

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation Robert & Joan Dircks Foundation Inc		A Employer identification number 22-3135737	
Number and street (or P O box number if mail is not delivered to street address) P O Box 6		Room/suite	B Telephone number (see instructions) (973) 299-6344
City or town, state or province, country, and ZIP or foreign postal code Mountain Lakes, NJ 07046		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,341,443	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	28	28	28	
	4 Dividends and interest from securities	211,747	211,747	211,747	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	498,082			
	b Gross sales price for all assets on line 6a _____				
		1,847,362			
	7 Capital gain net income (from Part IV, line 2)		498,082		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	709,857	709,857	211,775	
	13 Compensation of officers, directors, trustees, etc	88,325	52,995		35,330
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	12,250	7,350		4,900
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	6,938	4,163		2,775
	22 Printing and publications				
	23 Other expenses (attach schedule)	12,990	7,889		5,196
	24 Total operating and administrative expenses. Add lines 13 through 23	120,503	72,397		48,201
	25 Contributions, gifts, grants paid	559,550			559,550
	26 Total expenses and disbursements. Add lines 24 and 25 _____	680,053	72,397		607,751
	27 Subtract line 26 from line 12 _____				
	a Excess of revenue over expenses and disbursements _____	29,804			
	b Net investment income (if negative, enter -0-) _____		637,460		
	c Adjusted net income (if negative, enter -0-)			211,775	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	71,854	58,067	58,067
	2 Savings and temporary cash investments	1,931,616	1,866,127	1,866,127
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	8,919,812	9,332,371	9,332,371
	c Investments—corporate bonds (attach schedule)	82,260	84,878	84,878
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,005,542	11,341,443	11,341,443	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	11,005,542	11,341,443	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	11,005,542	11,341,443	
	31 Total liabilities and net assets/fund balances (see instructions) .	11,005,542	11,341,443	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,005,542
2 Enter amount from Part I, line 27a	2	29,804
3 Other increases not included in line 2 (itemize) ▶ _____	3	310,097
4 Add lines 1, 2, and 3	4	11,345,443
5 Decreases not included in line 2 (itemize) ▶ _____	5	4,000
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	11,341,443

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	498,082
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-594

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	591,257	10,839,278	0 05455
2014	571,064	10,936,538	0 05222
2013	510,393	10,116,404	0 05045
2012	504,065	9,646,028	0 05226
2011	498,581	9,960,843	0 05005
2 Total of line 1, column (d)			2 0 259526
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 051905
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 10,924,186
5 Multiply line 4 by line 3			5 567,020
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,375
7 Add lines 5 and 6			7 573,395
8 Enter qualifying distributions from Part XII, line 4			8 607,751

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	6,375
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	6,375
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,375
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,334
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	7,441
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	12,775
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,400
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 6,400 Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.dircksfoundation.org	13	Yes	
14	The books are in care of Thomas C Dircks Telephone no (973) 299-6344			

Located at **20 North Briarcliff Mountain Lakes NJ**ZIP+4 **07046**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No	5b		No
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ☐ Yes ☒ No				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	11,054,465
b	Average of monthly cash balances.	1b	36,079
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,090,544
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	11,090,544
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	166,358
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,924,186
6	Minimum investment return. Enter 5% of line 5.	6	546,209

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	546,209
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	6,375
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,375
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	539,834
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	539,834
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	539,834

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	607,751
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	607,751
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	6,375
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	601,376

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				539,834
2	Undistributed income, if any, as of the end of 2016				
a	Enter amount for 2015 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2016				
a	From 2011.	2,966			
b	From 2012.	27,307			
c	From 2013.	11,967			
d	From 2014.	29,129			
e	From 2015.	53,733			
f	Total of lines 3a through e.	125,102			
4	Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 607,751				
a	Applied to 2015, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions).				
c	Treated as distributions out of corpus (Election required—see instructions).	0			
d	Applied to 2016 distributable amount.				539,834
e	Remaining amount distributed out of corpus	67,917			
5	Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	193,019			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see instructions.				
e	Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions.				
f	Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	2,966			
9	Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	190,053			
10	Analysis of line 9				
a	Excess from 2012.	27,307			
b	Excess from 2013.	11,967			
c	Excess from 2014.	29,129			
d	Excess from 2015.	53,733			
e	Excess from 2016.	67,917			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

The Robert and Joan Dircks Foundati
PO Box 6
Mountain Lakes, NJ 07046
(978) 449-0072

b The form in which applications should be submitted and information and materials they should include

The application process is as follows Step 1 Determine eligibility by view funding guidelines at the Robert and Joan Dircks Foundation's web site at www.dircksfoundation.org If the criterion is met, the applicant will fill in the information requested in the on-line grant request information section. (This serves as a letter of inquiry and provides the necessary information needed by the review board) Within one week, the applying organization will be contacted regarding the status of the request. If the request is not initially denied the applicant will be provided a user name and password to enable them to submit an application Step 2 The applicant will complete the application and forward it, with information requested, to the Foundation. The trustees review applications five times each year, January, March, May, August and November Step 3 If a site visit is requested by the Foundation a representative from the Foundation will contact the organization to set a date. Step 4 After

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Funding Guidelines The Robert and Joan Dircks Foundation focuses on programs and projects that provide opportunities to children and individuals who are physically, mentally or economically disadvantaged. The Foundation concentrates on small non-profit organizations that provide programs and projects that prevent or solve problems, rather than meet basic needs. Grants are awarded for one year only and typically range from \$1,000 to \$15,000. Recipients are required to report on the program that was funded and evaluate the effectiveness of the program. Funding Restrictions Grants are only awarded to organizations that are tax exempt under section 501(c)(3) of the Internal Revenue Code. One grant can be awarded by the Foundation to an organization within one calendar year. The Robert and Joan Dircks Foundation does not award grants for political or lobbying activities, operating budgets, deficit or debt reduction, loans or housing projects. Also, grants are not made to programs of national

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	559,550
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14		28
4 Dividends and interest from securities. . . .			14		211,747
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					498,082
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .					709,857

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

Print/Type preparer's name Raymond A Best CPA	Preparer's Signature	Date	Check if self-employed ☒	PTIN P00036083
Firm's name ▶ Ray Best CPA				Firm's EIN ▶
Firm's address ▶ 22 Bunker Hill Road Ipswich, MA 01938				Phone no (973) 945-4794

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
157 Select Sector SPDR TR RL Est	P	2016-09-12	2016-09-23
558 30 Wintergreen Fund Institutional	P	2015-12-17	2016-05-24
1,300 Barclays Bank PLC IPATH Crude Oil	P	2009-01-02	2016-06-21
1,800 Barclays Bank PLC IPATH Crude Oil	P	2009-07-27	2016-06-21
300 Barclays Bank PLC IPATH Crude Oil	P	2009-07-27	2016-06-21
4,100 Cablevision Sys Corp NY	P	2012-07-03	2016-06-21
971 Chevron Corp	P	2014-12-16	2016-08-31
1,560 ConocoPhillips	P	2014-12-16	2016-08-31
1,143 Exxon Mobil Corp	P	2014-12-16	2016-08-31
625 Vanguard Index Funds	P	2008-07-30	2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5		5	
8,592		9,186	-594
8,336		32,769	-24,433
11,542		43,043	-31,501
1,926		7,174	-5,248
143,090		56,253	86,837
97,952		100,173	-2,221
65,220		100,576	-35,356
99,477		100,306	-829
70,386		36,414	33,972

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-594
			-24,433
			-31,501
			-5,248
			86,837
			-2,221
			-35,356
			-829
			33,972

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1,595 Vanguard Index Funds	P	2008-10-17	2016-08-10
585 Vanguard Index Funds	P	2012-02-10	2016-06-21
580 Vanguard Sector Index Fds	P	2008-07-30	2016-08-01
145 Vanguard Sector Index Fds	P	2008-10-17	2016-08-01
655 Vanguard Sector Index Fds	P	2008-10-17	2016-08-01
680 Vanguard Sector Index Fds	P	2009-07-27	2016-08-01
18,952 73 Wintergreen Fund Institutional	P	2000-01-01	2016-05-24
1,835 IShares IBOXX Investment Grade Corporate	P	2009-07-27	2016-11-11
1,716 IShares Russell 2000 Growth ETF	P	2008-10-17	2016-08-10
250 SPDR Citi Govt Inflation Protected	P	2009-09-25	2016-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
179,625		66,990	112,635
49,921		34,043	15,878
65,888		45,497	20,391
16,472		8,268	8,204
74,408		37,343	37,065
77,248		41,954	35,294
291,683		217,433	74,250
216,681		186,076	30,605
249,984		94,720	155,264
13,805		13,888	-83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			112,635
			15,878
			20,391
			8,204
			37,065
			35,294
			74,250
			30,605
			155,264
			-83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
535 SPDR Gold Trust	P	2009-07-27	2016-06-21
32 SPDR Gold Trust	P	2014-08-13	2016-06-21
1,080 Vaneck Vectors ETF	P	2012-04-10	2016-06-21
221 Vaneck Vectors ETF	P	2013-01-17	2016-06-21
146 Vaneck Vectors ETF	P	2014-08-13	2016-06-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
64,867		49,344	15,523
3,880		4,023	-143
27,149		49,793	-22,644
5,555		9,997	-4,442
3,670		4,012	-342

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15,523
			-143
			-22,644
			-4,442
			-342

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Robert E Dircks	Trustee 10 00	22,000		
12 Henry Court Mt Arlington, NJ 07856				
Thomas C Dircks	Trustee 0 00	0		
20 North Briarcliff Mountain Lakes, NJ 07046				
Joan Walsh	Trustee 10 00	22,000		
706 Mercers Mill Lane West Chester, PA 19382				
Carolyn Van Riper	Trustee 15 00	44,325		
36 Bridge Street Groton, MA 01450				
William C Dircks	Trustee 0 00	0		
21887 Chase Drive Novi, MI 48375				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Cancer Hope Network 2 North Road Suite A Chester, NJ 07930	None	501(c)3	Partial Funding of Cancer Hope Network's Patient Services Director	10,000
Why Me Inc 1152 Pleasant Street Worcester, MA 01602	None	501(c)3	Childhood Cancer Lifeline and Sherry's House programs	20,000
Court Appointed Special Advocates o 18 Cattano Avenue Morristown, NJ 07960	None	501(c)3	Fostering Futures (FF) Program	5,000
The Phoenix Center Inc 16 Monsignor Owens Place Nutley, NJ 07110	None	501(c)3	Leisure & RecreationCommunity Based Activities	15,000
Michael Lisnow Respite Center 112 Main Street Hopkinton, MA 01748	None	501(c)3	The Michael Lisnow Scholarship Fund	20,000
Cedar Hill Community Development Corp 226 Cornelia Street Boonton, NJ 07005	None	501(c)3	Cedar Hill Summer Camp 2016 Scholarships	7,500
Maternal & Child Health Consortium 30 W Barnard St Suite 1 West Chester, PA 19382	None	501(c)3	Healthy Start and Family Benefits	20,000
College of Mount Saint Vincent 6301 Riverdale Avenue Riverdale, NY 10471	None	501(c)3	Scholarships	5,000
Saint Athanasius Church 2154 61st Street Brooklyn, NY 11204	None	501(c)3	Hispanic Scholarship Fund	20,000
Emmanuel Cancer Foundation 67 Walnut Street Suite 107 Clark, NJ 07066	None	501(c)3	Pediatric Cancer Family Services Program	10,000
Family Promise of Bergen County 100 Dayton Street Ridgewood, NJ 07450	None	501(c)3	Camp Lots of Fun	5,000
Happiness is Camping 62 Sunset Lake Road Hardwick, NJ 07825	None	501(c)3	Camp Direct Medical Services	15,000
Jersey Battered Womens Service Inc PO Box 1437 Morristown, NJ 07962	None	501(c)3	Protective Services	10,000
Main Street Counseling Center 8 Marcella Avenue West Orange, NJ 07052	None	501(c)3	School-Based Counseling program	10,000
Medical Needs Foundation PO Box 303 Mountain Lakes, NJ 07046	None	501(c)3	Medical Needs Foundation-- Family Support Program	10,000
Total ► 3a				559,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Embrace Kids Foundation 121 Somerset Street New Brunswick, NJ 08901	None	501(c)3	Team Care for Kids	5,000
Saint Barnabas High School 425 East 240th Street Bronx, NY 10470	None	501(c)3	Sr Georgette Dircks Scholarship Fund	10,000
Kelly Anne Dolan Memorial Fund 580 Virginia Drive Suite 110 Fort Washington, PA 19034	None	501(c)3	Bright Lights and Single Family Programs	7,500
Loaves Fishes PO Box 1 Ayer, MA 01432	None	501(c)3	Food Pantry	2,500
StudentPartner Alliance 561 Springfield Avenue Summit, NJ 07901	None	501(c)3	STEM Scholarship Program for Low-Income, Inner-City Students	5,000
Loyola University Maryland 4501 North Charles Street Baltimore, MD 21210	None	501(c)3	Dircks Family Men's Lacrosse Scholarship	5,000
Celtic Charms Inc 671 Fort Plains Road Howell, NJ 07731	None	501(c)3	2017 Pink Sock Scholarship Program (\$10,000) / 2017 Equine Costs (\$10,000)	20,000
All Saints Community Service Devel 601 Jackson Street Hoboken, NJ 07030	None	501(c)3	toward the Jubilee Center Children's Program	5,000
Contact We Care Inc PO Box 2376 Westfield, NJ 07091	None	501(c)3	Save our Youth Campaign Expansion	5,000
The CASA Project Inc 100 Grove Street Worcester, MA 01605	None	501(c)3	Child Court Advocacy Project	10,000
Adler Aphasia Center 60 West Hunter Avenue Maywood, NJ 07607	None	501(c)3	Aphasia Communication Groups to support Long-Term Rehab of Stroke and Brain Trauma Survivors	10,000
Bergen Volunteer Medical Initiative 241 Moore Avenue Hackensack, NJ 07601	None	501(c)3	Expanding Care for Diabetes Care and At-Risk Working, Low-Income, Uninsured Patients	7,500
Children on the Green 50 Park Place Morristown, NJ 07960	None	501(c)3	Quality Early Childhood Education for Homeless and low Income Children	3,000
Family Promise of Morris County PO Box 1494 Morristown, NJ 07962	None	501(c)3	Landlord Tenant Program	5,000
Fellowship House of South Camden 1722 S Broadway Camden, NJ 08104	None	501(c)3	Summer Camp 2016	5,000
Total ► 3a				559,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FISH Hospitality Program Inc 456 New Market Road Piscataway, NJ 08854	None	501(c)3	FISH Hospitality Program, Inc	5,000
HomeSharing Inc 120 Findern Avenue Bridgewater, NJ 08807	None	501(c)3	Shared Affordable Housing	5,000
The Lake Drive Foundation for Child 10 Lake Drive Mountain Lakes, NJ 07046	None	501(c)3	Sound Start Babies Early Intervention Program	10,000
NAMI Mercer 3371 Brunswick Pike Lawrenceville, NJ 08648	None	501(c)3	Education and Support Programs	5,000
PLAN-NJ PO Box 547 Somerville, NJ 08876	None	501(c)3	Promoting independent living for Individuals with Disabilities	5,000
Roots Wings Foundation 75 Bloomfield Avenue Denville, NJ 07834	None	501(c)3	Roots & Wings Outreach Case Management Program	5,000
The Sturge-Weber Foundation PO Box 418 Mt Freedom, NJ 07970	None	501(c)3	2017 Children & Teens Conference Program	10,000
Chester County Fund for Women and G 1025 Andrew Drive Suite 200 West Chester, PA 19380	None	501(c)3	Girls Advisory Board Program	10,000
Our Lady of the Magnificat Catholic PO Box 445 Ocean Beach, NY 11770	None	501(c)3	Centennial Campaign	15,000
Elijah's Promise 211 Livingston Avenue New Brunswick, NJ 08901	None	501(c)3	Promise Culinary School	6,000
Fighting Children's Cancer 1700 Route 23 North 300 Wayne, NJ 07470	None	501(c)3	Family Assistance Relief Fund	5,000
Fisherman's Mark 89 N Main Street Lambertville, NJ 08530	None	501(c)3	Fisherman's Mark Bridge to Self Sufficiency	5,000
Healthy Eyes Alliance 129 Church Street Suite 820 New Haven, CT 06510	None	501(c)3	Healthy Eyes for New Jersey Kids Project	5,000
Our Lady of Grace 28 Tarbell Street Pepperell, MA 01463	None	501(c)3	2016 Grand Annual collection	1,000
Pikesville Volunteer Fire Company 40 E Sudbrook Lane Pikesville, MD 21208	None	501(c)3	Fireman Training	2,500
Total ► 3a				559,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Preschool Advantage PO Box 86 Morris Plains, NJ 07950	None	501(c)3	Quality Early Education for Disadvantaged Children	5,000
Prevention Education Inc TA PEI Kid 231 Lawrence Road Lawrenceville, NJ 08646	None	501(c)3	Crisis Intervention for Child Victims of Sexual Abuse	7,000
The Progeria Research Foundation In PO Box 3453 Peabody, MA 01961	None	501(c)3	Progeria 2-Drug, Phase 1 Trial (Jan)	10,000
South Jersey Eye Center 400 Chambers Avenue Camden, NJ 08103	None	501(c)3	Child School Readiness Visual Program	5,000
Saint Agnes Catholic Church 211 W Gay Street West Chester, PA 19380	None	501(c)3	Scholarships and/or Projects for the 2016-2017 school year	2,500
Volunteer Lawyers for Justice PO Box 32040 Newark, NJ 07102	None	501(c)3	Children's Education Project	5,000
Bethany Cares Inc 275 West Market Newark, NJ 07013	None	501(c)3	Freedom School 2016	5,000
Campbell Memorial Presbyterian Chur 1130 Hardy Road Venton, VA 24179	None	501(c)3	Stitchers Program	500
Helping Up Mission 1029 E Baltimore Street Baltimore, MD 21202	None	501(c)3	Overnight shelter and Spiritual Recovery Program	5,000
Interfaith Food Pantry 2 Executive Drive Morris Plains, NJ 07950	None	501(c)3	Healthy Choices Mobile Pantry	5,000
Mount Carmel Guild of Trenton 73 North Clinton Avenue Trenton, NJ 08609	None	501(c)3	Mount Carmel Guild Emergency Assistance Case Management Services	5,000
Twin Oaks Horse Sanctuary PO Box 284 Lake Grove, NY 11755	None	501(c)3	Senior Horse Care	2,500
Home of the Sparrow 858 E Swedesford Road Exton, PA 19341	None	501(c)3	Supportive Houseing Program	2,500
ACT In Faith of Greater West Cheste 212 S High Street West Chester, PA 19382	None	501(c)3	Client Emergency Fund	4,000
After-School All Stars 50 Park Place Suite 1020 Newark, NJ 07102	None	501(c)3	After-School All-Stars at the Bruce Street School for the Deaf	7,500
Total ► 3a				559,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Alice Paul Institute PO Box 1376 Mount Laurel, NJ 08054	None	501(c)3	Alice Paul Professional Leadership Institute (APPLI College & Careers)	4,000
Anshe Emeth Community Development C 222 Livingston Ave New Brunswick, NJ 08901	None	501(c)3	B-FED Baby Formula and Emergency Diapers	4,000
AphasiaAccess 405 North Stanwick Road Moorestown, NJ 08057	None	501(c)3	The Aphasia Access LPAA Video Series 101	10,000
Assoc for Adults with Developmental 261 Old Yorke Road Suite A-50 Jenkintown, PA 19046	None	501(c)3	Social Services for Greater Independence	5,000
Benedictine Academy 840 North Broad Street Elizabeth, NJ 07208	None	501(c)3	Critical Financial Assistance Program for Benedictine Academy At-Risk Students	5,000
Camp Happy Days 1622 Ashley Hall Road Charlston, SC 29407	None	501(c)3	Camp 2017	2,550
CASA Youth Advocates Inc PO Box 407 Media, PA 19063	None	501(c)3	Advocacy for abused and neglected children, including educational advocacy	10,000
DASI - Domestic Abuse Sexual Assaul PO Box 805 Newton, NJ 07860	None	501(c)3	DASI Kids Planting Seeds of Change A program for children of domestic violence and their moms	5,000
DonorsChoose 134 W 37th Street 11th Floor New York, NY 10018	None	501(c)3	Technology Supporting Diverse Learning	500
Family Promise of Hunterdon County 10 East Main Street Flemington, NJ 08822	None	501(c)3	Homeless Shelter	5,000
FAN4Kids 154 Douglass Street Brooklyn, NY 11217	None	501(c)3	Fan4Kids Newark Expansion Project	5,000
Giving Hope Network PO Box 155 Whitehouse Station, NJ 08889	None	501(c)3	"Confident Kids"	2,500
Helping Paws Inc PO Box 634 Hopkins, MN 55343	None	501(c)3	Helping Paws, Inc	2,500
Joseph's House of Camden 20 Church Street Camden, NJ 08105	None	501(c)3	Case Management	5,000
Kidsbridge 999 Lower Ferry Road Ewing, NJ 08628	None	501(c)3	Kidsbridge Tolerance Museum Fund for At-Risk Youth	2,500
Total ► 3a				559,550

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Opportunity Project Inc 60 E Willow Street Millburn, NJ 07041	None	501(c)3	The Opportunity Project, Inc Nutrition Program	5,000
PA Tourette Syndrome Alliance Inc PO Box 148 Mc Sherrytown, PA 17344	None	501(c)3	PA Tourette Syndrome Information and Consultation	5,000
Soccer Without Borders-Boston 145 Border Street 3rd Floor East Boston, MA 02128	None	501(c)3	Soccer Without Borders - Boston	2,500
The Academy of Clinical Applied Psy 301 South Livingston Ave 2nd Floor Livingston, NJ 07039	None	501(c)3	Joining Forces Program	5,000
The Friends of Leadership Public Se 485 Madison Avenue 15th Floor New York, NY 10022	None	501(c)3	Syracuse University Summer College 2016	5,000
The Progeneria Research Foundation PO Box 3453 Peabody, MA 01961	None	501(c)3	Progeneria 2-Drug, Phase 1 Trial (Dec)	10,000
The Society for the Prevention of T 110 West Main Street Freehold, NJ 07728	None	501(c)3	Suicide Prevention Training for Monmouth County Schools Lifelines Trilogy	2,500
Zoey's Place Rescue Inc 5304 East Flagstone Street Long Beach, CA 90808	None	501(c)3	Surgical Case Program (Harmony & Gael)	2,000
Total ▶ 3a				559,550

TY 2016 Accounting Fees Schedule**Name:** Robert & Joan Dircks Foundation Inc**EIN:** 22-3135737**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting fees	12,250	7,350	0	4,900

TY 2016 Investments Corporate Bonds Schedule**Name:** Robert & Joan Dircks Foundation Inc**EIN:** 22-3135737**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
iShares Tips Bond ETF	84,878	84,878

TY 2016 Investments Corporate Stock Schedule

Name: Robert & Joan Dircks Foundation Inc

EIN: 22-3135737

Software ID: 16000303

Software Version: 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
iShares Tr MSCI EAFE Index Fd (EFA)	164,300	164,300
iShares Russell 2000 Growth Index Fd	712,588	712,588
iShares IBOXX High Yield ETF (HYG)	79,193	79,193
Powershares Global Exchange Traded Fd	84,780	84,780
SPDR S&P 500 ETF Trust Unit Ser 1 (SPY)	1,026,003	1,026,003
SPDR Gold Tr Gold Shs (GLD)		
SPDR SER Tr DB Intl Govt Inflation Prote		
SPDR SER Tr S&P Oil & Gas Expl & Prodn	76,047	76,047
Sector SPDR Tr Shs Ben Int Energy (XLE)	96,786	96,786
Vanguard Sector Index Fds Utils Vipers	113,270	113,270
Vanguard Index Fds Vanguard Growth Viper	1,480,454	1,480,454
Vanguard Index Fds Vanguard Small Cap	560,589	560,589
Wisdomtree Tr Intl Smallcap Divid Fd	339,713	339,713
Vanguard REIT Index Fund (VGSIX)	254,357	254,357
Wintergreen Fund (WGRIX)		
General Electric Co (GE)	53,720	53,720
iShares Inc MSCI Canada Index Fd (EWC)	115,374	115,374
iShares Tr MSCI Emerging Mkts Index Fd	515,907	515,907
iShares IBOXX Investment Grade Corp Bd		
SPDR Series Trust GLB Dow ETF (DGT)	232,686	232,686
Apple Inc (APPL)	210,792	210,792
Barclays Bank PLC Ipath GS Crude Oil		
Cablevision Sys Corp NY Group Cl A Com		
Caterpillar Inc (CAT)	55,644	55,644
Intel Corp. (INTC)	69,638	69,638
Intl Business Mac (IBM)	45,647	45,647
iShares Inc MSCI Pacific ex Japan Index	102,605	102,605
iShares Tr S&P Latin Amer 40 Index Fd	75,542	75,542
iShares Tr S&P Global Infrastructure Inx	84,951	84,951
iShares Tr MSCI EAFE Value Index Fd	152,570	152,570

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Market Vectors ETF Tr Gold Miners ETF		
Pepsico Inc (PEP)	83,704	83,704
Wisdomtree Tr India Earnings Fd	127,886	127,886
iShares Select Dividend ETF	179,974	179,974
iShares China Large Cap ETF	117,875	117,875
Vanguard Specialized Portfolios Div Appr	199,662	199,662
Vanguard FTSE Developed Market	254,757	254,757
Vanguard Whitehall FDS High Dividend	546,226	546,226
AT&T (T)	42,870	42,870
Chevron Corp (CVX)		
ConocoPhillips (COP)		
Costco Wholesale Corp (COST)	70,448	70,448
Exxon Mobil Corp (XCM)		
Ford Motor Corp (F)	39,423	39,423
IShares S&P MSCI GSCI Commodity Indexed	1,990	1,990
IShares S&P MidCap 400 Value ETF (IWO)	23,524	23,524
IShares TR Intl Developed Real Estate	13,140	13,140
Pfizer Inc (PFE)	57,522	57,522
Powershares DB Commodity Index (DBC)	2,519	2,519
Powershares ETF Traded Fd (PHO)	34,131	34,131
Select Sector SPDR TR (XLB)	6,710	6,710
Vanguard Telecommunications Svcs Vipers		
Vanguard Index Funds Small Cap	76,109	76,109
Vanguard Index Funds Mid Cap	73,581	73,581
Wal-Mart Stores Inc (WMT)	45,619	45,619
Verizon Communications (VZ)	70,995	70,995
Wisdomtree Emerging Mkts (DGS)	24,014	24,014
Wisdomtree Emerging Mkts Eqty Inc (DEM)	21,433	21,433
Disney Walt Co (DIS)	94,840	94,840
Sector SPDR TR SHS BEN INT Materials	104,625	104,625

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Select Sector SPDR TR RL EST SEL SEC	19,250	19,250
Crown Castle Intl Corp New	76,791	76,791
Amn Healthcare Services Inc	125,732	125,732
Fidelity National Financial	93,865	93,865

TY 2016 Other Decreases Schedule**Name:** Robert & Joan Dircks Foundation Inc**EIN:** 22-3135737**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Amount
Federal Excise Tax	4,000

TY 2016 Other Expenses Schedule**Name:** Robert & Joan Dircks Foundation Inc**EIN:** 22-3135737**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Dues	10,200	6,120		4,080
Investment Fees	237	237		95
Office Supplies	1,230	738		492
Postage	423	254		169
Telephone	536	322		214
Website Maintenance	364	218		146

TY 2016 Other Increases Schedule**Name:** Robert & Joan Dircks Foundation Inc**EIN:** 22-3135737**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Amount
Unrealized Appreciation	310,097