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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning

07/01, 2016, and ending

12/31, 2016

Name of foundation THE MORRIS & SYLVIA TRACHTEN FAMILY		A Employer identification number 22-2518063
Foundation FOUNDATION		B Telephone number (see instructions) (303) 488-2057
Number and street (or P O box number if mail is not delivered to street address) 4950 S YOSEMITE ST F2 - 380		
Room/suite		
City or town, state or province, country, and ZIP or foreign postal code GREENWOOD VILLAGE, CO 80111-1349		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here. ☐ D 1 Foreign organizations check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation. ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,817,268.		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☒ if the foundation is not required to attach Sch B.				
3	Interest on savings and temporary cash investments.	95.	95.		
4	Dividends and interest from securities	71,247.	71,247.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	120,097.			
b	Gross sales price for all assets on line 6a	2,529,390.			
7	Capital gain net income (from Part IV, line 2)		120,097.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	191,439.	191,439.		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	8,969.			8,969.
c	Other professional fees (attach schedule)	28,925.	28,925.		
17	Interest				
18	Taxes (attach schedule) (see instructions)	19.	19.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	12,015.	687.		11,328.
24	Total operating and administrative expenses. Add lines 13 through 23.	49,928.	29,631.		20,297.
25	Contributions, gifts, grants paid	170,994.			170,994.
26	Total expenses and disbursements. Add lines 24 and 25	220,922.	29,631.	0.	191,291.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-29,483.			
b	Net investment income (if negative, enter -0-)		161,808.		
c	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		127,667	173,424	173,424
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . .				
	9	Prepaid expenses and deferred charges .				
	10a	Investments - U.S. and state government obligations (attach schedule) [5]		166,735	151,612	149,154
	b	Investments - corporate stock (attach schedule) ATCH 6		5,328,333	5,219,290	5,314,115
	c	Investments - corporate bonds (attach schedule) ATCH 7		134,761	183,727	180,575
	Liabilities	11	Investments - land, buildings and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans .				
13		Investments - other (attach schedule)				
14		Land, buildings and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also see page 1 item I)		5,757,496	5,728,053	5,817,268
17		Accounts payable and accrued expenses .				
18		Grants payable .				
19		Deferred revenue .				
20		Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)					
22	Other liabilities (describe ▶)					
23	Total liabilities (add lines 17 through 22)		0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted .				
	25	Temporarily restricted .				
	26	Permanently restricted .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus or land, bldg. and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		5,757,496	5,728,053	
30	Total net assets or fund balances (see instructions)		5,757,496	5,728,053		
31	Total liabilities and net assets/fund balances (see instructions)		5,757,496	5,728,053		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,757,496
2	Enter amount from Part I, line 27a	2	-29,483
3	Other increases not included in line 2 (itemize) ▶ ATCH 8	3	40
4	Add lines 1, 2, and 3	4	5,728,053
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,728,053

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	120,097.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	176,760.	5,735,294.	0.030820
2014	295,455.	6,452,921.	0.045786
2013	531,447.	6,499,625.	0.081766
2012	316,974.	3,332,437.	0.095118
2011	240,994.	609,878.	0.395151
2 Total of line 1, column (d)			2 0.648641
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.129728
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 5,809,764.
5 Multiply line 4 by line 3.			5 753,689.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 1,618.
7 Add lines 5 and 6.			7 755,307.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 191,291.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 . . .			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,236.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		2	
3 Add lines 1 and 2		3	3,236.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,236.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,900.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,900.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,336.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2017 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CT,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	☐	☒
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	☐	☒
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	☒	☐
14 The books are in care of ▶ VICKI TRACHTEN SCHWARTZ Telephone no ▶ 303-488-2057 Located at ▶ 4950 S YOSEMITE STREET F2-380 GREENWOOD VILLAGE, CO ZIP+4 ▶ 80111-1349		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15	☐	☐
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	☐	☒

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	☐	☐
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	☐	☒
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	☐	☐
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	☐	☐
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	☐	☒
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	☐	☒

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a. During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments. See instructions.		
3	NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,783,016.
b	Average of monthly cash balances	1b	115,222.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	5,898,238.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,898,238.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	88,474.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,809,764.
6	Minimum investment return. Enter 5% of line 5	6	290,488.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	290,488.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	3,236.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,236.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	287,252.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	287,252.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	287,252.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	191,291.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	191,291.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	191,291.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				287,252.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only,				
b Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u>				
3 Excess distributions carryover, if any, to 2016				
a From 2011 210,812.				
b From 2012 172,018.				
c From 2013 209,156.				
d From 2014				
e From 2015				
f Total of lines 3a through e	591,986.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>191,291.</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				191,291.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a))	95,961.			95,961.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	496,025.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	114,851.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	381,174.			
10 Analysis of line 9				
a Excess from 2012 172,018.				
b Excess from 2013 209,156.				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

VICKI TRACHTEN SCHWARTZ

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 10

b The form in which applications should be submitted and information and materials they should include

LETTER INDICATING PURPOSE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 11

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ATCH 12				
Total			3a	170,994.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .			14	95.		
4 Dividends and interest from securities			14	71,247.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property. .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	120,097.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)				191,439.		
13 Total Add line 12, columns (b), (d), and (e)				13	191,439.	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JEFFREY D HASKELL

