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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE RESOURCE FOUNDATION INC

A Employer identification number
22-2870501

Number and street (or P O box number if mail is not delivered to street address) C/O WJ MCKEEVER CO LLC PO BOX 5147
Room/suite

B Telephone number (see instructions)
(203) 622-8625

City or town, state or province, country, and ZIP or foreign postal code
GREENWICH, CT 06831

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 13,677,719

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	401,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	283,380	283,380		
	5a	Gross rents	66	66		
	b	Net rental income or (loss) 66				
	6a	Net gain or (loss) from sale of assets not on line 10	18,865			
	b	Gross sales price for all assets on line 6a 3,362,477				
	7	Capital gain net income (from Part IV, line 2)		18,865		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	5,720	5,720		
	12	**Total.** Add lines 1 through 11	709,031	308,031		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	109,587	27,397		82,190
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits	31,231	4,060		27,171
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	15,091	3,018		12,073
	c	Other professional fees (attach schedule)	78,444	78,444		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	26,493	3,212		7,541
	19	Depreciation (attach schedule) and depletion	751	0		
	20	Occupancy				
	21	Travel, conferences, and meetings	6,131	797		5,334
	22	Printing and publications				
	23	Other expenses (attach schedule)	54,398	7,072		47,326
	24	**Total operating and administrative expenses.** Add lines 13 through 23	322,126	124,000		181,635
	25	Contributions, gifts, grants paid	526,450			526,450
	26	**Total expenses and disbursements.** Add lines 24 and 25	848,576	124,000		708,085
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-139,545			
	b	**Net investment income** (if negative, enter -0-)		184,031		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	2,465,486	1,253,420	1,253,420
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	203,900	203,900	217,510
b	Investments—corporate stock (attach schedule)	9,589,766	10,663,213	12,205,663
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ 4,692			
	Less accumulated depreciation (attach schedule) ▶ 3,566	1,877	1,126	1,126
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	12,261,029	12,121,659	13,677,719
Liabilities 17	Accounts payable and accrued expenses	241	416	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	241	416	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	12,260,788	12,260,788	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	0	-139,545	
30	**Total net assets or fund balances** (see instructions)	12,260,788	12,121,243	
31	**Total liabilities and net assets/fund balances** (see instructions)	12,261,029	12,121,659	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,260,788
2	Enter amount from Part I, line 27a	2	-139,545
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	12,121,243
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	12,121,243

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	18,865
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	564,204	13,822,874	0 040817
2014	889,612	12,782,150	0 069598
2013	861,139	10,828,874	0 079522
2012	749,819	9,725,522	0 077098
2011	611,168	9,743,742	0 062724

2	**Total** of line 1, column (d)	2	0 329759
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 065952
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	13,208,525
5	Multiply line 4 by line 3	5	871,129
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,840
7	Add lines 5 and 6	7	872,969
8	Enter qualifying distributions from Part XII, line 4	8	708,085

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,681
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	3,681
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,681
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	13,908		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	13,908
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	10,227
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 10,227 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ROY B SIMPSON Telephone no ▶(203) 622-8625

Located at ▶50 VISTA DR GREENWICH CT ZIP+4 ▶06830

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	12,058,527
b	Average of monthly cash balances.		1b	1,351,143
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	13,409,670
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	13,409,670
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	201,145
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	13,208,525
6	**Minimum investment return.** Enter 5% of line 5.		6	660,426

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	660,426
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 3,681		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	3,681
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	656,745
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	656,745
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	656,745

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	708,085
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	708,085
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	708,085

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					656,745
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.	124,211				
c From 2013.	333,939				
d From 2014.	262,578				
e From 2015.	720,728				
f **Total** of lines 3a through e.		1,441,456			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 708,085					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					656,745
e Remaining amount distributed out of corpus		51,340			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,492,796			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		1,492,796			
10 Analysis of line 9					
a Excess from 2012. . . .	124,211				
b Excess from 2013. . . .	333,939				
c Excess from 2014. . . .	262,578				
d Excess from 2015. . . .	720,728				
e Excess from 2016. . . .	51,340				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				526,450
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	283,380	
5 Net rental income or (loss) from real estate					
a Debt-financed property.			14	66	
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	5,720	
8 Gain or (loss) from sales of assets other than inventory			18	18,865	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory			14		
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		308,031	0

