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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE CODISPOTI FOUNDATION INC		A Employer identification number 22-3361120
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 79	Room/suite	B Telephone number 516-307-1077
City or town, state or province, country, and ZIP or foreign postal code REDBANK, NJ 07701		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7166247.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		47191.	47191.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		392355.			
b Gross sales price for all assets on line 6a		21255195.			
7 Capital gain net income (from Part IV, line 2)			392355.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		70448.	70448.		Statement 2
12 Total. Add lines 1 through 11		509994.	509994.		
13 Compensation of officers, directors, trustees, etc.		158500.	158500.		142650.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 3		14000.	7000.		7000.
c Other professional fees					
17 Interest					
18 Taxes Stmt 4		28319.	14457.		13862.
19 Depreciation and depletion		6860.	6860.		
20 Occupancy					
21 Travel, conferences, and meetings		119230.	59615.		59615.
22 Printing and publications					
23 Other expenses Stmt 5		221296.	108148.		113148.
24 Total operating and administrative expenses. Add lines 13 through 23		548205.	211930.		336275.
25 Contributions, gifts, grants paid		39220.			39220.
26 Total expenses and disbursements Add lines 24 and 25		587425.	211930.		375495.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-77431.			
b Net investment income (if negative, enter -0-)			298064.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing		2.	2.
	2 Savings and temporary cash investments	159991.	418528.	418528.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	2307575.	1877985.	1212566.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶ 4820746.		
Less accumulated depreciation ▶		4721299.	4820746.	4200000.
12 Investments - mortgage loans				
13 Investments - other Stmt 7		1114117.	1084448.	1255958.
14 Land, buildings, and equipment: basis ▶ 203533.				
Less accumulated depreciation ▶ 124340.		55351.	79193.	79193.
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		8358333.	8280902.	7166247.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	8358333.	8280902.	
	30 Total net assets or fund balances	8358333.	8280902.	
31 Total liabilities and net assets/fund balances	8358333.	8280902.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8358333.
2 Enter amount from Part I, line 27a	2	-77431.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	8280902.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8280902.

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Part IV Capital Gains and Losses for Tax on Investment Income See Attached Statements

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	21255195.	20862840.	392355.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			392355.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	392355.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	324320.	7660240.	.042338
2014	274913.	8735012.	.031473
2013	243305.	8930477.	.027244
2012	256578.	8861839.	.028953
2011	285546.	9139438.	.031243

2 Total of line 1, column (d)	2	.161251
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.032250
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7211014.
5 Multiply line 4 by line 3	5	232555.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2981.
7 Add lines 5 and 6	7	235536.
8 Enter qualifying distributions from Part XII, line 4	8	375495.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 2981.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 2981.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 2981.
6 Credits/Payments:		
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2981.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► ROCHLIN GREENBLATT GALLO LLP Telephone no. ► 516-307-1077		
Located at ► 600 OLD COUNTRY ROAD, GARDEN CITY, NY ZIP+4 ► 11530		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		
► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here	► ☐	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	►	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b ☐ Yes ☐ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS CODISPOTI	TRUSTEE			
15147 BROLIO LANE				
NAPLES, FL 34110	40.00	0.	0.	0.
JOYCE CODISPOTI	TRUSTEE			
15147 BROLIO LANE				
NAPLES, FL 34110	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

(continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	MOUNT ST MARYS	
		10000.
2	JOHN HOPKINS UNIVERSITY	
		10000.
3	WILLIAMSBURGH FOUNDATION	
		5000.
4	ASKAN YOUTH	
		1500.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1539622.
b	Average of monthly cash balances	1b	289260.
c	Fair market value of all other assets	1c	5491944.
d	Total (add lines 1a, b, and c)	1d	7320826.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7320826.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	109812.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7211014.
6	Minimum investment return. Enter 5% of line 5	6	360551.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	360551.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2981.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2981.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	357570.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	357570.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	357570.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	375495.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	375495.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2981.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	372514.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				357570.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 375495.			0.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				357570.
e Remaining amount distributed out of corpus	17925.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17925.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	17925.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	17925.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b. The form in which applications should be submitted and information and materials they should include:**

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SCHEDULE ATTACHED				
Total			3a	0.
b Approved for future payment				
None				
Total			3b	0.

Form 990-PF (2016)

THE CODISPOTI FOUNDATION INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO 5181-8662	P		
b	WELLS FARGO 5181-8662	P		
c	WELLS FARGO 5181-8662	P		
d	WELLS FARGO 1108-3244	P		
e	WELLS FARGO 1108-3244	P		
f	WELLS FARGO 1108-3244	P		
g	RBC 876-07273	P		
h	RBC 876-00037	P		
i	RBC 876-00037	P		
j	RBC 876-00037	P		
k	RBC 876-00036	P		
l	RBC 876-00036	P		
m	RBC 876-00036	P		
n	RBC 876-00036	P		
o	RBC 876-00036	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2762.	4127.	-1365.
b	8047.	17555.	-9508.
c	2098.	1981.	117.
d	9188.	6276.	2912.
e	71951.	68169.	3782.
f	107015.	92725.	14290.
g	1957.	4386.	-2429.
h	9038.	4724.	4314.
i	2074.	2959.	-885.
j	18158.	22818.	-4660.
k	9517.	8950.	567.
l	218086.	212284.	5802.
m	126713.	145942.	-19229.
n	19702787.	19375164.	327623.
o	430653.	328493.	102160.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1365.
b			-9508.
c			117.
d			2912.
e			3782.
f			14290.
g			-2429.
h			4314.
i			-885.
j			-4660.
k			567.
l			5802.
m			-19229.
n			327623.
o			102160.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE CODISPOTI FOUNDATION INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	RBC 876-00040	P		
b	RBC 876-00040	P		
c	RBC 876-00040	P		
d	RBC 876-00040	P		
e	RBC 876-00043	P		
f	RBC 876-00043	P		
g	RBC 876-00043	P		
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	81681.	70223.	11458.
b	6460.	59650.	-53190.
c	171294.	180875.	-9581.
d	205991.	183185.	22806.
e	1052.	275.	777.
f	25530.	33914.	-8384.
g	43143.	38165.	4978.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			11458.
b			-53190.
c			-9581.
d			22806.
e			777.
f			-8384.
g			4978.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	392355.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Dividends and Interest from Securities				Statement 1
Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
VARIOUS	47191.	0.	47191.	47191.	
To Part I, line 4	47191.	0.	47191.	47191.	

Form 990-PF	Other Income			Statement 2
Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
RENTAL INCOME	17500.	17500.		
INCOME FROM PARTNERSHIPS	22082.	22082.		
FORGIVENESS OF DEBT	9110.	9110.		
SECTION 1231 GAIN	18493.	18493.		
ROYALTIES	3263.	3263.		
Total to Form 990-PF, Part I, line 11	70448.	70448.		

Form 990-PF	Accounting Fees			Statement 3
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	14000.	7000.		7000.
To Form 990-PF, Pg 1, ln 16b	14000.	7000.		7000.

Form 990-PF	Taxes			Statement 4
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES	596.	596.		0.
REAL ESTATE	27723.	13861.		13862.
To Form 990-PF, Pg 1, ln 18	28319.	14457.		13862.

Form 990-PF	Other Expenses		Statement 5	
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT FEES & BANK CHARGES	31729.	15865.		15864.
COMPUTER	1058.	529.		529.
OFFICE SUPPLIES & POSTAGE	18068.	9034.		9034.
TELEPHONE & UTILITIES	19966.	9983.		9983.
DUES & SUBSCRIPTIONS	357.	178.		179.
MEDICAL	26989.	13494.		13495.
MAINTENANCE	87321.	43661.		43660.
INSURANCE	30044.	15022.		15022.
SECURITY & PROTECTION	764.	382.		382.
POLITICAL CONTRIBUTIONS	5000.	0.		5000.
To Form 990-PF, Pg 1, ln 23	221296.	108148.		113148.

Form 990-PF	Corporate Stock		Statement 6	
Description	Book Value		Fair Market Value	
BBR 876-00036	1122642.		802506.	
BBR 876-00040	388686.		1494.	
BBR 876-00043	0.		0.	
BBR 876-00037	0.		0.	
WELLS FARGO 5181-8662	0.		0.	
WELLS FARGO 1108-3244	340627.		402616.	
WELLS FARGO 7645-3295	26030.		5950.	
Total to Form 990-PF, Part II, line 10b	1877985.		1212566.	

Form 990-PF	Other Investments		Statement 7	
Description	Valuation Method	Book Value	Fair Market Value	
ANNUITIES	COST	5958.	5958.	
OTHER	COST	1078490.	1250000.	
BBR 876-07273	COST	0.	0.	
Total to Form 990-PF, Part II, line 13		1084448.	1255958.	

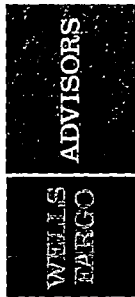
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Cash Basis

THE CODISPOTI FOUNDATION, INC.
Transaction Detail By Account
 January through December 2016

Type	Date	Num	Name	Memo	Clr	Split	Or	Paid Amount	Balance
4620 - Gifts & Grants									
Check	02/02/2016	7598	FI Sheriffs Assoc			1028 Cash- B	***	100 00	100 00
Check	02/02/2016	7599	PENN STATE CANCER DAN			1028 Cash- B	***	500 00	600 00
Check	03/03/2016	DM	CHILDRENS MIRACLE NET			1028 Cash- B	***	500 00	1,100 00
Check	03/05/2016	7626	jersey shone u medical center			1028 Cash- B	***	1,000 00	2,100 00
Check	03/05/2016	7628	Meridian Foundation			1028 Cash- B	***	125 00	2,225 00
Check	03/16/2016	DM	TOYS R US	GIFTS FOR KIDS		1028 Cash- B	***	327 51	2,552 51
Check	03/25/2016	DM	TOYS R US	GIFTS FOR KIDS		1028 Cash- B	***	111 45	2,663 96
Check	04/01/2016	7642	THE TRAINING KIDS FUND			1028 Cash- B	***	1,000 00	3,663 96
Check	04/01/2016	7647	THE FLORIDA PHENOMS			1028 Cash- B	***	1,500 00	5,163 96
Check	05/05/2016	7671	Election Fund			1028 Cash- B	***	200 00	5,363 96
Check	08/01/2016	7708	SHORE HELP GOLF CLASSIC			1028 Cash- B	***	350 00	5,713 96
Check	08/01/2016	7715	Williamsburgh Foundation			1028 Cash- B	***	5,000 00	10,713 96
Check	08/01/2016	7717	March of Dimes			1028 Cash- B	***	100 00	10,813 96
Check	08/01/2016	7718	ST JUDE CHILDREN HOSP			1028 Cash- B	***	500 00	11,313 96
Check	08/09/2016	7722	JOHN HOPKINS UNIVERSITY			1028 Cash- B	***	10,000 00	21,313 96
Check	08/09/2016	7723	ROWAN UNIVERSITY			1028 Cash- B	***	1,500 00	22,813 96
Check	08/11/2016	7725	EMS			1028 Cash- B	***	525 00	23,338 96
Check	10/04/2016	DM	INN FRONT OFFICE OF WIL			1028 Cash- B	***	2,774 56	26,113 52
Check	10/18/2016	7741	Meridian Foundation			1028 Cash- B	***	1,000 00	27,113 52
Check	11/16/2016	DM	NAPLES AWARD COMPANY			1028 Cash- B	***	106 00	27,219 52
Check	12/03/2016	7765	THON DANCE FOR CANCER			1028 Cash- B	***	500 00	27,719 52
Check	12/12/2016	7776	MOUNT ST MARYS UNIV			1028 Cash- B	***	10,000 00	37,719 52
Check	12/12/2016	7777	ASKAN YOUTH			1028 Cash- B	***	1,500 00	39,219 52
Total 4620 Gifts & Grants								39,219 52	39,219 52
TOTAL								39,219.52	39,219.52



2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 5181-8662
CODISPOTI FOUNDATION INC
ATTN NICHOLAS CODISPOTI

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barrier Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
SOUTHWESTERN ENERGY CO CUSIP: 845467109	75 00000	06/22/2015	01/29/2016	664 47	1,765 59	0 00	0 00	-1,121 12	Box 6: Gross Proceeds
WHOLE FOODS MARKET INC CUSIP: 966837106	27 00000 43 00000	08/17/2015 08/18/2015	02/01/2016 02/01/2016	809 09 1,288 57	903 18 1,438 52	0 00 0 00	0 00 0 00	-94 09 -149 95	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	70.00000			\$2,097.66	\$2,341.70	\$0.00	\$0.00	(\$244.04)	
Totals				\$2,782.13	\$4,127.29	\$0.00	\$0.00	(\$1,365.16)	

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 5181-8662

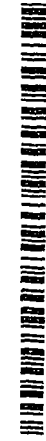
CODISPOTI FOUNDATION INC
ATTN: NICHOLAS CODISPOTI

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SOUTHWESTERN ENERGY CO CUSIP 845467109	355 00000 125 00000 480.00000	10/21/2014 01/05/2015	01/29/2016 01/29/2016	3,145 12 1,107 44 \$4,252.56	11,345 55 3,260 40 \$14,605.95	0 00 0 00 \$0.00	0 00 0 00 \$0.00	-8,200 43 -2,152 96 (\$10,353.39)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
TARGET CORP CUSIP 87612E106	15 00000	02/19/2014	03/11/2016	1,227 84	852 47	0 00	0 00	375 37	Box 6: Gross Proceeds
WAL-MART STORES INC CUSIP 931142103	40 00000	03/10/2011	01/28/2016	2,566 64	2,096 40	0 00	0 00	470 24	Box 6: Gross Proceeds
Totals				\$8,047.04	\$17,554.82	\$0.00	\$0.00	(\$9,507.78)	





2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 5181-8662

CODISPOTI FOUNDATION INC
ATTN NICHOLAS CODISPOTI

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Proceeds from Broker and Barter Exchange Transactions - continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
WHOLE FOODS MARKET INC CUSIP 966837106	70 00000	2/1/16	02/01/2016	2,097.66	1981	N/A	N/A	117	Box 6: Gross Proceeds
Totals				\$2,097.66	1981	\$0.00	\$0.00	117	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.



2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 1108-3244

CODISPOTI FOUNDATION INC
ATTN NICHOLAS CODISPOTI

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AT & T INC CUSIP: 00206R102	68 00000	03/10/2011	02/18/2016	2,503 40	1,636 20	0 00	0 00	867 20	Box 6: Gross Proceeds
	79 12000	03/10/2011	03/04/2016	2,998 58	1,903 76	0 00	0 00	1,094 82	Box 6: Gross Proceeds
	2 88000	05/03/2011	03/04/2016	109 15	73 67	0 00	0 00	35 48	Box 6: Gross Proceeds
	2 78400	05/03/2011	07/11/2016	117 65	71 21	0 00	0 00	46 44	Box 6: Gross Proceeds
Subtotals	152.78400			\$5,728.78	\$3,684.84	\$0.00	\$0.00	\$2,043.94	
ALEXANDRIA REAL ESTATE REIT EQUITIES INC CUSIP: 015271109	2 00000	03/10/2011	07/11/2016	204 79	150 53	0 00	0 00	54 26	Original Basis 152 17 Box 6: Gross Proceeds
	11 00000	03/10/2011	11/01/2016	1,177 58	827 92	0 00	0 00	349 66	Original Basis 836 93 Box 6: Gross Proceeds
	2 00000	05/03/2011	11/22/2016	221 46	159 95	0 00	0 00	61 51	Original Basis 161 50 Box 6: Gross Proceeds
Subtotals	15.00000			\$1,603.83	\$1,138.40	\$0.00	\$0.00	\$465.43	
ANALOG DEVICES INC CUSIP: 032654105	2 00000	06/30/2011	07/11/2016	116 46	78 49	0 00	0 00	37 97	Box 6: Gross Proceeds
JPMORGAN CHASE & CO CUSIP: 46625H100	28 00000	03/10/2011	02/18/2016	1,613 44	1,282 59	0 00	0 00	330 85	Box 6: Gross Proceeds
	2 00000	03/10/2011	07/11/2016	125 74	91 62	0 00	0 00	34 12	Box 6: Gross Proceeds
Subtotals	30.00000			\$1,739.18	\$1,374.21	\$0.00	\$0.00	\$364.97	
Totals				\$9,188.25	\$6,275.94	\$0.00	\$0.00	\$2,912.31	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Term Unknown

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
110.000	PNC FINANCIAL SVCS GROUP INC SYMBOL: PNC, CUSIP: 693475105 SEE FOOTNOTES: A	☒	N/A	05/03/16	9,517.11	8,950.00	567
Term Unknown Subtotal					9,517.11		0.00

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	03/02/16	03/10/16	57,198.85	55,740.00	1,458.85
500.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	06/06/16	06/29/16	30,779.33	31,119.95	-340.63
500.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	06/08/16	06/29/16	30,779.33	30,875.00	-95.68
1,000.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	12/16/16	12/19/16	104,624.91	103,000.00	1,624.91
28.000	ALASKA AIR GROUP INC SYMBOL: ALK, CUSIP: 011659109	☐	08/19/15	02/10/16	1,861.11	2,262.96	-401.85
2,000.000	ALCOA INC ORIGINAL COST 19,657.60 WASH SALE LOSS DISALLOWED: 197.81 CUSIP: 013817101	☐	03/17/16	03/29/16	19,261.98	19,459.79	-197.81
1,000.000	ALCOA INC ORIGINAL COST 9,538.00 WASH SALE REPLACEMENT ADDITION: 197.81 WASH SALE LOSS DISALLOWED: 0.00 CUSIP: 013817101	☐	03/23/16	03/30/16	9,790.78	9,735.81	54.97
15.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☐	05/08/15	02/12/16	2,055.40	2,433.75	-378.35

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
11.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☐	10/01/15	02/12/16	1,507.29	1,728.21	-220.92
7.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	07/27/15	02/16/16	1,980.96	2,313.29	-332.33
7.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	12/02/15	02/16/16	1,980.96	2,232.72	-251.76
10.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	11/23/15	02/16/16	2,829.94	3,033.30	-203.36
100.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	04/14/16	04/19/16	22,509.68	21,600.00	909.68
200.000	ALLERGAN PLC ORIGINAL COST 42,800.00 WASH SALE LOSS DISALLOWED: 347.22 SYMBOL: AGN, CUSIP: G0177J108	☐	05/04/16	05/09/16	42,105.56	42,452.78	-347.22
100.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	05/06/16	05/09/16	21,052.78	20,675.00	377.78
100.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	05/06/16	05/09/16	21,052.78	19,798.90	1,253.88
100.000	ALLERGAN PLC ORIGINAL COST 22,550.00 WASH SALE REPLACEMENT ADDITION: 347.22 WASH SALE LOSS DISALLOWED: 97.72 SYMBOL: AGN, CUSIP: G0177J108	☐	05/11/16	05/17/16	22,799.50	22,799.50	0.00
100.000	ALLERGAN PLC ORIGINAL COST 23,896.66 WASH SALE REPLACEMENT ADDITION: 97.72 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: AGN, CUSIP: G0177J108	☐	06/15/16	06/20/16	24,014.47	23,994.38	20.09
100.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	06/22/16	06/23/16	23,260.46	22,817.40	443.06
100.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	06/24/16	06/28/16	22,691.20	22,645.00	46.20
100.000	ALLERGAN PLC SYMBOL: AGN, CUSIP: G0177J108	☐	08/12/16	08/23/16	25,399.44	24,983.54	415.90
8.000	ALPHABET INC CLASS A COMMON STOCK SYMBOL: GOOGL, CUSIP: 02079K305	☐	10/20/16	11/07/16	6,378.98	6,582.00	-203.02
10.000	ALPHABET INC CLASS C CAPITAL STOCK SYMBOL: GOOG, CUSIP: 02079K107	☐	10/20/16	11/07/16	7,779.43	7,986.10	-206.67

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	ALPS ETF TR SPROTT GOLD MINERS ETF SYMBOL: SGDM, CUSIP: 00162Q643	☐	05/09/16	05/11/16	23,331.09	22,100.00	1,231.09
25.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	03/17/16	03/21/16	13,756.95	14,192.00	-435.05
4.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	10/29/15	03/21/16	2,201.11	2,503.92	-302.81
20.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	03/07/16	03/21/16	11,005.56	11,280.00	-274.44
5.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	08/11/15	03/21/16	2,751.39	2,618.70	132.69
4.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	05/01/15	03/21/16	2,201.11	1,683.32	517.79
6.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	07/09/15	03/21/16	3,301.67	2,616.60	685.07
250.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	10/28/16	10/31/16	196,790.95	194,076.68	2,714.27
100.000	AMAZON.COM INC ORIGINAL COST 77,700.00 WASH SALE LOSS DISALLOWED: 294.79 SYMBOL: AMZN, CUSIP: 023135106	☐	11/02/16	11/03/16	77,405.21	77,405.21	0.00
50.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	11/02/16	11/03/16	38,702.60	38,400.00	302.60
100.000	AMAZON.COM INC ORIGINAL COST 76,400.00 WASH SALE REPLACEMENT ADDITION: 294.79 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: AMZN, CUSIP: 023135106	☐	11/04/16	11/07/16	77,398.31	76,694.79	703.52
100.000	AMAZON.COM INC ORIGINAL COST 77,500.00 WASH SALE LOSS DISALLOWED: 601.68 SYMBOL: AMZN, CUSIP: 023135106	☐	11/23/16	11/29/16	76,898.32	76,898.32	0.00
100.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	11/28/16	11/29/16	76,898.32	76,550.00	348.32
100.000	AMAZON.COM INC ORIGINAL COST 74,973.00 WASH SALE REPLACEMENT ADDITION: 601.68 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: AMZN, CUSIP: 023135106	☐	12/01/16	12/05/16	75,906.34	75,574.68	331.66
1,000.000	AMERICAN CAPITAL AGENCY CORP	☐	10/09/15	03/07/16	18,359.60	19,336.80	-977.20

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	COMMON STOCK CUSIP: 02503X105						
1,000.000	AMERICAN CAPITAL AGENCY CORP COMMON STOCK CUSIP: 02503X105	☐	11/02/15	03/07/16	18,359.60	17,976.80	382.80
1,000.000	AMERICAN CAPITAL AGENCY CORP COMMON STOCK CUSIP: 02503X105	☐	02/16/16	03/07/16	18,359.60	17,697.40	662.20
173.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	04/15/16	05/06/16	11,136.11	12,693.01	-1,556.90
250.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	04/25/16	05/06/16	16,092.65	16,389.79	-297.14
177.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	04/28/16	05/06/16	11,393.59	11,637.48	-243.89
400.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	05/03/16	05/06/16	25,748.24	25,810.88	-62.64
70.000	AMERISOURCEBERGEN CORP SYMBOL: ABC, CUSIP: 03073E105	☐	12/09/15	02/12/16	5,924.67	6,987.12	-1,062.45
13.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	01/21/16	02/25/16	1,915.64	1,995.24	-79.60
54.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	02/17/16	02/25/16	7,957.26	8,003.34	-46.08
12.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	01/19/16	02/25/16	1,768.28	1,798.85	-30.57
8.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	01/15/16	02/25/16	1,178.85	1,198.00	-19.15
1,000.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	04/06/16	04/06/16	45,939.59	44,084.65	1,854.94
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	04/15/16	04/18/16	25,274.44	24,185.00	1,089.44
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	04/25/16	04/27/16	26,824.41	25,500.00	1,324.41
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	04/25/16	04/27/16	26,824.41	25,375.00	1,449.41
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	04/25/16	04/27/16	26,824.41	25,275.00	1,549.41
500.000	ANADARKO PETROLEUM CORP ORIGINAL COST 26,750.00 WASH SALE LOSS DISALLOWED: 2,985.52 SYMBOL: APC, CUSIP: 032511107	☐	04/28/16	05/06/16	23,764.48	23,764.48	0.00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500.000	ANADARKO PETROLEUM CORP ORIGINAL COST 26,625.00 WASH SALE LOSS DISALLOWED: 2,860.52 SYMBOL: APC, CUSIP: 032511107	☐	04/28/16	05/06/16	23,764.48	23,764.48	0.00
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	05/04/16	05/11/16	24,174.47	23,800.00	374.47
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	05/09/16	05/11/16	24,174.47	22,740.00	1,434.47
250.000	ANADARKO PETROLEUM CORP ORIGINAL COST 13,016.88 WASH SALE REPLACEMENT ADDITION: 1,492.76 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: APC, CUSIP: 032511107	☐	05/02/16	06/07/16	13,972.57	14,509.64	-537.07
250.000	ANADARKO PETROLEUM CORP ORIGINAL COST 12,912.50 WASH SALE REPLACEMENT ADDITION: 1,492.76 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: APC, CUSIP: 032511107	☐	05/02/16	06/07/16	13,972.57	14,405.26	-432.69
500.000	ANADARKO PETROLEUM CORP ORIGINAL COST 24,925.00 WASH SALE REPLACEMENT ADDITION: 2,860.52 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: APC, CUSIP: 032511107	☐	05/03/16	06/07/16	27,945.14	27,785.52	159.62
500.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	05/27/16	06/07/16	27,945.14	25,625.00	2,320.14
1,000.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	10/25/16	10/28/16	61,360.66	61,049.00	311.66
1,000.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	10/31/16	11/03/16	60,582.68	60,250.00	332.68
1,000.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	11/01/16	11/03/16	60,582.68	59,210.00	1,372.68
1,000.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	11/14/16	11/15/16	62,140.64	59,491.00	2,649.64
1,000.000	ANADARKO PETROLEUM CORP SYMBOL: APC, CUSIP: 032511107	☐	11/28/16	11/30/16	64,698.58	62,157.00	2,541.58
6.000	ANHEUSER-BUSCH INBEV SA SPONSORED ADR SYMBOL: BUD, CUSIP: 03524A108	☐	02/16/16	03/01/16	684.48	693.30	-8.82
33.000	ANHEUSER-BUSCH INBEV SA SPONSORED ADR SYMBOL: BUD, CUSIP: 03524A108	☐	08/28/15	03/01/16	3,764.63	3,620.43	144.20

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	ANNALY CAPITAL MANAGEMENT INC SYMBOL: NLY, CUSIP: 035710409	☐	02/16/16	03/07/16	10,299.77	9,799.90	499.87
1,000.000	ANNALY CAPITAL MANAGEMENT INC SYMBOL: NLY, CUSIP: 035710409	☐	02/09/16	03/07/16	10,299.77	9,719.90	579.87
1,000.000	ANNALY CAPITAL MANAGEMENT INC SYMBOL: NLY, CUSIP: 035710409	☐	11/25/15	03/07/16	10,299.77	9,462.11	837.66
630.000	ANNALY CAPITAL MANAGEMENT INC SYMBOL: NLY, CUSIP: 035710409	☐	04/15/16	08/29/16	6,803.91	5,891.51	912.40
1,000.000	ANTERO RESOURCES CORPORATION SYMBOL: AR, CUSIP: 03674X106	☐	03/17/16	03/21/16	25,760.43	24,499.35	1,261.08
500.000	ANTERO RESOURCES CORPORATION SYMBOL: AR, CUSIP: 03674X106	☐	06/08/16	07/12/16	13,621.50	14,625.00	-1,003.50
500.000	ANTERO RESOURCES CORPORATION SYMBOL: AR, CUSIP: 03674X106	☐	06/24/16	07/12/16	13,621.50	13,800.00	-178.50
250.000	ANTERO RESOURCES CORPORATION SYMBOL: AR, CUSIP: 03674X106	☐	06/29/16	07/12/16	6,810.75	6,700.00	110.75
500.000	ANTERO RESOURCES CORPORATION SYMBOL: AR, CUSIP: 03674X106	☐	07/05/16	07/12/16	13,621.50	12,745.00	876.50
500.000	ANTERO RESOURCES CORPORATION SYMBOL: AR, CUSIP: 03674X106	☐	07/07/16	07/12/16	13,621.50	12,740.00	881.50
1,000.000	APACHE CORP SYMBOL: APA, CUSIP: 037411105	☐	12/16/16	12/19/16	66,848.54	66,248.50	600.04
16.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	06/01/15	02/16/16	1,535.17	2,094.24	-559.07
13.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/04/15	02/16/16	1,247.32	1,677.39	-430.07
12.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/28/15	02/16/16	1,151.37	1,579.56	-428.19
22.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	09/03/15	02/16/16	2,110.85	2,424.40	-313.55
754.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	02/12/16	02/16/16	72,344.72	70,513.70	1,831.02
200.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	04/28/16	05/20/16	18,999.58	18,900.00	99.58
100.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/11/16	05/20/16	9,499.79	9,275.00	224.79
200.000	APPLE INC ORIGINAL COST 21,419.98	☐	04/18/16	07/20/16	20,003.88	20,003.88	0.00

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 1,416.10 SYMBOL: AAPL, CUSIP: 037833100						
50.000	APPLE INC ORIGINAL COST 5,281.99 WASH SALE LOSS DISALLOWED: 281.03 SYMBOL: AAPL, CUSIP: 037833100	☐	04/21/16	07/20/16	5,000.97	5,000.97	0.00
250.000	APPLE INC ORIGINAL COST 26,409.97 WASH SALE LOSS DISALLOWED: 450.24 SYMBOL: AAPL, CUSIP: 037833100	☐	04/21/16	07/28/16	25,959.73	25,959.73	0.00
200.000	APPLE INC ORIGINAL COST 21,000.00 WASH SALE LOSS DISALLOWED: 232.21 SYMBOL: AAPL, CUSIP: 037833100	☐	04/22/16	07/28/16	20,767.79	20,767.79	0.00
200.000	APPLE INC ORIGINAL COST 20,950.00 WASH SALE LOSS DISALLOWED: 182.21 SYMBOL: AAPL, CUSIP: 037833100	☐	04/25/16	07/28/16	20,767.79	20,767.79	0.00
100.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	06/01/16	07/28/16	10,383.89	9,865.00	518.89
100.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	06/06/16	07/29/16	10,424.77	9,822.00	602.77
100.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	06/02/16	07/29/16	10,424.77	9,710.00	714.77
100.000	APPLE INC ORIGINAL COST 10,406.04 WASH SALE REPLACEMENT ADDITION: 91.11 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: AAPL, CUSIP: 037833100	☐	08/02/16	08/03/16	9,799.79	10,497.15	-697.36
200.000	APPLE INC ORIGINAL COST 18,760.02 WASH SALE REPLACEMENT ADDITION: 1,416.10 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: AAPL, CUSIP: 037833100	☐	06/20/16	08/03/16	19,599.57	20,176.13	-576.55
50.000	APPLE INC ORIGINAL COST 5,203.02 WASH SALE REPLACEMENT ADDITION: 45.55 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: AAPL, CUSIP: 037833100	☐	08/02/16	08/03/16	4,899.89	5,248.58	-348.68
50.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	08/02/16	08/03/16	4,899.89	5,203.02	-303.13
250.000	APPLE INC ORIGINAL COST 24,139.78	☐	07/25/16	08/03/16	24,499.47	24,590.03	-90.56

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION: 450.24						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: AAPL, CUSIP: 037833100						
50.000	APPLE INC	☐	06/23/16	08/03/16	4,899.89	4,984.05	-84.15
	ORIGINAL COST 4,703.02						
	WASH SALE REPLACEMENT ADDITION: 281.03						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: AAPL, CUSIP: 037833100						
50.000	APPLE INC	☐	07/25/16	08/03/16	4,899.89	4,873.51	26.38
	ORIGINAL COST 4,827.95						
	WASH SALE REPLACEMENT ADDITION: 45.55						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: AAPL, CUSIP: 037833100						
200.000	APPLE INC	☐	07/25/16	08/03/16	19,599.57	19,544.04	55.53
	ORIGINAL COST 19,311.82						
	WASH SALE REPLACEMENT ADDITION: 232.21						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: AAPL, CUSIP: 037833100						
200.000	APPLE INC	☐	06/10/16	08/03/16	19,599.57	19,432.09	167.49
	SYMBOL: AAPL, CUSIP: 037833100						
200.000	APPLE INC	☐	06/15/16	08/03/16	19,599.57	19,128.07	471.51
	SYMBOL: AAPL, CUSIP: 037833100						
150.000	APPLE INC	☐	06/23/16	08/03/16	14,699.68	14,109.07	590.61
	SYMBOL: AAPL, CUSIP: 037833100						
200.000	APPLE INC	☐	06/16/16	08/03/16	19,599.57	18,962.09	637.49
	SYMBOL: AAPL, CUSIP: 037833100						
200.000	APPLE INC	☐	06/17/16	08/03/16	19,599.57	18,802.09	797.49
	SYMBOL: AAPL, CUSIP: 037833100						
250.000	APPLE INC	☐	06/24/16	08/03/16	24,499.47	23,015.11	1,484.36
	SYMBOL: AAPL, CUSIP: 037833100						
250.000	APPLE INC	☐	06/27/16	08/03/16	24,499.47	22,689.69	1,809.78
	SYMBOL: AAPL, CUSIP: 037833100						
250.000	AQUA AMERICA INC	☐	04/01/16	04/20/16	8,034.85	7,959.98	74.87
	SYMBOL: WTR, CUSIP: 03836W103						
1,000.000	AT&T INC	☐	02/09/16	03/03/16	37,999.17	36,375.00	1,624.17
	SYMBOL: T, CUSIP: 00206R102						
500.000	AT&T INC	☐	03/23/16	03/30/16	19,750.31	19,284.95	465.36
	SYMBOL: T, CUSIP: 00206R102						
100.000	AUTOMATIC DATA PROCESSING INC	☐	06/10/16	06/20/16	8,908.55	8,665.00	243.55
	SYMBOL: ADP, CUSIP: 053015103						

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500.000	AUTOMATIC DATA PROCESSING INC SYMBOL: ADP, CUSIP: 053015103	☐	06/08/16	06/20/16	44,542.73	43,509.50	1,033.23
500.000	AUTOMATIC DATA PROCESSING INC SYMBOL: ADP, CUSIP: 053015103	☐	07/29/16	08/05/16	44,692.03	44,563.50	128.53
250.000	AUTOMATIC DATA PROCESSING INC SYMBOL: ADP, CUSIP: 053015103	☐	08/01/16	08/05/16	22,346.01	22,064.05	281.96
250.000	AUTOMATIC DATA PROCESSING INC SYMBOL: ADP, CUSIP: 053015103	☐	08/04/16	08/05/16	22,346.01	22,051.65	294.36
200.000	B/E AEROSPACE INC SYMBOL: BEAV, CUSIP: 073302101	☐	04/26/16	05/16/16	9,404.85	9,791.88	-387.03
300.000	B/E AEROSPACE INC SYMBOL: BEAV, CUSIP: 073302101	☐	05/09/16	05/16/16	14,107.28	14,221.26	-113.98
1,000.000	BARRICK GOLD CORP SYMBOL: ABX, CUSIP: 067901108	☐	12/20/16	12/29/16	15,662.65	14,000.00	1,662.65
174.000	BAXTER INTERNATIONAL INC ORIGINAL COST 6,576.71 WASH SALE LOSS DISALLOWED: 247.98 SYMBOL: BAX, CUSIP: 071813109	☐	02/03/16	02/12/16	6,328.73	6,328.73	0.00
174.000	BAXTER INTERNATIONAL INC ORIGINAL COST 6,905.57 WASH SALE REPLACEMENT ADDITION: 247.98 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BAX, CUSIP: 071813109	☐	03/07/16	03/29/16	7,032.13	7,153.55	-121.43
76.000	BAXTER INTERNATIONAL INC SYMBOL: BAX, CUSIP: 071813109	☐	03/07/16	03/29/16	3,071.50	3,016.23	55.28
100.000	BAXTER INTERNATIONAL INC SYMBOL: BAX, CUSIP: 071813109	☐	03/17/16	03/29/16	4,041.45	3,983.20	58.25
1,000.000	BB&T CORP SYMBOL: BBT, CUSIP: 054937107	☐	05/11/16	05/18/16	34,549.24	34,000.00	549.24
150.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☐	03/07/16	03/18/16	22,168.15	22,300.92	-132.77
32.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☐	02/17/16	03/18/16	4,729.21	4,585.92	143.29
105.000	BED BATH & BEYOND INC ORIGINAL COST 6,955.20 WASH SALE LOSS DISALLOWED: 2,235.55 SYMBOL: BBBY, CUSIP: 075896100	☐	04/15/16	06/02/16	4,719.65	4,719.65	0.00
95.000	BED BATH & BEYOND INC ORIGINAL COST 4,541.00	☐	04/18/16	06/02/16	4,270.16	4,270.16	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 270.84 SYMBOL: BBY, CUSIP: 075896100						
105.000	BED BATH & BEYOND INC ORIGINAL COST 5,019.00 WASH SALE LOSS DISALLOWED: 299.35 SYMBOL: BBY, CUSIP: 075896100	☐	04/18/16	06/02/16	4,719.65	4,719.65	0.00
500.000	BED BATH & BEYOND INC ORIGINAL COST 24,152.99 WASH SALE LOSS DISALLOWED: 1,678.48 SYMBOL: BBY, CUSIP: 075896100	☐	04/25/16	06/02/16	22,474.51	22,474.51	0.00
195.000	BED BATH & BEYOND INC ORIGINAL COST 9,408.75 WASH SALE LOSS DISALLOWED: 643.69 SYMBOL: BBY, CUSIP: 075896100	☐	04/28/16	06/02/16	8,765.06	8,765.06	0.00
500.000	BED BATH & BEYOND INC ORIGINAL COST 24,624.75 WASH SALE LOSS DISALLOWED: 1,975.25 SYMBOL: BBY, CUSIP: 075896100	☐	04/28/16	06/06/16	22,649.51	22,649.51	0.00
55.000	BED BATH & BEYOND INC ORIGINAL COST 2,653.75 WASH SALE LOSS DISALLOWED: 162.30 SYMBOL: BBY, CUSIP: 075896100	☐	04/28/16	06/06/16	2,491.45	2,491.45	0.00
445.000	BED BATH & BEYOND INC ORIGINAL COST 20,727.88 WASH SALE LOSS DISALLOWED: 569.82 SYMBOL: BBY, CUSIP: 075896100	☐	05/02/16	06/06/16	20,158.06	20,158.06	0.00
105.000	BED BATH & BEYOND INC ORIGINAL COST 4,620.00 WASH SALE REPLACEMENT ADDITION: 2,235.55 WASH SALE LOSS DISALLOWED: 2,051.91 SYMBOL: BBY, CUSIP: 075896100	☐	05/11/16	06/08/16	4,803.65	4,803.65	0.00
500.000	BED BATH & BEYOND INC ORIGINAL COST 22,340.00 WASH SALE REPLACEMENT ADDITION: 1,975.25 WASH SALE LOSS DISALLOWED: 1,440.75 SYMBOL: BBY, CUSIP: 075896100	☐	05/11/16	06/08/16	22,874.50	22,874.50	0.00
95.000	BED BATH & BEYOND INC ORIGINAL COST 4,180.00 WASH SALE REPLACEMENT ADDITION: 270.84 WASH SALE LOSS DISALLOWED: 104.69 SYMBOL: BBY, CUSIP: 075896100	☐	05/11/16	06/08/16	4,346.16	4,346.16	0.00
105.000	BED BATH & BEYOND INC ORIGINAL COST 4,620.00 WASH SALE REPLACEMENT ADDITION: 299.35	☐	05/11/16	06/08/16	4,803.65	4,803.65	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 115.71 SYMBOL: BBBY, CUSIP: 075896100						
195.000	BED BATH & BEYOND INC ORIGINAL COST 8,580.00 WASH SALE REPLACEMENT ADDITION: 654.61 WASH SALE LOSS DISALLOWED: 313.55 SYMBOL: BBBY, CUSIP: 075896100	☐	05/11/16	06/08/16	8,921.06	8,921.06	0.00
305.000	BED BATH & BEYOND INC SYMBOL: BBBY, CUSIP: 075896100	☐	05/11/16	06/20/16	13,680.32	14,443.87	-763.55
445.000	BED BATH & BEYOND INC ORIGINAL COST 19,882.60 WASH SALE REPLACEMENT ADDITION: 569.82 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/11/16	06/20/16	19,959.82	20,452.42	-492.60
195.000	BED BATH & BEYOND INC ORIGINAL COST 8,580.00 WASH SALE REPLACEMENT ADDITION: 643.69 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/11/16	06/20/16	8,746.44	9,223.69	-477.25
55.000	BED BATH & BEYOND INC ORIGINAL COST 2,457.40 WASH SALE REPLACEMENT ADDITION: 162.30 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/11/16	06/20/16	2,466.94	2,619.70	-152.76
105.000	BED BATH & BEYOND INC ORIGINAL COST 4,457.25 WASH SALE REPLACEMENT ADDITION: 2,051.91 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/18/16	07/12/16	4,795.62	6,509.16	-1,713.53
500.000	BED BATH & BEYOND INC ORIGINAL COST 21,225.00 WASH SALE REPLACEMENT ADDITION: 1,440.75 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/18/16	07/12/16	22,836.30	22,665.75	170.56
95.000	BED BATH & BEYOND INC ORIGINAL COST 4,032.75 WASH SALE REPLACEMENT ADDITION: 104.69 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/18/16	07/12/16	4,338.90	4,137.44	201.46
105.000	BED BATH & BEYOND INC ORIGINAL COST 4,457.25 WASH SALE REPLACEMENT ADDITION: 115.71 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/18/16	07/12/16	4,795.62	4,572.96	222.67

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
195.000	BED BATH & BEYOND INC ORIGINAL COST 8,277.75 WASH SALE REPLACEMENT ADDITION: 313.55 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BBBY, CUSIP: 075896100	☐	05/18/16	07/12/16	8,906.16	8,591.30	314.85
9.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	02/17/16	02/23/16	2,838.36	2,869.56	-31.20
6.000	BOEING CO SYMBOL: BA, CUSIP: 097023105	☐	08/19/15	01/29/16	715.19	856.80	-141.61
500.000	BOEING CO SYMBOL: BA, CUSIP: 097023105	☐	08/10/16	08/11/16	66,441.95	65,875.00	566.95
145.000	BOSTON SCIENTIFIC CORP SYMBOL: BSX, CUSIP: 101137107	☐	06/02/15	02/12/16	2,414.23	2,659.23	-245.00
86.000	BOSTON SCIENTIFIC CORP SYMBOL: BSX, CUSIP: 101137107	☐	05/29/15	02/12/16	1,431.89	1,597.87	-165.98
79.000	BOSTON SCIENTIFIC CORP SYMBOL: BSX, CUSIP: 101137107	☐	06/01/15	02/12/16	1,315.34	1,467.02	-151.68
71.000	BOSTON SCIENTIFIC CORP SYMBOL: BSX, CUSIP: 101137107	☐	01/14/16	02/12/16	1,182.14	1,299.30	-117.16
1,000.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	02/22/16	03/03/16	30,850.32	30,150.00	700.32
1,000.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	03/15/16	03/16/16	30,281.33	29,328.00	953.33
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	04/01/16	04/08/16	14,880.18	14,689.00	191.18
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	04/05/16	04/08/16	14,880.18	14,493.50	386.68
1,000.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	05/09/16	05/10/16	31,580.31	30,939.00	641.31
1,000.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) ORIGINAL COST 35,917.00 WASH SALE LOSS DISALLOWED: 2,165.74 SYMBOL: BP, CUSIP: 055622104	☐	07/05/16	08/11/16	33,751.26	33,751.26	0.00
500.000	BP P L C SPONSORED ADR	☐	07/25/16	08/11/16	16,875.63	16,875.63	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	(FRM BP AMOCO PLC) ORIGINAL COST 17,453.50 WASH SALE LOSS DISALLOWED: 577.87 SYMBOL: BP, CUSIP: 055622104						
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	08/01/16	08/11/16	16,875.63	16,668.50	207.13
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) ORIGINAL COST 16,668.50 WASH SALE REPLACEMENT ADDITION: 1,082.87 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BP, CUSIP: 055622104	☐	08/01/16	08/24/16	17,125.63	17,751.37	-625.75
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) ORIGINAL COST 16,515.00 WASH SALE REPLACEMENT ADDITION: 1,082.87 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BP, CUSIP: 055622104	☐	08/04/16	08/24/16	17,125.63	17,597.87	-472.25
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) ORIGINAL COST 16,515.00 WASH SALE REPLACEMENT ADDITION: 577.87 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BP, CUSIP: 055622104	☐	08/04/16	08/24/16	17,125.63	17,092.87	32.76
500.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP, CUSIP: 055622104	☐	08/04/16	08/24/16	17,125.63	16,515.00	610.63
31.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	07/23/15	02/25/16	1,942.11	2,133.42	-191.31
20.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	07/07/15	02/25/16	1,252.97	1,410.02	-157.05
18.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	07/14/15	02/25/16	1,127.67	1,257.30	-129.63
3.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	07/14/15	02/25/16	187.95	219.69	-31.75
28.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	01/14/16	02/25/16	1,754.16	1,783.88	-29.72
2.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	07/14/15	02/25/16	125.30	144.94	-19.65
20.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	02/17/16	02/25/16	1,252.97	1,256.20	-3.23

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
26.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	09/30/15	02/25/16	1,628.86	1,537.90	90.96
52.000	BRISTOL MYERS SQUIBB CO SYMBOL: BMY, CUSIP: 110122108	☐	08/31/15	02/25/16	3,257.73	3,116.36	141.37
500.000	BRITISH AMERICAN TOBACCO PLC SPONSORED ADR SYMBOL: BTI, CUSIP: 110448107	☐	06/24/16	06/28/16	61,428.91	60,725.00	703.91
19.000	BROADCOM LIMITED SHS SYMBOL: AVGO, CUSIP: Y09827109	☐	06/02/15	02/12/16	2,215.54	2,738.28	-522.74
11.000	BROADCOM LIMITED SHS SYMBOL: AVGO, CUSIP: Y09827109	☐	07/02/15	02/12/16	1,282.68	1,496.77	-214.09
21.000	BROADCOM LIMITED SHS SYMBOL: AVGO, CUSIP: Y09827109	☐	08/27/15	02/12/16	2,448.76	2,632.56	-183.80
250.000	CALVERT IMPACT FD INC GLOBAL WATER FD CL A SYMBOL: CFWAX, CUSIP: 13161P797	☐	04/01/16	08/10/16	4,367.50	4,000.00	367.50
23.000	CARDINAL HEALTH INC SYMBOL: CAH, CUSIP: 14149Y108	☐	12/04/15	02/16/16	1,826.62	2,034.98	-208.36
1,000.000	CARE CAPITAL PROPERTIES INC COM SYMBOL: CCP, CUSIP: 141624106	☐	08/10/16	08/11/16	30,208.26	29,600.00	608.26
1,000.000	CARE CAPITAL PROPERTIES INC COM SYMBOL: CCP, CUSIP: 141624106	☐	08/11/16	08/12/16	30,501.15	29,330.00	1,171.15
1,000.000	CARE CAPITAL PROPERTIES INC COM SYMBOL: CCP, CUSIP: 141624106	☐	08/16/16	08/31/16	30,041.66	29,963.80	77.86
1,000.000	CARLYLE GROUP L P COM UNIT LTD PARTNERSHIP INT SYMBOL: CG, CUSIP: 14309L102	☒	08/10/16	10/24/16	15,499.66	16,744.00	-1,244.34
1,000.000	CARLYLE GROUP L P COM UNIT LTD PARTNERSHIP INT SYMBOL: CG, CUSIP: 14309L102	☒	08/11/16	10/24/16	15,499.66	16,170.00	-670.34
1,000.000	CARLYLE GROUP L P COM UNIT LTD PARTNERSHIP INT SYMBOL: CG, CUSIP: 14309L102	☒	09/23/16	10/24/16	15,499.66	15,400.00	99.66
2,000.000	CARLYLE GROUP L P COM UNIT LTD PARTNERSHIP INT SYMBOL: CG, CUSIP: 14309L102	☒	10/14/16	10/24/16	30,999.32	29,220.00	1,779.32

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500.000	CATERPILLAR INC SYMBOL: CAT, CUSIP: 149123101	☐	02/08/16	02/17/16	33,875.16	32,319.50	1,555.66
10.000	CELGENE CORP SYMBOL: CELG, CUSIP: 151020104	☐	02/17/16	03/24/16	1,003.54	1,056.30	-52.76
270.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	04/15/16	05/02/16	7,641.67	6,312.60	1,329.07
250.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	03/07/16	05/10/16	5,663.64	5,282.28	381.36
250.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	03/23/16	05/10/16	5,663.64	5,239.50	424.14
500.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	04/21/16	05/10/16	11,327.27	10,669.50	657.77
500.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	05/17/16	06/03/16	11,105.16	10,744.50	360.66
500.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	05/19/16	06/03/16	11,105.16	10,244.50	860.66
1,000.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	06/20/16	06/23/16	21,749.52	21,450.00	299.52
1,000.000	CHATHAM LODGING TR SYMBOL: CLDT, CUSIP: 16208T102	☐	08/04/16	08/05/16	21,691.12	20,979.85	711.27
1,000.000	CHENIERE ENERGY INC COM ORIGINAL COST 37,860.80 WASH SALE LOSS DISALLOWED: 4,061.54 SYMBOL: LNG, CUSIP: 16411R208	☐	03/17/16	04/04/16	33,799.26	33,799.26	0.00
1,000.000	CHENIERE ENERGY INC COM ORIGINAL COST 32,000.00 WASH SALE REPLACEMENT ADDITION: 4,061.54 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: LNG, CUSIP: 16411R208	☐	03/29/16	04/12/16	36,283.70	36,061.54	222.16
9.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☐	08/19/15	02/17/16	795.51	728.37	67.14
402.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☐	02/16/16	02/17/16	35,532.80	33,853.22	1,679.58
75.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☐	04/15/16	05/06/16	7,660.52	7,023.75	636.77
250.000	CHUBB LTD COM SYMBOL: CB, CUSIP: H1467J104	☐	06/24/16	06/28/16	31,264.56	31,250.00	14.56

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250.000	CHURCH & DWIGHT CO INC SYMBOL: CHD, CUSIP: 171340102	☐	03/18/16	03/28/16	23,015.22	22,796.05	219.17
250.000	CHURCH & DWIGHT CO INC SYMBOL: CHD, CUSIP: 171340102	☐	03/07/16	03/28/16	23,015.22	22,750.50	264.72
100.000	CINEMARK HLDGS INC SYMBOL: CNK, CUSIP: 17243V102	☐	04/01/16	04/20/16	3,581.20	3,538.46	42.74
418.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	02/08/16	02/16/16	10,826.79	9,420.55	1,406.24
250.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	03/29/16	03/30/16	7,105.09	6,966.80	138.29
500.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	03/23/16	03/30/16	14,210.19	14,069.00	141.19
500.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	03/24/16	03/30/16	14,210.19	13,854.00	356.19
500.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	04/15/16	04/20/16	14,305.18	13,875.00	430.18
500.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	05/03/16	05/10/16	13,500.21	13,375.00	125.21
500.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	05/04/16	05/10/16	13,500.21	13,250.00	250.21
41.000	CITIGROUP INC COM SYMBOL: C, CUSIP: 172967424	☐	08/19/15	02/12/16	1,485.08	2,342.74	-857.66
250.000	CLOUGH GLOBAL OPPORTUNITIES FD SH BEN INT SYMBOL: GLO, CUSIP: 18914E106	☐	03/23/16	08/23/16	2,450.70	2,223.50	227.20
250.000	CLOUGH GLOBAL OPPORTUNITIES FD SH BEN INT SYMBOL: GLO, CUSIP: 18914E106	☐	03/07/16	08/23/16	2,450.70	2,207.80	242.90
500.000	CLOUGH GLOBAL OPPORTUNITIES FD SH BEN INT SYMBOL: GLO, CUSIP: 18914E106	☐	05/19/16	08/23/16	4,901.39	4,490.10	411.29
250.000	COLGATE PALMOLIVE COMPANY SYMBOL: CL, CUSIP: 194162103	☐	03/07/16	03/21/16	17,479.69	16,912.23	567.46
58.000	COMCAST CORP CL A SYMBOL: CMCSA, CUSIP: 20030N101	☐	06/04/15	02/12/16	3,285.21	3,443.30	-158.09
71.000	COMCAST CORP CL A SYMBOL: CMCSA, CUSIP: 20030N101	☐	02/09/16	02/22/16	4,134.24	4,255.22	-120.98

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
34.000	COMCAST CORP CL A SYMBOL: CMCSA, CUSIP: 20030N101	☐	02/08/16	02/22/16	1,979.77	1,991.30	-11.53
1,000.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	03/15/16	03/16/16	41,491.09	38,918.00	2,573.09
500.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	04/01/16	04/04/16	20,105.06	19,589.00	516.06
500.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	07/05/16	07/08/16	21,042.54	20,875.00	167.54
500.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	07/07/16	07/08/16	21,042.54	20,674.96	367.58
1,000.000	CONOCOPHILLIPS ORIGINAL COST 42,597.00 WASH SALE LOSS DISALLOWED: 1,294.91 SYMBOL: COP, CUSIP: 20825C104	☐	07/13/16	08/10/16	41,302.09	41,302.09	0.00
500.000	CONOCOPHILLIPS ORIGINAL COST 20,713.50 WASH SALE LOSS DISALLOWED: 62.45 SYMBOL: COP, CUSIP: 20825C104	☐	07/20/16	08/10/16	20,651.05	20,651.05	0.00
500.000	CONOCOPHILLIPS ORIGINAL COST 20,158.50 WASH SALE REPLACEMENT ADDITION: 647.45 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: COP, CUSIP: 20825C104	☐	07/25/16	08/11/16	20,576.05	20,805.95	-229.90
500.000	CONOCOPHILLIPS ORIGINAL COST 19,928.50 WASH SALE REPLACEMENT ADDITION: 647.45 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: COP, CUSIP: 20825C104	☐	08/04/16	08/11/16	20,574.55	20,575.95	-1.40
500.000	CONOCOPHILLIPS ORIGINAL COST 19,928.50 WASH SALE REPLACEMENT ADDITION: 62.45 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: COP, CUSIP: 20825C104	☐	08/04/16	08/12/16	20,741.04	19,990.95	750.09
1,000.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	09/13/16	09/28/16	41,872.08	40,750.00	1,122.08
1,000.000	CONOCOPHILLIPS ORIGINAL COST 44,037.00 WASH SALE LOSS DISALLOWED: 467.95 SYMBOL: COP, CUSIP: 20825C104	☐	10/31/16	11/03/16	43,569.05	43,569.05	0.00
1,000.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	11/01/16	11/03/16	43,569.05	42,857.00	712.05

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	CONOCOPHILLIPS ORIGINAL COST 45,500.00 WASH SALE REPLACEMENT ADDITION: 467.95 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: COP, CUSIP: 20825C104	☐	11/28/16	11/30/16	47,670.96	45,967.95	1,703.01
1,000.000	CONTINENTAL RESOURCES INC SYMBOL: CLR, CUSIP: 212015101	☐	11/16/16	11/17/16	50,498.89	48,679.50	1,819.39
1,000.000	CONTINENTAL RESOURCES INC SYMBOL: CLR, CUSIP: 212015101	☐	11/29/16	11/30/16	54,008.82	47,350.00	6,658.82
13.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST, CUSIP: 22160K105	☐	07/09/15	02/22/16	1,945.15	1,808.30	136.85
100.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST, CUSIP: 22160K105	☐	05/06/16	05/06/16	14,627.97	14,560.00	67.97
1,000.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST, CUSIP: 22160K105	☐	05/06/16	05/06/16	146,279.67	144,960.95	1,318.72
500.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST, CUSIP: 22160K105	☐	05/11/16	05/26/16	75,071.78	73,875.00	1,196.78
250.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST, CUSIP: 22160K105	☐	05/17/16	05/26/16	37,535.89	36,025.00	1,510.89
5.000	CVS HEALTH CORPORATION ORIGINAL COST 535.95 WASH SALE LOSS DISALLOWED: 65.31 SYMBOL: CVS, CUSIP: 126650100	☐	08/19/15	01/26/16	470.64	470.64	0.00
16.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	06/29/15	05/19/16	1,612.77	1,685.60	-72.83
19.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	05/21/15	05/19/16	1,915.16	1,973.53	-58.37
5.000	CVS HEALTH CORPORATION ORIGINAL COST 487.00 WASH SALE REPLACEMENT ADDITION: 65.31 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: CVS, CUSIP: 126650100	☐	02/17/16	05/19/16	503.99	552.31	-48.32
15.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	02/17/16	05/19/16	1,511.97	1,461.00	50.97
60.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	03/07/16	05/19/16	6,047.87	5,893.19	154.68
68.000	D R HORTON INC SYMBOL: DHI, CUSIP: 23331A109	☐	08/19/15	02/16/16	1,667.33	2,182.08	-514.75
18.000	DANAHER CORPORATION SYMBOL: DHR, CUSIP: 235851102	☐	08/21/15	02/16/16	1,554.62	1,585.62	-31.00

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14.000	DANAHER CORPORATION SYMBOL: DHR, CUSIP: 235851102	☐	01/28/16	02/16/16	1,209.15	1,184.54	24.61
1,000.000	DEERE & CO SYMBOL: DE, CUSIP: 244199105	☐	02/19/16	02/24/16	78,758.28	77,010.00	1,748.28
33.000	DELPHI AUTOMOTIVE PLC SHS US LISTED SYMBOL: DLPH, CUSIP: G27823106	☐	10/28/15	02/16/16	2,067.74	2,825.79	-758.05
19.000	DELPHI AUTOMOTIVE PLC SHS US LISTED SYMBOL: DLPH, CUSIP: G27823106	☐	11/13/15	02/16/16	1,190.51	1,526.65	-336.14
61.000	DELTA AIR LINES INC DEL COM SYMBOL: DAL, CUSIP: 247361702	☐	03/07/16	03/18/16	3,037.29	2,933.95	103.34
27.000	DEXCOM INC SYMBOL: DXCM, CUSIP: 252131107	☐	06/29/15	02/12/16	1,537.68	2,148.12	-610.44
17.000	DEXCOM INC SYMBOL: DXCM, CUSIP: 252131107	☐	07/13/15	02/12/16	968.17	1,394.85	-426.68
16.000	DEXCOM INC SYMBOL: DXCM, CUSIP: 252131107	☐	06/25/15	02/12/16	911.22	1,235.36	-324.14
500.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	04/18/16	04/20/16	11,935.23	10,775.00	1,160.23
270.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	04/15/16	04/27/16	6,709.35	8,064.90	-1,355.55
500.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	04/25/16	04/27/16	12,424.73	11,600.00	824.73
1,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	06/08/16	06/22/16	25,910.77	25,710.00	200.77
500.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	06/15/16	06/22/16	12,955.38	12,245.00	710.38
2,500.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	09/21/16	09/21/16	39,501.88	37,625.00	1,876.88
3,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	09/23/16	09/28/16	49,136.42	47,250.00	1,886.42
2,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	10/21/16	10/31/16	34,999.24	34,500.00	499.24
2,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	10/24/16	10/31/16	34,999.24	34,480.00	519.24
1,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	10/31/16	11/03/16	17,021.63	16,500.00	521.63

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	11/01/16	11/03/16	34,043.25	33,100.00	943.25
3,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	11/04/16	11/10/16	49,808.93	48,150.00	1,658.93
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 24,850.00 WASH SALE LOSS DISALLOWED: 8,650.35 SYMBOL: DO, CUSIP: 25271C102	☐	07/19/16	11/14/16	16,199.65	16,199.65	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 12,048.00 WASH SALE LOSS DISALLOWED: 3,948.18 SYMBOL: DO, CUSIP: 25271C102	☐	07/20/16	11/14/16	8,099.82	8,099.82	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 22,040.00 WASH SALE LOSS DISALLOWED: 5,840.35 SYMBOL: DO, CUSIP: 25271C102	☐	07/27/16	11/14/16	16,199.65	16,199.65	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 21,450.00 WASH SALE LOSS DISALLOWED: 5,250.35 SYMBOL: DO, CUSIP: 25271C102	☐	08/01/16	11/14/16	16,199.65	16,199.65	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 10,505.00 WASH SALE LOSS DISALLOWED: 2,405.18 SYMBOL: DO, CUSIP: 25271C102	☐	08/10/16	11/14/16	8,099.82	8,099.82	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 21,196.00 WASH SALE LOSS DISALLOWED: 4,996.35 SYMBOL: DO, CUSIP: 25271C102	☐	08/10/16	11/14/16	16,199.65	16,199.65	0.00
1,500.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	11/17/16	11/18/16	25,808.43	25,125.00	683.43
2,500.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	11/18/16	11/21/16	43,418.60	42,125.00	1,293.60
1,500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 30,225.00 WASH SALE LOSS DISALLOWED: 4,350.56 SYMBOL: DO, CUSIP: 25271C102	☐	08/12/16	11/30/16	25,874.44	25,874.44	0.00
1,500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 30,375.00 WASH SALE LOSS DISALLOWED: 4,500.56 SYMBOL: DO, CUSIP: 25271C102	☐	08/16/16	11/30/16	25,874.44	25,874.44	0.00
2,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 38,499.99	☐	08/23/16	11/30/16	34,499.25	34,499.25	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 4,000.74 SYMBOL: DO, CUSIP: 25271C102						
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 16,050.00 WASH SALE REPLACEMENT ADDITION: 8,650.35 WASH SALE LOSS DISALLOWED: 7,450.73 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	17,249.62	17,249.62	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 7,900.00 WASH SALE REPLACEMENT ADDITION: 2,625.18 WASH SALE LOSS DISALLOWED: 1,900.37 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	8,624.81	8,624.81	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,025.00 WASH SALE REPLACEMENT ADDITION: 3,948.18 WASH SALE LOSS DISALLOWED: 3,348.37 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	8,624.81	8,624.81	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 16,050.00 WASH SALE REPLACEMENT ADDITION: 5,840.35 WASH SALE LOSS DISALLOWED: 4,640.73 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	17,249.62	17,249.62	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,025.00 WASH SALE REPLACEMENT ADDITION: 2,625.18 WASH SALE LOSS DISALLOWED: 2,025.37 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	8,624.81	8,624.81	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 7,900.00 WASH SALE REPLACEMENT ADDITION: 2,405.18 WASH SALE LOSS DISALLOWED: 1,680.37 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	8,624.81	8,624.81	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 15,800.00 WASH SALE REPLACEMENT ADDITION: 4,996.35 WASH SALE LOSS DISALLOWED: 3,546.73 SYMBOL: DO, CUSIP: 25271C102	☐	11/11/16	11/30/16	17,249.62	17,249.62	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	11/25/16	11/30/16	17,249.62	16,947.70	301.92
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,473.85 WASH SALE REPLACEMENT ADDITION: 1,500.19 WASH SALE LOSS DISALLOWED: 49.26 SYMBOL: DO, CUSIP: 25271C102	☐	11/25/16	12/09/16	9,924.78	9,924.78	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,125.00 WASH SALE REPLACEMENT ADDITION: 1,900.37 WASH SALE LOSS DISALLOWED: 268.18 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	9,757.19	9,757.19	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 15,750.00 WASH SALE REPLACEMENT ADDITION: 7,450.73 WASH SALE LOSS DISALLOWED: 3,686.36 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	19,514.37	19,514.37	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,125.00 WASH SALE REPLACEMENT ADDITION: 3,348.37 WASH SALE LOSS DISALLOWED: 1,716.18 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	9,757.19	9,757.19	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 16,250.00 WASH SALE REPLACEMENT ADDITION: 4,640.73 WASH SALE LOSS DISALLOWED: 1,376.36 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	19,514.37	19,514.37	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,125.00 WASH SALE REPLACEMENT ADDITION: 2,025.37 WASH SALE LOSS DISALLOWED: 393.18 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	9,757.19	9,757.19	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 8,125.00 WASH SALE REPLACEMENT ADDITION: 1,680.37 WASH SALE LOSS DISALLOWED: 48.18 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	9,757.19	9,757.19	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 16,250.00 WASH SALE REPLACEMENT ADDITION: 3,546.73 WASH SALE LOSS DISALLOWED: 282.36 SYMBOL: DO, CUSIP: 25271C102	☐	11/29/16	12/09/16	19,514.37	19,514.37	0.00
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 18,750.00 WASH SALE REPLACEMENT ADDITION: 3,686.36 WASH SALE LOSS DISALLOWED: 2,736.79 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	19,699.57	19,699.57	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 9,375.00 WASH SALE REPLACEMENT ADDITION: 1,716.18	☐	12/07/16	12/09/16	9,849.79	9,849.79	0.00

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 1,241.39 SYMBOL: DO, CUSIP: 25271C102						
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 18,750.00 WASH SALE REPLACEMENT ADDITION: 1,376.36 WASH SALE LOSS DISALLOWED: 426.79 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	19,699.57	19,699.57	0.00
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 9,375.00 WASH SALE REPLACEMENT ADDITION: 393.18 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	9,849.79	9,768.18	81.61
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 16,750.00 WASH SALE REPLACEMENT ADDITION: 3,000.38 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	11/25/16	12/09/16	19,849.57	19,750.38	99.19
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 9,375.00 WASH SALE REPLACEMENT ADDITION: 268.18 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	9,849.79	9,643.18	206.61
1,500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 25,125.00 WASH SALE REPLACEMENT ADDITION: 4,350.56 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	11/25/16	12/09/16	29,774.35	29,475.56	298.78
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 9,375.00 WASH SALE REPLACEMENT ADDITION: 141.18 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	9,849.79	9,516.18	333.61
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 9,375.00 WASH SALE REPLACEMENT ADDITION: 49.26 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	9,849.79	9,424.26	425.53
500.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 9,375.00 WASH SALE REPLACEMENT ADDITION: 48.18 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: DO, CUSIP: 25271C102	☐	12/07/16	12/09/16	9,849.79	9,423.18	426.61
1,000.000	DIAMOND OFFSHORE DRILLING INC ORIGINAL COST 16,947.70	☐	11/25/16	12/09/16	19,849.57	18,948.07	901.49

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION: 2,000.37						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: DO, CUSIP: 25271C102						
1,000.000	DIAMOND OFFSHORE DRILLING INC	☐	11/29/16	12/09/16	19,849.57	17,750.37	2,099.19
	ORIGINAL COST 15,750.00						
	WASH SALE REPLACEMENT ADDITION: 2,000.37						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: DO, CUSIP: 25271C102						
29.000	DOLLAR TREE INC	☐	01/05/16	02/12/16	2,139.58	2,336.82	-197.24
	SYMBOL: DLTR, CUSIP: 256746108						
23.000	DOLLAR TREE INC	☐	12/08/15	02/12/16	1,696.91	1,825.28	-128.37
	SYMBOL: DLTR, CUSIP: 256746108						
22.000	DOLLAR TREE INC	☐	01/07/16	02/12/16	1,623.13	1,728.54	-105.41
	SYMBOL: DLTR, CUSIP: 256746108						
500.000	DUKE ENERGY CORPORATION	☐	05/31/16	06/03/16	39,964.17	38,900.00	1,064.17
	HOLDING COMPANY						
	SYMBOL: DUK, CUSIP: 26441C204						
250.000	DUKE ENERGY CORPORATION	☐	06/15/16	06/27/16	20,687.04	20,350.00	337.04
	HOLDING COMPANY						
	SYMBOL: DUK, CUSIP: 26441C204						
75.000	E I DU PONT DE NEMOURS & CO	☐	03/07/16	03/29/16	4,766.78	4,846.31	-79.54
	SYMBOL: DD, CUSIP: 263534109						
150.000	EATON CORPORATION PLC	☐	04/28/16	05/03/16	9,395.49	9,487.50	-92.01
	SYMBOL: ETN, CUSIP: G29183103						
100.000	EATON CORPORATION PLC	☐	04/14/16	05/03/16	6,263.66	6,284.73	-21.07
	SYMBOL: ETN, CUSIP: G29183103						
365.000	EBAY INC	☐	04/15/16	04/27/16	9,490.52	9,902.45	-411.93
	SYMBOL: EBAY, CUSIP: 278642103						
135.000	EBAY INC	☐	04/18/16	04/27/16	3,510.19	3,407.02	103.17
	SYMBOL: EBAY, CUSIP: 278642103						
500.000	EBAY INC	☐	04/22/16	04/27/16	13,000.72	12,250.00	750.72
	SYMBOL: EBAY, CUSIP: 278642103						
14.000	ECOLAB INC	☐	06/30/15	02/16/16	1,515.19	1,589.28	-74.09
	SYMBOL: ECL, CUSIP: 278865100						
18.000	EDWARDS LIFESCIENCES CORP	☐	09/30/15	02/12/16	1,432.81	1,276.09	156.72
	SYMBOL: EW, CUSIP: 28176E108						
22.000	EDWARDS LIFESCIENCES CORP	☐	08/28/15	02/12/16	1,751.21	1,577.73	173.48
	SYMBOL: EW, CUSIP: 28176E108						
24.000	EDWARDS LIFESCIENCES CORP	☐	08/26/15	02/12/16	1,910.42	1,644.24	266.18
	SYMBOL: EW, CUSIP: 28176E108						

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
33.000	ELI LILLY & CO ORIGINAL COST 2,724.15 WASH SALE LOSS DISALLOWED: 331.04 SYMBOL: LLY, CUSIP: 532457108	☐	01/19/16	03/16/16	2,393.11	2,393.11	0.00
14.000	ELI LILLY & CO ORIGINAL COST 1,074.36 WASH SALE LOSS DISALLOWED: 59.10 SYMBOL: LLY, CUSIP: 532457108	☐	01/28/16	03/16/16	1,015.26	1,015.26	0.00
53.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	02/19/16	03/16/16	3,843.48	3,836.13	7.35
300.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	03/15/16	03/16/16	21,755.55	20,912.88	842.67
33.000	ELI LILLY & CO ORIGINAL COST 2,389.14 WASH SALE REPLACEMENT ADDITION: 331.04 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: LLY, CUSIP: 532457108	☐	04/01/16	04/25/16	2,564.72	2,720.18	-155.46
14.000	ELI LILLY & CO ORIGINAL COST 1,013.57 WASH SALE REPLACEMENT ADDITION: 59.10 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: LLY, CUSIP: 532457108	☐	04/01/16	04/25/16	1,088.06	1,072.68	15.39
53.000	ELI LILLY & CO SYMBOL: LLY, CUSIP: 532457108	☐	04/01/16	04/25/16	4,119.10	3,837.10	282.00
500.000	EMERSON ELECTRIC CO SYMBOL: EMR, CUSIP: 291011104	☐	02/08/16	02/17/16	24,000.47	23,048.70	951.77
500.000	EMERSON ELECTRIC CO SYMBOL: EMR, CUSIP: 291011104	☐	06/24/16	07/08/16	26,437.42	25,612.00	825.42
300.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS ORIGINAL COST 9,012.00 WASH SALE LOSS DISALLOWED: 282.17 SYMBOL: ETP, CUSIP: 29273R109	☒	02/22/16	03/04/16	8,729.84	8,729.84	0.00
300.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/01/16	03/04/16	8,729.84	7,904.91	824.93
300.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS ORIGINAL COST 8,630.67 WASH SALE REPLACEMENT ADDITION: 282.17	☒	03/08/16	03/16/16	8,564.84	8,564.84	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 347.99 SYMBOL: ETP, CUSIP: 29273R109						
200.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS ORIGINAL COST 5,753.78 WASH SALE LOSS DISALLOWED: 43.88 SYMBOL: ETP, CUSIP: 29273R109	☒	03/08/16	03/16/16	5,709.90	5,709.90	0.00
250.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/14/16	03/16/16	7,137.37	7,062.50	74.87
1,000.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/15/16	03/16/16	28,549.48	27,618.80	930.68
300.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS ORIGINAL COST 8,642.67 WASH SALE REPLACEMENT ADDITION: 347.99 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: ETP, CUSIP: 29273R109	☒	03/23/16	03/29/16	8,849.81	8,990.66	-140.86
200.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS ORIGINAL COST 5,761.78 WASH SALE REPLACEMENT ADDITION: 43.88 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: ETP, CUSIP: 29273R109	☒	03/23/16	03/29/16	5,899.87	5,805.66	94.21
500.000	ENERGY TRANSFER PARTNERS L P COMMON UNITS REPRESENTING PARTNER INTERESTS SYMBOL: ETP, CUSIP: 29273R109	☒	03/24/16	03/29/16	14,749.68	14,218.75	530.93
80.000	EXPRESS SCRIPTS HOLDING COMPANY SYMBOL: ESRX, CUSIP: 30219G108	☐	04/20/16	05/03/16	5,810.91	5,854.19	-43.28
120.000	EXPRESS SCRIPTS HOLDING COMPANY SYMBOL: ESRX, CUSIP: 30219G108	☐	04/15/16	05/03/16	8,716.37	8,427.60	288.77
155.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	05/03/16	05/06/16	13,691.28	13,616.75	74.53
45.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	04/15/16	05/06/16	3,974.89	3,731.27	243.62

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
40.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☒	08/28/15	05/06/16	3,533.23	3,003.58	529.65
100.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	04/01/16	05/06/16	8,833.09	8,291.72	541.37
440.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	05/11/16	05/16/16	39,318.42	39,072.00	246.42
500.000	EXXON MOBIL CORP ORIGINAL COST 43,624.21 WASH SALE LOSS DISALLOWED: 93.66 SYMBOL: XOM, CUSIP: 30231G102	☐	07/29/16	08/11/16	43,530.55	43,530.55	0.00
500.000	EXXON MOBIL CORP ORIGINAL COST 43,598.50 WASH SALE LOSS DISALLOWED: 67.95 SYMBOL: XOM, CUSIP: 30231G102	☐	08/05/16	08/11/16	43,530.55	43,530.55	0.00
500.000	EXXON MOBIL CORP ORIGINAL COST 43,493.50 WASH SALE REPLACEMENT ADDITION: 93.66 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: XOM, CUSIP: 30231G102	☐	08/10/16	08/12/16	43,855.54	43,587.16	268.38
500.000	EXXON MOBIL CORP ORIGINAL COST 43,125.00 WASH SALE REPLACEMENT ADDITION: 67.95 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: XOM, CUSIP: 30231G102	☐	08/10/16	08/12/16	43,855.54	43,192.95	662.59
1,000.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	08/23/16	08/24/16	87,981.08	87,607.00	374.08
1,000.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	10/12/16	10/19/16	87,461.09	87,100.00	361.09
1,000.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	11/28/16	11/30/16	87,420.09	86,437.00	983.09
100.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	06/13/16	06/23/16	11,404.77	11,342.72	62.05
500.000	FIDELITY MSCI ENERGY INDEX ETF SYMBOL: FENY, CUSIP: 316092402	☐	04/27/16	06/06/16	9,620.79	9,630.00	-9.21
396.000	FIRST DATA CORPORATION CLASS A COM SYMBOL: FDC, CUSIP: 32008D106	☐	10/15/15	02/16/16	3,868.83	6,311.73	-2,442.90
2,000.000	FITBIT INC CLASS A COM SYMBOL: FIT, CUSIP: 33812L102	☐	02/23/16	03/14/16	29,130.36	28,000.00	1,130.36

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	03/29/16	03/30/16	8,387.32	8,228.00	159.32
250.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	03/07/16	03/30/16	8,387.32	8,138.00	249.32
1,000.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	04/05/16	04/25/16	33,550.26	32,400.00	1,150.26
500.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	04/27/16	05/10/16	15,355.17	15,100.00	255.17
500.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	05/02/16	05/10/16	15,355.17	15,075.00	280.17
1,000.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	05/19/16	05/24/16	30,499.33	29,338.20	1,161.13
1,000.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	06/24/16	07/08/16	31,658.30	29,947.00	1,711.30
1,000.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	10/11/16	10/26/16	30,085.34	29,967.00	118.34
1,000.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☐	10/21/16	10/26/16	30,085.34	28,960.00	1,125.34
250.000	FLUOR CORP NEW SYMBOL: FLR, CUSIP: 343412102	☐	03/23/16	03/28/16	13,595.50	13,707.50	-112.00
250.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	03/07/16	03/16/16	15,500.21	14,334.08	1,166.13
500.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	04/01/16	04/04/16	30,251.87	29,875.00	376.87
500.000	FRANCO-NEVADA CORPORATION ORIGINAL COST 33,875.00 WASH SALE LOSS DISALLOWED: 275.69 SYMBOL: FNV, CUSIP: 351858105	☐	04/21/16	04/28/16	33,599.32	33,599.32	0.00
500.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	04/25/16	04/28/16	33,599.32	32,881.00	718.32
500.000	FRANCO-NEVADA CORPORATION ORIGINAL COST 33,478.80 WASH SALE REPLACEMENT ADDITION: 275.69 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: FNV, CUSIP: 351858105	☐	05/04/16	05/05/16	33,999.26	33,754.49	244.77
250.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	05/04/16	05/05/16	16,999.63	16,125.00	874.63
500.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	05/04/16	05/05/16	33,999.26	32,775.00	1,224.26

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	07/20/16	07/27/16	18,849.59	18,612.60	236.99
250.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	07/25/16	07/27/16	18,849.59	17,890.75	958.84
500.000	FRANCO-NEVADA CORPORATION SYMBOL: FNV, CUSIP: 351858105	☐	07/20/16	07/27/16	37,699.18	36,375.00	1,324.18
190.000	FRANKLIN RESOURCES INC ORIGINAL COST 8,673.50 WASH SALE LOSS DISALLOWED: 1,976.15 SYMBOL: BEN, CUSIP: 354613101	☐	04/15/16	05/16/16	6,697.35	6,697.35	0.00
300.000	FRANKLIN RESOURCES INC ORIGINAL COST 11,811.00 WASH SALE LOSS DISALLOWED: 1,236.23 SYMBOL: BEN, CUSIP: 354613101	☐	04/27/16	05/16/16	10,574.77	10,574.77	0.00
510.000	FRANKLIN RESOURCES INC ORIGINAL COST 19,808.40 WASH SALE LOSS DISALLOWED: 1,831.29 SYMBOL: BEN, CUSIP: 354613101	☐	04/28/16	05/16/16	17,977.11	17,977.11	0.00
190.000	FRANKLIN RESOURCES INC ORIGINAL COST 7,379.60 WASH SALE REPLACEMENT ADDITION: 1,976.15 WASH SALE LOSS DISALLOWED: 2,901.59 SYMBOL: BEN, CUSIP: 354613101	☐	04/28/16	07/08/16	6,454.16	6,454.16	0.00
300.000	FRANKLIN RESOURCES INC ORIGINAL COST 11,652.00 WASH SALE REPLACEMENT ADDITION: 1,236.23 WASH SALE LOSS DISALLOWED: 2,697.46 SYMBOL: BEN, CUSIP: 354613101	☐	04/28/16	07/08/16	10,190.78	10,190.78	0.00
510.000	FRANKLIN RESOURCES INC ORIGINAL COST 18,513.00 WASH SALE REPLACEMENT ADDITION: 1,831.29 WASH SALE LOSS DISALLOWED: 3,019.98 SYMBOL: BEN, CUSIP: 354613101	☐	05/11/16	07/08/16	17,324.32	17,324.32	0.00
510.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	05/11/16	07/12/16	17,600.73	18,309.00	-708.27
490.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	05/11/16	07/12/16	16,910.51	17,615.50	-704.99
1,000.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	05/26/16	08/11/16	35,763.22	37,050.00	-1,286.78
490.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	05/11/16	08/11/16	17,518.34	17,787.00	-268.66

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
510.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	05/11/16	08/11/16	18,233.38	18,334.50	-101.12
500.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	06/01/16	08/16/16	18,049.60	18,325.00	-275.40
500.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	06/02/16	08/16/16	18,049.60	17,750.00	299.60
500.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	06/03/16	08/16/16	18,049.60	17,600.00	449.60
500.000	FRANKLIN RESOURCES INC SYMBOL: BEN, CUSIP: 354613101	☐	06/03/16	08/23/16	18,449.59	17,600.00	849.59
190.000	FRANKLIN RESOURCES INC ORIGINAL COST 6,175.00 WASH SALE REPLACEMENT ADDITION: 2,901.59 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BEN, CUSIP: 354613101	☐	06/24/16	08/29/16	6,899.72	9,076.59	-2,176.87
300.000	FRANKLIN RESOURCES INC ORIGINAL COST 9,750.00 WASH SALE REPLACEMENT ADDITION: 2,697.46 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BEN, CUSIP: 354613101	☐	06/24/16	08/29/16	10,894.29	12,447.46	-1,553.16
510.000	FRANKLIN RESOURCES INC ORIGINAL COST 16,575.00 WASH SALE REPLACEMENT ADDITION: 3,019.98 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: BEN, CUSIP: 354613101	☐	06/24/16	08/29/16	18,520.30	19,594.98	-1,074.68
1,000.000	FUJIFILM HLDGS CORP US LISTED SYMBOL: FUJIF, CUSIP: J14208102	☐	09/30/16	10/18/16	36,589.20	37,200.00	-610.80
5.000	GENERAL DYNAMICS CORP SYMBOL: GD, CUSIP: 369550108	☐	08/19/15	02/16/16	659.98	762.70	-102.72
11.000	GENERAL DYNAMICS CORP SYMBOL: GD, CUSIP: 369550108	☐	05/21/15	02/16/16	1,451.97	1,550.67	-98.70
74.000	GENERAL ELECTRIC COMPANY COM SYMBOL: GE, CUSIP: 369604103	☐	12/04/15	03/04/16	2,249.70	2,251.64	-1.94
118.000	GENERAL ELECTRIC COMPANY COM SYMBOL: GE, CUSIP: 369604103	☐	10/19/15	03/04/16	3,587.36	3,422.85	164.51
308.000	GENERAL ELECTRIC COMPANY COM SYMBOL: GE, CUSIP: 369604103	☐	02/17/16	03/04/16	9,363.61	8,978.20	385.41

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
30.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	11/02/15	02/12/16	2,622.89	3,281.70	-658.81
14.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	04/27/15	02/12/16	1,224.01	1,447.18	-223.17
80.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	02/03/16	02/12/16	6,994.37	6,816.44	177.93
100.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	04/14/16	04/27/16	10,129.77	9,820.00	309.77
250.000	GLADSTONE CAPITAL CORP SYMBOL: GLAD, CUSIP: 376535100	☐	03/18/16	07/18/16	1,980.66	1,812.50	168.16
250.000	GLADSTONE CAPITAL CORP SYMBOL: GLAD, CUSIP: 376535100	☐	03/07/16	07/18/16	1,980.66	1,779.83	200.83
500.000	GLADSTONE CAPITAL CORP SYMBOL: GLAD, CUSIP: 376535100	☐	05/19/16	07/18/16	3,961.31	3,470.00	491.31
1,000.000	GLADSTONE CAPITAL CORP SYMBOL: GLAD, CUSIP: 376535100	☐	07/22/16	08/23/16	8,504.41	7,884.90	619.51
1,000.000	GLADSTONE CAPITAL CORP SYMBOL: GLAD, CUSIP: 376535100	☐	10/20/16	11/07/16	7,849.83	8,350.00	-500.18
1,000.000	GLADSTONE CAPITAL CORP SYMBOL: GLAD, CUSIP: 376535100	☐	11/02/16	11/07/16	7,849.83	7,535.30	314.53
500.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP: 37733W105	☐	05/31/16	06/20/16	20,885.54	21,175.00	-289.46
500.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP: 37733W105	☐	06/10/16	06/20/16	20,885.54	20,525.00	360.54
250.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	03/23/16	07/18/16	2,173.20	2,008.72	164.48
250.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	03/07/16	07/18/16	2,173.20	1,983.02	190.18
1,000.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	05/19/16	07/18/16	8,692.81	8,156.42	536.39
1,000.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	07/22/16	12/09/16	7,972.83	8,505.55	-532.73
600.000	GLOBAL NET LEASE INC	☐	10/20/16	12/09/16	4,775.90	4,588.46	187.44

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	COM CUSIP: 379378102						
1,000.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	10/06/16	12/09/16	7,959.83	7,627.42	332.41
1,000.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	10/06/16	12/09/16	7,972.83	7,627.42	345.41
400.000	GLOBAL NET LEASE INC COM CUSIP: 379378102	☐	10/20/16	12/12/16	3,189.29	3,058.98	130.31
500.000	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ETF SYMBOL: SOCL, CUSIP: 37950E416	☐	04/27/16	05/13/16	9,413.04	9,290.00	123.04
1,000.000	GOLDCORP INC SYMBOL: GG, CUSIP: 380956409	☐	08/17/16	09/22/16	17,191.63	18,500.00	-1,308.38
1,000.000	GOLDCORP INC SYMBOL: GG, CUSIP: 380956409	☐	08/24/16	09/22/16	17,191.63	15,900.00	1,291.63
12.000	GOLDMAN SACHS GROUP INC SYMBOL: GS, CUSIP: 38141G104	☐	05/21/15	02/12/16	1,732.28	2,456.16	-723.88
500.000	GREENBRIER COMPANIES INC SYMBOL: GBX, CUSIP: 393657101	☐	05/09/16	05/10/16	13,924.69	13,325.00	599.69
1,000.000	GREENBRIER COMPANIES INC SYMBOL: GBX, CUSIP: 393657101	☐	06/03/16	06/07/16	29,592.55	28,188.70	1,403.85
1,000.000	GREENBRIER COMPANIES INC SYMBOL: GBX, CUSIP: 393657101	☐	06/10/16	06/17/16	29,239.36	29,237.90	1.46
44.000	HARTFORD FINANCIAL SERVICES GROUP INC SYMBOL: HIG, CUSIP: 416515104	☐	08/19/15	02/16/16	1,823.76	2,159.08	-335.32
1,000.000	HOLLYFRONTIER CORPORATION SYMBOL: HFC, CUSIP: 436106108	☐	08/04/16	08/05/16	26,512.42	25,997.00	515.42
10.000	HOME DEPOT INC SYMBOL: HD, CUSIP: 437076102	☐	01/14/16	02/16/16	1,188.47	1,207.98	-19.51
29.000	HOME DEPOT INC SYMBOL: HD, CUSIP: 437076102	☐	03/13/15	02/16/16	3,446.57	3,313.25	133.32
23.000	HOME DEPOT INC SYMBOL: HD, CUSIP: 437076102	☐	03/10/15	02/16/16	2,733.49	2,597.62	135.87
500.000	HOME DEPOT INC SYMBOL: HD, CUSIP: 437076102	☐	05/11/16	05/16/16	67,873.52	67,875.00	-1.48

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
255.000	HONDA MOTOR CO LTD ADR SYMBOL: HMC, CUSIP: 438128308	☐	04/15/16	05/06/16	6,954.21	7,981.50	-1,027.29
245.000	HONDA MOTOR CO LTD ADR SYMBOL: HMC, CUSIP: 438128308	☐	04/20/16	05/06/16	6,681.49	6,976.19	-294.70
500.000	HONDA MOTOR CO LTD ADR SYMBOL: HMC, CUSIP: 438128308	☐	04/28/16	05/06/16	13,635.70	13,682.20	-46.50
14.000	HONEYWELL INTL INC SYMBOL: HON, CUSIP: 438516106	☐	01/29/16	02/16/16	1,463.95	1,435.81	28.14
15.000	HONEYWELL INTL INC SYMBOL: HON, CUSIP: 438516106	☐	02/04/16	02/16/16	1,568.51	1,534.01	34.50
50.000	HONEYWELL INTL INC SYMBOL: HON, CUSIP: 438516106	☐	03/07/16	03/21/16	5,578.00	5,397.47	180.53
200.000	HONEYWELL INTL INC SYMBOL: HON, CUSIP: 438516106	☐	03/07/16	03/21/16	22,353.11	21,589.88	763.23
250.000	HOSPITALITY PROPERTIES TRUST COMMON SHARES OF BENEFICIAL INTEREST SYMBOL: HPT, CUSIP: 44106M102	☐	05/09/16	06/17/16	6,720.80	6,580.00	140.80
250.000	HOSPITALITY PROPERTIES TRUST COMMON SHARES OF BENEFICIAL INTEREST SYMBOL: HPT, CUSIP: 44106M102	☐	05/17/16	06/17/16	6,720.80	6,512.50	208.30
500.000	HOSPITALITY PROPERTIES TRUST COMMON SHARES OF BENEFICIAL INTEREST SYMBOL: HPT, CUSIP: 44106M102	☐	04/27/16	06/17/16	13,441.61	13,094.00	347.61
500.000	HSBC HOLDINGS PLC SPONSORED ADR ORIGINAL COST 16,225.00 WASH SALE LOSS DISALLOWED: 124.36 SYMBOL: HSBC, CUSIP: 404280406	☐	05/31/16	06/20/16	16,100.65	16,100.65	0.00
500.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP: 404280406	☐	06/10/16	06/20/16	16,100.65	15,539.50	561.15
500.000	HSBC HOLDINGS PLC SPONSORED ADR ORIGINAL COST 15,500.00 WASH SALE REPLACEMENT ADDITION: 124.36	☐	06/24/16	07/18/16	16,001.15	15,624.36	376.80

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: HSBC, CUSIP: 404280406						
500.000	HSBC HOLDINGS PLC SPONSORED ADR SYMBOL: HSBC, CUSIP: 404280406	☐	07/05/16	07/18/16	16,001.15	15,265.00	736.15
500.000	IMPINJ INC COM SYMBOL: PI, CUSIP: 453204109	☐	08/01/16	08/01/16	10,162.09	9,927.45	234.64
500.000	INTERNATIONAL PAPER CO SYMBOL: IP, CUSIP: 460146103	☐	07/05/16	07/08/16	21,160.34	20,885.00	275.34
500.000	INTERNATIONAL PAPER CO SYMBOL: IP, CUSIP: 460146103	☐	06/24/16	07/08/16	21,160.34	20,824.35	335.99
1,000.000	INTERNATIONAL PAPER CO SYMBOL: IP, CUSIP: 460146103	☐	07/22/16	08/04/16	46,121.99	44,918.30	1,203.69
1,000.000	INTERNATIONAL PAPER CO SYMBOL: IP, CUSIP: 460146103	☐	10/11/16	10/14/16	46,989.07	45,840.00	1,149.07
88.000	INTERPUBLIC GROUP OF COS INC SYMBOL: IPG, CUSIP: 460690100	☐	12/04/15	02/12/16	1,790.77	2,068.87	-278.10
21.000	INTUIT INC SYMBOL: INTU, CUSIP: 461202103	☐	07/10/15	02/16/16	2,001.89	2,190.09	-188.20
13.000	INTUIT INC SYMBOL: INTU, CUSIP: 461202103	☐	07/08/15	02/16/16	1,239.26	1,343.16	-103.90
25.000	INTUIT INC SYMBOL: INTU, CUSIP: 461202103	☐	08/21/15	02/16/16	2,383.20	2,268.70	114.50
21.000	INTUIT INC SYMBOL: INTU, CUSIP: 461202103	☐	09/28/15	02/16/16	2,001.89	1,814.57	187.32
1,000.000	ISHARES MORTGAGE REAL ESTATE CAPPED ETF CUSIP: 464288539	☐	10/09/15	08/29/16	10,711.76	10,118.03	593.73
1,000.000	ISHARES MORTGAGE REAL ESTATE CAPPED ETF CUSIP: 464288539	☐	03/23/16	08/29/16	10,711.76	9,507.00	1,204.76
1,000.000	ISHARES MORTGAGE REAL ESTATE CAPPED ETF CUSIP: 464288539	☐	02/17/16	08/29/16	10,711.76	8,630.03	2,081.73
100.000	ISHARES NASDAQ BIOTECHNOLOGY INDEX FD SYMBOL: IBB, CUSIP: 464287556	☐	03/09/16	03/11/16	25,804.93	25,434.95	369.98
100 000	ISHARES NASDAQ	☐	03/17/16	03/21/16	25,480.77	24,875.16	605.61

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	BIOTECHNOLOGY INDEX FD SYMBOL: IBB, CUSIP: 464287556						
100.000	ISHARES NASDAQ BIOTECHNOLOGY INDEX FD SYMBOL: IBB, CUSIP: 464287556	☐	03/24/16	03/28/16	25,424.56	25,425.69	-1.13
250.000	ISHARES U S PHARMACEUTICALS ETF SYMBOL: IHE, CUSIP: 464288836	☐	03/09/16	03/11/16	35,350.50	34,568.68	781.82
100.000	ISHARES U S PHARMACEUTICALS ETF SYMBOL: IHE, CUSIP: 464288836	☐	03/17/16	03/21/16	13,474.22	13,370.50	103.72
100.000	ISHARES U S PHARMACEUTICALS ETF SYMBOL: IHE, CUSIP: 464288836	☐	03/24/16	03/29/16	13,530.87	13,498.28	32.59
500.000	JOHN B SANFILIPPO & SON INC ORIGINAL COST 30,175.00 WASH SALE LOSS DISALLOWED: 2,275.61 SYMBOL: JBSS, CUSIP: 800422107	☐	04/21/16	05/04/16	27,899.39	27,899.39	0.00
500.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	04/27/16	05/04/16	27,899.39	27,500.00	399.39
200.000	JOHN B SANFILIPPO & SON INC ORIGINAL COST 9,350.00 WASH SALE LOSS DISALLOWED: 231.54 SYMBOL: JBSS, CUSIP: 800422107	☐	06/09/16	07/22/16	9,118.46	9,118.46	0.00
200.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	07/20/16	07/22/16	9,118.46	8,744.24	374.22
500.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	06/15/16	07/22/16	22,796.15	22,250.00	546.15
250.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	05/31/16	08/15/16	12,024.74	11,832.50	192.24
250.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	06/01/16	08/15/16	12,024.74	11,775.00	249.74
400.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	08/11/16	08/15/16	18,899.59	18,477.70	421.89
800.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	08/11/16	08/15/16	37,799.17	37,160.00	639.17
500.000	JOHN B SANFILIPPO & SON INC ORIGINAL COST 27,396.75 WASH SALE REPLACEMENT ADDITION. 2,275.61 WASH SALE LOSS DISALLOWED: 534.59 SYMBOL: JBSS, CUSIP: 800422107	☐	05/03/16	09/02/16	26,999.41	29,137.77	-2,138.36

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	05/17/16	09/02/16	13,249.71	13,862.50	-612.79
250.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	05/17/16	09/02/16	13,249.71	13,562.50	-312.79
100.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	05/25/16	09/02/16	5,299.88	4,825.00	474.88
400.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	05/27/16	09/02/16	21,199.54	19,400.00	1,799.54
100.000	JOHN B SANFILIPPO & SON INC ORIGINAL COST 4,475.00 WASH SALE REPLACEMENT ADDITION: 534.59 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: JBSS, CUSIP: 800422107	☐	08/25/16	09/07/16	5,399.88	5,009.59	390.29
100.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	05/27/16	09/07/16	5,399.88	4,850.00	549.88
200.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	06/08/16	09/07/16	10,799.76	9,850.00	949.76
200.000	JOHN B SANFILIPPO & SON INC ORIGINAL COST 9,290.00 WASH SALE REPLACEMENT ADDITION. 231.54 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: JBSS, CUSIP: 800422107	☐	08/11/16	09/07/16	10,799.76	9,521.54	1,278.22
300.000	JOHN B SANFILIPPO & SON INC SYMBOL: JBSS, CUSIP: 800422107	☐	06/08/16	09/07/16	16,199.65	14,430.00	1,769.65
815.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☐	02/05/16	02/18/16	84,588.63	81,594.70	2,993.93
185.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☐	02/05/16	02/25/16	19,415.32	18,521.50	893.82
87.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☐	04/15/16	05/16/16	9,901.47	8,483.37	1,418.10
500.000	KINDER MORGAN INC SYMBOL: KMI, CUSIP: 49456B101	☐	02/22/16	03/04/16	9,595.29	8,975.00	620.29
1,000.000	KINDER MORGAN INC SYMBOL: KMI, CUSIP: 49456B101	☐	03/23/16	03/30/16	17,970.60	17,998.00	-27.40
14.000	KROGER CO (THE) SYMBOL: KR, CUSIP: 501044101	☐	08/19/15	01/26/16	513.23	525.56	-12.33
21.000	L BRANDS INC SYMBOL: LB, CUSIP: 501797104	☐	09/11/15	02/12/16	1,774.93	1,935.57	-160.64
14.000	L BRANDS INC SYMBOL: LB, CUSIP: 501797104	☐	10/05/15	02/12/16	1,183.29	1,308.27	-124.98

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
19.000	L BRANDS INC SYMBOL: LB, CUSIP: 501797104	☐	09/04/15	02/12/16	1,605.89	1,717.60	-111.71
14.000	L BRANDS INC SYMBOL: LB, CUSIP: 501797104	☐	11/18/15	02/12/16	1,183.29	1,283.07	-99.78
32.000	LAM RESEARCH CORP SYMBOL: LRCX, CUSIP: 512807108	☐	08/19/15	01/29/16	2,292.83	2,303.68	-10.85
16.000	LEAR CORPORATION SYMBOL: LEA, CUSIP: 521865204	☐	12/04/15	02/12/16	1,587.48	2,016.00	-428.52
100.000	LENDINGCLUB CORPORATION COM SYMBOL: LC, CUSIP: 52603A109	☐	04/14/16	06/07/16	446.13	745.99	-299.86
200.000	LENDINGCLUB CORPORATION COM SYMBOL: LC, CUSIP: 52603A109	☐	05/10/16	06/07/16	892.26	820.00	72.26
7.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	02/09/16	03/01/16	1,520.15	1,595.68	-75.53
8.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	02/05/16	03/01/16	1,737.32	1,695.89	41.43
100.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	04/01/16	04/04/16	22,474.51	21,968.40	506.11
500.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	12/13/16	12/14/16	126,372.24	125,332.70	1,039.54
500.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	12/15/16	12/19/16	126,119.25	125,800.00	319.25
250.000	LOCKHEED MARTIN CORP SYMBOL: LMT, CUSIP: 539830109	☐	12/28/16	12/29/16	62,666.13	62,187.50	478.63
29.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	03/23/15	02/09/16	1,837.98	2,190.08	-352.10
100.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	03/17/16	03/21/16	7,498.85	7,386.99	111.86
100.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	03/17/16	03/21/16	7,498.85	7,325.00	173.85
250.000	LTC PROPERTIES INC SYMBOL: LTC, CUSIP: 502175102	☐	03/07/16	04/04/16	11,412.25	11,173.50	238.75
250.000	LTC PROPERTIES INC SYMBOL: LTC, CUSIP: 502175102	☐	03/23/16	04/04/16	11,412.25	11,142.50	269.75
500.000	LTC PROPERTIES INC SYMBOL: LTC, CUSIP: 502175102	☐	04/06/16	04/11/16	23,256.99	22,750.00	506.99

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500.000	LTC PROPERTIES INC SYMBOL: LTC, CUSIP: 502175102	☐	04/28/16	05/03/16	23,665.23	23,400.00	265.23
500.000	LTC PROPERTIES INC SYMBOL: LTC, CUSIP: 502175102	☐	05/17/16	06/03/16	23,564.89	23,477.15	87.74
500.000	LTC PROPERTIES INC SYMBOL: LTC, CUSIP: 502175102	☐	05/19/16	06/03/16	23,564.89	22,914.95	649.94
22.000	LULULEMON ATHLETICA INC SYMBOL: LULU, CUSIP: 550021109	☐	06/12/15	02/16/16	1,309.63	1,459.70	-150.07
21.000	LULULEMON ATHLETICA INC SYMBOL: LULU, CUSIP: 550021109	☐	08/17/15	02/16/16	1,250.10	1,382.43	-132.33
17.000	LULULEMON ATHLETICA INC SYMBOL: LULU, CUSIP: 550021109	☐	06/10/15	02/16/16	1,011.99	1,131.18	-119.19
81.000	LYONDELLBASELL INDUSTRIES N V C L A SYMBOL: LYB, CUSIP: N53745100	☐	02/17/16	02/22/16	6,454.74	6,428.16	26.58
263.000	MAIN STREET CAPITAL CORPORATION SYMBOL: MAIN, CUSIP: 56035L104	☐	02/19/16	02/29/16	7,745.18	7,332.36	412.82
1,000.000	MAIN STREET CAPITAL CORPORATION SYMBOL: MAIN, CUSIP: 56035L104	☐	02/16/16	02/29/16	29,449.35	27,938.39	1,510.96
237.000	MAIN STREET CAPITAL CORPORATION SYMBOL: MAIN, CUSIP: 56035L104	☐	02/19/16	03/02/16	7,109.84	6,607.49	502.35
1,000.000	MAIN STREET CAPITAL CORPORATION SYMBOL: MAIN, CUSIP: 56035L104	☐	07/07/16	07/18/16	33,443.57	32,747.20	696.37
1,000.000	MAIN STREET CAPITAL CORPORATION SYMBOL: MAIN, CUSIP: 56035L104	☐	08/22/16	08/29/16	34,164.55	34,000.00	164.55
500.000	MARKET VECTORS ETF TRUST GOLD MINERS ETF CUSIP: 57060U100	☐	04/01/16	04/08/16	10,600.76	9,774.00	826.76
500.000	MARKET VECTORS ETF TRUST GOLD MINERS ETF CUSIP: 57060U100	☐	04/18/16	04/19/16	11,624.74	11,059.00	565.74
1,000.000	MARKET VECTORS ETF TRUST GOLD MINERS ETF CUSIP: 57060U100	☐	04/22/16	04/28/16	23,631.48	22,500.00	1,131.48

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
37.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	03/07/16	05/18/16	3,546.37	3,281.90	264.47
63.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	02/03/16	05/18/16	6,038.42	5,365.96	672.46
16.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	04/07/15	02/24/16	1,221.82	1,227.64	-5.82
18.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	04/28/15	02/24/16	1,374.55	1,364.36	10.19
21.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	04/27/15	02/24/16	1,603.64	1,591.93	11.71
25.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	04/08/15	02/24/16	1,909.09	1,894.41	14.68
17.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	02/17/16	02/24/16	1,298.18	1,282.31	15.87
7.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	08/25/15	02/24/16	534.55	499.69	34.86
17.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	01/28/16	02/24/16	1,298.18	1,259.15	39.03
80.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	08/25/15	02/24/16	6,109.10	5,710.80	398.30
96.000	MICROSOFT CORP ORIGINAL COST 5,119.44 WASH SALE LOSS DISALLOWED: 55.55 SYMBOL: MSFT, CUSIP: 594918104	☐	11/02/15	02/22/16	5,063.89	5,063.89	0.00
39.000	MICROSOFT CORP ORIGINAL COST 2,067.29 WASH SALE LOSS DISALLOWED: 10.09 SYMBOL: MSFT, CUSIP: 594918104	☐	02/02/16	02/22/16	2,057.20	2,057.20	0.00
50.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	02/03/16	02/22/16	2,637.44	2,616.38	21.06
23.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	01/19/16	02/22/16	1,213.22	1,158.91	54.31

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
47.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	05/21/15	02/22/16	2,479.20	2,232.97	246.23
245.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	02/17/16	02/22/16	12,923.47	12,605.25	318.22
39.000	MICROSOFT CORP ORIGINAL COST 1,995.16 WASH SALE REPLACEMENT ADDITION: 10.09 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MSFT, CUSIP: 594918104	☐	02/23/16	03/01/16	2,038.95	2,005.25	33.71
96.000	MICROSOFT CORP ORIGINAL COST 4,911.16 WASH SALE REPLACEMENT ADDITION: 55.55 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: MSFT, CUSIP: 594918104	☐	02/23/16	03/01/16	5,018.96	4,966.72	52.24
365.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	02/23/16	03/01/16	19,082.51	18,672.67	409.84
1,000.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	03/07/16	03/10/16	52,650.85	50,850.00	1,800.85
500.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	03/23/16	03/30/16	27,539.39	27,044.00	495.39
1,000.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	11/18/16	11/22/16	61,098.66	60,400.00	698.66
66.000	MOBILEYE N V ORD SHS SYMBOL: MBLY, CUSIP: N51488117	☐	03/10/15	02/16/16	1,690.22	2,574.33	-884.11
31.000	MOBILEYE N V ORD SHS SYMBOL: MBLY, CUSIP: N51488117	☐	10/05/15	02/16/16	793.89	1,509.00	-715.11
26.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	02/09/16	03/01/16	2,406.01	2,479.03	-73.02
27.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	02/05/16	03/01/16	2,498.55	2,553.66	-55.11
47.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	02/19/16	03/01/16	4,349.33	4,183.94	165.39
100.000	MONSANTO CO SYMBOL: MON, CUSIP: 61166W101	☐	04/01/16	04/08/16	8,653.92	8,642.93	10.99
16.000	MOODYS CORP SYMBOL: MCO, CUSIP: 615369105	☐	12/16/15	02/16/16	1,342.53	1,583.04	-240.51
13.000	MOODYS CORP SYMBOL: MCO, CUSIP: 615369105	☐	11/10/15	02/16/16	1,090.80	1,297.92	-207.12

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	NATIONAL-OILWELL VARCO INC SYMBOL: NOV, CUSIP: 637071101	☐	05/09/16	05/11/16	32,379.29	31,550.00	829.29
1,000.000	NEW RESIDENTIAL INVESTMENT CORP SYMBOL: NRZ, CUSIP: 64828T201	☐	05/10/16	06/03/16	13,719.80	13,120.00	599.80
1,000.000	NEW RESIDENTIAL INVESTMENT CORP SYMBOL: NRZ, CUSIP: 64828T201	☐	08/11/16	08/29/16	14,309.78	14,100.00	209.78
1,000.000	NEW RESIDENTIAL INVESTMENT CORP SYMBOL: NRZ, CUSIP: 64828T201	☐	10/12/16	10/25/16	14,102.69	13,697.00	405.69
500.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	02/24/16	03/04/16	13,866.71	12,738.89	1,127.82
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	03/23/16	03/29/16	26,379.42	25,459.90	919.52
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	04/01/16	04/05/16	27,299.40	25,924.98	1,374.42
500.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	04/18/16	04/19/16	15,400.69	14,525.00	875.69
1,000.000	NEWMONT MINING CORP HOLDING CO ORIGINAL COST 43,139.90 WASH SALE LOSS DISALLOWED: 1,440.81 SYMBOL: NEM, CUSIP: 651639106	☐	08/23/16	09/06/16	41,699.09	41,699.09	0.00
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	08/30/16	09/06/16	41,699.09	39,759.00	1,940.09
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	08/24/16	09/06/16	41,699.09	39,750.00	1,949.09
1,000.000	NEWMONT MINING CORP HOLDING CO ORIGINAL COST 39,500.00 WASH SALE REPLACEMENT ADDITION: 1,440.81 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: NEM, CUSIP: 651639106	☐	09/09/16	09/21/16	41,101.10	40,940.81	160.29
1,000.000	NEWMONT MINING CORP	☐	10/04/16	10/17/16	35,179.93	35,500.00	-320.07

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	HOLDING CO SYMBOL: NEM, CUSIP: 651639106						
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	10/11/16	10/17/16	35,179.93	33,650.00	1,529.93
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	11/18/16	11/28/16	32,859.38	32,520.00	339.38
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	11/23/16	11/28/16	32,859.38	31,500.00	1,359.38
1,000.000	NEWMONT MINING CORP HOLDING CO SYMBOL: NEM, CUSIP: 651639106	☐	12/12/16	12/14/16	34,252.32	32,546.97	1,705.35
250.000	NEXPOINT CREDIT STRATEGIES FUND SYMBOL: NHF, CUSIP: 65340G205	☐	03/18/16	05/10/16	4,917.42	4,622.15	295.27
250.000	NEXPOINT CREDIT STRATEGIES FUND SYMBOL: NHF, CUSIP: 65340G205	☐	03/07/16	05/10/16	4,917.42	4,613.60	303.82
1,000.000	NEXPOINT CREDIT STRATEGIES FUND SYMBOL: NHF, CUSIP: 65340G205	☐	05/19/16	06/03/16	21,002.04	20,219.90	782.14
1,000.000	NEXPOINT CREDIT STRATEGIES FUND SYMBOL: NHF, CUSIP: 65340G205	☐	06/24/16	07/18/16	21,949.52	21,000.00	949.52
92.000	NIKE INC CLASS B COM SYMBOL: NKE, CUSIP: 654106103	☐	03/07/16	03/28/16	5,668.92	5,583.48	85.44
1,000.000	NOBLE ENERGY INC ORIGINAL COST 34,219.40 WASH SALE LOSS DISALLOWED: 2,899.99 SYMBOL: NBL, CUSIP: 655044105	☐	03/18/16	04/06/16	31,319.41	31,319.41	0.00
1,000.000	NOBLE ENERGY INC ORIGINAL COST 30,000.00 WASH SALE REPLACEMENT ADDITION: 2,899.99 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: NBL, CUSIP: 655044105	☐	03/28/16	04/12/16	33,499.26	32,899.99	599.27
2,000.000	NOKIA CORPORATION SPONSORED ADR REPSTG 1 SER A SYMBOL: NOK, CUSIP: 654902204	☐	03/24/16	04/19/16	12,502.12	11,557.60	944.52

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	NOVAVAX INC SYMBOL: NVAX, CUSIP: 670002104	☐	01/28/16	06/03/16	6,131.86	4,990.00	1,141.86
5.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP: 67103H107	☐	01/26/16	02/12/16	1,256.38	1,248.39	7.99
4.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP: 67103H107	☐	01/21/16	02/12/16	1,005.11	949.83	55.28
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	04/01/16	04/04/16	34,525.74	33,919.20	606.54
1,000.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	04/07/16	04/08/16	70,637.16	69,315.87	1,321.29
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	04/15/16	04/19/16	37,381.47	36,375.00	1,006.47
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	04/25/16	04/27/16	38,510.36	37,475.00	1,035.36
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	05/09/16	05/10/16	38,130.66	37,225.00	905.66
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	05/31/16	06/07/16	38,324.16	37,914.95	409.21
250.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	06/01/16	06/07/16	19,162.08	18,599.98	562.10
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	06/08/16	06/20/16	37,976.97	37,814.95	162.02
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	08/01/16	08/10/16	37,024.19	36,750.00	274.19
500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	08/05/16	08/10/16	37,024.19	36,564.70	459.49
2,000.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	11/09/16	11/09/16	133,997.07	132,500.00	1,497.07
1,000.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	11/11/16	11/14/16	65,248.58	65,000.00	248.58
1,000.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	11/11/16	11/14/16	65,248.58	64,650.00	598.58
1,000.000	OCCIDENTAL PETE CORP ORIGINAL COST 68,099.90 WASH SALE LOSS DISALLOWED: 170.69 SYMBOL: OXY, CUSIP: 674599105	☐	11/01/16	11/15/16	67,929.22	67,929.22	0.00
1,000.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	11/03/16	11/15/16	67,929.22	67,376.40	552.82

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,500.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	11/17/16	11/18/16	102,589.51	101,625.00	964.51
1,000.000	OCCIDENTAL PETE CORP ORIGINAL COST 72,811.00 WASH SALE REPLACEMENT ADDITION: 170.69 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OXY, CUSIP: 674599105	☐	10/31/16	11/30/16	70,450.66	72,981.69	-2,531.03
1,000.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☐	11/28/16	11/30/16	70,450.66	68,406.00	2,044.66
37.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 1,317.52 WASH SALE LOSS DISALLOWED: 150.04 SYMBOL: OHI, CUSIP: 681936100	☐	05/21/15	02/25/16	1,167.48	1,167.48	0.00
42.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	02/16/16	02/25/16	1,325.25	1,217.48	107.77
900.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	02/18/16	02/25/16	28,398.16	26,705.34	1,692.82
37.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 1,237.74 WASH SALE REPLACEMENT ADDITION: 150.04 WASH SALE LOSS DISALLOWED: 103.63 SYMBOL: OHI, CUSIP: 681936100	☐	03/15/16	03/29/16	1,287.04	1,284.16	2.88
942.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	03/15/16	03/29/16	32,767.32	31,512.35	1,254.97
37.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 1,270.95 WASH SALE REPLACEMENT ADDITION: 103.63 WASH SALE LOSS DISALLOWED: 50.30 SYMBOL: OHI, CUSIP: 681936100	☐	04/06/16	04/19/16	1,324.27	1,324.27	0.00
942.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	04/06/16	04/19/16	33,715.32	32,357.70	1,357.62
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 715.48 WASH SALE REPLACEMENT ADDITION: 28.55 WASH SALE LOSS DISALLOWED: 18.90 SYMBOL: OHI, CUSIP: 681936100	☐	04/21/16	05/04/16	725.14	725.14	0.00
16.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 545.13 WASH SALE REPLACEMENT ADDITION: 21.75 WASH SALE LOSS DISALLOWED: 14.40 SYMBOL: OHI, CUSIP: 681936100	☐	04/21/16	05/04/16	552.48	552.48	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
21.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	04/21/16	05/04/16	725.14	715.49	9.65
921.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	04/21/16	05/04/16	31,802.35	31,379.30	423.05
16.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 532.00 WASH SALE REPLACEMENT ADDITION: 14.40 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OHI, CUSIP: 681936100	☐	05/06/16	05/09/16	554.40	546.40	8.00
16.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 532.00 WASH SALE REPLACEMENT ADDITION: 14.40 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OHI, CUSIP: 681936100	☐	05/06/16	05/09/16	554.40	546.40	8.00
5.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 166.25 WASH SALE REPLACEMENT ADDITION: 4.50 WASH SALE LOSS DISALLOWED: 96.72 SYMBOL: OHI, CUSIP: 681936100	☐	05/06/16	05/09/16	173.25	147.72	25.53
942.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	05/06/16	05/09/16	32,640.53	31,321.50	1,319.03
958.000	OMEGA HEALTHCARE INVESTORS INC SYMBOL: OHI, CUSIP: 681936100	☐	05/10/16	06/02/16	30,723.35	31,805.60	-1,082.25
500.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 16,249.92 WASH SALE REPLACEMENT ADDITION: 564.85 WASH SALE LOSS DISALLOWED: 679.13 SYMBOL: OHI, CUSIP: 681936100	☐	05/11/16	06/02/16	16,135.65	16,135.65	0.00
250.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 8,039.30 WASH SALE REPLACEMENT ADDITION: 282.43 WASH SALE LOSS DISALLOWED: 253.90 SYMBOL: OHI, CUSIP: 681936100	☐	05/17/16	06/02/16	8,067.82	8,067.82	0.00
229.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 7,236.40 WASH SALE REPLACEMENT ADDITION: 258.70 WASH SALE LOSS DISALLOWED: 104.98 SYMBOL: OHI, CUSIP: 681936100	☐	05/18/16	06/02/16	7,390.13	7,390.13	0.00
250.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 7,662.50 WASH SALE REPLACEMENT ADDITION: 253.90 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OHI, CUSIP: 681936100	☐	05/19/16	06/03/16	8,140.77	7,916.40	224.37

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 15,325.00 WASH SALE REPLACEMENT ADDITION: 679.13 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OHI, CUSIP: 681936100	☐	05/19/16	06/03/16	16,281.55	16,004.13	277.42
229.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 7,018.85 WASH SALE REPLACEMENT ADDITION: 104.98 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OHI, CUSIP: 681936100	☐	05/19/16	06/03/16	7,456.95	7,123.83	333.12
200.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	04/14/16	05/13/16	7,992.38	8,265.44	-273.06
100.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 3,165.00 WASH SALE LOSS DISALLOWED: 95.86 SYMBOL: TIS, CUSIP: 68572N104	☐	05/31/16	08/01/16	3,069.14	3,069.14	0.00
100.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 3,425.12 WASH SALE LOSS DISALLOWED: 355.98 SYMBOL: TIS, CUSIP: 68572N104	☐	07/20/16	08/01/16	3,069.14	3,069.14	0.00
500.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	07/28/16	08/01/16	15,345.70	14,257.50	1,088.20
100.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 2,801.00 WASH SALE REPLACEMENT ADDITION: 355.98 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: TIS, CUSIP: 68572N104	☐	08/11/16	08/18/16	2,858.05	3,156.98	-298.93
100.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 2,801.00 WASH SALE REPLACEMENT ADDITION: 95.86 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: TIS, CUSIP: 68572N104	☐	08/11/16	08/18/16	2,858.05	2,896.86	-38.81
800.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	08/11/16	08/18/16	22,864.38	22,408.00	456.38
1,000.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	11/07/16	11/08/16	24,439.65	23,300.00	1,139.65
1,000.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	11/03/16	11/14/16	25,651.97	24,924.70	727.27
1,000.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	11/10/16	11/14/16	25,651.97	24,100.00	1,551.97
139.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 3,892.00	☐	10/11/16	11/18/16	3,558.32	3,558.32	0.00

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 333.68 SYMBOL: TIS, CUSIP: 68572N104						
500.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 14,000.00 WASH SALE LOSS DISALLOWED: 1,375.28 SYMBOL: TIS, CUSIP: 68572N104	☐	10/11/16	11/23/16	12,624.72	12,624.72	0.00
361.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 10,108.00 WASH SALE LOSS DISALLOWED: 902.70 SYMBOL: TIS, CUSIP: 68572N104	☐	10/11/16	12/06/16	9,205.30	9,205.30	0.00
139.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 3,690.45 WASH SALE REPLACEMENT ADDITION: 333.68 WASH SALE LOSS DISALLOWED: 479.71 SYMBOL: TIS, CUSIP: 68572N104	☐	10/24/16	12/06/16	3,544.42	3,544.42	0.00
500.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 13,275.00 WASH SALE REPLACEMENT ADDITION: 1,375.28 WASH SALE LOSS DISALLOWED: 1,372.26 SYMBOL: TIS, CUSIP: 68572N104	☐	10/24/16	12/08/16	13,278.02	13,278.02	0.00
361.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 8,998.21 WASH SALE REPLACEMENT ADDITION: 902.70 WASH SALE LOSS DISALLOWED: 314.19 SYMBOL: TIS, CUSIP: 68572N104	☐	11/18/16	12/08/16	9,586.73	9,586.73	0.00
139.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 3,464.68 WASH SALE REPLACEMENT ADDITION: 479.71 WASH SALE LOSS DISALLOWED: 253.11 SYMBOL: TIS, CUSIP: 68572N104	☐	11/18/16	12/08/16	3,691.29	3,691.29	0.00
361.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	10/24/16	12/08/16	9,586.73	9,584.55	2.18
139.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	11/18/16	12/08/16	3,691.29	3,464.69	226.60
361.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 8,998.21 WASH SALE REPLACEMENT ADDITION: 990.77 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: TIS, CUSIP: 68572N104	☐	11/18/16	12/09/16	9,477.20	9,988.99	-511.79
139.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	11/18/16	12/09/16	3,691.29	3,846.18	-154.88
139.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 3,379.09	☐	11/28/16	12/09/16	3,691.29	3,632.20	59.10

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE REPLACEMENT ADDITION: 253.11						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: TIS, CUSIP: 68572N104						
361.000	ORCHIDS PAPER PRODS CO DEL ORIGINAL COST 8,775.91	☐	11/28/16	12/09/16	9,586.74	9,090.10	496.64
	WASH SALE REPLACEMENT ADDITION: 314.19						
	WASH SALE LOSS DISALLOWED: 0.00						
	SYMBOL: TIS, CUSIP: 68572N104						
361.000	ORCHIDS PAPER PRODS CO DEL SYMBOL: TIS, CUSIP: 68572N104	☐	11/18/16	12/09/16	9,586.74	8,998.21	588.52
21.000	PALO ALTO NETWORKS INC COM SYMBOL: PANW, CUSIP: 697435105	☐	11/18/15	02/12/16	2,545.77	3,447.78	-902.01
100.000	PAYCHEX INC SYMBOL: PAYX, CUSIP: 704326107	☐	04/01/16	05/03/16	5,226.42	5,358.72	-132.30
61.000	PAYPAL HOLDINGS INC COM SYMBOL: PYPL, CUSIP: 70450Y103	☐	08/25/15	02/12/16	-2,089.21	2,068.50	20.71
1,000.000	PAYPAL HOLDINGS INC COM SYMBOL: PYPL, CUSIP: 70450Y103	☐	04/11/16	04/18/16	39,599.53	38,500.00	1,099.53
1,000.000	PBF ENERGY INC CL A SYMBOL: PBF, CUSIP: 69318G106	☐	03/17/16	03/29/16	32,610.78	31,907.92	702.86
100.000	PEARSON PLC-SPONSORED ADR SYMBOL: PSO, CUSIP: 705015105	☐	04/01/16	04/20/16	1,211.98	1,202.99	8.99
86.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☐	03/07/16	03/28/16	8,700.44	8,542.37	158.07
135.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☐	04/15/16	04/28/16	13,873.65	10,636.65	3,237.00
64.000	PFIZER INC SYMBOL: PFE, CUSIP: 717081103	☐	08/19/15	03/29/16	1,929.05	2,251.34	-322.29
36.000	PFIZER INC SYMBOL: PFE, CUSIP: 717081103	☐	02/10/16	03/29/16	1,085.09	1,061.91	23.18
900.000	PFIZER INC SYMBOL: PFE, CUSIP: 717081103	☐	02/22/16	03/29/16	27,127.21	26,703.00	424.21
500.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL: PM, CUSIP: 718172109	☐	04/01/16	04/04/16	49,991.31	49,052.00	939.31
500.000	POLARIS INDUSTRIES INC SYMBOL: PII, CUSIP: 731068102	☐	06/24/16	07/01/16	42,374.07	41,250.00	1,124.07

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
500.000	POLARIS INDUSTRIES INC SYMBOL: PII, CUSIP: 731068102	☐	08/01/16	08/05/16	47,733.96	48,032.20	-298.25
250.000	POLARIS INDUSTRIES INC SYMBOL: PII, CUSIP: 731068102	☐	08/01/16	08/05/16	23,866.98	23,604.20	262.78
250.000	POLARIS INDUSTRIES INC SYMBOL: PII, CUSIP: 731068102	☐	08/04/16	08/05/16	23,866.98	23,500.00	366.98
500.000	POWERSHARES DB MULTI-SECTOR COMDTY TR AGRICULTURE FUND ETF SYMBOL: DBA, CUSIP: 73936B408	☐	05/17/16	06/07/16	11,224.75	10,728.50	496.25
500.000	POWERSHARES EXCHANGE TRADED FUND TRUST HIGH YIELD EQUITY DIVIDEND ACHIEVERS PORTFOLIO SYMBOL: PEY, CUSIP: 73935X302	☐	04/27/16	06/23/16	7,745.58	7,415.00	330.58
150.000	POWERSHARES QQQ TRUST SERIES 1 SYMBOL: QQQ, CUSIP: 73935A104	☐	03/23/16	03/29/16	16,227.07	16,160.58	66.49
100.000	POWERSHARES QQQ TRUST SERIES 1 SYMBOL: QQQ, CUSIP: 73935A104	☐	03/18/16	03/29/16	10,818.04	10,702.72	115.32
26.000	PPG INDUSTRIES INC SYMBOL: PPG, CUSIP: 693506107	☐	04/01/15	02/12/16	2,336.83	2,922.92	-586.09
43.000	PRINCIPAL FINANCIAL GROUP INC ORIGINAL COST 2,445.40 WASH SALE LOSS DISALLOWED: 941.73 SYMBOL: PFG, CUSIP: 74251V102	☐	08/19/15	02/12/16	1,503.67	1,503.67	0.00
43.000	PRINCIPAL FINANCIAL GROUP INC ORIGINAL COST 1,537.65 WASH SALE REPLACEMENT ADDITION: 941.73 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: PFG, CUSIP: 74251V102	☐	02/16/16	03/01/16	1,689.45	2,479.39	-789.95
500.000	PRINCIPAL FINANCIAL GROUP INC SYMBOL: PFG, CUSIP: 74251V102	☐	02/19/16	03/01/16	19,644.72	18,334.70	1,310.02
457.000	PRINCIPAL FINANCIAL GROUP INC SYMBOL: PFG, CUSIP: 74251V102	☐	02/16/16	03/01/16	17,955.27	16,342.09	1,613.18
14.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☐	02/09/16	03/01/16	1,135.41	1,234.78	-99.37
36.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☐	02/03/16	03/01/16	2,919.64	2,888.55	31.09
135.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☐	04/15/16	05/03/16	10,958.09	9,774.00	1,184.09

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250.000	PROSPECT CAPITAL CORPORATION SYMBOL: PSEC, CUSIP: 74348T102	☐	03/07/16	03/29/16	1,762.96	1,866.80	-103.84
750.000	PROSPECT CAPITAL CORPORATION SYMBOL: PSEC, CUSIP: 74348T102	☐	03/17/16	03/29/16	5,288.88	5,173.50	115.38
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	02/08/16	02/17/16	33,134.32	31,744.85	1,389.48
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	02/08/16	02/17/16	33,484.72	31,744.85	1,739.88
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	02/11/16	02/17/16	33,484.72	29,325.00	4,159.72
1,000.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	02/24/16	02/25/16	65,142.57	63,049.90	2,092.67
1,000.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	03/15/16	03/16/16	72,769.21	71,549.20	1,220.01
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	03/24/16	03/28/16	35,749.22	34,914.80	834.42
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	03/24/16	03/28/16	35,749.22	34,794.68	954.54
1,000.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	03/24/16	03/28/16	71,498.44	70,171.84	1,326.60
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	04/05/16	04/12/16	36,499.20	35,639.95	859.25
500.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	04/07/16	04/12/16	36,499.20	35,289.95	1,209.25
1,000.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	04/01/16	04/12/16	72,998.41	71,238.20	1,760.21
1,000.000	PRUDENTIAL FINANCIAL INC SYMBOL: PRU, CUSIP: 744320102	☐	06/10/16	06/20/16	74,423.97	73,398.99	1,024.98
10.000	PUT HYH 07/15/16 30 HALYARD HEALTH INC SECURITY NUMBER: 4509087	☐	04/29/16	07/18/16	0.00	5,600.40	-5,600.40
110.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/23/16	04/25/16	5,760.79	5,720.00	40.79
390.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/23/16	04/25/16	20,424.63	20,280.00	144.63
500.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/21/16	04/25/16	26,185.43	25,548.70	636.72
890.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/21/16	04/26/16	47,125.36	45,476.69	1,648.67

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
28.000	QUINTILES IMS HOLDINGS INC SYMBOL: Q, CUSIP: 74876Y101	☐	08/03/15	02/16/16	1,711.60	2,153.76	-442.16
48.000	QUINTILES IMS HOLDINGS INC SYMBOL: Q, CUSIP: 74876Y101	☐	05/18/15	02/16/16	2,934.18	3,216.96	-282.79
20.000	QUINTILES IMS HOLDINGS INC SYMBOL: Q, CUSIP: 74876Y101	☐	05/15/15	02/16/16	1,222.57	1,337.00	-114.43
500.000	RAYTHEON CO COM SYMBOL: RTN, CUSIP: 755111507	☐	07/22/16	07/28/16	68,641.00	67,627.35	1,013.65
250.000	REALITY SHS ETF TR DIVCON DIVID DEFENDER ETF SYMBOL: DFND, CUSIP: 75605A504	☐	03/29/16	04/12/16	5,806.02	5,932.50	-126.48
250.000	REALITY SHS ETF TR DIVCON LEADERS DIVID ETF SYMBOL: LEAD, CUSIP: 75605A405	☐	03/29/16	04/12/16	6,224.36	6,263.28	-38.92
500.000	REALTY INCOME CORP SYMBOL: O, CUSIP: 756109104	☐	05/31/16	06/03/16	31,412.01	29,675.00	1,737.01
51.000	REYNOLDS AMERICAN INC SYMBOL: RAI, CUSIP: 761713106	☐	03/23/15	01/26/16	2,387.77	1,832.43	555.34
100.000	REYNOLDS AMERICAN INC SYMBOL: RAI, CUSIP: 761713106	☐	04/01/16	04/08/16	5,100.16	5,014.72	85.44
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	02/22/16	03/01/16	46,291.09	45,720.00	571.09
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	03/15/16	03/16/16	48,900.93	47,128.00	1,772.93
500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	04/01/16	04/04/16	23,900.47	23,539.00	361.47
500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	06/10/16	06/20/16	26,495.42	25,800.00	695.42
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	08/04/16	08/16/16	50,739.46	50,000.00	739.46
500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	08/10/16	08/16/16	25,369.73	24,548.50	821.23

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	08/12/16	08/16/16	50,739.46	49,800.00	939.46
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	08/10/16	08/16/16	50,739.46	49,150.00	1,589.46
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	09/09/16	09/29/16	49,780.91	49,750.00	30.91
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	08/25/16	09/29/16	49,780.91	49,627.00	153.91
1,500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	08/29/16	09/29/16	74,671.37	74,400.00	271.37
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	09/13/16	09/29/16	49,780.91	48,377.00	1,403.91
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	07/13/16	11/01/16	51,779.72	55,187.00	-3,407.28
500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	07/20/16	11/01/16	25,889.86	27,293.50	-1,403.64
500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	07/25/16	11/01/16	25,889.86	26,748.50	-858.64
500.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	07/28/16	11/01/16	25,889.86	26,023.50	-133.64
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	10/20/16	11/01/16	51,779.72	50,727.00	1,052.72
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	10/21/16	11/01/16	51,779.72	50,587.00	1,192.72
1,000.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206	☐	10/26/16	11/01/16	51,779.72	49,750.00	2,029.72
1,000.000	ROYAL DUTCH SHELL PLC	☐	10/31/16	11/01/16	51,779.72	49,497.00	2,282.72

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	SPONSORED ADR REPSTG A SHS SYMBOL: RDSA, CUSIP: 780259206						
33.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	08/17/15	02/16/16	1,937.06	2,430.12	-493.06
17.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	02/01/16	02/16/16	997.88	1,172.15	-174.27
300.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	03/07/16	03/16/16	21,920.67	22,226.46	-305.79
58.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	02/10/16	03/16/16	4,238.00	3,931.23	306.76
1,500.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	03/15/16	03/16/16	109,603.36	107,969.85	1,633.51
33.000	SEALED AIR CORP NEW SYMBOL: SEE, CUSIP: 81211K100	☐	09/25/15	02/09/16	1,325.00	1,542.01	-217.01
500.000	SECTOR SPDR TRUST SBI CONSUMER STAPLES SYMBOL: XLP, CUSIP: 81369Y308	☐	04/21/16	05/10/16	26,939.91	26,000.00	939.91
500.000	SECTOR SPDR TRUST SBI CONSUMER STAPLES SYMBOL: XLP, CUSIP: 81369Y308	☐	05/17/16	05/25/16	26,360.42	26,439.00	-78.58
500.000	SELECT SECTOR SPDR TRUST THE INDUSTRIAL SELECT SECTOR SPDR FUND SYMBOL: XLI, CUSIP: 81369Y704	☐	04/19/16	05/25/16	27,975.39	28,239.00	-263.61
500.000	SELECT SECTOR SPDR TRUST THE UTILITIES SELECT SECTOR SPDR TRUST SYMBOL: XLU, CUSIP: 81369Y886	☐	04/01/16	05/25/16	24,030.47	24,654.00	-623.53
27.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	10/29/15	02/16/16	1,365.96	2,206.10	-840.14
200.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	05/06/16	05/09/16	13,586.40	13,400.00	186.40
500.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	05/06/16	05/09/16	33,966.01	32,950.00	1,016.01
500.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	06/13/16	06/15/16	37,341.08	36,300.00	1,041.08

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500.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	07/07/16	07/08/16	33,460.27	32,500.00	960.27
500.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	07/18/16	07/20/16	35,458.23	35,086.50	371.73
500.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	07/18/16	07/20/16	35,458.23	34,875.00	583.23
8.000	SHERWIN WILLIAMS CO SYMBOL: SHW, CUSIP: 824348106	☐	05/14/15	02/16/16	2,079.15	2,331.28	-252.13
9.000	SHERWIN WILLIAMS CO SYMBOL: SHW, CUSIP: 824348106	☐	06/11/15	02/16/16	2,339.05	2,554.56	-215.51
3.000	SHERWIN WILLIAMS CO SYMBOL: SHW, CUSIP: 824348106	☐	04/27/15	02/16/16	779.68	852.24	-72.56
250.000	SHIP FINANCE INTERNATIONAL LIMITED SYMBOL: SFL, CUSIP: G81075106	☐	03/07/16	05/11/16	3,852.87	3,716.15	136.72
250.000	SHIP FINANCE INTERNATIONAL LIMITED SYMBOL: SFL, CUSIP: G81075106	☐	03/15/16	05/11/16	3,852.87	3,429.30	423.57
500.000	SHIP FINANCE INTERNATIONAL LIMITED SYMBOL: SFL, CUSIP: G81075106	☐	03/18/16	05/11/16	7,705.73	7,229.00	476.73
500.000	SHIP FINANCE INTERNATIONAL LIMITED SYMBOL: SFL, CUSIP: G81075106	☐	06/24/16	07/12/16	7,566.33	7,025.00	541.33
250.000	SONOCO PRODUCTS CO SYMBOL: SON, CUSIP: 835495102	☐	05/03/16	05/16/16	11,644.34	11,714.90	-70.56
1,000.000	SONOCO PRODUCTS CO SYMBOL: SON, CUSIP: 835495102	☐	09/13/16	09/16/16	52,047.86	51,296.90	750.96
500.000	SOUTHERN COPPER CORPORATION SYMBOL: SCCO, CUSIP: 84265V105	☐	04/01/16	04/12/16	13,725.00	13,634.45	90.55
100.000	SPDR DOW JONES INDL AVERAGE ETF TR UNIT SER 1 SYMBOL: DIA, CUSIP: 78467X109	☐	03/18/16	03/30/16	17,728.89	17,549.72	179.17
150.000	SPDR DOW JONES INDL AVERAGE ETF TR UNIT SER 1 SYMBOL: DIA, CUSIP: 78467X109	☐	03/23/16	03/30/16	26,593.34	26,305.08	288.26

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100.000	SPDR SER TR S&P BIOTECH ETF SYMBOL: XBI, CUSIP: 78464A870	☐	03/24/16	03/30/16	5,139.88	4,953.96	185.92
200.000	SPLUNK INC COM SYMBOL: SPLK, CUSIP: 848637104	☐	04/14/16	04/20/16	10,034.30	9,910.00	124.30
250.000	STAG INDUSTRIAL INC SYMBOL: STAG, CUSIP: 85254J102	☐	03/18/16	03/30/16	5,004.92	4,880.62	124.30
250.000	STAG INDUSTRIAL INC SYMBOL: STAG, CUSIP: 85254J102	☐	03/07/16	03/30/16	5,004.92	4,742.22	262.70
1,000.000	STAG INDUSTRIAL INC SYMBOL: STAG, CUSIP: 85254J102	☐	05/10/16	06/08/16	22,500.40	21,372.88	1,127.52
1,000.000	STAG INDUSTRIAL INC SYMBOL: STAG, CUSIP: 85254J102	☐	07/07/16	07/18/16	24,504.86	23,448.60	1,056.26
1,000.000	STAG INDUSTRIAL INC SYMBOL: STAG, CUSIP: 85254J102	☐	08/16/16	08/29/16	24,754.56	24,299.78	454.78
1,000.000	STAG INDUSTRIAL INC SYMBOL: STAG, CUSIP: 85254J102	☐	10/11/16	10/14/16	23,449.48	22,500.00	949.48
23.000	STANLEY BLACK & DECKER INC SYMBOL: SWK, CUSIP: 854502101	☐	08/19/15	02/09/16	2,086.12	2,419.83	-333.71
56.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	01/13/16	02/17/16	3,175.13	3,254.72	-79.59
500.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	06/10/16	06/20/16	28,049.63	27,410.00	639.63
1,000.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	07/15/16	07/25/16	57,991.73	57,190.00	801.73
1,000.000	STARWOOD PROPERTY TRUST INC SYMBOL: STWD, CUSIP: 85571B105	☐	02/23/16	03/21/16	19,081.58	17,850.00	1,231.58
500.000	STARWOOD PROPERTY TRUST INC SYMBOL: STWD, CUSIP: 85571B105	☐	03/23/16	05/09/16	10,135.28	9,629.00	506.28
500.000	STARWOOD PROPERTY TRUST INC SYMBOL: STWD, CUSIP: 85571B105	☐	03/24/16	05/09/16	10,135.28	9,374.50	760.78
23.000	STERIS PLC COM SYMBOL: STE, CUSIP: G84720104	☐	12/04/15	02/09/16	1,537.52	1,709.13	-171.61
5.000	STERIS PLC COM SYMBOL: STE, CUSIP: G84720104	☐	12/04/15	02/10/16	332.54	371.55	-39.01

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100.000	STMICROELECTRONICS N V NY REGISTRY SHS SYMBOL: STM, CUSIP: 861012102	☐	04/01/16	07/20/16	621.29	540.72	80.57
200.000	STMICROELECTRONICS N V NY REGISTRY SHS SYMBOL: STM, CUSIP: 861012102	☐	06/10/16	07/20/16	1,242.57	1,153.44	89.13
200.000	STMICROELECTRONICS N V NY REGISTRY SHS SYMBOL: STM, CUSIP: 861012102	☐	06/29/16	07/20/16	1,242.57	1,131.32	111.25
1,000.000	SUNOCO LP COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS SYMBOL: SUN, CUSIP: 86765K109	☒	09/13/16	09/22/16	29,873.34	29,896.00	-22.66
190.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	04/15/16	05/03/16	7,871.56	5,610.70	2,260.86
1,000.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	08/18/16	08/29/16	43,192.45	42,627.00	565.45
200.000	SYMANTEC CORPORATION SYMBOL: SYMC, CUSIP: 871503108	☐	04/14/16	05/03/16	3,330.48	3,585.44	-254.96
375.000	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM SYMBOL: TSM, CUSIP: 874039100	☐	04/15/16	05/03/16	8,742.10	7,113.75	1,628.35
1,000.000	TANGER FACTORY OUTLET CENTERS INC SYMBOL: SKT, CUSIP: 875465106	☐	02/23/16	03/10/16	34,179.98	31,770.00	2,409.98
500.000	TANGER FACTORY OUTLET CENTERS INC SYMBOL: SKT, CUSIP: 875465106	☐	03/23/16	03/30/16	18,105.94	17,594.90	511.04
500.000	TANGER FACTORY OUTLET CENTERS INC SYMBOL: SKT, CUSIP: 875465106	☐	03/24/16	03/30/16	18,105.94	17,419.90	686.04
25.000	TARGET CORP SYMBOL: TGT, CUSIP: 87612E106	☐	05/21/15	02/12/16	1,701.77	1,994.25	-292.48
145.000	TARGET CORP SYMBOL: TGT, CUSIP: 87612E106	☐	04/15/16	05/03/16	11,553.43	8,784.10	2,769.33
100.000	TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR SYMBOL: TEVA, CUSIP: 881624209	☐	04/14/16	04/27/16	5,527.03	5,568.85	-41.82
300.000	THE TRAVELERS COMPANIES INC SYMBOL: TRV, CUSIP: 89417E109	☐	04/26/16	05/02/16	33,165.52	32,963.70	201.82

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
125.000	THE TRAVELERS COMPANIES INC SYMBOL: TRV, CUSIP: 89417E109	☐	04/21/16	05/03/16	13,829.76	13,952.99	-123.23
300.000	THE TRAVELERS COMPANIES INC SYMBOL: TRV, CUSIP: 89417E109	☐	04/28/16	05/03/16	33,191.42	32,611.95	579.47
75.000	THE TRAVELERS COMPANIES INC SYMBOL: TRV, CUSIP: 89417E109	☐	04/15/16	05/03/16	8,297.86	6,312.00	1,985.86
500.000	THE TRAVELERS COMPANIES INC SYMBOL: TRV, CUSIP: 89417E109	☐	06/24/16	06/28/16	56,277.52	55,210.00	1,067.52
1,000.000	TJX COMPANIES INC NEW ORIGINAL COST 76,298.70 WASH SALE LOSS DISALLOWED: 300.26 SYMBOL: TJX, CUSIP: 872540109	☐	09/08/16	09/20/16	75,998.44	75,998.44	0.00
1,000 000	TJX COMPANIES INC NEW ORIGINAL COST 75,626.00 WASH SALE REPLACEMENT ADDITION: 300.26 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: TJX, CUSIP: 872540109	☐	09/09/16	09/22/16	76,738.42	75,926.26	812.16
131.000	TWENTY FIRST CENTURY FOX INC CLASS B SYMBOL: FOX, CUSIP: 90130A200	☐	02/03/16	02/16/16	3,322.20	3,443.91	-121.71
500.000	UBS AG JERSEY BRH E TRACS LKD TO BLOOMBERG COMMODITY IDX TOTAL RETRN SYMBOL: DJCI, CUSIP: 902641679	☐	03/23/16	04/12/16	7,214.84	7,270.00	-55.16
500.000	UBS AG JERSEY BRH E TRACS LKD TO BLOOMBERG COMMODITY IDX TOTAL RETRN SYMBOL: DJCI, CUSIP: 902641679	☐	06/22/16	06/23/16	8,034.82	8,035.00	-0.18
500.000	UBS AG LONDON BRH ETRACS MONTHLY PAY 2XLEVERAGED CLOSED END FD ETN SYMBOL: CEFL, CUSIP: 90270L842	☐	03/08/16	05/10/16	8,309.82	7,499.95	809.87
16.000	UNDER ARMOUR INC CL A SYMBOL: UAA, CUSIP: 904311107	☐	07/23/15	02/12/16	1,195.33	1,550.40	-355.07
15.000	UNDER ARMOUR INC CL A SYMBOL: UAA, CUSIP: 904311107	☐	07/24/15	02/12/16	1,120.63	1,441.95	-321.32
25.000	UNDER ARMOUR INC CL A SYMBOL: UAA, CUSIP: 904311107	☐	01/28/16	02/12/16	1,867.71	2,091.50	-223.79

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100.000	UNITED PARCEL SVC INC CL B SYMBOL: UPS, CUSIP: 911312106	☐	04/01/16	04/12/16	10,533.82	10,467.86	65.96
63.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☐	03/07/16	03/30/16	6,341.47	6,083.27	258.20
1,000.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☐	12/13/16	12/19/16	110,747.58	110,624.60	122.98
11.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	12/03/15	08/25/16	1,515.33	1,266.43	248.90
23.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	01/21/16	08/25/16	3,168.41	2,610.96	557.45
21.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	11/19/15	08/25/16	2,892.90	2,320.71	572.19
51.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	03/07/16	08/25/16	7,025.61	6,208.22	817.39
500.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	12/05/16	12/07/16	78,873.28	78,150.00	723.28
1,000.000	VALEANT PHARMACEUTICALS INTERNATIONAL INC ORIGINAL COST 36,655.00 WASH SALE LOSS DISALLOWED: 3,325.73 SYMBOL: VRX, CUSIP: 91911K102	☐	03/15/16	03/23/16	33,329.27	33,329.27	0.00
500.000	VALEANT PHARMACEUTICALS INTERNATIONAL INC ORIGINAL COST 14,250.00 WASH SALE REPLACEMENT ADDITION: 2,163.20 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: VRX, CUSIP: 91911K102	☐	03/28/16	04/06/16	14,912.17	16,413.20	-1,501.03
500.000	VALEANT PHARMACEUTICALS INTERNATIONAL INC ORIGINAL COST 15,750.00 WASH SALE REPLACEMENT ADDITION: 1,662.87 WASH SALE LOSS DISALLOWED: 2,163.20 SYMBOL: VRX, CUSIP: 91911K102	☐	03/17/16	04/06/16	15,249.67	15,249.67	0.00
500.000	VALEANT PHARMACEUTICALS INTERNATIONAL INC ORIGINAL COST 13,675.00 WASH SALE REPLACEMENT ADDITION: 1,662.87 WASH SALE LOSS DISALLOWED: 88.20 SYMBOL: VRX, CUSIP: 91911K102	☐	03/18/16	04/06/16	15,249.67	15,249.67	0.00
500.000	VALEANT PHARMACEUTICALS	☐	03/30/16	04/06/16	14,912.17	13,713.20	1,198.97

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	INTERNATIONAL INC ORIGINAL COST 13,625.00 WASH SALE REPLACEMENT ADDITION: 88.20 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: VRX, CUSIP: 91911K102						
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 12,875.00 WASH SALE LOSS DISALLOWED: 324.78 SYMBOL: GDX, CUSIP: 92189F106	☐	05/02/16	05/06/16	12,550.23	12,550.23	0.00
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 12,674.00 WASH SALE LOSS DISALLOWED: 123.78 SYMBOL: GDX, CUSIP: 92189F106	☐	05/02/16	05/06/16	12,550.23	12,550.23	0.00
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	05/04/16	05/06/16	12,550.23	12,234.00	316.23
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	05/04/16	05/06/16	12,550.23	11,729.50	820.73
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 11,774.95 WASH SALE REPLACEMENT ADDITION: 324.78 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: GDX, CUSIP: 92189F106	☐	05/09/16	05/11/16	12,620.72	12,099.73	521.00
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 11,750.00 WASH SALE REPLACEMENT ADDITION: 123.78 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: GDX, CUSIP: 92189F106	☐	05/19/16	06/03/16	12,295.73	11,873.78	421.96
250.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	05/27/16	06/03/16	6,147.87	5,562.50	585.37
250.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	05/25/16	06/03/16	6,147.87	5,524.30	623.57
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	07/14/16	07/27/16	14,901.17	14,813.50	87.67
500.000	VANECK VECTORS ETF TR	☐	07/20/16	07/27/16	14,901.17	14,448.50	452.67

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106						
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	07/22/16	07/27/16	14,901.17	14,333.50	567.67
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	07/25/16	07/27/16	29,782.35	27,587.00	2,195.35
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	08/05/16	08/10/16	15,821.16	15,125.00	696.16
500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	08/05/16	08/10/16	15,821.16	15,125.00	696.16
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 27,750.00 WASH SALE LOSS DISALLOWED: 349.20 SYMBOL: GDX, CUSIP: 92189F106	☐	09/08/16	09/26/16	27,400.80	27,400.80	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	09/09/16	09/26/16	27,400.80	27,337.00	63.80
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	08/24/16	09/26/16	27,400.80	27,087.00	313.80
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	08/30/16	09/26/16	27,400.80	26,687.00	713.80
2,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	09/23/16	09/26/16	54,801.60	53,996.00	805.60
3,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	10/11/16	10/13/16	69,748.47	68,250.00	1,498.47
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 31,047.00 WASH SALE LOSS DISALLOWED: 6,375.54 SYMBOL: GDX, CUSIP: 92189F106	☐	08/12/16	10/19/16	24,671.46	24,671.46	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 31,320.00	☐	08/12/16	10/19/16	24,671.46	24,671.46	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED. 6,648.54 SYMBOL: GDX, CUSIP: 92189F106						
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 30,727.00 WASH SALE LOSS DISALLOWED: 6,055.54 SYMBOL: GDX, CUSIP: 92189F106	☐	08/16/16	10/19/16	24,671.46	24,671.46	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	10/21/16	10/25/16	24,821.46	24,600.00	221.46
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	10/24/16	10/25/16	24,821.46	24,357.00	464.46
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 23,850.00 WASH SALE REPLACEMENT ADDITION. 349.20 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: GDX, CUSIP: 92189F106	☐	10/04/16	10/25/16	24,821.46	24,199.20	622.26
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	10/26/16	11/01/16	25,351.45	24,218.00	1,133.45
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	10/27/16	11/01/16	25,351.45	23,650.00	1,701.45
4,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/04/16	11/09/16	100,163.41	99,000.00	1,163.41
5,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/07/16	11/09/16	125,204.27	120,100.00	5,104.27
2,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/11/16	11/14/16	43,343.05	42,500.00	843.05
2,500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/17/16	11/21/16	53,703.83	53,125.00	578.83
1,500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/18/16	11/21/16	32,222.30	31,437.00	785.30
2,500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/23/16	11/28/16	52,123.86	51,250.00	873.86

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	11/30/16	12/02/16	105,497.70	103,750.00	1,747.70
2,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 59,496.00 WASH SALE LOSS DISALLOWED: 16,496.94 SYMBOL: GDX, CUSIP: 92189F106	☐	08/17/16	12/05/16	42,999.06	42,999.06	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 29,450.00 WASH SALE LOSS DISALLOWED: 7,950.47 SYMBOL: GDX, CUSIP: 92189F106	☐	08/22/16	12/05/16	21,499.53	21,499.53	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 23,850.00 WASH SALE REPLACEMENT ADDITION: 6,375.54 WASH SALE LOSS DISALLOWED: 8,726.01 SYMBOL: GDX, CUSIP: 92189F106	☐	10/04/16	12/05/16	21,499.53	21,499.53	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 23,850.00 WASH SALE REPLACEMENT ADDITION: 6,648.54 WASH SALE LOSS DISALLOWED: 8,999.01 SYMBOL: GDX, CUSIP: 92189F106	☐	10/04/16	12/05/16	21,499.53	21,499.53	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 24,478.00 WASH SALE REPLACEMENT ADDITION: 6,055.54 WASH SALE LOSS DISALLOWED: 9,034.01 SYMBOL: GDX, CUSIP: 92189F106	☐	10/20/16	12/05/16	21,499.53	21,499.53	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 24,208.00 WASH SALE LOSS DISALLOWED: 2,708.47 SYMBOL: GDX, CUSIP: 92189F106	☐	11/09/16	12/05/16	21,499.53	21,499.53	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 24,208.00 WASH SALE REPLACEMENT ADDITION: 8,248.47 WASH SALE LOSS DISALLOWED: 10,925.54 SYMBOL: GDX, CUSIP: 92189F106	☐	11/09/16	12/06/16	21,530.93	21,530.93	0.00
1,000.000	VANECK VECTORS ETF TR	☐	11/10/16	12/06/16	21,530.93	21,530.93	0.00

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	GOLD MINERS ETF ORIGINAL COST 23,500.00 WASH SALE REPLACEMENT ADDITION: 8,248.47 WASH SALE LOSS DISALLOWED: 10,217.54 SYMBOL: GDX, CUSIP: 92189F106						
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 23,500.00 WASH SALE REPLACEMENT ADDITION: 7,950.47 WASH SALE LOSS DISALLOWED: 9,919.54 SYMBOL: GDX, CUSIP: 92189F106	☐	11/10/16	12/06/16	21,530.93	21,530.93	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 23,500.00 WASH SALE REPLACEMENT ADDITION: 8,726.01 WASH SALE LOSS DISALLOWED: 10,695.08 SYMBOL: GDX, CUSIP: 92189F106	☐	11/10/16	12/06/16	21,530.93	21,530.93	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 22,500.00 WASH SALE REPLACEMENT ADDITION: 8,999.01 WASH SALE LOSS DISALLOWED: 9,968.08 SYMBOL: GDX, CUSIP: 92189F106	☐	11/11/16	12/06/16	21,530.93	21,530.93	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 22,500.00 WASH SALE REPLACEMENT ADDITION: 9,034.01 WASH SALE LOSS DISALLOWED: 10,003.08 SYMBOL: GDX, CUSIP: 92189F106	☐	11/11/16	12/06/16	21,530.93	21,530.93	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 20,750.00 WASH SALE REPLACEMENT ADDITION: 2,708.47 WASH SALE LOSS DISALLOWED: 2,458.93 SYMBOL: GDX, CUSIP: 92189F106	☐	12/05/16	12/12/16	20,999.54	20,999.54	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 20,750.00 WASH SALE REPLACEMENT ADDITION: 10,925.54 WASH SALE LOSS DISALLOWED: 10,676.00 SYMBOL: GDX, CUSIP: 92189F106	☐	12/05/16	12/12/16	20,999.54	20,999.54	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 20,750.00 WASH SALE REPLACEMENT ADDITION: 10,217.54	☐	12/05/16	12/12/16	20,999.54	20,999.54	0.00

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 9,968.00 SYMBOL: GDX, CUSIP: 92189F106						
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 20,750.00 WASH SALE REPLACEMENT ADDITION: 9,919.54 WASH SALE LOSS DISALLOWED: 9,670.00 SYMBOL: GDX, CUSIP: 92189F106	☐	12/05/16	12/12/16	20,999.54	20,999.54	0.00
1,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF ORIGINAL COST 20,750.00 WASH SALE REPLACEMENT ADDITION: 10,695.08 WASH SALE LOSS DISALLOWED: 10,445.54 SYMBOL: GDX, CUSIP: 92189F106	☐	12/05/16	12/12/16	20,999.54	20,999.54	0.00
2,500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	12/15/16	12/27/16	49,373.92	48,375.00	998.92
1,500.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	12/15/16	12/27/16	29,624.35	28,500.00	1,124.35
5,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	12/14/16	12/29/16	103,747.73	102,500.00	1,247.73
5,000.000	VANECK VECTORS ETF TR GOLD MINERS ETF SYMBOL: GDX, CUSIP: 92189F106	☐	12/09/16	12/30/16	109,997.60	103,000.00	6,997.60
5,000.000	VANGUARD NATURAL RESOURCES LLC SYMBOL: VNRSQ, CUSIP: 92205F106	☒	05/27/16	07/18/16	10,260.77	7,245.00	3,015.77
250.000	VANGUARD SPECIALIZED FUNDS VANGUARD DIVIDEND APPRECIATION ETF SYMBOL: VIG, CUSIP: 921908844	☐	04/01/16	04/08/16	20,270.31	20,211.80	58.51
250.000	VANGUARD SPECIALIZED FUNDS VANGUARD DIVIDEND APPRECIATION ETF SYMBOL: VIG, CUSIP: 921908844	☐	03/29/16	04/08/16	20,270.31	20,109.30	161.01
500.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	06/06/16	06/13/16	34,624.24	33,542.15	1,082.09
1,000.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	07/18/16	07/21/16	73,250.00	72,140.00	1,110.00
1,000.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	08/16/16	08/18/16	74,139.08	73,048.20	1,090.88

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	08/19/16	08/23/16	74,009.28	73,100.00	909.28
1,000.000	VENTAS INC ORIGINAL COST 69,593.00 WASH SALE LOSS DISALLOWED: 1,071.50 SYMBOL: VTR, CUSIP: 92276F100	☐	09/09/16	09/19/16	68,521.50	68,521.50	0.00
1,000.000	VENTAS INC ORIGINAL COST 66,293.28 WASH SALE REPLACEMENT ADDITION: 1,071.50 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: VTR, CUSIP: 92276F100	☐	10/11/16	10/19/16	67,801.92	67,364.78	437.14
1,000.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	12/15/16	12/19/16	61,683.65	60,500.00	1,183.65
1,000.000	VENTAS INC SYMBOL: VTR, CUSIP: 92276F100	☐	12/28/16	12/29/16	61,509.95	60,600.00	909.95
500.000	VERIZON COMMUNICATIONS SYMBOL: VZ, CUSIP: 92343V104	☐	02/17/16	03/08/16	26,334.92	25,190.00	1,144.92
500.000	VERIZON COMMUNICATIONS SYMBOL: VZ, CUSIP: 92343V104	☐	03/23/16	03/30/16	27,104.90	26,524.00	580.90
1,000.000	VERIZON COMMUNICATIONS ORIGINAL COST 53,350.00 WASH SALE LOSS DISALLOWED: 1,570.13 SYMBOL: VZ, CUSIP: 92343V104	☐	04/06/16	04/27/16	51,779.87	51,779.87	0.00
500.000	VERIZON COMMUNICATIONS ORIGINAL COST 25,244.50 WASH SALE REPLACEMENT ADDITION: 785.07 WASH SALE LOSS DISALLOWED: 629.13 SYMBOL: VZ, CUSIP: 92343V104	☐	04/21/16	06/01/16	25,400.44	25,400.44	0.00
500.000	VERIZON COMMUNICATIONS ORIGINAL COST 25,244.50 WASH SALE LOSS DISALLOWED: 595.13 SYMBOL: VZ, CUSIP: 92343V104	☐	04/21/16	06/01/16	25,434.44	25,434.44	0.00
500.000	VERIZON COMMUNICATIONS ORIGINAL COST 24,719.00 WASH SALE REPLACEMENT ADDITION: 595.13 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: VZ, CUSIP: 92343V104	☐	05/25/16	06/03/16	25,430.45	25,314.13	116.32
500.000	VERIZON COMMUNICATIONS ORIGINAL COST 24,624.50 WASH SALE REPLACEMENT ADDITION: 629.13 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: VZ, CUSIP: 92343V104	☐	05/23/16	06/03/16	25,430.45	25,253.63	176.82

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
28.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☐	05/28/15	05/24/16	2,192.18	1,949.08	243.10
81.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☐	03/07/16	05/24/16	6,341.67	5,907.32	434.35
200.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☐	03/29/16	05/24/16	15,658.46	14,943.70	714.76
500.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☐	05/18/16	06/21/16	38,881.55	38,300.00	581.55
500.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	02/08/16	02/22/16	32,785.28	32,875.00	-89.72
500.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	02/18/16	02/22/16	32,785.28	32,024.00	761.28
500.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	02/18/16	02/22/16	32,785.28	31,450.00	1,335.28
225.000	WAL-MART STORES INC ORIGINAL COST 17,743.50 WASH SALE LOSS DISALLOWED: 2,938.82 SYMBOL: WMT, CUSIP: 931142103	☐	04/15/16	05/16/16	14,804.68	14,804.68	0.00
175.000	WAL-MART STORES INC ORIGINAL COST 12,235.99 WASH SALE LOSS DISALLOWED: 721.24 SYMBOL: WMT, CUSIP: 931142103	☐	04/20/16	05/16/16	11,514.75	11,514.75	0.00
100.000	WAL-MART STORES INC ORIGINAL COST 6,943.72 WASH SALE LOSS DISALLOWED: 363.86 SYMBOL: WMT, CUSIP: 931142103	☐	04/26/16	05/16/16	6,579.86	6,579.86	0.00
250.000	WAL-MART STORES INC ORIGINAL COST 17,337.50 WASH SALE LOSS DISALLOWED: 887.86 SYMBOL: WMT, CUSIP: 931142103	☐	04/28/16	05/16/16	16,449.64	16,449.64	0.00
225.000	WAL-MART STORES INC ORIGINAL COST 14,246.59 WASH SALE REPLACEMENT ADDITION: 2,569.93 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: WMT, CUSIP: 931142103	☐	05/18/16	05/19/16	15,468.41	16,816.53	-1,348.12
250.000	WAL-MART STORES INC ORIGINAL COST 16,589.75 WASH SALE REPLACEMENT ADDITION: 887.86	☐	05/11/16	05/19/16	17,187.13	17,477.61	-290.49

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: WMT, CUSIP: 931142103						
225.000	WAL-MART STORES INC ORIGINAL COST 14,930.77 WASH SALE REPLACEMENT ADDITION: 2,938.82 WASH SALE LOSS DISALLOWED: 2,569.93 SYMBOL: WMT, CUSIP: 931142103	☐	05/11/16	05/19/16	15,299.67	15,299.67	0.00
175.000	WAL-MART STORES INC ORIGINAL COST 11,612.82 WASH SALE REPLACEMENT ADDITION: 721.24 WASH SALE LOSS DISALLOWED: 434.33 SYMBOL: WMT, CUSIP: 931142103	☐	05/11/16	05/19/16	11,899.74	11,899.74	0.00
100.000	WAL-MART STORES INC ORIGINAL COST 6,635.90 WASH SALE REPLACEMENT ADDITION: 363.86 WASH SALE LOSS DISALLOWED: 199.91 SYMBOL: WMT, CUSIP: 931142103	☐	05/11/16	05/19/16	6,799.85	6,799.85	0.00
100.000	WAL-MART STORES INC ORIGINAL COST 6,331.82 WASH SALE REPLACEMENT ADDITION: 199.91 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: WMT, CUSIP: 931142103	☐	05/18/16	05/19/16	6,874.85	6,531.73	343.12
175.000	WAL-MART STORES INC ORIGINAL COST 11,080.68 WASH SALE REPLACEMENT ADDITION: 434.33 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: WMT, CUSIP: 931142103	☐	05/18/16	05/19/16	12,030.99	11,515.01	515.98
250.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	05/11/16	05/19/16	17,187.13	16,589.75	597.38
1,000.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	05/11/16	05/19/16	67,998.51	65,689.00	2,309.51
500.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	05/18/16	05/19/16	34,374.25	31,659.10	2,715.15
22.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	03/27/15	03/24/16	2,135.17	2,311.98	-176.81
37.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	03/07/16	03/24/16	3,590.97	3,662.17	-71.20
188.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	04/15/16	05/03/16	9,402.20	6,448.40	2,953.80
500.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	06/27/16	06/28/16	23,010.99	22,375.00	635.99

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	WESTERN UNION CO SYMBOL: WU, CUSIP: 959802109	☐	04/11/16	05/03/16	19,849.76	19,750.00	99.76
215.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☐	04/15/16	04/28/16	6,553.27	6,918.70	-365.43
75.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☐	04/20/16	04/28/16	2,286.02	2,298.24	-12.22
210.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☐	04/27/16	04/28/16	6,400.87	6,190.21	210.66
500.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☐	04/21/16	04/28/16	15,240.17	14,827.99	412.18
500.000	WISDOMTREE TRUST GLOBAL EX US UTILITIES FD CUSIP: 97717W653	☐	04/27/16	05/25/16	7,879.87	8,135.00	-255.13
100.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	04/14/16	05/02/16	9,435.84	9,725.00	-289.16
100.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	04/21/16	05/02/16	9,435.84	9,550.00	-114.16
200.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	04/25/16	05/02/16	18,871.69	18,500.00	371.69
300.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/19/16	08/23/16	29,399.36	29,214.00	185.36
300.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/19/16	08/23/16	29,399.36	28,838.50	560.86
500.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/23/16	09/01/16	47,015.81	48,350.00	-1,334.19
500.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/24/16	09/01/16	47,015.81	45,750.00	1,265.81
500.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	08/31/16	09/01/16	47,015.81	44,750.00	2,265.81
1,000.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	10/07/16	10/11/16	100,507.80	96,350.00	4,157.80
1,000.000	WYNN RESORTS LTD SYMBOL: WYNN, CUSIP: 983134107	☐	11/03/16	11/07/16	89,528.04	88,906.00	622.04
500.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	03/23/16	03/29/16	40,029.62	39,510.00	519.62
250.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	04/27/16	05/09/16	20,519.55	20,250.00	269.55
500.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	04/05/16	05/09/16	41,039.11	40,739.95	299.16

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	05/06/16	05/09/16	20,519.55	20,012.50	507.05
500.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	05/19/16	05/24/16	40,578.11	39,725.00	853.11
1,000.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	10/20/16	10/24/16	87,648.13	86,450.00	1,198.13
1,000.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	10/25/16	11/03/16	59,995.44	60,594.88	-599.44
1,000.000	YUM BRANDS INC SYMBOL: YUM, CUSIP: 988498101	☐	10/25/16	11/03/16	59,995.44	60,000.80	-5.36
1,000.000	YUM CHINA HOLDINGS INC COM SYMBOL: YUMC, CUSIP: 98850P109	☐	10/25/16	11/04/16	27,211.41	26,155.13	1,056.28
1,000.000	YUM CHINA HOLDINGS INC COM SYMBOL: YUMC, CUSIP: 98850P109	☐	10/25/16	11/04/16	27,211.41	25,898.70	1,312.71
49.000	ZOETIS INC CL A SYMBOL: ZTS, CUSIP: 98978V103	☐	12/16/15	02/12/16	1,935.50	2,284.38	-348.88
28.000	ZOETIS INC CL A SYMBOL: ZTS, CUSIP: 98978V103	☐	01/07/16	02/12/16	1,106.00	1,307.32	-201.32
27.000	ZOETIS INC CL A SYMBOL: ZTS, CUSIP: 98978V103	☐	12/18/15	02/12/16	1,066.50	1,263.33	-196.83
39.000	ZOETIS INC CL A SYMBOL: ZTS, CUSIP: 98978V103	☐	01/21/16	02/12/16	1,540.50	1,688.70	-148.20
1,000.000	3-D SYSTEMS CORP-DEL SYMBOL: DDD, CUSIP: 88554D205	☐	03/18/16	03/30/16	15,599.65	14,529.90	1,069.75
Short-Term Subtotal					19,920,873.26		333,425.00

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
39.000	ABBVIE INC SYMBOL: ABBV, CUSIP: 00287Y109	☐	03/05/14	01/26/16	2,289.64	2,020.20	269.44
48.000	ACCENTURE PLC IRELAND SHS CL A SYMBOL: ACN, CUSIP: G1151C101	☒	07/23/10	04/06/16	5,508.85	1,924.79	3,584.06

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
84.000	ACCENTURE PLC IRELAND SHS CL A SYMBOL: ACN, CUSIP: G1151C101	☒	10/19/10	04/06/16	9,640.49	3,773.28	5,867.21
2.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	11/07/14	02/16/16	155.60	143.68	11.92
37.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	02/13/15	02/16/16	2,878.54	2,813.11	65.43
22.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	12/15/14	02/16/16	1,711.56	1,616.12	95.44
20.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	11/14/14	02/16/16	1,555.97	1,429.50	126.47
19.000	AETNA INC NEW SYMBOL: AET, CUSIP: 00817Y108	☐	03/12/14	01/26/16	1,979.95	1,390.99	588.96
13.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☐	11/11/13	02/12/16	1,781.35	1,508.91	272.44
8.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☐	05/22/13	02/12/16	1,096.21	799.52	296.69
3.000	ALPHABET INC CLASS A COMMON STOCK SYMBOL: GOOGL, CUSIP: 02079K305	☐	09/25/15	11/07/16	2,392.12	1,925.94	466.18
3.000	ALPHABET INC CLASS A COMMON STOCK SYMBOL: GOOGL, CUSIP: 02079K305	☐	04/07/14	11/07/16	2,392.12	1,635.39	756.73
4.000	ALPHABET INC CLASS A COMMON STOCK SYMBOL: GOOGL, CUSIP: 02079K305	☐	02/13/15	11/07/16	3,189.49	2,201.00	988.49
7.000	ALPHABET INC CLASS A COMMON STOCK SYMBOL: GOOGL, CUSIP: 02079K305	☐	04/04/14	11/07/16	5,581.61	3,836.14	1,745.47
3.000	ALPHABET INC CLASS C CAPITAL STOCK SYMBOL: GOOG, CUSIP: 02079K107	☐	04/07/14	11/07/16	2,333.83	1,630.77	703.06
6.000	ALPHABET INC CLASS C CAPITAL STOCK SYMBOL: GOOG, CUSIP: 02079K107	☐	02/13/15	11/07/16	4,667.66	3,287.82	1,379.84
6.000	ALPHABET INC CLASS C CAPITAL STOCK SYMBOL: GOOG, CUSIP: 02079K107	☐	04/04/14	11/07/16	4,667.66	3,255.95	1,411.70
5.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	01/30/15	03/21/16	2,751.39	1,885.79	865.60

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
6.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	07/14/14	03/21/16	3,301.67	2,124.18	1,177.49
37.000	AMDOCS LIMITED SYMBOL: DOX, CUSIP: G02602103	☐	03/12/14	01/26/16	1,957.63	1,674.62	283.01
19.000	AMERICAN TOWER CORPORATION REIT SYMBOL: AMT, CUSIP: 03027X100	☐	07/10/13	02/17/16	1,651.63	1,427.85	223.78
35.000	AMERICAN TOWER CORPORATION REIT SYMBOL: AMT, CUSIP: 03027X100	☒	12/23/10	02/17/16	3,042.48	1,767.50	1,274.98
14.000	AMERICAN TOWER CORPORATION REIT SYMBOL: AMT, CUSIP: 03027X100	☐	07/10/13	02/23/16	1,244.15	1,052.10	192.05
26.000	AMERICAN TOWER CORPORATION REIT SYMBOL: AMT, CUSIP: 03027X100	☐	02/25/14	02/23/16	2,310.57	2,074.41	236.16
19.000	AMERIPRISE FINL INC SYMBOL: AMP, CUSIP: 03076C106	☐	03/12/14	01/26/16	1,756.13	2,100.26	-344.13
13.000	AMGEN INC SYMBOL: AMGN, CUSIP: 031162100	☐	03/05/14	02/25/16	1,915.64	1,639.43	276.21
39.000	ANHEUSER-BUSCH INBEV SA SPONSORED ADR SYMBOL: BUD, CUSIP: 03524A108	☐	12/19/14	03/01/16	4,449.11	4,473.05	-23.95
22.000	ANHEUSER-BUSCH INBEV SA SPONSORED ADR SYMBOL: BUD, CUSIP: 03524A108	☐	01/06/15	03/01/16	2,509.75	2,367.20	142.55
21.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	12/16/14	02/16/16	2,014.91	2,262.75	-247.84
23.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	10/10/14	02/16/16	2,206.80	2,332.20	-125.40
13.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	10/13/14	02/16/16	1,247.32	1,310.66	-63.34
2.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	03/31/14	02/16/16	191.90	153.69	38.21
17.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	06/13/14	02/16/16	1,631.11	1,554.48	76.63
9.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	11/28/12	02/16/16	863.53	741.69	121.84

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Schedule of Realized Gains and Losses (con't)

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
98.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	01/30/13	02/16/16	9,402.89	6,432.02	2,970.87
40.000	AT&T INC SYMBOL: T, CUSIP: 00206R102	☐	03/05/14	01/29/16	1,435.57	1,283.20	152.37
21.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	11/17/09	03/18/16	3,103.54	1,547.66	1,555.88
47.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	10/19/10	03/18/16	6,946.02	3,577.64	3,368.38
7,500.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	04/07/06	02/12/16	0.07	10,057.50	-10,057.43
6,800.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	04/06/06	02/12/16	0.07	9,662.80	-9,662.73
5,450.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	05/16/06	02/12/16	0.05	9,545.00	-9,544.95
10,000.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	08/14/06	02/12/16	0.10	8,207.50	-8,207.40
10,000.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	08/02/06	02/12/16	0.10	7,807.50	-7,807.40
25,000.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	12/15/06	02/12/16	0.25	7,007.50	-7,007.25
7,500.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	07/27/06	02/12/16	0.07	6,307.50	-6,307.43
2,750.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	05/17/06	02/12/16	0.03	4,820.00	-4,819.97
12,000.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	12/19/06	02/12/16	0.12	3,120.00	-3,119.88
10,000.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	12/19/06	02/12/16	0.10	2,557.50	-2,557.40
3,000.000	BLACK DRAGON RESOURCE COMPANIES INC SYMBOL: BDGR, CUSIP: 09202T103	☒	12/15/06	02/12/16	0.03	787.50	-787.47
11.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	08/09/11	02/23/16	3,469.10	1,612.05	1,857.05

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
30.000	BLACKROCK INC SYMBOL: BLK, CUSIP: 09247X101	☐	12/22/11	02/23/16	9,461.19	5,247.90	4,213.29
11.000	BOEING CO SYMBOL: BA, CUSIP: 097023105	☐	03/05/14	01/29/16	1,311.17	1,417.57	-106.40
37.000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL: BR, CUSIP: 11133T103	☐	01/06/15	01/26/16	1,908.05	1,671.66	236.39
52.000	CDW CORPORATION COM SYMBOL: CDW, CUSIP: 12514G108	☐	11/21/14	01/26/16	1,997.29	1,739.98	257.31
24.000	CELGENE CORP SYMBOL: CELG, CUSIP: 151020104	☐	11/21/13	03/24/16	2,408.50	1,894.32	514.18
20.000	CELGENE CORP SYMBOL: CELG, CUSIP: 151020104	☐	11/08/13	03/24/16	2,007.09	1,487.60	519.49
20.000	CELGENE CORP SYMBOL: CELG, CUSIP: 151020104	☐	04/26/13	03/24/16	2,007.09	1,196.50	810.59
26.000	CELGENE CORP SYMBOL: CELG, CUSIP: 151020104	☐	05/14/12	03/24/16	2,609.21	925.60	1,683.61
10.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☐	03/05/14	02/17/16	883.90	1,142.30	-258.40
5.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☐	09/23/14	02/17/16	441.95	616.35	-174.40
74.000	CHEVRON CORPORATION SYMBOL: CVX, CUSIP: 166764100	☒	10/19/10	02/17/16	6,540.86	6,142.00	398.86
82.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	09/23/14	02/16/16	2,123.92	2,029.27	94.65
46.000	CMS ENERGY CORP SYMBOL: CMS, CUSIP: 125896100	☐	03/05/14	01/26/16	1,706.68	1,295.27	411.41
30.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☒	10/19/10	02/22/16	1,312.47	899.55	412.92
32.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☒	05/10/06	02/22/16	1,399.97	697.44	702.53
84.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☒	09/03/08	02/22/16	3,674.92	2,176.02	1,498.90
35.000	COMCAST CORP CL A SYMBOL: CMCSA, CUSIP: 20030N101	☐	03/05/14	01/29/16	1,925.75	1,814.75	111.00

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Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
131.000	COMCAST CORP CL A SYMBOL: CMCSA, CUSIP: 20030N101	☐	10/15/14	02/12/16	7,420.04	6,617.76	802.28
15.000	COSTAR GROUP INC SYMBOL: CSGP, CUSIP: 22160N109	☐	06/09/14	02/12/16	2,335.59	2,522.10	-186.51
9.000	COSTAR GROUP INC SYMBOL: CSGP, CUSIP: 22160N109	☐	09/12/14	02/12/16	1,401.36	1,434.42	-33.06
26.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST, CUSIP: 22160K105	☒	12/23/10	02/22/16	3,890.29	1,876.16	2,014.13
1.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	03/05/14	01/26/16	94.13	73.21	20.92
17.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	03/19/14	01/26/16	1,600.18	1,254.60	345.58
27.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	08/01/11	05/11/16	2,816.31	985.73	1,830.58
73.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	10/19/11	05/11/16	7,614.47	2,534.45	5,080.02
21.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	03/19/12	05/19/16	2,116.76	950.04	1,166.72
64.000	CVS HEALTH CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	10/19/11	05/19/16	6,451.07	2,221.98	4,229.08
29.000	DANAHER CORPORATION SYMBOL: DHR, CUSIP: 235851102	☐	05/22/12	02/16/16	2,504.67	1,520.47	984.20
31.000	DANAHER CORPORATION SYMBOL: DHR, CUSIP: 235851102	☐	05/22/12	02/16/16	2,677.41	1,625.33	1,052.08
40.000	DELTA AIR LINES INC DEL COM SYMBOL: DAL, CUSIP: 247361702	☐	01/21/15	03/18/16	1,991.67	1,950.40	41.27
38.000	DELTA AIR LINES INC DEL COM SYMBOL: DAL, CUSIP: 247361702	☐	07/11/14	03/18/16	1,892.08	1,414.74	477.34
61.000	DELTA AIR LINES INC DEL COM SYMBOL: DAL, CUSIP: 247361702	☐	04/16/13	03/18/16	3,037.29	957.64	2,079.65
24.000	DR PEPPER SNAPPLE GROUP INC SYMBOL: DPS, CUSIP: 26138E109	☐	11/21/14	01/26/16	2,189.23	1,728.24	460.99
57.000	E I DU PONT DE NEMOURS & CO SYMBOL: DD, CUSIP: 263534109	☒	10/19/10	03/29/16	3,622.75	2,509.23	1,113.52

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
68.000	E I DU PONT DE NEMOURS & CO SYMBOL: DD, CUSIP: 263534109	☒	05/11/09	03/29/16	4,321.88	1,789.80	2,532.07
12.000	ECOLAB INC SYMBOL: ECL, CUSIP: 278865100	☒	12/23/10	02/16/16	1,298.73	603.24	695.49
36.000	ECOLAB INC SYMBOL: ECL, CUSIP: 278865100	☐	09/22/11	02/16/16	3,896.19	1,766.88	2,129.31
13.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	09/23/14	02/25/16	1,060.91	1,251.25	-190.34
13.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	03/05/14	02/25/16	1,060.91	1,219.53	-158.62
67.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☐	01/04/11	02/25/16	5,467.75	5,011.60	456.15
38.000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP: 30231G102	☒	10/19/10	02/25/16	3,101.11	2,497.36	603.75
60.000	EXXON MOBIL CORP ORIGINAL COST 5,792.39 WASH SALE LOSS DISALLOWED: 492.54 SYMBOL: XOM, CUSIP: 30231G102	☒	09/10/14	05/06/16	5,299.85	5,299.85	0.00
60.000	EXXON MOBIL CORP ORIGINAL COST 5,328.00 WASH SALE REPLACEMENT ADDITION: 492.54 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: XOM, CUSIP: 30231G102	☐	05/11/16	05/16/16	5,361.60	5,820.54	-458.94
38.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	07/25/13	05/11/16	4,550.88	1,285.54	3,265.34
31.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	05/15/15	06/20/16	3,552.30	2,490.85	1,061.45
36.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	03/05/15	06/20/16	4,125.25	2,927.88	1,197.37
33.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	04/25/14	06/20/16	3,781.48	1,910.37	1,871.11
22.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	09/23/14	02/12/16	1,923.45	2,329.14	-405.69
15.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	08/20/14	02/12/16	1,311.44	1,516.95	-205.51
12.000	GILEAD SCIENCES INC SYMBOL: GILD, CUSIP: 375558103	☐	06/30/14	02/12/16	1,049.16	996.72	52.44

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Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
19.000	HOME DEPOT INC SYMBOL: HD, CUSIP: 437076102	☐	01/30/15	02/16/16	2,258.10	2,013.62	244.48
20.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☐	03/05/14	02/18/16	2,075.79	1,851.20	224.59
40.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☒	05/10/06	02/18/16	4,151.59	2,334.00	1,817.59
55.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☒	10/19/10	02/18/16	5,708.44	3,467.75	2,240.69
70.000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP: 478160104	☐	07/26/12	02/18/16	7,265.28	4,814.50	2,450.78
192.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP: 46625H100	☒	12/22/10	02/19/16	11,099.81	8,050.27	3,049.54
5.000	KIMBERLY CLARK CORP SYMBOL: KMB, CUSIP: 494368103	☐	03/05/14	02/25/16	662.49	520.88	141.60
15.000	KIMBERLY CLARK CORP SYMBOL: KMB, CUSIP: 494368103	☐	03/19/14	02/25/16	1,987.46	1,588.32	399.14
46.000	KROGER CO (THE) SYMBOL: KR, CUSIP: 501044101	☐	09/23/14	01/26/16	1,686.32	1,199.45	486.87
19.000	LYONDELLBASELL INDUSTRIES N V CL A SYMBOL: LYB, CUSIP: N53745100	☐	03/05/14	02/22/16	1,514.08	1,710.57	-196.49
10.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	07/29/14	05/11/16	964.88	760.10	204.78
40.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	08/10/12	05/11/16	3,859.53	1,703.04	2,156.49
50.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	08/01/12	05/11/16	4,824.42	2,146.75	2,677.67
1.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	04/29/15	05/18/16	95.85	90.84	5.01
9.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	07/29/14	05/18/16	862.63	684.09	178.54
90.000	MASTERCARD INCORPORATED SYMBOL: MA, CUSIP: 57636Q104	☐	10/15/14	05/18/16	8,626.31	6,381.72	2,244.59
99.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	01/27/15	02/24/16	7,560.01	7,517.95	42.06
43.000	MOBILEYE N V ORD SHS SYMBOL: MBLY, CUSIP: N51488117	☐	01/30/15	02/16/16	1,101.21	1,697.21	-596.00

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40.000	NIKE INC CLASS B COM SYMBOL: NKE, CUSIP: 654106103	☐	03/20/15	03/28/16	2,464.75	2,061.00	403.75
36.000	NIKE INC CLASS B COM SYMBOL: NKE, CUSIP: 654106103	☐	10/06/14	03/28/16	2,218.27	1,605.78	612.49
44.000	NIKE INC CLASS B COM SYMBOL: NKE, CUSIP: 654106103	☐	09/26/14	03/28/16	2,711.22	1,969.00	742.22
34.000	NIKE INC CLASS B COM SYMBOL: NKE, CUSIP: 654106103	☐	08/20/13	03/28/16	2,095.04	1,106.36	988.68
54.000	NIKE INC CLASS B COM SYMBOL: NKE, CUSIP: 654106103	☐	09/30/13	03/28/16	3,327.41	1,958.04	1,369.37
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 853.35 WASH SALE LOSS DISALLOWED: 190.73 SYMBOL: OHI, CUSIP: 681936100	☐	01/06/15	02/25/16	662.62	662.62	0.00
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 702.50 WASH SALE REPLACEMENT ADDITION: 190.73 WASH SALE LOSS DISALLOWED: 164.38 SYMBOL: OHI, CUSIP: 681936100	☐	03/15/16	03/29/16	730.48	728.85	1.63
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 721.35 WASH SALE REPLACEMENT ADDITION 164.38 WASH SALE LOSS DISALLOWED: 134.11 SYMBOL: OHI, CUSIP: 681936100	☐	04/06/16	04/19/16	751.62	751.62	0.00
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 715.48 WASH SALE REPLACEMENT ADDITION: 134.11 WASH SALE LOSS DISALLOWED: 124.47 SYMBOL: OHI, CUSIP: 681936100	☐	04/21/16	05/04/16	725.14	725.14	0.00
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 698.25 WASH SALE REPLACEMENT ADDITION: 124.47 WASH SALE LOSS DISALLOWED: 95.06 SYMBOL: OHI, CUSIP: 681936100	☐	05/06/16	05/09/16	727.66	727.66	0.00
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 697.20 WASH SALE REPLACEMENT ADDITION: 95.06	☐	05/10/16	06/02/16	673.48	768.54	-95.06

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	WASH SALE LOSS DISALLOWED. 1,105.98 SYMBOL: OHI, CUSIP: 681936100						
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 697.20 WASH SALE REPLACEMENT ADDITION: 96.72 WASH SALE LOSS DISALLOWED. 120.45 SYMBOL: OHI, CUSIP: 681936100	☐	05/10/16	06/02/16	673.48	673.48	0.00
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 663.60 WASH SALE REPLACEMENT ADDITION: 120.45 WASH SALE LOSS DISALLOWED: 106.35 SYMBOL: OHI, CUSIP: 681936100	☐	05/18/16	06/02/16	677.70	677.70	0.00
21.000	OMEGA HEALTHCARE INVESTORS INC ORIGINAL COST 643.65 WASH SALE REPLACEMENT ADDITION: 106.35 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: OHI, CUSIP: 681936100	☐	05/19/16	06/03/16	683.82	750.00	-66.18
104.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	08/29/14	02/16/16	3,727.28	4,327.15	-599.87
244.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	01/07/14	02/16/16	8,744.76	9,196.06	-451.30
41.000	PAYPAL HOLDINGS INC COM SYMBOL: PYPL, CUSIP: 70450Y103	☐	01/22/15	02/12/16	1,404.22	1,412.52	-8.30
35.000	PAYPAL HOLDINGS INC COM SYMBOL: PYPL, CUSIP: 70450Y103	☐	01/26/15	02/12/16	1,198.72	1,192.42	6.30
40.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	10/19/10	03/28/16	4,046.71	2,633.20	1,413.51
74.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	02/24/10	03/28/16	7,486.42	4,574.57	2,911.85
23.000	PNC FINANCIAL SVCS GROUP INC SYMBOL: PNC, CUSIP: 693475105	☐	06/13/14	02/12/16	1,830.07	2,017.33	-187.26
16.000	PPG INDUSTRIES INC SYMBOL: PPG, CUSIP: 693506107	☐	11/25/14	02/12/16	1,438.05	1,728.88	-290.83
2.000	PPG INDUSTRIES INC SYMBOL: PPG, CUSIP: 693506107	☐	11/21/14	02/12/16	179.76	213.99	-34.23
1.000	PRICELINE GROUP INC (THE) SYMBOL: PCLN, CUSIP: 741503403	☐	09/10/12	02/16/16	1,075.87	615.87	460.00
4.000	PRICELINE GROUP INC (THE) SYMBOL: PCLN, CUSIP: 741503403	☐	09/17/12	02/16/16	4,303.46	2,580.20	1,723.26

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
27.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☐	09/18/14	03/01/16	2,189.73	2,274.75	-85.02
9.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☒	12/29/03	03/01/16	729.91	442.71	287.20
33.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☒	10/19/10	03/01/16	2,676.33	2,088.90	587.43
60.000	PROCTER & GAMBLE CO SYMBOL: PG, CUSIP: 742718109	☒	12/19/06	03/01/16	4,866.06	3,861.00	1,005.06
110.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	01/30/15	04/26/16	5,824.48	6,923.45	-1,098.97
22.000	ROYAL CARIBBEAN CRUISES LTD SYMBOL: RCL, CUSIP: V7780T103	☐	03/12/14	01/26/16	1,841.14	1,124.42	716.72
6.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	06/19/12	02/16/16	352.19	207.56	144.64
23.000	SBA COMMUNICATIONS CORP CL A CUSIP: 78388J106	☐	04/23/14	02/12/16	2,033.15	2,099.67	-66.52
15.000	SBA COMMUNICATIONS CORP CL A CUSIP: 78388J106	☐	01/13/14	02/12/16	1,325.97	1,335.60	-9.63
9.000	SBA COMMUNICATIONS CORP CL A CUSIP: 78388J106	☐	11/27/12	02/12/16	795.58	611.37	184.21
28.000	SCHLUMBERGER LTD ORIGINAL COST 2,567.60 WASH SALE LOSS DISALLOWED: 644.32 SYMBOL: SLB, CUSIP: 806857108	☐	03/05/14	02/09/16	1,923.28	1,923.28	0.00
28.000	SCHLUMBERGER LTD ORIGINAL COST 1,897.83 WASH SALE REPLACEMENT ADDITION: 644.32 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: SLB, CUSIP: 806857108	☐	02/10/16	03/16/16	2,045.93	2,542.16	-496.23
53.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	08/07/13	03/16/16	3,872.65	4,298.30	-425.65
61.000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP: 806857108	☐	09/25/12	03/16/16	4,457.20	4,470.60	-13.39
20.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	07/22/14	02/16/16	1,011.83	1,169.60	-157.77

CORRECTED COPY: March 15, 2017

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
25.000	SKYWORKS SOLUTIONS INC SYMBOL: SWKS, CUSIP: 83088M102	☐	03/12/14	01/26/16	1,643.71	932.50	711.21
12.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	10/17/12	02/17/16	680.38	292.20	388.18
36.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	11/08/13	02/17/16	2,041.15	1,458.36	582.79
44.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	11/01/13	02/17/16	2,494.74	1,761.32	733.42
50.000	STARBUCKS CORP SYMBOL: SBUX, CUSIP: 855244109	☐	11/20/13	02/17/16	2,834.94	1,989.25	845.69
46.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	06/13/14	02/09/16	1,515.21	1,845.00	-329.79
19.000	TESORO CORPORATION SYMBOL: TSO, CUSIP: 881609101	☐	01/06/15	01/26/16	1,645.55	1,359.07	286.48
109.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☐	09/11/13	02/16/16	7,745.37	5,935.04	1,810.33
90.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☐	04/10/13	02/16/16	6,395.26	4,294.80	2,100.46
249.000	TWENTY FIRST CENTURY FOX INC CLASS B SYMBOL: FOX, CUSIP: 90130A200	☐	06/04/14	02/16/16	6,314.72	8,587.71	-2,272.99
19.000	ULTA BEAUTY INC COMMON STOCK SYMBOL: ULTA, CUSIP: 90384S303	☐	08/01/13	02/12/16	2,972.30	1,934.01	1,038.28
19.000	ULTA BEAUTY INC COMMON STOCK SYMBOL: ULTA, CUSIP: 90384S303	☐	08/04/14	02/12/16	2,972.30	1,790.56	1,181.73
19.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☐	09/18/14	03/30/16	1,912.51	2,064.54	-152.03
20.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☐	10/28/14	03/30/16	2,013.16	2,106.20	-93.04
4.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	11/05/07	03/30/16	402.63	304.39	98.24
35.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	10/19/10	03/30/16	3,523.04	2,586.15	936.89
59.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP: 913017109	☒	10/14/08	03/30/16	5,938.83	3,146.10	2,792.73
4.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	09/19/13	06/20/16	554.55	291.92	262.63

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
11.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	08/07/13	06/20/16	1,525.01	802.56	722.45
85.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	02/12/13	06/20/16	11,784.14	4,844.87	6,939.26
5.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	03/28/14	08/25/16	688.79	407.80	280.99
22.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	06/20/14	08/25/16	3,030.66	1,785.08	1,245.58
25.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	09/19/13	08/25/16	3,443.93	1,824.50	1,619.43
42.000	UNITEDHEALTH GROUP INC SYMBOL: UNH, CUSIP: 91324P102	☐	10/17/14	08/25/16	5,785.80	3,689.70	2,096.10
30.000	VERIZON COMMUNICATIONS SYMBOL: VZ, CUSIP: 92343V104	☐	03/05/14	01/29/16	1,487.67	1,425.00	62.67
191.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☐	05/10/11	05/24/16	14,953.83	3,841.97	11,111.86
22.000	W W GRAINGER INC SYMBOL: GWW, CUSIP: 384802104	☐	10/28/14	02/16/16	4,668.29	5,327.74	-659.45
15.000	W W GRAINGER INC SYMBOL: GWW, CUSIP: 384802104	☐	02/12/14	02/16/16	3,182.93	3,570.45	-387.52
27.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	02/04/15	03/24/16	2,620.44	2,744.01	-123.57
16.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	10/13/14	03/24/16	1,552.85	1,358.24	194.61
98.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☒	10/19/10	03/24/16	9,511.22	3,367.13	6,144.08
37.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	03/19/14	01/26/16	1,764.12	1,777.48	-13.36
3.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	03/05/14	01/26/16	143.04	141.12	1.92
27.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	10/19/10	02/22/16	1,321.62	673.65	647.97
52.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	01/14/08	02/22/16	2,545.34	1,449.93	1,095.41
275.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☐	04/29/11	02/22/16	13,460.95	7,991.47	5,469.48

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
47.000	XCEL ENERGY INC SYMBOL: XEL, CUSIP: 98389B100	☐	03/05/14	01/26/16	1,722.51	1,413.29	309.22
Long-Term Subtotal					557,365.81		82,931.10
Total Gains and Losses					20,487,756.18		416,356.10
Short-Term							333,425.00
Long-Term							82,931.10
Term Unknown							0.00

A Certain cost basis information is not available for this tax lot; therefore, gain/loss and term are not known. Please contact your Financial Advisor for more information.

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
33.000	ALLIANT ENERGY CORP SYMBOL: LNT, CUSIP: 018802108	☐	03/30/15	02/03/16	2,225.83	2,063.49	162.34
57.000	AMEREN CORP. SYMBOL: AEE, CUSIP: 023608102	☐	11/23/15	02/03/16	2,678.52	2,467.96	210.56
30.000	APTARGROUP INC SYMBOL: ATR, CUSIP: 038336103	☐	03/30/15	02/03/16	2,123.96	1,903.80	220.16
148.000	BAXALTA INCORPORATED CUSIP: 07177M103	☒	04/15/16	04/20/16	6,088.71	4,401.52	1,687.19
153.000	BAXTER INTERNATIONAL INC SYMBOL: BAX, CUSIP: 071813109	☒	04/15/16	04/21/16	6,566.59	5,569.20	997.39
130.000	BEMIS CO INC SYMBOL: BMS, CUSIP: 081437105	☐	06/29/15	02/03/16	6,169.42	5,910.96	258.46
88.000	BIG LOTS INC COM SYMBOL: BIG, CUSIP: 089302103	☐	10/15/15	02/03/16	3,397.62	4,141.27	-743.65
350.000	BRUKER CORPORATION SYMBOL: BRKR, CUSIP: 116794108	☐	06/29/15	02/03/16	7,661.56	7,240.52	421.04
368.000	CBL & ASSOCIATES PROPERTIES INC SYMBOL: CBL, CUSIP: 124830100	☐	10/15/15	02/03/16	3,876.21	5,338.65	-1,462.44
235.000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☒	04/15/16	04/20/16	6,695.45	4,669.45	2,026.00
230.000	DIAMOND OFFSHORE DRILLING INC SYMBOL: DO, CUSIP: 25271C102	☐	04/21/16	04/27/16	5,687.38	5,467.49	219.89
0.183	EQUINIX INC COM PAR \$0.001 REIT SYMBOL: EQIX, CUSIP: 29444U700	☐	11/11/15	02/03/16	56.13	54.21	1.92
0.819	EQUINIX INC COM PAR \$0.001 REIT SYMBOL: EQIX, CUSIP: 29444U700	☐	11/11/15	02/03/16	251.92	243.29	8.63
395.000	EXELON CORPORATION SYMBOL: EXC, CUSIP: 30161N101	☒	04/15/16	04/20/16	13,717.71	12,711.10	1,006.61

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
340.000	FIRST HORIZON NATL CORP SYMBOL: FHN, CUSIP: 320517105	☐	03/30/15	02/03/16	4,080.87	4,867.85	-786.98
108.000	FIRST HORIZON NATL CORP SYMBOL: FHN, CUSIP: 320517105	☐	06/29/15	02/03/16	1,296.28	1,679.10	-382.82
90.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP: 37733W105	☐	04/20/16	04/20/16	3,877.07	3,881.79	-4.72
210.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) SYMBOL: GSK, CUSIP: 37733W105	☒	04/15/16	04/20/16	9,046.49	9,004.80	41.69
59.000	HELMERICH & PAYNE INC SYMBOL: HP, CUSIP: 423452101	☐	10/15/15	02/03/16	2,751.12	3,444.41	-693.29
36.000	HOLLYFRONTIER CORPORATION SYMBOL: HFC, CUSIP: 436106108	☐	06/29/15	02/03/16	1,149.74	1,489.68	-339.94
465.000	HUNTINGTON BANCSHARES INC SYMBOL: HBAN, CUSIP: 446150104	☐	06/29/15	02/03/16	3,804.92	5,220.65	-1,415.73
107.000	LEIDOS HOLDINGS INC COM SYMBOL: LDOS, CUSIP: 525327102	☐	10/15/15	02/03/16	4,706.04	4,773.20	-67.16
75.000	LENNAR CORP CL A SYMBOL: LEN, CUSIP: 526057104	☐	10/15/15	02/03/16	2,952.13	3,730.31	-778.18
23.000	LENNAR CORP CL A SYMBOL: LEN, CUSIP: 526057104	☐	11/23/15	02/03/16	905.32	1,175.70	-270.38
95.000	MACK-CALI REALTY CORP SYMBOL: CLI, CUSIP: 554489104	☐	04/20/16	04/20/16	2,328.45	2,354.54	-26.09
205.000	MACK-CALI REALTY CORP SYMBOL: CLI, CUSIP: 554489104	☒	04/15/16	04/20/16	5,024.56	4,995.85	28.71
215.000	MATTEL INC SYMBOL: MAT, CUSIP: 577081102	☐	10/15/15	02/03/16	6,547.62	4,757.73	1,789.89
60.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☐	04/20/16	04/20/16	4,735.87	4,725.99	9.88
140.000	MEDTRONIC PLC COM SYMBOL: MDT, CUSIP: G5960L103	☒	04/15/16	04/20/16	11,050.35	10,694.60	355.75
1,000.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☐	04/22/16	04/25/16	51,815.75	51,777.99	37.76
161.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☒	04/15/16	04/25/16	8,342.34	5,377.40	2,964.94

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
138.000	MYLAN N V SHS EURO SYMBOL: MYL, CUSIP: N59465109	☐	03/02/15	02/03/16	6,878.34	7,962.93	-1,084.59
408.000	NEW YORK TIMES CO-CL A SYMBOL: NYT, CUSIP: 650111107	☐	06/29/15	02/03/16	5,114.06	5,593.23	-479.17
517.000	ON SEMICONDUCTOR CORP SYMBOL: ON, CUSIP: 682189105	☐	11/23/15	02/03/16	4,139.11	5,252.46	-1,113.35
150.000	OWENS CORNING SYMBOL: OC, CUSIP: 690742101	☐	06/29/15	02/03/16	6,619.46	6,259.08	360.38
126.000	PENSKE AUTOMOTIVE GROUP INC SYMBOL: PAG, CUSIP: 70959W103	☐	03/30/15	02/03/16	3,742.76	6,473.88	-2,731.12
246.000	PILGRIMS PRIDE CORPORATION SYMBOL: PPC, CUSIP: 72147K108	☐	06/29/15	02/03/16	5,395.37	5,782.77	-387.40
56.000	SYNOVUS FINANCIAL CORPORATION COM NEW SYMBOL: SNV, CUSIP: 87161C501	☐	06/29/15	02/03/16	1,596.03	1,725.91	-129.88
169.000	SYNOVUS FINANCIAL CORPORATION COM NEW SYMBOL: SNV, CUSIP: 87161C501	☐	03/30/15	02/03/16	4,816.60	4,752.28	64.32
19.000	TESORO CORPORATION SYMBOL: TSO, CUSIP: 881609101	☐	10/15/15	02/03/16	1,481.97	1,948.96	-466.99
113.000	TORCHMARK CORP SYMBOL: TMK, CUSIP: 891027104	☐	04/20/16	04/20/16	6,430.13	6,412.49	17.64
87.000	TORCHMARK CORP SYMBOL: TMK, CUSIP: 891027104	☒	04/15/16	04/20/16	4,950.63	4,833.72	116.91
240.000	US BANCORP DEL COM SYMBOL: USB, CUSIP: 902973304	☒	04/15/16	04/21/16	10,198.20	7,965.60	2,232.60
Short-Term Subtotal					252,974.59		1,876.78

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
22.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☐	05/25/12	02/03/16	2,959.38	2,031.04	928.34
32.000	ALLIANCE DATA SYSTEM CORP SYMBOL: ADS, CUSIP: 018581108	☐	08/12/11	02/03/16	6,176.84	2,842.88	3,333.96
81.000	ALLIANT ENERGY CORP SYMBOL: LNT, CUSIP: 018802108	☐	04/01/14	02/03/16	5,463.39	4,578.56	884.83
38.000	APARTMENT INVESTMENT &	☐	08/26/14	02/03/16	1,484.44	1,299.60	184.84

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	MANAGEMENT CO-CL A SYMBOL AIV, CUSIP: 03748R101						
157.000	APARTMENT INVESTMENT & MANAGEMENT CO-CL A SYMBOL: AIV, CUSIP: 03748R101	☐	01/06/14	02/03/16	6,133.09	4,072.56	2,060.52
49.000	APTARGROUP INC SYMBOL: ATR, CUSIP: 038336103	☐	08/26/14	02/03/16	3,469.13	3,141.88	327.25
100.000	ATWOOD OCEANICS INC SYMBOL: ATW, CUSIP: 050095108	☐	04/01/14	02/03/16	500.02	4,999.00	-4,498.98
26.000	AVERY DENNISON CORP SYMBOL: AVY, CUSIP: 053611109	☐	12/16/14	02/03/16	1,659.77	1,310.14	349.63
110.000	AVERY DENNISON CORP SYMBOL: AVY, CUSIP: 053611109	☐	11/05/13	02/03/16	7,022.09	5,177.48	1,844.61
137.000	BERKLEY W R CORPORATION SYMBOL: WRB, CUSIP: 084423102	☐	11/19/14	02/03/16	6,744.38	7,015.77	-271.39
48.000	BOOZ ALLEN HAMILTON HOLDING CORPORATION CL A SYMBOL: BAH, CUSIP: 099502106	☐	11/19/14	02/03/16	1,303.50	1,248.78	54.72
64.000	BOOZ ALLEN HAMILTON HOLDING CORPORATION CL A SYMBOL: BAH, CUSIP: 099502106	☐	08/26/14	02/03/16	1,738.00	1,404.02	333.98
153.000	BOOZ ALLEN HAMILTON HOLDING CORPORATION CL A SYMBOL: BAH, CUSIP: 099502106	☐	11/05/13	02/03/16	4,154.91	2,673.97	1,480.93
50,000.000	BRAVO BRANDS INC CUSIP: 105666101	☒	01/20/06	09/28/16	0.00	33,677.50	-33,677.50
546.000	BROCADE COMMUNICATIONS SYS INC SYMBOL: BRCD, CUSIP: 111621306	☐	03/14/13	02/03/16	4,292.57	3,324.32	968.25
68.000	CARLISLE COMPANIES INC SYMBOL: CSL, CUSIP: 142339100	☐	11/19/14	02/03/16	5,532.37	6,130.88	-598.51
169.000	CDW CORPORATION COM SYMBOL: CDW, CUSIP: 12514G108	☐	11/19/14	02/03/16	6,285.01	5,559.88	725.13
154.000	COMERICA INC SYMBOL: CMA, CUSIP: 200340107	☐	03/14/13	02/03/16	5,006.87	5,642.33	-635.46
227.000	COMMSCOPE HOLDING COMPANY INC COM SYMBOL: COMM, CUSIP: 20337X109	☐	08/26/14	02/03/16	4,679.97	5,835.64	-1,155.67
20,000.000	DAYTONABRANDS INC CUSIP: 240218107	☒	02/27/07	11/30/16	0.11	7,207.50	-7,207.39
70,000.000	DAYTONABRANDS INC CUSIP: 240218107	☒	01/09/07	11/30/16	0.37	7,006.56	-7,006.19

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
50,000.000	DAYTONABRANDS INC CUSIP: 240218107	☒	07/10/07	11/30/16	0.26	6,507.50	-6,507.24
50,000.000	DAYTONABRANDS INC CUSIP: 240218107	☒	03/10/08	11/30/16	0.26	3,500.00	-3,499.74
39,000	DILLARDS INC-CL A SYMBOL DDS, CUSIP: 254067101	☐	06/05/13	02/03/16	2,690.96	3,323.97	-633.02
13,000	DILLARDS INC-CL A SYMBOL: DDS, CUSIP: 254067101	☐	08/02/13	02/03/16	896.99	1,108.77	-211.79
333,000	DUKE REALTY CORP SYMBOL: DRE, CUSIP: 264411505	☐	11/19/14	02/03/16	6,550.91	6,296.09	254.82
1,000	EQUIFAX INC SYMBOL EFX, CUSIP: 294429105	☐	05/25/12	02/03/16	101.49	45.52	55.97
21,000	EQUIFAX INC SYMBOL EFX, CUSIP: 294429105	☐	01/06/14	02/03/16	2,131.23	1,432.62	698.61
24,000	EQUIFAX INC SYMBOL: EFX, CUSIP: 294429105	☐	08/02/13	02/03/16	2,435.69	1,560.48	875.21
44,000	EQUIFAX INC SYMBOL: EFX, CUSIP: 294429105	☐	06/21/12	02/03/16	4,465.43	2,029.72	2,435.71
1,181	EQUINIX INC COM PAR \$0.001 REIT SYMBOL: EQIX, CUSIP: 29444U700	☐	11/26/14	02/03/16	363.27	265.08	98.19
5,000	EQUINIX INC COM PAR \$0.001 REIT SYMBOL: EQIX, CUSIP: 29444U700	☐	06/05/13	02/03/16	1,537.97	1,000.30	537.67
21,000	EQUINIX INC COM PAR \$0.001 REIT SYMBOL: EQIX, CUSIP: 29444U700	☒	12/09/10	02/03/16	6,459.48	1,751.19	4,708.29
86,000	FLUOR CORP NEW SYMBOL: FLR, CUSIP: 343412102	☐	04/24/12	02/03/16	3,693.85	4,921.65	-1,227.80
42,000	FOOT LOCKER INC SYMBOL: FL, CUSIP: 344849104	☐	11/05/13	02/03/16	2,851.47	1,458.66	1,392.81
70,000	FOOT LOCKER INC SYMBOL: FL, CUSIP: 344849104	☐	04/15/13	02/03/16	4,752.44	2,361.70	2,390.75
147,000	GALLAGHER ARTHUR J & CO SYMBOL: AJG, CUSIP: 363576109	☐	08/26/14	02/03/16	5,537.48	6,923.19	-1,385.71
100,000	HIGHWOODS PROPERTIES INC SYMBOL: HIW, CUSIP: 431284108	☐	04/15/13	02/03/16	4,172.03	3,972.85	199.18
67,000	HOLLYFRONTIER CORPORATION SYMBOL: HFC, CUSIP: 436106108	☐	02/15/13	02/03/16	2,139.80	3,710.45	-1,570.65
150,000	HOLOGIC INC SYMBOL: HOLX, CUSIP: 436440101	☐	08/02/13	02/03/16	5,154.41	3,401.98	1,752.43

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
37.000	HUMANA INC SYMBOL: HUM, CUSIP: 444859102	☐	04/15/13	02/03/16	6,138.64	2,818.66	3,319.98
57.000	HUNTINGTON INGALLS INDUSTRIES INC SYMBOL: HII, CUSIP: 446413106	☐	04/01/14	02/03/16	7,050.77	5,905.77	1,145.00
162.000	ITT CORPORATION CUSIP: 450911201	☐	08/26/14	02/03/16	4,999.32	7,858.16	-2,858.84
19.000	LINCOLN NATIONAL CORP-IND SYMBOL: LNC, CUSIP: 534187109	☐	03/19/12	02/03/16	695.35	517.91	177.44
20.000	LINCOLN NATIONAL CORP-IND SYMBOL: LNC, CUSIP: 534187109	☐	09/26/12	02/03/16	731.95	479.00	252.95
40.000	LINCOLN NATIONAL CORP-IND SYMBOL: LNC, CUSIP: 534187109	☐	09/26/12	02/03/16	1,463.89	1,034.77	429.12
53.000	LINCOLN NATIONAL CORP-IND SYMBOL: LNC, CUSIP: 534187109	☐	04/24/12	02/03/16	1,939.66	1,278.89	660.77
57.000	MEDNAX INC SYMBOL: MD, CUSIP: 58502B106	☐	11/19/14	02/03/16	3,761.37	3,632.03	129.34
246.000	MGM RESORTS INTERNATIONAL SYMBOL: MGM, CUSIP: 552953101	☐	10/19/12	02/03/16	4,448.28	2,715.47	1,732.81
19.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP: 67103H107	☐	09/26/12	02/03/16	4,855.22	1,606.64	3,248.58
98.000	OLD DOMINION FREIGHT LINES INC SYMBOL: ODFL, CUSIP: 679580100	☐	11/05/13	02/03/16	5,367.75	4,682.41	685.34
71.000	REGAL BELOIT CORPORATION SYMBOL: RBC, CUSIP: 758750103	☐	04/01/14	02/03/16	3,843.16	5,249.73	-1,406.57
20.000	REGAL BELOIT CORPORATION SYMBOL: RBC, CUSIP: 758750103	☐	08/26/14	02/03/16	1,082.58	1,427.40	-344.82
93.000	SEATTLE GENETICS INC SYMBOL: SGEN, CUSIP: 812578102	☐	09/25/14	02/03/16	2,756.47	3,812.25	-1,055.78
39.000	SKYWORKS SOLUTIONS INC SYMBOL: SWKS, CUSIP: 83088M102	☐	10/17/11	02/03/16	2,583.44	825.63	-1,757.81
63.000	SKYWORKS SOLUTIONS INC SYMBOL: SWKS, CUSIP: 83088M102	☐	04/15/13	02/03/16	4,173.24	1,343.16	2,830.08
352.000	TCF FINANCIAL CORP SYMBOL: TCB, CUSIP: 872275102	☐	08/26/14	02/03/16	3,864.99	5,588.95	-1,723.96
91.000	UNITED NATURAL FOODS INC SYMBOL: UNFI, CUSIP: 911163103	☐	08/26/14	02/03/16	3,090.30	5,934.05	-2,843.75
3.000	UNITED THERAPEUTICS CORP DEL SYMBOL: UTHR, CUSIP: 91307C102	☐	11/21/11	02/03/16	349.85	122.49	227.36

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
31,000	UNITED THERAPEUTICS CORP DEL SYMBOL: UTHR, CUSIP: 91307C102	☒	04/24/12	02/03/16	3,615.15	1,312.23	2,302.92
24,000	WADDELL & REED FINANCIAL INC CL A SYMBOL: WDR, CUSIP: 930059100	☐	02/14/11	02/03/16	528.53	974.40	-445.87
77,000	WADDELL & REED FINANCIAL INC CL A SYMBOL: WDR, CUSIP: 930059100	☐	01/18/12	02/03/16	1,695.72	2,089.01	-393.29
166,000	WEINGARTEN REALTY INVESTORS SBI SYMBOL: WRI, CUSIP: 948741103	☒	09/26/12	02/03/16	5,848.07	4,603.04	1,245.03
39,000	WHIRLPOOL CORP SYMBOL: WHR, CUSIP: 963320106	☐	08/23/12	02/03/16	5,143.22	2,884.67	2,258.55
50,000	WORLD FUEL SERVICES CORP SYMBOL: INT, CUSIP: 981475106	☐	12/16/14	02/03/16	1,882.97	2,387.00	-504.03
Long-Term Subtotal					212,451.52		-30,384.24
Total Gains and Losses					465,426.11		-28,507.46
Short-Term							1,876.78
Long-Term							-30,384.24
Term Unknown							0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
16.000	ALIBABA GROUP HOLDING LTD SPONSORED ADR SYMBOL: BABA, CUSIP: 01609W102	☐	05/29/15	01/15/16	1,086.06	1,440.64	-354.58
31.000	ALIBABA GROUP HOLDING LTD SPONSORED ADR SYMBOL: BABA, CUSIP: 01609W102	☐	05/29/15	02/03/16	1,986.76	2,791.24	-804.48
21.000	ALIBABA GROUP HOLDING LTD SPONSORED ADR SYMBOL: BABA, CUSIP: 01609W102	☐	11/17/15	02/03/16	1,345.87	1,636.11	-290.24
15.000	BIOMARIN PHARMACEUTICAL INC SYMBOL: BMRN, CUSIP: 09061G101	☐	11/11/15	01/15/16	1,223.53	1,671.15	-447.62
11.000	BIOMARIN PHARMACEUTICAL INC SYMBOL: BMRN, CUSIP: 09061G101	☐	11/02/15	01/15/16	897.25	1,270.17	-372.92
42.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	10/27/15	01/19/16	1,114.23	1,252.85	-138.62
47.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	11/09/15	01/21/16	1,151.01	1,575.91	-424.90
13.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	11/11/15	01/21/16	318.36	436.28	-117.91
17.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	10/27/15	01/21/16	416.32	507.11	-90.78
68.000	CHARLES SCHWAB CORP NEW SYMBOL: SCHW, CUSIP: 808513105	☐	11/11/15	01/22/16	1,730.57	2,282.07	-551.50
8.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP: 20605P101	☐	10/09/15	01/14/16	653.50	917.36	-263.86
148.000	LENDINGCLUB CORPORATION COM SYMBOL: LC, CUSIP: 52603A109	☐	12/14/15	01/19/16	1,076.00	1,923.82	-847.82
104.000	LENDINGCLUB CORPORATION COM SYMBOL: LC, CUSIP: 52603A109	☐	12/11/15	01/19/16	756.10	1,417.34	-661.24

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14.000	LINKEDIN CORPORATION COM CL A CUSIP: 53578A108	☐	09/09/15	02/08/16	1,508.47	2,645.30	-1,136.83
7.000	LINKEDIN CORPORATION COM CL A CUSIP: 53578A108	☐	09/15/15	02/08/16	754.24	1,372.49	-618.26
19.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	04/17/15	02/01/16	1,794.32	1,540.44	253.88
11.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	01/07/16	02/05/16	908.20	1,270.06	-361.86
16.000	NETFLIX COM INC SYMBOL: NFLX, CUSIP: 64110L106	☐	04/17/15	02/05/16	1,321.01	1,297.21	23.80
3.000	REGENERON PHARMACEUTICALS INC SYMBOL: REGN, CUSIP: 75886F107	☐	08/26/15	01/28/16	1,247.60	1,559.82	-312.22
4.000	TESLA INC COMMON STOCK SYMBOL: TSLA, CUSIP: 88160R101	☐	06/23/15	01/15/16	801.70	1,064.72	-263.02
2.000	TESLA INC COMMON STOCK SYMBOL: TSLA, CUSIP: 88160R101	☐	08/14/15	01/15/16	400.85	487.20	-86.35
9.000	TESLA INC COMMON STOCK SYMBOL: TSLA, CUSIP: 88160R101	☐	08/14/15	01/21/16	1,804.19	2,192.40	-388.21
12.000	VERTEX PHARMACEUTICALS INC SYMBOL: VRTX, CUSIP: 92532F100	☐	01/29/15	01/14/16	1,233.73	1,362.72	-128.99
Short-Term Subtotal					25,529.87		-8,384.53

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
22.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	11/05/14	01/28/16	1,858.96	1,565.52	293.44
13.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	11/05/14	02/05/16	1,021.78	925.08	96.70
28.000	ADOBE SYSTEMS INC SYMBOL: ADBE, CUSIP: 00724F101	☐	11/07/14	02/08/16	2,010.36	2,011.52	-1.16
12.000	ALIBABA GROUP HOLDING LTD SPONSORED ADR SYMBOL: BABA, CUSIP: 01609W102	☐	05/29/15	02/03/16	769.07	1,175.18	-406.11
2.000	ALPHABET INC	☐	04/04/14	02/04/16	1,449.91	1,096.04	353.87

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	CLASS A COMMON STOCK SYMBOL: GOOGL, CUSIP: 02079K305						
2.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	03/25/14	02/05/16	1,001.66	709.68	291.98
4.000	AMAZON.COM INC SYMBOL: AMZN, CUSIP: 023135106	☐	06/10/14	02/05/16	2,003.32	1,327.72	675.60
11.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	10/10/14	01/27/16	1,032.77	1,115.40	-82.63
21.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	07/23/14	01/27/16	1,971.65	2,046.87	-75.22
10.000	APPLE INC SYMBOL: AAPL, CUSIP: 037833100	☐	05/09/14	01/27/16	938.88	831.34	107.54
11.000	CELGENE CORP SYMBOL: CELG, CUSIP: 151020104	☐	05/14/12	02/01/16	1,111.08	391.60	719.48
25.000	DELTA AIR LINES INC DEL COM SYMBOL: DAL, CUSIP: 247361702	☐	04/16/13	01/19/16	1,140.53	392.48	748.05
24.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	07/25/13	02/04/16	2,626.51	811.92	1,814.59
14.000	FACEBOOK INC CL A SYMBOL: FB, CUSIP: 30303M102	☐	07/25/13	02/09/16	1,322.54	473.62	848.92
53.000	HILTON WORLDWIDE HOLDINGS INC CUSIP: 43300A104	☐	11/04/14	01/07/16	1,022.36	1,311.74	-289.38
77.000	HILTON WORLDWIDE HOLDINGS INC CUSIP: 43300A104	☐	06/25/14	01/07/16	1,485.31	1,770.99	-285.68
48.000	HILTON WORLDWIDE HOLDINGS INC CUSIP: 43300A104	☐	12/16/13	01/07/16	925.91	1,046.16	-120.26
14.000	LINKEDIN CORPORATION COM CL A CUSIP: 53578A108	☐	09/26/14	02/08/16	1,508.47	2,981.58	-1,473.11
7.000	LINKEDIN CORPORATION COM CL A CUSIP: 53578A108	☐	09/05/14	02/08/16	754.24	1,606.99	-852.76
12.000	PPG INDUSTRIES INC SYMBOL: PPG, CUSIP: 693506107	☐	11/21/14	01/22/16	1,113.33	1,283.94	-170.61
23.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	06/19/12	02/08/16	1,215.75	795.63	420.13
19.000	SALESFORCE.COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	01/20/12	02/08/16	1,004.32	543.45	460.87

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
19.000	SALESFORCE COM INC SYMBOL: CRM, CUSIP: 79466L302	☐	06/19/12	02/09/16	1,002.90	657.26	345.64
21.000	SBA COMMUNICATIONS CORP CL A CUSIP: 78388J106	☐	11/27/12	01/22/16	1,956.53	1,426.53	530.00
12.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	06/25/14	02/09/16	526.40	740.40	-214.00
10.000	SERVICENOW INC COM SYMBOL: NOW, CUSIP: 81762P102	☐	07/22/14	02/09/16	438.67	584.80	-146.13
16.000	VERTEX PHARMACEUTICALS INC SYMBOL: VRTX, CUSIP: 92532F100	☐	01/07/15	01/14/16	1,644.97	1,914.88	-269.91
11.000	VERTEX PHARMACEUTICALS INC SYMBOL: VRTX, CUSIP: 92532F100	☐	12/29/14	01/14/16	1,130.92	1,300.31	-169.39
17.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☐	05/10/11	02/09/16	1,117.03	341.96	775.08
16.000	VISA INC CL A COMMON STOCK SYMBOL: V, CUSIP: 92826C839	☒	12/23/10	02/09/16	1,051.33	274.60	776.73
6.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	01/23/13	01/05/16	605.88	323.70	282.18
20.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	01/25/13	01/05/16	2,019.62	1,089.40	930.22
8.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	10/13/14	01/06/16	802.32	679.12	123.20
4.000	WALT DISNEY CO SYMBOL: DIS, CUSIP: 254687106	☐	01/25/13	01/06/16	401.16	217.88	183.28
18.000	WORKDAY INC CL A SYMBOL: WDAY, CUSIP: 98138H101	☐	06/13/14	01/21/16	1,242.02	1,498.68	-256.66
14.000	WORKDAY INC CL A SYMBOL: WDAY, CUSIP: 98138H101	☐	04/03/14	01/21/16	966.01	1,175.30	-209.29
Long-Term Subtotal					44,194.47		5,755.20
Total Gains and Losses					69,724.34		-2,629.33
Short-Term							-8,384.53
Long-Term							5,755.20
Term Unknown							0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

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Please see Form 1099-B for information reported to the IRS.

Short-Term							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
54.000	QORVO INC COM SYMBOL: QRVO, CUSIP: 74736K101	☐	05/21/15	01/19/16	1,957.46	4,385.87	-2,428.41
Short-Term Subtotal					1,957.46		-2,428.41
Total Gains and Losses					1,957.46		-2,428.41
Short-Term							-2,428.41
Long-Term							0.00
Term Unknown							0.00

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

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Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
29.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☐	06/04/15	02/03/16	2,074.39	2,958.87	-884.48
Short-Term Subtotal					2,074.39		-884.48

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
52.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	08/29/14	02/03/16	2,784.69	4,656.08	-1,871.39
58.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	01/30/15	02/03/16	3,106.00	4,755.39	-1,649.39
63.000	AMERICAN EXPRESS COMPANY SYMBOL: AXP, CUSIP: 025816109	☐	09/25/13	02/03/16	3,373.76	4,791.78	-1,418.02
20.000	BECTON DICKINSON & CO SYMBOL: BDX, CUSIP: 075887109	☒	11/17/09	02/03/16	2,814.39	1,473.96	1,340.43
6.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	02/03/16	311.41	282.71	28.70
25.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	02/03/16	1,297.54	1,177.98	119.56
99.000	EATON CORPORATION PLC SYMBOL: ETN, CUSIP: G29183103	☐	11/30/12	02/03/16	5,138.28	4,664.82	473.45
30.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☐	01/07/14	02/03/16	2,145.92	2,488.95	-343.03
25.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP: 907818108	☒	07/07/09	02/03/16	1,788.27	631.50	1,156.77

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
62.000	UNION PACIFIC CORP SYMBOL. UNP, CUSIP. 907818108	☒	10/19/10	02/03/16	4,434.90	2,618.88	1,816.02
Long-Term Subtotal					27,195.16		-346.90
Total Gains and Losses					29,269.55		-1,231.38
Short-Term							-884.48
Long-Term							-346.90
Term Unknown							0.00