EXTENDED TO NOVEMBER 15, 2017

Form **990-PF** — **Return of Private Foundation** — or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 **2016** Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: THE PERRIN FAMILY FOUNDATION C/O ANDERSEN TAX LLC

A Employer identification number: 22-3309886

Number and street (or P O box number if mail is not delivered to street address): 1700 EAST PUTNAM AVENUE — Room/suite: 408

B Telephone number: 203-438-7349

City or town, state or province, country, and ZIP or foreign postal code: OLD GREENWICH, CT 06870

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 19,268,763.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

SCANNED JUN 1 2017

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received	400,527.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,033.	1,033.		STATEMENT 2
	4 Dividends and interest from securities	406,174.	406,174.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,314,464.			STATEMENT 1
	b Gross sales price for all assets on line 6a 6,896,299.				
	7 Capital gain net income (from Part IV, line 2)		1,068,681.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	66,289.	62,445.		STATEMENT 4
	12 **Total** Add lines 1 through 11	2,188,487.	1,538,333.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	190,115.	0.		190,115.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	20,491.	0.		20,491.
	16a Legal fees				
	b Accounting fees STMT 5	10,250.	5,125.		5,125.
	c Other professional fees STMT 6	111,898.	102,792.		9,106.
	17 Interest				
	18 Taxes STMT 7	12,036.	1,899.		0.
	19 Depreciation and depletion	11,123.	0.		
	20 Occupancy	23,866.	0.		23,866.
	21 Travel, conferences, and meetings	1,043.	0.		1,043.
	22 Printing and publications	475.	0.		475.
	23 Other expenses STMT 8	82,038.	9,537.		72,501.
	24 **Total operating and administrative expenses** Add lines 13 through 23	463,335.	119,353.		322,722.
	25 Contributions, gifts, grants paid	648,932.			648,932.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,112,267.	119,353.		971,654.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,076,220.			
	b **Net investment income** (if negative, enter -0-)		1,418,980.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions — Form **990-PF** (2016)

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	70,541.	153,791.	153,791.
	2 Savings and temporary cash investments	1,032,949.	926,215.	926,215.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 10	7,414,156.	7,705,634.	10,813,510.
	c Investments - corporate bonds STMT 11	3,303,998.	4,133,653.	4,083,935.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 12	3,051,943.	2,984,467.	3,291,312.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶	154,900.	0.	0.
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	15,028,487.	15,903,760.	19,268,763.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	15,028,487.	15,903,760.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	15,028,487.	15,903,760.	
	31 **Total liabilities and net assets/fund balances**	15,028,487.	15,903,760.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,028,487.
2	Enter amount from Part I, line 27a	2	1,076,220.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	16,104,707.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	200,947.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,903,760.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	6,896,299.		5,827,618.	1,068,681.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				1,068,681.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,068,681.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2015	884,237.	17,835,224.	.049578
2014	837,678.	17,556,435.	.047713
2013	753,664.	15,683,170.	.048056
2012	832,043.	14,032,972.	.059292
2011	844,146.	14,595,358.	.057837

2 Total of line 1, column (d)	2	.262476
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052495
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	17,486,149.
5 Multiply line 4 by line 3	5	917,935.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14,190.
7 Add lines 5 and 6	7	932,125.
8 Enter qualifying distributions from Part XII, line 4	8	971,654.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	14,190.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	14,190.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	14,190.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 8,228.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 10,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	18,228.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	4,038.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	4,038. **Refunded** ▶	11	0.

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.PERRINFAMILYFOUNDATION.ORG

14 The books are in care of ▶ BANK OF AMERICA, N.A. Telephone no. ▶800-878-7878
Located at ▶114 WEST 47TH STREET, NEW YORK, NY ZIP+4 ▶10036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURA E MCCARGAR - 760 CHAPEL STREET, NEW HAVEN, CT 06510	PRESIDENT 40.00	97,312.	19,941.	0.
CAMELLE SCOTT-MUJAHID - 760 CHAPEL STREET, NEW HAVEN, CT 06510	PROGRAM OFFICER 40.00	56,061.	10,525.	0.

Total number of other employees paid over $50,000 ▶ 2

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
US TRUST 114 WEST 47TH ST, 7TH FL, NEW YORK, NY 10036	INVESTMENT ADVISORY	86,723.
Total number of others receiving over $50,000 for professional services ▶		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 14	18,201.
2 SEE STATEMENT 15	33,612.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	16,746,752.
b	Average of monthly cash balances		1b	1,005,684.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	17,752,436.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	17,752,436.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	266,287.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	17,486,149.
6	**Minimum investment return** Enter 5% of line 5		6	874,307.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	874,307.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 14,190.		
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	14,190.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	860,117.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	860,117.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	860,117.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	971,654.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	971,654.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	14,190.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	957,464.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				860,117.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ______, ______, ______		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 — 121,868.				
b From 2012 — 135,554.				
c From 2013				
d From 2014				
e From 2015 — 6,218.				
f **Total** of lines 3a through e	263,640.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 971,654.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				860,117.
e Remaining amount distributed out of corpus	111,537.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	375,177.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	121,868.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	253,309.			
10 Analysis of line 9.				
a Excess from 2012 — 135,554.				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015 — 6,218.				
e Excess from 2016 — 111,537.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

SEE STATEMENT 16

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 17

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED C/O ANDERSEN TAX, LLC 1700 EAST PUTNAM AVE., SUITE 408 OLD GREENWICH, CT 06870	N/A	501(C)(3) ORGANIZATIONS	GENERAL PURPOSE	648,932.
Total			▶ 3a	648,932.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,033.	
4 Dividends and interest from securities			14	406,174.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	66,289.	
8 Gain or (loss) from sales of assets other than inventory			18	1,314,464.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		1,787,960.	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 1,787,960.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sheila Perrin 5/22/17 TRUSTEE

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRIS CARREIRA		5/17/17		P01250834
Firm's name ▶ ANDERSEN TAX LLC			Firm's EIN ▶ 33-1197384	
Firm's address ▶ 1700 EAST PUTNAM AVENUE, SUITE 408 OLD GREENWICH, CT 06870			Phone no. 203-987-3660	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GS #9251 (SEE ATTACHED)		VARIOUS	12/31/16
b	GS #6533 (SEE ATTACHED)		VARIOUS	12/31/16
c	GS #6010 (SEE ATTACHED)		01/20/16	07/07/16
d	UST (SEE ATTACHED)		VARIOUS	12/31/16
e	GS #6533 (SEE ATTACHED)		VARIOUS	12/31/16
f	GS #9251 (SEE ATTACHED)		VARIOUS	12/31/16
g	GS #6533 (SEE ATTACHED)		VARIOUS	12/31/16
h	GS #6010 (SEE ATTACHED)		VARIOUS	12/31/16
i	UST (SEE ATTACHED)		VARIOUS	12/31/16
j	GS #9251 (SEE ATTACHED)		VARIOUS	12/14/16
k	GS #6533 (SEE ATTACHED)		VARIOUS	12/31/16
l	GS #6010 (SEE ATTACHED)		VARIOUS	12/31/16
m	GS CAPITAL PARTNERS VI PARALLEL, LP	P	VARIOUS	12/31/16
n	GS CAPITAL PARTNERS VI PARALLEL, LP	P	VARIOUS	12/31/16
o	GS CAPITAL PARTNERS VI PARALLEL AIV, LP	P	VARIOUS	12/31/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	42,106.		36,630.	5,476.
b	358,373.		367,104.	-8,731.
c	50,565.		50,302.	263.
d	611,287.		591,930.	19,357.
e	13,196.		13,456.	-260.
f	134,598.		154,735.	-20,137.
g	156,240.		134,375.	21,865.
h	200,584.		200,047.	537.
i	3,955,841.		3,212,378.	743,463.
j	179,281.		9,980.	169,301.
k	19,620.		7,680.	11,940.
l	61,359.		61,229.	130.
m			1,123.	-1,123.
n	9,038.			9,038.
o	21,499.			21,499.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				5,476.
b				-8,731.
c				263.
d				19,357.
e				-260.
f				-20,137.
g				21,865.
h				537.
i				743,463.
j				169,301.
k				11,940.
l				130.
m				-1,123.
n				9,038.
o				21,499.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 } 3

623591
04-01-16

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UST #5443 (SEE ATTACHED)		VARIOUS	12/31/16
b	UST #5443 (SEE ATTACHED)		VARIOUS	12/31/16
c	SALE OF 4 PROSPECT STREET, RIDGEFIELD, CT	P	07/16/01	09/28/16
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	189,045.		188,200.	845.
b	347,355.		371,311.	-23,956.
c	545,000.		427,138.	117,862.
d	1,312.			1,312.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				845.
b				-23,956.
c				117,862.
d				1,312.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	1,068,681.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-01-16

Custom Capital Gains Report

From 01-01-2016 to 12-31-2016

2515443 - IM PERRIN FAMILY FDN - SEI-THY

ST Basis	ST Proceeds	ST Gain/Loss
(188 200 40)	189 044 52	844 12
LT Basis	LT Proceeds	LT Gain/Loss
(371 311 22)	347 355 05	(23,956 17)

Asset Description 1	Acquisition Date	Date Sold	Units Sold	Cusip	Fed Tax Cost	Sale Proceeds	Realized Gain(Loss)-ST	Realized Gain(Loss)-LT
ACCESS MIDSTREAM PARTI	10-18-2013	04-27-2016	(3 000 00)	00434NAA3	(2 986 89)	2 749 50	0 00	(237 39)
ACCESS MIDSTREAM PARTI	11-01-2013	04-27-2016	(2 000 00)	00434NAA3	(1 995 00)	1 833 00	0 00	(162 00)
AES CORP	11-17-2014	04-28-2016	(1 000 00)	00130HBN4	(1 165 00)	1,158 75	0 00	(6 25)
AES CORP	11-14-2014	04-28-2016	(1 000 00)	00130HBN4	(1 166 25)	1,158 75	0 00	(7 50)
AES CORP	11-13-2014	04-28-2016	(1 000 00)	00130HBN4	(1 167 50)	1,158 75	0 00	(8 75)
AES CORP	11-07-2014	04-28-2016	(1 000 00)	00130HBN4	(1 167 50)	1,158 75	0 00	(8 75)
ALLY FINL INC	05-10-2013	04-27-2016	(4 000 00)	02005NAE0	(4 980 00)	4 535 00	0 00	(445 00)
ALLY FINL INC	01-12-2015	04-27-2016	(3 000 00)	02005NAV2	(3 075 00)	3 146 25	0 00	71 25
ALLY FINL INC	01-22-2015	04-27-2016	(2 000 00)	02005NAV2	(2 065 00)	2 097 50	0 00	32 50
ALLY FINL INC	01-20-2015	04-27-2016	(2 000 00)	02005NAE0	(2 387 50)	2 267 50	0 00	(120 00)
ANTERO RES FIN CORP UNS	08-25-2014	04-27-2016	(2 000 00)	03674PAL7	(2 072 50)	1 940 20	0 00	(132 30)
ANTERO RES FIN CORP UNS	12-22-2014	04-27-2016	(4 000 00)	03674PAL7	(3 880 40)	3 880 40	0 00	0 00
ANTERO RES FIN CORP UNS	12-29-2014	04-27-2016	(2 000 00)	03674PAL7	(1 950 00)	1 940 20	0 00	(9 80)
ANTERO RES FIN CORP UNS	12-24-2014	04-27-2016	(2 000 00)	03674PAL7	(1 950 00)	1 940 20	0 00	(9 80)
AUTONATION INC	05-09-2013	04-28-2016	(9 000 00)	05329WAK8	(10 035 00)	9 737 10	0 00	(297 90)
AVIS BUDGET CAR RENT LL	06-16-2015	04-27-2016	(2 000 00)	053773AV9	(1 995 00)	1,925 00	(70 00)	0 00
AVIS BUDGET CAR RENT LL	07-06-2015	04-27-2016	(3 000 00)	053773AV9	(2 985 00)	2 887 50	(97 50)	0 00
BALL CORP	01-20-2016	04-28-2016	(4 000 00)	058498AU0	(4 085 00)	4 160 00	75 00	0 00
BALL CORP	10-30-2013	01-13-2016	(5 000 00)	058498AR7	(5 075 00)	5 087 50	0 00	12 50
BERRY PLASTICS CORP 2NI	08-25-2015	04-27-2016	(2 000 00)	085790AY9	(1 878 83)	2 025 00	146 17	0 00
BERRY PLASTICS CORP 2NI	08-24-2015	04-27-2016	(3 000 00)	085790AY9	(2 769 20)	3 037 50	268 30	0 00
BOYD GAMING CORP UNSE(	06-22-2015	04-28-2016	(4 000 00)	103304BK6	(4 120 00)	4 178 04	58 04	0 00
CALPINE CORP SR	12-17-2014	04-28-2016	(3 000 00)	131347CF1	(2 928 75)	3 007 50	0 00	78 75
CALPINE CORP SR	12-18-2014	04-28-2016	(2 000 00)	131347CF1	(2 010 00)	2 005 00	0 00	(5 00)
CBRE SVCS INC	05-03-2013	04-27-2016	(6 000 00)	12505BAA8	(6 195 00)	6,165 00	0 00	(30 00)
CBRE SVCS INC	12-12-2013	04-27-2016	(2 000 00)	12505BAA8	(1 940 80)	2 055 00	0 00	114 20
CCO HLDGS LLC/CCO HLDG	11-26-2013	04-28-2016	(3 000 00)	1248EPBE2	(2 871 50)	3,150 00	0 00	278 50
CCO HLDGS LLC/CCO HLDG	12-10-2013	04-28-2016	(5 000 00)	1248EPAU7	(5 175 00)	5,165 00	0 00	(10 00)
CCO HLDGS LLC/CCO HLDG	05-08-2013	04-28-2016	(3 000 00)	1248EPAU7	(3 300 00)	3,099 00	0 00	(201 00)
CCO HLDGS LLC/CCO HLDG	05-08-2013	01-05-2016	(2 000 00)	1248EPAU7	(2 200 00)	2 073 80	0 00	(126 20)
CCO HLDGS LLC/CCO HLDG	11-25-2013	02-17-2016	(2 000 00)	1248EPBE2	(1 912 68)	2 015 00	0 00	102 32
CDW LLC / CDW FIN CORP U	02-16-2016	04-27-2016	(2 000 00)	12513GAZ2	(2 075 00)	2 131 02	56 02	0 00
CDW LLC / CDW FIN CORP U	02-17-2016	04-27-2016	(2 000 00)	12513GAZ2	(2 075 00)	2 131 02	56 02	0 00
CELANESE US HLDGS LLC	09-22-2015	04-27-2016	(1 000 00)	15089QAC8	(1 050 00)	1 090 00	40 00	0 00
CELANESE US HLDGS LLC	09-23-2015	04-27-2016	(1 000 00)	15089QAC8	(1 042 50)	1 090 00	47 50	0 00
CELANESE US HLDGS LLC	09-22-2015	04-27-2016	(1 000 00)	15089QAC8	(1 047 50)	1 090 00	42 50	0 00
CELANESE US HLDGS LLC	09-03-2015	04-27-2016	(1 000 00)	15089QAC8	(1 047 50)	1 090 00	42 50	0 00
CENTENE CORP DEL	01-06-2015	04-27-2016	(4 000 00)	15135BAC5	(4 240 00)	4 140 00	0 00	(100 00)
CENTENE CORP DEL UNSE(	03-15-2016	04-29-2016	(3 000 00)	15135BAD3	(3 060 00)	3 090 00	30 00	0 00
CHESAPEAKE ENERGY COR	09-09-2015	02-11-2016	(3 000 00)	165167CG0	(2 436 00)	375 00	(2 061 00)	0 00
CHESAPEAKE ENERGY COR	05-14-2013	02-11-2016	(1 000 00)	165167CG0	(1 108 75)	125 00	0 00	(983 75)
CHESAPEAKE ENERGY COR	05-14-2013	02-10-2016	(1 000 00)	165167CG0	(1 108 75)	135 00	0 00	(973 75)
CHESAPEAKE ENERGY COR	05-14-2013	02-08-2016	(1 000 00)	165167CG0	(1 108 75)	170 00	0 00	(938 75)
CHS / CMNTY HEALTH SYS I	05-17-2013	04-28-2016	(2 000 00)	12543DAQ3	(2 265 00)	1 942 50	0 00	(322 50)
CHS / CMNTY HEALTH SYS I	05-23-2013	04-28-2016	(3 000 00)	12543DAQ3	(3 348 75)	2 913 75	0 00	(435 00)
CHS/CMNTY HEALTH SYS IN	02-16-2016	04-28-2016	(2 000 00)	12543DAR1	(1 981 49)	2 031 00	49 51	0 00
CINEMARK USA INC UNSECI	08-26-2014	04-28-2016	(2 000 00)	172441AX5	(2 040 00)	2 067 50	0 00	27 50
CINEMARK USA INC UNSECI	09-25-2014	04-28-2016	(3 000 00)	172441AX5	(2 955 00)	3 101 25	0 00	146 25
CIT GROUP INC NEW	03-08-2016	04-28-2016	(2 000 00)	125581GL6	(2 070 00)	2 070 00	0 00	0 00
CIT GROUP INC NEW	03-03-2016	04-28-2016	(2 000 00)	125581GL6	(2 075 00)	2 070 00	(5 00)	0 00
CIT GROUP INC NEW	06-04-2014	01-27-2016	(2 000 00)	125581GQ5	(2 070 00)	2 030 00	0 00	(40 00)
CIT GROUP INC NEW	03-31-2014	03-17-2016	(2 000 00)	125581GQ5	(2 067 50)	2 035 00	0 00	(32 50)
CIT GROUP INC NEW	03-31-2014	03-11-2016	(1 000 00)	125581GQ5	(1 033 75)	1 025 00	0 00	(8 75)
CIT GROUP INC NEW	06-04-2014	03-11-2016	(1 000 00)	125581GQ5	(1 035 00)	1 025 00	0 00	(10 00)
CIT GROUP INC NEW	06-04-2014	01-27-2016	(2 000 00)	125581GQ5	(2 070 00)	2 020 00	0 00	(50 00)
CIT GROUP INC NEW	03-03-2014	01-27-2016	(1 000 00)	125581GQ5	(1 055 00)	1 010 00	0 00	(45 00)

CLEAN HBRS INC	02-11-2016	04-27-2016	(2 000 00)	184496AJ6	(2 000 90)	2,056 26	55 36	0 00
CLEAN HBRS INC	01-21-2016	04-27-2016	(2 000 00)	184496AJ6	(2 035 00)	2 056 26	21 26	0 00
CLEAR CHANNEL WORLDWI	01-27-2016	04-26-2016	(2 000 00)	18451QAM0	(1 842 04)	2 013 80	171 76	0 00
CLEAR CHANNEL WORLDWI	01-29-2016	04-26-2016	(2 000 00)	18451QAM0	(1 863 86)	2 013 80	149 94	0 00
CNH CAP LLC	12-08-2015	04-28-2016	(2 000 00)	12623EAF8	(1 978 96)	2,017 50	38 54	0 00
CONSOL ENERGY INC UNSE	02-08-2016	04-27-2016	(3 000 00)	20854PAL3	(1 966 42)	2 460 00	493 58	0 00
CONSTELLATION BRANDS II	11-06-2015	04-28-2016	(2 000 00)	21036PAH1	(2 235 00)	2 280 00	45 00	0 00
CONSTELLATION BRANDS II	11-09-2015	04-28-2016	(2 000 00)	21036PAH1	(2 223 60)	2,280 00	56 40	0 00
CONTINENTAL RES INC	11-18-2015	04-28-2016	(3 000 00)	212015AH4	(2 715 71)	2 797 50	81 79	0 00
CONTINENTAL RES INC	11-17-2015	04-28-2016	(2 000 00)	212015AH4	(1 808 16)	1 865 00	56 84	0 00
CROWN AMERS LLC/CROWI	05-03-2013	02-28-2016	(5 000 00)	22818VAB3	(5 587 50)	5,156 25	0 00	(431 25)
CROWN CASTLE INTL CORP	10-07-2015	04-27-2016	(2 000 00)	228227BE3	(2 095 00)	2 168 76	73 76	0 00
CROWN CASTLE INTL CORP	11-06-2014	04-27-2016	(2 000 00)	228227BE3	(2 032 50)	2 168 76	0 00	136 26
CSC HLDGS INC	05-08-2013	04-28-2016	(3 000 00)	126304AK0	(3 577 50)	3,240 60	0 00	(336 90)
CSC HLDGS INC	05-17-2013	04-28-2016	(2 000 00)	126304AK0	(2 367 50)	2 160 40	0 00	(207 10)
D R HORTON INC	03-04-2015	04-28-2016	(5 000 00)	23331ABK4	(5 062 50)	5 180 00	0 00	117 50
DAVITA HEALTHCARE PARTI	08-03-2015	04-27-2016	(5 000 00)	23918KAQ1	(5 037 50)	5 155 00	117 50	0 00
DAVITA INC	06-19-2014	04-27-2016	(5 000 00)	23918KAP3	(5 368 75)	5,265 00	0 00	(103 75)
DIAMONDBACK ENERGY INC	02-17-2016	04-29-2016	(2 000 00)	25278XAB5	(1 970 85)	2 125 00	154 15	0 00
DISH DBS CORP	05-03-2013	01-05-2016	(2 000 00)	25470XAJ4	(2 067 50)	1 847 50	0 00	(220 00)
DISH DBS CORP	05-03-2013	04-27-2016	(10 000 00)	25470XAJ4	(10 337 50)	9 705 10	0 00	(632 40)
DISH DBS CORP	02-10-2016	04-28-2016	(1 000 00)	25470XAB1	(1 062 50)	1 106 06	43 56	0 00
DISH DBS CORP	11-24-2015	04-28-2016	(1 000 00)	25470XAB1	(1 075 00)	1 106 06	31 06	0 00
DISH DBS CORP	01-25-2016	04-28-2016	(2 000 00)	25470XAB1	(2 155 00)	2 212 12	57 12	0 00
DYNEGY INC NEW DEL	06-09-2014	04-27-2016	(5 000 00)	26817RAB4	(5 000 00)	4 501 00	0 00	(499 00)
EL PASO CORP	05-14-2013	04-29-2016	(5 000 00)	28336LBQ1	(5 812 50)	5 226 75	0 00	(585 75)
ENERGY TRANSFER EQUITY	05-09-2013	02-16-2016	(3 000 00)	29273VAC4	(3 562 50)	2 392 50	0 00	(1 170 00)
ENERGY TRANSFER EQUITY	05-31-2013	02-17-2016	(2 000 00)	29273VAC4	(2 303 00)	1 615 00	0 00	(688 00)
ENERGY TRANSFER EQUITY	05-09-2013	02-10-2016	(3 000 00)	29273VAC4	(3 562 50)	2 370 00	0 00	(1 192 50)
EQUINIX INC	02-12-2015	04-28-2016	(2 000 00)	29444UAP1	(2 090 00)	2 104 26	0 00	14 26
EQUINIX INC	02-11-2015	04-28-2016	(3 000 00)	29444UAP1	(3 127 50)	3 156 39	0 00	28 89
GENERAL MTRS FINL CO IN(	06-26-2014	04-28-2016	(5 000 00)	37045XAJ5	(5 087 50)	5,118 85	0 00	31 35
GENERAL MTRS FINL CO IN(	03-17-2014	04-28-2016	(3 000 00)	37045XAL0	(2 943 75)	3 092 31	0 00	148 56
GENERAL MTRS FINL CO IN(	03-13-2014	04-28-2016	(2 000 00)	37045XAL0	(1 975 00)	2,061 54	0 00	86 54
GENERAL MTRS FINL CO IN(	11-05-2015	04-28-2016	(4 000 00)	37045XAJ5	(4 046 88)	4 095 08	48 20	0 00
GENON ESCROW CORP UNS	05-31-2013	02-03-2016	(3 000 00)	37244DAF6	(3 412 50)	1 755 00	0 00	(1 657 50)
GLP CAP L P / GLP FING II IN	11-20-2014	04-28-2016	(2 000 00)	361841AD1	(2 050 00)	2 106 76	0 00	56 76
GLP CAP L P / GLP FING II IN	11-21-2014	04-28-2016	(2 000 00)	361841AD1	(2 065 00)	2 106 76	0 00	41 76
GOODYEAR TIRE & RUBR C(	09-24-2015	04-27-2016	(2 000 00)	382550BC4	(2 135 00)	2 160 00	25 00	0 00
GOODYEAR TIRE & RUBR C(	09-29-2015	04-27-2016	(1 000 00)	382550BC4	(1 060 00)	1 080 00	20 00	0 00
GOODYEAR TIRE & RUBR C(	09-25-2015	04-27-2016	(1 000 00)	382550BC4	(1 067 50)	1 080 00	12 50	0 00
GRAPHIC PACKAGING INTL I	11-12-2013	04-27-2016	(3 000 00)	38869PAK0	(2 962 50)	3 132 00	0 00	169 50
GRAPHIC PACKAGING INTL I	11-01-2013	04-27-2016	(2 000 00)	38869PAK0	(1 985 00)	2 088 00	0 00	103 00
HCA INC	05-20-2014	04-27-2016	(2 000 00)	404119BM0	(2 027 50)	2 060 00	0 00	32 50
HCA INC	04-02-2014	04-27-2016	(2 000 00)	404119BN8	(1 997 50)	2 076 40	0 00	78 90
HCA INC	04-04-2014	04-27-2016	(3 000 00)	404119BN8	(3 007 50)	3 114 60	0 00	107 10
HCA INC	05-15-2014	04-27-2016	(3 000 00)	404119BM0	(3 041 25)	3 090 00	0 00	48 75
HCA INC	04-04-2014	01-22-2016	(3 000 00)	404119BN8	(3 007 50)	3 007 50	0 00	0 00
HCA INC	04-04-2014	01-05-2016	(2 000 00)	404119BN8	(2 007 50)	2 005 00	0 00	(2 50)
HERTZ CORP	05-13-2013	04-28-2016	(5 000 00)	428040CJ6	(5 512 50)	5 075 00	0 00	(437 50)
HERTZ CORP UNSECD	06-08-2015	04-26-2016	(3 000 00)	428040CN7	(3 082 50)	2 996 25	(86 25)	0 00
HERTZ CORP UNSECD	06-02-2015	04-20-2016	(2 000 00)	428040CN7	(2 070 00)	2 000 00	(70 00)	0 00
ICAHN ENTERPRISES L P / I(	02-26-2014	04-28-2016	(1 000 00)	451102AX5	(1 062 50)	997 50	0 00	(65 00)
ICAHN ENTERPRISES L P / I(	02-25-2014	04-28-2016	(2 000 00)	451102AX5	(2 117 50)	1 995 00	0 00	(122 50)
ICAHN ENTERPRISES L P / I(	03-31-2014	04-28-2016	(2 000 00)	451102AX5	(2 150 00)	1 995 00	0 00	(155 00)
INTELSAT JACKSON HLDGS	06-05-2013	02-24-2016	(3 000 00)	45824TAE5	(3 210 00)	2 085 00	0 00	(1 125 00)
INTELSAT JACKSON HLDGS	06-05-2013	03-16-2016	(3 000 00)	45824TAE5	(3 210 00)	2,297 70	0 00	(912 30)
INTELSAT JACKSON HLDGS	06-05-2013	03-17-2016	(2 000 00)	45824TAE5	(2 140 00)	1,521 58	0 00	(618 42)
INTELSAT JACKSON HLDGS	05-24-2013	02-23-2016	(2 000 00)	45824TAE5	(2 175 00)	1 345 00	0 00	(830 00)
INTERNATIONAL LEASE FIN	05-08-2013	04-27-2016	(2 000 00)	459745GF6	(2 520 00)	2 347 50	0 00	(172 50)
INTERNATIONAL LEASE FIN	05-16-2013	04-27-2016	(6 000 00)	459745GF6	(7 620 00)	7 042 50	0 00	(577 50)
IRON MOUNTAIN INC	05-16-2013	04-29-2016	(3 000 00)	46284PAP9	(3 135 00)	3 092 19	0 00	(42 81)
IRON MOUNTAIN INC	05-07-2013	04-29-2016	(2 000 00)	46284PAP9	(2 105 00)	2,061 46	0 00	(43 54)
ISTAR FINL INC	01-05-2016	04-28-2016	(3 000 00)	45031UBX8	(2 955 00)	2 953 53	(1 47)	0 00

ISTAR FINL INC	01-21-2016	04-28-2016	(2 000 00)	45031UBU4	(1 893 11)	1 952 50	59 39	0 00
ISTAR INC UNSECD	03-28-2016	04-29-2016	(2 000 00)	45031UBY6	(1 962 50)	1 960 00	(2 50)	0 00
ISTAR INC UNSECD	04-14-2016	04-29-2016	(3 000 00)	45031UBY6	(2 962 50)	2 940 00	(22 50)	0 00
KINDRED HEALTHCARE INC	04-21-2016	04-28-2016	(2 000 00)	494580AF0	(1 970 00)	1 985 40	15 40	0 00
KINETIC CONCEPTS INC / K(	05-21-2013	03-08-2016	(2 000 00)	49461BAB0	(2 159 09)	1 962 50	0 00	(196 59)
KINETIC CONCEPTS INC / K(	06-11-2013	04-14-2016	(2 000 00)	49461BAB0	(2 110 98)	2 000 00	0 00	(110 98)
KINETIC CONCEPTS INC / K(	05-21-2013	04-14-2016	(1 000 00)	49461BAB0	(1 079 55)	1 000 00	0 00	(79 55)
LAMAR MEDIA CORP	02-26-2014	04-29-2016	(2 000 00)	513075BE0	(1 995 00)	2 086 00	0 00	91 00
LAMAR MEDIA CORP	03-24-2014	04-29-2016	(3 000 00)	513075BE0	(3 015 00)	3 129 00	0 00	114 00
LEAR CORP	03-13-2015	04-28-2016	(3 000 00)	521865AX3	(3 067 50)	3 198 75	0 00	131 25
LEAR CORP	03-11-2015	04-28-2016	(2 000 00)	521865AX3	(2 045 00)	2 132 50	0 00	87 50
LENNAR CORP	11-09-2015	04-28-2016	(2 000 00)	526057BT0	(2 065 00)	2 083 00	18 00	0 00
LENNAR CORP	11-05-2015	04-28-2016	(1 000 00)	526057BT0	(1 037 50)	1 041 50	4 00	0 00
LENNAR CORP	06-09-2015	04-27-2016	(2 000 00)	526057BV5	(1 955 00)	2 007 50	52 50	0 00
LENNAR CORP	06-02-2015	04-27-2016	(3 000 00)	526057BV5	(2 955 00)	3 011 25	56 25	0 00
LEUCADIA NATL CORP	10-06-2015	04-26-2016	(4 000 00)	527288BE3	(4 012 24)	3 950 00	(62 24)	0 00
LEVEL 3 COMMUNICATIONS	02-10-2015	04-28-2016	(5 000 00)	52729NBX7	(5 143 75)	5 166 80	0 00	23 05
LEVEL 3 FING INC UNSECD (	06-16-2014	04-21-2016	(2 000 00)	527298AW3	(2 195 00)	2 082 76	0 00	(112 24)
LEVEL 3 FING INC UNSECD (	06-04-2014	04-21-2016	(1 000 00)	527298AW3	(1 087 50)	1 041 38	0 00	(46 12)
LEVEL 3 FING INC UNSECD (	06-03-2014	04-21-2016	(2 000 00)	527298AW3	(2 175 00)	2,082 76	0 00	(92 24)
LIMITED BRANDS INC	07-07-2014	04-13-2016	(2 000 00)	532716AT4	(2 280 00)	2 250 00	0 00	(30 00)
LIMITED BRANDS INC	06-24-2014	04-13-2016	(2 000 00)	532716AT4	(2 280 00)	2,250 00	0 00	(30 00)
MARKWEST ENERGY PARTN	10-03-2013	04-26-2016	(2 000 00)	570506AQ8	(2 030 00)	1 930 00	0 00	(100 00)
MARKWEST ENERGY PARTN	11-12-2013	04-26-2016	(2 000 00)	570506AQ8	(2 055 00)	1 930 00	0 00	(125 00)
MARKWEST ENERGY PARTN	11-05-2013	04-26-2016	(2 000 00)	570506AQ8	(2 077 50)	1,930 00	0 00	(147 50)
MARKWEST ENERGY PARTN	10-30-2013	04-26-2016	(3 000 00)	570506AQ8	(3 135 00)	2 895 00	0 00	(240 00)
MASCO CORP	05-23-2013	04-27-2016	(5 000 00)	574599BH8	(5 650 00)	5 606 25	0 00	(43 75)
MGM RESORTS INTL	07-23-2014	04-27-2016	(5 000 00)	552953BY6	(5 506 25)	5 379 40	0 00	(126 85)
MICRON TECHNOLOGY INC	02-23-2015	04-05-2016	(2 000 00)	595112BA0	(2 095 00)	1 710 00	0 00	(385 00)
MICRON TECHNOLOGY INC	03-05-2015	04-05-2016	(1 000 00)	595112BA0	(1 061 25)	855 00	0 00	(206 25)
MICRON TECHNOLOGY INC	03-05-2015	04-01-2016	(2 000 00)	595112BA0	(2 122 50)	1 710 00	0 00	(412 50)
NATIONSTAR MTG LLC / NAT	04-15-2014	04-28-2016	(5 000 00)	63860UAK6	(4 725 00)	4 400 00	0 00	(325 00)
NATIONSTAR MTG LLC/NATI	03-16-2016	04-28-2016	(2 000 00)	63860UAM2	(1 978 92)	1 952 50	(26 42)	0 00
NATIONSTAR MTG LLC/NATI	12-08-2015	04-26-2016	(2 000 00)	63860UAE0	(2 075 00)	2 070 32	(4 68)	0 00
NATIONSTAR MTG LLC/NATI	02-04-2016	04-26-2016	(2 000 00)	63860UAE0	(2 077 50)	2 070 32	(7 18)	0 00
NRG ENERGY INC	01-24-2014	04-27-2016	(2 000 00)	629377BU5	(2 045 00)	1,951 30	0 00	(93 70)
NRG ENERGY INC	01-15-2014	04-27-2016	(3 000 00)	629377BU5	(3 097 50)	2 926 95	0 00	(170 55)
POST HLDGS INC SR UNSEC	09-27-2013	04-27-2016	(5 000 00)	737446AB0	(5 300 00)	5 275 00	0 00	(25 00)
POST HLDGS INC SR UNSEC	05-21-2013	01-14-2016	(2 000 00)	737446AB0	(2 265 00)	2 090 00	0 00	(175 00)
POST HLDGS INC SR UNSEC	05-21-2013	01-13-2016	(2 000 00)	737446AB0	(2 265 00)	2,102 50	0 00	(162 50)
PULTEGROUP INC	04-05-2016	04-29-2016	(2 000 00)	745867AW1	(2 057 50)	2 060 00	2 50	0 00
PULTEGROUP INC	04-11-2016	04-29-2016	(2 000 00)	745867AW1	(2 067 50)	2,060 00	(7 50)	0 00
PVH CORP SR UNSECD NT	12-07-2015	04-28-2016	(2 000 00)	693656AA8	(1 985 00)	2 065 00	80 00	0 00
PVH CORP SR UNSECD NT	12-10-2015	04-28-2016	(2 000 00)	693656AA8	(1 980 00)	2 065 00	85 00	0 00
RADIAN GROUP INC	04-22-2016	04-28-2016	(2 000 00)	750236AR2	(2 080 00)	2 070 00	(10 00)	0 00
RADIAN GROUP INC	03-24-2016	04-26-2016	(3 000 00)	750236AT8	(3 086 25)	3 148 53	62 28	0 00
RADIAN GROUP INC	03-22-2016	04-26-2016	(2 000 00)	750236AT8	(2 065 00)	2 099 02	34 02	0 00
RANGE RES CORP	08-19-2015	04-28-2016	(1 000 00)	75281AAQ2	(909 57)	923 75	14 18	0 00
RANGE RES CORP	02-19-2014	04-28-2016	(2 000 00)	75281AAQ2	(2 025 00)	1 847 50	0 00	(177 50)
RANGE RES CORP	02-19-2014	01-22-2016	(2 000 00)	75281AAQ2	(2 025 00)	1 510 00	0 00	(515 00)
RITE AID CORP UNSECD SR	09-01-2015	04-27-2016	(4 000 00)	767754CG7	(4 250 00)	4 220 00	(30 00)	0 00
ROYAL CARIBBEAN CRUISE	02-02-2015	04-27-2016	(2 000 00)	780153AU6	(2 145 00)	2 150 00	0 00	5 00
ROYAL CARIBBEAN CRUISE	01-30-2015	04-27-2016	(3 000 00)	780153AU6	(3 217 50)	3 225 00	0 00	7 50
SABINE PASS LIQUEFACTIO	03-04-2016	04-27-2016	(3 000 00)	785592AM8	(2 848 14)	2 913 75	65 61	0 00
SABINE PASS LIQUEFACTIO	03-04-2016	04-27-2016	(2 000 00)	785592AM8	(1 896 29)	1 942 50	46 21	0 00
SALLY HLDGS LLC / SALLY C	05-14-2013	04-27-2016	(5 000 00)	79546VAJ5	(5 431 25)	5,275 00	0 00	(156 25)
SBA COMMUNICATIONS COI	11-23-2015	04-27-2016	(4 000 00)	78388JAT3	(3 990 00)	4 033 52	43 52	0 00
SLM CORP	12-30-2015	04-28-2016	(2 000 00)	78442FER5	(1 896 31)	2 002 50	106 19	0 00
SLM CORP	12-14-2015	04-28-2016	(2 000 00)	78442FER5	(1 911 02)	2 002 50	91 48	0 00
SOUTHWESTERN ENERGY (	01-19-2016	04-28-2016	(3 000 00)	845467AK5	(1 866 20)	2 663 73	797 53	0 00
SOUTHWESTERN ENERGY (	01-15-2016	04-28-2016	(2 000 00)	845467AK5	(1 248 04)	1 775 82	527 78	0 00
SOUTHWESTERN ENERGY (	02-25-2016	04-04-2016	(2 000 00)	845467AL3	(1 217 95)	1,335 00	117 05	0 00
SOUTHWESTERN ENERGY (	02-25-2016	04-04-2016	(2 000 00)	845467AL3	(1 220 44)	1,345 00	124 56	0 00
SPRINT CAP CORP	04-07-2014	04-26-2016	(3 000 00)	852060AD4	(2 936 25)	2,188 14	0 00	(748 11)

SPRINT CAP CORP	04-07-2014	04-13-2016	(1 000 00) 852060AD4	(978 75)	740 00	0 00	(238 75)
SPRINT CAP CORP	04-07-2014	04-14-2016	(1 000 00) 852060AD4	(978 75)	750 00	0 00	(228 75)
SPRINT NEXTEL CORP	04-09-2014	04-27-2016	(5 000 00) 852061AS9	(5 112 50)	3,662 50	0 00	(1 450 00)
SPRINT NEXTEL CORP	09-16-2015	04-28-2016	(2 000 00) 852061AM2	(2 215 00)	1 879 80	(335 20)	0 00
STANDARD PAC CORP NEW	02-10-2016	04-28-2016	(2 000 00) 85375CAX9	(2 202 50)	2 230 00	27 50	0 00
STEEL DYNAMICS INC	09-14-2015	04-28-2016	(3 000 00) 858119AZ3	(2 923 02)	3 052 50	129 48	0 00
STEEL DYNAMICS INC	08-26-2015	04-28-2016	(2 000 00) 858119AZ3	(1 965 00)	2 035 00	70 00	0 00
T-MOBILE USA INC SR UNSE	02-03-2014	04-27-2016	(2 000 00) 87264AAH8	(2 050 00)	2 110 00	0 00	60 00
T-MOBILE USA INC SR UNSE	02-27-2014	04-27-2016	(3 000 00) 87264AAH8	(3 176 25)	3 165 00	0 00	(11 25)
T-MOBILE USA INC UNSECD	10-15-2013	04-27-2016	(5 000 00) 87264AAF2	(5 218 75)	5 156 25	0 00	(62 50)
TARGA RES PARTNERS LP/1	07-29-2014	04-28-2016	(2 000 00) 87612BAM4	(2 060 00)	1 927 50	0 00	(132 50)
TARGA RES PARTNERS LP/1	09-04-2014	04-28-2016	(1 000 00) 87612BAM4	(1 057 50)	963 75	0 00	(93 75)
TARGA RES PARTNERS LP/1	09-04-2014	02-22-2016	(2 000 00) 87612BAM4	(2 115 00)	1 520 00	0 00	(595 00)
TENET HEALTHCARE CORP	07-02-2015	04-28-2016	(3 000 00) 88033GCE8	(3 300 00)	3,161 25	(138 75)	0 00
TENET HEALTHCARE CORP	07-07-2015	04-28-2016	(2 000 00) 88033GCE8	(2 202 50)	2 107 50	(95 00)	0 00
TENET HEALTHCARE CORP	10-16-2013	04-27-2016	(3 000 00) 88033GBU3	(2 970 08)	3 090 00	0 00	119 92
TENET HEALTHCARE CORP	10-22-2013	04-27-2016	(2 000 00) 88033GBU3	(2 005 00)	2 060 00	0 00	55 00
TESORO LOGISTICS LP / TE	11-10-2015	04-28-2016	(2 000 00) 88160QAD5	(2 075 00)	2 040 00	(35 00)	0 00
TESORO LOGISTICS LP / TE	11-03-2015	04-28-2016	(2 000 00) 88160QAD5	(2 090 00)	2 040 00	(50 00)	0 00
TOYS R US PPTY CO II LLC	04-24-2015	02-02-2016	(2 000 00) 89236MAB6	(2 042 50)	1 842 50	(200 00)	0 00
TRANSDIGM INC	08-07-2014	04-27-2016	(5 000 00) 893647AR8	(4 987 50)	5 081 25	0 00	93 75
UNITED RENTALS NORTH AI	04-25-2014	02-17-2016	(3 000 00) 911365BB9	(3 127 50)	2,805 00	0 00	(322 50)
UNITED RENTALS NORTH AI	04-25-2014	04-28-2016	(2 000 00) 911365BB9	(2 085 00)	2 030 00	0 00	(55 00)
UNITED RENTALS NORTH AI	02-11-2016	04-28-2016	(2 000 00) 911365BA1	(2 035 00)	2 085 00	50 00	0 00
VERISIGN INC UNSECD SR N	12-07-2015	04-28-2016	(2 000 00) 92343EAF9	(1 992 50)	2,052 00	59 50	0 00
WHITING PETE CORP	05-19-2015	04-28-2016	(3 000 00) 966387AG7	(3 007 50)	2 610 00	(397 50)	0 00
WHITING PETE CORP	05-12-2015	04-28-2016	(2 000 00) 966387AG7	(1 995 00)	1 740 00	(255 00)	0 00
WILLIAMS COS INC	10-06-2015	03-16-2016	(2 000 00) 969457BV1	(1 410 00)	1 290 00	(120 00)	0 00
WILLIAMS COS INC	10-07-2015	03-16-2016	(2 000 00) 969457BV1	(1 460 00)	1,290 00	(170 00)	0 00
WILLIAMS COS INC	11-04-2015	03-16-2016	(2 000 00) 969457BV1	(1 490 00)	1 290 00	(200 00)	0 00
				(559 511 62)	536 399 57	844 12	(23 956 17)

Run Date 04-28-2017 10 35 AM EDT

Standard Realized Capital Gains Report

From 01-01-2016 to 12-31-2016

5874455 - IM PERRIN FAMILY FOUNDATION

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	ShortTerm Gain/(Loss)	LongTerm Gain/(Loss)
ABBOTT LABS	02-26-2008	12-13-2016	(2 000 00)	(53 604 89)	78 983 87	0 00	25 378 98
ABBVIE INC	02-26-2008	12-13-2016	(2 000 00)	(58 129 91)	123 499 90	0 00	65 369 99
ALPHABET INC	07-13-2010	12-13-2016	(171 00)	(41 838 51)	137,191 89	0 00	95 353 38
	07-13-2010	12-13-2016	(170 00)	(41 841 69)	139 739 50	0 00	97 897 81
ANTHEM INC	02-26-2008	12-13-2016	(1 000 00)	(74 675 90)	146 785 79	0 00	72 109 89
APPLE INC	01-06-2011	09-16-2016	(2 350 00)	(112 122 16)	269 552 99	0 00	157 430 83
	01-06-2011	12-13-2016	(1 000 00)	(47 711 56)	115 511 98	0 00	67 800 42
CALIFORNIA RESOURCES CORP	05-21-2012	04-20-2016	(0 24)	(0 32)	0 44	0 00	0 12
	05-21-2012	06-09-2016	(18 00)	(246 68)	324 87	0 00	78 19
	05-21-2012	06-24-2016	(0 70)	(9 59)	10 62	0 00	1 03
CHICAGO BRDG & IRON CO N V	09-20-2013	01-12-2016	(1 231 00)	(81 768 56)	43 670 27	0 00	(38 098 29)
	09-23-2013	01-12-2016	(459 00)	(30 406 96)	16 283 23	0 00	(14 123 73)
CLASS ACTION PROCEEDS FOR		07-11-2016	0 00	0 00	287 93	0 00	287 93
CLOROX CO	03-17-2009	01-12-2016	(2 000 00)	(102 455 00)	255 472 89	0 00	153 017 89
COLUMBIA DIVIDEND INCOME FUND	06-19-2013	12-13-2016	(7 564 30)	(129 198 19)	150 000 00	0 00	20 801 81
		12-14-2016	0 00	0 00	5 129 43	0 00	5 129 43
CONOCOPHILLIPS	02-26-2008	09-16-2016	(2 000 00)	(129 906 52)	79 183 27	0 00	(50 723 25)
CONSOLIDATED EDISON INC	05-15-2009	12-13-2016	(2 000 00)	(70 230 00)	146 674 20	0 00	76 444 20
FRANKLIN RES INC	03-20-2008	12-13-2016	(4 000 00)	(127 439 87)	159,332 92	0 00	31 893 05
FREEPORT-MCMORAN INC	06-28-2013	01-12-2016	(3 810 00)	(104 956 36)	14 250 27	0 00	(90 706 09)
HALLIBURTON CO	08-21-2012	01-12-2016	(3 088 00)	(108 749 17)	95 848 52	0 00	(12 900 65)
	08-22-2012	01-12-2016	(1 647 00)	(58 018 70)	51 121 28	0 00	(6 897 42)
	12-03-2014	01-12-2016	(1 210 00)	(50 097 39)	37 557 22	0 00	(12 540 17)
HIGHWOODS PPTYS INC	08-12-2015	02-23-2016	(5 000 00)	(207 965 50)	220 121 70	12 156 20	0 00
INVESCO INTL GROWTH FUND	09-04-2014	01-12-2016	(4 890 56)	(175 620 00)	143 342 31	0 00	(32 277 69)
JOHNSON & JOHNSON	01-01-1951	12-13-2016	(500 00)	(29 277 00)	57 814 23	0 00	28,537 23

ST Basis	ST Proceeds	ST Gain/ Loss
(591 929 91)	611,287 27	19 357 36

LT Basis	LT Proceeds	LTGain/ Loss
(3 212 378 13)	3 955,840 60	743 462 47

LIBERTY GLOBAL PLC	11-13-2013	01-12-2016	(3 000 00)	(107 841 23)	103 134 50	0 00	(4 706 73)
MACYS INC	01-12-2016	08-25-2016	(2 500 00)	(94 663 00)	97 796 11	3 133 11	0 00
MICRON TECHNOLOGY INC	06-17-2014	01-12-2016	(2 830 00)	(91 566 63)	35 555 18	0 00	(56 011 45)
PEPSICO INC	01-01-1951	12-13-2016	(1 000 00)	(43 561 00)	105 547 69	0 00	61,986 69
PIMCO FOREIGN BD US$HD INSTL	04-22-2014	01-12-2016	(81 929 82)	(883 203 47)	811 924 53	0 00	(71 278 94)
POWERSHARES EMERGING MARKETS	03-15-2011	12-13-2016	(4 165 00)	(109 507 07)	117,550 81	0 00	8 043 74
PRINCIPAL PFD SECS FUND		12-20-2016	0 00	0 00	4 395 84	0 00	4 395 84
RIO TINTO PLC	11-13-2013	09-16-2016	(2 170 00)	(111 408 23)	64 918 47	0 00	(46 489 76)
SCHWAB CHARLES CORP NEW	03-17-2009	09-16-2016	(5 000 00)	(70 350 00)	153 063 16	0 00	87 713 16
TIMKEN CO	05-21-2012	01-12-2016	(2 110 00)	(75 961 97)	52 518 62	0 00	(23 443 35)
TJX COS INC NEW	01-12-2016	12-13-2016	(500 00)	(34 320 85)	39 105 64	4 784 79	0 00
UNUM GROUP	03-17-2009	01-12-2016	(8 000 00)	(90 673 60)	239 661 98	0 00	148 988 38
VANGUARD MTG BACKED SECS	01-12-2016	12-13-2016	(3 000 00)	(159 072 60)	157 425 46	(1 647 14)	0 00
VANGUARD SHORT TERM BOND	01-12-2016	09-16-2016	(1 200 00)	(95 907 96)	96 838 36	930 40	0 00
Total Capital Gain (Loss)						19 357 36	743 462 47

Run Date 02-14-2017 09 51 AM EST

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	5,475 75	Net Covered Long-Term Gains (Losses)	(20 137 22)	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	169 300 55		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**5,475 75**	**Total Long-Term Gains (Losses)**	**149,163.33**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/30/2015	01/12/2016	60 47	343 48	0 00	409 82	0 00	(66 34)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	09/30/2015	01/12/2016	62 15	352 99	0 00	370 20	0 00	(17 21)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	04/30/2015	01/12/2016	65 57	372 45	0 00	467 33	0 00	(94 88)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	07/31/2015	01/12/2016	66 59	378 23	0 00	435 96	0 00	(57 73)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	08/31/2015	01/12/2016	67 59	383 89	0 00	420 18	0 00	(36 29)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	05/29/2015	01/12/2016	68 63	389 81	0 00	475 39	0 00	(85 58)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	11/30/2015	01/12/2016	70 98	403 16	0 00	428 50	0 00	(25 34)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	12/31/2015	01/12/2016	71 38	405 43	0 00	416 64	0 00	(11 21)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	10/30/2015	01/12/2016	74 88	425 33	0 00	463 30	0 00	(37 97)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	01/30/2015	01/12/2016	124 37	706 41	0 00	898 92	0 00	(192 51)
CISCO SYSTEMS, INC CMN (17275R102)	01/15/2016	12/14/2016	40 00 [9]	1,222 37	0 00	946 63	0 00	275 74
INTEL CORPORATION CMN (458140100)	01/15/2016	12/14/2016	504 00 [9]	18,486 31	0 00	15,064 82	0 00	3,421 49
KANSAS CITY SOUTHERN CMN (485170302)	01/15/2016	12/14/2016	10 00 [9]	856 08	0 00	675 95	0 00	180 13
MICROSOFT CORPORATION CMN (594918104)	01/15/2016	12/14/2016	130 00 [9]	8,189 65	0 00	6,630 19	0 00	1,559 46
TARGET CORPORATION CMN (87612E106)	01/15/2016	12/14/2016	30 00 [9]	2,321 94	0 00	2,101 34	0 00	220 60
VULCAN MATERIALS CO CMN (929160109)	01/15/2016	12/14/2016	10 00 [9]	1,253 47	0 00	838 86	0 00	414 61
WALT DISNEY COMPANY (THE) CMN (254687106)	01/15/2016	12/14/2016	12 00 [9]	1,241 61	0 00	1,126 33	0 00	115 28
WELLS FARGO & CO (NEW) CMN (949746101)	12/13/2016	12/14/2016	80 00 [9]	4,373 50	0 00	4,460 00	0 00	(86 50)
Net Covered Short-Term Gains (Losses)				**42,106.11**	**0.00**	**36,630.36**	**0.00**	**5,475.75**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/30/2014	01/12/2016	30 79	174 89	0 00	262 33	0 00	(87 44)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	07/10/2014	01/12/2016	73 62	418 16	0 00	630 75	0 00	(212 59)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/18/2014	01/12/2016	104 77	595 11	0 00	920 94	0 00	(325 83)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	10/31/2014	01/12/2016	106 51	604 95	0 00	845 65	0 00	(240 70)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	09/30/2014	01/12/2016	106 51	604 98	0 00	843 56	0 00	(238 58)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	11/28/2014	01/12/2016	110 34	626 75	0 00	858 47	0 00	(231 72)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	08/29/2014	01/12/2016	111 21	631 67	0 00	934 15	0 00	(302 48)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	07/31/2014	01/12/2016	112 22	637.42	0 00	943 78	0 00	(306 36)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	12/31/2014	01/12/2016	116 74	663 07	0 00	849 84	0 00	(186 77)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/18/2014	01/12/2016	12,706 68	72,173 95	0 00	107,751 59	0 00	(35,577 64)
ABBVIE INC CMN (00287Y109)	05/09/2014	12/14/2016	80 00 [9]	4,955 09	0 00	4,181 69	0 00	773 40
COCA-COLA COMPANY (THE) CMN (191216100)	08/05/2011	12/14/2016	225 00 [9]	9,420 54	0 00	7,462 94	0 00	1,957 60
CVS HEALTH CORP CMN (126650100)	05/24/2012	12/14/2016	20 00 [9]	1,605 36	0 00	903 17	0 00	702 19
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	06/08/2012	12/14/2016	5 00 [9]	239 69	0 00	85 76	0 00	153 93
LINCOLN NATL CORP INC CMN (534187109)	09/09/2011	12/14/2016	35 00 [9]	2,290 70	0 00	637 90	0 00	1,652 80
MICROSOFT CORPORATION CMN (594918104)	05/09/2014	12/14/2016	270 00 [9]	17,009 28	0 00	10,678 91	0 00	6,330 37
PRUDENTIAL FINANCIAL INC CMN (744320102)	09/09/2011	12/14/2016	40 00 [9]	4,133 51	0 00	1,825 66	0 00	2,307 85
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	05/24/2012	12/14/2016	15 00 [9]	2,416 30	0 00	840 85	0 00	1,575 45
VULCAN MATERIALS CO CMN (929160109)	09/22/2015	12/14/2016	10 00 [9]	1,253 47	0 00	971 68	0 00	281 79
WALT DISNEY COMPANY (THE) CMN (254687106)	05/24/2012	12/14/2016	20 00 [9]	2,069 35	0 00	885 60	0 00	1,183 75
WALT DISNEY COMPANY (THE) CMN (254687106)	05/09/2014	12/14/2016	20 00 [9]	2,069 35	0 00	1,638 78	0 00	430 57
WELLS FARGO & CO (NEW) CMN (949746101)	09/22/2015	12/14/2016	73 00 [9]	3,990 82	0 00	3,705 62	0 00	285 20
WELLS FARGO & CO (NEW) CMN (949746101)	03/06/2015	12/14/2016	110 00 [9]	6,013 57	0 00	6,075 58	0 00	(62 01)
Net Covered Long-Term Gains (Losses)				**134,597.98**	**0.00**	**154,735.20**	**0.00**	**(20,137.22)**

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[9] This position is a gifted security

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)								
CABOT CORP CMN (127055101)	01/01/1997	12/14/2016	6 00 [9]	318 53	0 00	27 49	0 00	291 04
CABOT CORP CMN (127055101)	01/01/1997	12/14/2016	39 00 [9]	2,070 46	0 00	178 72	0 00	1,891 74
CISCO SYSTEMS, INC CMN (17275R102)	01/01/1997	12/14/2016	210 00 [9]	6,417 46	0 00	345 63	0 00	6,071 83
CVS HEALTH CORP CMN (126650100)	01/01/1997	12/14/2016	99 00 [9]	7,946 55	0 00	32 90	0 00	7,913 65
CVS HEALTH CORP CMN (126650100)	01/01/1997	12/14/2016	106 00 [9]	8,508 43	0 00	35 23	0 00	8,473 20
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	07/16/2009	12/14/2016	10 00 [9]	726 58	0 00	330 56	0 00	396 02
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	07/16/2009	12/14/2016	13 00 [9]	944 56	0 00	429 73	0 00	514 83
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	03/03/2009	12/14/2016	14 00 [9]	1,017 22	0 00	328 46	0 00	688 76
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	05/13/2009	12/14/2016	20 00 [9]	1,453 17	0 00	609 87	0 00	843 30
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	07/16/2009	12/14/2016	27 00 [9]	1,961 78	0 00	892 51	0 00	1,069 27
LEIDOS HLDGS INC CMN (525327102)	03/03/2009	12/14/2016	90 00 [9]	4,562 90	0 00	656 19	0 00	3,906 71
LEIDOS HLDGS INC CMN (525327102)	03/03/2009	12/14/2016	98 00 [9]	4,968 49	0 00	715 84	0 00	4,252 65
LEIDOS HLDGS INC CMN (525327102)	03/03/2009	12/14/2016	162 00 [9]	8,213 22	0 00	1,176 03	0 00	7,037 19
LINCOLN ELECTRIC HOLDINGS INC CMN (533900106)	01/01/1997	12/14/2016	101 00 [9]	7,940 80	0 00	139 62	0 00	7,801 18
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	03/03/2009	12/14/2016	13 00 [9]	1,098 86	0 00	164 14	0 00	934 72
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	03/03/2009	12/14/2016	15 00 [9]	1,267 92	0 00	189 39	0 00	1,078 53
MICROSOFT CORPORATION CMN (594918104)	01/01/1997	12/14/2016	130 00 [9]	8,189 65	0 00	169 55	0 00	8,020 10
NORTHROP GRUMMAN CORP CMN (666807102)	01/01/1997	12/14/2016	46 00 [9]	10,669 92	0 00	64 28	0 00	10,605 64
NVIDIA CORP CMN (67066G104)	11/12/2008	12/14/2016	10 00 [9]	924 78	0 00	64 30	0 00	860 48
NVIDIA CORP CMN (67066G104)	11/12/2008	12/14/2016	20 00 [9]	1,849 55	0 00	128 60	0 00	1,720 95
PHILLIPS 66 CMN (718546104)	01/01/1997	12/14/2016	14 00 [9]	1,226 37	0 00	2 50	0 00	1,223 87
PHILLIPS 66 CMN (718546104)	01/01/1997	12/14/2016	180 00 [9]	15,767 65	0 00	32 15	0 00	15,735 50

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)								
PRINCIPAL FINANCIAL GROUP, INC CMN (74251V102)	03/03/2009	12/14/2016	15 00 [9]	886 48	0 00	105 49	0 00	780 99
PRINCIPAL FINANCIAL GROUP, INC CMN (74251V102)	03/03/2009	12/14/2016	29 00 [9]	1,713 86	0 00	203 95	0 00	1,509 91
PRUDENTIAL FINANCIAL INC CMN (744320102)	07/16/2009	12/14/2016	6 00 [9]	620 03	0 00	233 89	0 00	386 14
PRUDENTIAL FINANCIAL INC CMN (744320102)	07/16/2009	12/14/2016	7 00 [9]	723 36	0 00	272 87	0 00	450 49
PRUDENTIAL FINANCIAL INC CMN (744320102)	07/16/2009	12/14/2016	32 00 [9]	3,306 81	0 00	1,247 41	0 00	2,059 40
SOUTHWEST AIRLINES CO CMN (844741108)	01/01/1997	12/14/2016	128 00 [9]	6,329 46	0 00	61 77	0 00	6,267 69
SOUTHWEST AIRLINES CO CMN (844741108)	01/01/1997	12/14/2016	177 00 [9]	8,752 46	0 00	85 42	0 00	8,667 04
SYSCO CORPORATION CMN (871829107)	01/01/1997	12/14/2016	195 00 [9]	10,857 36	0 00	427 73	0 00	10,429 63
TIME WARNER INC CMN (887317303)	01/01/1997	12/14/2016	85 00 [9]	8,034 87	0 00	10 78	0 00	8,024 09
UNITED TECHNOLOGIES CORP CMN (913017109)	01/01/1997	12/14/2016	15 00 [9]	1,654 46	0 00	87 65	0 00	1,566 81
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/01/1997	12/14/2016	135 00 [9]	21,746 67	0 00	20 20	0 00	21,726 47
VULCAN MATERIALS CO CMN (929160109)	01/01/1997	12/14/2016	25 00 [9]	3,133 68	0 00	10 02	0 00	3,123 66
WALT DISNEY COMPANY (THE) CMN (254687106)	01/01/1997	12/14/2016	90 00 [9]	9,312.09	0 00	90 23	0 00	9,221 86
WYNDHAM WORLDWIDE CORP CMN (98310W108)	03/03/2009	12/14/2016	30 00 [9]	2,310 24	0 00	93 03	0 00	2,217 21
XL GROUP LIMITED CMN (G98294104)	11/12/2008	12/14/2016	22 00 [9]	815 74	0 00	139.02	0 00	676 72
XL GROUP LIMITED CMN (G98294104)	11/12/2008	12/14/2016	28 00 [9]	1,038 21	0 00	176 93	0 00	861 28
Net NonCovered Long-Term Gains (Losses)				**179,280.63**	**0.00**	**9,980.08**	**0.00**	**169,300.55**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(8,731 70)	Net Covered Long-Term Gains (Losses)	21 864 90	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	(259 90)	Net NonCovered Long-Term Gains (Losses)	11,940 14		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(8,991.60)**	**Total Long-Term Gains (Losses)**	**33,805 04**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
ABBVIE INC CMN (00287Y109)	12/28/2015	03/01/2016	160 00	8,784 74	0 00	9,394 78	0 00	(610 04)
ANADARKO PETROLEUM CORP CMN (032511107)	12/28/2015	03/01/2016	31 00	1,185 85	0 00	1,557 01	0 00	(371 16)
ANADARKO PETROLEUM CORP CMN (032511107)	10/22/2015	03/01/2016	32 00	1,224 11	0 00	2,314 91	0 00	(1,090 80)
AT&T INC CMN (00206R102)	12/28/2015	03/01/2016	88 00	3,266 81	0 00	3,067 10	0 00	199 71
CA, INC CMN (12673P105)	12/28/2015	03/01/2016	3 00	89 60	0 00	86 31	0 00	3 29
CATERPILLAR INC (DELAWARE) CMN (149123101)	12/28/2015	03/01/2016	20 00	1,364 72	0 00	1,373 81	0 00	(9 09)
CENTURYLINK INC CMN (156700106)	10/22/2015	03/01/2016	7 00	216 69	0 00	195 06	0 00	21 63
CHEVRON CORPORATION CMN (166764100)	10/22/2015	03/01/2016	85 00	7,174 80	0 00	7,760 78	0 00	(585 98)
CSX CORPORATION CMN (126408103)	12/28/2015	03/01/2016	38 00	938 11	0 00	986 50	0 00	(48 39)
CULLEN FROST BANKERS INC CMN (229899109)	12/28/2015	03/01/2016	6 00	292 87	0 00	365 76	0 00	(72 89)
CUMMINS INC COMMON STOCK (231021106)	10/22/2015	03/01/2016	39 00	3,848 19	0 00	4,380 51	0 00	(532 32)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/28/2015	03/01/2016	52 00	970 92	0 00	1,657 38	0 00	(686 46)
DOMTAR CORPORATION CMN CLASS (257559203)	12/28/2015	03/01/2016	97 00	3,446 99	0 00	3,662 56	0 00	(215 57)
EMERSON ELECTRIC CO CMN (291011104)	12/28/2015	03/01/2016	28 00	1,389 27	0 00	1,346 72	0 00	42 55
EMERSON ELECTRIC CO CMN (291011104)	10/22/2015	03/01/2016	57 00	2,828 16	0 00	2,729 18	0 00	98 98
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	12/28/2015	03/01/2016	24 00	640 37	0 00	694 17	0 00	(53 80)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
GANNETT CO, INC CMN (36473H104)	10/22/2015	03/01/2016	23 00	347 87	0 00	353 20	0 00	(5 33)
GENERAL MOTORS COMPANY CMN (37045V100)	08/18/2015	03/01/2016	300 00	8,856 28	0 00	9,523 26	0 00	(666 98)
HALLIBURTON COMPANY CMN (406216101)	12/28/2015	03/01/2016	133 00	4,329 00	0 00	4,577 56	0 00	(248 56)
INTERNATIONAL GAME TECHNOLOGY PLC CMN (G4863A108)	10/22/2015	03/01/2016	249 00	3,796 85	0 00	4,023 46	0 00	(226 61)
INVESCO LTD CMN (G491BT108)	10/22/2015	03/01/2016	238 00	6,483 30	0 00	7,840 51	0 00	(1,357 21)
KLA-TENCOR CORPORATION CMN (482480100)	10/22/2015	03/01/2016	37 00	2,537 68	0 00	2,402 10	0 00	135 58
LEXMARK INTERNATIONAL INC CMN CLASS A (529771107)	12/28/2015	03/01/2016	57 00	1,813 61	0 00	1,793 32	0 00	20 29
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	08/18/2015	03/01/2016	55 00	4,402 40	0 00	4,766 73	0 00	(364 33)
MARATHON OIL CORPORATION CMN (565849106)	12/28/2015	03/01/2016	76 00	583 78	0 00	991 76	0 00	(407 98)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	03/13/2015	03/01/2016	16 00	546 92	0 00	769 94	0 00	(223 02)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	10/22/2015	03/01/2016	16 00	546 92	0 00	764 45	0 00	(217 53)
MERCK & CO , INC CMN (58933Y105)	10/22/2015	03/01/2016	4 00	202 42	0 00	203 14	0 00	(0 72)
METLIFE, INC CMN (59156R108)	12/28/2015	03/01/2016	6 00	244 07	0 00	288 16	0 00	(44 09)
NEW YORK COMMUNITY BANCORP, IN CMN (649445103)	12/28/2015	03/01/2016	31 00	474 48	0 00	508 02	0 00	(33 54)
NEW YORK COMMUNITY BANCORP, IN CMN (649445103)	03/13/2015	03/01/2016	173 00	2,647 93	0 00	2,903 20	0 00	(255 27)
NRG ENERGY, INC CMN (629377508)	12/28/2015	03/01/2016	50 00	539 57	0 00	573 15	0 00	(33 58)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	10/22/2015	03/01/2016	223 00	3,326 08	0 00	3,622 93	0 00	(296 85)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	06/10/2015	03/01/2016	469 00	6,995 22	0 00	7,547 53	0 00	(552 31)
PFIZER INC CMN (717081103)	12/28/2015	03/01/2016	105 00	3,133 67	0 00	3,413 49	0 00	(279 82)
PFIZER INC CMN (717081103)	03/13/2015	03/01/2016	148 00	4,416 98	0 00	5,030 15	0 00	(613 17)
PIONEER NATURAL RESOURCES CO CMN (723787107)	10/22/2015	03/01/2016	8 00	982 89	0 00	1,099 61	0 00	(116 72)
PITNEY-BOWES INC CMN (724479100)	12/28/2015	03/01/2016	20 00	367 61	0 00	409 57	0 00	(41 96)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/22/2015	03/01/2016	50 00	3,413 48	0 00	4,093 25	0 00	(679 77)
PRUDENTIAL FINANCIAL INC CMN (744320102)	12/28/2015	03/01/2016	114 00	7,782 73	0 00	9,366 27	0 00	(1,583 54)
SIX FLAGS ENTERTAINMENT CORPOR CMN (83001A102)	03/13/2015	03/01/2016	6 00	306 32	0 00	285 86	0 00	20 46
SM ENERGY COMPANY CMN (78454L100)	12/28/2015	03/01/2016	51 00	413 32	0 00	973 17	0 00	(559 85)
SYMANTEC CORP CMN (871503108)	10/22/2015	03/01/2016	37 00	738 83	0 00	772 11	0 00	(33 28)
SYNCHRONY FINANCIAL CMN (87165B103)	08/18/2015	03/01/2016	87 00	2,363 03	0 00	2,158 17	0 00	204 86
THE MOSAIC COMPANY CMN (61945C103)	12/28/2015	03/01/2016	44 00	1,172 74	0 00	1,266 24	0 00	(93 50)
THE SOUTHERN CO CMN (842587107)	10/22/2015	03/01/2016	18 00	862 14	0 00	835 27	0 00	26 87
TUPPERWARE BRANDS CORPORATION CMN (899896104)	08/18/2015	03/01/2016	35 00	1,753 81	0 00	1,935 92	0 00	(182 11)
UNION PACIFIC CORP CMN (907818108)	12/28/2015	03/01/2016	25 00	1,992 17	0 00	1,963 13	0 00	29 04
VALERO ENERGY CORPORATION CMN (91913Y100)	08/18/2015	03/01/2016	7 00	416 57	0 00	481 92	0 00	(65 35)
WHITING PETROLEUM CORPORATION CMN (966387102)	12/28/2015	03/01/2016	140 00	575 81	0 00	1,269 28	0 00	(693 47)
WPX ENERGY, INC CMN (98212B103)	12/28/2015	03/01/2016	74 00	296 90	0 00	397 05	0 00	(100 15)
CAMERON INTERNATIONAL CORP CMN (13342B105)	03/01/2016	04/04/2016	16 00	1,024 36	0 00	1,060 51	0 00	(36 15)
ABBOTT LABORATORIES CMN (002824100)	03/01/2016	05/17/2016	225 00	8,562 23	0 00	8,784 19	0 00	(221 96)
ACTIVISION BLIZZARD INC CMN (00507V109)	03/01/2016	05/17/2016	4 00	152 50	0 00	128 50	0 00	24 00
AES CORP CMN (00130H105)	03/01/2016	05/17/2016	7 00	77 56	0 00	68 73	0 00	8 83
ALPHABET INC CMN CLASS A (02079K305)	12/28/2015	05/17/2016	2 00	1,453 38	0 00	1,546 95	0 00	(93 57)
ALPHABET INC CMN CLASS A (02079K305)	03/01/2016	05/17/2016	2 00	1,453 38	0 00	1,469 24	0 00	(15 86)
ALPHABET INC CMN CLASS C (02079K107)	12/28/2015	05/17/2016	3 00	2,137 71	0 00	2,266 72	0 00	(129 01)
ANTHEM, INC CMN (036752103)	03/01/2016	05/17/2016	2 00	271 83	0 00	263 06	0 00	8 77
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	10/22/2015	05/17/2016	223 00	6,872 40	0 00	7,674 99	0 00	(802 59)
BEST BUY CO INC CMN SERIES (086516101)	03/01/2016	05/17/2016	54 00	1,761 17	0 00	1,763 56	0 00	(2 39)
CENTURYLINK INC CMN (156700106)	10/22/2015	05/17/2016	108 00	3,004 07	0 00	3,009 47	0 00	(5 40)
CHENIERE ENERGY INC CMN (16411R208)	03/01/2016	05/17/2016	13 00	455 96	0 00	454 98	0 00	0 98

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
COACH INC CMN (189754104)	03/01/2016	05/17/2016	9 00	342 51	0 00	353 77	0 00	(11 26)
COLUMBIA PIPELINE GROUP, INC CMN (198280109)	03/01/2016	05/17/2016	2 00	51 02	0 00	35 55	0 00	15 47
FORD MOTOR COMPANY CMN (345370860)	06/10/2015	05/17/2016	78 00	1,033 26	0 00	1,172 37	0 00	(139 11)
GARMIN LTD CMN (H2906T109)	03/01/2016	05/17/2016	6 00	241 95	0 00	250 12	0 00	(8 17)
GILEAD SCIENCES CMN (375558103)	10/22/2015	05/17/2016	15 00	1,251 25	0 00	1,527 30	0 00	(276 05)
GILEAD SCIENCES CMN (375558103)	03/01/2016	05/17/2016	21 00	1,751 74	0 00	1,844 13	0 00	(92 39)
GOLAR LNG LIMITED CMN (G9456A100)	03/01/2016	05/17/2016	52 00	930 26	0 00	992 51	0 00	(62 25)
HESS CORPORATION CMN (42809H107)	08/18/2015	05/17/2016	6 00	349 65	0 00	354 08	0 00	(4 43)
ILLUMINA, INC CMN (452327109)	03/01/2016	05/17/2016	11 00	1,547 67	0 00	1,732 87	0 00	(185 20)
LAS VEGAS SANDS CORP CMN (517834107)	03/01/2016	05/17/2016	21 00	966 33	0 00	1,051 70	0 00	(85 37)
LAS VEGAS SANDS CORP CMN (517834107)	08/18/2015	05/17/2016	57 00	2,622 88	0 00	2,959 08	0 00	(336 20)
MACQUARIE INFRASTRUCTURE CORP CMN (55608B105)	10/22/2015	05/17/2016	48 00	3,455 92	0 00	3,685 44	0 00	(229 52)
MATTEL, INC CMN (577081102)	03/01/2016	05/17/2016	20 00	614 38	0 00	636 11	0 00	(21 73)
NETFLIX COM INC CMN (64110L106)	03/01/2016	05/17/2016	1 00	90 32	0 00	95 78	0 00	(5 46)
NETFLIX COM INC CMN (64110L106)	12/28/2015	05/17/2016	4 00	361 29	0 00	460 68	0 00	(99 39)
SCHLUMBERGER LTD CMN (806857108)	08/18/2015	05/17/2016	52 00	3,893 94	0 00	4,322 35	0 00	(428 41)
VALERO ENERGY CORPORATION CMN (91913Y100)	10/22/2015	05/17/2016	15 00	838 81	0 00	899 13	0 00	(60 32)
VIACOM INC CMN CLASS A (92553P102)	08/18/2015	05/17/2016	8 00	345 33	0 00	349 55	0 00	(4 22)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	12/28/2015	05/17/2016	254 00	4,940 45	0 00	7,453 46	0 00	(2,513 01)
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	03/01/2016	05/17/2016	359 00	6,982 76	0 00	8,619 45	0 00	(1,636 69)
WEATHERFORD INTERNATIONAL PLC CMN (G48833100)	03/01/2016	05/17/2016	19 00	111 43	0 00	120 82	0 00	(9 39)
WESTERN DIGITAL CORPORATION CMN (958102105)	03/01/2016	05/17/2016	23 00	836 15	0 00	1,030 34	0 00	(194 19)
BAXALTA INCORPORATED CMN (07177M103)	03/01/2016	06/03/2016	17 00	686 08	0 00	660 87	0 00	25 21

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
BAXALTA INCORPORATED CMN (07177M103)	05/17/2016	06/03/2016	17 00	876 12	0 00	730 19	0 00	145 93
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/03/2016	06/03/2016	0 04	6 68	0 00	0 00	0 00	6 68
ALLERGAN PLC CMN (G0177J108)	03/01/2016	07/07/2016	3 00	710 12	0 00	883 64	0 00	(173 52)
AMERICAN INTL GROUP, INC CMN (026874784)	03/01/2016	07/07/2016	30 00	1,543 09	0 00	1,524 56	0 00	18 53
COVANTA HOLDING CORP CMN (22282E102)	12/28/2015	07/07/2016	143 00	2,368 34	0 00	2,199 64	0 00	168 70
DENBURY RESOURCES INC CMN (247916208)	05/17/2016	07/07/2016	49 00	155 94	0 00	211 56	0 00	(55 62)
DOW CHEMICAL CO CMN (260543103)	03/01/2016	07/07/2016	11 00	530 88	0 00	545 08	0 00	(14 20)
FORD MOTOR COMPANY CMN (345370860)	03/01/2016	07/07/2016	622 00	7,929 69	0 00	8,055 34	0 00	(125 65)
GAMESTOP CORP CMN CLASS A (36467W109)	12/28/2015	07/07/2016	57 00	1,520 30	0 00	1,621 45	0 00	(101 15)
GANNETT CO, INC CMN (36473H104)	08/18/2015	07/07/2016	9 00	121 94	0 00	122 27	0 00	(0 33)
KIMBERLY CLARK CORP CMN (494368103)	08/18/2015	07/07/2016	6 00	808 22	0 00	696 11	0 00	112 11
LAS VEGAS SANDS CORP CMN (517834107)	12/28/2015	07/07/2016	148 00	6,433 38	0 00	6,522 00	0 00	(88 62)
MONSANTO COMPANY CMN (61166W101)	05/17/2016	07/07/2016	22 00	2,210 82	0 00	2,200 84	0 00	9 98
NOBLE ENERGY INC CMN (655044105)	03/01/2016	07/07/2016	19 00	686 59	0 00	533 87	0 00	152 72
SEADRILL LTD CMN (G7945E105)	05/17/2016	07/07/2016	25 00	76 81	0 00	92 69	0 00	(15 88)
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/03/2016	07/07/2016	2 00	377 85	0 00	380 08	0 00	(2 23)
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/03/2016	07/07/2016	3 00	566 77	0 00	570 12	0 00	(3 35)
WALT DISNEY COMPANY (THE) CMN (254687106)	05/17/2016	07/07/2016	9 00	883 44	0 00	909 72	0 00	(26 28)
WHITING PETROLEUM CORPORATION CMN (966387102)	05/17/2016	07/07/2016	42 00	346 01	0 00	495 29	0 00	(149 28)
ABBOTT LABORATORIES CMN (002824100)	03/01/2016	11/03/2016	39 00	1,523 58	0 00	1,522 59	0 00	0 99
ABBOTT LABORATORIES CMN (002824100)	07/07/2016	11/03/2016	236 00	9,219 63	0 00	9,785 53	0 00	(565 90)
ABBVIE INC CMN (00287Y109)	05/17/2016	11/03/2016	41 00	2,338 84	0 00	2,467 07	0 00	(128 23)
ALNYLAM PHARMACEUTICALS, INC CMN (02043Q107)	05/17/2016	11/03/2016	2 00	69 95	0 00	109 73	0 00	(39 78)
ANTHEM, INC CMN (036752103)	07/07/2016	11/03/2016	23 00	2,841 81	0 00	3,008 00	0 00	(166 19)
ARTHUR J GALLAGHER & CO CMN (363576109)	03/01/2016	11/03/2016	24 00	1,145 01	0 00	964 89	0 00	180 12

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	03/01/2016	11/03/2016	51 00	1,303 15	0 00	1,387 09	0 00	(83 94)
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	07/07/2016	11/03/2016	155 00	3,960 54	0 00	4,153 03	0 00	(192 49)
AUTOLIV, INC CMN (052800109)	07/07/2016	11/03/2016	7 00	672 15	0 00	734 74	0 00	(62 59)
CABOT OIL & GAS CORPORATION CMN (127097103)	03/01/2016	11/03/2016	61 00	1,243 20	0 00	1,228 85	0 00	14 35
CARDINAL HEALTH INC CMN (14149Y108)	05/17/2016	11/03/2016	5 00	346 05	0 00	386.46	0 00	(40 41)
CORE LABORATORIES N V CMN (N22717107)	03/01/2016	11/03/2016	1 00	98 71	0 00	107 92	0 00	(9 21)
COVANTA HOLDING CORP CMN (22282E102)	03/01/2016	11/03/2016	321 00	4,453 26	0 00	4,354 82	0 00	98 44
COVANTA HOLDING CORP CMN (22282E102)	12/28/2015	11/03/2016	330 00	4,578 12	0 00	5,020 72	0 00	(442 60)
DANAHER CORPORATION CMN (235851102)	07/07/2016	11/03/2016	29 00	2,239 96	0 00	2,338 00	0 00	(98 04)
DOMINION RESOURCES, INC CMN (25746U109)	07/07/2016	11/03/2016	45 00	3,321 69	0 00	3,495 03	0 00	(173 34)
DOMTAR CORPORATION CMN CLASS (257559203)	05/17/2016	11/03/2016	9 00	309 88	0 00	339 31	0 00	(29 43)
DONNELLEY FINANCIAL SOLUTIONS, INC CMN (25787G100)	05/17/2016	11/03/2016	6 00	122 25	0 00	169 12	0 00	(46 87)
ENSCO PLC CMN CLASS A (G3157S106)	05/17/2016	11/03/2016	22 00	174 41	0 00	242 64	0 00	(68 23)
EXELON CORPORATION CMN (30161N101)	07/07/2016	11/03/2016	32 00	1,045 69	0 00	1,152 16	0 00	(106 47)
FIRSTENERGY CORP CMN (337932107)	07/07/2016	11/03/2016	77 00	2,529 00	0 00	2,719 20	0 00	(190 20)
FORD MOTOR COMPANY CMN (345370860)	03/01/2016	11/03/2016	45 00	513 96	0 00	582 78	0 00	(68 82)
HESS CORPORATION CMN (42809H107)	12/28/2015	11/03/2016	7 00	337 66	0 00	346 64	0 00	(8 98)
ILLUMINA, INC CMN (452327109)	07/07/2016	11/03/2016	12 00	1,617 89	0 00	1,680 71	0 00	(62 82)
INTL BUSINESS MACHINES CORP CMN (459200101)	07/07/2016	11/03/2016	8 00	1,216 03	0 00	1,221 93	0 00	(5 90)
LSC COMMUNICATIONS, INC CMN (50218P107)	05/17/2016	11/03/2016	6 00	141 32	0 00	210 64	0 00	(69 32)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	05/17/2016	11/03/2016	13 00	1,011 04	0 00	1,099 04	0 00	(88 00)
NIKE CLASS-B CMN CLASS B (654106103)	07/07/2016	11/03/2016	19 00	942 91	0 00	1,060 93	0 00	(118 02)
NVIDIA CORP CMN (67066G104)	05/17/2016	11/03/2016	16 00	1,095 61	0 00	674 47	0 00	421 14

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
OCCIDENTAL PETROLEUM CORP CMN (674599105)	03/01/2016	11/03/2016	65 00	4,386 23	0 00	4,492 46	0 00	(106 23)
ONEOK INC CMN (682680103)	03/01/2016	11/03/2016	2 00	93 53	0 00	47 34	0 00	46 19
ONEOK INC CMN (682680103)	12/28/2015	11/03/2016	18 00	841 79	0 00	426 76	0 00	415 03
R R DONNELLEY & SONS COMPANY CMN (257867200)	05/17/2016	11/03/2016	17 00	285 55	0 00	467 54	0 00	(181 99)
SALESFORCE COM, INC CMN (79466L302)	07/07/2016	11/03/2016	16 00	1,201 19	0 00	1,259 40	0 00	(58 21)
SPECTRA ENERGY CORP CMN (847560109)	03/01/2016	11/03/2016	26 00	1,035 49	0 00	761 56	0 00	273 93
THE MOSAIC COMPANY CMN (61945C103)	05/17/2016	11/03/2016	102 00	2,478 18	0 00	2,681 42	0 00	(203 24)
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	07/07/2016	11/03/2016	116 00	3,190 30	0 00	3,129 54	0 00	60 76
VF CORP CMN (918204108)	07/07/2016	11/03/2016	13 00	702 59	0 00	811 44	0 00	(108 85)
VIACOM INC CMN CLASS A (92553P102)	03/01/2016	11/03/2016	6 00	246 04	0 00	247 52	0 00	(1 48)
VIACOM INC CMN CLASS B (92553P201)	05/17/2016	11/03/2016	19 00	695 12	0 00	758 08	0 00	(62 96)
VIACOM INC CMN CLASS B (92553P201)	03/01/2016	11/03/2016	137 00	5,012 21	0 00	5,040 10	0 00	(27 89)
XEROX CORPORATION CMN (984121103)	05/17/2016	11/03/2016	116 00	1,106 91	0 00	1,064 68	0 00	42 23
LINKEDIN CORPORATION CMN CLASS A (53578A108)	03/01/2016	12/08/2016	13 00	2,548 00	0 00	1,528 68	0 00	1,019 32
AGILENT TECHNOLOGIES, INC CMN (00846U101)	11/03/2016	12/20/2016	107 00	4,943 02	0 00	4,651 13	0 00	291 89
ALLERGAN PLC CMN (G0177J108)	05/17/2016	12/20/2016	9 00	1,721 22	0 00	2,046 32	0 00	(325 10)
ALLERGAN PLC CMN (G0177J108)	11/03/2016	12/20/2016	9 00	1,721 22	0 00	1,791 13	0 00	(69 91)
AMAZON COM INC CMN (023135106)	07/07/2016	12/20/2016	2 00	1,542 39	0 00	1,469 31	0 00	73 08
AMERICAN INTL GROUP, INC CMN (026874784)	03/01/2016	12/20/2016	36 00	2,397 29	0 00	1,829 48	0 00	567 81
APACHE CORP CMN (037411105)	03/01/2016	12/20/2016	45 00	3,001 83	0 00	1,648 00	0 00	1,353 83
BAKER HUGHES INC CMN (057224107)	03/01/2016	12/20/2016	52 00	3,388 63	0 00	2,282 60	0 00	1,106 03
CIMAREX ENERGY CO CMN (171798101)	03/01/2016	12/20/2016	13 00	1,769 23	0 00	1,073 67	0 00	695 56
CONCHO RESOURCES INC CMN (20605P101)	03/01/2016	12/20/2016	13 00	1,777 55	0 00	1,174 49	0 00	603 06
DEXCOM, INC CMN (252131107)	11/03/2016	12/20/2016	12 00	762 67	0 00	750 99	0 00	11 68

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
DOMINION RESOURCES, INC CMN (25746U109)	03/01/2016	12/20/2016	8 00	611 89	0 00	558 07	0 00	53 82
DOMINION RESOURCES, INC CMN (25746U109)	05/17/2016	12/20/2016	15 00	1,147 29	0 00	1,074 58	0 00	72 71
EDWARDS LIFESCIENCES CORP CMN (28176E108)	11/03/2016	12/20/2016	19 00	1,725 50	0 00	1,716 77	0 00	8 73
EXPEDIA, INC CMN (30212P303)	05/17/2016	12/20/2016	3 00	351 25	0 00	337 17	0 00	14 08
EXPEDIA, INC CMN (30212P303)	07/07/2016	12/20/2016	4 00	468 34	0 00	425 74	0 00	42 60
EXPEDIA, INC CMN (30212P303)	03/01/2016	12/20/2016	14 00	1,639 19	0 00	1,479 95	0 00	159 24
GAP INC CMN (364760108)	05/17/2016	12/20/2016	86 00	2,075 77	0 00	1,518 41	0 00	557 36
GULFPORT ENERGY CORP (NEW) CMN (402635304)	03/01/2016	12/20/2016	8 00	177 49	0 00	186 54	0 00	(9 05)
HESS CORPORATION CMN (42809H107)	03/01/2016	12/20/2016	29 00	1,836 74	0 00	1,253 97	0 00	582 77
HP INC CMN (40434L105)	03/01/2016	12/20/2016	132 00	1,990 18	0 00	1,414 88	0 00	575 30
ILLUMINA, INC CMN (452327109)	07/07/2016	12/20/2016	7 00	896 31	0 00	980 41	0 00	(84 10)
KOHL'S CORP (WISCONSIN) CMN (500255104)	05/17/2016	12/20/2016	45 00	2,409 12	0 00	1,641 22	0 00	767 90
MACY'S INC CMN (55616P104)	05/17/2016	12/20/2016	36 00	1,365 26	0 00	1,110 97	0 00	254 29
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/07/2016	12/20/2016	18 00	888 05	0 00	647 90	0 00	240 15
MARATHON PETROLEUM CORPORATION CMN (56585A102)	05/17/2016	12/20/2016	36 00	1,776 11	0 00	1,314 05	0 00	462 06
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	05/17/2016	12/20/2016	3 00	217 33	0 00	244 26	0 00	(26 93)
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	11/03/2016	12/20/2016	42 00	3,042 60	0 00	3,421 72	0 00	(379.12)
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	03/01/2016	12/20/2016	74 00	5,360 77	0 00	5,439 67	0 00	(78 90)
MOODYS CORP CMN (615369105)	07/07/2016	12/20/2016	15 00	1,450 65	0 00	1,411 06	0 00	39 59
NEWFIELD EXPLORATION CO CMN (651290108)	03/01/2016	12/20/2016	43 00	1,880 92	0 00	1,175 95	0 00	704 97
NUCOR CORPORATION CMN (670346105)	12/28/2015	12/20/2016	15 00	931 23	0 00	607 76	0 00	323 47
NUCOR CORPORATION CMN (670346105)	03/01/2016	12/20/2016	15 00	931 23	0 00	609 40	0 00	321 83
PIONEER NATURAL RESOURCES CO CMN (723787107)	12/28/2015	12/20/2016	10 00	1,855 52	0 00	1,217 15	0 00	638 37
PRICELINE GROUP INC/THE CMN (741503403)	03/01/2016	12/20/2016	1 00	1,502.21	0 00	1,287 40	0 00	214 81
PRICELINE GROUP INC/THE CMN (741503403)	05/17/2016	12/20/2016	1 00	1,502 21	0 00	1,288 46	0 00	213 75

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
PRICELINE GROUP INC/THE CMN (741503403)	07/07/2016	12/20/2016	2 00	3,004 43	0 00	2,599 37	0 00	405 06
REGIONS FINANCIAL CORPORATION CMN (7591EP100)	03/01/2016	12/20/2016	199 00	2,899 38	0 00	1,537 85	0 00	1,361 53
S&P GLOBAL INC CMN (78409V104)	07/07/2016	12/20/2016	15 00	1,684 72	0 00	1,610 60	0 00	74 12
SALESFORCE COM, INC CMN (79466L302)	03/01/2016	12/20/2016	20 00	1,396 11	0 00	1,380 18	0 00	15 93
THE WILLIAMS COMPANIES, INC CMN (969457100)	05/17/2016	12/20/2016	11 00	333 81	0 00	237 23	0 00	96 58
THE WILLIAMS COMPANIES, INC CMN (969457100)	03/01/2016	12/20/2016	83 00	2,518 77	0 00	1,350 47	0 00	1,168 30
VALERO ENERGY CORPORATION CMN (91913Y100)	07/07/2016	12/20/2016	11 00	743 00	0 00	532 11	0 00	210 89
WESTERN UNION COMPANY (THE) CMN (959802109)	05/17/2016	12/20/2016	23 00	499 08	0 00	443 20	0 00	55 88
WESTERN UNION COMPANY (THE) CMN (959802109)	03/01/2016	12/20/2016	56 00	1,215 15	0 00	1,033 72	0 00	181 43
ZIMMER BIOMET HOLDINGS INC (98956P102)	11/03/2016	12/20/2016	18 00	1,833 03	0 00	1,850 22	0 00	(17 19)
Net Covered Short-Term Gains (Losses)				**358,372.62**	**0.00**	**367,104.32**	**0.00**	**(8,731.70)**
NonCovered Short-Term Gains (Losses)								
AMERICAN TOWER CORPORATION CMN (03027X100)	12/28/2015	03/01/2016	26 00	2,443 73	0 00	2,552 12	0 00	(108 39)
EQUITY RESIDENTIAL CMN (29476L107)	03/13/2015	03/01/2016	6 00	402 49	0 00	462 39	0 00	(59 90)
ESSEX PROPERTY TRUST INC CMN (297178105)	12/28/2015	03/01/2016	2 00	426 08	0 00	478 31	0 00	(52 23)
ESSEX PROPERTY TRUST INC CMN (297178105)	10/22/2015	03/01/2016	3 00	639 13	0 00	694 88	0 00	(55 75)
FOUR CORNERS PROPERTY TRUST IN CMN (35086T109)	03/02/2016	03/02/2016	0 20	3 49	0 00	0 00	0 00	3 49
EQUITY RESIDENTIAL CMN (29476L107)	06/10/2015	05/17/2016	49 00	3,362 20	0 00	3,453 83	0 00	(91 63)
HCP, INC CMN (40414L109)	03/01/2016	05/17/2016	6 00	203 67	0 00	180 51	0 00	23 16
MACERICH COMPANY CMN (554382101)	03/01/2016	05/17/2016	20 00	1,489 28	0 00	1,601 25	0 00	(111 97)
EXTRA SPACE STORAGE INC CMN (30225T102)	05/17/2016	11/03/2016	1 00	70 60	0 00	92 40	0 00	(21 80)
OMEGA HEALTHCARE INVESTORS INC CMN (681936100)	03/01/2016	11/03/2016	2 00	59 33	0 00	64 37	0 00	(5 04)
FOUR CORNERS PROPERTY TRUST IN CMN (35086T109)	03/02/2016	12/20/2016	13 00	259 70	0 00	210 03	0 00	49 67
PROLOGIS INC CMN (74340W103)	05/17/2016	12/20/2016	25 00	1,310 66	0 00	1,197 81	0 00	112 85

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)								
WELLTOWER, INC CMN (95040Q104)	03/01/2016	12/20/2016	38 00	2,525 31	0 00	2,467 67	0 00	57 64
Net NonCovered Short-Term Gains (Losses)				**13,195.67**	**0.00**	**13,455.57**	**0.00**	**(259.90)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
AMERIPRISE FINANCIAL, INC CMN (03076C106)	06/27/2013	03/01/2016	23 00	1,986 40	0 00	1,863 03	0 00	123 37
GAMESTOP CORP CMN CLASS A (36467W109)	12/30/2014	03/01/2016	40 00	1,227 71	0 00	1,341 80	0 00	(114 09)
GENERAL MOTORS COMPANY CMN (37045V100)	11/11/2014	03/01/2016	32 00	944 67	0 00	998 73	0 00	(54 06)
HALLIBURTON COMPANY CMN (406216101)	12/30/2014	03/01/2016	16 00	520 78	0 00	637 19	0 00	(116 41)
IAC/INTERACTIVECORP CMN (44919P508)	12/30/2014	03/01/2016	9 00	407 40	0 00	558 49	0 00	(151 09)
KINDER MORGAN INC CMN CLASS P (49456B101)	04/22/2014	03/01/2016	51 00	914 28	0 00	1,705 20	0 00	(790 92)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	12/30/2014	03/01/2016	26 00	888 75	0 00	1,182 02	0 00	(293 27)
MAXIM INTEGRATED PRODUCTS INC CMN (57772K101)	09/09/2014	03/01/2016	13 00	440 79	0 00	404 84	0 00	35 95
MAXIM INTEGRATED PRODUCTS INC CMN (57772K101)	11/11/2014	03/01/2016	159 00	5,391 22	0 00	4,695 18	0 00	696 04
NEW YORK COMMUNITY BANCORP, IN CMN (649445103)	12/30/2014	03/01/2016	67 00	1,025 50	0 00	1,086 95	0 00	(61 45)
NEW YORK COMMUNITY BANCORP, IN CMN (649445103)	04/24/2013	03/01/2016	275 00	4,209 14	0 00	3,665 45	0 00	543 69
PFIZER INC CMN (717081103)	12/30/2014	03/01/2016	7 00	208 91	0 00	219 76	0 00	(10 85)
QUALCOMM INC CMN (747525103)	06/27/2013	03/01/2016	59 00	3,046 46	0 00	3,635 67	0 00	(589 21)
ROYAL CARIBBEAN CRUISES LTD ISIN LR0008862868 (V7780T103)	11/11/2014	03/01/2016	7 00	525 84	0 00	475 10	0 00	50 74
SYNCHRONY FINANCIAL CMN (87165B103)	06/27/2013	03/01/2016	165 00	4,481 62	0 00	3,669 50	0 00	812 12
TARGET CORPORATION CMN (87612E106)	09/09/2014	03/01/2016	54 00	4,299 53	0 00	3,254 13	0 00	1,045 40
THOMSON REUTERS CORPORATION CMN (884903105)	11/11/2014	03/01/2016	9 00	333 47	0 00	341 88	0 00	(8 41)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
UNION PACIFIC CORP CMN (907818108)	08/28/2013	03/01/2016	19 00	1,514.05	0 00	1,460 60	0 00	53 45
VERTEX PHARMACEUTICALS INC CMN (92532F100)	09/09/2014	03/01/2016	15 00	1,297 15	0 00	1,402 96	0 00	(105 81)
WALT DISNEY COMPANY (THE) CMN (254687106)	11/11/2014	03/01/2016	10 00	963 48	0 00	898 16	0 00	65 32
GENERAL MOTORS COMPANY CMN (37045V100)	11/11/2014	05/17/2016	61 00	1,872 73	0 00	1,903 83	0 00	(31 10)
GILEAD SCIENCES CMN (375558103)	12/30/2014	05/17/2016	5 00	417 08	0 00	489 32	0 00	(72 24)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	12/30/2014	05/17/2016	124 00	1,879 93	0 00	1,906 54	0 00	(26 61)
ROYAL CARIBBEAN CRUISES LTD ISIN LR0008862868 (V7780T103)	11/11/2014	05/17/2016	4 00	306 58	0 00	271 49	0 00	35 09
SCHLUMBERGER LTD CMN (806857108)	12/30/2014	05/17/2016	1 00	74 89	0 00	86 49	0 00	(11 60)
TECO ENERGY INC CMN (872375100)	03/13/2015	07/01/2016	365 00	10,055 75	0 00	6,862 91	0 00	3,192 84
TECO ENERGY INC CMN (872375100)	06/10/2015	07/01/2016	382 00	10,524 10	0 00	6,835 67	0 00	3,688 43
AETNA INC CMN (00817Y108)	12/30/2014	07/07/2016	5 00	602 47	0 00	449 59	0 00	152 88
ALLERGAN PLC CMN (G0177J108)	11/11/2014	07/07/2016	6 00	1,420 25	0 00	1,459 34	0 00	(39 09)
CAPITAL ONE FINANCIAL CORP CMN (14040H105)	04/24/2013	07/07/2016	12 00	740 11	0 00	683 97	0 00	56 14
CORNING INCORPORATED CMN (219350105)	08/28/2013	07/07/2016	36 00	737 17	0 00	511 18	0 00	225 99
DELTA AIR LINES, INC CMN (247361702)	09/09/2014	07/07/2016	48 00	1,737 70	0 00	1,861 66	0 00	(123 96)
GENERAL MOTORS COMPANY CMN (37045V100)	11/11/2014	07/07/2016	268 00	7,708 85	0 00	8,364 36	0 00	(655 51)
HASBRO, INC CMN (418056107)	09/09/2014	07/07/2016	7 00	584 88	0 00	377 49	0 00	207 39
METLIFE, INC CMN (59156R108)	04/24/2013	07/07/2016	24 00	915 38	0 00	920 12	0 00	(4 74)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	03/13/2015	07/07/2016	86 00	1,255 79	0 00	1,297 53	0 00	(41 74)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	03/05/2015	07/07/2016	180 00	2,628 41	0 00	2,709 09	0 00	(80 68)
PHILLIPS 66 CMN (718546104)	06/10/2015	07/07/2016	23 00	1,740 15	0 00	1,794 23	0 00	(54 08)
TESORO CORPORATION CMN (881609101)	12/30/2014	07/07/2016	8 00	571 44	0 00	607 81	0 00	(36 37)
KEYCORP CMN (33582V108)	12/30/2014	08/02/2016	36 00	82 80	0 00	24 48	0 00	58 32
KEYCORP CMN (33582V108)	03/05/2015	08/02/2016	417 00	959 10	0 00	353 89	0 00	605 21

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
ABBVIE INC CMN (00287Y109)	09/09/2014	11/03/2016	67 00	3,822.00	0 00	3,787 97	0 00	34 03
CENTURYLINK INC CMN (156700106)	10/22/2015	11/03/2016	525 00	12,577 77	0 00	14,629 39	0 00	(2,051 62)
DOMTAR CORPORATION CMN CLASS (257559203)	09/09/2014	11/03/2016	19 00	654 19	0 00	707 05	0 00	(52 86)
GANNETT CO, INC CMN (36473H104)	11/11/2014	11/03/2016	9 00	69 09	0 00	101 02	0 00	(31 93)
GANNETT CO, INC CMN (36473H104)	08/18/2015	11/03/2016	96 00	737 02	0 00	1,304 25	0 00	(567 23)
NAVIENT CORPORATION CMN (63938C108)	10/22/2015	11/03/2016	114 00	1,535 71	0 00	1,496 27	0 00	39 44
ROCKWELL AUTOMATION INC CMN (773903109)	06/27/2013	11/03/2016	9 00	1,065 46	0 00	758 80	0 00	306 66
BIOGEN INC CMN (09062X103)	04/24/2013	12/20/2016	10 00	2,855 81	0 00	2,064 11	0 00	791 70
CELGENE CORPORATION CMN (151020104)	09/09/2014	12/20/2016	5 00	592 57	0 00	465 75	0 00	126 82
CELGENE CORPORATION CMN (151020104)	06/27/2013	12/20/2016	28 00	3,318 42	0 00	1,672 18	0 00	1,646 24
COACH INC CMN (189754104)	08/18/2015	12/20/2016	5 00	178 97	0 00	164 64	0 00	14 33
COACH INC CMN (189754104)	10/22/2015	12/20/2016	88 00	3,149 79	0 00	2,639 24	0 00	510 55
GENERAL DYNAMICS CORP CMN (369550108)	06/27/2013	12/20/2016	16 00	2,804 07	0 00	1,254 10	0 00	1,549 97
HEWLETT PACKARD ENTERPRISE CO CMN (42824C109)	04/24/2013	12/20/2016	82 00	1,953 80	0 00	860 86	0 00	1,092 94
HP INC CMN (40434L105)	04/24/2013	12/20/2016	82 00	1,236 32	0 00	768 02	0 00	468 30
INTERCONTINENTAL EXCHANGE INC CMN (45866F104)	09/09/2014	12/20/2016	25 00	1,450 65	0 00	934 76	0 00	515 89
KLA-TENCOR CORPORATION CMN (482480100)	10/22/2015	12/20/2016	27 00	2,129 64	0 00	1,752 89	0 00	376 75
MEDTRONIC PUBLIC LIMITED COMPA CMN (G5960L103)	10/22/2015	12/20/2016	56 00	4,056 80	0 00	4,079 08	0 00	(22 28)
MICROCHIP TECHNOLOGY INCORPORA CMN (595017104)	08/18/2015	12/20/2016	45 00	2,938 32	0 00	1,862 34	0 00	1,075 98
MORGAN STANLEY CMN (617446448)	04/24/2013	12/20/2016	80 00	3,479 72	0 00	1,715 87	0 00	1,763 85
NASDAQ INC CMN (631103108)	06/10/2015	12/20/2016	32 00	2,176 19	0 00	1,618 32	0 00	557 87
NIKE CLASS-B CMN CLASS B (654106103)	09/09/2014	12/20/2016	68 00	3,522 22	0 00	2,781 94	0 00	740 28
PHILLIPS 66 CMN (718546104)	11/11/2014	12/20/2016	39 00	3,389 32	0 00	2,908 40	0 00	480 92
REGENERON PHARMACEUTICAL INC CMN (75886F107)	06/27/2013	12/20/2016	5 00	1,855 04	0 00	1,165 01	0 00	690 03
S&P GLOBAL INC CMN (78409V104)	09/09/2014	12/20/2016	8 00	898 52	0 00	675 33	0 00	223 19

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
THE BANK OF NY MELLON CORP CMN (064058100)	06/27/2013	12/20/2016	51 00	2,431 49	0 00	1,460 33	0 00	971 16
VALERO ENERGY CORPORATION CMN (91913Y100)	12/30/2014	12/20/2016	23 00	1,553 55	0 00	1,150 54	0 00	403 01
VF CORP CMN (918204108)	04/24/2013	12/20/2016	37 00	2,018 31	0 00	1,611 09	0 00	407 22
WAL MART STORES INC CMN (931142103)	10/22/2015	12/20/2016	48 00	3,447 16	0 00	2,828 64	0 00	618 52
WYNN RESORTS, LIMITED CMN (983134107)	10/22/2015	12/20/2016	8 00	706 44	0 00	502.23	0 00	204 21
YAHOO INC CMN (984332106)	04/24/2013	12/20/2016	56 00	2,192 77	0 00	1,386 89	0 00	805 88
Net Covered Long-Term Gains (Losses)				**156,239.84**	**0.00**	**134,374.94**	**0.00**	**21,864.90**
NonCovered Long-Term Gains (Losses)								
FOUR CORNERS PROPERTY TRUST IN CMN (35086T109)	09/09/2014	03/01/2016	36 00	593 93	0 00	548 24	0 00	45 69
WEYERHAEUSER COMPANY CMN (962166104)	08/28/2013	03/01/2016	50 00	1,321 05	0 00	1,382 28	0 00	(61 23)
TIME WARNER CABLE INC CMN (88732J207)	01/01/1997	05/20/2016	38 00 [9]	3,800 00	0 00	3 61	0 00	3,796 39
TIME WARNER CABLE INC CMN (88732J207)	01/01/1997	05/20/2016	72 00 [9]	7,200 00	0 00	6 84	0 00	7,193 16
CHARTER COMMUNICATIONS, INC CMN (16119P108)		06/02/2016	0 00	181 71	0 00	No Cost	0 00	181 71 [8]
SCHLUMBERGER LTD CMN (806857108)		06/24/2016	0 00	35 34	0 00	No Cost	0 00	35 34 [8]
LEIDOS HLDGS INC CMN (525327102)		08/23/2016	0 00	36 53	0 00	No Cost	0 00	36 53 [8]
DONNELLEY FINANCIAL SOLUTIONS, INC CMN (25787G100)		10/03/2016	0 00	12 66	0 00	No Cost	0 00	12 66 [8]
R R DONNELLEY & SONS COMPANY CMN (257867200)		10/06/2016	0 00	11 17	0 00	No Cost	0 00	11 17 [8]
EXTRA SPACE STORAGE INC CMN (30225T102)	06/10/2015	11/03/2016	6 00	423 59	0 00	398 25	0 00	25 34
GENERAL GROWTH PROPERTIES INC CMN (370023103)	12/19/2013	11/03/2016	32 00	783 99	0 00	644 31	0 00	139 68
GENERAL GROWTH PROPERTIES INC CMN (370023103)	09/09/2014	11/03/2016	76 00	1,861 98	0 00	1,890 07	0 00	(28 09)
GENERAL GROWTH PROPERTIES INC CMN (370023103)	06/10/2015	11/03/2016	101 00	2,474 48	0 00	2,696 81	0 00	(222 33)
KEYCORP CMN (493267108)		11/15/2016	0 00	0 52	0 00	No Cost	0 00	0 52 [8]

[4] Basis may have been increased by accruals of *market discount and/or original* issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount *in income* currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[8] Due to incomplete cost basis gains may be incorrectly stated and should not be used for tax reporting purposes *Please consult with your tax advisor*

[9] This position is a gifted security

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)								
WYNN RESORTS, LIMITED CMN (983134107)	03/03/2009	12/20/2016	10 00 [9]	883 05	0 00	109 45	0 00	773 60
Net NonCovered Long-Term Gains (Losses)				**19,620.00**	**0.00**	**7,679.86**	**0.00**	**11,940.14**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	262 80	Net Covered Long-Term Gains (Losses)	536 31	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	130 44		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**262.80**	**Total Long-Term Gains (Losses)**	**666.75**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Short-Term Gains (Losses)								
EXPRESS SCRIPTS HOLDING COMPAN 2 65% 02/15/2017 SER B SR LIEN (30219GAD0)	01/20/2016	07/07/2016	50,000 00	50,565 00	(221 30)	50,302 20	0 00	262 80
Net Covered Short-Term Gains (Losses)				**50,565.00**	**(221.30)**	**50,302.20**	**0.00**	**262.80**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Ordinary Gain (Loss)	Total Gain (Loss)
Covered Long-Term Gains (Losses)								
AIRGAS, INC 2 95% 06/15/2016 SR LIEN (009363AL6)	04/30/2015	05/15/2016	50,000 00	50,000 00	(917 01)	50,075 99	0 00	(75 99)
MARRIOTT INTERNATIONAL, INC 6 2% 06/15/2016 SER H SR LIEN (571903AF0)	03/25/2015	06/15/2016	50,000 00	50,000 00	(3,083 00)	50,000 00	0 00	0 00
HARRIS CORPORATION 1 999% 04/27/2018 USD SR LIEN (413875AP0)	06/29/2015	09/19/2016	50,000 00	50,270 50	0 00	49,898 50	0 00	372 00
ABBVIE INC 1 75% 11/06/2017 SER B SR LIEN (00287YAJ8)	03/20/2015	12/19/2016	50,000 00	50,313 00	(139 80)	50,072 70	0 00	240 30
Net Covered Long-Term Gains (Losses)				**200,583.50**	**(4,139.81)**	**200,047.19**	**0.00**	**536.31**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Ordinary Gain (Loss)	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)								
VOYA FINANCIAL, INC STEP 02/15/2018 USD SR LIEN 2 9% 06/11/13-02/15/18 (45685EAE6)	03/31/2015	06/20/2016	11,000 00	11,332 86	0 00	11,354 42	0 00	(21 56)
MORGAN STANLEY FRN MTN 07/23/2019 USD USLIB 3MO +74 00BP SR LIEN CPN 01/23/17-04/23/17 1 78122% (61746BDS2)	03/30/2015	10/19/2016	50,000 00	50,026 50	0 00	49,874 50	0 00	152 00
Net NonCovered Long-Term Gains (Losses)				**61,359.36**	**0.00**	**61,228.92**	**0.00**	**130.44**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

Perrin Family Foundation
2016 Grants Distribution

Organization	Grant Awarded	Amount Paid This Calendar	Grant Date
A Better Way Foundation Hartford, CT *For design of implementation of Building Leadership and Organizing Capacity (BLOC) Initiatiave*	$35,400.00	$35,400.00	1/1/2016
Breakthru! Inc. New Haven, CT *To support youth-led work around issues of police brutality in New Haven*	$20,000 00	$20,000.00	5/7/2016
CAAAV: Committee Against Anti-Asian Violence New York, NY *To provide mentoring and technical assistence on organizing strategies to Perrin Family Foundation grantlee*	$5,000 00	$5,000 00	1/1/2016
Center for Children's Advocacy Hartford, CT *Final grant to support legal services for youth led programs across the state*	$10,000.00	$10,000.00	5/7/2016
Citywide Youth Coalition New Haven, CT *To suppppoert the work of the Youth Advisory Council*	$30,000 00	$30,000 00	10/29/2016
Congregations Organized for a New Connecticut New Haven, CT *To suport the development of a youth-led organizing component*	$20,000 00	$20,000 00	5/7/2016
Connecticut Center for Non Violence Hartford, CT *To support a youht-led "teach in hartford" non-violence campaign*	$20,000.00	$20,000 00	10/29/2016
Connecticut Council for Philanthropy Hartford, CT *To support networking, collaboration and technical assistance This grant supports effective philanthropy through programming, sharing of knowledge and best practices, collaboration across sectors, maximizing impact and*	$4,900.00	$4,900.00	1/1/2016

statewide advocacy/policy change			
Connecticut Students For A Dream (c/o RYASAP, fiscal sponsor) Bridgeport, CT *To support organizing efforts led by immigrant youth*	$50,000.00	$50,000 00	10/29/2016
CT-CORE/Organize Now! (c/o A Better Way Foundation, fiscal sponsor) Hartford, CT *To support racial justice organizing infrastructure and training*	$20,000.00	$10,000.00	12/20/2016
DRUM: Desis Rising Up and Moving Jackson Heights, NY *To provide mentoring and technical assistence on organizing strategies to Perrin Family Foundation grantee*	$5,000 00	$5,000 00	1/1/2016
FRESH New London (c/o Third Sector New England, fiscal sponsor) Boston, MA *To support youth led food justice organizing*	$25,000 00	$25,000.00	10/29/2016
Funders Collaborative on Youth Organizing (c/o Bend the Art, fiscal New York, NY *To support national youth organizing field building*	$30,000.00	$30,000.00	1/1/2016
Grantmakers for Effective Organizations Washington, DC *To support networking, collaboration and technical assistance for grantmaking initiatives*	$970 00	$970.00	1/1/2016
Hartford Food System Hartford, CT *To support Griw Hartford's 10 Slices of Justice youth organizing campaign*	$35,000 00	$35,000 00	10/29/2016
Hearing Youth Voices (c/o A Better Way Foundation, fiscal sponsor) Hartford, CT *To support youth organizing around educational issues in New London*	$50,000 00	$50,000 00	10/29/2016
Institute for Community Research Hartford, CT *To support the leadership development curriculum and networking opportunities for youth food justice organizations in across CT*	$25,000 00	$25,000.00	5/7/2016

Just Moves (c/o Community Mediation, fiscal sponsor) New Haven, CT *To support a youth focused Undoing Racism training*	$6,000 00	$6,000 00	1/1/2016
Katal Center for Health, Equity and Justice Brooklyn, NY *To support training and design university partnerships to support youth organizing in CT*	$35,000 00	$35,000 00	12/20/2016
Make the Road CT (c/o The Center for Popular Democracy, fiscal sponsor) Brooklyn, NY *To support the development of a youth-led component to their organizing*	$20,000 00	$20,000 00	5/7/2016
Make the Road New York Brooklyn, NY *To provide mentoring and technical assistence on organizing strategies to Perrin Family Foundation grantees*	$5,000 00	$5,000 00	1/1/2016
National Center for Family Philanthropy Washington DC, District of Columbia *To support networking, collaboration and technical assistance for grantmaking initiatives*	$1,000 00	$1,000 00	1/1/2016
Neighborhood Studios of Fairfield County Bridgeport, CT *To support arts based leadership programs*	$25,000.00	$25,000 00	10/29/2016
Creative Youth Productions Bridgeport, CT *To support the Youth Leadership Through the Arts Program*	$25,000 00	$25,000 00	5/7/2016
People's Arts Collective (c/o CT Center for a New Economy, fiscal sponsor) New Haven, CT *To support the work of LGTQ+Kickback in advancing safety, housing and employment for LGBTQ youth*	$20,000 00	$20,000 00	10/29/2016
SAVE Girls on F.Y.E.R. Waterbury, CT *To support the youth leadership programs and the afterschool academy*	$15,000.00	$15,000 00	10/29/2016
School of Unity & Liberation	$5,000.00	$5,000.00	1/1/2016

Oakland, CA *To support training scholarships for PFF partner grantees on youth organizing, political education, and facilitation*			
Serving All Vessels Equally Norwalk, CT *To support the Youth Council for Justice*	$35,000 00	$35,000.00	10/29/2016
Solar Youth Inc New Haven, CT *Final grant to support youth development programs*	$9,000 00	$9,000.00	5/7/2016
Teach Our Children New Haven, CT *To support organizational plannign and board development*	$15,000.00	$15,000.00	5/7/2016
Uplifting A Life (c/o Neighborhood Housing Services of Waterbury, fiscal Waterbury, CT *To support the Youth Council and afterschool social justice program*	$15,000 00	$15,000 00	10/29/2016
Writer's Block, INK New London, CT *To support arts based social justice programs for youth*	$20,000.00	$20,000 00	10/29/2016
Total 2016	**$637,270.00**	**$627,270.00**	

Perrin Family Foundation

Summary of Minigrants Made in 2016

Organization	Purpose		Grant Amount
Just Moves, c/o Community Mediation	Training Minigrant Scholarship for Undoing Racism Trainings	$	1,200
Connecticut Students for a Dream c/o RYASAP	Training Minigrant United We Dream National Congress	$	3,000
Connecticut Students for a Dream c/o RYASAP	Training Minigrant. Everyday Democracy Conference	$	2,500
People's Art Collective c/o CT Center for a New Economy	Rapid Response· LGBTQ+ Latinx Leaders Retreat	$	2,400
Hearing Youth Voices, c/o A Better Way Foundation	Training Minigrant. Nonprofit Bookkeeping	$	750
Hearing Youth Voices, c/o A Better Way Foundation	Training Minigrant Black Healing Matters	$	500
Institute for Community Research	Training Minigrant It Takes a Region conference	$	1,776
SAVE Girls on FYER Inc	Peer Exchange at Hearing Youth Voices	$	736
SAVE Girls on FYER Inc	Rapid Response Love Your Kinks Workshop	$	1,500
FRESH New London c/o Third Sector New England	Training Minigrant Critical Participatory Action Research Institute	$	3,000
Uplifting a Life Youth Council	Rapid Response Police Violence Discussion	$	3,200
Uplifting a Life Youth Council c/o Neighborhood Housing Services of Waterbı	Peer Exchange at Hearing Youth Voices	$	650
Uplifting a Life Youth Council c/o Neighborhood Housing Services of Waterbı	Peer Exchange at Hearing Youth Voices	$	450
Total Minigrants Awarded		$	21,662

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization
THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number
22-3309886

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number
22-3309886

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLIE PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 137,764.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
2	CHARLIE PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 62,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	SHEILA PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 62,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	SHEILA PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 137,763.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization: THE PERRIN FAMILY FOUNDATION C/O ANDERSEN TAX LLC

Employer identification number: 22-3309886

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	MARKETABLE SECURITIES	$ 137,764.	12/13/16
4	MARKETABLE SECURITIES	$ 137,763.	12/13/16
		$	
		$	
		$	
		$	

Name of organization

THE PERRIN FAMILY FOUNDATION
C/O ANDERSEN TAX LLC

Employer identification number

22-3309886

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #9251 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
42,106.	36,630.	0.	0.	5,476.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6533 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
358,373.	367,104.	0.	0.	-8,731.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6010 (SEE ATTACHED)	PURCHASED	01/20/16	07/07/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
50,565.	50,302.	0.	0.	263.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
UST (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
611,287.	591,930.	0.	0.	19,357.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6533 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
13,196.	13,456.	0.	0.	-260.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #9251 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
134,598.	154,735.	0.	0.	-20,137.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6533 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
156,240.	134,375.	0.	0.	21,865.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6010 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
200,584.	200,047.	0.	0.	537.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
UST (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,955,841.	3,212,378.	0.	0.	743,463.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #9251 (SEE ATTACHED)	PURCHASED	VARIOUS	12/14/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
179,281.	9,980.	0.	0.	169,301.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6533 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
19,620.	7,680.	0.	0.	11,940.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS #6010 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
61,359.	61,229.	0.	0.	130.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS CAPITAL PARTNERS VI PARALLEL, LP	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,123.	0.	0.	-1,123.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS CAPITAL PARTNERS VI PARALLEL, LP	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,038.	0.	0.	0.	9,038.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
GS CAPITAL PARTNERS VI PARALLEL AIV, LP	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,499.	0.	0.	0.	21,499.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
UST #5443 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
189,045.	188,200.	0.	0.	845.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
UST #5443 (SEE ATTACHED)	PURCHASED	VARIOUS	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
347,355.	371,311.	0.	0.	-23,956.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF 4 PROSPECT STREET, RIDGEFIELD, CT	PURCHASED	07/16/01	09/28/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
545,000.	143,777.	37,578.	0.	363,645.

CAPITAL GAINS DIVIDENDS FROM PART IV	1,312.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,314,464.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #09251-9	958.	958.	
GOLDMAN SACHS #96533-0	75.	75.	
TOTAL TO PART I, LINE 3	1,033.	1,033.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GS #010-8	40,579.	0.	40,579.	40,579.	
GS #010-8 - ACCRUED INTEREST	-4,490.	0.	-4,490.	-4,490.	
GS #09251-9	60,201.	730.	59,471.	59,471.	
GS #533-0	49,517.	582.	48,935.	48,935.	
GS #618-1	2,008.	0.	2,008.	2,008.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	16,212.	0.	16,212.	16,212.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	2,242.	0.	2,242.	2,242.	
US TRUST	249,849.	0.	249,849.	249,849.	
US TRUST- ABP ADJUSTMENT	-8,962.	0.	-8,962.	-8,962.	
US TRUST-OID	330.	0.	330.	330.	
TO PART I, LINE 4	407,486.	1,312.	406,174.	406,174.	

FORM 990-PF | OTHER INCOME | STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GS CAPITAL PARTNERS VI PARALLEL, L.P.	7.	7.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	61,997.	61,997.	
US TRUST	441.	441.	
GOLDMAN SACHS #533-0 - NONDIVIDEND DISTRIBUTIONS	2,409.	0.	
GOLDMAN SACHS #251-9 - NONDIVIDEND DISTRIBUTIONS	1,435.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	66,289.	62,445.	

FORM 990-PF | ACCOUNTING FEES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	10,250.	5,125.		5,125.
TO FORM 990-PF, PG 1, LN 16B	10,250.	5,125.		5,125.

FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	9,106.	0.		9,106.
US TRUST	86,723.	86,723.		0.
GS #2519 - MGT FEES	2,290.	2,290.		0.
GS #6618 - MGT FEES	9,571.	9,571.		0.
GS #6010 - MGT FEES	4,208.	4,208.		0.
TO FORM 990-PF, PG 1, LN 16C	111,898.	102,792.		9,106.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GS CAPITAL PARTNERS VI PARALLEL - FOREIGN TAXES PAID	438.	438.		0.
GOLDMAN SACHS #09251 - FOREIGN TAXES	1,103.	1,103.		0.
GOLDMAN SACHS #96533 - FOREIGN TAXES	4.	4.		0.
GOLDMAN SACHS #96533 - RECLAIMABLE FOREIGN TAXES	167.	0.		0.
GOLDMAN SACHS #6181 - FOREIGN TAXES	354.	354.		0.
2016 2Q FEDERAL ESTIMATE	2,000.	0.		0.
2016 4Q FEDERAL ESTIMATE	2,000.	0.		0.
2015 EXTENSION PAYMENT	6,000.	0.		0.
REFUND	-30.	0.		0.
TO FORM 990-PF, PG 1, LN 18	12,036.	1,899.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BUSINESS EXPENSES	7,707.	0.		7,707.
DUES & SUBSCRIPTIONS	386.	0.		386.
INSURANCE	1,474.	0.		1,474.
PAYROLL SERVICES	1,747.	0.		1,747.
PROFESSIONAL DEVELOPMENT	4,302.	0.		4,302.
UTILITIES	4,698.	0.		4,698.
WEBSITE	375.	0.		375.
GS CAPITAL PARTNERS VI PARALLEL, LP	9,213.	9,213.		0.
GSCP VI PARALLEL AIV, LP	324.	324.		0.
EDUCATIONAL WORKSHOPS (SEE PART IX-A DETAILS)	51,812.	0.		51,812.
TO FORM 990-PF, PG 1, LN 23	82,038.	9,537.		72,501.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 9

DESCRIPTION	AMOUNT
FMV VS. COST BASIS OF CONTRIBUTED SECURITIES	193,808.
BASIS ADJUSTMENTS	7,139.
TOTAL TO FORM 990-PF, PART III, LINE 5	200,947.

FORM 990-PF	CORPORATE STOCK	STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	7,705,634.	10,813,510.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,705,634.	10,813,510.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	4,133,653.	4,083,935.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,133,653.	4,083,935.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	COST	2,984,467.	3,291,312.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,984,467.	3,291,312.

The Perrin Family Foundation
STATEMENT OF BALANCE SHEET ASSETS

	Beginning of Year Book Value	End of Year Book Value	End of Year Fair Market Value
Investments- Corporate Stock			
Abbott Labs	105,999	52,394	76,820
Abbvie Inc	114,947	56,817	125,240
Advansix Inc	-	521	886
Allergan PLC	-	193,274	210,010
Alphabet Inc	329,079	245,399	782,135
Anthem Inc	149,352	74,676	143,770
Aon PLC	49,080	49,080	111,530
Apple Inc	350,680	190,846	463,280
Celgene Corp	-	245,375	254,650
Chicago Bridge & Iron Co	112,176	-	-
Clorox Co	102,455	-	-
Columbia Dividend Income Fund	324,810	195,612	218,975
Conoco Phillips	129,907	-	-
Consolidated Edison Inc	70,230	-	-
CVS Health Corp	89,858	89,858	197,275
Franklin Res Inc	127,440	-	-
Freeport-Mcmoran Copper & Gold	104,956	-	-
Goldman Sachs Corp Inc	53,000	53,000	239,450
Haliburton Co	216,865	-	-
Honeywell Int Inc	-	97,836	115,850
Invesco Intl Growth Fund	175,620	-	-
iShares Core MSCI Emerging Markets ETF	207,134	207,134	175,050
iShares MSCI EAFE Value ETF	262,980	262,980	245,700
Johnson & Johnson	117,109	87,832	172,815
Johnson Controls Intl PLC	-	218,352	205,950
Liberty Global PLC	107,841	-	-
Lilly Eli &Co	-	206,306	220,650
Lyondellbasell Industries NV	-	99,099	111,514
Metlife Inc	-	113,024	107,780
Micron Technology Inc	91,567	-	-
Microsoft Corp	228,220	228,220	497,120
Morgan Stanley	110,782	110,782	172,380
Nvidia Corp	-	201,764	234,828
NXP Semiconductors NV Netherlands	-	202,141	245,025
Occidental Pete Corp	154,853	154,596	142,460
Oppenheimer Int'l Growth Fund	169,876	319,925	312,868
Oracle Corp	137,673	137,673	269,150
Palo Alto Networks Inc	-	149,736	125,050
Pepsico Inc	87,122	43,561	104,630
Pfizer Inc	95,570	95,570	222,488
Rio Tinto PLC	111,408	-	-
Schlumburger Ltd	225,844	225,844	285,430
Schwab Charles Corp New	140,700	70,350	197,350
Skechers USA Inc	-	108,886	98,320
Teva Pharmaceutical	-	103,568	72,500
Timken Co	75,962	-	-
TJX Cos Inc New	-	68,642	75,130
Unum Group	90,674	-	-
Vanguard Mid-Cap ETF	214,005	214,005	289,586
Vanguard Small Cap Value ETF	116,977	116,977	156,685
Vanguard Small-Cap ETF	106,773	106,773	144,435
GOLDMAN SACHS #251-9			
See Attached	617,587	627,663	566,021
GOLDMAN SACHS #533-0			
See Attached	1,086,217	1,427,064	2,188,181
GOLDMAN SACHS #618-1			
12,637 shrs JOHCM International Select Fund Institutional	250,828	252,482	234,543
Total Investments- Corporate Stock	7,414,156	7,705,634	10,813,510
Investments- Corporate Bonds			
PIMCO Foreign BD US$HD INSTL	883,203	-	-
Powershares Emerging Markets Sovereign Debt Portfolio	109,507	-	-
Principal PFD Secs Fund	250,000	518,800	499,137
GS High Yield Fund Institutional Shares	450,000	449,940	428,258
GS Local Emerging Markets Debt Fund	121,273	-	-

Ishare Intermediate CR BD ETF	-	1,096,863	1,081,900
Ishare UD PFD STK ETF	-	340,248	334,890
US TRUST #5443			
See Attached	485,329	-	-
GOLDMAN SACHS #010-8			
See Attached	1,004,686	1,727,802	1,739,750
Total Investments- Corporate Bonds	**3,303,998**	**4,133,653**	**4,083,935**

Investments- Other

Blackrock Global Long/Short Credit Fund	500,000	500,000	484,674
GS Capital Partners VI LP	839,362	730,507	1,178,077
GS West Street Partners 2007	81,460	65,917	15,925
GS Long Short Credit Strategies Fund	278,604	288,481	262,541
GS Long Short Fund	250,954	250,954	206,462
Goldman Sachs Tactical Tilt Overlay Mutual Fund	501,314	510,120	483,704
Highwoods PPTYS Inc	207,966	-	-
Vanguard REIT ETF	392,283	388,488	412,650
Boston Partners Long/Short Resh Fund Instl CL		250,000	247,279
Total Investments- Other	**3,051,943**	**2,984,467**	**3,291,312**

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
GS INTERNATIONAL SMALL CAP INSIGHTS FUND								
GS INTERNATIONAL SMALL CAP INSIGHTS FUND I (GICIX)	9,939 439	10 4000	103,370 17	10 6941	106,293 30	(2,923 13) 103,370 17	3 2981	3,409 23

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
MSCI EAFE 100% HEDGED TO USD INDEX FUND (ISHARES)								
ISHARES CURRENCY HEDGED MSCI EAFE ETF (HEFA)	9,065 00	26 1200	236,777 80 2,318 69	27 8659	252,604 38	(15,826 58)	2 8631	6,779 29

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
GS FOCUSED INTERNATIONAL EQUITY FUND								
GS FOCUSED INTERNATIONAL EQUITY FUND INSTITUTIONAL SHARES (GSIEX)	13,565 952	16 6500	225,873 10	19 8118	268,765 38	(42,892 28) (24,126 90)	0 8769	1,980 63
TOTAL NON-US EQUITY			**566,021.07** **2,318.69**		**627,663.06**	**(61,641.99)**	**2.1499**	**12,169.14**
TOTAL PUBLIC EQUITY			**566,021.07**		**627,663.06**	**(61,641.99)**	**2.1499**	**12,169.14**

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS CORPORATE FIXED INCOME								
GOLDMAN SACHS BANK DEPOSIT (BDA)[14]	66,970 10	1 0000	66,970 10	1 0000	66,970 10		0 4719	316 01

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
AT&T INC 1 6% 02/15/2017 SR LIEN S&P BBB+ /Moody's Baa1	50,000 00	100 0270	50,013 50 302 22	100 0199 100 29	50,009 93 50,147 00	3 57 (133 50)	1 4400	800 00
MOLSON COORS BREWING CO 2 0% 05/01/2017 SR LIEN S&P BBB- /Moody's Baa3	50,000 00	100 2430	50,121 50 166 67	100 2943 100 67	50,147 17 50,335 50	(25 67) (214 00)	1 1145	1,000 00
AMPHENOL CORPORATION 1 55% 09/15/2017 USD SR LIEN S&P BBB+ /Moody's Baa1	50,000 00	100 1010	50,050 50 228 19	100 1279 100 43	50,063 93 50,213 50	(13 43) (163 00)	1 3669	775 00
THOMSON REUTERS CORPORATION 1 65% 09/29/2017 USD SR LIEN S&P BBB+ /Moody's Baa2	50,000 00	100 1120	50,056 00 174 17	99 8535 99 69	49,926 73 49,843 50	129 27 212 50		825 00
NYSE EURONEXT 2 0% 10/05/2017 USD SR LIEN S&P A /Moody's A2	50,000 00	100 5316	50,265 80 238 89	100 4455 101 46	50,222 77 50,732 00	43 03 (466 20)	1 4090	1,000 00
WHIRLPOOL CORPORATION 1 65% 11/01/2017 USD SR LIEN S&P BBB /Moody's Baa1	50,000 00	100 1430	50,071 50 137 50	99 8747 99 74	49,937 33 49,870 50	134 17 201 00	1 8021	825 00
VOYA FINANCIAL, INC STEP 02/15/2018 USD SR LIEN 2 9% 06/11/13-02/15/18 S&P BBB /Moody's Baa2	39,000 00	101 2390	39,483 21 427 27	103 2220	40,256 58	(773 37)		1,131 00
THE CHARLES SCHWAB CORPORATION 1 5% 03/10/2018 USD SR LIEN Next Call Dt 02 10 18 S&P A /Moody's A2	50,000 00	100 0240	50,012 00 231 25	100 3001 100 73	50,150 06 50,364 00	(138 06) (352 00)	1 2452	750 00
AUTONATION, INC 6 75% 04/15/2018 USD SR LIEN S&P BBB- /Moody's Baa3	50,000 00	105 4980	52,749 00 712 50	105 0749 108 64	52,537 46 54,322 00	211 54 (1,573 00)	2 7128	3,375 00
CITIGROUP INC FRN 04/27/2018 USD SER _ USLIB 3MO +69 00BP SR LIEN CPN 10/27/16-01/26/17 1 57567% S&P BBB+ /Moody's Baa1	50,000 00	100 4130	50,206 50 142 25	100 0000	50,000 00	206 50		787 84
UNITED TECHNOLOGIES CORP STEP 05/04/2018 USD JRSUB LIEN 1 778% 05/04/15-05/04/18 S&P BBB+ /Moody's Baa1	50,000 00	100 0220	50,011 00 370 42	99 6480	49,824 00	187 00		889 00
NORTHROP GRUMMAN CORP 1 75% 06/01/2018 USD SR LIEN S&P BBB /Moody's Baa2	50,000 00	100 2750	50,137 50 72 92	100 1827 100 40	50,091 34 50,198 50	46 16 (61 00)	1 6191	875 00

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS CORPORATE FIXED INCOME								
WELLPOINT, INC 2 3% 07/15/2018 USD SR LIEN S&P A /Moody's Baa2	50,000 00	100 6540	50,327 00 530 28	100 6636 101 39	50,331 82 50,697 00	(4 82) (370 00)	1 8610	1,150 00
INGERSOLL-RAND GLBL HLDNG CO L 2 875% 01/15/2019 USD SER B SR LIEN S&P BBB /Moody's Baa2	50,000 00	101 7910	50,895 50 662 85	101 3294 102 07	50,664 71 51,037 00	230 79 (141 50)	2 2051	1,437 50
TORONTO-DOMINION BANK FRN MTN 01/22/2019 USD USLIB 3MO +84 00BP SR LIEN CPN 10/24/16-01/22/17 1 72178% S&P AA- /Moody's Aa1	50,000 00	100 8200	50,410 00 166 89	100 0000	50,000 00	410 00		860 89
NATIONAL RURAL UTIL COOP 1 65% 02/08/2019 USD SER 3YR S&P A /Moody's A1	50,000 00	99 9050	49,952 50 327 71	99 8810	49,940 50	12 00		825 00
AMERICAN TOWER CORPORATION 3 4% 02/15/2019 USD SR LIEN S&P BBB- /Moody's Baa3	50,000 00	102 1950	51,097 50 642 22	101 6220 102 31	50,811 00 51,157 00	286 50 (59 50)	2 6102	1,700 00
SHIRE ACQUISITIONS INVESTMENTS 1 9% 09/23/2019 SR LIEN S&P BBB- /Moody's Baa3	50,000 00	98 7320	49,366 00 258 61	99 9190	49,959 50	(593 50)	1 9279	950 00
JPMORGAN CHASE & CO 2 25% 01/23/2020 USD SR LIEN Next Call Dt 12 23 19 S&P A- /Moody's A3	50,000 00	99 7650	49,882 50 493 75	99 2730	49,636 50	246 00	2 4100	1,125 00
WELLS FARGO & COMPANY FRN MTN 01/30/2020 USD SER N USLIB 3MO +68 00BP SR LIEN CPN 10/31/16-01/29/17 1 56733% S&P A /Moody's A2	50,000 00	99 9510	49,975 50 132 79	100 8080	50,404 00	(428 50)		783 67
ACTAVIS FUNDING SCS 3 0% 03/12/2020 USD SR LIEN Next Call Dt 02 12 20 S&P BBB /Moody's Baa3	50,000 00	101 3860	50,693 00 454 17	100 5354 100 81	50,267 70 50,406 00	425 30 287 00	2 8236	1,500 00
MEDTRONIC, INC 2 5% 03/15/2020 USD SER B SR LIEN S&P A /Moody's A3	50,000 00	101 1190	50,559 50 368 06	102 2264 102 63	51,113 21 51,316 50	(553 71) (757 00)	1 7820	1,250 00
AGILENT TECHNOLOGIES, INC 5 0% 07/15/2020 USD SR LIEN S&P BBB+ /Moody's Baa2	50,000 00	107 9090	53,954 50 1,152 78	106 4904 108 53	53,245 22 54,262 50	709 28 (308 00)	3 0520	2,500 00
CVS HEALTH CORPORATION 2 8% 07/20/2020 USD SR LIEN Next Call Dt 06 20 20 S&P BBB+ /Moody's Baa1	50,000 00	101 4610	50,730 50 626 11	101 3684 101 70	50,684 22 50,850 50	46 28 (120 00)	2 3960	1,400 00

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS CORPORATE FIXED INCOME								
CNA FINANCIAL CORPORATION 5 875% 08/15/2020 USD SR LIEN S&P BBB /Moody's Baa2	50,000 00	110 4690	55,234 50 1,109 72	109 5515 111 84	54,775 77 55,919 00	458 73 (684 50)	3 0701	2,937 50
OMNICOM GROUP INC 4 45% 08/15/2020 USD SR LIEN S&P BBB+ /Moody's Baa1	50,000 00	106 3710	53,185 50 840 56	107 1149 110 27	53,557 44 55,135 00	(371 94) (1,949 50)	2 3891	2,225 00
ANHEUSER-BUSCH INBEV FIN FRN 02/01/2021 USD USLIB 3MO +126 00BP SR LIEN CPN 11/01/16-01/31/17 2 14594% S&P A- /Moody's A3	50,000 00	101 7590	50,879 50 172 87	100 0000	50,000 00	879 50		1,072 97
SUNTRUST BANKS INC 2 9% 03/03/2021 USD SR LIEN Next Call Dt 02 03 21 S&P BBB+ /Moody's Baa1	50,000 00	101 4130	50,706 50 475 28	99 7830	49,891 50	815 00	2 9470	1,450 00
THE TJX COMPANIES, INC 2 75% 06/15/2021 USD SR LIEN Next Call Dt 04 15 21 S&P A+ /Moody's A2	50,000 00	101 7340	50,867 00 61 11	101 8625 102 23	50,931 23 51,116 00	(64 23) (249 00)	2 3078	1,375 00
TEVA PHARMACEUTICAL FINANCE NE 2 2% 07/21/2021 SR LIEN S&P BBB /Moody's Baa2	50,000 00	95 6690	47,834 50 485 83	99 8350	49,917 50	(2,083 00)	2 2351	1,100 00
AMGEN INC 1 85% 08/19/2021 SR LIEN Next Call Dt 07 19 21 S&P A /Moody's Baa1	50,000 00	96 1620	48,081 00 339 17	99 8910	49,945 50	(1,864 50)	1 8729	925 00
AMERISOURCEBERGEN CORP 3 5% 11/15/2021 USD SR LIEN Next Call Dt 08 15 21 S&P A- /Moody's Baa2	50,000 00	102 9390	51,469 50 223 61	103 1750 103 69	51,587 48 51,846 50	(117 98) (377 00)	2 7984	1,750 00
MORGAN STANLEY FRN MTN 10/24/2023 USLIB 3MO +140 00BP SR LIEN CPN 10/24/16-01/23/17 2 28178% Next Call Dt 10 24 22 S&P BBB+ /Moody's A3	50,000 00	101 1110	50,555 50 215 50	100 0000	50,000 00	555 50		1,140 89
TOTAL GS CORPORATE FIXED INCOME			1,726,805 61 12,944 12		1,727,802 20 1,736,515 18	(996 59) (9,709 57)	2 1526	42,807 26

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**1,739,749.73**	**1,727,802.20** **1,736,515.18**	**(996.59)** **(9,709.57)**	**42,807.26**

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
U S DOLLAR	161 43	1 0000	161 43		161 43			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14]	9,803 90	1 0000	9,803 90	1 0000	9,803 90		0 4726	46 34
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Cost Basis**	**Unrealized Gain (Loss)**	**Dividend Yield**	**Estimated Annual Income**
3M COMPANY CMN (MMM)	46 00	178 5700	8,214 22	134 5624	6,189 87	2,024 35	2 4864	204 24
ABBOTT LABORATORIES CMN (ABT)	605 00	38 4100	23,238 05	38 1430	23,076 51	161 54	2 7597	641 30
ABBVIE INC CMN (ABBV)	493 00	62 6200	30,871 66	50 8541	25,071 06	5,800 60	4 0882	1,262 08
ABIOMED INC CMN (ABMD)	3 00	112 6800	338 04	104 2533	312 76	25 28		
ALPHABET INC CMN CLASS A (GOOGL)	24 00	792 4500	19,018 80	617 7667	14,826 40	4,192 40		
ALPHABET INC CMN CLASS C (GOOG)	24 00	771 8200	18,523 68	605 0658	14,521 58	4,002 10		
ALTRIA GROUP, INC CMN (MO)	344 00	67 6200	23,261 28 209 84	36 7018	12,625 42	10,635 86	3 6084	839 36
AMAZON COM INC CMN (AMZN)	35.00	749 8700	26,245 45	535 3077	18,735 77	7,509 68		
AMERICAN HOMES 4 RENT LLC CMN (AMH)	43 00	20 9800	902 14	20 5177	882 26	19 88	0 9533	8 60
AMERIPRISE FINANCIAL, INC CMN (AMP)	144 00	110 9400	15,975 36	4 8947	704 83	15,270 53	2 7042	432 00
AMGEN INC CMN (AMGN)	194 00	146 2100	28,364 74	42 0168	8,151 26	20,213 48	3 1462	892 40
ANADARKO PETROLEUM CORP CMN (APC)	90 00	69 7300	6,275 70	61 0599	5,495 39	780 31	0 2868	18 00
ANALOG DEVICES, INC CMN (ADI)	59 00	72 6200	4,284 58	43 4946	2,566 18	1,718 40	2 3134	99 12
ANTERO RESOURCES CORPORATION CMN (AR)	20 00	23 6500	473 00	22 1125	442 25	30 75		
APPLE INC CMN (AAPL)	703 00	115 8200	81,421 46	43 8736	30,843 15	50,578 31	1 9686	1,602 84
ARCHER DANIELS MIDLAND CO CMN (ADM)	32 00	45 6500	1,460 80	47 5053	1,520 17	(59 37)	2 6287	38 40
ARTISAN PARTNERS ASSET MGMT IN CMN (APAM)	416 00	29 7500	12,376 00	30 2746	12,594 23	(218 23)	8 0672	998 40
AT&T INC CMN (T)	1,217 00	42 5300	51,759 01	35 1710	42,803 15	8,955 86	4 6085	2,385 32

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
AUTOMATIC DATA PROCESSING INC CMN (ADP)	133 00	102 7800	13,669 74 75 81	58 2280	7,744 33	5,925 41	2 2183	303 24
BANK OF AMERICA CORP CMN (BAC)	620 00	22 1000	13,702 00	13 3515	8,277 90	5,424 10	1 3575	186 00
BB&T CORPORATION CMN (BBT)	74 00	47 0200	3,479 48	38 7764	2,869 45	610 03	2 5521	88 80
BERKSHIRE HATHAWAY INC CLASS B (BRKB)	47 00	162 9800	7,660 06	110 2411	5,181 33	2,478 73		
BLACKROCK, INC CMN (BLK)	22 00	380 5400	8,371 88	258 9014	5,695 83	2,676 05	2 4071	201 52
BOEING COMPANY CMN (BA)	151 00	155 6800	23,507 68	121 7094	18,378 12	5,129 56	3 6485	857 68
BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	293 00	58 4400	17,122 92	41 4650	12,149 25	4,973 67	2 6694	457 08
BROADRIDGE FINANCIAL SOLUTIONS IN CMN (BR)	44 00	66 3000	2,917 20 14 52	42 8880	1,887 07	1,030 13	1 9910	58 08
BRUKER CORPORATION CMN (BRKR)	16 00	21 1800	338 88	22 9806	367 69	(28 81)	0 7554	2 56
CA, INC CMN (CA)	186 00	31 7700	5,909 22	25 9132	4,819 85	1,089 37	3 2106	189 72
CARDINAL HEALTH INC CMN (CAH)	51 00	71 9700	3,670 47 22 89	44 3616	2,262 44	1,408 03	2 4949	91 58
CARNIVAL CORPORATION CMN (CCL)	87 00	52 0600	4,529 22	40 5831	3,530 73	998 49	2 6892	121 80
CATERPILLAR INC (DELAWARE) CMN (CAT)	209 00	92 7400	19,382 66	68 6905	14,356 31	5,026 35	3 3211	643 72
CDK GLOBAL INC CMN (CDK)	18 00	59 6900	1,074 42	25 4661	458 39	616 03	0 9382	10 08
CENTERPOINT ENERGY, INC CMN (CNP)	387 00	24 6400	9,535 68	23 3672	9,043 12	492 56	4 3425	414 09
CENTURYLINK INC CMN (CTL)	341 00	23 7800	8,108 98	24 1205	8,225 09	(116 11)	9 0833	736 56
CF INDUSTRIES HOLDINGS, INC CMN (CF)	174 00	31 4800	5,477 52	26 2086	4,560 29	917 23	3 8119	208 80
CHARTER COMMUNICATIONS, INC CMN (CHTR)	53 00	287 9200	15,259 76	0 1885	9 99	15,249 77		
CHENIERE ENERGY INC CMN (LNG)	48 00	41 4300	1,988 64	40 3313	1,935 90	52 74		
CHEVRON CORPORATION CMN (CVX)	233 00	117 7000	27,424 10	93 1634	21,707 08	5,717 02	3 6703	1,006 56
CINCINNATI FINANCIAL CRP CMN (CINF)	133 00	75 7500	10,074 75 63 84	47 8560	6,364 85	3,709 90	2 5347	255 36
CINEMARK HOLDINGS, INC CMN (CNK)	13 00	38 3600	498 68	40 1823	522 37	(23 69)	2 8154	14 04
CISCO SYSTEMS, INC CMN (CSCO)	995 00	30 2200	30,068 90	26 5257	26,393 12	3,675 78	3 4414	1,034 80
CME GROUP INC CMN CLASS A (CME)	148 00	115 3500	17,071 80 481 00	99 1072	14,667 87	2,403 93	2 0806	355 20

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
COACH INC CMN (COH)	0.00	35 0200	0 00 31 39				3 8549	
COCA-COLA COMPANY (THE) CMN (KO)	626 00	41 4600	25,953 96	8 8896	5,564 87	20,389 09	3 3767	876 40
COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	210 00	69 0500	14,500 50 57 75	40 5958	8,525 12	5,975 38	1 5930	231 00
CONOCOPHILLIPS CMN (COP)	195 00	50 1400	9,777 30	0 3003	58 55	9,718 75	1 9944	195 00
CONSOLIDATED EDISON INC CMN (ED)	75 00	73 6800	5,526 00	57 1095	4,283 21	1,242 79	3 6374	201 00
CONTINENTAL RESOURCES, INC CMN (CLR)	54 00	51 5400	2,783 16	22.3569	1,207 27	1,575 89		
COSTCO WHOLESALE CORPORATION CMN (COST)	59 00	160 1100	9,446 49	2 0180	119 06	9,327 43	1 1242	106 20
COVANTA HOLDING CORP CMN (CVA)	1,050 00	15 6000	16,380 00 262 50	15 2591	16,022 02	357 98	6 4103	1,050 00
CULLEN FROST BANKERS INC CMN (CFR)	40 00	88 2300	3,529 20	61 7735	2,470 94	1,058 26	2 4481	86 40
CUMMINS INC COMMON STOCK (CMI)	101 00	136 6700	13,803 67	89 3235	9,021 67	4,782 00	2 9999	414 10
CVS HEALTH CORP CMN (CVS)	258 00	78 9100	20,358 78	13 1899	3,403 00	16,955 78	2 5345	516 00
CYPRESS SEMICONDUCTOR CORPORAT CMN (CY)	751 00	11 4400	8,591 44 82 61	10.6850	8,024 44	567 00	3 8462	330 44
DARDEN RESTAURANTS INC CMN (DRI)	108 00	72 7200	7,853 76	42 7684	4,618 99	3,234 77	3 0803	241 92
DELTA AIR LINES, INC CMN (DAL)	51 00	49 1900	2,508 69	42 3367	2,159 17	349 52	1 6467	41 31
DIAMONDBACK ENERGY INC CMN (FANG)	43 00	101 0600	4,345 58	92 8288	3,991 64	353 94		
DOMTAR CORPORATION CMN CLASS (UFS)	39 00	39 0300	1,522 17 16 19	39 9979	1,559 92	(37 75)	4 2531	64 74
DOW CHEMICAL CO CMN (DOW)	310 00	57 2200	17,738 20 142 60	40 5543	12,571 82	5,166 38	3 2157	570 40
DUKE ENERGY CORPORATION CMN (DUK)	138 00	77 6200	10,711 56	72.5884	10,017 20	694 36	3 9423	422 28
E I DU PONT DE NEMOURS AND CO CMN (DD)	160 00	73 4000	11,744 00	1 0198	163 16	11,580 84	2 0708	243 20
ELI LILLY & CO CMN (LLY)	168 00	73 5500	12,356 40	58 6406	9,851 62	2,504 78	2 8280	349 44

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
EMERSON ELECTRIC CO CMN (EMR)	75 00	55 7500	4,181 25	47 8804	3,591 03	590 22	3 4439	144 00
ENERGEN CORP CMN (EGN)	32 00	57 6700	1,845 44	53 7841	1,721 09	124 35		
ENTERGY CORPORATION CMN (ETR)	288 00	73 4700	21,159 36	70 8865	20,415 30	744 06	4 7366	1,002 24
EOG RESOURCES INC CMN (EOG)	129 00	101 1000	13,041 90	87 8881	11,337 56	1,704 34	0 6627	86 43
EXPRESS SCRIPTS HOLDINGS CMN (ESRX)	160 00	68 7900	11,006 40	2 8345	453 52	10,552 88		
EXTENDED STAY AMERICA, INC CMN (STAY)	46 00	16 1500	742 90	16 4226	755 44	(12 54)	4 7059	34 96
EXXON MOBIL CORPORATION CMN (XOM)	438 00	90 2600	39,533 88	1 5579	682 36	38,851 52	3 3237	1,314 00
FACEBOOK, INC CMN CLASS A (FB)	242 00	115 0500	27,842 10	74 1748	17,950 31	9,891 79		
FEDERATED INVESTORS, INC CMN CLASS B (FII)	154 00	28 2800	4,355 12	28 1117	4,329 20	25 92	3 5361	154 00
FEDEX CORP CMN (FDX)	80 00	186 2000	14,896 00 32 00	0 4864	38 91	14,857 09	0 8593	128 00
FIFTH THIRD BANCORP CMN (FITB)	219 00	26 9700	5,906 43 30 66	15 7782	3,455 43	2,451 00	2 0764	122 64
FORD MOTOR COMPANY CMN (F)	1,948 00	12 1300	23,629 24	12 7785	24,892 53	(1,263 29)	4 9464	1,168 80
GAMESTOP CORP CMN CLASS A (GME)	50 00	25 2600	1,263 00	20 7882	1,039 41	223 59	5 8591	74 00
GENERAL ELECTRIC CO CMN (GE)	1,087 00	31 6000	34,349 20 260 88	20 4180	22,194 36	12,154 84	3 0380	1,043 52
GENERAL MILLS INC CMN (GIS)	183 00	61 7700	11,303 91	49 9021	9,132 09	2,171 82	3 1083	351 36
GENERAL MOTORS COMPANY CMN (GM)	259 00	34 8400	9,023 56	31 5874	8,181 14	842 42	4 3628	393 68
GILEAD SCIENCES CMN (GILD)	121 00	71 6100	8,664 81	59 0245	7,141 96	1,522 85	2 6253	227 48
GREAT PLAINS ENERGY INC CMN (GXP)	249 00	27 3500	6,810 15	27 5415	6,857 83	(47 68)	4 0219	273 90
HALLIBURTON COMPANY CMN (HAL)	194 00	54 0900	10,493 46	46 9848	9,115 06	1,378 40	1 3311	139 68
HEWLETT PACKARD ENTERPRISE CO CMN (HPE)	0 00	23 1400	0 00 5 33				1 1236	
HILL-ROM HOLDINGS, INC CMN (HRC)	27 00	56 1400	1,515 78	55 9541	1,510 76	5 02	1 2113	18 36
HOLLYFRONTIER CORP CMN (HFC)	111 00	32 7600	3,636 36	31 4425	3,490 12	146 24	4 0293	146 52
HP INC CMN (HPQ)	0.00	14 8400	0 00 28 40					
HUNTSMAN CORPORATION CMN (HUN)	191 00	19 0800	3,644 28	11 4264	2,182 45	1,461 83	2 6205	95 50
INCYTE CORPORATION CMN (INCY)	11 00	100 2700	1,102 97	52 4418	576 86	526 11		

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
INTEL CORPORATION CMN (INTC)	548 00	36 2700	19,875 96	8 5931	4,709 02	15,166 94	2 8674	569 92
INTERNATIONAL PAPER CO CMN (IP)	141 00	53 0600	7,481 46	39 2274	5,531 07	1,950 39	3 4866	260 85
INTERPUBLIC GROUP COS CMN (IPG)	115 00	23 4100	2,692 15	22 1918	2,552 06	140 09	2 5630	69 00
INTL BUSINESS MACHINES CORP CMN (IBM)	143 00	165 9900	23,736 57	156 6225	22,397 02	1,339 55	3 3737	800 80
INVESCO LTD CMN (IVZ)	522 00	30 3400	15,837 48	30 3110	15,822 36	15 12	3 6915	584 64
JOHNSON & JOHNSON CMN (JNJ)	189 00	115 2100	21,774 69	75 8091	14,327 92	7,446 77	2 7775	604 80
JPMORGAN CHASE & CO CMN (JPM)	329 00	86 2900	28,389 41	45 4149	14,941 49	13,447 92	2 2251	631 68
KANSAS CITY SOUTHERN CMN (KSU)	75 00	84 8500	6,363 75 24 75	0 1985	14 89	6,348 86	1 5557	99 00
KAR AUCTION SERVICES, INC CMN (KAR)	101 00	42 6200	4,304 62 32 32	30 7344	3,104 17	1,200 45	3 0033	129 28
KEYCORP CMN (KEY)	308 00	18 2700	5,627 16	11 6250	3,580 50	2,046 66	1 8610	104 72
KIMBERLY CLARK CORP CMN (KMB)	30 00	114 1200	3,423 60 27 60	113 9173	3,417 52	6 08	3 2247	110 40
KINDER MORGAN INC CMN CLASS P (KMI)	301 00	20 7100	6,233 71	17 3481	5,221 77	1,011 94	2 4143	150 50
L BRANDS, INC CMN (LB)	183 00	65 8400	12,048 72	59 9820	10,976 70	1,072 02	3 6452	439 20
LAMAR ADVERTISING COMPANY CMN CLASS A (LAMR)	41 00	67 2400	2,756 84	51 9695	2,130 75	626 09		
LAS VEGAS SANDS CORP CMN (LVS)	160 00	53 4100	8,545 60	54 8694	8,779 11	(233 51)	5 3922	460 80
LEAR CORPORATION CMN (LEA)	20 00	132 3700	2,647 40	100 7510	2,015 02	632 38	0 9065	24 00
LEGGETT & PLATT INC CMN (LEG)	91 00	48 8800	4,448 08 30 94	29 1101	2,649 02	1,799 06	2 7823	123 76
LEIDOS HLDGS INC CMN (LDOS)	455 00	51 1400	23,268 70	13 6519	6,211 61	17,057 09	2 5029	582 40
LOWES COMPANIES INC CMN (LOW)	116 00	71 1200	8,249 92	40 7816	4,730 66	3,519 26	1 9685	162 40
LPL FINL HLDGS INC CMN (LPLA)	67 00	35 2100	2,359 07	23 1799	1,553 05	806 02	2 8401	67 00
MACQUARIE INFRASTRUCTURE CORP CMN (MIC)	271 00	81 7000	22,140 70	76 8738	20,832 80	1,307 90	6 3158	1,398 36

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
MACY'S INC CMN (M)	0 00	35 8100	0 00 13 59				4 2167	
MANPOWER GROUP CMN (MAN)	25 00	88 8700	2,221 75	76 9204	1,923 01	298 74	1 9354	43 00
MASTERCARD INCORPORATED CMN CLASS A (MA)	46 00	103 2500	4,749 50	81 1193	3,731 49	1,018 01	0 8523	40 48
MATTEL, INC CMN (MAT)	652 00	27 5500	17,962 60	29 5724	19,281 20	(1,318 60)	5 5172	991 04
MAXIM INTEGRATED PRODUCTS INC CMN (MXIM)	153 00	38 5700	5,901 21	32 0078	4,897 20	1,004 01	3 4223	201 96
MC DONALDS CORP CMN (MCD)	131 00	121 7200	15,945 32	109 4073	14,332 36	1,612 96	3 0891	492 56
MCKESSON CORPORATION CMN (MCK)	20 00	140 4500	2,809 00 5 60	137 5770	2,751 54	57 46	0 7974	22 40
MERCK & CO , INC CMN (MRK)	581 00	58 8700	34,203 47 273 07	48 6162	28,246 00	5,957 47	3 1935	1,092 28
MERCURY GENERAL CORPORATION CMN (MCY)	110 00	60 2100	6,623 10	42 1725	4,638 97	1,984 13	4 1355	273 90
METLIFE, INC CMN (MET)	193 00	53 8900	10,400 77	38 3383	7,399 29	3,001 48	2 9690	308 80
MICROSOFT CORPORATION CMN (MSFT)	993 00	62 1400	61,705 02	38 2747	38,006 80	23,698 22	2 5105	1,549 08
NAVIENT CORPORATION CMN (NAVI)	440 00	16 4300	7,229 20	11 1028	4,885 22	2,343 98	3 8953	281 60
NETFLIX COM INC CMN (NFLX)	41 00	123 8000	5,075 80	39 6507	1,625 68	3,450 12		
NEW YORK COMMUNITY BANCORP, IN CMN (NYCB)	539 00	15 9100	8,575 49	13 6698	7,368 02	1,207 47	4 2740	366 52
NEWMONT MINING CORPORATION CMN (NEM)	50 00	34 0700	1,703 50	17 8088	890 44	813 06	0 5870	10 00
NIKE CLASS-B CMN CLASS B (NKE)	0 00	50 8300	0 00 12 24				1 4165	
NORTHROP GRUMMAN CORP CMN (NOC)	68 00	232 5800	15,815 44	1 3974	95 02	15,720 42	1 5479	244 80
NU SKIN ENTERPRISES INC CMN CLASS A (NUS)	7 00	47 7800	334 46	38 8529	271 97	62 49	2 9720	9 94
OCCIDENTAL PETROLEUM CORP CMN (OXY)	126 00	71 2300	8,974 98 11 40	71 9787	9,069 32	(94 34)	4 2679	383 04
OLD REPUBLIC INTL CORP CMN (ORI)	793 00	19 0000	15,067 00	14.1432	11,215 58	3,851 42	3 9474	594 75
ORACLE CORPORATION CMN (ORCL)	140 00	38 4500	5,383 00	32 3601	4,530 41	852 59	1 5605	84 00
PACKAGING CORP OF AMERICA COMMON STOCK (PKG)	101 00	84 8200	8,566 82 63 63	49 0346	4,952 49	3,614 33	2 9710	254 52
PACWEST BANCORP CMN (PACW)	234.00	54 4400	12,738 96	48 9790	11,461 08	1,277 88	3 6738	468 00
PARSLEY ENERGY, INC CMN (PE)	45 00	35 2400	1,585 80	35 8424	1,612 91	(27 11)		

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
PATTERSON-UTI ENERGY, INC ORD CMN (PTEN)	47 00	26 9200	1,265 24	14.9162	701 06	564 18	0 2972	3 76
PAYCHEX, INC CMN (PAYX)	330 00	60 8800	20,090 40	41 3500	13,645 51	6,444 89	3 0223	607 20
PBF ENERGY INC CMN (PBF)	59 00	27 8800	1,644 92	26 3625	1,555 39	89 53	4 3042	70 80
PEPSICO INC CMN (PEP)	101 00	104 6300	10,567 63	86 0643	8,692 49	1,875 14	2 8768	304 01
			76 00					
PFIZER INC CMN (PFE)	1,366 00	32 4800	44,367 68	28 3288	38,697 12	5,670 56	3 9409	1,748 48
PHILIP MORRIS INTL INC CMN (PM)	167 00	91 4900	15,278 83	83 9839	14,025 31	1,253 52	4 5469	694 72
			118 56					
PITNEY-BOWES INC CMN (PBI)	478 00	15 1900	7,260 82	15 9925	7,644 42	(383 60)	4 9375	358 50
PPL CORPORATION CMN (PPL)	161 00	34 0500	5,482 05	33 5439	5,400 57	81 48	4 4640	244 72
			61 18					
PRINCIPAL FINANCIAL GROUP, INC CMN (PFG)	165 00	57 8600	9,546 90	38.4882	6,350 56	3,196 34	2 9727	283 80
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	441 00	84 0800	37,079 28	81 2549	35,833 41	1,245 87	3 1851	1,181 00
PRUDENTIAL FINANCIAL INC CMN (PRU)	140 00	104 0600	14,568 40	74 7096	10,459 35	4,109 05	2 6908	392 00
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (PEG)	104 00	43 8800	4,563 52	40 7371	4,236 66	326 86	3 7375	170 56
QUALCOMM INC CMN (QCOM)	209 00	65 2000	13,626 80	49 6802	10,383 16	3,243 64	3 2515	443 08
RAYTHEON CO CMN (RTN)	41 00	142 0000	5,822 00	66 5515	2,728 61	3,093 39	2 0634	120 13
			30 03					
REGAL ENTERTAINMENT GROUP CMN CLASS A (RGC)	101 00	20 6000	2,080 60	20 9121	2,112 12	(31 52)	4 2718	88 88
REGIONS FINANCIAL CORPORATION CMN (RF)	0 00	14 3600	0 00				1 8106	
			12 94					
RICE ENERGY INC CMN (RICE)	66 00	21 3500	1,409 10	20 9926	1,385 51	23 59		
SCHLUMBERGER LTD CMN (SLB)	173 00	83 9500	14,523 35	76 1959	13,181 89	1,341 46	2 3824	346 00
			56 00					
SIX FLAGS ENTERTAINMENT CORPOR CMN (SIX)	147.00	59 9600	8,814 12	37 7001	5,541 92	3,272.20	4 2695	376 32
SPLUNK INC CMN (SPLK)	1 00	51 1500	51 15	45 7800	45 78	5 37		

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
ST JUDE MEDICAL INC CMN (STJ_170105)	120 00	80 1900	9,622 80	0 0389	4 67	9,618 13		
STAPLES, INC CMN (SPLS)	341 00	9 0500	3,086 05 40 92	8 0455	2,743 50	342 55	5 3039	163 68
STARBUCKS CORP CMN (SBUX)	82 00	55 5200	4,552 64	29 9421	2,455 25	2,097 39	1 8012	82 00
TARGA RESOURCES CORP CMN (TRGP)	20 00	56 0700	1,121 40	25 6355	512 71	608 69	6 4919	72 80
TARGET CORPORATION CMN (TGT)	150 00	72 2300	10,834 50	0 0658	9 87	10,824 63	3 3227	360 00
TEXAS INSTRUMENTS INC CMN (TXN)	299 00	72 9700	21,818 03	41 8755	12,520 76	9,297 27	2 7409	598 00
THE KRAFT HEINZ CO CMN (KHC)	297 00	87 3200	25,934 04	53 9109	16,011 55	9,922 49	2 7485	712 80
THE SOUTHERN CO CMN (SO)	561 00	49 1900	27,595 59	43 9485	24,655 12	2,940 47	4 5538	1,256 64
TIME WARNER INC CMN (TWX)	80 00	96 5300	7,722 40	61 5630	4,925 04	2,797 36	1 6679	128 80
TUPPERWARE BRANDS CORPORATION CMN (TUP)	100 00	52 6200	5,262 00 21 76	53 9388	5,393 88	(131 88)	5 1691	272 00
U S BANCORP CMN (USB)	320 00	51 3700	16,438 40 89 60	1 1891	380 51	16,057 89	2 1803	358 40
UNION PACIFIC CORP CMN (UNP)	63 00	103 6800	6,531 84	81 2714	5,120 10	1,411 74	2 3341	152 46
UNITED TECHNOLOGIES CORP CMN (UTX)	35 00	109 6200	3,836 70	101 4183	3,549 64	287 06	2 4083	92 40
UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	167 00	160 0400	26,726 68	12 7219	2,124 56	24,602 12	1 5621	417 50
VERIZON COMMUNICATIONS INC CMN (VZ)	379 00	53 3800	20,231 02	48 6844	18,451 39	1,779 63	4 3275	875 49
VISA INC CMN CLASS A (V)	111 00	78 0200	8,660 22	50 2388	5,576 51	3,083 71	0 8459	73 26
WAL MART STORES INC CMN (WMT)	0 00	69 1200	0 00 24 00				2 8935	
WALGREENS BOOTS ALLIANCE, INC CMN (WBA)	97 00	82 7600	8,027 72	47 1732	4,575 80	3,451 92		
WALT DISNEY COMPANY (THE) CMN (DIS)	56 00	104 2200	5,836 32 43 68	92 5980	5,185 49	650 83	1 4968	87 36
WELLS FARGO & CO (NEW) CMN (WFC)	881 00	55 1100	48,551 91	20 8113	18,334 75	30,217 16	2 7581	1,339 12
WORLD FUEL SERVICES CORP CMN (INT)	103 00	45 9100	4,728 73	46 1228	4,750 65	(21 92)	0 5228	24 72
YELP INC CMN (YELP)	2 00	38 1300	76 26	20 5400	41 08	35 18		
THE HOME DEPOT, INC CMN (HD)	256 00	134 0800	34,324 48	22 2211	5,688 61	28,635 87	2 0585	706 56
AMERICAN TOWER CORPORATION CMN (AMT)	117 00	105 6800	12,364 56 67 86	91 9756	10,761 15	1,603 41	1 2869	159 12

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
CROWN CASTLE INTL CORP CMN (CCI)	41 00	86 7700	3,557 57	76 7202	3,145 53	412 04	3 7801	134 48
CUBESMART CMN (CUBE)	109 00	26 7700	2,917 93	25 6464	2,795 46	122 47	4 0344	117 72
			29 43					
DUKE REALTY CORP CMN (DRE)	114 00	26 5600	3,027 84	26 1476	2,980 83	47 01	2 8614	86 64
EQUINIX, INC REIT (EQIX)	26 00	357 4100	9,292 66	326 9542	8,500 81	791 85	1 9585	182 00
EQUITY RESIDENTIAL CMN (EQR)	64 00	64 3600	4,119 04	61 4044	3,929 88	189 16	3 1308	128 96
			32 24					
FOREST CITY REALTY TRUST, INC CMN CLASS A (FCEA)	23 00	20 8400	479 32	20 9961	482 91	(3 59)	1 1516	5 52
KILROY RLTY CORP COMMON STOCK (KRC)	1 00	73 2200	73 22	55 1500	55 15	18 07	2 0486	1 50
			2 28					
REGENCY CTRS CORP CMN (REG)	10 00	68 9500	689 50	68 2210	682 21	7 29	2 9007	20 00
PUBLIC STORAGE CMN (PSA)	24 00	223 5000	5,364 00	151.0583	3,625 40	1,738 60	3 5794	192 00
SIMON PROPERTY GROUP INC CMN (SPG)	77 00	177 6700	13,680 59	162 1545	12,485 90	1,194 69	3 6585	500 50
ACCENTURE PLC CMN (ACN)	103 00	117 1300	12,064 39	81 1028	8,353 59	3,710 80	2 0661	249 26
BROADCOM LIMITED CMN (AVGO)	25 00	176 7700	4,419 25	88 3868	2,209 67	2,209 58		
EATON CORP PLC CMN (ETN)	185 00	67 0900	12,411 65	60 3584	11,166 30	1,245 35	3 3984	421 80
GARMIN LTD CMN (GRMN)	122 00	48 4900	5,915 78	35 8201	4,370 05	1,545 73		
INTERNATIONAL GAME TECHNOLOGY PLC CMN (IGT)	74 00	25 5200	1,888 48	17 4097	1,288 32	600 16	3 1348	59 20
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (LYB)	38 00	85 7800	3,259 64	60 3247	2,292 34	967 30	3 9636	129 20
QIAGEN N V COMMON SHARES (QGEN)	25 00	28 0200	700 50	26 6120	665 30	35 20		
SEAGATE TECHNOLOGY PLC CMN (STX)	252 00	38 1700	9,618 84	20 0554	5,053 96	4,564 88		
			127 01					

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
THOMSON REUTERS CORPORATION CMN (TRI)	15 00	43 7800	656 70	32 5273	487 91	168 79	3 1064	20 40
TOTAL GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)			2,185,034 06 3,146 84		1,427,063 79	757,970 27	3 1177	62,266 99
			Market Value		**Adjusted Cost / [6] Original Cost**	**Unrealized Gain (Loss)**		**Estimated Annual Income**
TOTAL PORTFOLIO			**2,188,180.90**		**1,427,063.79**	**757,970.27**		**62,266.99**

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES R. PERRIN C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET NEW HAVEN, CT 06510	TRUSTEE 2.00	0.	0.	0.
SHEILA A. PERRIN C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET NEW HAVEN, CT 06510	TRUSTEE 20.00	0.	0.	0.
DAVID B. PERRIN C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET NEW HAVEN, CT 06510	TRUSTEE 2.00	0.	0.	0.
JEFFREY L. PERRIN C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET NEW HAVEN, CT 06510	TRUSTEE 2.00	0.	0.	0.
ANNE KENAN C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET NEW HAVEN, CT 06510	TRUSTEE 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 14

ACTIVITY ONE

BUILDING LEADERSHIP AND ORGANIZING CAPACITY INITIATIVE:
THE BUILDING LEADERSHIP AND ORGANIZING CAPACITY (BLOC) INITIATIVE IS A COHORT-BASED CAPACITY BUILDING INITIATIVE DESIGNED TO BUILD THE RESEARCH, ANALYSIS, CAMPAIGN DEVELOPMENT AND ORGANIZING CAPACITY OF YOUTH-LED SOCIAL CHANGE GROUPS IN CONNECTICUT. THE BLOC INITIATIVE SEEKS TO INCREASE THE MEANINGFUL INCLUSION OF YOUTH IN LEADERSHIP OR

DECISION-MAKING ROLES IN THEIR ORGANIZATIONS, STRENGTHEN ORGANIZATIONS' ABILITY TO DEVELOP AND IMPLEMENT EFFECTIVE YOUTH ORGANIZING CAMPAIGNS THAT LEAD TO CONCRETE AND LASTING CHANGE AROUND THE ISSUES THAT YOUTH HAVE IDENTIFIED; AND BUILD COLLABORATIVE RELATIONSHIPS AND PARTNERSHIPS WITH AMONG ORGANIZATIONS COMMITTED TO BUILDING A MOVEMENT FOR YOUTH-LED SOCIAL CHANGE IN CONNECTICUT. PARTICIPATING ORGANIZATIONS ENGAGE IN MONTHLY WORKSHOPS, ATTEND BIANNUAL RETREATS, AND RECEIVE TARGETED TECHNICAL ASSISTANCE. THE INITIATIVE IS BEING EVALUATED IN ORDER TO IDENTIFY BEST PRACTICES FOR THE FIELD.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	18,201.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 15

ACTIVITY TWO

TRAININGS, WORKSHOPS AND CAPACITY BUILDING:
THE PERRIN FAMILY FOUNDATION (PFF) OFFERS CAPACITY BUILDING CONSULTANTS, TRAININGS AND WORKSHOPS TO YOUTH PRACTITIONERS AND COMMUNITY GROUPS ACROSS THE STATE OF CONNECTICUT IN ORDER TO DEEPEN THE SECTOR'S ABILITY TO SUPPORT YOUNG PEOPLE AS LEADERS OF SOCIAL CHANGE. IN 2016, PFF OFFERED MULTIPLE TRAININGS FREE OF CHARGE TO OUR GRANTEE PARTNERS AND OTHER COMMUNITY GROUPS. TRAININGS INCLUDED: MOVING FROM COMMUNITY SERVICE TO YOUTH LED SOCIAL CHANGE; FACILITATION 101: CREATING SPACE FOR YOUTH VOICES TO LEAD, ARTS AND SOCIAL CHANGE; GRASSROOTS FUNDRAISING FUNDAMENTALS. PFF ALSO SUPPORTED ORGANIZATIONAL ASSESSMENTS FOR TWO EMERGING, GRASSROOTS ORGANIZATIONS.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 2	33,612.

FORM 990-PF PART XV - LINE 1A STATEMENT 16
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

CHARLES R. PERRIN
SHEILA A. PERRIN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 17
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA MCCARGAR
C/O PERRIN FAMILY FOUNDATION, 760 CHAPEL STREET
NEW HAVEN, CT 06510

TELEPHONE NUMBER

203-438-7349

FORM AND CONTENT OF APPLICATIONS

AUTHORIZED PERSONS WHO REPRESENT AN ORGANIZATION WHICH THEY BELIEVE MIGHT QUALIFY FOR SUPPORT ARE REQUESTED FIRST TO SEND A LETTER OF INTENT. THE LETTER SHOULD SUCCINTLY DESCRIBE THE BACKGROUND AND PURPOSE OF THE ORGANIZATION AND BRIEFLY OUTLINE THE PROJECT OR PROGRAM FOR WHICH MONIES ARE BEING SOUGHT. ALL LETTERS WILL BE ACKNOWLEDGED. IF THE FOUNDATION FINDS THE REQUEST APPROPRIATE FOR ITS SUPPORT, THE APPLICANT WILL BE MAILED GUIDELINES FOR SUBMISSION. ALL GRANT REQUESTS MAY REQUIRE AS LONG AS THREE MONTHS FOR PROCESSING BEFORE A FINAL DECISION IS COMMUNICATED TO THE PETITIONING ORGANIZATION. THOSE PROJECTS OR PROGRAMS RECEIVING MONEY ARE REQUESTED TO PROVIDE AN EVALUATION AT THE END OF THE FUNDING PERIOD. THE AVERAGE GRANT IS BETWEEN $10,000 AND $30,000.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHED STATEMENT

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	(D)OFFICE	07/16/01	SL	25.00		16	362,357.				362,357.	208,955.		10,871.	219,826.
2	(D)COMPUTER HARDWARE	09/26/01	SL	3.00		16	4,756.				4,756.	4,756.		0.	4,756.
3	(D)COMPUTER SOFTWARE	09/01/01	SL	3.00		16	4,798.				4,798.	4,798.		0.	4,798.
4	(D)OFFICE FURNITURE	07/16/01	SL	7.00		16	5,000.				5,000.	5,000.		0.	5,000.
5	(D)COMPUTER HARDWARE	12/19/03	SL	5.00		16	312.				312.	312.		0.	312.
6	(D)COMPUTER HARDWARE	11/24/04	SL	5.00		16	574.				574.	574.		0.	574.
7	(D)COMPUTER HARDWARE	10/01/06	SL	5.00		16	1,050.				1,050.	1,050.		0.	1,050.
8	(D)COMPUTER	07/01/09	200DB	5.00	HY	17	1,453.			727.	726.	726.		0.	726.
9	(D)CARPET	07/01/09	200DB	5.00	HY	17	5,649.			2,825.	2,824.	2,824.		0.	2,824.
20	(D)COMPUTER	07/09/15	200DB	5.00	HY	17	1,689.			845.	844.	169.		135.	304.
21	(D)FURNITURE	09/22/15	200DB	7.00	HY	17	1,410.			705.	705.	101.		86.	187.
22	(D)FURNITURE	10/26/15	200DB	7.00	HY	17	512.			256.	256.	37.		31.	68.
	* TOTAL 990-PF PG 1 DEPR						389,560.			5,358.	384,202.	229,302.		11,123.	240,425.
	CURRENT YEAR ACTIVITY														
	BEGINNING BALANCE						389,560.			5,358.	384,202.	229,302.			240,425.
	ACQUISITIONS						0.			0.	0.	0.			0.
	DISPOSITIONS						389,560.			5,358.	384,202.	229,302.			240,425.
	ENDING BALANCE						0.			0.	0.	0.			0.

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone