

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

Laura J. Niles Foundation, Inc.
c/o Smith & Grant LLP, PO Box 4459
Greenwich, CT 06831**A** Employer identification number
22-3188304**B** Telephone number (see instructions)
203-661-1110**C** If exemption application is pending, check here ☐**D 1** Foreign organizations, check here ☐**2** Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 23,231,433.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments			N/A	
4	Dividends and interest from securities	470,412.	470,412.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3,565,182.			
b	Gross sales price for all assets on line 6a	8,500,798.			
7	Capital gain net income (from Part IV, line 2)		3,565,182.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
	See Statement 1	124,910.	154.		
12	Total Add lines 1 through 11	4,160,504.	4,035,748.		
13	Compensation of officers, directors, trustees, etc.	192,500.	28,875.		163,625.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) See St 32	14,000.	4,000.		
b	Accounting fees (attach sch)				
c	Other professional fees (attach sch) See St 3	131,689.	96,689.		35,000.
17	Interest				
18	Taxes (attach schedule)(see instrs) See Stm 4	4,647.			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	597.			
22	Printing and publications	2,522.			
23	Other expenses (attach schedule)				
	See Statement 5	26,381.			15,978.
24	Total operating and administrative expenses Add lines 13 through 23	362,336.	129,564.		214,603.
25	Contributions, gifts, grants paid Part XV	1,229,806.			1,229,806.
26	Total expenses and disbursements Add lines 24 and 25	1,592,142.	129,564.		1,444,409.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	2,568,362.			
b	Net investment income (if negative, enter 0)		3,906,184.		
c	Adjusted net income (if negative, enter 0)				

BAA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	2,513,090.	1,594,077.	1,594,077.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) Statement 6	11,571,710.	15,019,540.	18,119,049.
	c Investments — corporate bonds (attach schedule) Statement 7	3,577,943.	3,617,488.	3,518,307.
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	17,662,743.	20,231,105.	23,231,433.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET FUND ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	17,662,743.	20,231,105.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	17,662,743.	20,231,105.	
	31 Total liabilities and net assets/fund balances (see instructions)	17,662,743.	20,231,105.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,662,743.
2 Enter amount from Part I, line 27a	2	2,568,362.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	20,231,105.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	20,231,105.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	Increases per Schedule A-2 (Exhibit 4)	P	Various	Various
b	Decreases per Schedule B-1 (Exhibit 5)	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,175,893.		3,453,300.	3,722,593.
b	1,324,905.		1,482,316.	-157,411.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			3,722,593.
b			-157,411.
c			
d			
e			

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,565,182.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,462,544.	23,873,540.	0.061262
2014	1,437,335.	24,320,852.	0.059099
2013	1,303,456.	23,020,318.	0.056622
2012	1,259,846.	21,813,974.	0.057754
2011	1,163,684.	21,691,731.	0.053646

2	Total of line 1, column (d)	2	0.288383
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057677
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	22,492,580.
5	Multiply line 4 by line 3	5	1,297,305.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	39,062.
7	Add lines 5 and 6	7	1,336,367.
8	Enter qualifying distributions from Part XII, line 4	8	1,444,409.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b		1 39,062.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 39,062.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 39,062.
6 Credits/Payments		
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a 80,412.	
b Exempt foreign organizations – tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d		7 80,412.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9 0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10 41,350.
11 Enter the amount of line 10 to be Credited to 2017 estimated tax 41,350. Refunded		11 0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.ljniles.org</u>	13	X
14 The books are in care of <u>Smith & Grant LLP</u> Telephone no <u>203-661-1110</u> Located at <u>PO Box 4459 Greenwich CT</u> ZIP + 4 <u>06831</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> N/A		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u>United Kingdom</u>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Geoffrey M. Parkinson c/o S&G LLP, PO Box 4459 Greenwich, CT 06831	Pres/Direct 20.00	65,000.	0.	0.
Leland C. Selby c/o S&G LLP, PO Box 4459 Greenwich, CT 06831	Sec/Director 20.00	65,000.	0.	0.
James R. Lamb c/o S&G LLP, PO Box 4459 Greenwich, CT 06831	Treas/Direct 20.00	62,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	21,176,675.
b Average of monthly cash balances	1 b	1,658,432.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	22,835,107.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	22,835,107.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	342,527.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,492,580.
6 Minimum investment return. Enter 5% of line 5	6	1,124,629.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,124,629.
2 a Tax on investment income for 2016 from Part VI, line 5	2 a	39,062.
b Income tax for 2016 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	39,062.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,085,567.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	1,085,567.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,085,567.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,444,409.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,444,409.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	39,062.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,405,347.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,085,567.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011	90,388.			
b From 2012	191,443.			
c From 2013	168,981.			
d From 2014	253,660.			
e From 2015	287,341.			
f Total of lines 3a through e	991,813.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 1,444,409.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2016 distributable amount				1,085,567.
e Remaining amount distributed out of corpus	358,842.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,350,655.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	90,388.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,260,267.			
10 Analysis of line 9				
a Excess from 2012	191,443.			
b Excess from 2013	168,981.			
c Excess from 2014	253,660.			
d Excess from 2015	287,341.			
e Excess from 2016	358,842.			

BAA

Form 990-PF (2016)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the rulingb Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c**3** Complete 3a, b, or c for the alternative test relied upon

a 'Assets' alternative test — enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test — enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

See Statement 8

b The form in which applications should be submitted and information and materials they should include

See Statement for Line 2a

c Any submission deadlines

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Statement for Line 2a

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year See attached Schedule B-3 (Exhibit 8)				1,229,806.
Total			3 a	1,229,806.
<i>b</i> Approved for future payment See Statement 9				
Total			3 b	249,741.

Part XVI-A.	Analysis of Income-Producing Activities
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Enter gross amounts unless otherwise indicated

Part XVIII Analysis of Income-Producing Activities Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	470,412.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	3,565,182.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	Fugio Cayman Fd Ptnr Dist			14	124,756.	
b	Schering-Plough - Cl Actn			18	154.	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				4,160,504.	
13	Total. Add line 12, columns (b), (d), and (e)					4,160,504.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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2016

Federal Statements

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Laura J. Niles Foundation, Inc.

22-3188304

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Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Fugio Cayman Fd Ptnr Dist	\$ 124,756.		
Schering-Plough - Cl Actn	154.	\$ 154.	
Total	\$ 124,910.	\$ 154.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FCS&N - tax preparation	\$ 920.	\$ 920.		
Smith & Grant - tax preparation	3,080.	3,080.		
Total	\$ 4,000.	\$ 4,000.		\$ 0.

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank of New York - Inv Advisory fee	\$ 96,689.	\$ 96,689.		
FCS&N - Administrative fee	20,000.			\$ 20,000.
Smith & Grant - Administrative fee	15,000.			15,000.
Total	\$ 131,689.	\$ 96,689.		\$ 35,000.

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2016 1st quarter estimate	\$ 1,127.			
2016 2nd quarter estimate	405.			
2016 3rd quarter estimate	3,115.			
Total	\$ 4,647.	\$ 0.		\$ 0.

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Laura J. Niles Foundation, Inc.

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Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FCS&N - disbursements	\$ 26.			
Federal Express and mailing - FCS&N	181.			\$ 181.
Federal Express and mailing - S&G	626.			626.
Hagendoorn & Emond Insurance, D&O Ins.	15,171.			15,171.
Kroll Associates - threat mgmt	10,208.			
Lacerte	85.			
S&G - disbursements	84.			
Total	\$ 26,381.	\$ 0.		\$ 15,978.

Statement 6
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
Corporate Stocks (Exhibit 6)	Mkt Val	\$ 15,019,540.	\$ 18,119,049.
	Total	\$ 15,019,540.	\$ 18,119,049.

Statement 7
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
Corporate Bonds (Exhibit 6)	Mkt Val	\$ 3,617,488.	\$ 3,518,307.
	Total	\$ 3,617,488.	\$ 3,518,307.

Statement 8
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program: Ashley C. Garan
Name: Smith & Grant LLP
Care Of: 9 Greenwich Office Park, PO Box 4459
Street Address: Greenwich, CT 06831
City, State, Zip Code: 203-900-3947
Telephone:
E-Mail Address:
Form and Content: Applications must be submitted using the Foundation's Grant Application Form which is available for download at ljniles.org.
Submission Deadlines: None

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Statement 8 (continued)
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Restrictions on Awards: Mission Statement and Guidelines attached as Exhibit 7

Statement 9
Form 990-PF, Part XV, Line 3b
Recipient Approved for Future Payment

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Yellowstone Park Foundation 222 East Main Street, Suite 301 Bozeman MT 59715	none	PC	Full-time Prospect Researcher Position	\$ 25,000.
Yale University PO Box 208205 New Haven CT 06520	none	PC	The Influence of Interactions with Companion Dogs on Cognition and Emotion in Children	77,241.
Canine Companions for Independence PO Box 205, SUNY Farmingdale NY 11735	none	PC	Fund a Team: Help a Person with Disabilities Live a More Independent Life	10,000.
New York Botanical Garden 2900 Southern Blvd. Bronx NY 10458	none	PC	Professional Development Program for Teachers	37,500.
Project HOME 1515 Fairmont Avenue Philadelphia PA 19130	none	PC	Decreasing Homelessness Through Employment Skills & Opportunities	5,000.
NEADS 305 Redemption Rock Trail South Princeton MA 01541	none	PC	Foundations for the Future Capital Campaign, Kennel	25,000.
Pegasus Therapeutic Riding 45 Church Street #205 Stamford CT 06906	none	PC	Student Financial Aid and Therapeutic Equine Care	20,000.
Wildlife Conservation Society 2300 Southern Blvd.	none	PC	Online Teacher Academy: Digital Professional	50,000.

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Laura J. Niles Foundation, Inc.

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Statement 9 (continued)
Form 990-PF, Part XV, Line 3b
Recipient Approved for Future Payment

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Bronx NY 10460			Development at WCS	
Total \$				<u>249,741.</u>

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Federal Supporting Detail

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Client NILESL

Laura J. Niles Foundation, Inc.

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Other Income Producing Activities
Dividends/interest from securities.

Dividends per Schedule A-3 (Exhibit 1)	\$	251,809.
Interest per Schedule A-4 (Exhibit 2)		142,742.
Other Income per Schedule A-5 (Exhibit 3)		<u>75,861.</u>
Total	\$	<u><u>470,412.</u></u>

Schedule A-3

DIVIDENDS RECEIVED

Abbott Laboratories

05/16/16	Dividend Rec'd on	1,600	Shs.	\$416.00	
08/15/16	Dividend Rec'd on	1,600	Shs.	416.00	
11/15/16	Dividend Rec'd on	2,020	Shs.	<u>525.20</u>	\$1,357.20

Abbvie Inc.

02/16/16	Dividend Rec'd on	800	Shs.		456.00
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Accenture Ltd, Class A

05/13/16	Dividend Rec'd on	4,200	Shs.	\$4,620.00	
11/15/16	Dividend Rec'd on	512	Shs.	<u>619.52</u>	5,239.52

Aetna Inc.

04/29/16	Dividend Rec'd on	700	Shs.	\$175.00	
07/29/16	Dividend Rec'd on	700	Shs.	175.00	
10/28/16	Dividend Rec'd on	863	Shs.	<u>215.75</u>	565.75

Agilent Technologies

04/27/16	Dividend Rec'd on	2,500	Shs.	\$287.50	
07/27/16	Dividend Rec'd on	2,500	Shs.	287.50	
10/26/16	Dividend Rec'd on	2,710	Shs.	<u>311.65</u>	886.65

Ally Financial Inc.

08/15/16	Dividend Rec'd on	2,400	Shs.	\$192.00	
11/15/16	Dividend Rec'd on	5,140	Shs.	<u>411.20</u>	603.20

American Tower Corp.

04/28/16	Dividend Rec'd on	650	Shs.	\$331.50	
07/15/16	Dividend Rec'd on	650	Shs.	344.50	
10/17/16	Dividend Rec'd on	1,279	Shs.	<u>703.45</u>	1,379.45

Analog Devices Inc.

03/08/16	Dividend Rec'd on	800	Shs.		336.00
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Apple Computer Inc.

02/11/16	Dividend Rec'd on	4,800	Shs.	\$2,496.00	
05/12/16	Dividend Rec'd on	4,800	Shs.	<u>2,736.00</u>	

Schedule A-3 (Cont'd)

Apple Computer Inc.
(Cont'd)

08/11/16	Dividend Rec'd on	4,800	Shs.	\$2,736.00	
11/10/16	Dividend Rec'd on	1,563	Shs.	<u>890.91</u>	\$8,858.91

AT&T Inc

02/01/16	Dividend Rec'd on	3,900	Shs.	\$1,872.00	
05/02/16	Dividend Rec'd on	3,900	Shs.	1,872.00	
08/01/16	Dividend Rec'd on	3,900	Shs.	1,872.00	
11/01/16	Dividend Rec'd on	4,570	Shs.	<u>2,193.60</u>	7,809.60

Bank of America Corporation

03/25/16	Dividend Rec'd on	4,000	Shs.	\$200.00	
06/24/16	Dividend Rec'd on	4,000	Shs.	200.00	
09/23/16	Dividend Rec'd on	4,000	Shs.	300.00	
12/30/16	Dividend Rec'd on	13,370	Shs.	<u>1,002.75</u>	1,702.75

BNY Cayman Fund III

12/22/16	Dividend Rec'd on	0.0235	Shs.		2,970.38
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Bristol Myers Squibb

02/01/16	Dividend Rec'd on	2,000	Shs.	\$760.00	
05/02/16	Dividend Rec'd on	2,000	Shs.	760.00	
08/01/16	Dividend Rec'd on	2,000	Shs.	760.00	
11/01/16	Dividend Rec'd on	1,690	Shs.	<u>642.20</u>	2,922.20

Broadcom Ltd.

03/31/16	Dividend Rec'd on	800	Shs.	\$392.00	
06/30/16	Dividend Rec'd on	800	Shs.	400.00	
09/30/16	Dividend Rec'd on	800	Shs.	408.00	
12/30/16	Dividend Rec'd on	1,707	Shs.	<u>1,741.14</u>	2,941.14

Capital One Financial Corp

02/26/16	Dividend Rec'd on	1,300	Shs.	\$520.00	
05/26/16	Dividend Rec'd on	1,300	Shs.	520.00	
08/18/16	Dividend Rec'd on	1,300	Shs.	<u>520.00</u>	1,560.00

Cardinal Health Inc.

04/15/16	Dividend Rec'd on	1,000	Shs.	\$387.00	
07/15/16	Dividend Rec'd on	1,000	Shs.	<u>448.90</u>	835.90

Schedule A-3 (Cont'd)

Celanese Corp - Ser A

02/26/16	Dividend Rec'd on	1,000	Shs.	\$300.00	
05/12/16	Dividend Rec'd on	1,000	Shs.	360.00	
08/11/16	Dividend Rec'd on	1,000	Shs.	360.00	
11/10/16	Dividend Rec'd on	1,820	Shs.	<u>655.20</u>	\$1,675.20

Chevron Texaco Corporation

03/10/16	Dividend Rec'd on	2,700	Shs.	\$2,889.00	
06/10/16	Dividend Rec'd on	2,700	Shs.	2,889.00	
09/12/16	Dividend Rec'd on	2,700	Shs.	2,889.00	
12/12/16	Dividend Rec'd on	1,090	Shs.	<u>1,177.20</u>	9,844.20

Cisco Systems, Inc.

01/20/16	Dividend Rec'd on	2,000	Shs.		420.00
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Citizens Financial Group Inc.

05/18/16	Dividend Rec'd on	3,500	Shs.	\$420.00	
08/17/16	Dividend Rec'd on	3,500	Shs.	420.00	
11/16/16	Dividend Rec'd on	5,550	Shs.	<u>666.00</u>	1,506.00

Coca Cola Company

04/01/16	Dividend Rec'd on	2,000	Shs.	\$700.00	
07/01/16	Dividend Rec'd on	2,000	Shs.	700.00	
10/03/16	Dividend Rec'd on	2,000	Shs.	<u>700.00</u>	2,100.00

Comcast Corporation New Class A

01/27/16	Dividend Rec'd on	2,400	Shs.	\$600.00	
04/27/16	Dividend Rec'd on	2,400	Shs.	660.00	
07/27/16	Dividend Rec'd on	2,400	Shs.	660.00	
10/26/16	Dividend Rec'd on	1,780	Shs.	<u>489.50</u>	2,409.50

Constellation Brands Inc.

05/24/16	Dividend Rec'd on	500	Shs.	\$200.00	
08/24/16	Dividend Rec'd on	500	Shs.	200.00	
11/22/16	Dividend Rec'd on	776	Shs.	<u>310.40</u>	710.40

Costco Whsl Corp.

02/26/16	Dividend Rec'd on	800	Shs.	\$320.00	
05/13/16	Dividend Rec'd on	800	Shs.	360.00	
08/26/16	Dividend Rec'd on	800	Shs.	360.00	

Schedule A-3 (Cont'd)

Costco Whsl Corp.

(Cont'd)

11/18/16	Dividend Rec'd on	785	Shs.	<u>\$353.25</u>	\$1,393.25
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CVS Health Corporation

02/02/16	Dividend Rec'd on	500	Shs.	\$212.50	
05/02/16	Dividend Rec'd on	500	Shs.	212.50	
08/01/16	Dividend Rec'd on	500	Shs.	<u>212.50</u>	637.50

Dominion Resources, Inc. VA New

12/20/16	Dividend Rec'd on	1,440	Shs.		1,008.00
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Dow Chemical Co.

10/28/16	Dividend Rec'd on	2,320	Shs.		1,067.20
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Duke Energy Corp.

03/16/16	Dividend Rec'd on	1,600	Shs.	\$1,320.00	
06/16/16	Dividend Rec'd on	1,600	Shs.	1,320.00	
09/16/16	Dividend Rec'd on	1,600	Shs.	<u>1,368.00</u>	4,008.00

Eaton Corp. PLC

03/18/16	Dividend Rec'd on	3,400	Shs.	\$1,938.00	
05/20/16	Dividend Rec'd on	3,400	Shs.	1,938.00	
08/19/16	Dividend Rec'd on	3,400	Shs.	1,938.00	
11/18/16	Dividend Rec'd on	2,440	Shs.	<u>1,390.80</u>	7,204.80

E. I. DuPont De Nemours

03/14/16	Dividend Rec'd on	2,000	Shs.	\$760.00	
06/10/16	Dividend Rec'd on	2,000	Shs.	760.00	
09/12/16	Dividend Rec'd on	2,000	Shs.	<u>760.00</u>	2,280.00

Exelon Corp

12/09/16	Dividend Rec'd on	3,460	Shs.		1,100.28
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Exxon Mobil Corporation

03/10/16	Dividend Rec'd on	3,600	Shs.	\$2,628.00	
06/10/16	Dividend Rec'd on	3,600	Shs.	2,700.00	
09/09/16	Dividend Rec'd on	3,600	Shs.	2,700.00	
12/09/16	Dividend Rec'd on	1,300	Shs.	<u>975.00</u>	9,003.00

Schedule A-3 (Cont'd)

Gilead Sciences, Inc.

03/30/16	Dividend Rec'd on	2,000	Shs.	\$860.00	
06/29/16	Dividend Rec'd on	2,000	Shs.	940.00	
09/29/16	Dividend Rec'd on	2,000	Shs.	<u>940.00</u>	\$2,740.00

Halliburton Co.

03/23/16	Dividend Rec'd on	1,600	Shs.	\$288.00	
06/22/16	Dividend Rec'd on	1,600	Shs.	288.00	
09/28/16	Dividend Rec'd on	1,600	Shs.	288.00	
12/28/16	Dividend Rec'd on	2,310	Shs.	<u>415.80</u>	1,279.80

Hartford Finl Svcs Group Inc.

04/01/16	Dividend Rec'd on	1,800	Shs.	\$378.00	
07/01/16	Dividend Rec'd on	1,800	Shs.	378.00	
10/03/16	Dividend Rec'd on	1,800	Shs.	<u>378.00</u>	1,134.00

Home Depot, Inc.

03/24/16	Dividend Rec'd on	4,000	Shs.	\$2,760.00	
06/16/16	Dividend Rec'd on	4,000	Shs.	2,760.00	
09/15/16	Dividend Rec'd on	4,000	Shs.	2,760.00	
12/15/16	Dividend Rec'd on	1,340	Shs.	<u>924.60</u>	9,204.60

Honeywell International, Inc.

03/10/16	Dividend Rec'd on	2,000	Shs.	\$1,190.00	
06/10/16	Dividend Rec'd on	2,000	Shs.	1,190.00	
09/09/16	Dividend Rec'd on	2,000	Shs.	1,190.00	
12/09/16	Dividend Rec'd on	1,665	Shs.	<u>1,107.23</u>	4,677.23

Illinois Tool Wks Inc.

04/07/16	Dividend Rec'd on	700	Shs.	\$385.00	
07/08/16	Dividend Rec'd on	700	Shs.	385.00	
10/06/16	Dividend Rec'd on	1,725	Shs.	<u>1,121.25</u>	1,891.25

Ingersoll-Rand PLC

03/31/16	Dividend Rec'd on	2,400	Shs.	\$768.00	
06/30/16	Dividend Rec'd on	2,400	Shs.	768.00	
09/30/16	Dividend Rec'd on	2,400	Shs.	768.00	
12/30/16	Dividend Rec'd on	2,710	Shs.	<u>1,084.00</u>	3,388.00

Schedule A-3 (Cont'd)

Intel Corporation

03/01/16	Dividend Rec'd on	6,000	Shs.	\$1,560.00	
06/01/16	Dividend Rec'd on	6,000	Shs.	1,560.00	
09/01/16	Dividend Rec'd on	6,000	Shs.	1,560.00	
12/01/16	Dividend Rec'd on	5,450	Shs.	<u>1,417.00</u>	\$6,097.00

Intercontinentalexchange Gro

03/31/16	Dividend Rec'd on	400	Shs.	\$340.00	
06/30/16	Dividend Rec'd on	400	Shs.	340.00	
09/30/16	Dividend Rec'd on	400	Shs.	340.00	
12/30/16	Dividend Rec'd on	3,080	Shs.	<u>523.60</u>	1,543.60

Invesco LTD

03/04/16	Dividend Rec'd on	8,740	Shs.	\$2,359.80	
06/03/16	Dividend Rec'd on	8,740	Shs.	2,447.20	
09/02/16	Dividend Rec'd on	8,740	Shs.	2,447.20	
12/05/16	Dividend Rec'd on	5,850	Shs.	<u>1,638.00</u>	8,892.20

Johnson & Johnson

03/08/16	Dividend Rec'd on	500	Shs.	\$375.00	
06/07/16	Dividend Rec'd on	500	Shs.	400.00	
09/06/16	Dividend Rec'd on	500	Shs.	400.00	
12/06/16	Dividend Rec'd on	1,142	Shs.	<u>913.60</u>	2,088.60

Johnson Controls

01/05/16	Dividend Rec'd on	4,775	Shs.		1,384.75
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JPMorgan Chase & Co

02/01/16	Dividend Rec'd on	2,400	Shs.		1,056.00
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Lilly Eli & Co.

12/09/16	Dividend Rec'd on	1,730	Shs.		882.30
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3M Company

03/14/16	Dividend Rec'd on	500	Shs.	\$555.00	
06/13/16	Dividend Rec'd on	500	Shs.	555.00	
09/12/16	Dividend Rec'd on	500	Shs.	555.00	
12/12/16	Dividend Rec'd on	724	Shs.	<u>803.64</u>	2,468.64

Schedule A-3 (Cont'd)

Marathon Petroleum Corp.

12/12/16	Dividend Rec'd on	2,990	Shs.		\$1,076.40
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Merck & Co Inc

01/08/16	Dividend Rec'd on	2,000	Shs.	\$920.00	
04/07/16	Dividend Rec'd on	2,000	Shs.	920.00	
07/08/16	Dividend Rec'd on	2,000	Shs.	920.00	
10/07/16	Dividend Rec'd on	2,000	Shs.	<u>920.00</u>	3,680.00

Microsoft Corporation

03/10/16	Dividend Rec'd on	800	Shs.	\$288.00	
06/09/16	Dividend Rec'd on	800	Shs.	288.00	
09/08/16	Dividend Rec'd on	800	Shs.	288.00	
12/08/16	Dividend Rec'd on	1,070	Shs.	<u>417.30</u>	1,281.30

Mondelez International-W/I

01/14/16	Dividend Rec'd on	2,800	Shs.	\$476.00	
04/14/16	Dividend Rec'd on	1,500	Shs.	255.00	
07/14/16	Dividend Rec'd on	1,500	Shs.	255.00	
10/13/16	Dividend Rec'd on	2,230	Shs.	<u>423.70</u>	1,409.70

Nike Inc. Cl B

04/04/16	Dividend Rec'd on	1,500	Shs.	\$240.00	
07/05/16	Dividend Rec'd on	1,500	Shs.	240.00	
10/03/16	Dividend Rec'd on	1,500	Shs.	<u>240.00</u>	720.00

Nucor Corp.

02/11/16	Dividend Rec'd on	1,000	Shs.	\$375.00	
05/11/16	Dividend Rec'd on	1,000	Shs.	375.00	
08/11/16	Dividend Rec'd on	1,000	Shs.	375.00	
11/10/16	Dividend Rec'd on	1,940	Shs.	<u>727.50</u>	1,852.50

Nvidia Corp.

12/19/16	Dividend Rec'd on	2,250	Shs.		315.00
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Pepsico, Inc.

01/07/16	Dividend Rec'd on	4,150	Shs.	\$2,915.38	
03/31/16	Dividend Rec'd on	4,150	Shs.	2,915.38	
06/30/16	Dividend Rec'd on	4,150	Shs.	3,122.88	
09/30/16	Dividend Rec'd on	4,150	Shs.	<u>3,122.88</u>	12,076.52

Schedule A-3 (Cont'd)

Pfizer, Inc.

03/02/16	Dividend Rec'd on	8,000	Shs.	\$2,400.00	
06/01/16	Dividend Rec'd on	8,000	Shs.	2,400.00	
09/01/16	Dividend Rec'd on	8,000	Shs.	2,400.00	
12/01/16	Dividend Rec'd on	3,100	Shs.	<u>930.00</u>	\$8,130.00

Philip Morris Intl Inc

01/08/16	Dividend Rec'd on	2,750	Shs.	\$2,805.00	
04/11/16	Dividend Rec'd on	2,750	Shs.	2,805.00	
07/11/16	Dividend Rec'd on	2,750	Shs.	2,805.00	
10/13/16	Dividend Rec'd on	2,150	Shs.	<u>2,236.00</u>	10,651.00

Pioneer Natural Resources Co.