13 Total. Add line 12, columns (b), (d), and (e). 13 308,031

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-01	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
DENISE C DORIA				P01283182
Firm's name ▶ WALTER J MCKEEVER & COMPANY LLC				Firm's EIN ▶06-1253566
Firm's address ▶ PO BOX 5147 15 VALLEY DRIVE GREENWICH, CT 06831				Phone no (203) 622-8625

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHUBB CORP	P	2008-10-08	2016-01-13
CHUBB CORP	P	2008-10-08	2016-01-14
BAXALTA INC COM	P	2010-04-22	2016-02-04
BAXALTA INC COM	P	2010-04-22	2016-02-17
BAXALTA INC COM	P	2011-02-07	2016-02-17
SOUTHWESTERN ENERGY CO	P	2011-09-06	2016-03-01
SOUTHWESTERN ENERGY CO	P	2011-09-06	2016-03-01
BAXALTA INC COM	P	2010-04-27	2016-03-02
SOUTHWESTERN ENERGY CO	P	2010-12-21	2016-03-02
SOUTHWESTERN ENERGY CO	P	2010-12-21	2016-03-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,409		2,779	5,630
11,037		3,634	7,403
14,341		8,440	5,901
15,288		8,730	6,558
5,956		3,380	2,576
1,406		9,260	-7,854
962		6,175	-5,213
3,178		1,745	1,433
2,305		14,173	-11,868
4,488		24,159	-19,671

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,630
			7,403
			5,901
			6,558
			2,576
			-7,854
			-5,213
			1,433
			-11,868
			-19,671

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BAXALTA INC COM	P	2010-04-27	2016-03-07
AIT GLOBAL EMERGING MARKETS	P	2013-05-22	2016-03-09
BAXALTA INC COM	P	2010-04-27	2016-03-10
AIT GLOBAL EMERGING MARKETS	P	2010-04-27	2016-03-11
CALIFORNIA RESOURCES CORP	P	2010-04-27	2016-03-29
CALIFORNIA RESOURCES CORP	P	2010-04-27	2016-03-29
BAXALTA INC COM	P	2010-04-27	2016-04-06
CALIFORNIA RESOURCES CORP	P	2004-03-25	2016-04-11
BAXALTA INC COM	P	2004-03-25	2016-05-02
BBH LIMITED DURATION FUND	P	2007-06-15	2016-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,470		807	663
152,104		182,598	-30,494
1,313		720	593
187,460		207,378	-19,918
72		42	30
27		39	-12
12,248		6,460	5,788
1			1
12,617		5,728	6,889
13,215		13,018	197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			663
			-30,494
			593
			-19,918
			30
			-12
			5,788
			1
			6,889
			197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BBH LIMITED DURATION FUND	P	2014-01-10	2016-05-16
BAXALTA INC COM	P	2010-05-25	2016-05-25
COMCAST CORP	P	2010-05-25	2016-07-06
COMCAST CORP	P	2010-05-25	2016-07-06
NESTLE S A SPONS ADR	P	2006-03-28	2016-07-15
PROGRESSIVE CORP OHIO	P	2008-04-22	2016-07-28
WASTE MANAGEMENT	P	2005-07-11	2016-07-28
PROGRESSIVE CORP OHIO	P	2008-04-22	2016-07-29
WASTE MANAGEMENT	P	2005-07-11	2016-07-29
EBAY INC	P	2009-01-23	2016-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
121,785		125,048	-3,263
12,225		5,117	7,108
73,765		29,295	44,470
36,945		13,737	23,208
15,831		5,857	9,974
8,291		4,568	3,723
3,715		1,580	2,135
5,927		3,273	2,654
2,909		1,242	1,667
27,381		4,227	23,154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,263
			7,108
			44,470
			23,208
			9,974
			3,723
			2,135
			2,654
			1,667
			23,154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PROGRESSIVE CORP OHIO	P	2008-04-22	2016-08-01
PROGRESSIVE CORP OHIO	P	2008-04-22	2016-08-02
QUALCOMM INC	P	2008-04-22	2016-09-30
EOG RESOURCES	P	2009-10-20	2016-11-09
EOG RESOURCES	P	2014-06-05	2016-11-09
OCCIDENTIAL PETROLEUM CORP	P	2006-03-08	2016-11-09
OCCIDENTIAL PETROLEUM CORP	P	2006-03-08	2016-11-09
SCHLUMBERGER LTD	P	2006-03-08	2016-11-09
EOG RESOURCES	P	2006-03-08	2016-11-10
OCCIDENTIAL PETROLEUM CORP	P	2006-03-08	2016-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,394		2,986	2,408
7,244		4,011	3,233
10,763		9,711	1,052
9,169		4,605	4,564
9,356		10,697	-1,341
4,273		2,785	1,488
20,030		23,904	-3,874
20,441		19,683	758
16,388		7,955	8,433
28,790		16,710	12,080

