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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
BOTNICK FAMILY FOUNDATION

A Employer identification number
22-2818488

Number and street (or P O box number if mail is not delivered to street address) Room/suite
373 South Willow St PO Box 356

B Telephone number (see instructions)
(607) 765-6240

City or town, state or province, country, and ZIP or foreign postal code
Manchester, NH 03103

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,440,434

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	38,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	10,958	10,958	10,958	
	4	Dividends and interest from securities	18,289	18,289	18,289	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	14,127			
	b	Gross sales price for all assets on line 6a 879,967				
	7	Capital gain net income (from Part IV, line 2)		14,127		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	513	513		
	12	**Total.** Add lines 1 through 11	81,887	43,887	29,247	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,000	500		500
	c	Other professional fees (attach schedule)	8,855	8,855		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	529			250
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,384	9,355		750
	25	Contributions, gifts, grants paid	77,500			77,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	87,884	9,355		78,250
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-5,997			
	b	**Net investment income** (if negative, enter -0-)		34,532		
	c	**Adjusted net income**(if negative, enter -0-)			29,247	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	45,525	4,505	4,505
	2	Savings and temporary cash investments	570,970	294,267	294,267
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)		498,770	499,900
	b	Investments—corporate stock (attach schedule)	674,970	487,625	607,787
	c	Investments—corporate bonds (attach schedule)	33,427	33,454	33,975
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,324,892	1,318,621	1,440,434
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,324,892	1,318,621	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,324,892	1,318,621	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,324,892	1,318,621	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,324,892
2	Enter amount from Part I, line 27a	2	-5,997
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,318,895
5	Decreases not included in line 2 (itemize) ▶	5	274
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,318,621

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	See Attached	P	2016-01-01	2016-12-31
b	See Attached	P	2016-01-01	2016-12-31
c	See Attached	P	2010-01-01	2016-12-31
d	See Attached	P	2010-01-01	2016-12-31
e	Long term capital gain distributions	P	2010-01-01	2016-12-31

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	499,588		517,681	-18,093
b	38,101		39,436	-1,335
c	251,241		242,979	8,262
d	90,605		65,744	24,861
e	432			432

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-18,093
b				-1,335
c				8,262
d				24,861
e				432

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,127
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-19,428

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	73,471	1,434,354	0 05122
2014	72,900	1,403,348	0 05195
2013	69,615	1,387,566	0 05017
2012	51,500	1,129,007	0 04562
2011	52,364	978,737	0 05350

2	**Total** of line 1, column (d)	2	0 252457
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050491
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,433,648
5	Multiply line 4 by line 3	5	72,386
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	345
7	Add lines 5 and 6	7	72,731
8	Enter qualifying distributions from Part XII, line 4	8	78,250

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	345
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	345
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	345
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 400		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	400
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	55
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 55	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ERI, Guidestar

14 The books are in care of ▶Richard Botnick Telephone no ▶(607) 797-3215

Located at ▶373 South willow St PO Box 356 Manchester NH ZIP+4 ▶031035751

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MR MRS RICHARD W BOTNICK 373 South Willow St PO Box 356 Manchester, NH 031035751	TRUSTEE 0 00	0		
JUDITH CARMODY PO BOX 2825 CAREFREE, AZ 85377	TRUSTEE 0 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	998,111
b	Average of monthly cash balances.		1b	457,369
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	1,455,480
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	1,455,480
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	21,832
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,433,648
6	**Minimum investment return.** Enter 5% of line 5.		6	71,682

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	71,682
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 345		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	345
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	71,337
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	71,337
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	71,337

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	78,250
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	78,250
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	345
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	77,905

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					71,337
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2016					
a From 2011.	3,963				
b From 2012.					
c From 2013.	1,017				
d From 2014.	4,251				
e From 2015.	2,311				
f Total of lines 3a through e.		11,542			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 78,250					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					71,337
e Remaining amount distributed out of corpus		6,913			
5 Excess distributions carryover applied to 2016					
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		18,455			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		3,963			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a		14,492			
10 Analysis of line 9					
a Excess from 2012. . . .					
b Excess from 2013. . . .	1,017				
c Excess from 2014. . . .	4,251				
d Excess from 2015. . . .	2,311				
e Excess from 2016. . . .	6,913				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				77,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	10,958	
4 Dividends and interest from securities. . . .			14	18,289	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	513	
8 Gain or (loss) from sales of assets other than inventory					14,127
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				29,760	14,127

13 **Total.** Add line 12, columns (b), (d), and (e). 13 43,887

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-15	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Theresa Allen				P01218642
Firm's name ▶ Johnson Lauder & Savidge LLP				Firm's EIN ▶
Firm's address ▶ 2 Court Street 2nd Floor Binghamton, NY 13901				Phone no (607) 723-8216

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

MR MRS RICHARD W BOTNICK
JUDITH CARMODY

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GRANITE UNITED WAY 22 CONCORD ST 2ND FLOOR MANCHESTER, NH 03101	NONE	501(C)	GENERAL SUPPORT	12,000
CURRIER MUSEUM OF ART 150 ASH STREET MANCHESTER, NH 03104	NONE	501(C)	GENERAL SUPPORT	10,000
PRINCETON UNIVERSITY PO BOX 46 PRINCETON, NJ 08544	NONE	501(C)	GENERAL SUPPORT	1,000
Choat Rosemary Hall 333 CHRISTIAN ST WALLINGFORT, CT 06492	NONE	501(C)	GENERAL SUPPORT	2,000
BALD PEAK COMMUNITY FUND PO BOX 201 MELVIN VILLAGE, NH 03850	NONE	501(C)	GENERAL SUPPORT	10,000
CLIFF HOMEOWNER ASSOCIATION DONATIO 84 RHINE ROAD CUPECOY, ST MAARTEN NA OC	NONE	501(C)	GENERAL SUPPORT	500
CATHOLIC MEDICAL CENTER 100 MCGREGOR ST MANCHESTER, NH 03102	NONE	501(C)	GENERAL SUPPORT	6,500
NEW HORIZONS 199 MANCHESTER STREET MACHESTER, NH 03103	NONE	501(C)	GENERAL SUPPORT	2,500
Lakes Region Food Pantry 61 Whittier Highway Moultonborough, NH 03254	NONE	501(C)	General Support	24,000
BOSTON BALLET 19 CLAREDON STREET BOSTON, MA 02116	NONE	NC	GENERAL SUPPORT	1,000
Hope for NH Recovery 140 Central St Manchester, NH 03103	NONE	NC	general	2,000
Families in Transition 122 Market Street Manchester, NH 03101	NONE	NC	general	1,000
Martin Health Foundation 200 SE Hospital Ave Stuart, FL 34995	NONE	NC	General	5,000
Total . . . . ▶ **3a**				77,500

TY 2016 Accounting Fees Schedule

Name: BOTNICK FAMILY FOUNDATION

EIN: 22-2818488

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	1,000	500	0	500

TY 2016 Other Decreases Schedule

Name: BOTNICK FAMILY FOUNDATION

EIN: 22-2818488

Software ID: 16000303

Software Version: 2016v3.0

Description	Amount
book difference	274

TY 2016 Other Income Schedule

Name: BOTNICK FAMILY FOUNDATION

EIN: 22-2818488

Software ID: 16000303

Software Version: 2016v3.0

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	513	513	

TY 2016 Other Professional Fees Schedule

Name: BOTNICK FAMILY FOUNDATION

EIN: 22-2818488

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	8,855	8,855	0	0

TY 2016 Taxes Schedule

Name: BOTNICK FAMILY FOUNDATION

EIN: 22-2818488

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS filing fee	250			250
excise tax	279			

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*.

OMB No 1545-0047

2016

Name of the organization	Employer identification number
BOTNICK FAMILY FOUNDATION	22-2818488

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
BOTNICK FAMILY FOUNDATION	22-2818488

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MR MRS RICHARD W BOTNICK 373 S Willow St Manchester, NH031035751	$ 21,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	Botnick 5 Ventures Inc 585 Gold St Manchester, NH03103	$ 5,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	Rick Botnick 210 Kimball Pond Rd Dunbarton, NH03046	$ 6,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	Patrick Caveny 90 federation Rd Bedford, NH03110	$ 6,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
BOTNICK FAMILY FOUNDATION

Employer identification number
22-2818488

Part II **Noncash Property**
(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
BOTNICK FAMILY FOUNDATION

Employer identification number
22-2818488

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

WELLS FARGO

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 8692-0597

THE BOTNICK FAMILY FOUNDATION
373 S WILLOW ST

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AMGEN INC CUSIP: 031162100	165 00000	01/29/2016	02/08/2016	23,283.88	24,583.35	0 00	0 00	-1,299 47	**Box 6:** Gross Proceeds
BB&T CORP CUSIP: 054937107	650 00000	11/16/2015	01/12/2016	22,424 59	24 284 00	0 00	0 00	-1,859 41	**Box 6:** Gross Proceeds
	390 00000	01/29/2016	02/03/2016	12,351 07	12,538 50	0 00	0 00	-187 43	**Box 6:** Gross Proceeds
Subtotals	**1040.00000**			**$34,775.66**	**$36,822.50**	**$0.00**	**$0.00**	**($2,046.84)**	
BOEING CO CUSIP 097023105	93.00000	04/27/2016	05/19/2016	11,839 58	12,488 04	0 00	0 00	-648 46	**Box 6:** Gross Proceeds
BRISTOL MYERS SQUIBB CO CUSIP 110122108	200 00000	01/29/2016	02/03/2016	11,850 72	12,461.80	0.00	0 00	-611 08	**Box 6:** Gross Proceeds
CHEVRON CORPORATION CUSIP: 166764100	1 94900	03/10/2015	01/20/2016	148 53	202 13	0 00	0 00	-53 60	**Box 6:** Gross Proceeds
	1 99400	06/10/2015	01/20/2016	151 96	204 21	0.00	0.00	-52.25	**Box 6:** Gross Proceeds
	2 72300	09/10/2015	01/20/2016	207 53	206 35	0 00	0 00	1 18	**Box 6:** Gross Proceeds
	2 37600	12/10/2015	01/20/2016	181 09	209 26	0 00	0.00	-28 17	**Box 6:** Gross Proceeds
Subtotals	**9.04200**			**$689.11**	**$821.95**	**$0.00**	**$0.00**	**($132.84)**	
CISCO SYSTEMS INC CUSIP 17275R102	900 00000	11/13/2015	01/15/2016	21,329 70	23,643 00	0 00	0 00	-2,313.30	**Box 6:** Gross Proceeds
	1075.00000	01/29/2016	02/03/2016	24,541 37	25,171 23	0 00	0 00	-629.86	**Box 6:** Gross Proceeds
Subtotals	**1975.00000**			**$45,871.07**	**$48,814.23**	**$0.00**	**$0.00**	**($2,943.16)**	