Preparer's signature

JEFFREY D HASKELL

Date _____

07/11/2017

Check ☐ if self-employed
--

PTIN	
------	--

P01345770

Firm's name ► FOUNDATION SOURCE

Firm's EIN ▶ 510398347

Firm's address ► ONE HOLLOW LN, STE 212
LAKE SUCCESS, NY

11042

Phone no 800-839-1754

ATTACHMENT 1FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX RETURN PREPARATION/REVIEW	8,969.			8,969.
TOTALS	<u>8,969.</u>			<u>8,969.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT SERVICES	28,925.	28,925.
TOTALS	<u>28,925.</u>	<u>28,925.</u>

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX PAID	19.	19.
TOTALS	<u>19.</u>	<u>19.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE FEES	11,308.		11,308.
BANK CHARGES	687.	687.	
REGISTERED AGENT FEE	20.		20.
TOTALS	<u>12,015.</u>	<u>687.</u>	<u>11,328.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FHLM POOL #G08599 - 0.000% - 0	1,293.	1,283.
FHLM POOL #G08623 - 3.500% - 0	2,131.	2,115.
FHLMC G08667 - 0.035% - 09/01/	1,655.	1,618.
FNMA POOL #AE0949 POOL #AE0949	5,879.	5,819.
FNMA POOL #MA2405 - 4.000% - 0	2,555.	2,507.
U.S - 1.375% - 01/31/2021	14,141.	13,776.
US T BDS - 3.750% - 11/15/2043	13,266.	12,472.
US T NOTE - 2.000% - 11/30/202	14,278.	13,905.
US T NT - 1.375% - 03/31/2020	26,096.	25,864.
US TIPS - 0.750% - 02/15/2042	12,377.	12,152.
US TURY NOTE - 0.008% - 08/31/	57,941.	57,643.
US OBLIGATIONS TOTAL	<u>151,612.</u>	<u>149,154.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
3M CO	3,555.	3,571.
AAC TEC HOLDINGS ADR	1,925.	2,442.
ABB LTD	18,590.	18,668.
ABBOTT LABS	12,644.	10,755.
ABM INDS INC	445.	572.
ACCENTURE PLC	8,719.	9,019.
ACI WORLDWIDE INC	1,831.	1,398.
ADOBE SYSTEMS, INC	6,427.	8,339.
ADVANCED ENERGY IND INC	1,289.	1,971.
AECOM TECHNOLOGY CORPORATION	928.	1,200.
AEGION CORPORATION	513.	664.
AES CORP	4,245.	4,671.
AFFILIATED MANAGERS GROUP	1,617.	1,453.
AIA GROUP LTD ADR	20,283.	21,009.
AIR LEASE CORP	2,493.	3,021.
AKBANK TURK ANONIM SIRKETI ADR	2,813.	2,106.
AKORN INC	5,701.	3,951.
AKZO NOBEL NV-ADR	19,925.	19,769.
ALFA LAVAL AB	11,116.	11,887.
ALIGN TECHNOLOGY, INC	6,644.	8,652.
ALLERGAN PLC	6,090.	5,040.
ALLIANZ SE ADR	14,735.	16,101.
ALLSTATE CORP	14,666.	16,158.
ALLY FINANCIAL INC	3,880.	4,051.
ALPHABET INC CL A	16,616.	20,604.
ALPHABET INC CL C	10,796.	10,805.
AMBEV SA	5,173.	3,854.
AMDOCS LIMITED	1,446.	1,456.
AMERICA MOVIL SA	3,286.	2,665.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
AMERICAN CAPITAL AGENCY CORP	473.	471.
AMERICAN EAGLES OUTFITTERS INC	1,252.	1,229.
AMERICAN HOMES 4 RENT	958.	1,469.
ANTHEM INC	1,995.	2,300.
ANWORTH MORTGAGE ASSET CORP	488.	543.
APPLE INC	13,131.	14,825.
AQR MANAGED FUTURES FUND CL I	173,841.	155,615.
ARCELORMITTAL	4,033.	5,015.
ARCHER DANIELS MDLND	11,425.	11,960.
ARES CAPITAL CORPORATION - CLO	931.	1,088.
ARES COMMERCIAL REAL ESTATE CO	865.	1,249.
ARISTA NETWORKS	2,431.	2,903.
ARROW ELECTRONICS INC	2,321.	2,923.
ARYZTA AG - UNSPON ADR	6,663.	6,182.
ASPEN PHARMACARE HOLDINGS LTD	5,231.	4,865.
ASSURANT INC	422.	557.
ASSURED GUARANTY LTD	930.	1,511.
ASTRAZENECA	10,664.	10,737.
AT&T, INC	9,952.	12,249.
ATLAS COPCO AB A SHS ADR	8,302.	10,231.
AUTOLIV INC	2,166.	2,716.
AUTOMATIC DATA PROCESSING INC	9,247.	10,586.
AVERY DENNISON CORP	4,562.	4,143.
AVIVA PLC	15,304.	14,609.
AVNET INC	1,938.	2,238.
AXIS CAPITAL HOLDINGS LTD	1,441.	1,762.
BAIDU.COM - ADR	39,956.	37,650.
BALFOUR BEATTY PLC	4,400.	4,053.
BANC OF CALIFORNIA INC	1,163.	1,301.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BANCO BILBAO ARG SA	16,678.	15,747.