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,408
			3,233
			1,052
			4,564
			-1,341
			1,488
			-3,874
			758
			8,433
			12,080

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SCHLUMBERGER LTD	P	2006-03-08	2016-11-10
SCHLUMBERGER LTD	P	2013-04-02	2016-11-11
OCCIDENTIAL PETROLEUM CORP	P	2004-03-25	2016-11-14
SCHLUMBERGER LTD	P	2004-03-25	2016-11-14
EOG RESOURCES	P	2011-09-06	2016-11-15
EOG RESOURCES	P	2011-09-06	2016-11-15
US BANCORP	P	2010-09-22	2016-12-08
US BANCORP	P	2010-09-22	2016-12-09
BED BATH & BEYOND INC	P	2010-09-22	2016-12-21
MICROSOFT CORP	P	2010-09-22	2016-12-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,192		34,723	2,469
2,606		2,466	140
19,763		6,348	13,415
27,246		25,253	1,993
39,990		18,258	21,732
46,937		21,576	25,361
7,938		3,708	4,230
13,329		5,824	7,505
73,151		90,438	-17,287
21,288		9,361	11,927

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,469
			140
			13,415
			1,993
			21,732
			25,361
			4,230
			7,505
			-17,287
			11,927

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PROGRESSIVE CORP OHIO	P	2008-04-22	2016-12-22
PROGRESSIVE CORP OHIO	P	2008-04-22	2016-12-23
1,000 ACCENTURE PLC IRELAND SHS CLASS A	P	2015-12-17	2016-01-20
1,000 CROWN CASTLE INTL	P	2014-02-18	2016-01-20
1,250 CROWN CASTLE INTL	P	2013-10-24	2016-01-20
2,500 CORNING INC COM	P	2013-04-11	2016-01-20
4,500 CORNING INC COM	P	2012-09-19	2016-01-20
2,000 DISNEY WALT CO COM	P	2015-05-28	2016-03-15
90 ALLERGAN PLC SHS	P	2014-11-20	2016-04-26
160 ALLERGAN PLC SHS	P	2016-04-05	2016-04-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,593		2,824	2,769
5,616		2,842	2,774
97,698		104,044	-6,346
77,509		74,948	2,561
96,886		93,255	3,631
40,924		33,625	7,299
73,664		59,541	14,123
195,494		220,175	-24,681
19,863		23,687	-3,824
35,312		37,456	-2,144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,769
			2,774
			-6,346
			2,561
			3,631
			7,299
			14,123
			-24,681
			-3,824
			-2,144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
250 ALLERGAN PLC SHS	P	2014-10-16	2016-04-26
1,000 WILLIAMS SONOMA INC	P	2013-11-11	2016-05-17
500 CVS HEALTH CORP	P	2016-04-26	2016-05-23
7,500 PUREFUNDS ISE CYBER	P	2014-12-23	2016-05-23
1,500 SIGNET JEWELERS	P	2016-03-21	2016-06-02
225 SIGNET JEWELERS	P	2016-05-17	2016-06-02
1,500 CVS HEALTH CORP	P	2015-02-04	2016-06-13
3,500 JPMORGAN CHASE & CO	P	2016-03-21	2016-07-01
1 LIBERTY GLOBAL PLC LILAC SHS CL A	P	2013-11-06	2016-07-01
1 FORTIVE CORP COM	P	2015-06-08	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55,175		54,594	581
51,127		55,093	-3,966
49,014		51,244	-2,230
175,650		203,799	-28,149
135,838		176,735	-40,897
20,376		24,182	-3,806
143,688		151,224	-7,536
215,275		211,846	3,429
29		31	-2
25		21	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			581
			-3,966
			-2,230
			-28,149
			-40,897
			-3,806
			-7,536
			3,429
			-2
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
218 LIBERTY GLOBAL PLC	P	2013-11-06	2016-09-08
211 LIBERTY GLOBAL PLC	P	2014-04-09	2016-09-08
2500 ALLEGION PUB LTD CO	P	2014-04-26	2016-09-14
485 FORTIVE CORP COM	P	2015-06-08	2016-09-14
538 FORTIVE CORP COM	P	2015-06-17	2016-09-14
500 V F CORP COM	P	2015-07-28	2016-09-14
1,000 V F CORP COM	P	2015-05-28	2016-09-14
1,125 WABTEC CORP COM	P	2016-06-02	2016-09-21
5,000 PIMCO DYNAMIC	P	2014-10-07	2016-11-11
5,000 PIMCO DYNAMIC	P	2014-09-30	2016-11-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,326		8,182	-1,856
6,151		7,805	-1,654
165,365		163,521	1,844
24,283		20,356	3,927
26,939		22,372	4,567
29,098		37,640	-8,542
58,196		71,094	-12,898
84,389		87,735	-3,346
133,312		163,076	-29,764
133,312		158,595	-25,283

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,856
			-1,654
			1,844
			3,927
			4,567
			-8,542
			-12,898
			-3,346
			-29,764
			-25,283

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 BROOKFIELD REAL	P	2016-03-24	2016-12-05
FROM K-1 SHORT TERM	P	2016-03-24	2016-12-05
FROM K-1 LONG TERM	P	2014-09-30	2016-12-05
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10		12	-2
824			824
		7,847	-7,847
21,557			21,557

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			824
			-7,847
			21,557

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ROY B SIMPSON 50 VISTA DRIVE GREENWICH, CT 06830	CHMN/PRESIDENT 10 00	0	0	0
EDITH J SIMPSON 50 VISTA DRIVE GREENWICH, CT 06830	TREASURER 2 00	0	0	0
ALISON J ALLEN 995 BUCKLEY HIGHWAY UNION, CT 06076	SECRETARY 40 00	109,587	31,231	0
NANCY E BLAIR FOUR STAMFORD PLAZA - 107 ELM STREET STAMFORD, CT 06902	DIRECTOR 0 50	0	0	0
MARY-ELIZABETH JOY SIMPSON 88 FARMS ROAD STAMFORD, CT 06903	DIRECTOR 0 50	0	0	0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

ROY B SIMPSON
EDITH J SIMPSON
ALISON J ALLEN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ADOPT-A-DOG PO BOX 11135 GREENWICH, CT 06831		PUBLIC	GENERAL PURPOSES	5,000
AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 424 E 92ND ST NEW YORK, NY 10128	N/A	PUBLIC	GENERAL PURPOSES	5,000
AMERICARES 88 HAMILTON AVE STAMFORD, CT 06906	N/A	PUBLIC	GENERAL PURPOSES	5,000
AMOGERONE VOLUNTEER FIRE COMPANY PO BOX 121 GREENWICH, CT 06836	N/A	PUBLIC	GENERAL PURPOSES	2,000
AT HOME IN GREENWICH 139 E PUTNAM AVENUE GREENWICH, CT 06830		PUBLIC	GENERAL PURPOSES	3,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BEREA COLLEGE 101 CHESTNUT ST BEREA, KY 40404	N/A	PUBLIC	GENERAL PURPOSES	10,000
CALL A RIDE 37 LAFAYETTE PL GREENWICH, CT 06836	N/A	PUBLIC	GENERAL PURPOSES	8,500
CANTER NEW ENGLAND 259 MAIN STREET EVERETT, MA 02149	N/A	PUBLIC	GENERAL PURPOSES	2,000
CHILDREN'S CANCER AND BLOOD FOUNDATION 333 E 38TH ST 830 NEW YORK, NY 10016	N/A	PUBLIC	GENERAL PURPOSES	1,000
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD NEWINGTON, CT 06111	N/A	PUBLIC	GENERAL PURPOSES	2,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CSRR TRISTATE VETERANS PL NORTH ARLINGTON, NJ 07031	N/A	PUBLIC	GENERAL PURPOSES	5,000
DAVIDSON COLLEGE 405 NORTH MAIN STREET DAVIDSON, NC 28036	N/A	PUBLIC	GENERAL PURPOSES	1,500
DEMEDIA MATTERS PO BOX 52155 WASHINGTON, DC 20091	N/A	PUBLIC	GENERAL PURPOSES	10,000
ERIC CARLE MUSEUM OF PICTURE BOOK ART 125 WEST BAY ROAD AMHERST, MA 01002	N/A	PUBLIC	GENERAL PURPOSES	10,000
FAMILY CENTERS 40 ARCH STREET GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	10,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY REENTRY 75 WASHINGTON AVENUE BRIDGEPORT, CT 06604	N/A	PUBLIC	GENERAL PURPOSES	5,000
FIRST CHURCH OF CHRIST SCIENTIST 11 PARK PLACE GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	15,000
FRIENDS OF GREENWICH LIBRARY 101 WEST PUTNAM AVENUE GREENWICH, CT 06831	N/A	PUBLIC	GENERAL PURPOSES	1,000
FRIENDS OF NATHANIEL WITHERELL 70 PARSONAGE ROAD GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	10,000
FRIENDS OF ST BARTH ANGLICAN CHURCH 106 WEST RIVER ROAD RUMSON, NJ 10016	N/A	PUBLIC	GENERAL PURPOSES	6,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF THE FAMILY CENTRE INC PO BOX 1284 RIVERDALE BRONX, NY 10471	N/A	PUBLIC	GENERAL PURPOSES	5,000
GLOBAL WORKERS JUSTICE ALLIANCE 789 WASHINGTON AVENUE BROOKLYN, NY 11238	N/A	PUBLIC	GENERAL PURPOSES	5,000