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 8692-0597

THE BOTNICK FAMILY FOUNDATION
373 S WILLOW ST

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CLAYMORE ETF	8 53200	02/06/2015	01/29/2016	218 90	223 24	0 00	0 00	-4 34	**Box 6:** Gross Proceeds
GUGGENHEIM BULLETSHARES	8 02800	03/06/2015	01/29/2016	205 97	211 10	0 00	0 00	-5 13	**Box 6:** Gross Proceeds
CUSIP 18383M415	8 89900	04/08/2015	01/29/2016	228 31	234 52	0 00	0 00	-6 21	**Box 6:** Gross Proceeds
	8 21900	05/07/2015	01/29/2016	210 87	216 65	0 00	0 00	-5 78	**Box 6:** Gross Proceeds
	7 62300	06/05/2015	01/29/2016	195.58	200.91	0 00	0 00	-5 33	**Box 6:** Gross Proceeds
	8 10900	07/08/2015	01/29/2016	208 05	212.96	0 00	0 00	-4 91	**Box 6:** Gross Proceeds
	8 25900	08/07/2015	01/29/2016	211 89	215 58	0.00	0.00	-3 69	**Box 6:** Gross Proceeds
	8 21800	09/08/2015	01/29/2016	210 84	214 17	0 00	0 00	-3 33	**Box 6:** Gross Proceeds
	7 93300	10/07/2015	01/29/2016	203 53	205 78	0.00	0 00	-2 25	**Box 6:** Gross Proceeds
	7 99300	11/06/2015	01/29/2016	205.08	208 38	0.00	0.00	-3 30	**Box 6:** Gross Proceeds
	6 46500	12/07/2015	01/29/2016	165.87	168 10	0.00	0.00	-2 23	**Box 6:** Gross Proceeds
	6 87700	01/04/2016	01/29/2016	176.46	177 54	0 00	0 00	-1 08	**Box 6:** Gross Proceeds
Subtotals	**95.15500**			**$2,441.35**	**$2,488.93**	**$0.00**	**$0.00**	**($47.58)**	
COCA-COLA COMPANY	585 00000	10/26/2015	01/20/2016	24,019 71	24,959 49	0 00	0.00	-939 78	**Box 6:** Gross Proceeds
CUSIP 191216100	4 49900	12/15/2015	01/20/2016	184 73	193 05	0 00	0.00	-8 32	**Box 6:** Gross Proceeds
Subtotals	**589.49900**			**$24,204.44**	**$25,152.54**	**$0.00**	**$0.00**	**($948.10)**	
COMPAGNIE FINANCIERE RICHEMONT SA-UNSPON ADR CUSIP 204319107	2250.00000	04/27/2016	05/16/2016	13,837 20	14,940 00	0 00	0.00	-1,102 80	**Box 6:** Gross Proceeds
EQUITY COMMONWEALTH	442 00000	04/27/2016	11/23/2016	12,805 28	12,474 83	0.00	0.00	330 45	**Box 6:** Gross Proceeds
CUSIP 294628102	300 00000	10/21/2016	11/23/2016	8,691 38	9,144 00	0.00	0.00	-452 62	**Box 6:** Gross Proceeds
Subtotals	**742.00000**			**$21,496.66**	**$21,618.83**	**$0.00**	**$0.00**	**($122.17)**	

2016 Year-End Account Summary

As of Date: 02/21/17

WELLS FARGO

Account Number: 8692-0597

THE BOTNICK FAMILY FOUNDATION
373 S WILLOW ST

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
INTEL CORP	10 33200	03/03/2015	01/19/2016	304 79	342.95	0 00	0 00	-38 16	**Box 6:** Gross Proceeds
CUSIP 458140100	5 01600	06/01/2015	01/19/2016	147 97	172.63	0.00	0 00	-24 66	**Box 6:** Gross Proceeds
	6 22000	09/01/2015	01/19/2016	183 49	173 83	0.00	0 00	9 66	**Box 6:** Gross Proceeds
	5 01100	12/01/2015	01/19/2016	147 83	175 32	0.00	0 00	-27 49	**Box 6:** Gross Proceeds
	840 00000	02/02/2016	02/08/2016	23,909.57	24,990 00	0.00	0 00	-1,080 43	**Box 6:** Gross Proceeds
Subtotals	**866.57900**			**$24,693.65**	**$25,854.73**	**$0.00**	**$0.00**	**($1,161.08)**	
ISHARES NATIONAL ETF	0 94100	12/07/2015	11/14/2016	101 95	103 23	0 00	0 00	-1 28	**Box 6:** Gross Proceeds
MUNI BOND	0 89200	12/31/2015	11/14/2016	96 64	98.70	0.00	0.00	-2 06	**Box 6:** Gross Proceeds
CUSIP 464288414	0.91800	02/05/2016	11/14/2016	99 46	102 43	0 00	0 00	-2 97	**Box 6:** Gross Proceeds
	0 83500	03/07/2016	11/14/2016	90 46	92 42	0.00	0 00	-1 96	**Box 6:** Gross Proceeds
	0 74800	04/07/2016	11/14/2016	81 04	83 82	0 00	0 00	-2 78	**Box 6:** Gross Proceeds
	220 00000	04/29/2016	11/14/2016	23,835 76	24,690 91	0 00	0.00	-855 15	**Box 6:** Gross Proceeds
	1 21300	05/06/2016	11/14/2016	131 42	136 39	0 00	0.00	-4 97	**Box 6:** Gross Proceeds
	1 24300	06/07/2016	11/14/2016	134 67	139 81	0 00	0.00	-5 14	**Box 6:** Gross Proceeds
	1 26900	07/08/2016	11/14/2016	137 48	144 62	0 00	0.00	-7 14	**Box 6:** Gross Proceeds
	1 22100	08/05/2016	11/14/2016	132 28	138 22	0 00	0 00	-5.94	**Box 6:** Gross Proceeds
	1.28100	09/08/2016	11/14/2016	138 79	145 07	0 00	0 00	-6.28	**Box 6:** Gross Proceeds
	1 25500	10/07/2016	11/14/2016	135 97	140 55	0 00	0 00	-4.58	**Box 6:** Gross Proceeds
	30 00000	10/21/2016	11/14/2016	3,250 35	3,348 00	0 00	0 00	-97 65	**Box 6:** Gross Proceeds
	1 27400	11/07/2016	11/14/2016	138 04	141 87	0 00	0 00	-3.83	**Box 6:** Gross Proceeds
Subtotals	**263.09000**			**$28,504.31**	**$29,506.04**	**$0.00**	**$0.00**	**($1,001.73)**	
JPMORGAN 6 10% PFD	14.96600	12/01/2015	11/10/2016	380 11	386 93	0 00	0 00	-6 82	**Box 6:** Gross Proceeds
PERP MTY NON CUM SER AA	15 22100	03/01/2016	11/10/2016	386 58	392 64	0 00	0.00	-6 06	**Box 6:** Gross Proceeds
CUSIP 48127X542	15.00800	06/01/2016	11/10/2016	381 17	398 44	0 00	0 00	-17 27	**Box 6:** Gross Proceeds
	14.58300	09/01/2016	11/10/2016	370 38	404 16	0 00	0 00	-33 78	**Box 6:** Gross Proceeds

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THE BOTNICK FAMILY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
JPMORGAN 6 10% PFD PERP MTY NON CUM SER AA CUSIP 48127X542	1026.00000	10/21/2016	11/10/2016	26,058 66	27,948.24	0 00	0 00	-1,889 58	**Box 6:** Gross Proceeds
Subtotals	**1085.77800**			**$27,576.90**	**$29,530.41**	**$0.00**	**$0.00**	**($1,953.51)**	
MEAD JOHNSON NUTRITION CO CUSIP. 582839106	173 00000	01/29/2016	02/03/2016	12,052 52	12,442.06	0.00	0.00	-389.54	**Box 6:** Gross Proceeds
MONEY MKT OBLIGS TR * U S TREAS CASH RESV INST CUSIP: 60934N682	0.01000	01/04/2016	04/29/2016	0 01	0 01	0 00	0 00	0.00	**Box 6:** Gross Proceeds
	0.16000	02/01/2016	04/29/2016	0 16	0 16	0 00	0 00	0.00	**Box 6:** Gross Proceeds
	0.29000	03/01/2016	04/29/2016	0 29	0 29	0 00	0 00	0.00	**Box 6:** Gross Proceeds
	0.31000	04/01/2016	04/29/2016	0 31	0 31	0 00	0 00	0.00	**Box 6:** Gross Proceeds
Subtotals	**0.77000**			**$0.77**	**$0.77**	**$0.00**	**$0.00**	**$0.00**	
NUVEEN AMT FREE QUALITY MUNI INCOME FUND CUSIP 670657105	853.52718	04/27/2016	10/11/2016	12,021 15	12,479 38	0.00	0.00	-458.23	**Box 6:** Gross Proceeds
	2540 01463	04/29/2016	10/11/2016	35,773.80	37,387.16	0.00	0.00	-1,613 36	**Box 6:** Gross Proceeds
	16 22935	06/01/2016	10/11/2016	228 57	237 60	0.00	0.00	-9.03	**Box 6:** Gross Proceeds
	14 55623	07/01/2016	10/11/2016	205 01	220.50	0.00	0.00	-15.49	**Box 6:** Gross Proceeds
	14 74031	08/01/2016	10/11/2016	207 60	221 44	0.00	0 00	-13.84	**Box 6:** Gross Proceeds
	14.96658	09/01/2016	10/11/2016	210 80	222 39	0.00	0 00	-11.59	**Box 6:** Gross Proceeds
	10 82500	10/03/2016	10/11/2016	152 47	158.89	0 00	0 00	-6.42	**Box 6:** Gross Proceeds
Subtotals	**3464.85928**			**$48,799.40**	**$50,927.36**	**$0.00**	**$0.00**	**($2,127.96)**	
NUVEEN ENHANCED AMT FREE MUNICIPAL CREDIT OPPTYS CUSIP. 67071L106	8.77301	12/01/2015	11/10/2016	127 05	128 48	0.00	0 00	-1.43	**Box 6:** Gross Proceeds
	1.13225	12/31/2015	11/10/2016	16 39	16 81	0.00	0 00	-0.42	**Box 6:** Gross Proceeds
	8 96076	12/31/2015	11/10/2016	129.77	133.04	0.00	0 00	-3.27	**Box 6:** Gross Proceeds
	1713 78024	01/29/2016	11/10/2016	24,820 49	25,910.04	0.00	0.00	-1,089.55	**Box 6:** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
NUVEEN ENHANCED AMT FREE MUNICIPAL CREDIT OPPTYS CUSIP 67071L106	8.57275	02/01/2016	11/10/2016	124 15	129 91	0 00	0 00	-5 76	**Box 6:** Gross Proceeds
	17.18691	03/01/2016	11/10/2016	248 91	260 50	0 00	0.00	-11 59	**Box 6:** Gross Proceeds
	17 08774	04/01/2016	11/10/2016	247 48	261 80	0 00	0 00	-14 32	**Box 6:** Gross Proceeds
	1610 00000	04/29/2016	11/10/2016	23,317 47	24,884 16	0 00	0 00	-1,566 69	**Box 6:** Gross Proceeds
	3 17100	05/02/2016	11/10/2016	45 92	48 93	0 00	0 00	-3 01	**Box 6:** Gross Proceeds
	24 54700	06/01/2016	11/10/2016	355.51	386 32	0 00	0 00	-30 81	**Box 6:** Gross Proceeds
	23.70100	07/01/2016	11/10/2016	343.26	388.19	0.00	0.00	-44 93	**Box 6:** Gross Proceeds
	23 75200	08/01/2016	11/10/2016	344 00	389 99	0 00	0 00	-45 99	**Box 6:** Gross Proceeds
	24 23000	09/01/2016	11/10/2016	350 92	391 79	0 00	0 00	-40 87	**Box 6:** Gross Proceeds
	24 40500	10/03/2016	11/10/2016	353 46	393 64	0 00	0 00	-40 18	**Box 6:** Gross Proceeds
	26 19500	11/01/2016	11/10/2016	379 39	395 49	0 00	0 00	-16 10	**Box 6:** Gross Proceeds
Subtotals	**3535.49466**			**$51,204.17**	**$54,119.09**	**$0.00**	**$0.00**	**($2,914.92)**	
OMEGA HEALTHCARE REIT INVESTORS INC CUSIP 681936100	5 70700	02/17/2015	01/20/2016	184 02	226 81	0 00	0 00	-42.79	Original Basis 231 87 **Box 6:** Gross Proceeds
	3 93100	04/07/2015	01/20/2016	126.75	156 84	0 00	0 00	-30 09	Original Basis 159 55 **Box 6:** Gross Proceeds
	2 23600	05/15/2015	01/20/2016	72 09	79 13	0 00	0 00	-7 04	Original Basis 80 48 **Box 6:** Gross Proceeds
	6 75600	08/17/2015	01/20/2016	217 85	245 09	0 00	0 00	-27 24	Original Basis.247 15 **Box 6:** Gross Proceeds
	7.89100	11/16/2015	01/20/2016	254 45	255 43	0 00	0.00	-0 98	**Box 6:** Gross Proceeds
Subtotals	**26.52100**			**$855.16**	**$963.30**	**$0.00**	**$0.00**	**($108.14)**	
PAYCHEX INC CUSIP 704326107	530 00000	01/29/2016	02/08/2016	24,381 83	24,986 96	0 00	0 00	-605 13	**Box 6:** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
POWERSHARES S&P 500 ETF BUYWRITE CUSIP 73936G308	1280 00000	01/29/2016	02/08/2016	24,433.47	24,910 48	0 00	0 00	-477 01	**Box 6:** Gross Proceeds
SPECTRA ENERGY CORP CUSIP 847560109	400 00000	04/27/2016	11/23/2016	16,757.64	12,508 00	0.00	0 00	4,249.64	**Box 6:** Gross Proceeds
	4.90300	06/07/2016	11/23/2016	205 40	162 00	0.00	0.00	43.40	**Box 6:** Gross Proceeds
	3.98700	09/07/2016	11/23/2016	167 04	163 99	0.00	0.00	3.05	**Box 6:** Gross Proceeds
Subtotals	**408.89000**			**$17,130.08**	**$12,833.99**	**$0.00**	**$0.00**	**$4,296.09**	
VERIZON COMMUNICATIONS COM CUSIP 92343V104	500 00000	01/29/2016	11/10/2016	23,459 49	24,832 71	0.00	0 00	-1,373.22	**Box 6:** Gross Proceeds
	5 52000	05/02/2016	11/10/2016	258 99	282 50	0 00	0 00	-23.51	**Box 6:** Gross Proceeds
	5 14200	08/01/2016	11/10/2016	241 25	285 62	0 00	0 00	-44.37	**Box 6:** Gross Proceeds
	6 10200	11/01/2016	11/10/2016	286 31	294 91	0.00	0 00	-8 60	**Box 6:** Gross Proceeds
Subtotals	**516.76400**			**$24,246.04**	**$25,695.74**	**$0.00**	**$0.00**	**($1,449.70)**	
WELLS FARGO &CO 5.7% PFD SER W NON CUM PERP MTY CUSIP 94988U714	467 00000	01/20/2016	10/21/2016	12,113 72	11,675 00	0.00	0 00	438 72	**Box 6:** Gross Proceeds
	533 00000	01/20/2016	11/14/2016	12,648.61	13,325 00	0.00	0 00	-676 39	**Box 6:** Gross Proceeds
	13 85700	06/15/2016	11/14/2016	328.84	356 25	0.00	0 00	-27 41	**Box 6:** Gross Proceeds
	13 83800	09/15/2016	11/14/2016	328.39	361 19	0.00	0 00	-32 80	**Box 6:** Gross Proceeds
Subtotals	**1027.69500**			**$25,419.56**	**$25,717.44**	**$0.00**	**$0.00**	**($297.88)**	
Totals				**$499,587.53**	**$517,680.54**	**$0.00**	**$0.00**	**($18,093.01)**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ALLIANCEBERNSTEIN HLDG LP LTD PARTNERSHIP CUSIP 01881G106	19 58500	03/12/2015	01/13/2016	390 13	561 58	0 00	0 00	-171 45	**Box 6:** Gross Proceeds
	14 25900	05/21/2015	01/13/2016	284 03	452.17	0 00	0 00	-168 14	**Box 6:** Gross Proceeds
	17 96900	08/27/2015	01/13/2016	357 94	489.16	0.00	0 00	-131 22	**Box 6:** Gross Proceeds
	18 05100	11/19/2015	01/13/2016	359 58	445.93	0 00	0 00	-86.35	**Box 6:** Gross Proceeds
	675 00000	01/29/2016	02/03/2016	12,562 53	12,440 39	0 00	0 00	122 14	**Box 6:** Gross Proceeds
Subtotals	**744.86400**			**$13.954.21**	**$14,389.23**	**$0.00**	**$0.00**	**($435.02)**	
BLACKSTONE GROUP LP/THE CUSIP 09253U108	870 00000	04/27/2016	04/28/2016	24,146 32	25,047 13	0 00	0 00	-900 81	**Box 6:** Gross Proceeds
Totals				**$38.100.53**	**$39,436.36**	**$0.00**	**$0.00**	**($1,335.83)**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CHEVRON CORPORATION CUSIP 166764100	2 34600	03/11/2011	01/20/2016	178 79	230 40	0 00	0.00	-51.61	**Box 6:** Gross Proceeds
	2 51000	06/13/2011	01/20/2016	191 28	251 43	0 00	0.00	-60.15	**Box 6:** Gross Proceeds
	2 61800	09/13/2011	01/20/2016	199 52	253 39	0 00	0.00	-53 87	**Box 6:** Gross Proceeds
	2 83400	03/10/2014	01/20/2016	215.98	327 00	0 00	0.00	-111.02	**Box 6:** Gross Proceeds
	2 82500	06/10/2014	01/20/2016	215.29	352 92	0 00	0.00	-137.63	**Box 6:** Gross Proceeds
	2 86100	09/10/2014	01/20/2016	218 04	355.95	0 00	0.00	-137.91	**Box 6:** Gross Proceeds
	3 38300	12/10/2014	01/20/2016	257 82	359 01	0 00	0.00	-101.19	**Box 6:** Gross Proceeds
Subtotals	**19.37700**			**$1,476.72**	**$2,130.10**	**$0.00**	**$0.00**	**($653.38)**	
CLAYMORE ETF GUGGENHEIM BULLETSHARES CUSIP 18383M415	915 00000	04/29/2014	01/29/2016	23,475 51	24,993 23	0 00	0 00	-1,517 72	**Box 6:** Gross Proceeds
	2 95700	05/07/2014	01/29/2016	75 86	80 52	0 00	0 00	-4 66	**Box 6:** Gross Proceeds
	2 85800	06/06/2014	01/29/2016	73 32	78.03	0 00	0 00	-4.71	**Box 6:** Gross Proceeds
	2 98900	07/07/2014	01/29/2016	76.68	81.68	0 00	0 00	-5.00	**Box 6:** Gross Proceeds
	3 15000	08/07/2014	01/29/2016	80 81	83.79	0 00	0 00	-2.98	**Box 6:** Gross Proceeds
	3 04000	09/08/2014	01/29/2016	77 99	81 66	0 00	0 00	-3 67	**Box 6:** Gross Proceeds
	3 24900	10/07/2014	01/29/2016	83 35	86 86	0 00	0 00	-3.51	**Box 6:** Gross Proceeds
	3 08700	11/07/2014	01/29/2016	79 20	82 41	0 00	0 00	-3.21	**Box 6:** Gross Proceeds
	1880 00000	11/24/2014	01/29/2016	48,233 87	50,100 12	0 00	0 00	-1,866.25	**Box 6:** Gross Proceeds
	8 34100	12/05/2014	01/29/2016	213 99	219 39	0.00	0.00	-5 40	**Box 6:** Gross Proceeds
	8 49400	01/05/2015	01/29/2016	217 92	220 89	0 00	0.00	-2.97	**Box 6:** Gross Proceeds
	1 08600	01/05/2015	01/29/2016	27.86	28.25	0 00	0 00	-0.39	**Box 6:** Gross Proceeds
	2 28100	01/05/2015	01/29/2016	58 52	59 32	0 00	0.00	-0.80	**Box 6:** Gross Proceeds
Subtotals	**2836.53200**			**$72,774.88**	**$76,196.15**	**$0.00**	**$0.00**	**($3,421.27)**	
INTEL CORP CUSIP 458140100	11 42000	03/02/2011	01/19/2016	336.88	244.62	0 00	0.00	92.26	**Box 6:** Gross Proceeds
	11 21300	06/02/2011	01/19/2016	330 77	246 69	0 00	0.00	84.08	**Box 6:** Gross Proceeds
	14 55900	09/02/2011	01/19/2016	429 48	288 25	0 00	0.00	141.23	**Box 6:** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
INTEL CORP	12 71100	03/03/2014	01/19/2016	374 97	312 08	0 00	0 00	62.89	**Box 6:** Gross Proceeds
CUSIP· 458140100	11 53400	06/02/2014	01/19/2016	340 25	314 93	0 00	0.00	25 32	**Box 6:** Gross Proceeds
	9 12600	09/02/2014	01/19/2016	269 21	317 53	0 00	0.00	-48 32	**Box 6:** Gross Proceeds
	8 57200	12/01/2014	01/19/2016	252 87	319 58	0 00	0 00	-66 71	**Box 6:** Gross Proceeds
Subtotals	**79.13500**			**$2 334 43**	**$2,043 68**	**$0.00**	**$0.00**	**$290.75**	
ISHARES NATIONAL ETF	455 00000	10/26/2015	11/14/2016	49,296 67	49,893 48	0 00	0 00	-596 81	**Box 6:** Gross Proceeds
MUNI BOND	0 93600	11/06/2015	11/14/2016	101 41	102 19	0 00	0 00	-0 78	**Box 6:** Gross Proceeds
CUSIP 464288414									
Subtotals	**455.93600**			**$49,398.08**	**$49,995.67**	**$0.00**	**$0.00**	**($597.59)**	
JPMORGAN 6 10% PFD	1000 00000	05/29/2015	11/10/2016	25,398.26	25,000 00	0 00	0 00	398.26	**Box 6:** Gross Proceeds
PERP MTY NON CUM SER AA	14 90100	09/21/2015	11/10/2016	378 45	368 55	0 00	0 00	9 90	**Box 6:** Gross Proceeds
CUSIP 48127X542									
Subtotals	**1014.90100**			**$25,776.71**	**$25,368.55**	**$0.00**	**$0.00**	**$408.16**	
MONEY MKT OBLIGS TR *	3000 00000	09/12/2014	04/29/2016	3,000 00	3,000 00	0 00	0 00	0 00	**Box 6:** Gross Proceeds
U S TREAS CASH RESV INST	0 42000	12/01/2014	04/29/2016	0 42	0 42	0 00	0 00	0 00	**Box 6:** Gross Proceeds
CUSIP 60934N682									
Subtotals	**3000.42000**			**$3,000.42**	**$3,000.42**	**$0.00**	**$0.00**	**$0.00**	
NESTLE S A REG ADR	220 00000	05/29/2012	01/20/2016	15,181 91	12,595 00	0 00	0.00	2,586.91	**Box 6:** Gross Proceeds
CUSIP 641069406	125 00000	10/29/2013	01/20/2016	8,626 10	9,180 00	0 00	0 00	-553 90	**Box 6:** Gross Proceeds
Subtotals	**345.00000**			**$23,808.01**	**$21,775.00**	**$0.00**	**$0.00**	**$2,033.01**	
NUVEEN ENHANCED AMT FREE MUNICIPAL CREDIT OPPTYS CUSIP 67071L106	1694 52428	10/26/2015	11/10/2016	24,541 59	24,968 06	0 00	0 00	-426 47	**Box 6:** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
OMEGA HEALTHCARE REIT INVESTORS INC CUSIP 681936100	420.00000	09/27/2013	01/20/2016	13,542.47	12,017.33	0.00	0.00	1,525 14	Original Basis 12,507 60 **Box 6:** Gross Proceeds
	5 81300	05/15/2014	01/20/2016	187 43	203 23	0.00	0.00	-15.80	Original Basis 210 00 **Box 6:** Gross Proceeds
	5.74800	08/15/2014	01/20/2016	185 34	210 47	0 00	0.00	-25 13	Original Basis 217 16 **Box 6:** Gross Proceeds
	5 93400	11/17/2014	01/20/2016	191 33	217 49	0 00	0 00	-26 16	Original Basis 224 41 **Box 6:** Gross Proceeds
Subtotals	**437.49600**			**$14,106.57**	**$12,648.52**	**$0.00**	**$0.00**	**$1,458.05**	
TARGET CORP CUSIP 87612E106	125 00000	03/24/2014	04/29/2016	9,913 91	7,438 25	0.00	0 00	2,475.66	**Box 6:** Gross Proceeds
UNILEVER PLC SPONS ADR CUSIP 904767704	326 00000	03/31/2011	04/29/2016	14,576 77	9,978 87	0 00	0 00	4,597.90	**Box 6:** Gross Proceeds
WELLS FARGO & CO NEW CUSIP 949746101	211.00000	01/28/2013	10/21/2016	9,532 77	7,435 64	0 00	0 00	2,097.13	**Box 6:** Gross Proceeds
Totals				**$251,240.86**	**$242,978.91**	**$0.00**	**$0.00**	**$8,261.95**	

2016 Year-End Account Summary

As of Date: 02/21/17

WELLS FARGO

Account Number: 8692-0597

THE BOTNICK FAMILY FOUNDATION
373 S WILLOW ST

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ALLIANCEBERNSTEIN HLDG LP LTD PARTNERSHIP CUSIP 01881G106	915 00000	11/25/2013	01/13/2016	18,226 45	20,099 81	0.00	0 00	-1,873 36	**Box 6:** Gross Proceeds
	22 53800	03/13/2014	01/13/2016	448.95	549 00	0.00	0 00	-100 05	**Box 6:** Gross Proceeds
	15 22200	05/22/2014	01/13/2016	303.21	365 64	0.00	0 00	-62.43	**Box 6:** Gross Proceeds
	15 67300	08/28/2014	01/13/2016	312.20	428 74	0.00	0 00	-116.54	**Box 6:** Gross Proceeds
	16 79800	11/20/2014	01/13/2016	334 61	435 79	0.00	0 00	-101.18	**Box 6:** Gross Proceeds
Subtotals	**985.23100**			**$19,625.42**	**$21,878.98**	**$0.00**	**$0.00**	**($2,253.56)**	
ALTRIA GROUP INC CUSIP 02209S103	430 00000	09/03/2010	01/20/2016	24,343 96	9,869 60	0.00	0 00	14,474.36	**Box 6:** Gross Proceeds
CHEVRON CORPORATION CUSIP 166764100	169.52600	09/03/2010	01/20/2016	12,919 67	13,161 27	0.00	0 00	-241.60	**Box 6:** Gross Proceeds
INTEL CORP CUSIP 458140100	367 00000	09/03/2010	01/15/2016	10,918.05	6,731 31	0 00	0 00	4,186.74	**Box 6:** Gross Proceeds
	262.80800	09/03/2010	01/19/2016	7,752.69	4,820 27	0.00	0 00	2,932.42	**Box 6:** Gross Proceeds
Subtotals	**629.80800**			**$18,670.74**	**$11,551.58**	**$0.00**	**$0.00**	**$7,119.16**	
JOHNSON & JOHNSON CUSIP 478160104	158 00000	09/03/2010	01/20/2016	15,045 08	9,283 01	0 00	0 00	5,762.07	**Box 6:** Gross Proceeds
Totals				**$90,604.87**	**$65,744 44**	**$0.00**	**$0.00**	**$24,860.43**	

THE BOTNICK FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8692-0597

Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Accrued interest on purchases	0 00	-1,133 88	Foreign withholding	0 00	-41 62
Gross proceeds	0 00	879,533 79			

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 03	0 00	362 91	0 00
BANK DEPOSIT SWEEP	20 47	0 01	293,904 00	29 39
Interest Period 12/01/16 - 12/31/16				
Total Cash and Sweep Balances	**20.49**		**$294,266.91**	**$29.39**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

Bank Deposit Sweep Allocation

Monies on deposit at each bank are eligible for FDIC insurance of up to $250,000 per depositor, per bank in accordance with FDIC rules In those instances where deposit balances exceed the maximum FDIC insurance limits, those deposits will be uninsured Deposits at each bank are not held in your securities brokerage account and therefore not covered by SIPC Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2pm ET on the last business day of the month For more information please refer to the "Cash Sweep Program Disclosure Statement" or contact Your Financial Advisor

DESCRIPTION	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N A	250,000 00	12/30
WELLS FARGO BANK NORTHWEST, N A	43,904 00	12/30
Total Bank Deposits	**$293,904.00**	

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8692-0597

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ABBOTT LABORATORIES ABT On Reinvestment Acquired Net Tax Lots S	1 90	712 03200	32 34	23,035 02	38 4100	27,349 14	4,314 12	754 75	2 75
ABBVIE INC ABBV On Reinvestment Acquired Net Tax Lots S	1 29	296 56000	61 22	18,157 63	62 6200	18,570 58	412 95	759 19	4 08
ALTRIA GROUP INC MO On Reinvestment Acquired Net Tax Lots S m	2 69	570 50800	37 58	21,445 69	67 6200	38,577 75	17,132 06	1,392 03	3 60
AT & T INC T On Reinvestment Acquired Net Tax Lots S	2 48	836 35100	35 55	29,737 00	42 5300	35,570 00	5,833 00	1,639 24	4 60
GENERAL ELECTRIC COMPANY GE On Reinvestment Acquired Net Tax Lots S	2 33	1,057 28700	24 04	25,420 43	31 6000	33,410 26	7,989 83	1,014 99	3 03
JOHNSON & JOHNSON JNJ On Reinvestment Acquired Net Tax Lots S m	2 46	306 52300	63 81	19,560 67	115 2100	35,314 51	15,753 84	980 87	2 77
KIMBERLY-CLARK CORP KMB On Reinvestment Acquired Net Tax Lots S	2 03	255 15000	86 55	22,085 59	114 1200	29,117 71	7,032 12	938 95	3 22
MCDONALDS CORP MCD On Reinvestment Acquired Net Tax Lots S	1 91	225 75800	102 35	23,108 36	121 7200	27,479 26	4,370 90	848 85	3 08

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8692-0597

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MICROSOFT CORP MSFT On Reinvestment Acquired Net Tax Lots S m	2 39	552 49900	26 74	14,778 08	62 1400	34,332 28	19,554 20	861 89	2 51
PROCTER & GAMBLE CO PG On Reinvestment Acquired Net Tax Lots S	2 55	434 65800	62 76	27,282 72	84 0800	36,546 04	9,263 32	1,164 01	3 18
PROSHARES S&P 500 ET DIVIDEND A NOBL On Reinvestment Acquired Net Tax Lots S	2 85	757 89600	53 01	40,182 35	53 9100	40,858 17	675 82	870 82	2 13
PROSHARES TR ET S&P MIDCAP 400 DIVID ARISTOCRATS REGL On Reinvestment Acquired Net Tax Lots S	2 98	850	47 02	39,974 98	50 3600	42,806 00	2,831 02	512 55	1 19
PROSHARES TR ET RUSSELL 2000 DIVID GROWERS SMDV On Reinvestment Acquired Net Tax Lots S	3 07	820	48 93	40,128 70	53 7400	44,066 80	3,938 10	594 50	1 34
RYANAIR HLDGS PLC SPONSORED ADR NEW RYAAY Acquired Net Tax Lots S	1 62	280	68 36	19,141 39	83 2600	23,312 80	4,171 41	N/A	N/A
TARGET CORP TGT On Reinvestment Acquired Net Tax Lots S	1 88	373 42400	61 67	23,032 30	72 2300	26,972 41	3,940 11	896 21	3 32
UNILEVER PLC SPONS ADR UL On Reinvestment Acquired Net Tax Lots S	1 63	576 78200	32 19	18,569 85	40 7000	23,475 02	4,905 17	797 11	3 39

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO & CO NEW WFC On Reinvestment Acquired Net Tax Lots S	2 17	564 59000	37 02	20,906 05	55 1100	31,114 55	10,208 50	858 17	2 75
Total Stocks and ETFs	**38.22**			**$426,546.81**		**$548,873.28**	**$122,326.47**	**$14,884.13**	**2.71**
Total Stocks, options & ETFs	**38.22**			**$426,546.81**		**$548,873.28**	**$122,326.47**	**$14,884.13**	**2.71**

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AK STEEL CORP COMPANY GTD CPN 7 625% DUE 05/15/20 DTD 05/11/10 FC 11/15/10 CALL 01/30/17 @ 102 542 Moody CAA1 , S&P B- CUSIP 001546AL4 Acquired 08/16/13 L nc	0 71	10,000	85 89 85 62	8,589 48 8,562 50	102 2500	10,225 00	1,635 52	97 43	762 50	7 45
FRONTIER COMMUNICATIONS SR UNSECURED CPN 6 250% DUE 09/15/21 DTD 09/17/14 FC 03/15/15 CALL 06/15/21 @ 100 000 Moody B1 , S&P BB- CUSIP 35906AAP3										

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8692-0597

Fixed Income Securities

Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 05/01/15 L	1 65	25,000	99 45	24,864 20	95 0000	23,750 00	-1,114 20	460 07	1,562 50	6 57
Total Corporate Bonds	**2.37**	**35,000**		**$33,453.68** **$33,426.70**		**$33,975.00**	**$521.32**	**$557.50**	**$2,325.00**	**6.84**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES CPN 0 500% DUE 03/31/17 DTD 03/31/15 FC 09/30/15 Moody AAA CUSIP 912828J92 Acquired 03/11/16 S	34 81	500,000	99 75	498,769 53	99 9800	499,900 00	1,130 47	638 74	2,500 00	0 50
Total Government Bonds	**34.81**	**500,000**		**$498,769.53**		**$499,900.00**	**$1,130.47**	**$638.74**	**$2,500.00**	**0.50**
Total Fixed Income Securities	**37.18**			**$532,223.21** **$532,196.23**		**$533,875.00**	**$1,651.79**	**$1,196.24**	**$4,825.00**	**0.90**

THE BOTNICK FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8692-0597

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
VANGUARD FIXED INCOME SECS FD GNMA FUND ADMIRAL SHARES VFIJX On Reinvestment Acquired Net Tax Lots S	4 10	5,589 56700	10 76	60,157 21	10 5400	58,914 03	-1,243 18	1,425 33	2 41
Total Open End Mutual Funds	**4.10**			**$60,157.21**		**$58,914.03**	**-$1,243.18**	**$1,425.33**	**2.42**
Total Mutual Funds	**4.10**			**$60,157.21**		**$58,914.03**	**-$1,243.18**	**$1,425.33**	**2.42**

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			292,537 54
12/01	Cash	DIVIDEND		JPMORGAN 6 10% PFD PERP MTY NON CUM SER AA CALLABLE 09/01/2020 @ 25 120116 2,100 67900		800 88	
12/01	Cash	DIVIDEND		NUVEEN ENHANCED AMT FREE MUNICIPAL CREDIT OPPTYS FUND 120116 5,230 01894		397 48	
12/01	Cash	DIVIDEND		VANGUARD FIXED INCOME SECS FD GNMA FUND ADMIRAL SHARES 113016 5,540 58900 AS OF 11/30/16		108 28	
12/01	Cash	DIVIDEND		WELLS FARGO & CO NEW 120116 560 64600		213 05	
12/01	Cash	REINVEST DIV	10 18600	VANGUARD FIXED INCOME SECS FD GNMA FUND ADMIRAL SHARES REINVEST AT 10 630		-108 28	
12/01	Cash	REINVEST DIV	3 94400	WELLS FARGO & CO NEW		-213 05	