04/12/16	Dividend Rec'd on	325	Shs.	\$13.00	
10/12/16	Dividend Rec'd on	441	Shs.	<u>17.64</u>	30.64

PNC Financial Services Group

02/05/16	Dividend Rec'd on	2,400	Shs.	\$1,224.00	
05/05/16	Dividend Rec'd on	2,400	Shs.	1,224.00	
08/05/16	Dividend Rec'd on	2,400	Shs.	<u>1,320.00</u>	3,768.00

Procter & Gamble Company

02/16/16	Dividend Rec'd on	4,350	Shs.	\$2,883.62	
05/16/16	Dividend Rec'd on	4,350	Shs.	2,912.33	
08/15/16	Dividend Rec'd on	4,350	Shs.	2,912.33	
11/15/16	Dividend Rec'd on	2,140	Shs.	<u>1,432.73</u>	10,141.01

Qualcomm Inc

12/16/16	Dividend Rec'd on	3,150	Shs.		1,669.50
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Schlumberger Limited

01/08/16	Dividend Rec'd on	2,400	Shs.	\$1,200.00	
04/08/16	Dividend Rec'd on	2,400	Shs.	1,200.00	
07/08/16	Dividend Rec'd on	2,400	Shs.	1,200.00	
10/14/16	Dividend Rec'd on	2,400	Shs.	<u>1,200.00</u>	4,800.00

Schwab Charles Corp. New

02/26/16	Dividend Rec'd on	2,000	Shs.	\$120.00	
05/27/16	Dividend Rec'd on	2,000	Shs.	140.00	
08/26/16	Dividend Rec'd on	2,000	Shs.	140.00	

Schedule A-3 (Cont'd)

Schwab Charles Corp. New
(Cont'd)

11/28/16	Dividend Rec'd on	5,150	Shs.	<u>\$360.50</u>	\$760.50
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Sempra Energy

01/15/16	Dividend Rec'd on	1,600	Shs.	\$1,120.00	
04/15/16	Dividend Rec'd on	1,600	Shs.	1,208.00	
07/15/16	Dividend Rec'd on	1,600	Shs.	<u>1,208.00</u>	3,536.00

Shire PLC

04/12/16	Dividend Rec'd on	400	Shs.	\$265.92	
10/07/16	Dividend Rec'd on	400	Shs.	<u>55.56</u>	321.48

Synchrony Financial

11/17/16	Dividend Rec'd on	4,550	Shs.		591.50
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Time Warner Inc.

03/15/16	Dividend Rec'd on	1,000	Shs.	\$402.50	
06/15/16	Dividend Rec'd on	1,000	Shs.	402.50	
09/15/16	Dividend Rec'd on	1,000	Shs.	402.50	
12/15/16	Dividend Rec'd on	2,450	Shs.	<u>986.13</u>	2,193.63

Union Pac Corp

03/31/16	Dividend Rec'd on	2,000	Shs.	\$1,100.00	
06/30/16	Dividend Rec'd on	1,200	Shs.	660.00	
09/30/16	Dividend Rec'd on	1,200	Shs.	<u>660.00</u>	2,420.00

United Technologies Corporation

03/10/16	Dividend Rec'd on	2,000	Shs.	\$1,280.00	
06/10/16	Dividend Rec'd on	2,000	Shs.	1,320.00	
09/12/16	Dividend Rec'd on	2,000	Shs.	1,320.00	
12/12/16	Dividend Rec'd on	1,292	Shs.	<u>852.72</u>	4,772.72

Unitedhealth Group Inc.

12/13/16	Dividend Rec'd on	1,348	Shs.		842.50
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Universal Health Services Cl B

06/15/16	Dividend Rec'd on	700	Shs.	\$70.00	
09/15/16	Dividend Rec'd on	700	Shs.	70.00	

Schedule A-3 (Cont'd)

Universal Health Services Cl B
(Cont'd)

12/15/16	Dividend Rec'd on	752	Shs.	<u>\$75.20</u>	\$215.20
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Valero Energy Corp

03/03/16	Dividend Rec'd on	4,800	Shs.	\$2,880.00	
06/21/16	Dividend Rec'd on	4,800	Shs.	2,880.00	
09/08/16	Dividend Rec'd on	4,800	Shs.	2,880.00	
12/15/16	Dividend Rec'd on	1,890	Shs.	<u>1,134.00</u>	9,774.00

Verizon Communications

02/01/16	Dividend Rec'd on	4,200	Shs.	\$2,373.00	
05/02/16	Dividend Rec'd on	4,200	Shs.	2,373.00	
08/01/16	Dividend Rec'd on	4,200	Shs.	2,373.00	
11/01/16	Dividend Rec'd on	1,100	Shs.	<u>635.25</u>	7,754.25

Visa Inc.- Class A Shs

03/01/16	Dividend Rec'd on	550	Shs.	\$77.00	
06/07/16	Dividend Rec'd on	550	Shs.	77.00	
09/06/16	Dividend Rec'd on	550	Shs.	77.00	
12/06/16	Dividend Rec'd on	1,960	Shs.	<u>323.40</u>	554.40

Voya Financial Inc.

03/30/16	Dividend Rec'd on	1,600	Shs.	\$16.00	
06/29/16	Dividend Rec'd on	1,600	Shs.	16.00	
09/29/16	Dividend Rec'd on	1,600	Shs.	16.00	
12/29/16	Dividend Rec'd on	4,200	Shs.	<u>42.00</u>	90.00

Walt Disney Co

01/11/16	Dividend Rec'd on	5,910	Shs.	\$4,196.10	
07/28/16	Dividend Rec'd on	5,000	Shs.	<u>3,550.00</u>	7,746.10

Wells Fargo & Co.

03/01/16	Dividend Rec'd on	6,750	Shs.	\$2,531.25	
06/01/16	Dividend Rec'd on	6,750	Shs.	2,565.00	
09/01/16	Dividend Rec'd on	6,750	Shs.	2,565.00	
12/01/16	Dividend Rec'd on	2,480	Shs.	<u>942.40</u>	8,603.65

Williams Co.

12/27/16	Dividend Rec'd on	3,140	Shs.		628.00
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Schedule A-3 (Cont'd)

Yum! Brands Inc.

02/05/16	Dividend Rec'd on	2,000	Shs.	\$920.00	
05/06/16	Dividend Rec'd on	2,000	Shs.	920.00	
08/05/16	Dividend Rec'd on	2,000	Shs.	920.00	
11/04/16	Dividend Rec'd on	1,780	Shs.	<u>907.80</u>	\$3,667.80

Zimmer Biomet Holdings, Inc.

10/28/16	Dividend Rec'd on	899	Shs.		<u>215.76</u>
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TOTAL SCHEDULE A-3

\$251,809.01
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Schedule A-4
INTEREST RECEIVED

American Express Cre		
<u>2.125% Due 03/18/19</u>		
09/28/16	Less: Interest Paid on Purchase	\$59.03-

Apple Inc.		
<u>34.5% Due 05/06/24</u>		
05/06/16	Interest Rec'd on \$100,000.00	\$1,725.00
11/07/16	Interest Rec'd on \$100,000.00	<u>1,725.00</u>
		3,450.00

AT&T Inc		
<u>5.8% Due 02/15/19</u>		
02/16/16	Interest Rec'd on \$200,000.00	\$5,800.00
08/15/16	Interest Rec'd on \$200,000.00	<u>5,800.00</u>
		11,600.00

Bank of Montreal		
<u>1.4% Due 04/10/18</u>		
10/12/16	Interest Rec'd on \$75,000.00	\$525.00
10/04/16	Less: Interest Paid on Purchase	<u>507.50-</u>
		17.50

Barclays Bank PLC		
<u>5% Due 09/22/16</u>		
03/22/16	Interest Rec'd on \$200,000.00	\$5,000.00
09/22/16	Interest Rec'd on \$200,000.00	<u>5,000.00</u>
		10,000.00

Berkshire Hathaway Inc.		
<u>3.4% Due 01/31/22</u>		
02/01/16	Interest Rec'd on \$150,000.00	\$2,550.00
08/01/16	Interest Rec'd on \$150,000.00	<u>2,550.00</u>
		5,100.00

Blackrock Inc		
<u>6.25% Due 09/15/17</u>		
03/15/16	Interest Rec'd on \$200,000.00	\$6,250.00
09/15/16	Interest Rec'd on \$200,000.00	<u>6,250.00</u>
		12,500.00

Chevron Corp.		
<u>2.355% Due 12/05/22</u>		
06/06/16	Interest Rec'd on \$125,000.00	\$1,471.88
12/05/16	Interest Rec'd on \$125,000.00	<u>1,471.88</u>
		2,943.76

Schedule A-4 (Cont'd)

Cisco Systems Inc

5.5% Due 02/22/16

02/22/16	Interest Rec'd on	\$250,000.00		\$6,875.00
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Comcast Corp.

3.375% Due 02/15/25

08/15/16	Interest Rec'd on	\$100,000.00	\$1,687.50	
03/16/16	Less: Interest Paid on Purchase		<u>290.63-</u>	1,396.87

CRA 12 (BNY Mellon, Na, Member
FDIC)

02/01/16	Interest Rec'd		\$163.16	
03/01/16	Interest Rec'd		272.63	
04/01/16	Interest Rec'd		386.65	
05/02/16	Interest Rec'd		298.85	
06/01/16	Interest Rec'd		293.85	
07/01/16	Interest Rec'd		286.09	
08/01/16	Interest Rec'd		280.19	
09/01/16	Interest Rec'd		268.66	
10/03/16	Interest Rec'd		278.14	
11/01/16	Interest Rec'd		351.39	
12/01/16	Interest Rec'd		<u>310.48</u>	3,190.09

EMC Corp.

1.875% Due 06/01/18

06/01/16	Interest Rec'd on	\$200,000.00	\$1,875.00	
12/01/16	Interest Rec'd on	\$200,000.00	<u>1,875.00</u>	3,750.00

General Electric Cap Cor.

4.625% Due 01/07/21

01/07/16	Interest Rec'd on	\$125,000.00	\$2,890.63	
07/07/16	Interest Rec'd on	\$125,000.00	<u>2,890.63</u>	5,781.26

Glaxosmithkline Cap

2.8% Due 03/18/23

03/18/16	Interest Rec'd on	\$150,000.00	\$2,100.00	
09/19/16	Interest Rec'd on	\$150,000.00	<u>2,100.00</u>	4,200.00

HSBC USA Inc.

3.5% Due 06/23/24

06/23/16	Interest Rec'd on	\$100,000.00	\$1,750.00	
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Schedule A-4 (Cont'd)

HSBC USA Inc.
3.5% Due 06/23/24
(Cont'd)

12/23/16	Interest Rec'd on	\$100,000.00	\$1,750.00	
			<u>\$3,500.00</u>	
03/22/16	Less: Interest Paid on Purchase		<u>865.28-</u>	\$2,634.72

Intel Corp.
1.95% Due 10/01/16

04/01/16	Interest Rec'd on	\$150,000.00	\$1,462.50	
10/03/16	Interest Rec'd on	\$150,000.00	<u>1,462.50</u>	2,925.00

Intel Corp.
2.7% Due 12/15/22

12/15/16	Interest Rec'd on	\$75,000.00	\$1,012.50	
10/04/16	Less: Interest Paid on Purchase		<u>613.13-</u>	399.37

Intercontinentalexchan
4% Due 10/15/23

04/15/16	Interest Rec'd on	\$100,000.00	\$2,000.00	
10/17/16	Interest Rec'd on	\$100,000.00	<u>2,000.00</u>	
			<u>\$4,000.00</u>	
03/16/16	Less: Interest Paid on Purchase		<u>1,677.78-</u>	2,322.22

JPMorgan Chase & Co.
4.625% Due 05/10/21

05/10/16	Interest Rec'd on	\$150,000.00	\$3,468.75	
11/10/16	Interest Rec'd on	\$150,000.00	<u>3,468.75</u>	6,937.50

Lloyds Tsb Bank PLC
4.2% Due 03/28/17

03/28/16	Interest Rec'd on	\$200,000.00	\$4,200.00	
09/28/16	Interest Rec'd on	\$200,000.00	<u>4,200.00</u>	8,400.00

Occidental Petroleum
1.5% Due 02/15/18

02/16/16	Interest Rec'd on	\$150,000.00	\$1,125.00	
08/15/16	Interest Rec'd on	\$150,000.00	<u>1,125.00</u>	2,250.00

Schedule A-4 (Cont'd)

Oracle Corp

5.75% Due 04/15/18

04/15/16	Interest Rec'd on	\$200,000.00	\$5,750.00	
10/17/16	Interest Rec'd on	\$200,000.00	<u>5,750.00</u>	\$11,500.00

Pepsico Inc.

4.5% Due 01/15/20

01/15/16	Interest Rec'd on	\$150,000.00	\$3,375.00	
07/15/16	Interest Rec'd on	\$150,000.00	<u>3,375.00</u>	6,750.00

Philip Morris Intl

4.5% Due 03/26/20

03/28/16	Interest Rec'd on	\$250,000.00	\$5,625.00	
09/26/16	Interest Rec'd on	\$250,000.00	<u>5,625.00</u>	11,250.00

Simon Property Group

4.375% Due 03/01/21

03/01/16	Interest Rec'd on	\$200,000.00	\$4,375.00	
09/01/16	Interest Rec'd on	\$200,000.00	<u>4,375.00</u>	8,750.00

Toronto-Dominion BK

2.125% Due 04/07/21

10/11/16	Interest Rec'd on	\$100,000.00	\$1,062.50	
09/28/16	Less: Interest Paid on Purchase		<u>1,009.38-</u>	53.12

US Bancorp

3.7% Due 01/30/24

02/01/16	Interest Rec'd on	\$100,000.00	\$1,850.00	
08/01/16	Interest Rec'd on	\$100,000.00	<u>1,850.00</u>	3,700.00

Wells Fargo & Company

4.125% Due 08/15/23

02/16/16	Interest Rec'd on	\$100,000.00	\$2,062.50	
08/15/16	Interest Rec'd on	\$100,000.00	<u>2,062.50</u>	4,125.00

TOTAL SCHEDULE A-4

\$142,742.38
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Schedule A-5

OTHER INCOME RECEIVED

<u>ASG Managed Futures Strat-Y</u>			
12/29/16	Income Rec'd on	31,277.927 Units	\$21.89
<u>BNY Mellon Emerging Mkts-M</u>			
12/16/16	Income Rec'd on	85,977.763 Units	5,984.05
<u>BNY Mellon International-M</u>			
12/16/16	Income Rec'd on	54,009.765 Units	12,141.40
<u>BNY Mellon Mid Cap Stock Fund</u>			
12/21/16	Income Rec'd on	88,127.906 Units	\$9,218.18
12/21/16	Income Rec'd on	88,127.906 Units	
	Received from Principal -		
	Capital gain distribution		
	allocable to Income	2,053.38	11,271.56
<u>Dreyfus Diversified Em MK-Y</u>			
12/30/16	Income Rec'd on	13,137.153 Units	1,300.58
<u>Dreyfus Floating Rate Inc-Y</u>			
02/02/16	Income Rec'd on	33,944.85 Units	\$1,493.57
03/02/16	Income Rec'd on	33,944.85 Units	1,391.74
04/04/16	Income Rec'd on	33,944.85 Units	1,459.62
05/03/16	Income Rec'd on	33,944.85 Units	1,493.57
06/02/16	Income Rec'd on	33,944.85 Units	1,459.62
07/05/16	Income Rec'd on	33,944.85 Units	1,425.68
08/02/16	Income Rec'd on	33,944.85 Units	1,391.74
09/02/16	Income Rec'd on	33,944.85 Units	1,391.74
10/04/16	Income Rec'd on	33,944.85 Units	1,391.74
11/02/16	Income Rec'd on	33,944.85 Units	1,391.74
12/02/16	Income Rec'd on	33,944.85 Units	1,323.84
12/28/16	Income Rec'd on	33,944.85 Units	1,391.74
			17,006.34
<u>Dreyfus High Yield-I</u>			
01/04/16	Income Rec'd on	37,827.379 Units	\$1,282.02
02/01/16	Income Rec'd on	37,827.379 Units	1,182.66
03/01/16	Income Rec'd on	37,827.379 Units	1,196.63
04/01/16	Income Rec'd on	37,827.379 Units	1,106.56
05/02/16	Income Rec'd on	37,827.379 Units	1,012.18

Schedule A-5 (Cont'd)

Dreyfus High Yield-I
(Cont'd)

06/01/16	Income Rec'd on	37,827.379 Units	\$1,131.33	
07/01/16	Income Rec'd on	37,827.379 Units	1,077.90	
08/02/16	Income Rec'd on	37,827.379 Units	1,012.36	
09/01/16	Income Rec'd on	37,827.379 Units	1,151.71	
10/03/16	Income Rec'd on	37,827.379 Units	1,045.86	
11/01/16	Income Rec'd on	37,827.379 Units	1,065.80	
12/01/16	Income Rec'd on	37,827.379 Units	<u>1,029.59</u>	\$13,294.60

Dreyfus International Stock-Y

12/30/16	Income Rec'd on	32,695.779 Units		6,143.54
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Dreyfus Midcap Index-I

12/29/16	Income Rec'd on	9,070.142 Units		4,453.44
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Drefus/Newton Intern Eqty-Y

12/19/16	Income Rec'd on	11,147.332 Units		2,887.16
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Dreyfus Select Mger S/C VI-Y

03/24/16	Income Rec'd on	9,503.847 Units		
	Received from Principal -			
	Capital gain distribution			
	allocable to Income		\$35.16	
12/30/16	Income Rec'd on	9,503.847 Units	<u>1,321.03</u>	<u>1,356.19</u>

TOTAL SCHEDULE A-5

\$75,860.75
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Schedule A-2

INCREASE ON SALE OR REDEMPTION OF ASSETS

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds</u>					
<u>Accenture Ltd, Class A</u>					
09/23/16	3,400	Shs.	\$378,714.68	\$77,768.52	\$300,946.16
10/13/16	288	Shs.	33,458.48	6,587.45	26,871.03
<u>Adobe Sys Inc</u>					
10/05/16	166	Shs.	17,933.98	11,796.40	6,137.58
<u>AdvanSix Inc.</u>					
10/12/16	66	Shs.	922.83	297.94	624.89
11/04/16	0.28	Shs.	4.45	1.26	3.19
<u>Agilent Technologies</u>					
10/12/16	360	Shs.	16,981.05	13,770.66	3,210.39
10/21/16	630	Shs.	28,622.04	24,098.65	4,523.39
<u>Allergan PLC</u>					
09/23/16	1,011	Shs.	244,080.91	61,450.30	182,630.61
<u>Alphabet Inc./CA Cl A</u>					
12/07/16	45	Shs.	34,317.18	33,598.75	718.43
12/12/16	38	Shs.	29,526.10	28,372.27	1,153.83
<u>Amazon.Com Inc.</u>					
09/23/16	80	Shs.	62,317.90	15,723.69	46,594.21
<u>Apple Computer Inc.</u>					
09/23/16	3,237	Shs.	366,329.77	40,639.89	325,689.88
11/17/16	306	Shs.	32,291.54	3,841.77	28,449.77
<u>Bank of America Corporation</u>					
12/15/16	2,560	Shs.	58,155.02	41,196.42	16,958.60

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>BNY Cayman Fund III</u>					
12/22/16	0.0235 Shs.				
Received capital gain distribution - Increase recognized on receipt			\$20,792.68	\$.00	\$20,792.68
<u>BNY Mellon Mid Cap Stock Fund</u>					
12/21/16	88,127.906 Units				
Received LT capital gain distribution - Increase recognized on receipt			11,756.26	.00	11,756.26
<u>BNY Mellon S/C Multi-Strategy Fund</u>					
12/20/16	9,355.231 Units				
Received LT capital gain distribution - Increase recognized on receipt			910.26	.00	910.26
<u>Bristol Myers Squibb</u>					
09/23/16	310 Shs.		17,142.75	13,547.00	3,595.75
<u>Capital One Financial Corp</u>					
10/07/16	390 Shs.		28,472.38	27,357.08	1,115.30
10/12/16	1,710 Shs.		124,574.20	119,950.28	4,623.92
<u>Chevron Texaco Corporation</u>					
09/23/16	1,610 Shs.		157,846.44	109,684.77	48,161.67
<u>Coca Cola Company</u>					
09/23/16	2,000 Shs.		84,457.15	65,493.09	18,964.06

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
Cognizant Technology Solutions Corp.					
12/01/16	730	Shs.	\$41,572.88	\$35,136.14	\$6,436.74
Comcast Corporation New Class A					
09/23/16	620	Shs.	41,013.34	21,030.34	19,983.00
11/18/16	490	Shs.	32,819.33	16,620.75	16,198.58
Costco Whsl Corp.					
09/23/16	235	Shs.	35,678.49	22,340.72	13,337.77
Dover Corp.					
02/23/16	2,000	Shs.	122,977.52	92,979.58	29,997.94
Dreyfus Midcap Index					
03/23/16	9,072.58	Units			
Received LT capital gain distribution - Increase recognized on receipt			439.11	.00	439.11
Dreyfus Midcap Index-I					
12/29/16	9,070.142	Units			
Received LT capital gain distribution - Increase recognized on receipt			30,397.67	.00	30,397.67
Dreyfus Select Mger S/C VI-Y					
03/24/16	9,503.847	Units			
Received LT capital gain distribution - Increase recognized on receipt			156.81	.00	156.81

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Dreyfus Sm Co Val Opportunistic</u>					
12/19/16	6,642.441 Units				
Received LT capital gain distribution - Increase recognized on receipt			\$908.69	\$.00	\$908.69
<u>Duke Energy Corp.</u>					
09/23/16	1,600	Shs.	128,904.87	89,400.00	39,504.87
<u>Eaton Corp. PLC</u>					
09/23/16	960	Shs.	60,827.05	35,474.35	25,352.70
<u>E. I. DuPont De Nemours</u>					
09/23/16	2,000	Shs.	135,442.24	84,259.47	51,182.77
<u>Electronic Arts</u>					
09/23/16	1,600	Shs.	132,748.14	59,738.72	73,009.42
<u>Exxon Mobil Corporation</u>					
09/23/16	2,300	Shs.	192,373.32	110,736.09	81,637.23
<u>Facebook Inc-A</u>					
10/05/16	133	Shs.	17,030.36	15,749.75	1,280.61
<u>Gilead Sciences, Inc.</u>					
03/02/16	2,400	Shs.	213,330.39	55,668.00	157,662.39
09/23/16	2,000	Shs.	160,868.89	46,390.00	114,478.89
<u>Home Depot, Inc.</u>					
09/23/16	2,660	Shs.	338,062.67	80,287.94	257,774.73

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Honeywell International, Inc.</u>					
09/23/16	343	Shs.	\$39,597.47	\$11,699.03	\$27,898.44
<u>Intel Corporation</u>					
09/23/16	1,440	Shs.	53,582.67	25,035.61	28,547.06
11/16/16	800	Shs.	27,556.20	16,617.21	10,938.99
11/21/16	980	Shs.	34,030.84	20,356.08	13,674.76
<u>Invesco LTD</u>					
09/23/16	2,890	Shs.	88,371.38	58,320.49	30,050.89
<u>Johnson & Johnson</u>					
10/12/16	188	Shs.	22,284.64	21,128.53	1,156.11
<u>Johnson Controls</u>					
03/02/16	2,400	Shs.	88,657.42	71,601.59	17,055.83
<u>JPMorgan Chase & Co</u>					
03/02/16	2,400	Shs.	137,877.23	127,108.56	10,768.67
<u>Microsoft Corporation</u>					
10/18/16	390	Shs.	22,236.73	21,941.93	294.80
<u>Mondelez International-W/I</u>					
03/02/16	1,300	Shs.	52,999.97	19,065.08	33,934.89
<u>Nabors Industries Ltd</u>					
12/05/16	1,800	Shs.	29,078.00	18,166.46	10,911.54
12/09/16	1,660	Shs.	28,394.18	16,753.52	11,640.66
<u>Nxp Semiconductors N V</u>					
10/18/16	338	Shs.	34,328.19	26,657.94	7,670.25

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Nxp Semiconductors N V</u>					
(Cont'd)					
11/01/16	340	Shs.	\$33,999.29	\$26,815.68	\$7,183.61
11/02/16	580	Shs.	57,801.02	45,744.40	12,056.62
11/07/16	580	Shs.	57,634.29	45,744.40	11,889.89
11/16/16	672	Shs.	65,621.99	53,000.40	12,621.59
 <u>Pepsico, Inc.</u>					
09/23/16	2,170	Shs.	230,272.99	108,562.39	121,710.60
 <u>Pfizer, Inc.</u>					
09/23/16	4,370	Shs.	146,787.28	95,322.37	51,464.91
10/12/16	530	Shs.	17,780.69	11,560.84	6,219.85
 <u>Philip Morris Intl Inc</u>					
09/23/16	600	Shs.	59,540.24	29,335.63	30,204.61
10/06/16	700	Shs.	68,111.87	34,224.91	33,886.96
 <u>PNC Financial Services Group</u>					
09/23/16	2,400	Shs.	217,590.53	136,734.23	80,856.30
 <u>Procter & Gamble Company</u>					
09/23/16	2,210	Shs.	194,873.77	94,246.12	100,627.65
 <u>Salesforce.Com Inc.</u>					
10/18/16	470	Shs.	33,237.01	14,388.16	18,848.85
10/19/16	390	Shs.	28,080.48	11,939.11	16,141.37
10/21/16	470	Shs.	34,171.35	14,388.16	19,783.19
 <u>Schlumberger Limited</u>					
09/23/16	1,000	Shs.	75,800.35	65,173.80	10,626.55

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Sempra Energy</u>					
09/23/16	1,600	Shs.	\$173,956.68	\$84,204.80	\$89,751.88
<u>Servicenow Inc.</u>					
09/23/16	1,600	Shs.	120,792.08	85,411.36	35,380.72
<u>Shire PLC</u>					
03/02/16	250	Shs.	40,274.15	22,291.27	17,982.88
09/23/16	400	Shs.	82,373.68	35,666.04	46,707.64
<u>Taiwan Semiconductor Mfg Co</u>					
09/28/16	920	Shs.	27,784.77	27,698.72	86.05
<u>Union Pac Corp</u>					
03/02/16	800	Shs.	64,134.68	19,071.76	45,062.92
09/23/16	1,200	Shs.	112,144.51	28,607.64	83,536.87
<u>United Technologies Corporation</u>					
09/23/16	708	Shs.	71,616.40	31,905.05	39,711.35
<u>Valero Energy Corp</u>					
09/23/16	1,940	Shs.	109,749.41	6,487.85	103,261.56
11/02/16	490	Shs.	28,729.74	1,638.68	27,091.06
11/08/16	480	Shs.	27,634.73	1,605.24	26,029.49
<u>Verizon Communications</u>					
09/23/16	3,100	Shs.	159,027.15	112,457.10	46,570.05
<u>Walt Disney Co</u>					
03/02/16	910	Shs.	87,054.16	27,095.52	59,958.64
09/23/16	4,150	Shs.	385,821.23	123,567.50	262,253.73

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Wells Fargo & Co.</u>					
09/23/16	4,270	Shs.	\$200,114.73	\$105,457.76	\$94,656.97
<u>Yum! Brands Inc.</u>					
09/23/16	220	Shs.	19,459.83	10,906.94	8,552.89
<u>Yum China Holdings Inc.</u>					
12/09/16	1,780	Shs.	<u>49,767.71</u>	<u>26,606.49</u>	<u>23,161.22</u>
TOTAL SCHEDULE A-2			<u>\$7,175,893.53</u>	<u>\$3,453,300.39</u>	<u>\$3,722,593.14</u>

Schedule B-1

DECREASE ON SALE OR REDEMPTION OF ASSETS

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc.</u>					
Barclays Bank PLC					
5% Due 09/22/16					
09/22/16	\$200,000.00		\$218,927.25	\$200,000.00	\$18,927.25
Cisco Systems Inc					
5.5% Due 02/22/16					
02/22/16	\$250,000.00		250,622.50	250,000.00	622.50
Intel Corp.					
1.95% Due 10/01/16					
10/03/16	\$150,000.00		157,365.00	150,000.00	7,365.00
<u>Stock and Common Funds</u>					
Abbvie Inc.					
03/02/16	800	Shs.	46,830.08	44,999.10	1,830.98
Aetna Inc.					
11/09/16	863	Shs.	95,812.61	91,961.25	3,851.36
Amgen, Inc.					
10/24/16	387	Shs.	66,953.17	62,496.97	4,456.20
Analog Devices Inc.					
03/02/16	800	Shs.	45,511.92	42,631.15	2,880.77
Biogen Inc.					
12/22/16	276	Shs.	84,408.97	78,712.65	5,696.32

Schedule B-1 (Cont'd)

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Cardinal Health Inc.</u>					
09/23/16	1,000	Shs.	\$83,148.30	\$76,297.03	\$6,851.27
<u>Cisco Systems, Inc.</u>					
01/21/16	2,000	Shs.	58,357.60	47,302.93	11,054.67
<u>CVS Health Corporation</u>					
09/23/16	500	Shs.	49,501.80	44,740.02	4,761.78
<u>Devon Energy Corporation New</u>					
09/30/16	2,410	Shs.	97,674.65	92,032.52	5,642.13
<u>Dynegy Inc/New</u>					
12/12/16	1,520	Shs.	19,320.26	12,300.63	7,019.63
12/13/16	2,660	Shs.	33,810.47	21,364.12	12,446.35
12/13/16	500	Shs.	6,355.35	4,085.31	2,270.04
12/14/16	2,930	Shs.	37,242.35	25,066.19	12,176.16
<u>Facebook Inc-A</u>					
11/17/16	270	Shs.	31,973.17	31,308.57	664.60
11/21/16	198	Shs.	23,446.99	23,183.71	263.28
<u>Micron Technology Inc.</u>					
01/21/16	2,400	Shs.	<u>75,053.92</u>	<u>26,423.75</u>	<u>48,630.17</u>
TOTAL SCHEDULE B-1			<u>\$1,482,316.36</u>	<u>\$1,324,905.90</u>	<u>\$157,410.46</u>

Part II Balance Sheet

Line 10a	U.S. and State Government Obligations		Fair Market Value	Book Value
			\$ -	\$ -
Line 10b	Corporate Stock		Fair Market Value	Book Value
	2,020	shs. Abbott Laboratories	\$ 77,588.20	\$ 81,882.86
	833	shs. Accenture Ltd, Class A	\$ 97,569.29	\$ 50,583.77
	1,614	shs. Adobe Sys Inc	\$ 166,161.30	\$ 114,695.12
	1,720	shs. Agilent Technologies	\$ 78,363.20	\$ 65,793.15
	856	shs. Alexion Pharmaceuticals Inc.	\$ 104,731.60	\$ 113,827.15
	636	shs. Allergan PLC	\$ 133,566.36	\$ 58,183.04
	8,350	shs. Ally Financial Inc.	\$ 158,817.00	\$ 170,369.72
	486	shs. Alphabet Inc./CA Cl A	\$ 385,130.70	\$ 362,866.45
	420	shs. Amazon.com Inc.	\$ 314,945.40	\$ 82,549.40
	1,279	shs. American Tower Corp.	\$ 135,164.72	\$ 127,308.66
	1,257	shs. Apple Computer Inc.	\$ 145,585.74	\$ 15,781.39
	31,278	units ASG Managed Futures Strat-Y	\$ 307,462.02	\$ 350,000.00
	4,570	shs. AT&T Inc	\$ 194,362.10	\$ 170,113.22
	10,810	shs. Bank America Corporation	\$ 238,901.00	\$ 173,958.34
	720	shs. Biomarin Pharmaceutical Inc.	\$ 59,644.80	\$ 68,265.47
	0.0235	shs. BNY Cayman Fund III	\$ 515,876.14	\$ 800,200.00
	85,978	units BNY Emerging Mkts-M	\$ 728,231.64	\$ 760,043.42
	54,010	units BNY Mellon International-M	\$ 593,027.22	\$ 480,000.00
	88,128	units BNY Mellon Mid Cap Stock Fund	\$ 1,346,594.39	\$ 1,205,000.00
	9,355	units BNY Mellon S/C Multi-Strategy Fund	\$ 171,855.59	\$ 108,500.03
	1,690	shs. Bristol Myers Squibb	\$ 98,763.60	\$ 73,853.00
	1,707	shs. Broadcom Ltd.	\$ 301,746.39	\$ 210,558.97
	3,800	shs. Cabot Oil & Gas Corp. Cl A	\$ 88,768.00	\$ 91,326.46
	1,820	shs. Celanese Corp - Ser A	\$ 143,306.80	\$ 106,444.69
	910	shs. Celgene Corporation	\$ 105,332.50	\$ 100,672.75
	1,090	shs. Chevron Texaco Corporation	\$ 128,293.00	\$ 74,258.64
	5,550	shs. Citizens Financial Group Inc.	\$ 197,746.50	\$ 119,389.39
	1,290	shs. Comcast Corporation New Class A	\$ 89,074.50	\$ 43,756.67
	776	shs. Constellation Corporation New Class A	\$ 118,968.56	\$ 116,233.53
	785	shs. Costco Whsl Corp.	\$ 125,686.35	\$ 86,923.53
	1,440	shs. Dominion Resources, Inc. VA New	\$ 110,289.60	\$ 109,732.75
	2,320	shs. Dow Chemical Co.	\$ 132,750.40	\$ 123,826.06
	13,137	units Dreyfus Diversified Em MK-Y	\$ 244,088.30	\$ 250,000.00
	33,945	units Dreyfus Floating Rate Inc-Y	\$ 410,393.24	\$ 425,000.00
	37,827	units Dreyfus High Yield-I	\$ 235,286.30	\$ 229,461.55
	32,696	units Dreyfus International Stock-Y	\$ 480,954.91	\$ 400,000.00
	9,070	units Dreyfus Midcap Index-I	\$ 317,182.87	\$ 225,000.00
	11,147	units Dreyfus/Newton Intern Eqty-Y	\$ 196,304.52	\$ 160,000.00
	9,504	units Dreyfus Select Mger S/C VI-Y	\$ 224,956.06	\$ 215,000.00

9,107	units	Dreyfus Select Mgr S/C Gr-Y	\$	210,094.87	\$	225,000.00
6,642	units	Dreyfus Sm Co Val Opportunistic	\$	220,994.01	\$	215,000.00
2,440	shs.	Eaton Corp. PLC	\$	163,699.60	\$	90,163.97
1,410	shs.	Electronic Arts	\$	111,051.60	\$	112,686.04
3,460	shs.	Exelon Corp.	\$	122,795.40	\$	117,598.36
1,300	shs.	Exxon Mobil Corporation	\$	117,338.00	\$	62,589.96
2,284	shs.	Facebook Inc-A	\$	262,774.20	\$	270,469.38
3,970	shs.	General Electric	\$	125,452.00	\$	117,965.37
2,310	shs.	Halliburton Co.	\$	124,947.90	\$	87,315.90
3,830	shs.	Hartford Finl Svcs Group Inc.	\$	182,499.50	\$	167,626.39
1,340	shs.	Home Depot, Inc.	\$	179,667.20	\$	40,445.81
1,665	shs.	Honeywell International, Inc.	\$	192,890.25	\$	57,143.17
1,725	shs.	Illinois Tool Wks Inc.	\$	211,243.50	\$	187,500.69
764	shs.	Incyte Corporation	\$	76,606.28	\$	73,533.90
2,710	shs.	Ingersoll-Rand PLC	\$	203,358.40	\$	98,311.78
3,670	shs.	Intel Corporation	\$	133,110.90	\$	76,231.42
3,080	shs.	Intercontinentalexchange Gro	\$	173,773.60	\$	137,926.61
5,850	shs.	Investco LTD	\$	177,489.00	\$	118,053.59
1,142	shs.	Johnson & Johnson	\$	131,569.82	\$	128,344.61
1,730	shs.	Lilly, Eli & Co.	\$	127,241.50	\$	137,865.36
724	shs.	3M Company	\$	129,284.68	\$	109,189.30
2,990	shs.	Marathon Petroleum Corp.	\$	150,546.50	\$	130,276.99
2,330	shs.	Merck & Co Inc	\$	137,167.10	\$	124,473.54
9,130	shs.	Micron Technology Inc.	\$	200,129.60	\$	165,300.53
1,070	shs.	Microsoft Corporation	\$	66,489.80	\$	60,199.67
3,010	shs.	Mondelez International-W/I	\$	133,433.30	\$	87,656.88
2,988	shs.	Monster Beverage Corp.	\$	132,487.92	\$	146,721.56
7,500	shs.	Nabors Industries Ltd.	\$	123,000.00	\$	75,693.59
2,630	shs.	Nike Inc. Cl B	\$	133,682.90	\$	156,299.25
1,940	shs.	Nucor Corp.	\$	115,468.80	\$	94,899.77
2,250	shs.	Nvidia Corp.	\$	240,165.00	\$	155,905.20
588	shs.	Palo Alto Networks Inc.	\$	73,529.40	\$	86,083.94
561	shs.	Panera Bread Co. Cl A	\$	115,055.49	\$	112,959.26
1,980	shs.	Pepsico, Inc.	\$	207,167.40	\$	99,056.92
3,100	shs.	Pfizer, Inc.	\$	100,688.00	\$	67,619.99
1,450	shs.	Philip Morris Intl Inc	\$	132,660.50	\$	70,894.45
601	shs.	Pioneer Natural Resources Co.	\$	108,222.07	\$	96,896.00
2,140	shs.	Procter & Gamble Company	\$	179,931.20	\$	91,260.95
3,150	shs.	Qualcomm Inc.	\$	205,380.00	\$	203,081.92
1,290	shs.	Salesforce.com Inc.	\$	88,313.40	\$	39,490.89
1,400	shs.	Schlumberger Limited	\$	117,530.00	\$	91,243.32
5,150	shs.	Schwab Charles Corp. New	\$	203,270.50	\$	162,229.50
4,550	shs.	Synchrony Financial	\$	165,028.50	\$	125,395.72
5,160	shs.	Taiwan Semiconductor Mfg Co	\$	148,350.00	\$	154,835.05
2,450	shs.	Time Warner Inc.	\$	236,498.50	\$	188,066.55

1,292	shs.	United Technologies Corporation	\$	141,629.04	\$	58,222.22
1,348	shs.	Unitedhealth Group Inc.	\$	215,733.92	\$	187,724.04
752	shs.	Universal Health Services Cl B	\$	79,997.76	\$	85,711.24
1,890	shs.	Valero Energy Corp	\$	129,124.80	\$	6,320.63
1,100	shs.	Verizon Communications	\$	58,718.00	\$	39,904.13
1,960	shs.	Visa Inc. - Cl A Shs	\$	152,919.20	\$	160,268.29
4,200	shs.	Voya Financial Inc.	\$	164,724.00	\$	135,330.04
1,350	shs.	Walt Disney Co.	\$	140,697.00	\$	75,497.85
2,480	shs.	Wells Fargo & Co.	\$	136,672.80	\$	61,249.47
3,140	shs.	Williams Co.	\$	97,779.60	\$	95,789.78
1,780	shs.	Yum! Brands Inc.	\$	112,727.40	\$	61,640.57
899	shs.	Zimmer Biomet Holdings, Inc.	\$	92,776.80	\$	113,020.03
			\$	18,119,049.22	\$	15,019,540.52

Line 10c	Corporate Bonds	Fair Market Value	Book Value
	\$100,000 American Express Cre	\$ 100,399.00	\$ 101,655.00
	\$100,000 Apple Inc.	\$ 103,004.00	\$ 101,678.00
	\$200,000 AT&T Inc	\$ 215,046.00	\$ 213,700.00
	\$75,000 Bank of Montreal	\$ 74,806.50	\$ 75,135.75
	\$150,000 Berkshire Hathaway Inc.	\$ 156,556.50	\$ 162,295.50
	\$200,000 Blackrock Inc	\$ 207,032.00	\$ 220,048.00
	\$125,000 Chevron Corp.	\$ 122,670.00	\$ 123,601.25
	\$100,000 Comcast Corp.	\$ 101,055.00	\$ 104,147.00
	\$200,000 EMC Corp.	\$ 197,784.00	\$ 201,014.00
	\$125,000 General Electric Cap Cor.	\$ 135,726.25	\$ 140,207.50
	\$150,000 Glaxosmithkline Cap	\$ 150,373.50	\$ 150,427.50
	\$100,000 HSBC USA Inc.	\$ 100,423.00	\$ 101,063.00
	\$75,000 Intel Corp.	\$ 75,338.25	\$ 78,562.50
	\$100,000 Intercontinemtalexchan	\$ 104,950.00	\$ 104,713.00
	\$150,000 JPMorgan Chase & Co.	\$ 161,509.50	\$ 168,589.50
	\$200,000 Lloyds Tsb Bank PLC	\$ 201,370.00	\$ 221,799.00
	\$150,000 Occidental Petroleum	\$ 150,136.50	\$ 152,442.00
	\$200,000 Oracle Corp	\$ 211,292.00	\$ 220,374.00
	\$150,000 Pepsico Inc.	\$ 161,407.50	\$ 174,655.50
	\$250,000 Philip Morris Intl	\$ 267,720.00	\$ 272,725.00
	\$200,000 Simon Property Group	\$ 213,796.00	\$ 221,032.00
	\$100,000 Toronto-Dominion BK	\$ 98,471.00	\$ 101,184.00
	\$100,000 US Bancorp	\$ 103,968.00	\$ 103,315.00
	\$100,000 Wells Fargo & Company	\$ 103,472.00	\$ 103,124.00
		<u>\$ 3,518,306.50</u>	<u>\$ 3,617,488.00</u>

	Fair Market Value	Book Value
U.S. and State Government Obligations	\$ -	\$ -
Corporate Stock	\$ 18,119,049.22	\$ 15,019,540.52
Corporate Bonds	\$ 3,518,306.50	\$ 3,617,488.00
Cash	<u>\$ 1,594,076.84</u>	<u>\$ 1,594,076.84</u>
	\$ 23,231,432.56	\$ 20,231,105.36
	\$ 23,231,432.56	\$ 20,231,105.36

Schedule B-3

DISTRIBUTIONS

	<u>Inventory Value</u>	<u>Distribution Value</u>
Almost Home Dog Rescue of Ohio 4672 Aberdeen Avenue Dublin, OH 43016		
09/12/16 Cash		
Senior to Senior Rescue of Ohio	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Almost Home Dog Rescue of Ohio 4672 Aberdeen Avenue Dublin, OH 43016	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 America's VetDogs (f/k/a Guide Dog Foundation for Blind) 371 Jericho Turnpike Smithtown, NY 11787		
04/14/16 Cash		
Connecticut Prison Puppy Prog.	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
America's VetDogs (f/k/a Guide Dog Foundation for Blind) 371 Jericho Turnpike Smithtown, NY 11787	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Americans for Oxford (f/k/a American Freinds of Oxford) 500 Fifth Avenue, 32nd Floor New York, NY 10110		
12/13/16 Cash		
Kellogg College	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions Americans for Oxford (f/k/a American Freinds of Oxford) 500 Fifth Avenue, 32nd Floor New York, NY 10110	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Animal Medical Center Elmer & Mamdouha Bobst Hospital 510 East 62nd Street New York, NY 10021		
09/12/16 Cash		
Frank Vd Lloyd Fund	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions Animal Medical Center Elmer & Mamdouha Bobst Hospital 510 East 62nd Street New York, NY 10021	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
Animal Refuge League of Greater Portland P.O. Box 336 Westbrook, ME 04098		
10/20/16 Cash		
Future Fund Campaign	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions Animal Refuge League of Greater Portland P.O. Box 336 Westbrook, ME 04098	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Applied Behavioral Rehabilitation Inst. 655 Park Avenue Bridgeport, CT 06604		
04/13/16 Cash		
Female Soldiers: Forgotten Heroes	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Applied Behavioral Rehabilitation Inst. 655 Park Avenue Bridgeport, CT 06604	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Best Friends Animal Society 5001 Angel Canyon Road Kanab, UT 84741		
04/13/16 Cash		
Canines with Careers	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Best Friends Animal Society 5001 Angel Canyon Road Kanab, UT 84741	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
 Big Brother Big Sister of New York City 223 East 30th Street New York, NY 10016		
04/13/16 Cash		
Traditional Mentoring Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Big Brother Big Sister of New York City 223 East 30th Street New York, NY 10016	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Black Ministerial Alliance of Boston 7 Palmer Street, 3rd Floor Roxbury, MA 02119-2427		
05/13/16 Cash		
Victory Gen. Out-of-School Time Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Black Ministerial Alliance of Boston 7 Palmer Street, 3rd Floor Roxbury, MA 02119-2427	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Bridge Center (f/k/a Handi Kids) 470 Pine Street Bridgewater, MA 02324		
01/12/16 Cash		
Hippotherapy	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Bridge Center (f/k/a Handi Kids) 470 Pine Street Bridgewater, MA 02324	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Broome County Humane Society 2 Jackson Street Binghamton, NY 13903		
07/22/16 Cash		
Shelter Grooming Equipment	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Broome County Humane Society 2 Jackson Street Binghamton, NY 13903	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
BuildOn P.O. box 16741 Stamford, CT 06905 <u>05/13/16 Cash</u> Service Learning Program in Bridgeport	\$10,000.00	\$10,000.00
Total Distributions BuildOn P.O. box 16741 Stamford, CT 06905	\$10,000.00 =====	\$10,000.00 =====
Camden City Garden Club 3 Riverside Drive Camden, NJ 08103 <u>09/12/16 Cash</u> Youth Employment & Training Program	\$2,500.00	\$2,500.00
Total Distributions Camden City Garden Club 3 Riverside Drive Camden, NJ 08103	\$2,500.00 =====	\$2,500.00 =====
Campaign for Delbarton Delbarton School Mendham Road Morristown, NJ 07960 <u>12/13/16 Cash</u> Endowment Fund	\$8,500.00	\$8,500.00
Total Distributions Campaign for Delbarton Delbarton School Mendham Road Morristown, NJ 07960	\$8,500.00 =====	\$8,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Can Do Canines 9440 Science Center Drive New Hope, MN 55428		
07/22/16 Cash		
Training & Placement of Mobility Assist Dogs 4 People with Disabilities	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Can Do Canines 9440 Science Center Drive New Hope, MN 55428	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Canine Companions for Independence PO Box 205, SUNY Farmingdale, NY 11735-0205		
01/12/16 Cash		
Help a Person w/Disability Live a More Ind. Life (1 of 2)	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Canine Companions for Independence PO Box 205, SUNY Farmingdale, NY 11735-0205	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
Cardinal Shehan Center 1494 Main Street Bridgeport, CT 06604		
03/23/16 Cash		
Tutoring Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Cardinal Shehan Center 1494 Main Street Bridgeport, CT 06604	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Career Wardrobe 1211 Chestnut Street, Suite 205 Philadelphia, PA 19107		
01/12/16 Cash		
Gateway to Success Education Program	\$5,000.00	\$5,000.00
Total Distributions		
Career Wardrobe 1211 Chestnut Street, Suite 205 Philadelphia, PA 19107	\$5,000.00 =====	\$5,000.00 =====
 CASA of Children of Essex County 212 Washington Street, Room 912 Newark, NJ 07102		
07/22/16 Cash		
Individual Advocacy for Older Foster Youth	\$5,000.00	\$5,000.00
Total Distributions		
CASA of Children of Essex County 212 Washington Street, Room 912 Newark, NJ 07102	\$5,000.00 =====	\$5,000.00 =====
 CASA for Children of Morris & Sussex Counties 18 Cattano Avenue Morristown, NJ 07960		
01/12/16 Cash		
Fostering Futures	\$2,500.00	\$2,500.00
Total Distributions		
CASA for Children of Morris & Sussex Counties 18 Cattano Avenue Morristown, NJ 07960	\$2,500.00 =====	\$2,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Children's Specialized Hospital 150 New Providence Road Mountainside, NJ 07092		
04/14/16 Cash		
Primary Care Pediatric Practice	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Children's Specialized Hospital 150 New Providence Road Mountainside, NJ 07092	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
 Children's Village One Echo Hills Dobbs Ferry, NY 10522		
01/12/16 Cash		
Canine Program (2 of 2)	<u>\$40,000.00</u>	<u>\$40,000.00</u>
Total Distributions		
Children's Village One Echo Hills Dobbs Ferry, NY 10522	<u>\$40,000.00</u> =====	<u>\$40,000.00</u> =====
 Cocheco Valley Humane Society 262 County Farm Road Dover, NH 03820		
10/20/16 Cash		
Bring Us Home Campaign	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Cocheco Valley Humane Society 262 County Farm Road Dover, NH 03820	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Community Foodbank of New Jersey 31 Evans Terminal Hillside, NJ 07205		
12/13/16 Cash		
Food Bank	\$2,500.00	\$2,500.00
Total Distributions		
Community Foodbank of New Jersey 31 Evans Terminal Hillside, NJ 07205	\$2,500.00 =====	\$2,500.00 =====
Community Preparatory School 126 Summer Street Providence, RI 02907		
03/23/16 Cash		
Summer Programs & Capital Campaign	\$10,000.00	\$10,000.00
Total Distributions		
Community Preparatory School 126 Summer Street Providence, RI 02907	\$10,000.00 =====	\$10,000.00 =====
Connecticut Humane Society 701 Russell Road Newington, CT 06111		
09/12/16 Cash		
Special Assistance Fund	\$2,500.00	\$2,500.00
Total Distributions		
Connecticut Humane Society 701 Russell Road Newington, CT 06111	\$2,500.00 =====	\$2,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
ConnectiKids 814 Asylum Avenue <u>Hartford, CT 06105</u> 06/21/16 Cash Tutoring/Mentoring Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions ConnectiKids 814 Asylum Avenue Hartford, CT 06105	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Cradles to Crayons 155 North Beacon Street <u>Brighton, MA 02135</u> 07/22/16 Cash Ready for School	 <u>\$5,000.00</u>	 <u>\$5,000.00</u>
Total Distributions Cradles to Crayons 155 North Beacon Street Brighton, MA 02135	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Delaware Valley Golden Retriever Rescue 60 Vera Cruz Road <u>Reinholds, PA 17569</u> 05/13/16 Cash Puerto Rico Project	 <u>\$2,500.00</u>	 <u>\$2,500.00</u>
Total Distributions Delaware Valley Golden Retriever Rescue 60 Vera Cruz Road Reinholds, PA 17569	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Dogs Play for Life 728 Rocky Mountain Place Long Mont, CO 80504		
05/13/16 Cash		
Sponsored Enrichment Seminars for Northeastrn Shelters	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Dogs Play for Life 728 Rocky Mountain Place Long Mont, CO 80504	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Ebony Horsewomen 337 Vine Street Hartford, CT 06112		
03/23/16 Cash		
Equine/Animal Asst. Growth, Learn, & Thpy. (Eaglt) Program	<u>\$8,000.00</u>	<u>\$8,000.00</u>
Total Distributions		
Ebony Horsewomen 337 Vine Street Hartford, CT 06112	<u>\$8,000.00</u> =====	<u>\$8,000.00</u> =====
 Eva's Village 393 Main Street Patterson, NJ 07501		
11/16/16 Cash		
Services for Homeless/Addicted Women & Children	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Eva's Village 393 Main Street Patterson, NJ 07501	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Fauna & Flora International 1720 N Street NW Washington, DC 20036		
01/12/16 Cash		
Invprovemen Prot. of Crit. End. Mt. Gor. w/Population Census (2 of 2)	\$20,000.00	\$20,000.00
Total Distributions		
Fauna & Flora International 1720 N Street NW Washington, DC 20036	\$20,000.00 =====	\$20,000.00 =====
 FISH Food Banks of Gig Harbor P.O. Box 154 Gig Harbor, WA 98335		
12/13/16 Cash		
Food Bank	\$2,500.00	\$2,500.00
Total Distributions		
FISH Food Banks of Gig Harbor P.O. Box 154 Gig Harbor, WA 98335	\$2,500.00 =====	\$2,500.00 =====
 Fiver Children's Foundation 519 8th Avenue, 24th Floor New York, NY 10018		
06/21/16 Cash		
Camp Fiver	\$2,500.00	\$2,500.00
Total Distributions		
Fiver Children's Foundation 519 8th Avenue, 24th Floor New York, NY 10018	\$2,500.00 =====	\$2,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Food Bank Network of Somerset County PO Box 149 Bound Brook, NJ 08805		
<u>12/13/16</u> Cash	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Food Bank		
Total Distributions		
Food Bank Network of Somerset County PO Box 149 Bound Brook, NJ 08805	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Food Bank of Lower Fairfield County 461 Glenbrook Road Stamford, CT 06906		
<u>12/13/16</u> Cash	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Food Bank		
Total Distributions		
Food Bank of Lower Fairfield County 461 Glenbrook Road Stamford, CT 06906	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Food Project PO Box 705 Lincoln, MA 01773		
<u>03/23/16</u> Cash	<u>\$15,000.00</u>	<u>\$15,000.00</u>
Dirt Crew & Food Donations		
Total Distributions		
Food Project PO Box 705 Lincoln, MA 01773	<u>\$15,000.00</u> =====	<u>\$15,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Franciscan Outreach 1645 W. LeMoyne Street Chicago, IL 60622		
10/20/16 Cash		
Streets to Home Initiative	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Franciscan Outreach 1645 W. LeMoyne Street Chicago, IL 60622	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
Freedom Guide Dogs for the Blind 1210 Hardscrabble Road Cassville, NY 13318		
03/23/16 Cash		
Provide Guide Dogs to Blind & Vis. Imp. (2 of 2)	<u>\$7,500.00</u>	<u>\$7,500.00</u>
Total Distributions		
Freedom Guide Dogs for the Blind 1210 Hardscrabble Road Cassville, NY 13318	<u>\$7,500.00</u> =====	<u>\$7,500.00</u> =====
Friends of Green Chimneys 400 Doansburg Road Box 719 Brewster, NY 10509		
10/20/16 Cash		
Dog Interaction Program	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
Friends of Green Chimneys 400 Doansburg Road Box 719 Brewster, NY 10509	<u>\$25,000.00</u> =====	<u>\$25,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Friends of Van Cortlandt Park		
80 Van Cortlandt Park South, Suite E1		
<u>Bronx, NY 10463</u>		
10/20/16 Cash		
Wetland Internship for a		
Healthier Bronx	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Friends of Van Cortlandt Park		
80 Van Cortlandt Park South, Suite E1		
Bronx, NY 10463	<u>\$2,500.00</u>	<u>\$2,500.00</u>
	=====	=====
Friendship Works f/k/a Match-up		
Interfaith Volunteers		
105 Chauncey Street		
<u>Boston, MA 02111</u>		
03/23/16 Cash		
Petpals	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Friendship Works f/k/a Match-up		
Interfaith Volunteers		
105 Chauncey Street		
Boston, MA 02111	<u>\$5,000.00</u>	<u>\$5,000.00</u>
	=====	=====
Furniture Sharehouse		
P.O. Box 702		
<u>Larchmont, NY 10538</u>		
03/23/16 Cash		
Help. Pep. Adv. from Adversity		
Through Basic Funish.	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Furniture Sharehouse		
P.O. Box 702		
Larchmont, NY 10538	<u>\$5,000.00</u>	<u>\$5,000.00</u>
	=====	=====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Gallatin Valley Food Bank 602 Bond Street Bozeman, MT 59715		
<u>12/13/16 Cash</u>		
Food Bank	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions		
Gallatin Valley Food Bank 602 Bond Street Bozeman, MT 59715	<u>\$5,000.00</u>	<u>\$5,000.00</u>
	=====	=====
 Gallop NYC 540 President Street, 3rd Floor Brooklyn, NY 11215		
<u>11/16/16 Cash</u>		
Therapeutic Horsemanship Program for Children w/Special Needs	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions		
Gallop NYC 540 President Street, 3rd Floor Brooklyn, NY 11215	<u>\$5,000.00</u>	<u>\$5,000.00</u>
	=====	=====
 George Jackson Academy 104 St. Mark's Place New York, NY 10009		
<u>04/13/16 Cash</u>		
Counseling & Comm. Service for High-Poverty Boys Grade 4-8	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions		
George Jackson Academy 104 St. Mark's Place New York, NY 10009	<u>\$5,000.00</u>	<u>\$5,000.00</u>
	=====	=====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Goodwill Industries of Southwestern Florida 5100 Tice Street Fort Myers, FL 33905		
<u>10/20/16 Cash</u>		
Culinary Training & Certification	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions Goodwill Industries of Southwestern Florida 5100 Tice Street Fort Myers, FL 33905	 <u>\$2,500.00</u> =====	 <u>\$2,500.00</u> =====
 Greater Androscoggin Humane Society 55 Strawberry Avenue Lewiston, ME 04240		
<u>04/13/16 Cash</u>		
Equip. Existing Sprinter with Safer/More Durable Crates	<u>\$2,000.00</u>	<u>\$2,000.00</u>
 Total Distributions Greater Androscoggin Humane Society 55 Strawberry Avenue Lewiston, ME 04240	 <u>\$2,000.00</u> =====	 <u>\$2,000.00</u> =====
 Greenwich Leadership Forum 31 Jones Park Drive Riverside, CT 06878		
<u>12/13/16 Cash</u>		
General Purposes	<u>\$10,000.00</u>	<u>\$10,000.00</u>
 Total Distributions Greenwich Leadership Forum 31 Jones Park Drive Riverside, CT 06878	 <u>\$10,000.00</u> =====	 <u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
HART for Animals P.O. Box 623 McHenry, MD 21541 04/13/16 Cash		
Fencing for the Hart Adoption Wing	\$2,500.00	\$2,500.00
 Total Distributions HART for Animals P.O. Box 623 McHenry, MD 21541	 \$2,500.00 =====	 \$2,500.00 =====
 Helping Hands: Monkey Helpers for the Disabled 541 Cambridge Street 10/20/16 Cash		
Training & Placement Program Support	\$5,000.00	\$5,000.00
 Total Distributions Helping Hands: Monkey Helpers for the Disabled 541 Cambridge Street	 \$5,000.00 =====	 \$5,000.00 =====
 High Rocks Educational Corporation HC 64 Box 438 Hillsboro, WV 24946 04/13/16 Cash		
High Rocks for Girls Equine Partnerships	\$7,500.00	\$7,500.00
 Total Distributions High Rocks Educational Corporation HC 64 Box 438 Hillsboro, WV 24946	 \$7,500.00 =====	 \$7,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Hooved Animal Humane Society 10804 McConnell Road Woodstock, IL 60098		
<u>10/20/16</u> Cash		
Shelter for Hooved Animal Rescue	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Hooved Animal Humane Society 10804 McConnell Road Woodstock, IL 60098	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Horizons At Saint David's 12 East 89th Street New York, NY 10128		
<u>01/12/16</u> Cash		
General Operating Support	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Horizons At Saint David's 12 East 89th Street New York, NY 10128	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Horizons Student Enrichment Program New Canaan Country School 635 Frogtown Road New Canaan, CT 06840		
<u>07/22/16</u> Cash		
Horizons	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
Horizons Student Enrichment Program New Canaan Country School 635 Frogtown Road New Canaan, CT 06840	<u>\$25,000.00</u> =====	<u>\$25,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Humane Education Advocates Reaching Teachers P.O. Box 738 Mamaroneck, NY 10543		
06/21/16 Cash		
NYC Humane Education Project	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions		
Humane Education Advocates Reaching Teachers P.O. Box 738 Mamaroneck, NY 10543	<u>\$2,500.00</u>	<u>\$2,500.00</u>
	=====	=====
 Humane Society of Greater Dayton 1661 Nicholas Road Dayton, OH 45417-6714		
06/21/16 Cash		
Vehicle for Animal Cruelty & Neglect Investigations	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions		
Humane Society of Greater Dayton 1661 Nicholas Road Dayton, OH 45417-6714	<u>\$2,500.00</u>	<u>\$2,500.00</u>
	=====	=====
 Indiana County Humane Society 191 Airport Road Indiana, PA 15701		
07/22/16 Cash		
Cause for Paws	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions		
Indiana County Humane Society 191 Airport Road Indiana, PA 15701	<u>\$2,500.00</u>	<u>\$2,500.00</u>
	=====	=====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Intermountain Therapy Animals PO Box 17201 Salt Lake City, UT 84117		
05/13/16 Cash		
Reading Education Assistance Dogs (Read) Program	\$15,000.00	\$15,000.00
Total Distributions		
Intermountain Therapy Animals PO Box 17201 Salt Lake City, UT 84117	\$15,000.00 =====	\$15,000.00 =====
 International Hearing Dog 5901 E. 89th Avenue Henderson, CO 80640		
05/13/16 Cash		
Hearing Dog Training	\$2,500.00	\$2,500.00
Total Distributions		
International Hearing Dog 5901 E. 89th Avenue Henderson, CO 80640	\$2,500.00 =====	\$2,500.00 =====
 John Andrew Maize Memorial Foundation 1502 Wisteria Way Wayland, MA 01778		
05/13/16 Cash		
Maize Mentoring Program	\$2,500.00	\$2,500.00
Total Distributions		
John Andrew Maize Memorial Foundation 1502 Wisteria Way Wayland, MA 01778	\$2,500.00 =====	\$2,500.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Johns Hopkins University Center for Talented Youth McAuley Hall 5801 Smith Ave, Suite 400 Baltimore, MD 21209-3652		
<u>05/13/16</u> Cash		
2016 City Summer Program Schship	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions Johns Hopkins University Center for Talented Youth McAuley Hall 5801 Smith Ave, Suite 400 Baltimore, MD 21209-3652	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====
Keystone Conservation P.O. Box 6733 Bozeman, MT 59771		
<u>12/13/16</u> Cash		
General Purposes	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions Keystone Conservation P.O. Box 6733 Bozeman, MT 59771	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
KSDS Assistance Dogs 120 West 7th Street Washington, KS 66968		
<u>04/13/16</u> Cash		
Agility Center Equip.	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions KSDS Assistance Dogs 120 West 7th Street Washington, KS 66968	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Lake Drive Educational Foundation P.O. Box 155 Mountain Lakes, NJ 07046		
<u>01/12/16</u> Cash		
South Start Babies Early Intervention Program	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Lake Drive Educational Foundation P.O. Box 155 Mountain Lakes, NJ 07046	<u>\$10,000.00</u>	<u>\$10,000.00</u>
	=====	=====
 (LEAP) Learning Through an Expanded Arts 441 West End Avenue Suite 2G New York, NY 10024		
<u>01/12/16</u> Cash		
Active Learning Leads to Literacy K-1 (All K-2)	<u>\$15,000.00</u>	<u>\$15,000.00</u>
Total Distributions		
(LEAP) Learning Through an Expanded Arts 441 West End Avenue Suite 2G New York, NY 10024	<u>\$15,000.00</u>	<u>\$15,000.00</u>
	=====	=====
 Lifecamp, Inc. (f/k/a BGCN Lifecamp) PO Box 351 Gladstone, NJ 07934		
<u>05/13/16</u> Cash		
Floor Repair/Restoration Project	<u>\$17,800.00</u>	<u>\$17,800.00</u>
Total Distributions		
Lifecamp, Inc. (f/k/a BGCN Lifecamp) PO Box 351 Gladstone, NJ 07934	<u>\$17,800.00</u>	<u>\$17,800.00</u>
	=====	=====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Lollypop Farm Humane Society of Rochester County 99 Victor Road Fairport, NY 14450		
07/22/16 Cash		
Pet Assisted Therapy Read Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Lollypop Farm Humane Society of Rochester County 99 Victor Road Fairport, NY 14450	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Marshall Legacy Institute 2425 Wilson Boulevard #313 Arlington, VA 22201		
10/20/16 Cash		
Mddpp Colombia & Sri Lanka	<u>\$90,000.00</u>	<u>\$90,000.00</u>
 12/13/16 Cash		
Champs CT	<u>5,000.00</u>	<u>5,000.00</u>
Total Distributions		
Marshall Legacy Institute 2425 Wilson Boulevard #313 Arlington, VA 22201	<u>\$95,000.00</u> =====	<u>\$95,000.00</u> =====
 Mercy Neighborhood Ministries 1602 Madison Road cincinnati, OH 45206		
10/20/16 Cash		
From Training to Employment	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Mercy Neighborhood Ministries 1602 Madison Road cincinnati, OH 45206	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Monadnock Area Food Bank 51 Concord Street Peterborough, NH 03458		
<u>12/13/16</u> Cash		
Food Bank	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions		
Monadnock Area Food Bank 51 Concord Street Peterborough, NH 03458	 \$2,500.00 =====	 \$2,500.00 =====
 My Sister's Place 237 Hamilton Street, Suite 203 Hartford, CT 06106		
<u>06/21/16</u> Cash		
Life Skills Training Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions		
My Sister's Place 237 Hamilton Street, Suite 203 Hartford, CT 06106	 \$2,500.00 =====	 \$2,500.00 =====
 National Aquarium in Baltimore 501 East Pratt Street, Pier 3 Baltimore, MD 21202		
<u>07/22/16</u> Cash		
Animal Rescue	<u>\$10,000.00</u>	<u>\$10,000.00</u>
 Total Distributions		
National Aquarium in Baltimore 501 East Pratt Street, Pier 3 Baltimore, MD 21202	 \$10,000.00 =====	 \$10,000.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
National Braille Press 88 Saint Stephens Street Boston, MA 02115		
01/12/16 Cash		
Hands-On! Books for Blind Children	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
National Braille Press 88 Saint Stephens Street Boston, MA 02115	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Nativity Preparatory School 1515 Linden Street Wilmington, DE 19805		
06/21/16 Cash		
Summer Program	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
Nativity Preparatory School 1515 Linden Street Wilmington, DE 19805	<u>\$25,000.00</u> =====	<u>\$25,000.00</u> =====
 NEADS 305 Redemption Rock Trail South Priceton, MA 01541		
09/12/16 Cash		
Fdns for the Future Capital Campaign Kennel (1 of 2)	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
NEADS 305 Redemption Rock Trail South Priceton, MA 01541	<u>\$25,000.00</u> =====	<u>\$25,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Neighbor to Neighbor 248 E Putnam Avenue Greenwich, CT 06830		
<u>12/13/16</u> Cash		
Food Bank	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions Neighbor to Neighbor 248 E Putnam Avenue Greenwich, CT 06830	 \$2,500.00 =====	 \$2,500.00 =====
 New Canaan Mounted Troop 22 Carter Street New Canaan, CT 06840		
<u>11/16/16</u> Cash		
Super Troopers Therapeutic Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions New Canaan Mounted Troop 22 Carter Street New Canaan, CT 06840	 \$5,000.00 =====	 \$5,000.00 =====
 New Life of New York City, Inc. 87 Columbia Street #13G New York, NY 10002		
<u>12/13/16</u> Cash		
General Purposes	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions New Life of New York City, Inc. 87 Columbia Street #13G New York, NY 10002	 \$5,000.00 =====	 \$5,000.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
New York Botanical Gardens 200th Street & Kazimiroff Road Bronx, NY		
<u>01/12/16</u> Cash		
Professional Developent Program for Teachers (1 of 2)	<u>\$12,500.00</u>	<u>\$12,500.00</u>
Total Distributions		
New York Botanical Gardens 200th Street & Kazimiroff Road Bronx, NY	<u>\$12,500.00</u>	<u>\$12,500.00</u>
	=====	=====
 Newark Museum 49 Washington Street Newark, NJ		
<u>11/16/16</u> Cash		
Science Education Programming (2 of 2)	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
Newark Museum 49 Washington Street Newark, NJ	<u>\$25,000.00</u>	<u>\$25,000.00</u>
	=====	=====
 North Shore Animal League 16 Lewt Street Port Washington, NY 11050		
<u>01/12/16</u> Cash		
Transport & Quality Control Vehicles (2 of 2)	<u>\$25,000.00</u>	<u>\$25,000.00</u>
Total Distributions		
North Shore Animal League 16 Lewt Street Port Washington, NY 11050	<u>\$25,000.00</u>	<u>\$25,000.00</u>
	=====	=====

Schedule B-3 (Cont'd)

	Inventory Value	Distribution Value
Opening Word Program 1434 Straight Path Wyandanch, NY 11798		
09/12/16 Cash		
Increasing Computer Access to Build Skills & Obtain Employment	\$5,000.00	\$5,000.00
Total Distributions		
Opening Word Program 1434 Straight Path Wyandanch, NY 11798	\$5,000.00	\$5,000.00
	=====	=====
Operation ABLE of Greater Boston 174 Portland Street Boston, MA 02114		
03/23/16 Cash		
Able Mature Worker Career Center	\$2,500.00	\$2,500.00
Total Distributions		
Operation ABLE of Greater Boston 174 Portland Street Boston, MA 02114	\$2,500.00	\$2,500.00
	=====	=====
Operation Fuel, Inc. 75 Charter Oak Avenue, Suite 2-240 Hartford, CT 06106		
03/23/16 Cash		
Homelessness Interven. & Pre.	\$5,000.00	\$5,000.00
Total Distributions		
Operation Fuel, Inc. 75 Charter Oak Avenue, Suite 2-240 Hartford, CT 06106	\$5,000.00	\$5,000.00
	=====	=====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Operation Warm 6 Dickinson Drive, Suite 314 Chadds Ford, PA 19317		
10/20/16 Cash		
Connecticut Coat Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Operation Warm 6 Dickinson Drive, Suite 314 Chadds Ford, PA 19317	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Pace University One Pace Plaza New York, NY 10038		
04/13/16 Cash		
Liberty Partnership Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Pace University One Pace Plaza New York, NY 10038	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Pegasus Therapeutic Riding 45 Church Street #205 Stamford, CT		
09/12/16 Cash		
Student Financial Aid & Therapeutic Equine Care (1 of 2)	<u>\$20,000.00</u>	<u>\$20,000.00</u>
Total Distributions		
Pegasus Therapeutic Riding 45 Church Street #205 Stamford, CT	<u>\$20,000.00</u> =====	<u>\$20,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Person-To-Person 1864 Post Road Darien, CT 06820		
<u>05/13/16</u> Cash		
Emergency Assistance Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Person-To-Person 1864 Post Road Darien, CT 06820	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Petpals NC, Inc. 3303 Highland Farm Road Hillsborough, NC 27278-9265		
<u>06/21/16</u> Cash		
Pet-Assisted Therapy in Public Schools	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Petpals NC, Inc. 3303 Highland Farm Road Hillsborough, NC 27278-9265	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Pivot Ministries 485 Jane Street Bridgeport, CT 06608		
<u>12/13/16</u> Cash		
Food Bank	<u>\$5,000.00</u>	<u>\$5,000.00</u>
<u>12/13/16</u> Cash		
General Purposes	<u>5,000.00</u>	<u>5,000.00</u>
Total Distributions		
Pivot Ministries 485 Jane Street Bridgeport, CT 06608	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Pou Sante Amar Haiti 3800 Spruce Street Philadelphia, PA 19104		
<u>04/13/16</u> Cash		
One-Health in Haiti: Livestock Community Health Clinics	<u>\$2,500.00</u>	<u>\$2,500.00</u>
 Total Distributions Pou Sante Amar Haiti 3800 Spruce Street Philadelphia, PA 19104	 \$2,500.00 =====	 \$2,500.00 =====
 Princeton-Blairstown Center 13 Roszel Road, Suite C204A Princeton, NJ 08540		
<u>09/12/16</u> Cash		
Newark Summer Bridge 2017	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions Princeton-Blairstown Center 13 Roszel Road, Suite C204A Princeton, NJ 08540	 \$5,000.00 =====	 \$5,000.00 =====
 Project HOME 1515 Fairmont Avenue Philadelphia, PA 19130		
<u>04/13/16</u> Cash		
Decreasing Homelessness & Thru. Emp. Skills & Oppt. (1 of 2)	<u>\$5,000.00</u>	<u>\$5,000.00</u>
 Total Distributions Project HOME 1515 Fairmont Avenue Philadelphia, PA 19130	 \$5,000.00 =====	 \$5,000.00 =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Project Morrey 350 Executive Blvd, Suite 125 Elmsford, NY 10523		
09/12/16 Cash		
Youth Development, Mentoring, & College Prep for At-Risk Youth	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Project Morrey 350 Executive Blvd, Suite 125 Elmsford, NY 10523	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Puppies Behind Bars 10 East 40th Street 19th Floor New York, NY 10016		
12/13/16 Cash		
Dog Tags: Service Dog Program (2 of 2)	<u>\$30,000.00</u>	<u>\$30,000.00</u>
Total Distributions		
Puppies Behind Bars 10 East 40th Street 19th Floor New York, NY 10016	<u>\$30,000.00</u> =====	<u>\$30,000.00</u> =====
 Rose of Sharon Equestrian School 5630 Sharon Drive Glen Arm, MD 21057		
01/12/16 Cash		
Therapeutic Horsemanship Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Rose of Sharon Equestrian School 5630 Sharon Drive Glen Arm, MD 21057	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
SAVE, A Friend to Homelss Animals 900 Herrontown Road Princeton, NJ 08540		
<u>09/12/16</u> Cash		
Partners in Empathy Training	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions SAVE, A Friend to Homelss Animals 900 Herrontown Road Princeton, NJ 08540	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 School on Wheels of Massachusetts 790 West Chestnut Street Brockton, MA 02301		
<u>11/16/16</u> Cash		
Educating Children & Youth Impacted by Homelessness	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions School on Wheels of Massachusetts 790 West Chestnut Street Brockton, MA 02301	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Sea Research Foundation 55 Coogan Blvd Mystic, CT 06355		
<u>05/13/16</u> Cash		
Mystic Aquarium Animal Rescue Program	<u>\$7,500.00</u>	<u>\$7,500.00</u>
Total Distributions Sea Research Foundation 55 Coogan Blvd Mystic, CT 06355	<u>\$7,500.00</u> =====	<u>\$7,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Serviam Girls Academy 14 Halcyon Drive New Castle, DE 19720		
10/20/16 Cash		
6 + 1 Writing Traits Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Serviam Girls Academy 14 Halcyon Drive New Castle, DE 19720	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 South Bronx Educational Foundation 843 Crotona Park North Bronx, NY 10460		
05/13/16 Cash		
Career & University Preparation (Cup) Program	<u>\$15,000.00</u>	<u>\$15,000.00</u>
Total Distributions		
South Bronx Educational Foundation 843 Crotona Park North Bronx, NY 10460	<u>\$15,000.00</u> =====	<u>\$15,000.00</u> =====
 Southeastern Guide Dogs 4210 77th Street East Palmetto, FL 34221		
01/12/16 Cash		
Paws for Independence	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Southeastern Guide Dogs 4210 77th Street East Palmetto, FL 34221	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
SPCA of Westchester 590 North State Road Briarcliff Manor, NY 10510		
<u>11/16/16</u> Cash		
Goldren Outreach Pet Therapy	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
SPCA of Westchester 590 North State Road Briarcliff Manor, NY 10510	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 SPCA of Erie County 203 Ensminger Road Tonwanda, NY 14150		
<u>09/12/16</u> Cash		
Teaching Love & Compassion (TLC)	<u>\$30,000.00</u>	<u>\$30,000.00</u>
Total Distributions		
SPCA of Erie County 203 Ensminger Road Tonwanda, NY 14150	<u>\$30,000.00</u> =====	<u>\$30,000.00</u> =====
 Special Equestrians 2800 Street Road PO Box 1001 Warrington, PA		
<u>09/12/16</u> Cash		
Therapeutic Horseback Riding Programs	<u>\$10,000.00</u>	<u>\$10,000.00</u>
Total Distributions		
Special Equestrians 2800 Street Road PO Box 1001 Warrington, PA	<u>\$10,000.00</u> =====	<u>\$10,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
St. Benedict's Preparatory School 520 Dr Martin Luther King Boulevard Newark, NJ 07102-1314		
12/13/16 Cash		
General Operations	<u>\$8,500.00</u>	<u>\$8,500.00</u>
Total Distributions		
St. Benedict's Preparatory School 520 Dr Martin Luther King Boulevard Newark, NJ 07102-1314	<u>\$8,500.00</u> =====	<u>\$8,500.00</u> =====
St. Ignatius School 740 Manida Street Bronx, NY 10474		
11/16/16 Cash		
Graduate Support Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
St. Ignatius School 740 Manida Street Bronx, NY 10474	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
St. John's Church PO Box 200147 Newark, NJ 07102-0303		
12/13/16 Cash		
Food Bank	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
St. John's Church PO Box 200147 Newark, NJ 07102-0303	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
St. Louis Science Center 5050 Oakland Avenue St. Louis, MO 63110		
<u>04/13/16</u> Cash		
Summertime Science	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
St. Louis Science Center 5050 Oakland Avenue St. Louis, MO 63110	<u>\$2,500.00</u>	<u>\$2,500.00</u>
	=====	=====
 St. Mary's Foundation for Children 29-01 216 Street Bayside, NY 11360		
<u>10/20/16</u> Cash		
Pets Helping Kids	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
St. Mary's Foundation for Children 29-01 216 Street Bayside, NY 11360	<u>\$2,500.00</u>	<u>\$2,500.00</u>
	=====	=====
 St. Vincent Academy 228 Market Street Newark, NJ 07103-2715		
<u>12/13/16</u> Cash		
General Operations	<u>\$8,000.00</u>	<u>\$8,000.00</u>
Total Distributions		
St. Vincent Academy 228 Market Street Newark, NJ 07103-2715	<u>\$8,000.00</u>	<u>\$8,000.00</u>
	=====	=====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Stamford Jewish Community Center 1035 Newfield Avenue Stamford, CT 06905		
<u>05/13/16</u> Cash		
Jumpstart Therapeutic Preschool Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Stamford Jewish Community Center 1035 Newfield Avenue Stamford, CT 06905	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Stamford Public Education Foundation 733 Summer Street, Suite 203 Stamford, CT 06901		
<u>03/23/16</u> Cash		
Empowering Stamford's Students through Mentoring	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Stamford Public Education Foundation 733 Summer Street, Suite 203 Stamford, CT 06901	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Starbridge Services 1650 South Avenue, Suite 200 Rochester, NY 14620		
<u>09/12/16</u> Cash		
Parent Training & Information Center	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
Starbridge Services 1650 South Avenue, Suite 200 Rochester, NY 14620	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Starfish Food Pantry PO Box 2822 Plainfield, NJ 07062 <u>12/13/16</u> Cash		
Food Bank	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions Starfish Food Pantry PO Box 2822 Plainfield, NJ 07062	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Steve's Camp at Horizon Farms 325 Gold Street, Suite 605 Brooklyn, NY 11201 <u>07/22/16</u> Cash		
Steve's Camp at Gotham	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions Steve's Camp at Horizon Farms 325 Gold Street, Suite 605 Brooklyn, NY 11201	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====
 Summer On The Hill at Horace Mann 4662 Tibbett Avenue Bronx, NY 10471 <u>04/13/16</u> Cash		
Academic Enrichment for Promissing Low-Income Students	<u>\$50,000.00</u>	<u>\$50,000.00</u>
Total Distributions Summer On The Hill at Horace Mann 4662 Tibbett Avenue Bronx, NY 10471	<u>\$50,000.00</u> =====	<u>\$50,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Summit Speech School 705 Central Avenue New Providence, NJ 07974		
<u>11/16/16</u> Cash		
Parent/Infant Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Summit Speech School 705 Central Avenue New Providence, NJ 07974	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Therapeutic Riding 3425 East Morgan Road Ann Arbor, MI 48108		
<u>07/22/16</u> Cash		
Adopt-A-Horse Project for One Horse, Garuda	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Therapeutic Riding 3425 East Morgan Road Ann Arbor, MI 48108	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Trail Blazers Camps, Inc. 153 West 27th Street, Suite 1102 New York, NY 10001		
<u>01/12/16</u> Cash		
Summer Outdoor Experiential Education Programs	<u>\$10,000.00</u>	<u>\$10,000.00</u>
11/16/16 Cash		
Summer Outdoor Experiential Education Programs	<u>10,000.00</u>	<u>10,000.00</u>
Total Distributions		
Trail Blazers Camps, Inc. 153 West 27th Street, Suite 1102 New York, NY 10001	<u>\$20,000.00</u>	<u>\$20,000.00</u>

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Tutoring Chicago (fka Cabrini Green Tutoring Program) 2145 N. Halsted Chicago, IL 60614		
03/23/16 Cash		
One to One Tutoring	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
Tutoring Chicago (fka Cabrini Green Tutoring Program) 2145 N. Halsted Chicago, IL 60614	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
UDS Foundation (f/k/a United Disabilities Services) 1901 Olde Homestead Lane Lancaster, PA 17605-0485		
11/16/16 Cash		
Service Dogs Program	<u>\$2,500.00</u>	<u>\$2,500.00</u>
Total Distributions		
UDS Foundation (f/k/a United Disabilities Services) 1901 Olde Homestead Lane Lancaster, PA 17605-0485	<u>\$2,500.00</u> =====	<u>\$2,500.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
University of Pennsylvania School of Veterinary Medicine 2800 Spruce Street Philadelphia, PA		
<u>03/23/16</u> Cash		
Shelter Animal Medicine Program	<u>\$20,000.00</u>	<u>\$20,000.00</u>
Total Distributions University of Pennsylvania School of Veterinary Medicine 2800 Spruce Street Philadelphia, PA	<u>\$20,000.00</u> =====	<u>\$20,000.00</u> =====
 University of Richmond 28 Westhampton Way Richmond, VA 23173		
<u>12/13/16</u> Cash		
General Purposes	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions University of Richmond 28 Westhampton Way Richmond, VA 23173	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Whole Kids Outreach 62143 Highway 21 Ellington, MO 63638		
<u>07/22/16</u> Cash		
Riding & Rescue	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions Whole Kids Outreach 62143 Highway 21 Ellington, MO 63638	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460		
<u>09/12/16</u> Cash		
Online Teacher Academy: Dig		
Prof Dev at Wcs (1 of 2)	<u>\$50,000.00</u>	<u>\$50,000.00</u>
Total Distributions		
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460	<u>\$50,000.00</u> =====	<u>\$50,000.00</u> =====
 World Vets 802 1st Avenue North Fargo, ND 58102		
<u>05/13/16</u> Cash		
Latin America Veterinary		
Training Therapeutic Preschool		
Program	<u>\$5,000.00</u>	<u>\$5,000.00</u>
Total Distributions		
World Vets 802 1st Avenue North Fargo, ND 58102	<u>\$5,000.00</u> =====	<u>\$5,000.00</u> =====
 Yale University P.O. Box 208205 New Haven, CT 06520		
<u>10/20/16</u> Cash		
The Influence of Interactions		
w/Companion Dogs on Cog &		
Emo in Children (2 of 3)	<u>\$74,506.00</u>	<u>\$74,506.00</u>
Total Distributions		
Yale University P.O. Box 208205 New Haven, CT 06520	<u>\$74,506.00</u> =====	<u>\$74,506.00</u> =====

Schedule B-3 (Cont'd)

	<u>Inventory Value</u>	<u>Distribution Value</u>
Yellowstone Park Foundation 222 East Main Street, Suite 301 Bozeman, MT 59715		
04/13/16 Cash Full-Time Prospect Researcher Position (2 of 3)	\$25,000.00	\$25,000.00
12/15/16 Cash Yellowstone Forever Capital Campaign	<u>15,000.00</u>	<u>15,000.00</u>
Total Distributions Yellowstone Park Foundation 222 East Main Street, Suite 301 Bozeman, MT 59715	<u>\$40,000.00</u> =====	<u>\$40,000.00</u> =====
TOTAL SCHEDULE B-3	<u>\$1,229,806.00</u> =====	<u>\$1,229,806.00</u> =====