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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation ANDERSON-ROGERS FOUNDATION, INC.		A Employer identification number 22-3052390
Number and street (or P O box number if mail is not delivered to street address) 327 WEST 19TH STREET	Room/suite	B Telephone number 212-989-9331
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10011		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 14,841,313. (Part I, column (d) must be on cash basis.)	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		4,064,302.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		9,331.	9,331.		STATEMENT 1
4 Dividends and interest from securities		309,318.	309,318.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,796,771.			
b Gross sales price for all assets on line 6a 4,232,447.					
7 Capital gain net income (from Part IV, line 2)			1,796,771.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,158.	2,158.		STATEMENT 3
12 Total Add lines 1 through 11		6,181,880.	2,117,578.		
13 Compensation of officers, directors, trustees, etc		54,467.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		4,906.	1,200.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		51,418.	46,013.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		102.	0.		0.
22 Printing and publications					
23 Other expenses STMT 6		25,747.	19,054.		0.
24 Total operating and administrative expenses Add lines 13 through 23		136,640.	66,267.		0.
25 Contributions, gifts, grants paid		581,300.			581,300.
26 Total expenses and disbursements. Add lines 24 and 25		717,940.	66,267.		581,300.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		5,463,940.			
b Net investment income (if negative, enter -0-)			2,051,311.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	552,445.	1,446,316.	1,446,316.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	10,099,508.	13,391,991.	13,391,991.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶ 3,477.				
Less: accumulated depreciation STMT 8 ▶ 3,450.	27.	27.	27.	
15 Other assets (describe ▶ STATEMENT 9)	844.	2,979.	2,979.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	10,652,824.	14,841,313.	14,841,313.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	3,075.	0.	
23 Total liabilities (add lines 17 through 22)	3,075.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	10,649,749.	14,841,313.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	10,649,749.	14,841,313.	
31 Total liabilities and net assets/fund balances	10,652,824.	14,841,313.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,649,749.
2 Enter amount from Part I, line 27a	2	5,463,940.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,113,689.
5 Decreases not included in line 2 (itemize) ▶ FAIR MARKET VALUE ADJUSTMENTS	5	1,272,376.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,841,313.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,232,447.		2,435,676.	1,796,771.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,796,771.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	1,796,771.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	356,100.	10,444,097.	.034096
2014	344,000.	10,125,148.	.033975
2013	359,500.	9,485,239.	.037901
2012	388,500.	8,464,151.	.045899
2011	324,150.	6,165,098.	.052578

2 Total of line 1, column (d)	2	.204449
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.040890
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	12,304,523.
5 Multiply line 4 by line 3	5	503,132.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	20,513.
7 Add lines 5 and 6	7	523,645.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	581,300.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	20,513.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	20,513.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	20,513.
6 Credits/Payments:		
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	36,000.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	36,000.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15,487.
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 15,487. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>FDNCENTER.ORG/GRANTMAKER/ARFDN/</u>	X	
14 The books are in care of ► <u>SARAH A POPE</u> Telephone no. ► <u>212-989-9331</u> Located at ► <u>327 W 19TH ST, NY, NY</u> ZIP+4 ► <u>10011</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <u>N/A</u>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u> c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		54,467.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,769,876.
b	Average of monthly cash balances	1b	1,722,026.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,491,902.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,491,902.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	187,379.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,304,523.
6	Minimum investment return. Enter 5% of line 5	6	615,226.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	615,226.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	20,513.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	20,513.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	594,713.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	594,713.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	594,713.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	581,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	581,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	20,513.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	560,787.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				594,713.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			4,491.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 581,300.				
a Applied to 2015, but not more than line 2a			4,491.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				576,809.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				17,904.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED	NONE	501(C)(3)	SEE ATTACHED	
SEE ATTACHED				
SEE ATTACHED				581,300.
Total			3a	581,300.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes |
|----------|--|--------------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | |
| | (1) Cash | 1a(1) |
| | (2) Other assets | 1a(2) |
| b | Other transactions: | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) |
| | (4) Reimbursement arrangements | 1b(4) |
| | (5) Loans or loan guarantees | 1b(5) |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

of which preparer has any knowledge.

PRESIDENT/TREASURER

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

BURTON SPEER

~~BURTON~~ SPEER

05/08/17

P00182423

Firm's name ► **MENGEL, METZGER, BARR & CO. LLP**

Firm's EIN ► 16-1092347

Firm's address ► 100 CHESTNUT STREET, SUITE 1200
ROCHESTER, NY 14604

Phone no. 585-423-1860

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

ANDERSON-ROGERS FOUNDATION, INC.

Employer identification number

22-3052390

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

ANDERSON-ROGERS FOUNDATION, INC.**22-3052390****Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PORTER W. ANDERSON 6901 EDGEWATER DRIVE, APT. 219 CORAL GABLES, FL 33133-7039	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	PORTER W. ANDERSON 6901 EDGEWATER DRIVE, APT. 219 CORAL GABLES, FL 33133-7039	\$ 3,064,302.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

ANDERSON-ROGERS FOUNDATION, INC.**22-3052390****Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>2</u>	<u>STOCK: 25000 SHARES BSX; 10000 SHARES</u> <u>BAX; 4650 SHARES CELG; 5000 SHARES</u> <u>OPHLF; 10000 SHARES ZION</u>	\$ <u>1,988,480.</u>	<u>06/13/16</u>
<u>2</u>	<u>STOCK: 10719 SHARES TEXAS INSTRUMENT;</u> <u>7980 SHARES ORACLE CORP</u>	\$ <u>1,075,822.</u>	<u>12/06/16</u>
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization	Employer identification number
ANDERSON-ROGERS FOUNDATION, INC.	22-3052390

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BLACKSTONE GROUP LP			
b	BLACKSTONE GROUP LP			
c	KKR & CO LP			
d	KKR & CO LP			
e	OAKTREE CAPITAL GROUP LP			
f	OAKTREE CAPITAL GROUP LP			
g	2200 ISHARES MSCI JAPAN ETF		12/18/15	07/26/16
h	.584 SELECT SECTOR SPDR TD ETF		09/22/16	09/22/16
i	25000 BOSTON SCIENTIFIC CORP		09/02/14	06/22/16
j	2000 CBS CORP		08/15/14	03/08/16
k	5000 ONO PHARMACEUTICAL CO		01/07/15	06/22/16
l	1500 TOTAL SA SPON ADR		08/15/14	01/13/16
m	2000 WHITEWAVE FOODS CORP		12/04/14	07/07/16
n	3000 WISDOMTREE EUROPE HEDGED EQUIT		10/30/14	11/04/16
o	1000 WISDOMTREE TRUST JAPN HEDGE EQ		11/18/13	01/13/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	38.		38.
b	1,317.		1,317.
c		100.	-100.
d	469.		469.
e	265.		265.
f		283.	-283.
g	25,702.	26,836.	-1,134.
h	19.	19.	0.
i	566,032.	311,817.	254,215.
j	101,468.	119,998.	-18,530.
k	208,890.	87,375.	121,515.
l	60,138.	96,387.	-36,249.
m	111,710.	77,619.	34,091.
n	156,339.	165,962.	-9,623.
o	45,459.	50,155.	-4,696.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			38.
b			1,317.
c			-100.
d			469.
e			265.
f			-283.
g			-1,134.
h			0.
i			254,215.
j			-18,530.
k			121,515.
l			-36,249.
m			34,091.
n			-9,623.
o			-4,696.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

ANDERSON-ROGERS FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10000 ZIONS BANCORP		03/07/12	06/22/16
b	10000 BAXTER INTL INC		10/11/05	06/22/16
c	4650 CELGENE CORP		10/21/09	06/22/16
d	100000 GECC 4450 16OC15		05/19/11	10/17/16
e	3980 ORACLE CORP		02/20/03	12/09/16
f	10719 TEXAS INSTRUMENTS		06/11/03	12/09/16
g	7000 VERIZON COMMUNICATIONS		09/17/09	03/08/16
h	320 ABBOTT LABORATORIES		12/21/15	10/24/16
i	10 ADVANSIX INC		12/21/15	11/04/16
j	3 CALIFORNIA RES CORP		12/21/15	11/04/16
k	.534 CALIFORNIA RES CORP		07/08/15	03/24/16
l	1280 DELPHI AUTOMOTIVE		07/07/15	04/22/16
m	.678 KONINKLIJKE PHIL EL SP		06/15/16	06/15/16
n	530 PRAXAIR INC		09/28/15	02/03/16
o	1375 WHITEWAVE FOODS CORP		07/07/15	07/07/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 269,572.		194,169.	75,403.
b 446,968.		211,124.	235,844.
c 447,219.		128,352.	318,867.
d 100,000.		100,000.	0.
e 160,415.		46,598.	113,817.
f 767,467.		205,130.	562,337.
g 370,380.		194,709.	175,671.
h 12,922.		14,035.	-1,113.
i 151.		146.	5.
j 32.		39.	-7.
k 1.		1.	0.
l 96,539.		101,361.	-4,822.
m 16.		17.	-1.
n 53,047.		58,665.	-5,618.
o 76,879.		61,126.	15,753.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			75,403.
b			235,844.
c			318,867.
d			0.
e			113,817.
f			562,337.
g			175,671.
h			-1,113.
i			5.
j			-7.
k			0.
l			-4,822.
m			-1.
n			-5,618.
o			15,753.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

ANDERSON-ROGERS FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CALIFORNIA RES CORP		07/07/15	05/31/16
b	6880 KKR & CO		07/07/15	04/22/16
c	1050 ABBOTT LABORATORIES		07/07/15	10/24/16
d	36.2 ADVANSIX INC		07/08/15	11/04/16
e	12 CALIFORNIA RES CORP		07/07/15	11/04/16
f	50 INGERSOLL-RAND PLC		07/07/15	11/04/16
g	50 WHITEWAVE FOODS CORP		07/08/15	07/22/16
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4.			4.
b 103,694.		128,306.	-24,612.
c 42,402.		48,904.	-6,502.
d 545.		476.	69.
e 130.		151.	-21.
f 3,443.		3,362.	81.
g 2,775.		2,454.	321.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4.
b			-24,612.
c			-6,502.
d			69.
e			-21.
f			81.
g			321.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,796,771.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP LP	1,245.	1,245.	
DEPARTMENT OF THE TREASURY	45.	45.	
KKR & CO LLP	382.	382.	
MORGAN STANLEY NOMINEE 206251	10,009.	10,009.	
MORGAN STANLEY NOMINEE 206251			
ACCRUED INTEREST PAID	-983.	-983.	
MORGAN STANLEY NOMINEE 206251			
BOND PREMIUM	-2,163.	-2,163.	
MORGAN STANLEY NOMINEE 220002	25.	25.	
OAKTREE CAPITAL GROUP LLC	771.	771.	
TOTAL TO PART I, LINE 3	9,331.	9,331.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP LP	275.	0.	275.	275.	
KKR & CO LP	580.	0.	580.	580.	
MORGAN STANLEY NOMINEE	307,632.	0.	307,632.	307,632.	
OAKTREE CAPITAL GROUP LLC	831.	0.	831.	831.	
TO PART I, LINE 4	309,318.	0.	309,318.	309,318.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OAKTREE CAPITAL GROUP LLC K-1	70.	70.	
BLACKSTONE GROUP LP	664.	664.	
BLACKSTONE GROUP LP	16.	16.	
BLACKSTONE GROUP LP	567.	567.	
KKR & CO LP	717.	717.	

KKR & CO LP	33.	33.
KKR & CO LP	91.	91.
TOTAL TO FORM 990-PF, PART I, LINE 11	2,158.	2,158.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,906.	1,200.		0.
TO FORM 990-PF, PG 1, LN 16B	4,906.	1,200.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	4,655.	0.		0.
990PF TAX	45,035.	45,035.		0.
NYS EPTL FEE	750.	0.		0.
FOREIGN TAXES W/H FROM INVESTMENTS	978.	978.		0.
TO FORM 990-PF, PG 1, LN 18	51,418.	46,013.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK & INVESTMENT FEES	18,826.	18,826.		0.
INSURANCE	930.	0.		0.
OFFICE SUPPLIES	1,555.	0.		0.
CONSULTANT	1,300.	0.		0.
DUES	2,293.	0.		0.
OAKTREE CAPITAL GROUP, LLC K-1 INVESTMENT EXPENSES	74.	74.		0.
BLACKSTONE GROUP LP K-1 INVESTMENT EXPENSES	29.	29.		0.
KKR & CO LP K-1 INVESTMENT EXPENSES	125.	125.		0.

PAYROLL PROCESSING	615.	0.	0.
TO FORM 990-PF, PG 1, LN 23	25,747.	19,054.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MONGAN STANLEY NOMINEE	13,391,991.	13,391,991.
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,391,991.	13,391,991.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
EQUIPMENT FROM MERGED CORPORATION	1,600.	1,573.	27.
COPY MACHINE	650.	650.	0.
DELL COMPUTER EQUIPMENT	1,227.	1,227.	0.
TOTAL TO FM 990-PF, PART II, LN 14	3,477.	3,450.	27.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED DIVIDENDS RECEIVABLE	844.	2,979.	2,979.
TO FORM 990-PF, PART II, LINE 15	844.	2,979.	2,979.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 10
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAXES PAYABLE	2,974.	0.
REIMBURSEMENT DUE OFFICER	101.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	3,075.	0.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 11
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NAME OF CONTRIBUTOR	ADDRESS
PORTER W. ANDERSON, JR.	6901 EAST EDGEWATER DR., #219 CORAL GABLES, FL 33133

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 12
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SARAH ANDERSON POPE 327 WEST NINETEENTH ST NEW YORK, NY 10011	PRES, TREASURER 5.00	15,000.	0.	0.
PORTER W. ANDERSON, JR. 6901 EAST EDGEWATER DR., APT 219 CORAL GABLES, FL 33133	VP, CHAIRMAN OF THE BOARD 1.00	0.	0.	0.
CHARLES H. ROGERS 509 MONPONSETT ST HALIFAX, MA 02338	DIRECTOR 1.00	0.	0.	0.
JULIETTE POPE 327 WEST NINETEENTH ST NEW YORK, NY 10011	SECRETARY 1.00	0.	0.	0.
ANDREW POPE 327 WEST NINETEENTH ST NEW YORK, NY 10011	EXECUTIVE DIRECTOR 40.00	39,467.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		54,467.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ANDREW POPE
327 WEST 19TH ST.
NEW YORK, NY 10011

EMAIL ADDRESS

INFO@ANDERSONROGERSFOUNDATION.ORG

FORM AND CONTENT OF APPLICATIONS

BRIEF LETTER OF INQUIRY DESCRIBING ORGANIZATION OR PROJECT FOR WHICH
FUNDING IS SOUGHT.

ANY SUBMISSION DEADLINES

NO DEADLINES. APPLICATIONS ACCEPTED YEAR-ROUND.

RESTRICTIONS AND LIMITATIONS ON AWARDS

DOES NOT FUND SCHOLARSHIPS OR MAKE GRANTS TO INDIVIDUALS OR RELIGIOUS
ORGANIZATIONS.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
5	EQUIPMENT FROM MERGED CORPORATION	03/15/02	SL	5.00	16		1,600.				1,600.	1,573.		0.	1,573.
6	COPY MACHINE	08/24/07	200DB	5.00	HY17		650.				650.	650.		0.	650.
7	DELL COMPUTER EQUIPMENT	12/24/10	200DB	5.00	MO17		1,227.				1,227.	1,227.		0.	1,227.
* TOTAL 990-PF PG 1 DEPR							3,477.				3,477.	3,450.		0.	3,450.

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

**ANDERSON-ROGERS FOUNDATION GRANTS
2016**

<u>Date</u>	<u>Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
1/16/16	Fessenden House 236 Warburton Ave Yonkers, NY 10701-2226	To support home for men with substance abuse and mental health problems.	2,000
1/16/16	Nowzad Dogs 3105 Prospect Road Peoria, IL 61603	To support stray animal rescue and veterinary training in Afghanistan.	1,000
2/6/16	Friends of Hudson River Park 305 7th Ave., 12th Floor New York, NY 10001	To support maintenance and improvement of Hudson River Park.	10,000
2/6/16	Seneca White Deer, Inc. 4780 Deuel Road Canandaigua, NY 14424	To support establishment of Seneca White Deer Park and promote ecotourism in surrounding counties.	5,000
2/6/16	New York University/The Margaret Sanger Papers Project 838 Broadway New York, NY 10003	To support completion of the Margaret Sanger research program and archive.	1,000
2/8/16	Science & Discovery Center of Northwest Florida 308 Airport Rd. Panama City, FL 32405	To support child education in NW Florida on the environment and natural sciences.	1,000
3/1/16	Solidarity & Advocacy with Vulnerable Individuals in Crisis (SAVIC) Kakuma Refugee Camp, P.O. Box 43801 00100 Nairobi, Kenya	To support the organization's Adolescent Sexual and Reproductive Health Project	5,000
3/13/16	Cats in Need of Human Care 6353 Greyson Way Riverside, CA 92506	To support animal rescue center.	5,000
3/29/16	Hampshire College/Civil Liberties and Public Policy Program 893 West Street Amherst, MA 01002-3359	To support work toward reproductive rights and social change.	25,000
4/1/16	Kneisel Hall Chamber Music School and Festival P.O. Box 648 Blue Hill, ME 04614	To support scholarships for young classical musicians.	2,500

**ANDERSON-ROGERS FOUNDATION GRANTS
2016**

4/25/16	CAF America / International Otter Survival Fund 1800 Diagonal Road, Suite 150 Alexandria, VA 22314-2840	To support projects to protect otters in the wild.	3,000
5/9/16	The New York Botanical Garden Bronx, NY 10458-5126	To support the Bronx Green-Up program.	10,000
5/17/16	Grow NYC 51 Chambers Street, Room 228 New York, NY 10007	To support the Learn It, Grow It, Eat It program.	25,000
5/17/16	Young Concert Artists, Inc. 250 West 57th Street New York, NY 10107	To support launching and nurturing careers of young classical musicians.	2,000
6/1/16	Valley Humane League 23242 State Highway 371 La Jara, CO 81140	To support animal rescue center.	1,000
6/7/17	Exponent Philanthropy 1720 N Street NW Washington, DC 20036	To support association providing support services to small foundations.	1,000
6/13/16	Peconic Baykeeper 10 Old Country Road P.O. Box 893 Quogue, NY 11959	To support environmental advocacy and prevention of pollution in Long Island waters.	5,000
6/22/16	SeedTree 227 Cape Jellison Road Stockton Springs, ME 04981-4701	General support of environmental restoration and education projects in Nepal and Peru.	10,000
6/22/16	Charity Navigator 139 Harristown Rd., Suite 101 Glen Rock, NJ 07452	To support charitable giving advisory organization.	100
6/24/16	New England Wildlife Center 500 Columbian Street South Weymouth, MA 02190	To support science and nature education and rehabilitation of New England wildlife.	5,000
6/24/16	Atlanta Habitat for Humanity 824 Memorial Drive, SE Atlanta, GA 30316	To support construction of affordable homes in Atlanta.	2,000

**ANDERSON-ROGERS FOUNDATION GRANTS
2016**

7/5/16	Planned Parenthood Arizona 4751 North 15th Street Phoenix, AZ 85014	General support of the organization and underwriting research on PPAZ's young adult target audience.	25,000
7/6/16	Wildlife Alliance 1441 Broadway, 5th Floor New York, NY 10018	To support the Care for Rescued Wildlife Program in Cambodia.	15,000
7/11/16	Freedom From Religion Foundation P.O. Box 750 Madison, WI 53701	To support promotion of separation of church and state.	5,000
7/16/16	Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, VA 22202	To support association providing support services to foundations.	1,200
7/22/16	Baden Street Settlement/Metro Council for Teen Potential 585 Joseph Avenue Rochester, NY 14605	To support youth health and development programs of the Metro Council for Teen Potential.	10,000
7/22/16	Caretta Research Project Savannah Science Museum P.O. Box 9841 Savannah, GA 31412-9841	To support research, preservation and public education about loggerhead sea turtles.	5,000
7/27/16	Wild Bird Fund, Inc. 565 Columbus Avenue New York, NY 10024	To support center providing emergency care for wild birds and animals in New York City.	15,000
8/6/16	Fistula Foundation 1922 The Alameda, Suite 302 San Jose, CA 95126	To support fistula treatment, surgeon and provider training, and upgrades to facilities and equipment in Kenya and other locations around	2,000
8/29/16	Path with Art 312 Second Avenue S. Seattle, WA 98104	To support art instruction and exhibitions for vulnerable adults in the Seattle area.	1,000
9/17/16	Fauna & Flora International 1720 N Street, NW Washington, DC 20036	To support environmental and animal preservation efforts.	15,000
9/17/16	Nursing Students for Sexual & Reproductive Health 2356 University Avenue West Suite 244 St Paul, MN 55114	To support reproductive health and abortion-care training.	20,000

**ANDERSON-ROGERS FOUNDATION GRANTS
2016**

9/17/16	Reproductive Health Access Project P.O. Box 21191 New York, NY 10025	To support women's access to reproductive health services.	10,000
9/23/16	Ape Action Africa 555 Bryant St. #862 Palo Alto, CA 94301	To support primate sanctuaries in Cameroon	10,000
10/14/16	Bargemusic Fulton Ferry Landing Brooklyn, NY 11201	To support chamber music performance program.	3,000
10/22/16	American Humanist Association 1777 T Street NW Washington, DC 20009-7125	To support the Appignani Humanist Legal Center.	15,000
10/28/16	Pilgrims' Inn P.O. Box 11328 Rock Hill, SC, 29731	To support individuals and families in need in the Rock Hill, SC area.	5,000
10/28/16	Central Sierra Environmental Resource Center Box 396 Twain Harte, CA 95383	To support efforts to protect water and wildlife resources in the Central Sierra Mountains.	20,000
11/7/16	Wild Earth Guardians 516 Alto Street Santa Fe, NM 87501	To support protection and restoration of wildlife and environment of American West.	10,000
11/14/16	Planned Parenthood Gulf Coast 4600 Gulf Freeway Houston, TX 77023	To fund advocacy, outreach, and communications efforts to improve the health outcomes of women, men, and teens in Louisiana.	15,000
11/14/16	Americans United for Separation of Church and State 1301 K Street NW Suite 850, East Tower Washington, DC 20005	To support effort to keep government completely secular across executive, legislative and judicial branches of the US	7,500
11/21/16	Other Worlds 398 60th Street Oakland, CA 94618	To support work on behalf of land rights and food sovereignty in Haiti and Honduras.	10,000
11/21/16	Emergency Medical Assistance, Inc. P.O. Box 33552 Palm Beach Gardens, FL 33420-3552	To support healthcare and reproductive rights for women in need.	10,000

**ANDERSON-ROGERS FOUNDATION GRANTS
2016**

11/22/16	Groundwork Hudson Valley 22 Main Street Yonkers, NY 10701	To support environmental education programs in the Hudson Valley.	10,000
11/22/16	Jupiter Symphony Chamber Players 155 West 68th St. New York, NY 10023	To support chamber music performance program.	10,000
12/5/16	VALUEUSA One West Second Street Media, PA 19063	To support the 2017 National Adult Learner Leadership Institute.	1,500
12/5/16	Center for Biological Diversity P.O. Box 710 Tucson, AZ 85702-0710	To support general operations and the Endangered Species Defense Fund.	50,000
12/5/16	READ 718 420 Atlantic Avenue Brooklyn, NY 11217	To support literacy improvement and mentorship for low-income children in Brooklyn.	7,500
12/5/16	National Network of Abortion Funds P.O. Box 170280 Boston, MA 02117	To support Dr. Willie Parker Fund for Abortion Access in the South and the Southern Fund Cohort for abortion rights.	20,000
12/7/16	Connecticut League of Conservation Voters Education Fund 553 Farmington Avenue, Suite 201 Hartford, CT 06105	To support the CHISPA Latino outreach program, which educates Hartford-area youth in the field of climate change and environmental	5,000
12/7/16	Beacon Academy 477 Longwood Avenue Boston, MA 02215	To support program to prepare disadvantaged urban students for higher education.	7,500
12/7/16	HEART P.O. Box 738 Mamaronek, NY 10543	To support educating students and teachers in humane education.	15,000
12/7/16	B.L.U.E Missions 8465 SW 96th St. Miami, FL 33156	To support work in organizing student volunteers to improve water and sanitation in the Dominican Republic.	2,000
12/14/16	Environment New York 255 W. 36th St., Suite 1201 New York, NY 10018	To support the Bee Friendly Food Alliance.	7,500

**ANDERSON-ROGERS FOUNDATION GRANTS
2016**

12/14/16	Spark Microgrants 116 W. Houston St., Floor 2 New York, NY 10012	To support community members in East African villages in designing and launching their own social impact projects.	10,000
12/14/16	Planned Parenthood Federation of America 123 William Street, 10th Floor New York, NY 10038	To support women's health and abortion rights.	20,000
12/22/16	Conservation International 2011 Crystal Drive, Suite 500 Arlington, VA 22202	To support moving a West Papuan mud crab fishery towards fair trade and environmental sustainability.	20,000
12/22/16	NARAL Pro-Choice America 1156 15th Street NW, Suite 700 Washington, D.C. 20005	To support women's health and abortion rights.	20,000
12/22/16	Center For Reproductive Rights 199 Water Street, 22nd Floor New York NY 10038	To support women's health and abortion rights.	15,000
12/22/16	Fifth Avenue Community Center of Harlem 40 Eagle Street Albany, NY 12207	To support general operations of the community center.	4,000
12/29/16	Electronic Frontier Foundation 815 Eddy Street San Francisco CA 94109	To support free expression, privacy and human rights online.	5,000
TOTAL			581,300