Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation
MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.

A Employer identification number
22-3512759

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P.O. BOX 489, YOUNG'S ROAD

B Telephone number
(973)993-5974

City or town, state or province, country, and ZIP or foreign postal code
NEW VERNON, NJ 07976-0489

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 5,646,427.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	20,692.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	158,414.	158,414.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,491,649.			
	b Gross sales price for all assets on line 6a 6,344,585.				
	7 Capital gain net income (from Part IV, line 2)		3,491,649.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	3,670,755.	3,650,063.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	6,738.	2,246.		4,492.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	6,401.	314.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	21,234.	7,068.		14,136.
	24 **Total operating and administrative expenses** Add lines 13 through 23	34,373.	9,628.		18,628.
	25 Contributions, gifts, grants paid	719,614.			719,614.
	26 **Total expenses and disbursements** Add lines 24 and 25	753,987.	9,628.		738,242.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	2,916,768.			
	b **Net investment income** (if negative, enter -0-)		3,640,435.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions

Form **990-PF** (2016)

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,467.	265,706.	265,706.
	2 Savings and temporary cash investments	201,597.		
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	2,492,155.	4,861,816.	4,894,142.
	c Investments - corporate bonds STMT 6	0.	488,465.	486,579.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,699,219.	5,615,987.	5,646,427.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	497,883.	497,883.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,201,336.	5,118,104.	
	30 **Total net assets or fund balances**	2,699,219.	5,615,987.	
	31 **Total liabilities and net assets/fund balances**	2,699,219.	5,615,987.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,699,219.
2 Enter amount from Part I, line 27a	2	2,916,768.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,615,987.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,615,987.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	6,344,585.		2,852,936.	3,491,649.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				3,491,649.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 3,491,649.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	364,470.	5,060,676.	.072020
2014	342,267.	5,628,798.	.060806
2013	309,333.	4,966,254.	.062287
2012	175,663.	4,427,068.	.039679
2011	193,797.	4,185,972.	.046297

2	Total of line 1, column (d)	2	.281089
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056218
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,638,334.
5	Multiply line 4 by line 3	5	316,976.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	36,404.
7	Add lines 5 and 6	7	353,380.
8	Enter qualifying distributions from Part XII, line 4	8	738,242.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	36,404.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	36,404.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	36,404.
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 4,400.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 50,206.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	54,606.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	18,202.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 18,202. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 7	10	X	

Form **990-PF** (2016)

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MARSHALL BARTLETT Telephone no. ▶ 973-993-5974
Located at ▶ YOUNG'S ROAD, P.O. BOX 489, NEW VERNON, NJ ZIP+4 ▶ 07976

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	5,206,422.
b	Average of monthly cash balances		1b	517,775.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	5,724,197.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	5,724,197.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	85,863.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	5,638,334.
6	**Minimum investment return** Enter 5% of line 5		6	281,917.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain *foreign organizations check here* ▶ ☐ *and do not complete this part.*)

1	Minimum investment return from Part X, line 6		1	281,917.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 36,404.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	36,404.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	245,513.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	245,513.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	245,513.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	738,242.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	738,242.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	36,404.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	701,838.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				245,513.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: _____ , _____ , _____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013 65,076.				
d From 2014 68,059.				
e From 2015 120,232.				
f Total of lines 3a through e	253,367.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 738,242.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				245,513.
e Remaining amount distributed out of corpus	492,729.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	746,096.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	746,096.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013 65,076.				
c Excess from 2014 68,059.				
d Excess from 2015 120,232.				
e Excess from 2016 492,729.				

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	48,000.
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	1,650.
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEW LONDON, CT 06320	NONE	PUBLIC	GENERAL	50,000.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	107,750.
INTERLOCHEN CENTER FOR ARTS P.O. BOX 199 INTERLOCHEN, MI 49643	NONE	PUBLIC	GENERAL	6,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	719,614.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	158,414.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	3,491,649.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		3,650,063.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 3,650,063.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Marshall P. Bartlett | 6/03/17 | TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
JANE E. ULAKI	Jane E. Ulaki	JUN - 1 2017		P00227413
Firm's name ▶ CLYNE EAGAN & ASSOCIATES			Firm's EIN ▶ 22-2706394	
Firm's address ▶ 8 RIDGEDALE AVENUE CEDAR KNOLLS, NJ 07927			Phone no. (973) 993-9767	

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization	Employer identification number
MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.	22-3512759

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.

Employer identification number: 22-3512759

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARSHALL BARTLETT P.O. BOX 489, YOUNG'S ROAD NEW VERNON, NJ 07976	$ 10,346.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
2	MARSHALL BARTLETT P.O. BOX 489, YOUNG'S ROAD NEW VERNON, NJ 07976	$ 10,346.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.	22-3512759

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	2000 SHARES OF PRECISION CASTPARTS CORP STOCK	$ 469,630.	01/26/16
2	2000 SHARES OF PRECISION CASTPARTS CORP STOCK	$ 469,680.	01/27/16
		$	
		$	
		$	
		$	

Name of organization: MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.

Employer identification number: 22-3512759

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS - 4910- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
b	UBS - 4910- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
c	UBS - 4909- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
d	UBS - 4909- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
e	UBS - 4370 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
f	UBS - 4370 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
g	UBS - 4911 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
h	UBS - 4912- SEE ATTACHED STMT	P	VARIOUS	VARIOUS
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	688,880.		661,337.	27,543.
b	1,435,909.		656,895.	779,014.
c	196,872.		162,963.	33,909.
d	424,189.		238,085.	186,104.
e	47,514.		48,843.	-1,329.
f	2,449,016.		734,235.	1,714,781.
g	913,434.		161,848.	751,586.
h	188,771.		188,730.	41.
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				27,543.
b				779,014.
c				33,909.
d				186,104.
e				-1,329.
f				1,714,781.
g				751,586.
h				41.
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	3,491,649.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARVARD LAW SCHOOL ANNUAL FUND-ALUMNI CENTER 1563 MASSACHUSETTS AVE. CAMBRIDGE, MA 02138	NONE	PUBLIC	GENERAL	500.
WHARTON INSTITUTE FOR THE PERFORMING ARTS 60 LOCUST AVENUE BERKELEY HEIGHTS, NJ 07922	NONE	PUBLIC	GENERAL	1,000.
FOXCROFT SCHOOL 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	GENERAL	80,000.
WESTERN COLLEGE ALUMNAE ASSOCIATION 325 SOUTH PATTERSON AVENUE OXFORD, OH 45056	NONE	PUBLIC	GENERAL	50,000.
BOY SCOUTS OF AMERICA 350 FIFTH AVENUE, SUITE 7820 NEW YORK, NY 10118	NONE	PUBLIC	GENERAL	1,000.
AMERICAN HELLENIC INSTITUTE 1220 SIXTEENTH STREET NW WASHINGTON, DC 20077-5702	NONE	PUBLIC	GENERAL	250.
FAIRLEIGH DICKINSON UNIVERSITY 1000 RIVER ROAD H-DH3-17 TEANECK, NJ 07666	NONE	PUBLIC	GENERAL	200,000.
MORVEN MUSEUM & GARDEN 55 STOCKTON STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	500.
UNIVERSITY OF VA OFFICE OF UNIVERSITY ADVANCEMENT P.O. BOX 400807 CHARLOTTESVILLE, VA 22904-4807	NONE	PUBLIC	GENERAL	2,500.
FRIENDS OF INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,750.
Total from continuation sheets				506,214.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRIENDS OF THE PRINCETON UNIVERSITY LIBRARY P.O. BOX 5357 PRINCETON, NJ 08543	NONE	PUBLIC	GENERAL	1,075.
ARTS COUNCIL OF PRINCETON, PAUL ROBESON CENTER FOR THE ARTS 102 WITHERSPOON ST. PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	1,000.
NAVAL SPECIAL WARFARE FOUNDATION, IN MEMORY OF MARK METHERELL P.O. BOX 5965 VIRGINIA BEACH, VA 23471	NONE	PUBLIC	GENERAL	500.
PRINCETON UNIVERSITY ANNUAL GIVING PO BOX 5357 PRINCETON, NJ 08543-5357	NONE	PUBLIC	GENERAL	1,250.
POTOMAC VALLEY OPERA COMPANY 2218 N. KENSINGTON STREET ARLINGTON, VA 22205	NONE	PUBLIC	GENERAL	2,500.
GREAT SWAMP WATERSHED ASSOCIATION PO BOX 300 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	1,500.
EAGLEBROOK SCHOOL 271 PINE NOOK ROAD DEERFIELD, MA 01342-0007	NONE	PUBLIC	GENERAL	35,000.
PRINCETON UNIVERSITY ART MUSEUM MCCORMICK HALL PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	2,000.
WASHINGTON ASSOCIATION OF NJ PO BOX 1473 MORRISTOWN, NJ 07962-1473	NONE	PUBLIC	GENERAL	3,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
US FUND FOR INTERNATIONAL SCOUTING 1325 WEST WALNUT HILL LANE, PO BOX 152079 IRVING, TX 75015-2079	NONE	PUBLIC	GENERAL	5,000.
KEMMERER LIBRARY P.O. BOX 283 NEW VERNON, NJ 07976-0283	NONE	PUBLIC	GENERAL	125.
PRINCETON HEALTHCARE SYSTEM FOUNDATION 253 WITHERSPOON STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	500.
STONY BROOK MILLSTONE WATERSHED ASSOCIATION 31 TITUS MILL ROAD PENNINGTON, NJ 08534	NONE	PUBLIC	GENERAL	1,000.
PRINCETON PUBLIC LIBRARY FOUNDATION SANDS LIBRARY BLDG, 65 WITHERSPOON RD. PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	500.
PRINCETON UNIVERSITY CHAPEL MURRAY-DODGE HALL PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	500.
TRINITY EPISCOPAL CHURCH 33 MERCER STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	750.
THE FOOTE SCHOOL 50 LOOMIS PLACE NEW HAVEN, CT 06511	NONE	PUBLIC	GENERAL	2,500.
NEW YORK PUBLIC RADIO P.O. BOX 1550 NEW YORK, NY 10116-1550	NONE	PUBLIC	GENERAL	250.
EVERYTOWN FOR GUN SAFETY SUPPORT FUND P.O. BOX 3886 NEW YORK, NY 10017	NONE	PUBLIC	GENERAL	25.
Total from continuation sheets				

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLLEGE OF ST. ELIZABETH 2 CONVENT ROAD MORRISTOWN, NJ 07960-9766	NONE	PUBLIC	GENERAL	500.
HARVARD MAGAZINE PO BOX 414946 BOSTON, MA 02241-4946	NONE	PUBLIC	GENERAL	100.
HELEN KELLER INTERNATIONAL PO BOX 509 ETNA , NH 03750-9902	NONE	PUBLIC	GENERAL	250.
AMERICAN INDIAN COLLEGE FUND P.O. BOX 172449 DENVER, CO 80217-9673	NONE	PUBLIC	GENERAL	1,000.
PG CHAMBERS SCHOOL 15 HALKO DRIVE CEDAR KNOLLS, NJ 07927	NONE	PUBLIC	GENERAL	250.
RWJ UNIVERSITY HOSPITAL FOUNDATION 10 PLUM ST. SUITE 910 NEW BRUNSWICK, NJ 08901	NONE	PUBLIC	GENERAL	250.
SUMMIT AREA YMCA 490 MORRIS AVENUE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	500.
SUMMIT SPEECH SCHOOL 705 CENTRAL AVENUE NEW PROVIDENCE, NJ 07974	NONE	PUBLIC	GENERAL	250.
AMISTAD MISSION PO BOX 23030 NASHVILLE, TN 37202	NONE	PUBLIC	GENERAL	1,000.
CAMP PASQUANEY 5 SOUTH STATE STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
US FUND FOR UNICEF P.O. BOX 96964 WASHINGTON, DC 20090-6964	NONE	PUBLIC	GENERAL	2,500.
PRINCETON DAY SCHOOL 650 GREAT ROAD PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	25,000.
NEW JERSEY AUDUBON 9 HARDSCRABBLE ROAD BERNARDSVILLE, NJ 07924-9906	NONE	PUBLIC	GENERAL	5,000.
WNET THIRTEEN PO BOX 1313 NEW YORK, NY 10101-1313	NONE	PUBLIC	GENERAL	125.
DREW UNIVERSITY, OFFICE OF UNIVERSITY ADVANCEMENT 36 MADISON AVENUE MADISON, NJ 07940-1493	NONE	PUBLIC	GENERAL	41,000.
NEW VERNON VOLUNTEER FIRE DEPARTMENT FUND PO BOX 143 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	300.
AMERICAN RED CROSS PO BOX 37839 BOONE , IA 50037-0839	NONE	PUBLIC	GENERAL	1,000.
GEORGE W. BUSH PRESIDENTIAL CENTER/FOUNDATION 2943 SMU BOULEVARD DALLAS, TX 75205	NONE	PUBLIC	GENERAL	500.
DOCTORS WITHOUT BORDERS PO BOX 5023 HAGERSTOWN , MD 21741-5023	NONE	PUBLIC	GENERAL	500.
CAMBRIDGE IN AMERICA 292 MADISON AVE., 8TH FLOOR NEW YORK, NY 10017	NONE	PUBLIC	GENERAL	1,500.
Total from continuation sheets				

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. ANDREWS EPISCOPAL CHURCH PO BOX 272 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	1,000.
ST. HUBERT'S 575 WOODLAND AVE., P.O. BOX 159 MADISON, NJ 07940-0159	NONE	PUBLIC	GENERAL	250.
OXFAM AMERICA PO BOX 6024 ALBERT LEA, MN 56007-9890	NONE	PUBLIC	GENERAL	250.
EAST WOODS SCHOOL 31 YELLOW COTE RD OYSTER BAY, NY 11771-4199	NONE	PUBLIC	GENERAL	250.
HARDING TOWNSHIP EDUCATION FUND PO BOX 734 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	200.
CARE PO BOX 1871 MERRIFIELD, VA 22116-9753	NONE	PUBLIC	GENERAL	100.
NATURE CONSERVANCY PO BOX 6014 ALBERT LEA, MN 56007-9984	NONE	PUBLIC	GENERAL	200.
FRIENDS OF ROCK HALL 199 BROADWAY LAWRENCE, NY 11559	NONE	PUBLIC	GENERAL	50.
NASSAU NURSERY SCHOOL C/O TRINITY CHURCH 33 MERCER ST. PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	2,800.
DAYTOP NJ @ CRAWFORD HOUSE PO BOX 255 SKILLMAN, NJ 08558	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				

623631
04-01-16

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC. 22-3512759

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOUNDATION FOR MORRISTOWN MEDICAL CENTER 475 SOUTH ST., 1ST FLR MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	1,000.
HARDING TOWNSHIP CIVIC ASSOCIATION PO BOX 72 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	50.
DUKE OF EDINGURGH INTERNATIONAL AWARD PROGRAM 222 BROADWAY, 19TH FLR NEW YORK, NY 10038	NONE	PUBLIC	GENERAL	250.
MEMORIAL SLOAN KETTERING CANCER CENTER PO BOX 5028 HAGERSTOWN, MD 21741-5028	NONE	PUBLIC	GENERAL	2,500.
FOUNTAIN HOUSE 425 WEST 47TH ST. NEW YORK, NY 10036	NONE	PUBLIC	GENERAL	2,500.
THE TOWN SCHOOL 540 EAST 76TH ST. NEW YORK, NY 10021-3394	NONE	PUBLIC	GENERAL	3,327.
CONVENT OF THE SACRED HEART 1 EAST 91ST STREET NEW YORK, NY 10128-0689	NONE	PUBLIC	GENERAL	5,587.
THE CAUMSETT FOUNDATION 25 LLOYD HARBOR RD LLOYD HARBOR, NY 11743	NONE	PUBLIC	GENERAL	1,050.
COLD SPRING HARBOR FISH HATCHERY 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	NONE	PUBLIC	GENERAL	1,000.
CHATHAM HIGH SCHOOL ATHLETIC BOOSTERS PO BOX 543 CHATHAM, NJ 07928	NONE	PUBLIC	GENERAL	100.
Total from continuation sheets				

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MIAMI UNIVERSITY FOUNDATION 725 E. CHESTNUT ST. OXFORD, OH 45056-2499	NONE	PUBLIC	GENERAL	500.
USA FOR UNHCR 1775 K STREET NW, SUITE 580 WASHINGTON, DC 20006	NONE	PUBLIC	GENERAL	1,000.
COMMUNITY FOODBANK OF NEW JERSEY 31 EVANS TERMINAL HILLSIDE, NJ 07205	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY CONCERTS WOOLWORTH CENTER ROOM 301 PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	500.
Total from continuation sheets				

623631
04-01-16

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS - 4370	109,127.	0.	109,127.	109,127.	
UBS - 4370	16,510.	0.	16,510.	16,510.	
UBS - 4909	3,089.	0.	3,089.	3,089.	
UBS - 4910	22,476.	0.	22,476.	22,476.	
UBS - 4911 & 4912	7,212.	0.	7,212.	7,212.	
TO PART I, LINE 4	158,414.	0.	158,414.	158,414.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,738.	2,246.		4,492.
TO FORM 990-PF, PG 1, LN 16B	6,738.	2,246.		4,492.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	314.	314.		0.
EXCISE TAX	6,087.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,401.	314.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NJ CHARITIES REGISTRATION FEE	30.	0.		0.
BANK FEES	78.	26.		52.
UBS - INVESTMENT ADVISORY FEES	21,126.	7,042.		14,084.
TO FORM 990-PF, PG 1, LN 23	21,234.	7,068.		14,136.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 4370- SEE ATTACHED STMT	1,542,505.	1,475,000.
UBS 4911 - SEE ATTACHED STMT	868,318.	893,586.
UBS 4910- SEE ATTACHED STMT	1,887,993.	1,929,810.
UBS 4909- SEE ATTACHED STMT	563,000.	595,746.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,861,816.	4,894,142.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 4912- SEE ATTACHED STMT	488,465.	486,579.
TOTAL TO FORM 990-PF, PART II, LINE 10C	488,465.	486,579.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 7

NAME OF CONTRIBUTOR	ADDRESS
MARSHALL BARTLETT	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489
MARGARET BARTLETT	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489
ESTATE OF EDMUND BARTLETT, MARSHALL BARTLETT, EXECUTOR	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE, PRES., &TREASURER 0.00	0.	0.	0.
MARGARET W. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & VICE-PRESIDENT 0.00	0.	0.	0.
JOHN P. BARTLETT 19 CLEVELAND LANE PRINCETON, NJ 085403049	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
STEPHEN W. BARTLETT 885 PARK AVE., APT. 8C NEW YORK, NY 10075	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & SECRETARY 0.00	0.	0.	0.
DAVID L. SCULL 8401 CONNECTICUT AVENUE # 1202 CHEVY CHASE, MD 208155804	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 9

NAME OF MANAGER

MARSHALL P. BARTLETT
MARGARET W. BARTLETT

Account 4370

MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION
EIN 22-3512759
ATTACHMENT TO 2016 FORM 990-PF, PAGE 2, PART II, LINES 10(b) & 10(c), STATEMENTS 5 & 6

UBS

Business Services Account
December 2016

Account name: M. & M. BARTLETT FAMILY
Friendly account name: UTES/Cash
Account number: 4370

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
REAVES UTILITIES ETF									
Trade date: Jun 6, 16	50,000 000	30 850	1,542,505.25	1,542,505 25	29 500	1,475,000 00	-67,505 25	-67,505.25	ST
EAI $30,000 Current yield 2.03%									

UBS Portfolio Management Program
December 2016

Account name. M. & M BARTLETT FAMILY
Account number: 4911

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments *in order to determine* the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss *may need to be adjusted for return of capital payments in order to* determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol: IWD									
Trade date Jun 7, 16	1,322 000	103 668	137,049 49	137,049 49	112 030	148,103.66	11,054 17		ST
Trade date: Jun 8, 16	353 000	103 668	36,594 91	36,594 91	112 030	39,546.59	2,951.68		ST
EAI: $4,221 Current yield. 2 25%									
Security total	1,675.000	103.668	173,644 40	173,644 40		187,650 25	14,005 85	14,005.85	
ISHARES CORE HIGH DIVID ETF									

continued next page

UBS Portfolio Management Program
December 2016

Account name: M & M BARTLETT FAMILY

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol: HDV									
Trade date: Jun 8, 16	2,135.000	81 239	173,447 19	173,447 19	82 250	175,603.75	2,156 56	2,156 56	ST
EAI: $5,765 Current yield 3.28%									
ISHARES EDGE MSCI MIN VOL USA ETF									
Symbol USMV									
Trade date: Jun 8, 16	3,866 000	44 918	173,652 99	173,652.99	45 220	174,820 52	1,167.53	1,167 53	ST
EAI. $3,878 Current yield. 2 22%									
ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF									
Symbol. MTUM									
Trade date: Jun 8, 16	2,309.000	75.239	173,728 01	173,728.01	75 860	175,160.74	1,432.73	1,432.73	ST
EAI $2,505 Current yield 1.43%									
ISHARES EDGE MSCI USA QUALITY FACTOR ETF									
Symbol. QUAL									
Trade date. Jun 8, 16	2,610 000	66.607	173,845 58	173,845 58	69 100	180,351 00	6,505.42	6,505 42	ST
EAI: $2,988 Current yield. 1 66%									
Total			**$868,318.17**	**$868,318.17**		**$893,586.26**	**$25,268.09**	**$25,268.09**	

Total estimated annual income: $19,357

UBS

Portfolio Management Program
December 2016

Account name: M. & M BARTLETT FAMILY
Account number: 4910

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange NYSE								
EAI $598 Current yield 2 07%	Jun 7, 16	247.000	119 455	29,505 51	117 130	28,931 11	-574 40	ST
ADIENT PLC								
Symbol ADNT Exchange. NYSE	Oct 31, 16	70 900	46 584	3,302 87	58.600	4,154.74	851.87	ST
	Oct 31, 16	10 100	46 585	470.51	58 600	591 86	121 35	ST
Security total		81.000	46 585	3,773 38		4,746 60	973.22	
ADOBE SYSTEMS INC (DELAWARE)								
Symbol. ADBE Exchange OTC	Dec 14, 15	268 000	91.895	24,627 99	102 950	27,590 60	2,962 61	LT
	Jun 7, 16	6 000	99 350	596 10	102 950	617 70	21 60	ST
Security total		274 000	92 059	25,224.09		28,208 30	2,984 21	
ALPHABET INC CL A								
Symbol: GOOGL Exchange. OTC	Jun 7, 16	37.000	734 510	27,176 87	792 450	29,320 65	2,143.78	ST

continued next page

UBS Portfolio Management Program
December 2016

Account name: M. & M. BARTLETT FAMILY

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
AMERIPRISE FINANCIAL INC Symbol AMP Exchange NYSE EAI $879 Current yield 2.70%	Jun 7, 16	293 000	101 948	29,870 88	110.940	32,505.42	2,634 54	ST
BECTON DICKINSON & CO Symbol BDX Exchange NYSE EAI: $491 Current yield 1 77%	Jun 7, 16	168.000	168 984	28,389 35	165 550	27,812.40	-576 95	ST
BLACKROCK INC Symbol BLK Exchange NYSE EAI: $797 Current yield 2.41%	Dec 14, 16	87.000	393.050	34,195.35	380.540	33,106.98	-1,088 37	ST
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange: AMEX EAI $1,272 Current yield 3.85%	Jun 7, 16	293 000	124.517	36,483 54	112 670	33,012.31	-3,471.23	ST
CHUBB LTD CHF Symbol CB Exchange NYSE EAI $847 Current yield: 2.09% CHF Exchange rate 1.01635	Jun 7, 16	304.000	126.649	38,501.33	132 120	40,164 48	1,663 15	ST
	Oct 4, 16	3 000	123 070	369 21	132.120	396 36	27 15	ST
Security total		307.000	126.614	38,870.54		40,560.84	1,690 30	
COCA COLA CO COM Symbol KO Exchange NYSE EAI $1,261 Current yield 3 38%	Jun 7, 16	848 000	45 387	38,488 43	41 460	35,158.08	-3,330 35	ST
	Oct 4, 16	53 000	41 969	2,224 40	41.460	2,197 38	-27.02	ST
Security total		901 000	45 186	40,712 83		37,355 46	-3,357.37	
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI $1,420 Current yield 2.38%	Jun 7, 16	910 000	71 878	65,409 17	65 440	59,550 40	-5,858 77	ST
COMCAST CORP NEW CL A Symbol CMCSA Exchange OTC EAI: $494 Current yield 1.59%	Jun 7, 16	449 000	63 566	28,541 27	69 050	31,003 45	2,462.18	ST
CRANE CO Symbol CR Exchange: NYSE EAI $800 Current yield 1 83%	Jun 7, 16	606 000	59 643	36,144.21	72 120	43,704 72	7,560 51	ST

continued next page

UBS

Portfolio Management Program
December 2016

Account name: M & M BARTLETT FAMI'' Y

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI: $684 Current yield 2 53%	Jun 7, 16	314 000	97.026	30,466 42	78 910	24,777 74	-5,688 68	ST
	Oct 4, 16	28 000	86.478	2,421.41	78 910	2,209 48	-211 93	ST
Security total		342 000	96.163	32,887 83		26,987.22	-5,900 61	
DANAHER CORP								
Symbol: DHR Exchange. NYSE								
EAI: $190 Current yield 0 64%	Jun 7, 16	305 000	75.201	22,936.32	77 840	23,741.20	804.88	ST
	Oct 4, 16	75 000	78.029	5,852.18	77.840	5,838 00	-14.18	ST
Security total		380 000	75 759	28,788 50		29,579.20	790 70	
DIAGEO PLC NEW GB SPON ADR								
Symbol. DEO Exchange NYSE								
EAI: $1,006 Current yield 3.00%	Jun 7, 16	323 000	110 141	35,575.58	103.940	33,572 62	-2,002.96	ST
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI. $366 Current yield 1 26%	Sep 28, 15	224 000	108 094	24,213 24	117 220	26,257.28	2,044.04	LT
	Jun 7, 16	23 000	120.350	2,768.05	117 220	2,696 06	-71 99	ST
Security total		247 000	109.236	26,981.29		28,953.34	1,972 05	
FACEBOOK INC CL A								
Symbol. FB Exchange OTC	Jun 7, 16	231 000	117 826	27,217.99	115 050	26,576 55	-641.44	ST
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI $1,421 Current yield 2 06%	Jun 7, 16	515 000	130 024	66,962.87	134 080	69,051 20	2,088 33	ST
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI: $684 Current yield 2 30%	Jan 31, 01	257 000	47.805	12,286.00	115 850	29,773 45	17,487.45	LT
INTEL CORP								
Symbol INTC Exchange. OTC								
EAI: $1,043 Current yield 2 87%	Jul 7, 15	573 000	29.812	17,082 44	36.270	20,782.71	3,700 27	LT
	Sep 28, 15	430 000	29.151	12,535.02	36.270	15,596.10	3,061 08	LT
Security total		1,003.000	29 529	29,617 46		36,378 81	6,761 35	

continued next page

UBS Portfolio Management Program
December 2016

Account name: M & M BARTLETT FAMILY

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
INTERCONTINENTALEXCHANGE GROUP Symbol. ICE Exchange. NYSE EAI: $374 Current yield. 1.21%	Jun 7, 16	550 000	53.049	29,176 95	56 420	31,031 00	1,854 05	ST
INVESCO LTD Symbol IVZ Exchange. NYSE EAI $2,372 Current yield: 3.69%	Jun 7, 16	2,118 000	30 269	64,109 75	30 340	64,260 12	150 37	ST
JOHNSON CTLS INTL PLC Symbol JCI Exchange: NYSE EAI $818 Current yield 2.43%	Sep 6, 16	717.000	47 842	34,303.39	41 190	29,533.23	-4,770.16	ST
	Oct 4, 16	101 000	46 166	4,662 81	41 190	4,160.19	-502 62	ST
Security total		818.000	47.636	38,966 20		33,693.42	-5,272 78	
LOCKHEED MARTIN CORP Symbol: LMT Exchange NYSE EAI $1,158 Current yield: 2.91%	Jun 7, 16	159.000	240 441	38,230 22	249 940	39,740 46	1,510 24	ST
LOWES COMPANIES INC Symbol LOW Exchange: NYSE EAI. $570 Current yield 1.97%	Jun 7, 16	383 000	79 429	30,421 65	71 120	27,238.96	-3,182 69	ST
	Oct 4, 16	24 000	72.686	1,744 47	71 120	1,706 88	-37 59	ST
Security total		407 000	79 032	32,166 12		28,945.84	-3,220 28	
MARSH & MCLENNAN COS INC Symbol MMC Exchange: NYSE EAI $774 Current yield 2 01%	Jun 7, 16	569 000	66 517	37,848 18	67 590	38,458 71	610 53	ST
MCDONALDS CORP Symbol MCD Exchange NYSE EAI. $1,241 Current yield 3.09%	Dec 14, 15	200 000	116 519	23,303 93	121.720	24,344 00	1,040 07	LT
	Jun 7, 16	114 000	122.786	13,997 70	121 720	13,876 08	-121 62	ST
	Oct 4, 16	16 000	114 528	1,832 46	121.720	1,947 52	115 06	ST
Security total		330.000	118.588	39,134 09		40,167.60	1,033.51	
MCKESSON CORP Symbol MCK Exchange: NYSE EAI $200 Current yield 0.80%	Jun 7, 16	163.000	186.929	30,469.44	140 450	22,893 35	-7,576.09	ST
	Oct 4, 16	16 000	165 658	2,650.54	140.450	2,247 20	-403.34	ST
Security total		179 000	185.028	33,119.98		25,140 55	-7,979 43	

continued next page

UBS Portfolio Management Program
December 2016

Account name: M. & M BARTLETT FAMILY

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
MEDTRONIC PLC								
Symbol MDT Exchange: NYSE								
EAI. $1,324 Current yield 2 41%	Jun 7, 16	770.000	83 780	64,510 60	71.230	54,847.10	-9,663 50	ST
MICROSOFT CORP								
Symbol· MSFT Exchange: OTC								
EAI. $1,030 Current yield: 2 51%	Jul 7, 15	385.000	44 177	17,008 44	62.140	23,923.90	6,915 46	LT
	Sep 28, 15	275.000	43 668	12,008 73	62 140	17,088 50	5,079 77	LT
Security total		660 000	43.965	29,017 17		41,012 40	11,995 23	
MYLAN N V EUR								
Symbol· MYL Exchange. OTC								
EUR Exchange rate: 0.94809	Jun 7, 16	657 000	46.379	30,471 16	38 150	25,064 55	-5,406 61	ST
	Oct 4, 16	124.000	37 976	4,709 10	38 150	4,730 60	21 50	ST
Security total		781 000	45 045	35,180 26		29,795.15	-5,385.11	
NEXTERA ENERGY INC COM								
Symbol· NEE Exchange: NYSE								
EAI· $1,096 Current yield 2.91%	Jun 7, 16	315 000	122 193	38,490 99	119 460	37,629 90	-861 09	ST
NORDSTROM INC								
Symbol JWN Exchange NYSE								
EAI· $1,092 Current yield. 3 09%	Jun 7, 16	738 000	39.851	29,410 63	47 930	35,372 34	5,961 71	ST
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $1,101 Current yield. 3 16%	Jun 7, 16	470.000	81.925	38,504 75	72 840	34,234 80	-4,269 95	ST
	Oct 4, 16	8 000	79 198	633 59	72 840	582 72	-50 87	ST
Security total		478 000	81 879	39,138 34		34,817 52	-4,320 82	
O REILLY AUTOMOTIVE INC								
Symbol: ORLY Exchange· OTC	Jun 7, 16	107 000	259 602	27,777 51	278 410	29,789.87	2,012 36	ST
PAREXEL INTL CORP								
Symbol PRXL Exchange. OTC	Jun 7, 16	429 000	62 367	26,755 87	65 720	28,193 88	1,438 01	ST
PEPSICO INC								
Symbol PEP Exchange· NYSE								
EAI· $1,060 Current yield 2 88%	Jun 7, 16	352 000	102.908	36,223 69	104.630	36,829.76	606 07	ST
PRAXAIR INC								
Symbol: PX Exchange NYSE								
EAI $936 Current yield 2.56%	Oct 4, 16	312 000	121 185	37,809 72	117.190	36,563.28	-1,246 44	ST

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
RED HAT INC								
Symbol. RHT Exchange NYSE	Jun 7, 16	371.000	78 433	29,098.87	69 700	25,858.70	-3,240.17	ST
ROCKWELL COLLINS INC								
Symbol COL Exchange NYSE								
EAI $467 Current yield· 1 42%	Jun 7, 16	343 000	88.830	30,468.69	92.760	31,816 68	1,347.99	ST
	Oct 4, 16	11 000	83 649	920.14	92 760	1,020.36	100 22	ST
Security total		354.000	88 669	31,388.83		32,837.04	1,448.21	
ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange NYSE								
EAI· $1,684 Current yield: 2.26%	Jun 7, 16	554 000	119 468	66,185.44	134 400	74,457 60	8,272.16	ST
STARBUCKS CORP								
Symbol: SBUX Exchange· OTC								
EAI: $551 Current yield 1 80%	Jun 7, 16	547 000	55 698	30,466 92	55.520	30,369.44	-97.48	ST
	Oct 4, 16	4.000	53 800	215.20	55.520	222.08	6.88	ST
Security total		551.000	55.684	30,682.12		30,591.52	-90 60	
TEXAS INSTRUMENTS								
Symbol: TXN Exchange OTC								
EAI. $1,086 Current yield: 2 74%	Jun 7, 16	543 000	61 627	33,463 62	72 970	39,622.71	6,159.09	ST
THERMO FISHER SCIENTIFIC INC								
Symbol· TMO Exchange. NYSE								
EAI $112 Current yield. 0 42%	Jun 7, 16	187 000	152 634	28,542 71	141 100	26,385.70	-2,157 01	ST
TIME WARNER INC NEW								
Symbol. TWX Exchange NYSE								
EAI· $604 Current yield: 1.67%	Aug 13, 15	289.000	78 923	22,808 94	96 530	27,897 17	5,088 23	LT
	Jun 7, 16	86 000	75 749	6,514 49	96 530	8,301 58	1,787 09	ST
Security total		375.000	78 196	29,323 43		36,198 75	6,875 32	
TJX COS INC NEW								
Symbol. TJX Exchange NYSE								
EAI $413 Current yield 1 38%	Jun 7, 16	397.000	76 146	30,230 28	75.130	29,826 61	-403 67	ST
UNION PACIFIC CORP								
Symbol UNP Exchange· NYSE								
EAI $941 Current yield. 2 33%	Jun 7, 16	389.000	87 616	34,082.94	103.680	40,331.52	6,248 58	ST

continued next page

UBS Portfolio Management Program
December 2016

Account name: M. & M. BARTLETT FAMILY

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
UNTD TECHNOLOGIES CORP Symbol: UTX Exchange: NYSE EAI: $1,721 Current yield: 2.41%	Jun 7, 16	652 000	102 029	66,523 04	109 620	71,472 24	4,949.20	ST
VF CORP Symbol: VFC Exchange: NYSE EAI: $1,127 Current yield 3 15%	Jun 7, 16	618.000	62.365	38,541 57	53 350	32,970 30	-5,571 27	ST
	Oct 4, 16	53 000	56.329	2,985 48	53 350	2,827 55	-157 93	ST
Security total		671 000	61.888	41,527 05		35,797.85	-5,729 20	
VISA INC CL A Symbol V Exchange: NYSE EAI $301 Current yield: 0.85%	Oct 4, 16	456 000	82 875	37,791 00	78 020	35,577.12	-2,213.88	ST
WALT DISNEY CO (HOLDING CO) DISNEY COM Symbol DIS Exchange NYSE EAI $499 Current yield. 1.50%	Oct 4, 16	320 000	92.649	29,647.97	104 220	33,350.40	3,702.43	ST
Total				**$1,850,168.08**		**$1,889,287.69**	**$39,119.61**	

Total estimated annual income: $38,905

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ENERGY SELECT SECTOR SPDR FUND ETF Symbol: XLE Trade date Oct 4, 16 EAI $916 Current yield: 2.26%	538 000	70 306	37,824.74	37,824 74	75 320	40,522.16	2,697.42	2,697 42	ST

UBS Portfolio Management Program
December 2016

Account name: M & M. BARTLETT FAMILY

Your assets (continued)

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Equities	Common stock	1,889,287.69		1,850,168 08	38,905 00	39,119 61
	Closed end funds & Exchange traded products	40,522.16		37,824 74	916 00	2,697 42
	Total equities	**1,929,809.85**	**95.40%**	**1,887,992.82**	**39,821.00**	**41,817.03**

UBS ACCESS
December 2016

Account name: M & M BARTLETT FAMILY
Account number: 4909

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ANSYS INC Symbol ANSS Exchange OTC	Jun 7, 16	95 000	88 337	8,392 08	92 490	8,786 55	394 47	ST
ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC Symbol AZPN Exchange OTC	Jun 7, 16	544 000	39 153	21,299 72	54 680	29,745 92	8,446.20	ST
BANK OF THE OZARKS INC Symbol OZRK Exchange OTC EAI $211 Current yield 1 25%	Jun 7, 16	320 000	39 503	12,641.15	52 590	16,828 80	4,187 65	ST
BERKLEY W R CORP Symbol WRB Exchange NYSE EAI $165 Current yield 0 78%	Jun 7, 16	318 000	56 182	17,866 13	66.510	21,150 18	3,284 05	ST
CARLISLE COS INC Symbol CSL Exchange NYSE EAI $248 Current yield 1.27%	Jun 7, 16	177.000	101.009	17,878 63	110 290	19,521.33	1,642 70	ST

continued next page

UBS ACCESS
December 2016

Account name: M. & M BARTLETT FAMILY

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CDW CORP Symbol. CDW Exchange OTC EAI $302 Current yield· 1.23%	Jun 7, 16	472 000	42.688	20,148 83	52.090	24,586 48	4,437.65	ST
CHURCH & DWIGHT CO INC Symbol: CHD Exchange NYSE EAI: $283 Current yield 1 61%	Jun 7, 16	398 000	49 413	19,666 69	44 190	17,587 62	-2,079 07	ST
COOPER COMPANIES INC NEW Symbol· COO Exchange NYSE EAI: $8 Current yield 0 03%	Jun 7, 16	137 000	164 500	22,536 55	174 930	23,965 41	1,428 86	ST
CORE LABORATORIES N V EUR Symbol: CLB Exchange· NYSE EAI. $321 Current yield. 1.83% EUR Exchange rate: 0 94809	Jun 7, 16	146.000	130 401	19,038.68	120 040	17,525.84	-1,512 84	ST
DENTSPLY SIRONA INC Symbol. XRAY Exchange. OTC EAI. $119 Current yield 0.54%	Jun 7, 16	384 000	63.026	24,202 29	57 730	22,168 32	-2,033 97	ST
DRIL-QUIP INC Symbol· DRQ Exchange. NYSE	Oct 4, 16	261 000	55.624	14,517 99	60 050	15,673 05	1,155 06	ST
EQUIFAX INC Symbol. EFX Exchange NYSE EAI: $304 Current yield. 1 12%	Jun 7, 16	230 000	125.201	28,796 44	118 230	27,192 90	-1,603.54	ST
EXPEDITORS INTL WASH INC Symbol EXPD Exchange· OTC EAI $345 Current yield· 1 51%	Jun 7, 16	431 000	49.454	21,314 93	52 960	22,825 76	1,510 83	ST
FACTSET RESH SYSTEMS INC Symbol: FDS Exchange NYSE EAI $130 Current yield 1 22%	Jun 7, 16	65.000	160 119	10,407 74	163 430	10,622 95	215 21	ST
FASTENAL CO Symbol FAST Exchange. OTC EAI $331 Current yield· 2.55%	Jun 7, 16	276 000	45.938	12,678 94	46.980	12,966 48	287.54	ST
HENRY JACK & ASSOC INC Symbol· JKHY Exchange· OTC EAI: $297 Current yield 1 26%	Jun 7, 16	265 000	84 697	22,444.86	88 780	23,526 70	1,081 84	ST

continued next page

UBS ACCESS
December 2016

Account name: M & M BARTLETT FAMILY

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
LANDSTAR SYSTEMS INC								
Symbol. LSTR Exchange· OTC								
EAI. $64 Current yield: 0 42%	Jun 7, 16	179.000	67 741	12,125 64	85 300	15,268.70	3,143 06	ST
MANHATTAN ASSOC INC								
Symbol· MANH Exchange: OTC	Jun 7, 16	145 000	67.650	9,809 31	53.030	7,689 35	-2,119.96	ST
	Sep 26, 16	109.000	57 428	6,259 72	53.030	5,780.27	-479.45	ST
	Nov 23, 16	145 000	53.335	7,733 68	53 030	7,689.35	-44 33	ST
Security total		399 000	59 656	23,802.71		21,158.97	-2,643.74	
MONOTYPE IMAGING HOLDINGS INC								
Symbol TYPE Exchange. OTC								
EAI. $417 Current yield: 2 22%	Jun 7, 16	750 000	23.816	17,862 38	19.850	14,887.50	-2,974 88	ST
	Aug 15, 16	197.000	21.687	4,272 38	19 850	3,910 45	-361 93	ST
Security total		947 000	23 374	22,134 76		18,797.95	-3,336 81	
MSCI INC								
Symbol· MSCI Exchange. NYSE								
EAI $360 Current yield. 1 42%	Jun 7, 16	321 000	77 243	24,795.20	78.780	25,288.38	493 18	ST
NORDSON CORP								
Symbol. NDSN Exchange: OTC								
EAI· $253 Current yield· 0 96%	Jun 7, 16	234 000	88.570	20,725 43	112 050	26,219.70	5,494 27	ST
PRIMERICA INC								
Symbol· PRI Exchange NYSE								
EAI $213 Current yield. 1 04%	Jun 7, 16	296 000	57.926	17,146 24	69.150	20,468 40	3,322 16	ST
RBC BEARINGS INC								
Symbol· ROLL Exchange OTC	Jun 7, 16	322 000	75.366	24,268.08	92.810	29,884.82	5,616.74	ST
SIGNATURE BANK NEW YORK N Y								
Symbol SBNY Exchange· OTC	Jun 7, 16	82.000	133 710	10,964 22	150 200	12,316 40	1,352 18	ST
	Aug 4, 16	62 000	119 657	7,418.77	150 200	9,312 40	1,893 63	ST
Security total		144 000	127.660	18,382 99		21,628 80	3,245 81	
SNAP ON INC								
Symbol. SNA Exchange. NYSE								
EAI. $361 Current yield· 1 66%	Jun 7, 16	127 000	158 941	20,185 52	171 270	21,751.29	1,565 77	ST
TRIPADVISOR INC								
Symbol TRIP Exchange OTC	Jun 7, 16	244 000	68 127	16,623.04	46 370	11,314.28	-5,308 76	ST

continued next page

UBS ACCESS
December 2016

Account name: M & M. BARTLETT FAMILY

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
WABCO HOLDINGS INC Symbol. WBC Exchange NYSE	Jun 7, 16	227.000	109.342	24,820 77	106.150	24,096 05	-724 72	ST
WATSCO INC Symbol WSO Exchange. NYSE EAI. $374 Current yield· 2 84%	Jun 7, 16	89 000	136 026	12,106.40	148.120	13,182 68	1,076 28	ST
WINMARK CORP Symbol WINA Exchange: OTC EAI. $43 Current yield 0 32%	Jun 7, 16	63 000	100 999	6,362.94	126 150	7,947 45	1,584.51	ST
	Aug 2, 16	27 000	99 012	2,673 35	126.150	3,406 05	732 70	ST
	Aug 2, 16	17.000	99 010	1,683 17	126.150	2,144 55	461 38	ST
Security total		107 000	100 182	10,719 46		13,498 05	2,778.59	
WYNN RESORTS LTD Symbol· WYNN Exchange OTC EAI· $428 Current yield 2.31%	Jun 7, 16	214.000	99.684	21,332 42	86 510	18,513 14	-2,819.28	ST
Total				**$563,000.31**		**$595,745.50**	**$32,745.19**	

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Managed Accounts Consulting
December 2016

Account name: M & M BARTLETT FAMILY

Account number: 4912

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CDK GLOB INC NTS B/E RATE 03 800% MATURES 10/15/19 ACCRUED INTEREST $71 25 CUSIP 12508EAB7 Moody· Ba1 S&P BB+ EAI· $342 Current yield 3 77%	Jun 16, 16	9,000 000	99 625	8,966 25	100 750	9,067.50	101 25	ST

continued next page

UBS Managed Accounts Consulting
December 2016

Account name: M & M BARTLETT FAMILY

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
DISH DBS CORP NTS B/E CALL@MW+50BP RATE 05.125% MATURES 05/01/20 ACCRUED INTEREST $109 19 CUSIP 25470XAQ8 Moody Ba3 S&P B+ EAI $666 Current yield 4 95% Original cost basis $13,243 75	Jun 09, 16	13,000.000	101 625	13,211.28	103.500	13,455 00	243 72	ST
DUN & BRADSTREET CORP CALL@MW+37.5BP RATE 04 000% MATURES 06/15/20 CALLABLE ACCRUED INTEREST $25.00 CUSIP 26483EAH3 S&P BBB- EAI $600 Current yield 3.90% Original cost basis $15,551 10	Jun 14, 16	15,000 000	103.199	15,479.93	102.638	15,395 70	-84 23	ST
EXPEDIA INC NTS B/E CALL@MW+50BP RATE 05 950% MATURES 08/15/20 ACCRUED INTEREST $312.37 CUSIP 30212PAH8 Moody Ba1 S&P. BBB- EAI $833 Current yield. 5 44% Original cost basis $15,667 75	Jun 13, 16	14,000 000	110.426	15,459.73	109 307	15,302 98	-156 75	ST
BLOCK FINANCIAL NTS B/E CALL@MW+45BP RATE 04 125% MATURES 10/01/20 CALLABLE ACCRUED INTEREST $152.96 CUSIP 093662AF1 Moody Baa3 S&P BBB EAI $619 Current yield 4 04% Original cost basis $15,478 13	Jun 27, 16	15,000 000	102.828	15,424 34	102 094	15,314 10	-110.24	ST

continued next page

UBS

Managed Accounts Consulting
December 2016

Account name: M & M BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
L-3 COMMS CORP NTS B/E CALL@MW+25BP RATE 04 950% MATURES 02/15/21 CALLABLE ACCRUED INTEREST $259.87 CUSIP 502413BA4 Moody. Baa3 S&P BBB- EAI. $693 Current yield. 4 63%								
Original cost basis: $15,465 10	Jul 27, 16	14,000 000	109 535	15,334.90	106 835	14,956 90	-378 00	ST
R R DONNELLEY & SONS CO CALL@MW+50BP RATE 07 875% MATURES 03/15/21 ACCRUED INTEREST $321.56 CUSIP 74978DAA2 Moody B2 S&P B+ EAI $1,103 Current yield 7.65%								
Original cost basis $14,532.00	Jun 07, 16	14,000 000	103.409	14,477.38	103 000	14,420 00	-57 38	ST
MASCO CORP NTS B/E CALL@MW+35BP RATE 03.500% MATURES 04/01/21 CALLABLE ACCRUED INTEREST $129 79 CUSIP 574599BK1 Moody Ba2 S&P BBB EAI $525 Current yield 3.49%								
Original cost basis $13,240 50	Jun 10, 16	13,000.000	101 649	13,214.40	100.250	13,032 50	-181 90	ST
Original cost basis. $2,055 00	Oct 14, 16	2,000.000	102 627	2,052.54	100 250	2,005 00	-47.54	ST
Security total		15,000 000		15,266.94		15,037 50	-229 44	
GAP INC CALL@MW T+40BP RATE 05 950% MATURES 04/12/21 ACCRUED INTEREST $180.48 CUSIP 364760AK4 Moody Baa2 S&P: BB+ EAI. $833 Current yield: 5 65%								
Original cost basis. $14,525.00	Jun 08, 16	14,000 000	103.358	14,470.24	105 234	14,732.76	262 52	ST

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UBS Managed Accounts Consulting
December 2016

Account name: M & M. BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CENTURYLINK INC NTS B/E CALL@MW +50BP RATE 06.450% MATURES 06/15/21 ACCRUED INTEREST $37.62 CUSIP 156700AR7 Moody: Ba3 S&P BB EAI $903 Current yield. 6.13% Original cost basis: $14,276.50	Jun 07, 16	14,000 000	101.781	14,249.34	105 250	14,735 00	485 66	ST
HCA INC B/E RATE 05 875% MATURES 03/15/22 ACCRUED INTEREST $239 89 CUSIP 404121AE5 Moody. Ba1 S&P: BBB- EAI: $823 Current yield 5 45% Original cost basis: $15,169.00	Jun 10, 16	14,000 000	107 626	15,067.75	107 750	15,085.00	17 25	ST
URS CORP NTS B/E LL CALL@MW+50BP RATE 05 000% MATURES 04/01/22 CALLABLE ACCRUED INTEREST $185 41 CUSIP 903243AC7 Moody WR S&P. BB- EAI: $750 Current yield 4 98%	Jun 07, 16	13,000 000	95 225	12,379 25	100 500	13,065.00	685 75	ST
	Aug 04, 16	2,000 000	98 750	1,975 00	100 500	2,010.00	35 00	ST
Security total		15,000 000		14,354 25		15,075 00	720 75	
INFOR US INC NTS B/E RATE 06 500% MATURES 05/15/22 CALLABLE ACCRUED INTEREST $113 75 CUSIP 45672NAG6 Moody Caa1 S&P B- EAI $910 Current yield 6.24%	Aug 31, 16	14,000 000	101 625	14,227 50	104 250	14,595 00	367.50	ST

continued next page

UBS Managed Accounts Consulting
December 2016

Account name: M. & M. BARTLETT FAMILY

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
TRANSDIGM INC NTS B/E CALL@MW+50BP RATE 06.000% MATURES 07/15/22 CALLABLE ACCRUED INTEREST $385 00 CUSIP 893647AW7 Moody B3 S&P: CCC+ EAI $840 Current yield. 5 77%	Jun 13, 16	14,000.000	101.600	14,224 00	104.000	14,560 00	336 00	ST
STEEL DYNAMICS INC NTS CALL@MW+50BP RATE 06 375% MATURES 08/15/22 ACCRUED INTEREST $334.68 CUSIP 858119AV2 Moody. Ba2 S&P BB+ EAI: $893 Current yield 6 12% Original cost basis $14,729 75	Dec 07, 16	14,000 000	105.161	14,722.62	104 250	14,595.00	-127 62	ST
VERISK ANALYTICS INC NTS CALL@MW +40BP RATE 04.125% MATURES 09/12/22 ACCRUED INTEREST $185 62 CUSIP 92345YAC0 Moody Baa3 S&P BBB- EAI $619 Current yield 3 96% Original cost basis $15,887 40	Jun 13, 16	15,000 000	105 440	15,816 02	104 189	15,628 35	-187 67	ST
AMERICAN TOWER CORP NTS CALL@MW+25BP RATE 03 500% MATURES 01/31/23 ACCRUED INTEREST $218 74 CUSIP 03027XAB6 Moody Baa3 S&P: BBB- EAI. $525 Current yield 3 49% Original cost basis $15,531 00	Jun 27, 16	15,000 000	103.289	15,493 47	100 303	15,045 45	-448 02	ST

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UBS Managed Accounts Consulting
December 2016

Account name: M & M BARTLETT FAMILY

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ARROW ELECTRONICS INC CALL@MW+40BP RATE 04.500% MATURES 03/01/23 CALLABLE ACCRUED INTEREST $223 12 CUSIP 042735BC3 Moody Baa3 S&P BBB- EAI $675 Current yield 4.42% Original cost basis $16,041 15	Aug 04, 16	15,000.000	106.553	15,983.04	101 901	15,285.15	-697.89	ST
TRINITY ACQUISITION PLC CALL@MW+35BP RATE 04.625% MATURES 08/15/23 ACCRUED INTEREST $242 81 CUSIP 89641UAA9 Moody: Baa3 S&P. BBB EAI $648 Current yield 4 45% Original cost basis $14,862.75	Jun 08, 16	14,000 000	105 735	14,802 95	103 971	14,555 94	-247 01	ST
CDW LLC / CDW FIN CORP CALL@MW+50BP RATE 05 000% MATURES 09/01/23 CALLABLE ACCRUED INTEREST $247 91 CUSIP 12513GBB4 Moody Ba3 S&P BB- EAI $750 Current yield. 4 99%	Jun 09, 16	15,000 000	100 875	15,131 25	100 125	15,018.75	-112 50	ST
VIACOM INC B/E CALL@MW+30BP RATE 04 250% MATURES 09/01/23 CALLABLE ACCRUED INTEREST $210.72 CUSIP 92553PAT9 Moody Baa3 S&P BBB- EAI $638 Current yield. 4 25% Original cost basis. $15,519.38	Jun 13, 16	15,000.000	103 230	15,484 50	100 080	15,012 00	-472 50	ST

continued next page

UBS Managed Accounts Consulting
December 2016

Account name: M & M BARTLETT FAMILY

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
GANNETT CO INC NTS B/E CALL@MW+50BP RATE 06.375% MATURES 10/15/23 ACCRUED INTEREST $185 93 CUSIP 364725BE0 Moody Ba1 S&P BB+ EAI. $893 Current yield. 6.02% Original cost basis. $15,022.00	Jun 07, 16	14,000.000	106 829	14,956.18	105 815	14,814.10	-142 08	ST
LIFEPOINT HOSP INC NTS CALL@MW+50BP RATE 05.875% MATURES 12/01/23 CALLABLE ACCRUED INTEREST $70.98 CUSIP 53219LAN9 Moody Ba2 S&P. BB- EAI. $881 Current yield: 5 80%	Sep 28, 16	4,000.000	103 500	4,140 00	101.250	4,050 00	-90.00	ST
	Oct 05, 16	11,000 000	103.500	11,385.00	101 250	11,137 50	-247 50	ST
Security total		15,000 000		15,525.00		15,187 50	-337.50	
QVC INC NTS B/E CALL@MW+35BP RATE 04.850% MATURES 04/01/24 ACCRUED INTEREST $47.96 CUSIP 747262AS2 Moody. Ba2 S&P BBB- EAI· $194 Current yield. 4 82% Original cost basis $4,053 52	Nov 09, 16	4,000.000	101 316	4,052 65	100 526	4,021 04	-31 61	ST
TESORO CORP B/E CALL@MW+50BP RATE 05.125% MATURES 04/01/24 CALLABLE ACCRUED INTEREST $152 04 CUSIP 881609BA8 Moody. Ba2 S&P: BB+ EAI· $615 Current yield: 5 01%	Jun 17, 16	12,000.000	100 750	12,090 00	102 250	12,270 00	180 00	ST

continued next page

UBS Managed Accounts Consulting
December 2016

Account name: M. & M BARTLETT FAMILY

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
FIDELITY NATL INFORM B/E CALL@MW+25BP RATE 03 875% MATURES 06/05/24 CALLABLE ACCRUED INTEREST $40.36 CUSIP 31620MAM8 Moody: Baa3 S&P BBB EAI $581 Current yield 3.80% Original cost basis $16,091.55	Aug 12, 16	15,000 000	106 955	16,043.26	102 026	15,303 90	-739.36	ST
DAVITA HEALTHCARE PARTNE CALL@MW+50BP RATE 05.125% MATURES 07/15/24 CALLABLE ACCRUED INTEREST $352 34 CUSIP 23918KAQ1 Moody: B1 S&P. B+ EAI: $769 Current yield. 5.14%	Nov 16, 16	15,000 000	98 250	14,737 50	99 750	14,962.50	225.00	ST
MOTOROLA SOLUTIONS INC CALL@MW+30BP RATE 04.000% MATURES 09/01/24 ACCRUED INTEREST $198.33 CUSIP 620076BF5 Moody Baa3 S&P BBB- EAI $600 Current yield 4 00%	Sep 14, 16	15,000 000	99 823	14,973 45	100 117	15,017 55	44 10	ST
TRINITY INDUSTRIES INC CALL@MW+30BP RATE 04 550% MATURES 10/01/24 CALLABLE ACCRUED INTEREST $179 97 CUSIP 896522AH2 Moody. Ba1 S&P BBB- EAI $728 Current yield. 4 73%	Jun 27, 16	16,000 000	92.462	14,794 00	96 148	15,383 68	589 68	ST

continued next page

UBS Managed Accounts Consulting
December 2016

Account name: M. & M BARTLETT FAMILY

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
KLA-TENCOR CORP NTS B/E CALL@MW+37 5BP RATE 04 650% MATURES 11/01/24 CALLABLE ACCRUED INTEREST $106.69 CUSIP 482480AE0 Moody Baa2 S&P BBB EAI $651 Current yield: 4 39% Original cost basis. $15,064.28	Jun 07, 16	14,000.000	107.158	15,002 19	105 861	14,820.54	-181 65	ST
UNITED RENTALS NORTH AM CALL@MW+50BP RATE 05.750% MATURES 11/15/24 CALLABLE ACCRUED INTEREST $100 62 CUSIP 911365BB9 Moody B1 S&P. BB- EAI $805 Current yield 5 48%	Jun 07, 16	14,000.000	102 100	14,294 00	105 000	14,700.00	406 00	ST
AT&T INC NTS B/E CALL@MW+30BP RATE 03 950% MATURES 01/15/25 CALLABLE ACCRUED INTEREST $271 56 CUSIP 00206RDD1 Moody Baa1 S&P BBB+ EAI $593 Current yield 3.94% Original cost basis $15,733.05	Jun 13, 16	15,000.000	104 614	15,692 16	100 173	15,025 95	-666 21	ST
QVC INC B/E CALL@MW+30BP RATE 04 450% MATURES 02/15/25 ACCRUED INTEREST $233 62 CUSIP 747262AU7 Moody Ba2 S&P BBB- EAI $623 Current yield. 4 63%	Sep 22, 16	14,000.000	98 388	13,774.32	96 123	13,457.22	-317.10	ST

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UBS Managed Accounts Consulting
December 2016

Account name: M & M. BARTLETT FAMILY

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
DISCOVERY COMM INC B/E CALL@MW+50BP RATE 04 900% MATURES 03/11/26 CALLABLE ACCRUED INTEREST $207.70 CUSIP 25470DAL3 Moody Baa3 S&P: BBB- EAI: $686 Current yield. 4 65% Original cost basis. $14,927.50	Jun 07, 16	14,000.000	106 307	14,883.08	105 302	14,742 28	-140.80	ST
Total		**$474,000.000**		**$488,465.47**		**$486,579.34**	**-$1,886.13**	

Total accrued Interest: $6,335.84

UBS

UBS Financial Services Inc
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002288517 1216X12 MT 0

2016 Year End Summary

Account name: M. & M. BARTLETT FAMILY FOUNDATION, INC.

Account number: 4910

Summary of gains and losses

	Amount ($)
Short term	27,542 99
Long term	779,013.70
Total	**$806,556.69**

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium *Estimates in the Unclassified section* can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ACCENTURE PLC IRELAND CL A	FIFO	8 000	Jun 07, 16	Oct 04, 16	958.38	955 64			2 74

continued next page

UBS Portfolio Management Program **Account name:** M. & M BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ADIENT PLC	Adjustment	0.800	Oct 31, 16	Oct 31, 16	36 30	37 27		-0.97	
ADOBE SYSTEMS INC (DELAWARE)	FIFO	32 000	Dec 14, 15	Oct 04, 16	3,467.12	2,940 66			526.46
ALPHABET INC CL A	FIFO	4 000	Jun 07, 16	Oct 04, 16	3,208 79	2,938 04			270 75
AMAZON.COM INC	FIFO	50.000	Sep 28, 15	Jun 07, 16	36,337 76	25,048 70			11,289 06
AMERIPRISE FINANCIAL INC	FIFO	5.000	Jun 07, 16	Oct 04, 16	507 14	509 74		-2 60	
APPLE INC	FIFO	400.000	Jul 07, 15	Jun 07, 16	39,932 21	50,104 85		-10,172.64	
	FIFO	110 000	Sep 28, 15	Jun 07, 16	10,981 36	12,448 34		-1,466.98	
AUTODESK INC	FIFO	400 000	Dec 14, 15	Jun 07, 16	23,411 33	24,248 05		-836 72	
BECTON DICKINSON & CO	FIFO	12.000	Jun 07, 16	Oct 04, 16	2,121.79	2,027 81			93 98
BOEING COMPANY	FIFO	511 000	Jun 07, 16	Oct 04, 16	67,639.60	68,159 99		-520.39	
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	16.000	Jun 07, 16	Oct 04, 16	2,066.99	1,992 27			74 72
COGNIZANT TECH SOLUTIONS CRP	FIFO	502 000	Jun 07, 16	Aug 15, 16	28,845 29	30,374 31		-1,529 02	
COLGATE PALMOLIVE CO	FIFO	37.000	Jun 07, 16	Oct 04, 16	2,703.90	2,659 49			44 41
COMCAST CORP NEW CL A	FIFO	30 000	Jun 07, 16	Oct 04, 16	1,981.46	1,906 99			74 47
CRANE CO	FIFO	40.000	Jun 07, 16	Oct 04, 16	2,491.99	2,385 76			106 23
DIAGEO PLC NEW GB SPON ADR	FIFO	26.000	Jun 07, 16	Oct 04, 16	3,044 04	2,863 67			180 37
EOG RESOURCES INC	FIFO	350 000	Sep 28, 15	Jun 07, 16	29,260 34	24,658 90			4,601 44
FACEBOOK INC CL A	FIFO	27 000	Jun 07, 16	Oct 04, 16	3,458 35	3,181 32			277 03
FORTIVE CORP	FIFO	0 500	Jun 07, 16	Jul 05, 16	24 27	24 49		-0 22	
	FIFO	152 000	Jun 07, 16	Oct 04, 16	7,749 46	7,444 64			304 82
GILEAD SCIENCES INC	FIFO	260 000	Sep 28, 15	Jun 07, 16	22,897.96	25,080 69		-2,182.73	
HILTON WORLDWIDE HOLDINGS INC	FIFO	90 000	Jun 07, 16	Oct 04, 16	2,059.16	1,980 66			78 50
	FIFO	1,296 000	Jun 07, 16	Dec 14, 16	34,563 57	28,521 46			6,042.11
HOME DEPOT INC	FIFO	7.000	Jun 07, 16	Oct 04, 16	902 84	910 18		-7.34	
ILLINOIS TOOL WORKS INC	FIFO	281.000	Jun 07, 16	Oct 04, 16	33,535 81	30,497 04			3,038.77
INTEL CORP	FIFO	823 000	Jul 07, 15	Jun 07, 16	26,331 32	24,535 51			1,795.81

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UBS Portfolio Management Program

Account name: M & M BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INTERCONTINENTALEXCHANGE GROUP	FIFO	5 000	Jun 07, 15	Oct 04, 16	1,345 37	1,326 23			19 14
INVESCO LTD	FIFO	127 000	Jun 07, 16	Oct 04, 16	3,987 84	3,844.16			143 68
JOHNSON CONTROLS INC **MERGER EFF 09/2016**	FIFO	858 000	Jun 07, 16	Sep 06, 16	39,220 59	38,479.76			740 83
JOHNSON CTLS INTL PLC	FIFO	0.030	Sep 06, 16	Sep 06, 16	1 48	1.46			0 02
JPMORGAN CHASE & CO	FIFO	415.000	Sep 28, 15	Jun 07, 16	27,149 79	24,970.20			2,179 59
LOCKHEED MARTIN CORP	FIFO	1 000	Jun 07, 16	Oct 04, 16	238 36	240.44		-2 08	
MARSH & MCLENNAN COS INC	FIFO	10 000	Jun 07, 16	Oct 04, 16	664 69	665 17		-0 48	
MEDTRONIC PLC	FIFO	41.000	Jun 07, 16	Oct 04, 16	3,536 62	3,434.98			101 64
MICROSOFT CORP	FIFO	642.000	Jul 07, 15	Jun 07, 16	33,757 80	28,362 13			5,395 67
NEXTERA ENERGY INC COM	FIFO	1.000	Jun 07, 16	Oct 04, 16	119.87	122 19		-2 32	
NORDSTROM INC	FIFO	231.000	Jun 07, 16	Oct 04, 16	11,800.38	9,205 77			2,594 61
O REILLY AUTOMOTIVE INC	FIFO	10 000	Jun 07, 16	Oct 04, 16	2,761 34	2,596 03			165.31
PAREXEL INTL CORP	FIFO	61.000	Jun 07, 16	Oct 04, 16	4,206 53	3,804.45			402 08
PEPSICO INC	FIFO	22 000	Jun 07, 16	Oct 04, 16	2,359.91	2,263 98			95.93
RED HAT INC	FIFO	17.000	Jun 07, 16	Oct 04, 16	1,357 42	1,333 37			24 05
RESTAURANT BRANDS INTL INC CAD	FIFO	650 000	Sep 28, 15	Jun 07, 16	27,130 41	23,760 15			3,370.26
ROCKWELL AUTOMATION INC NEW	FIFO	15 000	Jun 07, 16	Oct 04, 16	1,798 03	1,792 02			6.01
TE CONNECTIVITY LTD CHF	FIFO	400 000	Dec 14, 15	Jun 07, 16	24,557 46	25,219 97		-662 51	
TEXAS INSTRUMENTS	FIFO	82 000	Jun 07, 16	Oct 04, 16	5,709 56	5,053.44			656 12
THERMO FISHER SCIENTIFIC INC	FIFO	12 000	Jun 07, 16	Oct 04, 16	1,897 76	1,831 62			66 14
TJX COS INC NEW	FIFO	3 000	Jun 07, 16	Oct 04, 16	224 03	228 44		-4 41	
UNION PACIFIC CORP	FIFO	50 000	Jun 07, 16	Oct 04, 16	4,858 44	4,380 84			477 60
UNTD TECHNOLOGIES CORP	FIFO	14 000	Jun 07, 16	Oct 04, 16	1,426.44	1,428 41		-1 97	
WALGREENS BOOTS ALLIANCE INC	FIFO	300 000	Sep 28, 15	Jun 07, 16	23,925 44	24,823 35		-897 91	

continued next page

UBS Portfolio Management Program

Account name: M. & M BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	225.000	Aug 13, 15	Jun 07, 16	22,247 52	24,268 80		-2,021.28	
	FIFO	225 000	Dec 14, 15	Jun 07, 16	22,247 51	24,522 76		-2,275 25	
WILLIS TOWERS WATSON PUB LTD CO	FIFO	232 000	Sep 28, 15	Jun 07, 16	29,830 58	24,940.12			4,890 46
Total					**$688,879.70**	**$661,336.71**		**-$22,587.82**	**$50,130.81**
Net short-term capital gains and losses									**$27,542.99**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ADVANSIX INC	FIFO	0 560	Jan 31, 01	Oct 03, 16	8 33	3.56			4.77
	FIFO	10 000	Jan 31, 01	Oct 04, 16	155.89	63.61			92 28
AETNA INC	FIFO	2,000.000	Jun 08, 09	Jun 07, 16	244,715 67	50,800 00			193,915.67
ANADARKO PETROLEUM CORP	FIFO	837 000	Jun 08, 09	Jun 07, 16	45,961.80	40,561 00			5,400 80
AT&T INC	FIFO	552 000	Nov 05, 97	Jun 07, 16	21,762.46	18,034.67			3,727 79
	FIFO	500 000	Feb 01, 99	Jun 07, 16	19,712 37	27,190.72		-7,478 35	
	FIFO	3,948 000	Oct 12, 99	Jun 07, 16	155,648 87	99,764 45			55,884.42
DOMINION RESOURCES INC VA (NEW)	FIFO	2,000 000	Jun 16, 99	Jun 07, 16	146,080 81	43,781 78			102,299 03
	FIFO	1,200 000	Mar 20, 00	Jun 07, 16	87,648 49	22,657.17			64,991 32
DU PONT DE NEMOURS	FIFO	3,233.000	Jun 08, 09	Jun 07, 16	223,207 92	82,851 96			140,355 96
ECOLAB INC	FIFO	6 000	Sep 28, 15	Oct 04, 16	720 11	648 57			71.54
EXXON MOBIL CORP	FIFO	1,516.000	Jun 08, 09	Jun 07, 16	137,214 72	110,622 76			26,591 96
HONEYWELL INTL INC	FIFO	500.000	Nov 08, 00	Jun 07, 16	57,724 95	27,092 45			30,632 50
	FIFO	500 000	Nov 16, 00	Jun 07, 16	57,724.94	25,873.70			31,851.24
	FIFO	500 000	Nov 24, 00	Jun 07, 16	57,724 95	24,436 20			33,288 75
	FIFO	236.000	Jan 31, 01	Jun 07, 16	27,246.17	11,342 14			15,904.03
	FIFO	7.000	Jan 31, 01	Oct 04, 16	807 93	334 64			473 29
INTEL CORP	FIFO	204.000	Jul 07, 15	Oct 04, 16	7,688 61	6,081.70			1,606 91
MICROSOFT CORP	FIFO	73 000	Jul 07, 15	Oct 04, 16	4,178 43	3,224.98			953 45

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UBS Portfolio Management Program

Account name: M & M. BARTLETT FAMILY

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SEALED AIR CORP NEW	FIFO	3,013 000	Jun 08, 09	Jun 07, 16	137,915 68	59,477 00			78,438 68
TIME WARNER INC NEW	FIFO	26 000	Aug 13, 15	Oct 04, 16	2,059 68	2,052 02			7 66
Total					**$1,435,908.78**	**$656,895.08**		**-$7,478.35**	**$786,492.05**
Net long-term capital gains or losses									**$779,013.70**
Net capital gains/losses:									**$806,556.69**

UBS ACCESS

Account name: M & M BARTLETT FAMILY

Account number: 4909

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium *Estimates in the Unclassified section* can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALPHABET INC CL C	FIFO	100 000	Jul 07, 15	Jun 07, 16	71,767.13	52,135 65			19,631 48
	FIFO	20 000	Sep 28, 15	Jun 07, 16	14,353 43	11,905 03			2,448.40
ANSYS INC	FIFO	81 000	Jun 07, 16	Sep 23, 16	7,491 51	7,155 36			336 15
CISCO SYSTEMS INC	FIFO	850.000	Aug 13, 15	Jun 07, 16	24,667 91	24,892.40		-224 49	
	FIFO	475 000	Sep 28, 15	Jun 07, 16	13,785 01	12,337.68			1,447 33
	FIFO	950 000	Dec 14, 15	Jun 07, 16	27,570.01	25,005.55			2,564 46
LIVANOVA PLC GBP	FIFO	188 000	Jun 07, 16	Aug 15, 16	11,771.53	9,239 00			2,532 53
PRIMERICA INC	FIFO	141 000	Jun 07, 16	Nov 22, 16	10,048 78	8,167.64			1,881 14
ROSS STORES INC	FIFO	93 000	Jun 07, 16	Sep 28, 16	5,893 19	4,881.24			1,011 95
	FIFO	138 000	Jun 07, 16	Nov 22, 16	9,523 93	7,243.14			2,280 79
Total					**$196,872.43**	**$162,962.69**		**-$224.49**	**$34,134.23**
Net short-term capital gains and losses									**$33,909.74**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CMS ENERGY CORP	FIFO	3,000 000	Mar 01, 10	Jun 07, 16	128,612 90	46,178 95			82,433.95
EXELON CORP	FIFO	465.000	Nov 29, 02	Jun 07, 16	16,238 33	13,314 97			2,923 36
	FIFO	837.000	Feb 07, 03	Jun 07, 16	29,228 99	23,993.95			5,235 04
	FIFO	93 000	Feb 07, 03	Jun 07, 16	3,247 67	2,662 00			585.67
	FIFO	1,209 000	Jul 09, 03	Jun 07, 16	42,219 66	44,343 00		-2,123 34	
	FIFO	186 000	Jul 09, 03	Jun 07, 16	6,495 33	6,820 00		-324 67	
FEDEX CORP	FIFO	100 000	May 20, 10	Jun 07, 16	16,455 20	8,236.75			8,218 45
	FIFO	200 000	May 20, 10	Jun 07, 16	32,910.41	16,604 75			16,305.66
	FIFO	200 000	Aug 19, 10	Jun 07, 16	32,910.40	16,292.35			16,618.05

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UBS ACCESS

Account name: M & M BARTLETT FAMILY

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JOHNSON & JOHNSON COM	FIFO	1,000 000	Apr 08, 11	Jun 07, 16	115,870 17	59,637 95			56,232 22
Total					**$424,189.06**	**$238,084.67**		**-$2,448.01**	**$188,552.40**
Net long-term capital gains or losses									**$186,104.39**
Net capital gains/losses:									**$220,014.13**

UBS Business Services Account

Account name: M & M BARTLETT FAMILY

Account number: 4370

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CALL KANSAS CITY STHN NE DUE 01/15/16 110.000	FIFO	-20 000	Jan 19, 16	Sep 16, 15	2,814 44	0 00			2,814 44
CALL NORFOLK STHN CORP DUE 01/15/16 90 000	FIFO	-50 000	Jan 19, 16	Sep 16, 15	6,327 94	0.00			6,327.94
WILLIAMS SONOMA INC	FIFO	325.000	Sep 28, 15	Jun 06, 16	17,191 74	24,714 03		-7,522 29	
	FIFO	400 000	Dec 14, 15	Jun 06, 16	21,159 07	24,111 25		-2,952.18	
WILLIS TOWERS WATSON PUB LTD CO	FIFO	0 163	Sep 28, 15	Jan 05, 16	20.51	17 53			2 98
Total					**$47,513.70**	**$48,842.81**		**-$10,474.47**	**$9,145.36**
Net short-term capital gains and losses								**-$1,329.11**	

continued next page

UBS Business Services Account

Account name: M. & M BARTLETT FAMILY

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMEREN CORP	FIFO	1,000 000	May 13, 98	Jun 06, 16	49,514.67	38,747 34			10,767.33
CLECO CORP (HOLDING COMPANY) **MERGER EFF 04/2016**	FIFO	500 000	Mar 29, 99	Apr 14, 16	27,685.00	7,376 67			20,308 33
	FIFO	4,286.000	Jun 08, 09	Apr 14, 16	237,315.82	91,292 00			146,023.82
NORFOLK STHN CORP	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,265.67	4,070 00			4,195.67
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,370 62	4,070 00			4,300 62
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,369.61	4,070 00			4,299 61
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,369 62	4,070 00			4,299.62
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,368.62	4,070 00			4,298 62
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,367 92	4,069 99			4,297 93
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,367 31	4,070 00			4,297.31
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,367.32	4,070 00			4,297 32
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,367.32	4,070.00			4,297.32
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,367 32	4,070 00			4,297 32
	FIFO	30 000	Jun 08, 09	Jun 06, 16	2,510 19	1,221 00			1,289.19
	FIFO	25.000	Jun 08, 09	Jun 06, 16	2,091 66	1,017 50			1,074 16
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,366.61	4,070 00			4,296.61
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,366 62	4,070.00			4,296 62
	FIFO	46 000	Jun 08, 09	Jun 06, 16	3,846.90	1,872 20			1,974 70
	FIFO	17 000	Jun 08, 09	Jun 06, 16	1,421 68	691 90			729.78
	FIFO	12 000	Jun 08, 09	Jun 06, 16	1,003.53	488 40			515.13
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,365 32	4,070 00			4,295 32
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,365 32	4,070 00			4,295 32
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,364 32	4,070 00			4,294 32
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,364.31	4,069.99			4,294.32
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,364 32	4,070.00			4,294 32
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,364 32	4,070 00			4,294 32
	FIFO	400 000	Jun 08, 09	Jun 06, 16	33,434.77	16,280 00			17,154 77
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,357 82	4,070 00			4,287 82

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UBS Business Services Account

Account name: M & M BARTLETT FAMILY

Realized gains and losses (continued)

Long-term capital gains and losses *(continued)*

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,356.82	4,070.00			4,286.82
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,356.81	4,070 00			4,286 81
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,355.82	4,070.00			4,285 82
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,357 62	4,069 99			4,287 63
	FIFO	100.000	Jun 08, 09	Jun 06, 16	8,357 62	4,070 00			4,287 62
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,356 81	4,070 00			4,286 81
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,357 62	4,070 00			4,287 62
	FIFO	100 000	Jun 08, 09	Jun 06, 16	8,358 62	4,070 00			4,288 62
	FIFO	7,770 000	Jun 08, 09	Jun 06, 16	649,542 29	316,238 93			333,303 36
PRECISION CASTPARTS CORP * **MERGER EFF. 02/2016**	FIFO	4,000 000	Dec 30, 94	Feb 01, 16	940,000 00	20,692 40			919,307 60
SCANA CORP NEW	FIFO	100 000	Sep 16, 99	Jun 06, 16	7,018 59	2,395 53			4,623 06
	FIFO	162 000	Sep 16, 99	Jun 06, 16	11,540 63	3,880 75			7,659 88
	FIFO	100 000	Sep 16, 99	Jun 06, 16	7,123 85	2,395 53			4,728 32
	FIFO	900.000	Sep 16, 99	Jun 06, 16	64,092.46	21,559 75			42,532 71
	FIFO	900 000	Mar 01, 10	Jun 06, 16	64,092.46	32,943 95			31,148 51
	FIFO	200 000	Mar 01, 10	Jun 06, 16	14,242 77	7,321 08			6,921 69
	FIFO	300 000	Mar 01, 10	Jun 06, 16	21,364 16	10,981 32			10,382.84
	FIFO	900 000	Aug 18, 10	Jun 06, 16	64,092 46	35,213 81			28,878.65
	FIFO	300.000	Aug 18, 10	Jun 06, 16	21,364 15	11,734 94			9,629 21
Total					**$2,449,016.07**	**$734,234.97**			**$1,714,781.10**
Net long-term capital gains or losses									**$1,714,781.10**
Net capital gains/losses:									**$1,713,451.99**

* Shares were donated to the Foundation

UBS

UBS Financial Services Inc
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002288529 1216 X12 MT 0

2016 Year End Summary

Account name: M. & M. BARTLETT FAMILY FOUNDATION, INC

Account number: 4911

Summary of gains and losses

	Amount ($)
Long term	751,586.12

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KANSAS CITY STHN NEW	FIFO	4,991.000	Jun 08, 09	Jun 07, 16	458,044.03	79,706 06			378,337 97
ONEOK INC NEW	FIFO	4,000 000	Jul 03, 01	Jun 07, 16	182,156 03	34,805.75			147,350 28
	FIFO	4,000 000	Jul 23, 01	Jun 07, 16	182,156 03	31,557.45			150,598.58

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UBS Portfolio Management Program

Account name: M. & M. BARTLETT FAMILY

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2,000 000	Jul 23, 01	Jun 07, 16	91,078 01	15,778 72			75,299.29
Total					**$913,434.10**	**$161,847.98**			**$751,586.12**
Net long-term capital gains or losses									**$751,586.12**
Net capital gains/losses:									**$751,586.12**

UBS

UBS Financial Services Inc
500 Campus Drive
Florham Park NJ 07932-1024

EPZ6002288531 1216 X12 MT 0

2016 Year End Summary

Account name: M. & M. BARTLETT FAMILY
FAMILY FOUNDATION, INC.

Account number: 4912

M & M. BARTLETT FAMILY
FAMILY FOUNDATION, INC.
PO BOX 489
NEW VERNON NJ 07976-0489

Summary of gains and losses

	Amount ($)
Short term	40.97

Realized gains and losses

Estimated 2016 gains and losses for transactions with trade dates through 12/30/16 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AECOM TECHNOLOGY C NTS 05.750% 101522 DTD101515 FC041516 CALL@MW+50BP	FIFO	2,000 000	Jun 14, 16	Aug 04, 16	2,130.00	2,045 00			85 00

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UBS Managed Accounts Consulting

Account name: M & M. BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERICAN TOWER CORP NTS 03.500% 013123 DTD010813 FC073113 CALL@MW+25BP Original cost basis . $2,070 80	FIFO	2,000 000	Jun 27, 16	Dec 19, 16	1,989.94	2,066 10		-76 16	
ARROW ELECTRONICS INC 04 500% 030123 DTD022013 FC090113 CALL@MW+40BP Original cost basis $2,138 82	FIFO	2,000 000	Aug 04, 16	Dec 19, 16	2,027.46	2,131.66		-104 20	
AT&T INC NTS B/E 03 950% 011525 DTD011516 FC071516 CALL@MW+30BP Original cost basis $2,097 74	FIFO	2,000 000	Jun 13, 16	Dec 19, 16	1,987.68	2,092.59		-104 91	
AVNET INC NTS B/E 04 875% 120122 DTD112712 FC060113 CALL@MW+50BP Original cost basis : $6,508 86	FIFO	6,000 000	Jun 13, 16	Aug 30, 16	6,554 76	6,493 67			61 09
Original cost basis : $10,848 10	FIFO	10,000 000	Jun 13, 16	Sep 28, 16	10,879.90	10,813 58			66 32
BLOCK FINANCIAL NTS B/E 04.125% 100120 DTD093015 FC040116 CALL@MW+45BP Original cost basis $2,063 75	FIFO	2,000.000	Jun 27, 16	Dec 19, 16	2,029.04	2,057 01		-27.97	
CDK GLOB INC NTS B/E 03 800% 101519 DTD041515 FC101515	FIFO	9,000.000	Jun 16, 16	Nov 30, 16	9,033.75	8,966 25			67 50
CDW LLC / CDW FIN CORP 05.000% 090123 DTD030315 FC090115 CALL@MW+50BP	FIFO	2,000.000	Jun 07, 16	Dec 20, 16	2,001 00	2,024 50		-23 50	
CENTURYLINK INC NTS B/E 06.450% 061521 DTD061611 FC121511 CALL@MW +50BP Original cost basis : $3,059 25	FIFO	3,000 000	Jun 07, 16	Dec 19, 16	3,142 50	3,053.75			88 75
DAVITA HEALTHCARE PARTNE 05 125% 071524 DTD061314 FC011515 CALL@MW+50BP	FIFO	2,000 000	Oct 21, 16	Dec 19, 16	1,957.26	2,047.50		-90.24	
	FIFO	1,000 000	Nov 16, 16	Dec 19, 16	978 63	982.50		-3 87	

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UBS Managed Accounts Consulting

Account name: M & M BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses *(continued)*

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DAVITA INC NTS B/E 05 750% 081522 DTD082812 FC021513 CALL@MW+50BP Original cost basis : $17,896 75	FIFO	17,000.000	Jun 07, 16	Aug 31, 16	17,828 75	17,867 59		-38 84	
DELUXE CORP NTS B/E 06 000% 111520 DTD112712 FC051513 CALL@MW+50BP	FIFO	17,000 000	Jun 07, 16	Nov 15, 16	17,510 00	17,715 63		-205 63	
DISCOVERY COMM INC B/E 04 900% 031126 DTD031116 FC091116 CALL@MW+50BP Original cost basis $2,132 50	FIFO	2,000 000	Jun 07, 16	Dec 19, 16	2,078 52	2,126 50		-47 98	
DISH DBS CORP NTS B/E 07.875% 090119 DTD081709 FC030110 CALL@MW+50BP Original cost basis $2,190 00	FIFO	2,000 000	Jun 16, 16	Dec 06, 16	2,211.26	2,163 62			47 64
DISH DBS CORP NTS B/E 05 125% 050120 DTD040513 FC110113 CALL@MW+50BP Original cost basis $3,056.25	FIFO	3,000 000	Jun 09, 16	Dec 08, 16	3,110 16	3,049.59			60 57
DUN & BRADSTREET CORP 04 000% 061520 DTD061515 FC121515 CALL@MW+37 5BP Original cost basis $2,073.48	FIFO	2,000 000	Jun 14, 16	Dec 19, 16	2,048 62	2,064 53		-15 91	
EXPEDIA INC NTS B/E 05 950% 081520 DTD080510 FC021511 CALL@MW+50BP Original cost basis $2,238 25	FIFO	2,000.000	Jun 13, 16	Dec 19, 16	2,182 34	2,210 19		-27.85	
FIDELITY NATL INFORM B/E 03 875% 060524 DTD060314 FC120514 CALL@MW+25BP Original cost basis . $2,145.54	FIFO	2,000 000	Aug 12, 16	Dec 19, 16	2,018.72	2,139 62		-120 90	
GANNETT CO INC NTS B/E 06 375% 101523 DTD101514 FC041515 CALL@MW+50BP Original cost basis $3,219.00	FIFO	3,000.000	Jun 07, 16	Dec 19, 16	3,166.50	3,205 67		-39.17	

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UBS Managed Accounts Consulting

Account name: M. & M BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses *(continued)*

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GAP INC CALL@MW T+40BP 05.950% 041221 DTD041211 Original cost basis . $3,112.50	FIFO	3,000 000	Jun 08, 16	Dec 19, 16	3,150 63	3,101 41			49 22
HCA INC B/E 05 875% 031522 DTD021612 FC091512 Original cost basis · $2,167 00	FIFO	2,000 000	Jun 10, 16	Dec 19, 16	2,143.56	2,153 34		-9 78	
INFOR US INC NTS B/E 06 500% 051522 DTD111515 FC051516	FIFO	3,000 000	Aug 31, 16	Dec 20, 16	3,121.50	3,048 75			72 75
INTL GAME TECHNOLOGY B/E 07.500% 061519 DTD061509 FC121509 Original cost basis : $5,558 13	FIFO	5,000 000	Jun 22, 16	Sep 22, 16	5,568.75	5,513 32			55 43
Original cost basis . $12,227.87	FIFO	11,000 000	Jun 22, 16	Oct 04, 16	12,251.25	12,116 15			135 10
KLA-TENCOR CORP NTS B/E 04 650% 110124 DTD110614 FC050115 CALL@MW+37 5BP Original cost basis · $3,228 06	FIFO	3,000 000	Jun 07, 16	Dec 19, 16	3,149.70	3,215.48		-65 78	
L-3 COMMS CORP NTS B/E 04 950% 021521 DTD020711 FC081511 CALL@MW+25BP Original cost basis $3,313.95	FIFO	3,000 000	Jul 27, 16	Dec 19, 16	3,195 69	3,288 06		-92.37	
LIFEPOINT HOSP INC NTS 05 875% 120123 DTD120415 FC060116 CALL@MW+50BP	FIFO	3,000 000	Sep 28, 16	Dec 19, 16	3,024.39	3,105 00		-80 61	
MASCO CORP NTS B/E 03 500% 040121 DTD031716 FC100116 CALL@MW+35BP Original cost basis . $3,055 50	FIFO	3,000 000	Jun 10, 16	Dec 19, 16	3,001.50	3,049 81		-48.31	
MOTOROLA SOLUTIONS INC 04 000% 090124 DTD081914 FC030115 CALL@MW+30BP	FIFO	3,000 000	Sep 14, 16	Dec 19, 16	2,980.83	2,994 69		-13 86	
R R DONNELLEY & SONS CO 07 875% 031521 DTD031413 FC091513 CALL@MW+50BP Original cost basis $3,114.00	FIFO	3,000 000	Jun 07, 16	Dec 19, 16	3,106.50	3,102 94			3 56

continued next page

UBS Managed Accounts Consulting

Account name: M. & M BARTLETT FAMILY

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
STEEL DYNAMICS INC NTS 06 375% 081522 DTD021513 FC081513 CALL@MW+50BP Original cost basis : $3,156.37	FIFO	3,000 000	Dec 07, 16	Dec 19, 16	3,150 06	3,155 57		-5 51	
STEEL DYNAMICS INC NTS 05 125% 100121 DTD040115 FC100115 CALL@MW+50BP Original cost basis · $17,510.00	FIFO	17,000 000	Jun 09, 16	Dec 07, 16	17,743.92	17,482 39			261 53
TESORO CORP B/E 05 125% 040124 DTD031814 FC100114 CALL@MW+50BP	FIFO	3,000 000	Jun 17, 16	Dec 19, 16	3,077 64	3,022 50			55 14
	FIFO	3,000 000	Jun 17, 16	Dec 20, 16	3,062.55	3,022 50			40 05
TRANSDIGM INC NTS B/E 06.000% 071522 DTD060414 FC011515 CALL@MW+50BP	FIFO	3,000 000	Jun 13, 16	Dec 19, 16	3,112 50	3,048 00			64 50
TRINITY ACQUISITION PLC 04 625% 081523 DTD081513 FC021514 CALL@MW+35BP Original cost basis $3,184 88	FIFO	3,000 000	Jun 08, 16	Dec 19, 16	3,067 38	3,172 76		-105 38	
TRINITY INDUSTRIES INC 04 550% 100124 DTD092514 FC040115 CALL@MW+30BP	FIFO	3,000.000	Jun 27, 16	Dec 19, 16	2,842 17	2,773 88			68 29
UNITED RENTALS NORTH AM 05 750% 111524 DTD032614 FC051514 CALL@MW+50BP	FIFO	3,000 000	Jun 07, 16	Dec 19, 16	3,127 50	3,063 00			64 50
URS CORP NTS B/E 05.000% 040122 DTD100113 STEPUP CALL CALL@MW+50BP	FIFO	4,000 000	Jun 07, 16	Dec 19, 16	3,984.28	3,809 00			175 28
VERISK ANALYTICS INC NTS 04 125% 091222 DTD091212 FC031213 CALL@MW +40BP Original cost basis $2,118.32	FIFO	2,000 000	Jun 13, 16	Dec 19, 16	2,051 80	2,109 34		-57 54	

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