GREENWICH BRANCH ESU PO BOX 7744 GREENWICH, CT 06836	N/A	PUBLIC	GENERAL PURPOSES	1,000
GREENWICH CAMPERSHIP FUND 63 MASON STREET GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	4,000
GREENWICH EMERGENCY MANAGEMENT SERVICE INC 1111 EAST PUTNAM AVENUE GREENWICH, CT 06878	N/A	PUBLIC	GENERAL PURPOSES	10,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GREENWICH GREEN & CLEAN 113 PEMBERWICK ROAD GREENWICH, CT 06831	N/A	PUBLIC	GENERAL PURPOSES	2,000
GREENWICH HOSPITAL FOUNDATION 35 RIVER RD COS COB, CT 06807	N/A	PUBLIC	GENERAL PURPOSES	1,000
GREENWICH LAND TRUST 370 ROUND HILL RD GREENWICH, CT 06831	N/A	PUBLIC	GENERAL PURPOSES	22,000
GREENWICH YOUTH CONSERVATION PROJECT 101 FIELD POINT CIRCLE GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	23,000
GUIDING EYES 611 GRANITE SPRINGS RD YORKTOWN HEIGHTS, NY 10598	N/A	PUBLIC	GENERAL PURPOSES	35,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HIGH RIDGE HOUSE 5959 INDEPENDENCE AVE BRONX, NY 10471	N/A	PUBLIC	GENERAL PURPOSES	20,000
HILL HOUSE 10 RIVERSIDE AVENUE RIVERSIDE, CT 06878	N/A	PUBLIC	GENERAL PURPOSES	8,000
KIDS IN CRISIS 1 SALEM ST COS COB, CT 06807	N/A	PUBLIC	GENERAL PURPOSES	10,000
MEALS ON WHEELS 1 HEATHCOTE RD SCARSDALE, NY 10583	N/A	PUBLIC	GENERAL PURPOSES	8,500
NEIGHBOR TO NEIGHBOR 248 EAST PUTNAM AVENUE GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	10,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW LIFE OF NYC INC 87 COLUMBIA STREET SUITE 13G NEW YORK, NY 10002	N/A	PUBLIC	GENERAL PURPOSES	450
NRDC 40 W 20TH ST NEW YORK, NY 10011	N/A	PUBLIC	GENERAL PURPOSES	7,500
PATHWAYS 575 8TH AVE NEW YORK, NY 10018	N/A	PUBLIC	GENERAL PURPOSES	2,500
PUPPIES BEHIND BARS 263 W 38TH ST NEW YORK, NY 10018	N/A	PUBLIC	GENERAL PURPOSES	10,000
RIVERHOUSE ADULT DAYCARE 125 RIVER RD EXT COS COB, CT 06807	N/A	PUBLIC	GENERAL PURPOSES	10,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SPECIAL OLYMPICS 211 E 43RD ST 802 NEW YORK, NY 10007	N/A	PUBLIC	GENERAL PURPOSES	1,000
ST BARNABAS EPISCOPAL CHURCH 954 LAKE AVENUE GREENWICH, CT 06831	N/A	PUBLIC	GENERAL PURPOSES	15,000
ST PETERS CHURCH 619 LEXINGTON AVE NEW YORK, NY 10022	N/A	PUBLIC	GENERAL PURPOSES	1,000
STORM KING ART CENTER 1 MUSEUM RD NEW WINDSOR, NY 12553	N/A	PUBLIC	GENERAL PURPOSES	5,000
THE BRUCE MUSEUM ONE MUSEUM DRIVE GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	15,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE CRADLE 2049 RIDGE AVENUE EVANSTON, IL 60201	N/A	PUBLIC	GENERAL PURPOSES	5,000
THE GRTA 1 BROOK CROSSWAY GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	2,500
THE PRINCIPLE FOUNDATION 2405 GRAND BLVD SUITE 1040 KANSAS CITY, MO 64108	N/A	PUBLIC	GENERAL PURPOSES	6,000
THIRTEEN 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PUBLIC	GENERAL PURPOSES	5,000
TRANSPORTATION ASSOCIATION OF GREENWICH 13 RIVERSIDE AVE RIVERSIDE, CT 06878	N/A	PUBLIC	GENERAL PURPOSES	8,500
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNION FIRE DEPARTMENT 1 BOND DRIVE UNION, NJ 07083	N/A	PUBLIC	GENERAL PURPOSES	500
UNITED WAY OF GREENWICH 1 LAFAYETTE CT GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	20,000
VAUGHN COLLEGE OF AERONAUTICS AND TECHNOLOGY 86-01 23RD AVENUE FLUSHING, NY 11369	N/A	PUBLIC	GENERAL PURPOSES	65,000
WATERSIDE SCHOOL 770 PACIFIC STREET STAMFORD, CT 06902	N/A	PUBLIC	GENERAL PURPOSES	56,000
WISHES FOR HORSES 40 OAKHILL AVENUE NORWALK, CT 06854	N/A	PUBLIC	GENERAL PURPOSES	1,000
Total . . . . ▶ **3a**				526,450

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WNYC NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PUBLIC	GENERAL PURPOSES	5,000
WOODSIDE OCEANOGRAPHIC SOCIETY PO BOX 1931 ROCKVILLE, MD 20849	N/A	PUBLIC	GENERAL PURPOSES	500
YWCA 259 E PUTNAM AVE GREENWICH, CT 06830	N/A	PUBLIC	GENERAL PURPOSES	2,500
Total . . . . ▶ **3a**				526,450

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TY 2016 Accounting Fees Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX SERVICES	15,091	3,018		12,073

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER & EQUIPMENT	2015-05-18	4,692	469	200DB	5 000000000000	751	0		

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TY 2016 Investments Corporate Stock Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALTAROCK PARTNERS	340,000	366,566
ALPHABET INC - CL C	119,675	222,284
ALPHABET INC/CA-CL A	166,327	277,358
AMAZON	185,592	187,468
AMERIGAS PARTNERS	212,799	239,600
BANK OF AMERICA CORP	199,023	221,000
BBH INTL EQUITY FD CL N	355,499	405,040
BBH LIMITED DURATION FD	871,457	893,512
BBH WEALTH STRATEGIES - BARES MID/LARGE CAP	550,000	552,626
BBH WEALTH STRATEGIES LLC BURGUNDY	375,000	361,105
BBH WEALTH STRATEGIES LLC - V-1 SUB	31,406	31,406
BBH WEALTH STRATEGIES LLC REMS	242,216	265,721
BBH WEALTH STRATEGIES LLC SEL	390,000	409,753
BERKSHIRE HATHAWAY - CL B	73,601	203,725
BROOKFIELD REAL ASSETS	363,599	320,684
CELANESE CORP. SER A	51,868	94,488
CLARKSTON CAPITAL PARTNERS	210,000	247,203
CISCO SYSTEMS INC	243,727	257,172
COMCAST CORP-CL A	39,847	131,195
DANAHER CORP	131,275	159,183
DENTSPLY INTL INC	8,234	19,109
DIAGEO PLC - SPONS ADR	47,578	88,349
DISCOVERY COMMUNICATIONS	75,380	76,323
DOUBLELINE TOT RET BD FD	377,005	379,255
EXON MOBIL	175,212	180,520
FACEBOOK	167,732	172,575
GENERAL MILLS INC	48,377	77,213
FLEETCOR TECH	27,417	31,842
HENRY SCHEIN INC	16,862	30,342
IBM CORP	222,352	248,985

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES NASDAQ BIOTECH	336,035	339,686
JOHNSON AND JOHNSON	169,424	172,815
KANSAS CITY SOUTHN INDS INC	228,822	207,883
LIBERTY GLOBAL PLC	116,649	105,383
LIBERTY INTERACTIVE	28,112	46,873
LIBERTY GLOBAL PLC	51,054	48,678
LONGLEAF PARTNERS	135,000	124,703
LBC CREDIT	98,732	130,469
NEIELSEN HOLDINGS	21,525	20,975
MICROSOFT CORP	54,492	146,961
NESTLE SA SPONS ADR	28,422	75,327
NIKE	217,329	198,237
NOVARTIS AG-ADR	79,086	100,155
NXP SEMICONDUCTORS NV	140,761	196,020
ORACLE CORP	140,285	138,305
PAYPAL HOLDINGS INC	44,327	78,032
PFIZER INC	206,880	194,880
PIMCO INCOME FD - INS	354,413	361,346
PIMCO MTGE OPPORTUNITIES	335,166	331,474
PRAXAIR INC	74,443	82,033
PROGRESSIVE CORP OHIO	51,473	106,855
PERRIGO CO	67,421	53,350
QUALCOMM INC	97,990	107,124
RAYTHEON CO	106,694	142,000
ROCKWELL AUTOMATION INC	112,475	168,000
SCHLUMBERGER LTD	89,136	100,740
SCRIPPS NETWORKS	194,700	214,110
SPDR GOLD TRUST	185,096	164,415
THERMO FISHER SCIENTIFIC INC	81,247	91,715
UNILEVER	53,565	57,484

Name of Stock	End of Year Book Value	End of Year Fair Market Value
US BANCORP	102,249	240,925
WAL-MART STORES	29,599	44,444
WASTE MANAGEMENT	15,134	39,851
WELLS FARGO & CO	84,003	162,573
WEYERHAEUSER CO	151,752	150,450
ZOETIS INC COM	60,662	109,790
VARIOUS 2016 SECURITIES SOLD	0	0

TY 2016 Investments Government Obligations Schedule

Name:	THE RESOURCE FOUNDATION INC
EIN:	22-2870501
US Government Securities - End of Year Book Value:	0
US Government Securities - End of Year Fair Market Value:	0
State & Local Government Securities - End of Year Book Value:	203,900
State & Local Government Securities - End of Year Fair Market Value:	217,510

TY 2016 Land, Etc. Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER & EQUIPMENT	4,692	3,566	1,126	1,126

TY 2016 Other Expenses Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	157	20		137
TELEPHONE	1,020	133		887
AUTO EXPENSES	6,093	792		5,301
INSURANCE	2,927	381		2,546
OFFICE SUPPORT	523	68		455
PUBLICLY TRADED K-1 ADJUSTMENTS	43,678	5,678		38,000

TY 2016 Other Income Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM K-1	5,720	5,720	5,720

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TY 2016 Other Professional Fees Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	74,111	74,111		0
CUSTODY FEES	4,333	4,333		0

TY 2016 Substantial Contributors Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Name	Address
ROY B SIMPSON	50 VISTA DRIVE GREENWICH, CT 06830

TY 2016 Taxes Schedule

Name: THE RESOURCE FOUNDATION INC

EIN: 22-2870501

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	8,668	1,127		7,541
TAXES WITHHELD AT SOURCE	3,584	2,085		0
TAXES	14,241	0		0

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*

OMB No 1545-0047

2016

Name of the organization	Employer identification number
THE RESOURCE FOUNDATION INC	22-2870501

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ
- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF
- ☑ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE RESOURCE FOUNDATION INC	22-2870501

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROY B SIMPSON 50 VISTA DRIVE GREENWICH, CT06830	$ 401,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE RESOURCE FOUNDATION INC	22-2870501

Part II Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE RESOURCE FOUNDATION INC

Employer identification number
22-2870501

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** *exclusively* **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________
Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee