,323.43		36,198.75	6,875.32	
TIX COS INC NEW Symbol: TIX Exchange NYSE EAI \$413 Current yield: 1.38%	Jun 7, 16	397,000	76.146	30,230.28	75.130	29,826.61	-403.67	ST
UNION PACIFIC CORP Symbol: UNP Exchange NYSE EAI \$941 Current yield: 2.33%	Jun 7, 16	389,000	87.616	34,082.94	103.680	40,331.52	6,248.58	ST

continued next page

Account name: M. & M. BARTLETT FAMILY

Portfolio Management Program
December 2016

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: \$1,171 Current yield: 2.41%	Jun 7, 16	652,000	102.029	66,523.04	109.620	71,472.24	4,949.20	ST
VF CORP								
Symbol: VFC Exchange: NYSE								
EAI: \$1,127 Current yield: 3.15%	Jun 7, 16	618,000	62.365	38,541.57	53.350	32,970.30	-5,571.27	ST
	Oct 4, 16	53,000	56.329	2,985.48	53.350	2,827.55	-157.93	ST
Security total		671,000	61.888	41,527.05		35,797.85	-5,729.20	
VISA INC CL A								
Symbol: V Exchange: NYSE								
EAI: \$301 Current yield: 0.85%	Oct 4, 16	456,000	82.875	37,791.00	78.020	35,577.12	-2,213.88	ST
WALT DISNEY CO (HOLDING CO)								
Symbol: DIS Exchange: NYSE								
EAI: \$499 Current yield: 1.50%	Oct 4, 16	320,000	92.649	29,647.97	104.220	33,350.40	3,702.43	ST
Total				\$1,850,168.08		\$1,889,287.69	\$39,119.61	
Total estimated annual income:								\$38,905

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ENERGY SELECT SECTOR SPDR FUND									
ETF									
Symbol: XLE									
Trade date: Oct 4, 16	538,000	70.306	37,824.74	37,824.74	75.320	40,522.16	2,697.42		ST
EAI: \$916 Current yield: 2.26%									

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UBS Portfolio Management Program
December 2016

Account name:

M & M. BARTLETT FAMILY

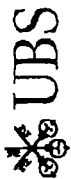
Your assets (continued)

Your total assets

	Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities					
Common stock	1,889,287.69		1,850,168.08	38,905.00	39,119.61
Closed end funds & Exchange traded products	40,522.16		37,824.74	916.00	2,697.42
Total equities	1,929,809.85	95.40%	1,887,992.82	39,821.00	41,817.03

Account name: M & M BARTLETT FAMILY
Account number: 4909

ACCESS
December 2016

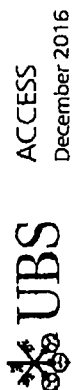


Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ANSYS INC								ST
Symbol ANSS Exchange OTC	Jun 7, 16	95 000	88 337	8,392 08	92 490	8,786 55	394 47	ST
ASPEN TECHNOLOGY INC DEL								ST
CHANGE IN STATE OF INC	Jun 7, 16	544 000	39 153	21,299 72	54 680	29,745 92	8,446 20	ST
Symbol AZPN Exchange OTC								ST
BANK OF THE OZARKS INC								ST
Symbol OZBK Exchange OTC	Jun 7, 16	320 000	39 503	12,641 15	52 590	16,828 80	4,187 65	ST
EAI \$211 Current yield 1 25%								ST
BERKLEY W R CORP								ST
Symbol WRB Exchange NYSE	Jun 7, 16	318 000	56 182	17,866 13	66 510	21,150 18	3,284 05	ST
EAI \$165 Current yield 0 78%								ST
CARUSLE COS INC								ST
Symbol CSL Exchange NYSE	Jun 7, 16	177 000	101 009	17,878 63	110 290	19,521 33	1,642 70	ST
EAI \$248 Current yield 1 27%								continued next page

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Account name: M. & M. BARTLETT FAMILY

Your assets • Equities • Common stock (continued)

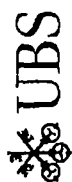
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
CDW CORP								
Symbol: CDW Exchange OTC								
EAI: \$302 Current yield: 1.23%	Jun 7, 16	472 000	42.688	20,148.83	52.090	24,586.48	4,437.65	ST
CHURCH & DWIGHT CO INC								
Symbol: CHD Exchange NYSE								
EAI: \$283 Current yield: 1.61%	Jun 7, 16	398 000	49.413	19,666.69	44.190	17,587.62	-2,079.07	ST
COOPER COMPANIES INC NEW								
Symbol: COO Exchange NYSE								
EAI: \$8 Current yield: 0.03%	Jun 7, 16	137 000	164.500	22,536.55	174.930	23,965.41	1,428.86	ST
CORE LABORATORIES N V EUR								
Symbol: CLB Exchange NYSE								
EAI: \$321 Current yield: 1.83%	Jun 7, 16	146 000	130.401	19,038.68	120.040	17,525.84	-1,512.84	ST
EUR Exchange rate: 0.94809								
DENTSPLY SIRONA INC								
Symbol: XRAY Exchange OTC								
EAI: \$119 Current yield: 0.54%	Jun 7, 16	384 000	63.026	24,202.29	57.730	22,168.32	-2,033.97	ST
DRILL-QUIP INC								
Symbol: DRQ Exchange NYSE	Oct 4, 16	261 000	55.624	14,517.99	60.050	15,673.05	1,155.06	ST
EQUIFAX INC								
Symbol: EFX Exchange NYSE								
EAI: \$304 Current yield: 1.12%	Jun 7, 16	230 000	125.201	28,796.44	118.230	27,192.90	-1,603.54	ST
EXPEDITORS INTL WASH INC								
Symbol: EXPD Exchange OTC								
EAI: \$345 Current yield: 1.51%	Jun 7, 16	431 000	49.454	21,314.93	52.960	22,825.76	1,510.83	ST
FACTSET RESH SYSTEMS INC								
Symbol: FDS Exchange NYSE								
EAI: \$130 Current yield: 1.22%	Jun 7, 16	65 000	160.119	10,407.74	163.430	10,622.95	215.21	ST
FASTENAL CO								
Symbol: FAST Exchange OTC								
EAI: \$331 Current yield: 2.55%	Jun 7, 16	276 000	45.938	12,678.94	46.980	12,966.48	287.54	ST
HENRY JACK & ASSOC INC								
Symbol: JKHY Exchange OTC								
EAI: \$297 Current yield: 1.26%	Jun 7, 16	265 000	84.697	22,444.86	88.780	23,526.70	1,081.84	ST

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December 2016

Account name: M & M BARTLETT FAMILY

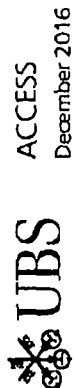


Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec. 30 (\$)	Unrealized gain or loss (\$)	Holding period
LANDSTAR SYSTEMS INC								
Symbol: LSTR Exchange: OTC								
EAL \$64 Current yield: 0.42%	Jun 7, 16	179,000	67.741	12,125.64	85.300	15,268.70	3,143.06	ST
MANHATTAN ASSOC INC								
Symbol: MANH Exchange: OTC								
	Jun 7, 16	145,000	67.650	9,809.31	53.030	7,689.35	-2,119.96	ST
	Sep 26, 16	109,000	57.428	6,259.72	53.030	5,780.27	-479.45	ST
	Nov 23, 16	145,000	53.335	7,733.68	53.030	7,689.35	-44.33	ST
Security total		399,000	59.656	23,802.71		21,158.97	-2,643.74	
MONOTYPE IMAGING HOLDINGS INC								
Symbol: TYPE Exchange: OTC								
EAL \$417 Current yield: 2.22%	Jun 7, 16	750,000	23.816	17,862.38	19.850	14,887.50	-2,974.88	ST
	Aug 15, 16	197,000	21.687	4,272.38	19.850	3,910.45	-361.93	ST
Security total		947,000	23.374	22,134.76		18,797.95	-3,336.81	
MSCI INC								
Symbol: MSCI Exchange: NYSE								
EAL \$360 Current yield: 1.42%	Jun 7, 16	321,000	77.243	24,795.20	78.780	25,288.38	493.18	ST
NORDSON CORP								
Symbol: NDSN Exchange: OTC								
EAL \$253 Current yield: 0.96%	Jun 7, 16	234,000	88.570	20,725.43	112.050	26,219.70	5,494.27	ST
PRIMERICA INC								
Symbol: PRI Exchange: NYSE								
EAL \$213 Current yield: 1.04%	Jun 7, 16	296,000	57.926	17,146.24	69.150	20,468.40	3,322.16	ST
RBC BEARINGS INC								
Symbol: ROLL Exchange: OTC								
	Jun 7, 16	322,000	75.366	24,268.08	92.810	29,884.82	5,616.74	ST
SIGNATURE BANK NEW YORK NY								
Symbol: SBNY Exchange: OTC								
	Jun 7, 16	82,000	133.710	10,964.22	150.200	12,316.40	1,352.18	ST
	Aug 4, 16	62,000	119.657	7,418.77	150.200	9,312.40	1,893.63	ST
Security total		144,000	127.660	18,382.99		21,628.80	3,245.81	
SNAP ON INC								
Symbol: SNA Exchange: NYSE								
EAL \$361 Current yield: 1.66%	Jun 7, 16	127,000	158.941	20,185.52	171.270	21,751.29	1,565.77	ST
TRIPADVISOR INC								
Symbol: TRIP Exchange: OTC								
	Jun 7, 16	244,000	68.127	16,623.04	46.370	11,314.28	-5,308.76	ST

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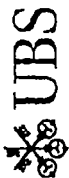
Account name: M & M. BARTLETT FAMILY

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WABCO HOLDINGS INC								
Symbol: WBC Exchange: NYSE	Jun 7, 16	227,000	109.342	24,820.77	106.150	24,096.05	-724.72	ST
WATSCO INC								
Symbol: WSO Exchange: NYSE	Jun 7, 16	89,000	136.026	12,106.40	148.120	13,182.68	1,076.28	ST
EAL: \$374 Current yield: 2.84%								
WINMARK CORP								
Symbol: WINA Exchange: OTC	Jun 7, 16	63,000	100.999	6,362.94	126.150	7,947.45	1,584.51	ST
EAL: \$43 Current yield: 0.32%	Aug 2, 16	27,000	99.012	2,673.35	126.150	3,406.05	732.70	ST
	Aug 2, 16	17,000	99.010	1,683.17	126.150	2,144.55	461.38	ST
Security total		107,000	100.182	10,719.46		13,498.05	2,778.59	
WYNN RESORTS LTD								
Symbol: WYNN Exchange: OTC	Jun 7, 16	214,000	99.684	21,332.42	86.510	18,513.14	-2,819.28	ST
EAL: \$428 Current yield: 2.31%								
Total				\$563,000.31		\$595,745.50	\$32,745.19	

Account name: M & M BARTLETT FAMILY
Account number: 4912

Managed Accounts Consulting
December 2016



Fixed income

Corporate bonds and notes

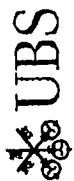
Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
CDK GLOB INC NTS B/E RATE 03 800% MATURES 10/15/19 ACCRUED INTEREST \$71.25 CUSIP 12508EAB7 Moody: Baa1 S&P: BB+ EAI: \$342 Current yield 3.77%	Jun 16, 16	9,000,000	99,625	8,966.25	100,750	9,067.50	101.25	ST

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Managed Accounts Consulting
December 2016

Account name: M & M BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
DISH DBS CORP NTS B/E CALL@MW+50BP RATE 05.125% MATURES 05/01/20 ACCRUED INTEREST \$109.19 CUSIP 25470XAO8 Moody: Baa3 S&P: B+ EAI \$666 Current yield 4.95% Original cost basis \$13,243.75	Jun 09, 16	13,000,000	101,625	13,211.28	103,500	13,455.00	243.72	ST
DUN & BRADSTREET CORP CALL@MW+37.5BP RATE 04.000% MATURES 06/15/20 CALLABLE ACCRUED INTEREST \$25.00 CUSIP 26483EAH3 S&P: BBB- EAI \$600 Current yield 3.90% Original cost basis \$15,551.10	Jun 14, 16	15,000,000	103,199	15,479.93	102,638	15,395.70	-84.23	ST
EXPEDIA INC NTS B/E CALL@MW+50BP RATE 05.950% MATURES 08/15/20 ACCRUED INTEREST \$312.37 CUSIP 30212PAH8 Moody: Baa1 S&P: BBB- EAI \$833 Current yield 5.44% Original cost basis \$15,667.75	Jun 13, 16	14,000,000	110,426	15,459.73	109,307	15,302.98	-156.75	ST
BLOCK FINANCIAL NTS B/E CALL@MW+45BP RATE 04.125% MATURES 10/01/20 CALLABLE ACCRUED INTEREST \$152.96 CUSIP 09366ZAF1 Moody: Baa3 S&P: BBB EAI \$619 Current yield 4.04% Original cost basis \$15,478.13	Jun 27, 16	15,000,000	102,828	15,424.34	102,094	15,314.10	-110.24	ST

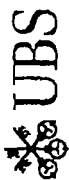
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ATTACHMENT TO 2016 FORM 990-PF, PAGE 2, PART II, LINES 10(b) & 10(c), STATEMENTS 5 & 6

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December 2016

Account name: M. & M. BARTLETT FAMILY

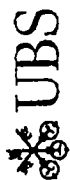


Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
L-3 COMMS CORP NTS B/E CALL@MMW+25BP RATE 04 950% MATURES 02/15/21 CALLABLE ACCURED INTEREST \$259.87 CUSIP 502413BA4 Moody: Baa3 S&P: BBB- EAL \$693 Current yield: 4.63% Original cost basis: \$15,465.10	Jul 27, 16	14,000,000	109,535	15,394.90	106,835	14,956.90	-378.00	ST
R R DONNELLEY & SONS CO CALL@MMW+50BP RATE 07 875% MATURES 03/15/21 ACCURED INTEREST \$321.56 CUSIP 749780AA2 Moody: B2 S&P: B+ EAL \$1,103 Current yield: 7.65% Original cost basis: \$14,532.00	Jun 07, 16	14,000,000	103,409	14,477.38	103,000	14,420.00	-57.38	ST
MASCO CORP NTS B/E CALL@MMW+35BP RATE 03 500% MATURES 04/01/21 CALLABLE ACCURED INTEREST \$129.79 CUSIP 574599BK1 Moody: Baa2 S&P: BBB EAL \$525 Current yield: 3.49% Original cost basis: \$13,240.50 Original cost basis: \$2,055.00 Security total	Jun 10, 16 Oct 14, 16	13,000,000 2,000,000 15,000,000	101,649 102,627	13,214.40 2,052.54 15,266.94	100,250 100,250	13,032.50 2,005.00 15,037.50	-181.90 -47.54 -229.44	ST ST
GAP INC CALL@MMW T+40BP RATE 05 950% MATURES 04/12/21 ACCURED INTEREST \$180.48 CUSIP 364760AK4 Moody: Baa2 S&P: BB+ EAL \$833 Current yield: 5.65% Original cost basis: \$14,525.00	Jun 08, 16	14,000,000	103,358	14,470.24	105,234	14,732.76	262.52	ST

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December 2016

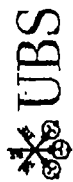
Account name: M & M. BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
CENTURYLINK INC NTS B/E CALL@MMW +50BP RATE 06.450% MATURES 06/15/21 ACCRUED INTEREST \$37.62 CUSIP 156700AR7 Moody: Baa3 S&P BB EAI \$903 Current yield 6.13% Original cost basis \$14,276.50	Jun 07, 16	14,000 000	101,781	14,249.34	105 250	14,735 00	485 66	ST
HCA INC B/E RATE 05 875% MATURES 03/15/22 ACCRUED INTEREST \$239 89 CUSIP 404121AES Moody: Ba1 S&P: BBB- EAI \$823 Current yield 5 45% Original cost basis \$15,169.00	Jun 10, 16	14,000 000	107 626	15,067.75	107 750	15,085.00	17 25	ST
URS CORP NTS B/E LL CALL@MMW+50BP RATE 05 000% MATURES 04/01/22 CALLABLE ACCRUED INTEREST \$185 41 CUSIP 903243AC7 Moody: WR S&P: BB- EAI \$750 Current yield 4 98%	Jun 07, 16 Aug 04, 16	13,000 000 2,000 000 15,000 000	95 225 98 750	12,379 25 1,975 00 14,354 25	100 500 100 500	13,065.00 2,010.00 15,075 00	685 75 35 00 720 75	ST ST
Security total								
INFOR US INC NTS B/E RATE 06 500% MATURES 05/15/22 CALLABLE ACCRUED INTEREST \$113 75 CUSIP 45672NAG6 Moody: Caa1 S&P B- EAI \$910 Current yield 6.24%	Aug 31, 16	14,000 000	101 625	14,227 50	104 250	14,595 00	367 50	ST

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December 2016

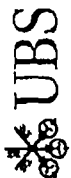
Account name: M. & M. BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
TRANSIDGM INC NTS B/E CALL@MMW+50BP RATE 06.000% MATURES 07/15/22 CALLABLE ACCURED INTEREST \$385.00 CUSIP 893647AW7 Moody: B3 S&P: CCC+ EAI: \$840 Current yield: 5.77%	Jun 13, 16	14,000,000	101,500	14,224.00	104,000	14,560.00	336.00	5T
STEEL DYNAMICS INC NTS CALL@MMW+50BP RATE 06.375% MATURES 08/15/22 ACCURED INTEREST \$334.68 CUSIP 858119AV2 Moody: Baa2 S&P: BB+ EAI: \$893 Current yield: 6.12% Original cost basis: \$14,729.75	Dec 07, 16	14,000,000	105,161	14,722.62	104,250	14,595.00	-127.62	5T
VERISK ANALYTICS INC NTS CALL@MMW +40BP RATE 04.125% MATURES 09/12/22 ACCURED INTEREST \$185.62 CUSIP 92345YACO Moody: Baa3 S&P: BBB- EAI: \$619 Current yield: 3.96% Original cost basis: \$15,887.40	Jun 13, 16	15,000,000	105,440	15,816.02	104,189	15,628.35	-187.67	5T
AMERICAN TOWER CORP NTS CALL@MMW+25BP RATE 03.500% MATURES 01/31/23 ACCURED INTEREST \$218.74 CUSIP 03027XAB6 Moody: Baa3 S&P: BBB- EAI: \$525 Current yield: 3.49% Original cost basis: \$15,531.00	Jun 27, 16	15,000,000	103,289	15,493.47	100,303	15,045.45	-448.02	5T

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December 2016

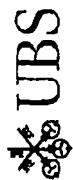
Account name: M & M BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ARROW ELECTRONICS INC CALL@MMW+40BP RATE 04.500% MATURES 03/01/23 CALLABLE ACCRUED INTEREST \$223.12 CUSIP 042735BC3 Moody: Baa3 S&P: BBB- EAI: \$675 Current yield: 4.42% Original cost basis: \$16,041.15	Aug 04, 16	15,000,000	106,553	15,983.04	101.901	15,285.15	-697.89	ST
TRINITY ACQUISITION PLC CALL@MMW+35BP RATE 04.625% MATURES 08/15/23 ACCRUED INTEREST \$242.81 CUSIP 89641UAA9 Moody: Baa3 S&P: BBB EAI: \$648 Current yield: 4.45% Original cost basis: \$14,862.75	Jun 08, 16	14,000,000	105,735	14,802.95	103.971	14,555.94	-247.01	ST
CDW LLC / CDW FIN CORP CALL@MMW+50BP RATE 05.000% MATURES 09/01/23 CALLABLE ACCRUED INTEREST \$247.91 CUSIP 12513GBB4 Moody: Baa3 S&P: BB- EAI: \$750 Current yield: 4.99%	Jun 09, 16	15,000,000	100,875	15,131.25	100.125	15,018.75	-112.50	ST
VIACOM INC B/E CALL@MMW+30BP RATE 04.250% MATURES 09/01/23 CALLABLE ACCRUED INTEREST \$210.72 CUSIP 92553PAT9 Moody: Baa3 S&P: BBB- EAI: \$638 Current yield: 4.25% Original cost basis: \$15,519.98	Jun 13, 16	15,000,000	103,230	15,484.50	100.080	15,012.00	-472.50	ST

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December 2016

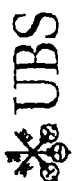
Account name: M & M BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
GANNETT CO INC NTS B/E								
CALL@MMW+50BP								
RATE 06.375% MATURES 10/15/23								
ACCURED INTEREST \$185.93								
CUSIP 3647258EO								
Moody Ba1 S&P BB+								
EAL \$893 Current yield: 6.02%								
Original cost basis: \$15,022.00								
	Jun 07, 16	14,000.000	106.829	14,956.18	105.815	14,814.10	-142.08	ST
LIFEPOINT HOSP INC NTS								
CALL@MMW+50BP								
RATE 05.875% MATURES 12/01/23								
CALLABLE								
ACCURED INTEREST \$70.98								
CUSIP 53219LAN9								
Moody Ba2 S&P BB-								
EAL \$881 Current yield: 5.80%								
	Sep 28, 16	4,000.000	103.500	4,140.00	101.250	4,050.00	-90.00	ST
	Oct 05, 16	11,000.000	103.500	11,385.00	101.250	11,137.50	-247.50	ST
Security total		15,000.000		15,525.00		15,187.50	-337.50	
QVC INC NTS B/E								
CALL@MMW+35BP								
RATE 04.850% MATURES 04/01/24								
ACCURED INTEREST \$47.96								
CUSIP 74726ZASZ								
Moody Ba2 S&P BB-								
EAL \$194 Current yield: 4.82%								
Original cost basis: \$4,053.52								
	Nov 09, 16	4,000.000	101.316	4,052.65	100.526	4,021.04	-31.61	ST
TESORO CORP B/E								
CALL@MMW+50BP								
RATE 05.125% MATURES 04/01/24								
CALLABLE								
ACCURED INTEREST \$152.04								
CUSIP 881609BA8								
Moody Ba2 S&P BB+								
EAL \$615 Current yield: 5.01%								
	Jun 17, 16	12,000.000	100.750	12,090.00	102.250	12,270.00	180.00	ST

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Managed Accounts Consulting
December 2016

Account name: M. & M. BARTLETT FAMILY

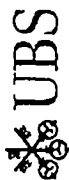
Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
FIDELITY NATL INFORM B/E								
CALL@MMW+25BP								
RATE 03 875% MATURES 06/05/24								
CALLABLE								
ACCURED INTEREST \$40.36								
CUSIP 316Z0MAMB								
Moody: Baa3 S&P BBB								
EAI \$581 Current yield 3.80%								
Original cost basis \$16,091.55								
	Aug 12, 16	15,000 000	106 955	16,043.26	102 026	15,303 90	-739.36	ST
DAVITA HEALTHCARE PARTNE								
CALL@MMW+50BP								
RATE 05.125% MATURES 07/15/24								
CALLABLE								
ACCURED INTEREST \$352.34								
CUSIP 23918KAQ1								
Moody: B1 S&P B+								
EAI \$769 Current yield 5.14%								
	Nov 16, 16	15,000 000	98 250	14,737.50	99 750	14,962.50	225.00	ST
MOTOROLA SOLUTIONS INC								
CALL@MMW+30BP								
RATE 04.000% MATURES 09/01/24								
ACCURED INTEREST \$198.33								
CUSIP 620076BFS								
Moody: Baa3 S&P BBB-								
EAI \$600 Current yield 4.00%								
	Sep 14, 16	15,000 000	99 823	14,973.45	100 117	15,017.55	44.10	ST
TRINITY INDUSTRIES INC								
CALL@MMW+30BP								
RATE 04.550% MATURES 10/01/24								
CALLABLE								
ACCURED INTEREST \$179.97								
CUSIP 89652ZAH2								
Moody: Ba1 S&P BBB-								
EAI \$728 Current yield 4.73%								
	Jun 27, 16	16,000 000	92.462	14,794.00	96 148	15,383.68	589.68	ST

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Account name: M. & M. BARTLETT FAMILY

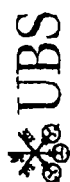
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December 2016

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
KLA-TENCOR CORP NTS B/E								
CALL@MMW+37 5BP								
RATE 04 650% MATURES 11/01/24								
CALLABLE								
ACCURED INTEREST \$106.69								
CUSIP 482480AE0								
Moody Baa2 S&P B8B								
EAI \$651 Current yield: 4.39%								
Original cost basis: \$15,064.28								
	Jun 07, 16	14,000.000	107.158	15,002.19	105.861	14,820.54	-181.65	ST
UNITED RENTALS NORTH AM								
CALL@MMW+50BP								
RATE 05.750% MATURES 11/15/24								
CALLABLE								
ACCURED INTEREST \$100.62								
CUSIP 911365889								
Moody B1 S&P BB-								
EAI \$805 Current yield: 5.48%								
	Jun 07, 16	14,000.000	102.100	14,294.00	105.000	14,700.00	406.00	ST
AT&T INC NTS B/E								
CALL@MMW+30BP								
RATE 03.950% MATURES 01/15/25								
CALLABLE								
ACCURED INTEREST \$271.56								
CUSIP 00206RDD1								
Moody Baa1 S&P BBB+								
EAI \$593 Current yield: 3.94%								
Original cost basis: \$15,733.05								
	Jun 13, 16	15,000.000	104.614	15,692.16	100.173	15,025.95	-666.21	ST
QVC INC B/E								
CALL@MMW+30BP								
RATE 04.450% MATURES 02/15/25								
ACCURED INTEREST \$233.62								
CUSIP 747262AU7								
Moody Baa2 S&P B8B-								
EAI \$623 Current yield: 4.63%								
	Sep 22, 16	14,000.000	98.388	13,774.32	96.123	13,457.22	-317.10	ST

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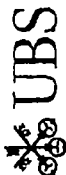
Managed Accounts Consulting
December 2016

Account name: M & M. BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
DISCOVERY COMM INC B/E CALL@MMW+508P RATE 04 900% MATURES 03/11/26 CALLABLE ACCRUED INTEREST \$207.70 CUSIP 25470DAL3 Moody Baa3 S&P: BB8- EAI: \$686 Current yield: 4.65% Original cost basis: \$14,927.50	Jun 07, 16	14,000,000	106,307	14,883.08	105,302	14,742.28	-140.80	5Y
Total		\$474,000,000		\$488,465.47		\$486,579.34	-\$1,886.13	
Total accrued interest: \$6,335.84								

2016 Year End Summary

UBS Financial Services Inc
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002288517 1216 X12 MT 0

Account name: M. & M. BARTLETT FAMILY
FOUNDATION, INC.

Account number: 4910

Summary of gains and losses

	Amount (\$)
Short term	27,542.99
Long term	779,013.70
Total	\$806,556.69

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a versus purchases or VSP order.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CLA	FIFO	8,000	Jun 07, 16	Oct 04, 16	958.38	955.64			2.74

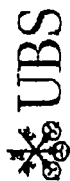
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Member SIPC

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See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.



Portfolio Management Program

Account name:

M. & M. BARTLETT FAMILY

ACCOUNT 4910

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC
EIN 22-3512759
ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

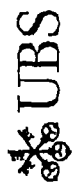
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or Face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ADIANT PLC	Adjustment	0.800	Oct 31, 16	Oct 31, 16	36.30	37.27		-0.97	
ADOBE SYSTEMS INC (DELAWARE)	FIFO	32.000	Dec 14, 15	Oct 04, 16	3,467.12	2,940.66			526.46
ALPHABET INC CL A	FIFO	4.000	Jun 07, 16	Oct 04, 16	3,208.79	2,938.04			270.75
AMAZON.COM INC	FIFO	50.000	Sep 28, 15	Jun 07, 16	36,337.76	25,048.70			11,289.06
AMERIPRISE FINANCIAL INC	FIFO	5.000	Jun 07, 16	Oct 04, 16	507.14	509.74		-2.60	
APPLE INC	FIFO	400.000	Jul 07, 15	Jun 07, 16	39,932.21	50,104.85		-10,172.64	
	FIFO	110.000	Sep 28, 15	Jun 07, 16	10,981.36	12,448.34		-1,466.98	
AUTODESK INC	FIFO	400.000	Dec 14, 15	Jun 07, 16	23,411.33	24,248.05		-836.72	
BECTON DICKINSON & CO	FIFO	12.000	Jun 07, 16	Oct 04, 16	2,121.79	2,027.81			93.98
BOEING COMPANY	FIFO	511.000	Jun 07, 16	Oct 04, 16	67,639.60	68,159.99		-520.39	
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	16.000	Jun 07, 16	Oct 04, 16	2,066.99	1,992.27			74.72
COGNIZANT TECH SOLUTIONS CRP	FIFO	502.000	Jun 07, 16	Aug 15, 16	28,845.29	30,374.31		-1,529.02	
COLGATE PALMOLIVE CO	FIFO	37.000	Jun 07, 16	Oct 04, 16	2,703.90	2,659.49			44.41
COMCAST CORP NEW CL A	FIFO	30.000	Jun 07, 16	Oct 04, 16	1,981.46	1,906.99			74.47
CRANE CO	FIFO	40.000	Jun 07, 16	Oct 04, 16	2,491.99	2,385.76			106.23
DIAGEO PLC NEW GB SPON ADR	FIFO	26.000	Jun 07, 16	Oct 04, 16	3,044.04	2,863.67			180.37
EOG RESOURCES INC	FIFO	350.000	Sep 28, 15	Jun 07, 16	29,260.34	24,658.90			4,601.44
FACEBOOK INC CL A	FIFO	27.000	Jun 07, 16	Oct 04, 16	3,458.35	3,181.32			277.03
FORTIVE CORP	FIFO	0.500	Jun 07, 16	Jul 05, 16	24.27	24.49		-0.22	
	FIFO	152.000	Jun 07, 16	Oct 04, 16	7,749.46	7,444.64			304.82
GILEAD SCIENCES INC	FIFO	260.000	Sep 28, 15	Jun 07, 16	22,897.96	25,080.69		-2,182.73	
HILTON WORLDWIDE HOLDINGS INC	FIFO	90.000	Jun 07, 16	Oct 04, 16	2,059.16	1,980.66			78.50
	FIFO	1,296.000	Jun 07, 16	Dec 14, 16	34,563.57	28,521.46			6,042.11
HOME DEPOT INC	FIFO	7.000	Jun 07, 16	Oct 04, 16	902.84	910.18		-7.34	
ILLINOIS TOOL WORKS INC	FIFO	281.000	Jun 07, 16	Oct 04, 16	33,535.81	30,497.04			3,038.77
INTEL CORP	FIFO	823.000	Jul 07, 15	Jun 07, 16	26,331.32	24,535.51			1,795.81

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Portfolio Management Program

Account name:

M & M BARTLETT FAMILY

ACCOUNT 4910

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC

EIN 22-3512759

ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Realized gains and losses (continued)

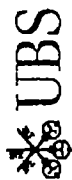
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
INTERCONTINENTAL EXCHANGE GROUP	FIFO	5 000	Jun 07, 16	Oct 04, 16	1,345.37	1,326.23			19.14
INVESCO LTD	FIFO	127 000	Jun 07, 16	Oct 04, 16	3,987.84	3,844.16			143.68
JOHNSON CONTROLS INC **MERGER EFF 09/2016**	FIFO	858 000	Jun 07, 16	Sep 06, 16	39,220.59	38,479.76			740.83
JOHNSON CTLS INTL PLC	FIFO	0.030	Sep 06, 16	Sep 06, 16	1.48	1.46			0.02
JPMORGAN CHASE & CO	FIFO	415.000	Sep 28, 15	Jun 07, 16	27,149.79	24,970.20			2,179.59
LOCKHEED MARTIN CORP	FIFO	1 000	Jun 07, 16	Oct 04, 16	238.36	240.44		-2.08	
MARSH & MCLENNAN COS INC	FIFO	10 000	Jun 07, 16	Oct 04, 16	664.69	665.17		-0.48	
MEDTRONIC PLC	FIFO	41 000	Jun 07, 16	Oct 04, 16	3,535.62	3,434.98			101.64
MICROSOFT CORP	FIFO	642 000	Jul 07, 15	Jun 07, 16	33,757.80	28,362.13			5,395.67
NEXTERA ENERGY INC COM	FIFO	1 000	Jun 07, 16	Oct 04, 16	119.87	122.19		-2.32	
NORDSTROM INC	FIFO	231 000	Jun 07, 16	Oct 04, 16	11,800.38	9,205.77			2,594.61
O REILLY AUTOMOTIVE INC	FIFO	10 000	Jun 07, 16	Oct 04, 16	2,761.34	2,596.03			165.31
PARCEL INTL CORP	FIFO	61 000	Jun 07, 16	Oct 04, 16	4,206.53	3,804.45			402.08
PEPSICO INC	FIFO	22 000	Jun 07, 16	Oct 04, 16	2,359.91	2,263.98			95.93
RED HAT INC	FIFO	17 000	Jun 07, 16	Oct 04, 16	1,357.42	1,333.37			24.05
RESTAURANT BRANDS INTL INC CAD	FIFO	650 000	Sep 28, 15	Jun 07, 16	27,130.41	23,760.15			3,370.26
ROCKWELL AUTOMATION INC NEW	FIFO	15 000	Jun 07, 16	Oct 04, 16	1,798.03	1,792.02			6.01
TE CONNECTIVITY LTD CHF	FIFO	400 000	Dec 14, 15	Jun 07, 16	24,557.46	25,219.97		-662.51	
TEXAS INSTRUMENTS	FIFO	82 000	Jun 07, 16	Oct 04, 16	5,709.56	5,053.44			656.12
THERMO FISHER SCIENTIFIC INC	FIFO	12 000	Jun 07, 16	Oct 04, 16	1,897.76	1,831.62			66.14
TIX COS INC NEW	FIFO	3 000	Jun 07, 16	Oct 04, 16	224.03	228.44		-4.41	
UNION PACIFIC CORP	FIFO	50 000	Jun 07, 16	Oct 04, 16	4,858.44	4,380.84			477.60
UNTD TECHNOLOGIES CORP	FIFO	14 000	Jun 07, 16	Oct 04, 16	1,426.44	1,428.41		-1.97	
WALGREENS BOOTS ALLIANCE INC	FIFO	300 000	Sep 28, 15	Jun 07, 16	23,925.44	24,823.35		-897.91	

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Portfolio Management Program

Account name:

M. & M. BARTLETT FAMILY

ACCOUNT 4910

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC

EIN 22-3512759

ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

4 of 18

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	225,000	Aug 13, 15	Jun 07, 16	22,247.52	24,268.80		-2,021.28	
	FIFO	225,000	Dec 14, 15	Jun 07, 16	22,247.51	24,522.76		-2,275.25	
WILLIS TOWERS WATSON PUB LTD CO	FIFO	232,000	Sep 28, 15	Jun 07, 16	29,830.58	24,940.12			4,890.46
Total					\$688,879.70	\$661,336.71		-\$22,587.82	\$50,130.81 \$27,542.99

Net short-term capital gains and losses

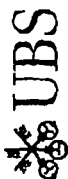
Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ADVANSIX INC	FIFO	0.560	Jan 31, 01	Oct 03, 16	8.33	3.56			4.77
	FIFO	10,000	Jan 31, 01	Oct 04, 16	155.89	63.61			92.28
AETNA INC	FIFO	2,000,000	Jun 08, 09	Jun 07, 16	244,715.67	50,800.00			193,915.67
ANADARKO PETROLEUM CORP	FIFO	837,000	Jun 08, 09	Jun 07, 16	45,961.80	40,561.00			5,400.80
AT&T INC	FIFO	552,000	Nov 05, 97	Jun 07, 16	21,762.46	18,034.67			3,727.79
	FIFO	500,000	Feb 01, 99	Jun 07, 16	19,712.37	27,190.72		-7,478.35	
	FIFO	3,948,000	Oct 12, 99	Jun 07, 16	155,648.87	99,764.45			55,884.42
DOMINION RESOURCES INC VA (NEW)	FIFO	2,000,000	Jun 16, 99	Jun 07, 16	146,080.81	43,781.78			102,299.03
	FIFO	1,200,000	Mar 20, 00	Jun 07, 16	87,648.49	22,657.17			64,991.32
DU PONT DE NEMOURS	FIFO	3,233,000	Jun 08, 09	Jun 07, 16	223,207.92	82,851.96			140,355.96
ECOLAB INC	FIFO	6,000	Sep 28, 15	Oct 04, 16	720.11	648.57			71.54
EXXON MOBIL CORP	FIFO	1,516,000	Jun 08, 09	Jun 07, 16	137,214.72	110,622.76			26,591.96
HONEYWELL INTL INC	FIFO	500,000	Nov 08, 00	Jun 07, 16	57,724.95	27,092.45			30,632.50
	FIFO	500,000	Nov 16, 00	Jun 07, 16	57,724.94	25,873.70			31,851.24
	FIFO	500,000	Nov 24, 00	Jun 07, 16	57,724.95	24,436.20			33,288.75
	FIFO	236,000	Jan 31, 01	Jun 07, 16	27,246.17	11,342.14			15,904.03
	FIFO	7,000	Jan 31, 01	Oct 04, 16	807.93	334.64			473.29
INTEL CORP	FIFO	204,000	Jul 07, 15	Oct 04, 16	7,688.61	6,081.70			1,606.91
MICROSOFT CORP	FIFO	73,000	Jul 07, 15	Oct 04, 16	4,178.43	3,224.98			953.45

continued next page

Account name: M & M. BARTLETT FAMILY

Portfolio Management Program



Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SEALED AIR CORP NEW	FIFO	3,013 000	Jun 08, 09	Jun 07, 16	137,915 68	59,477 00			78,438 68
TIME WARNER INC NEW	FIFO	26 000	Aug 13, 15	Oct 04, 16	2,059 68	2,052 02			7 66
Total					\$1,435,908.78	\$656,895.08		-\$7,478.35	\$786,492.05
Net long-term capital gains or losses									\$779,013.70
Net capital gains/losses:									\$806,556.69



ACCESS

Account name: M & M BARTLETT FAMILY

Account number: 4909

ACCOUNT 4909

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC
EIN 22-3512759
ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, includes securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ALPHABET INC CL C	FIFO	100 000	Jul 07, 15	Jun 07, 16	71,767.13	52,135.65			19,631.48
	FIFO	20 000	Sep 28, 15	Jun 07, 16	14,353.43	11,905.03			2,448.40
ANYSYS INC	FIFO	81 000	Jun 07, 16	Sep 23, 16	7,491.51	7,155.36			336.15
CISCO SYSTEMS INC	FIFO	850 000	Aug 13, 15	Jun 07, 16	24,667.91	24,892.40		-224.49	
	FIFO	475 000	Sep 28, 15	Jun 07, 16	13,785.01	12,337.68			1,447.33
	FIFO	950 000	Dec 14, 15	Jun 07, 16	27,570.01	25,005.55			2,564.46
LIVANOVA PLC GBP	FIFO	188 000	Jun 07, 16	Aug 15, 16	11,771.53	9,239.00			2,532.53
PRIMERICA INC	FIFO	141 000	Jun 07, 16	Nov 22, 16	10,048.78	8,167.64			1,881.14
ROSS STORES INC	FIFO	93 000	Jun 07, 16	Sep 28, 16	5,893.19	4,881.24			1,011.95
	FIFO	138 000	Jun 07, 16	Nov 22, 16	9,523.93	7,243.14			2,280.79
Total					\$196,872.43	\$162,962.69		-\$224.49	\$34,134.23
Net short-term capital gains and losses									\$33,909.74

Long-term capital gains and losses

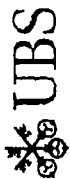
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CMS ENERGY CORP	FIFO	3 000 000	Mar 01, 10	Jun 07, 16	128,612.90	46,178.95			82,433.95
EXELON CORP	FIFO	465 000	Nov 29, 02	Jun 07, 16	16,238.33	13,314.97			2,923.36
	FIFO	837 000	Feb 07, 03	Jun 07, 16	29,228.99	23,993.95			5,235.04
	FIFO	93 000	Feb 07, 03	Jun 07, 16	3,247.67	2,662.00			585.67
	FIFO	1,209 000	Jul 09, 03	Jun 07, 16	42,219.66	44,343.00		-2,123.34	
	FIFO	185 000	Jul 09, 03	Jun 07, 16	6,495.33	6,820.00		-324.67	
FEDEX CORP	FIFO	100 000	May 20, 10	Jun 07, 16	16,455.20	8,236.75			8,218.45
	FIFO	200 000	May 20, 10	Jun 07, 16	32,910.41	16,604.75			16,305.66
	FIFO	200 000	Aug 19, 10	Jun 07, 16	32,910.40	16,292.35			16,618.05
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Account name: M & M BARTLETT FAMILY

ACCESS



Realized gains and losses (continued)

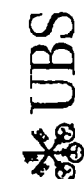
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
JOHNSON & JOHNSON COM	FIFO	1,000.000	Apr 08, 11	Jun 07, 16	115,870.17	59,637.95			56,232.22
Total					\$424,189.06	\$238,084.67		-\$2,448.01	\$186,104.39
Net long-term capital gains or losses									\$186,104.39
Net capital gains/losses									\$220,014.13

Business Services Account

Account name: M & M BARTLETT FAMILY

Account number: 4370



Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL KANSAS CITY STHN NE DUE 01/15/16 110,000	FIFO	-20,000	Jan 19, 16	Sep 16, 15	2,814.44	0.00			2,814.44
CALL NORFOLK STHN CORP DUE 01/15/16 90,000	FIFO	-50,000	Jan 19, 16	Sep 16, 15	6,327.94	0.00			6,327.94
WILLIAMS SONOMA INC	FIFO	325,000	Sep 28, 15	Jun 06, 16	17,191.74	24,714.03		-7,522.29	
	FIFO	400,000	Dec 14, 15	Jun 06, 16	21,159.07	24,111.25		-2,952.18	
WILLIS TOWERS WATSON PUB LTD CO	FIFO	0.163	Sep 28, 15	Jan 05, 16	20.51	17.53			2.98
Total					\$47,513.70	\$48,842.81		-\$10,474.47	\$9,145.36
Net short-term capital gains and losses								-\$1,329.11	

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Business Services Account

Account name:

M. & M. BARTLETT FAMILY

ACCOUNT 4370

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC

EIN 22-3512759

ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

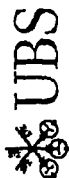
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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMEREN CORP	FIFO	1,000,000	May 13, 98	Jun 06, 16	49,514.67	38,747.34			10,767.33
CLECO CORP (HOLDING COMPANY) **MERGER EFF 04/2016**	FIFO	500,000	Mar 29, 99	Apr 14, 16	27,685.00	7,376.67			20,308.33
	FIFO	4,286,000	Jun 08, 09	Apr 14, 16	237,315.82	91,292.00			146,023.82
NORFOLK STHN CORP	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,265.67	4,070.00			4,195.67
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,370.62	4,070.00			4,300.62
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,369.61	4,070.00			4,299.61
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,369.62	4,070.00			4,299.62
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,368.62	4,070.00			4,298.62
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,367.92	4,069.99			4,297.93
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,367.31	4,070.00			4,297.31
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,367.32	4,070.00			4,297.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,367.32	4,070.00			4,297.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,367.32	4,070.00			4,297.32
	FIFO	30,000	Jun 08, 09	Jun 06, 16	2,510.19	1,221.00			1,289.19
	FIFO	25,000	Jun 08, 09	Jun 06, 16	2,091.66	1,017.50			1,074.16
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,366.61	4,070.00			4,296.61
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,366.62	4,070.00			4,296.62
	FIFO	46,000	Jun 08, 09	Jun 06, 16	3,846.90	1,872.20			1,974.70
	FIFO	17,000	Jun 08, 09	Jun 06, 16	1,421.68	691.90			729.78
	FIFO	12,000	Jun 08, 09	Jun 06, 16	1,003.53	488.40			515.13
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,365.32	4,070.00			4,295.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,365.32	4,070.00			4,295.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,364.32	4,070.00			4,294.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,364.31	4,069.99			4,294.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,364.32	4,070.00			4,294.32
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,364.32	4,070.00			4,294.32
	FIFO	400,000	Jun 08, 09	Jun 06, 16	33,434.77	16,280.00			17,154.77
	FIFO	100,000	Jun 08, 09	Jun 06, 16	8,357.82	4,070.00			4,287.82

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Business Services Account

Account name:

M & M BARTLETT FAMILY

ACCOUNT 4370

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC
EIN 22-3512759ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

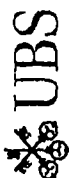
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Realized gains and losses (continued)
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,356.82	4,070.00			4,286.82
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,356.81	4,070.00			4,286.81
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,355.82	4,070.00			4,285.82
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,357.62	4,069.99			4,287.63
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,357.62	4,070.00			4,287.62
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,356.81	4,070.00			4,286.81
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,357.62	4,070.00			4,287.62
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,358.62	4,070.00			4,288.62
	FIFO	7,770.000	Jun 08, 09	Jun 06, 16	649,542.29	316,238.93			333,303.36
PRECISION CASTPARTS CORP *	FIFO	4,000.000	Dec 30, 94	Feb 01, 16	940,000.00	20,692.40			919,307.60
MERGER EFF. 02/2016									
SCANA CORP NEW	FIFO	100.000	Sep 16, 99	Jun 06, 16	7,018.59	2,395.53			4,623.06
	FIFO	162.000	Sep 16, 99	Jun 06, 16	11,540.63	3,880.75			7,659.88
	FIFO	100.000	Sep 16, 99	Jun 06, 16	7,123.85	2,395.53			4,728.32
	FIFO	900.000	Sep 16, 99	Jun 06, 16	64,092.46	21,559.75			42,532.71
	FIFO	900.000	Mar 01, 10	Jun 06, 16	64,092.46	32,943.95			31,148.51
	FIFO	200.000	Mar 01, 10	Jun 06, 16	14,242.77	7,321.08			6,921.69
	FIFO	300.000	Mar 01, 10	Jun 06, 16	21,364.16	10,981.32			10,382.84
	FIFO	900.000	Aug 18, 10	Jun 06, 16	64,092.46	35,213.81			28,878.65
	FIFO	300.000	Aug 18, 10	Jun 06, 16	21,364.15	11,734.94			9,629.21
Total					\$2,449,016.07	\$734,234.97			\$1,714,781.10
Net long-term capital gains or losses									\$1,714,781.10
Net capital gains/losses:									\$1,713,451.99

* Shares were donated to the Foundation

2016 Year End Summary

UBS Financial Services Inc
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002288529 1216 X12 MT 0

Account name: M. & M. BARTLETT FAMILY
FOUNDATION, INC

Account number: 4911

Summary of gains and losses

	Amount (\$)
Long term	751,586.12

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

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Long-term capital gains and losses

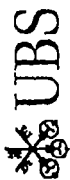
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
KANSAS CITY 5TH NEW	FIFO	4,991.000	Jun 08, 09	Jun 07, 16	458,044.03	79,706.06			378,337.97
ONEOK INC NEW	FIFO	4,000.000	Jul 03, 01	Jun 07, 16	182,156.03	34,805.75			147,350.28
	FIFO	4,000.000	Jul 23, 01	Jun 07, 16	182,156.03	31,557.45			150,598.58

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Member SIPC

EPZ60001002288529 PZ6000111730 00019 1216 031749061 MT04911Y60 110000

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Portfolio Management Program

Account name: M. & M. BARTLETT FAMILY

ACCOUNT 4911

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC
EIN 22-3512759
ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

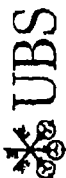
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	HFO	2,000,000	Jul 23, 01	Jun 07, 16	91,078.01	15,778.72			75,299.29
Total					\$913,434.10	\$161,847.98			\$751,586.12
Net long-term capital gains or losses									\$751,586.12
Net capital gains/losses:									\$751,586.12

2016 Year End Summary

UBS Financial Services Inc
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002288531 1216 X12 MT 0

Account name: M. & M. BARTLETT FAMILY
FAMILY FOUNDATION, INC.M. & M. BARTLETT FAMILY
FAMILY FOUNDATION, INC.
PO BOX 489
NEW VERNON NJ 07976-0489

Account number: 4912

Summary of gains and losses

	Amount (\$)
Short term	40.97

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Short-term capital gains and losses

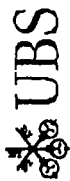
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AECOM TECHNOLOGY C NTS 05.750% 101522 DTD101515 FC041516 CALL@MW+50BP	FIFO	2,000,000	Jun 14, 16	Aug 04, 16	2,130.00	2,045.00			85.00

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Member SIPC

EPZ60001002288531 PZ600011730 00020 1216 031749061 MTD04912Y60 110000

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Managed Accounts Consulting

Account name:

M & M. BARTLETT FAMILY

ACCOUNT 4912

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC
 EIN 22-3512759
 ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

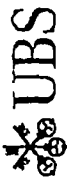
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERICAN TOWER CORP NTS 03.500% 01/31/23 DTD01/08/13 FC073113 CALL@MW+25BP Original cost basis : \$2,070.80	FIFO	2,000.000	Jun 27, 16	Dec 19, 16	1,989.94	2,066.10		-76.16	
ARROW ELECTRONICS INC 04.500% 03/01/23 DTD02/20/13 FC090113 CALL@MW+40BP Original cost basis : \$2,138.82	FIFO	2,000.000	Aug 04, 16	Dec 19, 16	2,027.46	2,131.66		-104.20	
AT&T INC NTS B/E 03.950% 01/15/25 DTD01/15/16 FC071516 CALL@MW+30BP Original cost basis : \$2,097.74	FIFO	2,000.000	Jun 13, 16	Dec 19, 16	1,987.68	2,092.59		-104.91	
AVNET INC NTS B/E 04.875% 12/01/22 DTD11/27/12 FC060113 CALL@MW+50BP Original cost basis : \$6,508.86	FIFO	5,000.000	Jun 13, 16	Aug 30, 16	6,554.76	6,493.67			61.09
Original cost basis : \$10,848.10	FIFO	10,000.000	Jun 13, 16	Sep 28, 16	10,879.90	10,813.58			66.32
BLOCK FINANCIAL NTS B/E 04.125% 10/01/20 DTD09/30/15 FC040116 CALL@MW+45BP Original cost basis : \$2,063.75	FIFO	2,000.000	Jun 27, 16	Dec 19, 16	2,029.04	2,057.01		-27.97	
CDK GLOB INC NTS B/E 03.800% 10/15/19 DTD04/15/15 FC101515	FIFO	9,000.000	Jun 16, 16	Nov 30, 16	9,033.75	8,966.25			67.50
CDW LLC / CDW FIN CORP 05.000% 09/01/23 DTD03/03/15 FC090115 CALL@MW+50BP	FIFO	2,000.000	Jun 07, 16	Dec 20, 16	2,001.00	2,024.50		-23.50	
CENTURYLINK INC NTS B/E 06.450% 06/15/21 DTD06/16/11 FC121511 CALL@MW +50BP Original cost basis : \$3,059.25	FIFO	3,000.000	Jun 07, 16	Dec 19, 16	3,142.50	3,053.75			88.75
DAVITA HEALTHCARE PARTNE 05.125% 07/15/24 DTD06/13/14 FC011515 CALL@MW+50BP	FIFO	2,000.000	Oct 21, 16	Dec 19, 16	1,957.26	2,047.50		-90.24	
	FIFO	1,000.000	Nov 16, 16	Dec 19, 16	978.63	982.50		-3.87	

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Account name:

M & M BARTLETT FAMILY

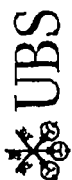
ACCOUNT 4912

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DAVITA INC NTS B/E 05 750% 081522 DTD082812 FC021513 CALL@MMW+508P Original cost basis : \$17,896.75	FIFO	17,000.000	Jun 07, 16	Aug 31, 16	17,828.75	17,867.59		-38.84	
DELUXE CORP NTS B/E 06 000% 111520 DTD112712 FC051513 CALL@MMW+508P	FIFO	17,000.000	Jun 07, 16	Nov 15, 16	17,510.00	17,715.63		-205.63	
DISCOVERY COMM INC B/E 04 900% 031126 DTD031116 FC091116 CALL@MMW+508P Original cost basis : \$2,132.50	FIFO	2,000.000	Jun 07, 16	Dec 19, 16	2,078.52	2,126.50		-47.98	
DISH DBS CORP NTS B/E 07 875% 090119 DTD081709 FC030110 CALL@MMW+508P Original cost basis : \$2,190.00	FIFO	2,000.000	Jun 16, 16	Dec 06, 16	2,211.26	2,163.62			47.64
DISH DBS CORP NTS B/E 05 125% 050120 DTD040513 FC110113 CALL@MMW+508P Original cost basis : \$3,056.25	FIFO	3,000.000	Jun 09, 16	Dec 08, 16	3,110.16	3,049.59			60.57
DUN & BRADSTREET CORP 04 000% 061520 DTD061515 FC121515 CALL@MMW+37 58P Original cost basis : \$2,073.48	FIFO	2,000.000	Jun 14, 16	Dec 19, 16	2,048.62	2,064.53		-15.91	
EXPEDIA INC NTS B/E 05 950% 081520 DTD080510 FC021511 CALL@MMW+508P Original cost basis : \$2,238.25	FIFO	2,000.000	Jun 13, 16	Dec 19, 16	2,182.34	2,210.19		-27.85	
FIDELITY NATL INFORM B/E 03 875% 060524 DTD060314 FC120514 CALL@MMW+258P Original cost basis : \$2,145.54	FIFO	2,000.000	Aug 12, 16	Dec 19, 16	2,018.72	2,139.62		-120.90	
GANNETT CO INC NTS B/E 06 375% 101523 DTD101514 FC041515 CALL@MMW+508P Original cost basis : \$3,219.00	FIFO	3,000.000	Jun 07, 16	Dec 19, 16	3,166.50	3,205.67		-39.17	

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Account name:

M. & M. BARTLETT FAMILY

ACCOUNT 4912

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC
 EIN 22-3512759
 ATTACHMENT TO 2016 FORM 990-PF, PAGE 3, PART IV
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
GAP INC CALL@MMW T+40BP 05.950% 041221 DTD041211 Original cost basis : \$3,112.50	FIFO	3,000 000	Jun 08, 16	Dec 19, 16	3,150.63	3,101.41			49.22
HCA INC B/E 05.875% 031522 DTD021612 FC091512 Original cost basis : \$2,167.00	FIFO	2,000 000	Jun 10, 16	Dec 19, 16	2,143.56	2,153.34		-9.78	
INFOR US INC NTS B/E 06.500% 051522 DTD111515 FC051516	FIFO	3,000 000	Aug 31, 16	Dec 20, 16	3,121.50	3,048.75			72.75
INTL GAME TECHNOLOGY B/E 07.500% 061519 DTD061509 FC121509 Original cost basis : \$5,558.13	FIFO	5,000 000	Jun 22, 16	Sep 22, 16	5,568.75	5,513.32			55.43
KLA-TENCOR CORP NTS B/E 04.650% 110124 DTD110614 FC050115 CALL@MMW+37.5BP Original cost basis : \$3,228.06	FIFO	11,000 000	Jun 22, 16	Oct 04, 16	12,251.25	12,116.15			135.10
L-3 COMMIS CORP NTS B/E 04.950% 021521 DTD020711 FC081511 CALL@MMW+25BP Original cost basis : \$3,313.95	FIFO	3,000 000	Jun 07, 16	Dec 19, 16	3,149.70	3,215.48		-65.78	
LIFEPOINT HOSP INC NTS 05.875% 120123 DTD120415 FC060116 CALL@MMW+50BP	FIFO	3,000 000	Jul 27, 16	Dec 19, 16	3,195.69	3,288.06		-92.37	
MASCO CORP NTS B/E 03.500% 040121 DTD031716 FC100116 CALL@MMW+35BP Original cost basis : \$3,055.50	FIFO	3,000 000	Sep 28, 16	Dec 19, 16	3,024.39	3,105.00		-80.61	
MOTOROLA SOLUTIONS INC 04.000% 090124 DTD081914 FC030115 CALL@MMW+30BP	FIFO	3,000 000	Jun 10, 16	Dec 19, 16	3,001.50	3,049.81		-48.31	
R R DONNELLEY & SONS CO 07.875% 031521 DTD031413 FC091513 CALL@MMW+50BP Original cost basis : \$3,114.00	FIFO	3,000 000	Sep 14, 16	Dec 19, 16	2,980.83	2,994.69		-13.86	

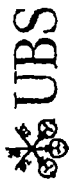
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Account name: M. & M. BARTLETT FAMILY

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
STEEL DYNAMICS INC NTS 06 375% 081522 DTD021513 FC081513 CALL@MMW+50BP Original cost basis: \$3,156.37	FIFO	3,000 000	Dec 07, 16	Dec 19, 16	3,150 06	3,155 57		-5 51	
STEEL DYNAMICS INC NTS 05 125% 100121 DTD040115 FC100115 CALL@MMW+50BP Original cost basis: \$17,510.00	FIFO	17,000 000	Jun 09, 16	Dec 07, 16	17,743.92	17,482 39			261 53
TESORO CORP B/E 05 125% 040124 DTD031814 FC100114 CALL@MMW+50BP	FIFO	3,000 000	Jun 17, 16	Dec 19, 16	3,077 64	3,022 50			55 14
	FIFO	3,000 000	Jun 17, 16	Dec 20, 16	3,062.55	3,022 50			40 05
TRANSDIGM INC NTS B/E 06 000% 071522 DTD060414 FC011515 CALL@MMW+50BP	FIFO	3,000 000	Jun 13, 16	Dec 19, 16	3,112 50	3,048 00			64 50
TRINITY ACQUISITION PLC 04 625% 081523 DTD081513 FC021514 CALL@MMW+35BP Original cost basis: \$3,184.88	FIFO	3,000 000	Jun 08, 16	Dec 19, 16	3,067 38	3,172 76		-105 38	
TRINITY INDUSTRIES INC 04 550% 100124 DTD092514 FC040115 CALL@MMW+30BP	FIFO	3,000 000	Jun 27, 16	Dec 19, 16	2,842 17	2,773 88			68 29
UNITED RENTALS NORTH AM 05 750% 111524 DTD032614 FC051514 CALL@MMW+50BP	FIFO	3,000 000	Jun 07, 16	Dec 19, 16	3,127 50	3,063 00			64 50
URS CORP NTS B/E 05 000% 040122 DTD100113 STEPUP CALL CALL@MMW+50BP	FIFO	4,000 000	Jun 07, 16	Dec 19, 16	3,984.28	3,809 00			175 28
VERISK ANALYTICS INC NTS 04 125% 091222 DTD091212 FC031213 CALL@MMW+40BP Original cost basis: \$2,118.32	FIFO	2,000 000	Jun 13, 16	Dec 19, 16	2,051 80	2,109 34		-57 54	

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