BANCO DO BRASIL S A SPONSORED	5,494.	4,992.
BANCO ITAU HOLDING FINANCIERA	8,060.	9,478.
BANCO MACRO BANSUD	2,508.	4,762.
BANK NEW YORK MELLON CORP COM	9,812.	11,940.
BANK OF AMERICA CORP	10,004.	15,581.
BANK OF THE OZARKS	1,752.	2,156.
BARCLAYS PLC ADR	9,121.	11,583.
BARD C R INC	4,648.	4,718.
BARRICK GOLD CORP COM	1,685.	2,653.
BASF AG SPONS ADR	9,693.	11,108.
BATS SERIES C PORTFOLIO	163,769.	161,218.
BATS SERIES M PORTFOLIO	151,024.	147,851.
BAYER A G SPONSORED ADR	23,254.	23,254.
BAYERISCHE MOTOREN WERKE AG AD	11,193.	10,791.
BB SEGURIDADE	3,594.	2,874.
BB&T CP	9,595.	12,178.
BBA AVIATION PLC ADR	2,812.	3,165.
BE AEROSPACE, INC	1,671.	1,806.
BECTON DICKINSON & CO	6,840.	6,622.
BED BATH & BEYOND INC	2,548.	2,316.
BELDEN INC	479.	673.
BERKSHIRE HATHAWAY INC. CLASS	11,808.	14,668.
BERRY PLASTICS GROUP INC	2,958.	3,996.
BEST BUY INC	725.	939.
BIDVEST GROUP LTD (ADR)	3,856.	2,888.
BIOGEN INC	5,201.	4,821.
BLACKROCK GLOBAL LONG/SHORT CR	176,629.	171,841.
BLACKSTONE MORTGAGE TRUST INC	503.	511.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BMC STOCK HOLDINGS	1,326.	1,658.
BNP PARIBAS SPONS ADR	7,556.	10,160.
BOOZ ALLEN HAMILTON HOLDING CO	486.	649.
BORG WARNER INC	3,820.	4,023.
BOSTON BEER CO INC	1,684.	1,529.
BRISTOW GROUP	711.	1,004.
BRITISH AMERICAN TOBACCO PLC	19,035.	18,365.
BROADRIDGE FINANCIAL	6,186.	6,497.
BROADSOFT INC	1,844.	1,980.
BROCADE COMMUNICATIONS SYST IN	2,038.	2,785.
BROOKS AUTOMATION, INC	489.	888.
BRUNSWICK CORP	476.	600.
BUNGE LTD	12,191.	12,714.
BURLINGTON STORES, INC	1,924.	2,797.
CA INC	11,314.	11,596.
CABOT CP	481.	556.
CAIXABANK	7,694.	8,865.
CALAMOS MARKET NEUTRAL FD CL I	167,447.	167,896.
CANADIAN NATL RAILWAY CO	10,954.	13,547.
CANADIAN NATURAL RESOURCES LTD	2,503.	3,889.
CAPITAL ONE FINANCIAL CORP	4,177.	5,234.
CARDINAL HEALTH INC	12,212.	10,508.
CARNIVAL CORP PLC	10,159.	11,876.
CARTER'S INC	2,592.	2,160.
CBRE GROUP INC	2,998.	3,590.
CBS CORP CL B	2,583.	2,990.
CDW CORP	1,438.	1,927.
CELGENE CORP	8,139.	8,913.
CENTENE CORP	2,355.	3,391.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CENTER COAST MLP FOCUS FD INST	354,793.	295,662.
CHARLES SCHWAB CORP	4,641.	6,710.
CHATHAM LODGING TRUST	9,970.	966.
CHECK POINT SOFTWARE TECHNOLOG	9,267.	10,135.
CHEMED CORP	1,928.	2,406.
CHENIERE ENERGY INC	1,757.	1,906.
CHEVRON CORP	19,804.	23,305.
CHINA CONSTRUCT UNSPON ADR	8,401.	8,738.
CHINA LODGING GROUP LTD	1,292.	1,763.
CHINA MOBILE HGK LTD	22,130.	19,661.
CHINA SHENHUA ADR	3,373.	2,468.
CHUBB LIMITED	3,983.	5,153.
CHURCH & DWIGHT	9,507.	8,396.
CIELO SA ADR	5,254.	4,535.
CIGNA	4,536.	4,402.
CINEMARK HOLDINGS INC	1,524.	1,458.
CISCO SYSTEMS INC	11,246.	12,118.
CITIGROUP INC	7,552.	10,460.
CLICKS GROUP LIMITED	3,096.	4,181.
CLUBCORP HOLDINGS INC	1,695.	1,751.
CNOOC LTD ADS	12,310.	14,255.
COCA-COLA EUROPEAN PARTNERS PL	2,940.	2,543.
COGNIZANT TECHNOLOGY SOLUTIONS	7,460.	6,948.
COHERENT INC CAL	1,134.	1,786.
COLONY FINANCIAL INC	981.	1,215.
COLUMBIA BANKING SYSTEM, INC	482.	760.
COMCAST CORP	2,543.	3,107.
COMML INTL BK S/ADR	2,692.	2,474.
COMMScope HOLDING COMPANY INC	1,432.	1,711.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COMPAGNIE FINANCIERE RICHEMONT	15,315.	15,350.
COMPUTER SCIENCES CORP	3,056.	5,467.
CONCHO RESOURCES INC	2,509.	2,652.
CONOCOPHILLIPS	13,986.	17,098.
CONVERGYS CP	466.	467.
CORE LABORATORIES	959.	1,200.
COSTCO WHOLESALE CORPORATION	3,991.	3,843.
CRH PLC - AMERICAN DEPOSITARY	2,157.	2,544.
CROWN HOLDINGS INC	469.	526.
CSL LTD	9,741.	9,757.
CSRA INC	2,038.	2,038.
CUBIC CP	480.	671.
CURTISS WRIGHT CP	798.	1,180.
CVS CAREMARK CORP	17,017.	13,415.
CYS INVESTMENTS INC	1,953.	1,940.
D R HORTON	1,153.	1,039.
DASSAULT SYS SA SPN ADR	20,647.	22,691.
DAVE AND BUSTERS ENTERTAINMENT	1,522.	2,196.
DAVITA HEALTHCARE PARTNERS INC	2,027.	2,054.
DBS GROUP HLDGS SPON ADR	10,623.	10,408.
DELTA AIR LINES INC	4,439.	5,067.
DEXCOM, INC	3,598.	2,388.
DIAGEO PLC ADS	8,543.	8,003.
DIAMONDBACK ENERGY INC	10,090.	13,037.
DISCOVER FINL SVCS COM	6,921.	9,588.
DOLBY LABORATORIES	1,777.	2,260.
DOLLAR GENERAL CORP	6,046.	5,629.
DOW CHEMICAL PV	5,624.	6,580.
DRIL QUIP INC	468.	540.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DU PONT DE NEMOURS	11,282.	12,038.
DUNKIN' BRANDS GROUP INC	2,657.	2,674.
E*TRADE FINANCIAL CORP	515.	624.
EAGLE MATERIALS INC	1,534.	1,576.
EAST JAPAN RAILWAY C	17,263.	17,569.
EAST WEST BANCORP, INC	1,436.	2,186.
EATON VANCE INCOME FD OF BOSTO	241,758.	229,348.
EBAY INC	4,806.	5,196.
ECOLAB INC	6,104.	5,861.
EDISON INTL	12,248.	11,590.
EMCOR GROUP INC	1,504.	1,840.
ENCANA CORP	4,637.	5,811.
ENERGEN CRP COM	2,090.	2,191.
ENERSYS	860.	1,406.
ENGIE	20,163.	15,963.
ENTEGRIS INC MINNESOTA	1,669.	2,882.
ENVISION HEALTHCARE CORP	1,053.	949.
EOG RESOURCES INC	2,284.	3,336.
EQT CORPORATION	3,267.	3,008.
ESSENT GROUP LTD	986.	1,651.
EXPRESS INC	980.	613.
EXPRESS SCRIPTS HOLDING CO	24,689.	20,293.
FACEBOOK INC	7,870.	10,700.
FANUC LIMITED UNSPONSORED	19,855.	19,997.
FCB FINANCIAL HOLDINGS INC	510.	620.
FEDERATED INVESTRS B	483.	537.
FEDEX CORPORATION	3,511.	3,724.
FERRO CORP	507.	502.
FERROGLOBE PLC	475.	704.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIDELITY NATIONAL FINANCIAL IN	915.	951.
FIFTH THIRD BANCORP	2,053.	3,668.
FINISH LINE, INC.	985.	997.
FIRST AMERICAN CORP	969.	952.
FIRST CITIZENS BANCSHARES INC	465.	710.
FIRST REPUBLIC BANK	2,452.	4,791.
FIRST SOLAR INC	492.	449.
FIRSTCASH INC	1,315.	1,457.
FLEXTRONICS INTERNATIONAL	4,101.	5,432.
FNF GROUP	488.	671.
FOOT LOCKER INC N.Y. COM	3,465.	4,750.
FORTINET INC	2,795.	2,259.
FRESENIUS MED CAR AG	12,983.	13,760.
FTD GROUP INC	564.	572.
FTI CONSULTING INC	471.	631.
FUCHS PETROLUB SE	7,189.	6,914.
G&K SERVICES, INC	397.	579.
GARTNER INC	7,709.	8,692.
GAZPROM PJSC SPON ADR EA REP 2	2,508.	1,965.
GEN DYNAMICS CP	4,606.	5,525.
GENPACT LIMITED	1,740.	1,558.
GILDAN ACTIVEWEAR INC	1,501.	1,370.
GILEAD SCIENCES INC	9,042.	7,304.
GLAXOSMITHKLINE PLC	10,061.	9,242.
GLOBAL PAYMENTS INC	2,578.	2,429.
GOLDMAN SACHS GROUP	5,094.	7,184.
GRANITE CONSTRUC INC	756.	990.
GRAPHIC PACKAGING CORP COM STK	1,402.	1,473.
GRIFOLS SA REP 1/2 CL B	5,415.	5,046.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GROUP 1 AUTOMOTIVE INC	478.	701.
GRUPO TELEVISA (ADR)	5,279.	4,115.
GUIDEWIRE SOFTWARE INC	1,151.	1,036.
GULFPORT ENERGY CORP	3,434.	2,640.
HALLIBURTON COMPANY	9,681.	12,170.
HANNI FINANCIAL CORP	524.	698.
HANOVER INS GROUP	497.	546.
HARDING, LOEVNER FUNDS, INC. E	155,512.	167,794.
HARRIS CORP DEL	2,899.	3,996.
HAWAIIAN HOLDINGS INC	2,295.	2,793.
HD SUPPLY HLDGS INC	2,083.	3,103.
HEALTHCARE SVCS GROUP INC	4,655.	4,700.
HEIDRICK&STRUGGLES INTL	574.	628.
HEWLETT PACKARD ENTERPRISE CO	3,653.	5,692.
HILLENBRAND, INC	482.	690.
HITACHI LTD	13,384.	16,308.
HONEYWELL INTL	2,191.	2,201.
HORIZON PHARMA PLC	1,707.	1,036.
HSBC HOLDINGS PLC	8,163.	7,795.
HUNTINGTON BANCSHARES INC	448.	687.
HUNTINGTON INGALLS INDUSTRIES	1,371.	1,842.
IAC/INTERACTIVE CORP	3,146.	3,628.
ICICI BK LTD ADS	6,127.	5,925.
ICON PLC - AMERICAN DEPOSITARY	3,219.	4,136.
IDEXX CORP	2,134.	3,284.
IMAX CORPORATION	1,631.	1,507.
IMPERIAL HOLDINGS LTD (IPL) AD	4,386.	3,094.
INFINITY PROPERTY AND CASUALTY	489.	527.
ING GROUP N V SPONSORED ADR	3,982.	5,048.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INSIGHT ENTERPRISES, INC	490.	768.
INTEGRA LIFESCIENCES HOLDINGS	401.	601.
INTEL CORP	11,680.	13,021.
INTERACTIVE BROKERS GROUP, INC	11,708.	1,059.
INTERPUBLIC GROUP OF COS	3,212.	3,231.
INVESTORS BANCORP, INC	966.	1,186.
IONIS PHARMACEUTICALS INC	2,127.	2,631.
ISHARES BARCLAYS TIPS BOND FUN	168,908.	169,302.
ISHARES TRUST RUSSELL 1000 GRO	457,011.	458,307.
ISHARES TRUST RUSSELL 1000 VAL	161,219.	172,302.
JACOBS ENGINEERING GP	1,197.	1,482.
JAPAN AIRLINES	14,493.	12,994.
JGC CORP ADR	8,711.	7,817.
JOHNSON & JOHNSON	24,237.	28,687.
JONES LANG LASALLE	988.	1,010.
JP MORGAN CHASE	13,655.	20,106.
KAPSTONE PAPER AND PACKAGING C	1,922.	2,381.
KAR AUCTION SERVICES INC	1,450.	1,790.
KASIKORNBANK PUB CO LTD ADR CM	3,005.	2,502.
KDDI CP UNSP ADR	17,836.	16,711.
KIMBERLY CLARK	4,528.	2,724.
KIMBERLY CLARK CORP	4,020.	3,538.
KLA TENCOR CORP	2,059.	2,282.
KOC HOLDINGS AS UNSP/ADR	4,145.	3,204.
KOMATSU LTD	8,131.	9,503.
KONINKLIJKE PHILIPS ELECTRONIC	3,944.	4,158.
KOOKMIN BANK	4,645.	5,082.
KOSMOS ENERGY LTD	481.	757.
KRAFT HEINZ CO	11,160.	12,749.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
KUBOTA CORPORATION	6,326.	6,186.
L'AIR LIQUIDE ADR OTC	14,487.	14,100.
L'OREAL ADR	15,534.	15,875.
LABORATORY CORP AMER HLDGS	7,627.	7,318.
LASALLE HOTEL PROP	954.	1,188.
LCI INDUSTRIES INC	2,046.	3,664.
LEIDOS HOLDINGS INC	1,393.	1,534.
LEUCADIA NATL CORP	968.	1,511.
LIBERTY GLOBAL LILAC - CLASS K	2,196.	1,249.
LIBERTY GLOBAL PLC - SERIES C	1,957.	1,544.
LIFE HEALTHCARE GR UNSPON ADR	1,953.	1,749.
LIFEPOINT HOSPITALS, INC	961.	852.
LINDE AG SPONSORED ADR	14,096.	16,272.
LIONS GATE ENTERTAINMENT CORP	604.	564.
LITHIA MOTORS INC CL A	1,383.	1,549.
LIVE NATION INC COM	493.	505.
LLOYDS BANKING GROUP PLC	11,147.	9,787.
LOCALIZA RENT A CAR SA	3,389.	3,690.
LOWES COMPANIES INC	12,369.	10,881.
LVMH MOET HENN UNSP	6,317.	7,029.
LYONDELLBASELL INDUSTRIES NV	1,495.	1,544.
MADDEN STEVEN LTD	1,437.	1,502.
MAIDEN HOLDINGS LTD	2,487.	3,507.
MANHATTAN ASSOCIATES INC	852.	1,485.
MANPOWER GROUP INC	602.	622.
MANULIFE FIN CORP	3,337.	4,437.
MARATHON OIL CORP COM	12,557.	14,956.
MARATHON PETROLEUM CORP	4,426.	5,539.
MARKETAXESS HOLDINGS, INC	790.	1,910.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MARSH AND MCLENNAN COMPANIES I	12,337.	12,572.
MASTERCARD INC	8,238.	8,880.
MATTHEWS JAPAN FUND	214,914.	216,873.
MAXIMUS INC	473.	558.
MEDIDATA SOLUTIONS, INC	2,050.	2,136.
MERCADOLIBRE, INC	3,436.	4,840.
MERCK & CO INC	19,660.	20,958.
METHANEX CORPORATION	1,734.	2,190.
METLIFE INC	3,569.	4,742.
MFA MTG INVTs INC	1,464.	1,671.
MICHAELS STORES INC	2,858.	2,290.
MICROSOFT CORP	3,885.	5,095.
MIDDLEBY CORPORATION	3,152.	3,735.
MINERAL TECH INC	1,423.	2,240.
MITSUBISHI ESTATE CO ADR	7,712.	6,417.
MOBILE TELSYS OJSC	6,915.	4,008.
MOMENTA PHARMACEUTICALS, INC	1,583.	1,535.
MONDELEZ INTERNATIONAL INC	12,194.	12,767.
MONOTARO CO., LTD	4,763.	4,921.
MTGE INVESTMENT CORP REIT	488.	565.
MULTI PACKAGING SOLUTIONS INTL	1,047.	984.
NASPERS LTD N SPONS ADR	11,839.	13,275.
NATIONSTAR MORTGAGE HOLDINGS I	884.	1,535.
NAVIENT CORPORATION	5,463.	7,246.
NAVIGANT CONSULTING INC	657.	1,152.
NEDBANK GROUP LTD S/ADR	3,299.	3,440.
NELNET INC	1,570.	2,385.
NESTLE S.A	28,857.	28,409.
NETEASE.COM INC COM STK	3,238.	6,891.

THE MORRIS & SYLVIA TRACHTEN FAMILY

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NEWFIELD EXPLORATION CO	2,156.	2,106.
NIKE INC-CL B	10,140.	10,014.
NIKON CORP ADR	6,243.	6,173.
NORDSON CP	2,518.	3,922.
NORDSTROM INC	2,502.	2,253.
NORTHROP GRUMMAN CORP	11,345.	12,094.
NOVARTIS AG ADR	18,952.	17,700.
NU SKIN ENTERPRISES CL A	1,042.	1,433.
NUCOR CP	2,493.	2,916.
O'REILLY AUTOMOTIVE INC	7,214.	6,960.
OCCIDENTAL PETROLEUM CORP	12,873.	12,608.
OFFICE DEPOT INC	519.	633.
OIL CO LUKOIL PJSC SPON ADR RE	5,748.	5,388.
OLD DOMINION FREIGHT LINES	2,599.	3,260.
OLIN CP	959.	1,665.
ON ASSIGNMENT, INC	484.	618.
ON SEMICONDUCTOR	1,842.	2,858.
OPHTHOTECH CORP	2,713.	237.
ORACLE CORP	13,896.	13,611.
OWENS AND MINOR INC	540.	494.
OWENS CORNING	1,529.	1,495.
PACKAGING CORP AMER	412.	594.
PAREXEL INTERNATIONAL CORPORAT	1,466.	1,512.
PARK 24 CO LTD	7,473.	6,898.
PARSLEY ENERGY INCORPORATED CL	1,800.	3,594.
PAYPAL HOLDINGS, INC	3,285.	3,868.
PEPSICO INC	7,133.	6,906.
PFIZER INC	18,835.	18,936.
PHARMERICA CORP	514.	578.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PHILIPPINE LONG DISTANCE ADR	5,067.	2,314.
PHILLIPS 66	5,865.	6,481.
PNM RESOURCES INC	457.	480.
PORTFOLIO RECOVERY ASSOCIATES,	499.	782.
POWER INTEGRATIONS, INC	1,766.	2,239.
PPC LIMITED	2,477.	447.
PPG INDUSTRIES INC	1,574.	1,611.
PRESTIGE BRAND HLGS	1,730.	1,615.
PRICELINE.COM INCORPORATED	7,538.	8,796.
PROTO LABS INC	1,656.	1,284.
PRUDENTIAL PLC SC	14,334.	16,672.
PT ASTRA INTL ADR	4,376.	4,807.
PT BK MANDIR PRS UNSP/ADR	4,088.	4,645.
PT TELEKOM. IND. TBK ADR	4,236.	5,249.
PULTE GROUP INC	2,876.	2,922.
QEP RESOURCES INC	1,343.	1,638.
QUEST DIAGNOSTICS	10,620.	13,234.
RADIAN GROUP INC	966.	1,600.
RADIUS HEALTH INC	1,426.	1,255.
RAYMOND JAMES FINANCIAL INC	391.	623.
RAYTHEON CO	15,439.	16,046.
REALOGY HOLDINGS CORP	546.	463.
REGENERON PHARMACEUTICALS INC	9,471.	8,443.
REINSURANCE GROUP AMER INC	1,349.	1,887.
RELX NV	5,544.	5,296.
RICE ENERGY INC	966.	1,559.
ROCHE HOLDING LTD ADR	40,632.	36,518.
ROYAL DUTCH SHEL PLC SPONS ADR	36,488.	42,550.
RPX CORP	975.	1,069.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
RSP PERMIAN INC	1,603.	2,365.
SABRE CORPORATION	7,971.	7,111.
SANLAM LTD SPONSORED ADR (SOUT	2,758.	2,553.
SANOFI-AVENTIS SPONSORED ADR	15,761.	14,761.
SAP AKTIENGESSELL ADS	28,794.	31,288.
SASOL LTD	6,563.	5,461.
SBERBANK SPONSORED ADR	3,412.	7,770.
SCHLUMBERGER LTD	14,535.	14,775.
SCHNEIDER ELEC UNSP/ADR	15,538.	17,373.
SCHOLASTIC CORPORATION	490.	617.
SCHWEITZER MAUDIT	1,235.	1,685.
SCRIPPS NETWORKS INT	965.	1,142.
SEALED AIR CORP	2,232.	2,040.
SELECT MEDICAL	484.	755.
SEMEN INDONESIA USNP ADR EACH	2,425.	1,856.
SERVICE CP INTL	486.	596.
SHINHAN FINL GROUP CO LTD	4,004.	4,291.
SHIRE PLC	7,677.	7,497.
SHOPRITE HOLDINGS LTD	3,011.	2,439.
SK TELECOM ADS ADS	15,375.	13,919.
SKECHERS U S A INC CL A	1,724.	1,991.
SLM CORP	491.	893.
SONOVA HOLDING AG AD	6,049.	5,979.
SPLUNK INC	2,662.	2,251.
SSE PLC SPON ADR	10,352.	8,787.
STANDARD BANK GROUP SPON ADR	2,480.	3,768.
STARBUCKS CORP COM	16,926.	16,712.
STARWOOD PROPERTY TRUST INC	1,461.	1,537.
STEEL DYNAMICS INC	3,210.	4,946.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STIFEL FINANCIAL CP	1,390.	1,998.
SUMITOMO MITSUI FINCL GRP	8,175.	10,123.
SUPERIOR ENERGY SV	1,554.	1,452.
SUPERNUS PHARMACEUTICALS INC	1,151.	1,843.
SVB FINANCIAL GROUP	1,246.	2,403.
SYKES ENTERPRISES, INCORPORATE	960.	924.
SYMRISE AG UNSPONSORED	7,213.	7,135.
SYNCHRONY FINANCIAL	2,947.	3,301.
SYNNEX CORP	1,412.	1,815.
SYNOPSYS, INC	3,596.	3,885.
SYSTEMX CORP UNSP ASDR	9,610.	10,904.
TABLEAU SOFTWARE INC	3,489.	2,023.
TAILORED BRANDS INC	1,524.	2,606.
TAIWAN SEMICONDUCTOR MFG CO LT	22,305.	27,514.
TE CONNECTIVITY LTD	5,260.	6,027.
TEGNA	983.	856.
TELETECH HOLDINGS, INC	1,919.	2,105.
TEMPUR PEDIC INTERNATIONAL INC	520.	683.
TENARIS SA-ADR	5,803.	7,678.
TERADATA CORPORATION	1,563.	1,331.
TERADYNE INC	970.	1,321.
TESORO CORP	2,010.	2,011.
TETRA TECH INC	963.	1,510.
TEXAS INSTRUMENTS INC	4,431.	5,473.
TEXTRON INC	1,727.	2,040.
THE JM SMUCKER COMPANY	4,430.	3,714.
TIME WARNER INC	6,350.	8,109.
TJX COMPANIES INC	8,880.	8,565.
TORCHMARK CORP	932.	1,328.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
TOTAL FINA ELF S.A	14,352.	16,463.
TOTAL SYSTEMS SERVICES INC	1,872.	3,089.
TRIMBLE NAV LTD	2,078.	2,201.
TURK TELEKOMUNIKAS	1,025.	786.
TURKCELL ILETISIM HIZMETLERI A	2,497.	1,835.
TURKIYE GARANTI BANK	5,750.	4,939.
TUTOR PERINI CORPORATION	225.	504.
TWO HARBORS INVESTMENT CORP	1,466.	1,666.
UBS AG	5,313.	4,905.
UNILEVER PLC AMER	9,564.	9,117.
UNITED CONTINENTAL HOLDINGS IN	2,634.	3,207.
UNITED PARCEL SERVICE	2,551.	2,751.
UNITED RENTALS INC	2,542.	3,484.
UNITED TECHNOLOGIES CORP	5,339.	5,919.
UNITEDHEALTH GROUP INC	3,540.	4,321.
UNIVL CORP	991.	1,148.
VALIDUS HLDS LTD	1,431.	1,760.
VEEVA SYSTEMS INC	997.	1,465.
VERIZON COMMUNICATIONS	14,153.	15,320.
VISA INC	12,054.	12,795.
VODACOM GROUP LIMITE	2,577.	2,469.
VODAFONE GROUP PLC	11,007.	8,795.
VOLKSWAGEN AG SPONS ADR	24,881.	25,046.
WABCO HOLDINGS INC	964.	955.
WABTEC	3,250.	2,740.
WALGREENS BOOTS ALLIANCE	7,010.	6,869.
WALKER & DUNLOP INC	1,788.	2,434.
WALT DISNEY HOLDINGS CO	5,121.	5,628.
WASTE MANAGEMENT INC	12,300.	13,260.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WEICHAI POWER CO LTD	4,383.	4,904.
WESCO INTL INC	1,977.	2,995.
WESTERN REFINING INC	1,059.	1,590.
WESTROCK COMPANY	2,949.	3,909.
WILDHORSE RESOURCE DEVELOPMENT	1,014.	993.
WILLIAMS SONOMA INC	3,216.	2,565.
WOOLWORTHS HOLDINGS LTD	3,754.	2,755.
WORLD FUEL SVCS CP	2,464.	2,433.
WPP PLC NEW/ADR	18,197.	17,927.
WYNDHAM WORLDWIDE	2,401.	2,673.
XEROX CP	12,294.	10,913.
XYLEM INC	1,735.	2,030.
YPF SOCIEDAD ANONIMA	4,741.	4,158.
ZOETIS INC	5,658.	5,888.
ZURICH INS GROU ADR	11,235.	12,903.
TOTALS	<u>5,219,290.</u>	<u>5,314,115.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ANHEUSER-BUSCH - 3.700% - 02/0	13,680.	13,438.
APPLE INC - 1.550% - 08/04/202	29,006.	28,038.
GOLDMAN SACHS GROUP INC - 4.00	26,995.	26,973.
KREDITANSTALT FUR WIEDERAUFBAU	18,766.	18,701.
ORACLE CORP - 3.400% - 07/08/2	30,151.	29,549.
STATE STREET - 2.550% - 08/18/	26,470.	26,262.
U S BANCORP - 3.600% - 09/11/2	19,893.	19,362.
WELLS FARGO - 3.000% - 02/19/2	18,766.	18,252.
TOTALS	<u>183,727.</u>	<u>180,575.</u>

ATTACHMENT 8FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNTTO ADJ BOOK VALUE OF SECURITIES TO
CORRECT VALUES

40.

TOTAL

40.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,529,390.		PUBLICLY-TRADED SECURITIES 2,409,293.					120,097.	
TOTAL GAIN (LOSS)							<u>120,097.</u>	

The Morris & Sylvia Trachten Family Foundation

EIN: 22-2518063

Taxable Year Ending December 31, 2016

Part VII-A, Line 3 (990-PF) - Changes Not Yet Reported

**UNANIMOUS CONSENT IN LIEU OF A SPECIAL MEETING
OF THE BOARD OF TRUSTEES OF
THE MORRIS AND SYLVIA TRACHTEN FAMILY FOUNDATION, INC.**

(pursuant to the provisions of Connecticut Gen. Stat Sec. 33-749)

The undersigned, being all of the members of the Board of Trustees of The Morris and Sylvia Trachten Family Foundation, Inc., a Connecticut corporation, in lieu of holding an annual or special meeting of the Board of Trustees of the corporation, hereby consent to the adoption of the following resolutions, agreeing that said resolutions shall have the same force and effect as if unanimously adopted at a duly noticed and convened meeting of the Board of Trustees at which all trustees were present:

RESOLVED: that Article VI of the By-Laws shall be amended to read as follows:

Section 1. General. The Corporation shall be on a calendar year to be effective for the 2017 calendar year.

FURTHER RESOLVED: that the following persons were unanimously re-elected to serve as members of the Board of Trustees:

David Trachten
Vicki Trachten Schwartz
Gary Trachten
Roberta Zeve

FURTHER RESOLVED: that the the following persons were unanimously elected as officers of the Corporation:

President – Vicki Trachten Schwartz
Chairman of Board of Trustees – David Trachten
Secretary – Gary Trachten
Treasurer – Roberta Zeve

FURTHER RESOLVED: that all previous actions of the members of the Board of Trustees and the Officers of the Corporation are hereby ratified and confirmed.

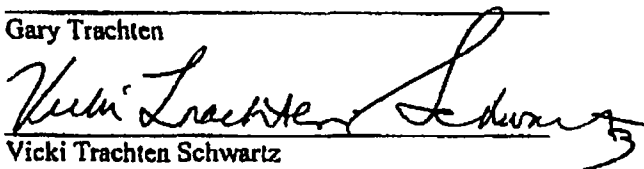
The Secretary shall file this Consent in the Minute Book of the Corporation.

Dated: December 15, 2016

Being all the Members of the Board of Trustees

David Trachten

Gary Trachten



Vicki Trachten Schwartz

Roberta Zeve

FURTHER RESOLVED: that all previous actions of the members of the Board of Trustees and the Officers of the Corporation are hereby ratified and confirmed.

The Secretary shall file this Consent in the Minute Book of the Corporation.

Dated: December 15, 2016

Being all the Members of the Board of Trustees



David Trachten

Gary Trachten

Vicki Trachten Schwartz

Roberta Zeve

FURTHER RESOLVED: that all previous actions of the members of the Board of Trustees and the Officers of the Corporation are hereby ratified and confirmed.

The Secretary shall file this Consent in the Minute Book of the Corporation.

Dated: December 15, 2016

Being all the Members of the Board of Trustees

David Trachten



Gary Trachten

Vicki Trachten Schwartz

Roberta Zeve

FURTHER RESOLVED: that all previous actions of the members of the Board of Trustees and the Officers of the Corporation are hereby ratified and confirmed.

The Secretary shall file this Consent in the Minute Book of the Corporation.

Dated: December 15, 2016

Being all the Members of the Board of Trustees

David Trachten

Gary Trachten

Vicki Trachten Schwartz

Roberta Zeve

Roberta Zeve

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DAVID TRACHTEN 4950 S YOSEMITE ST F2 - 380 GREENWOOD VILLAGE, CO 80111-1349	CHAIRMAN OF THE BOARD / TRUSTEE 1.00	0.	0.	0.
GARY TRACHTEN 4950 S YOSEMITE ST F2 - 380 GREENWOOD VILLAGE, CO 80111-1349	SEC / TRUSTEE 1.00	0.	0.	0.
VICKI TRACHTEN-SCHWARTZ 4950 S YOSEMITE ST F2 - 380 GREENWOOD VILLAGE, CO 80111-1349 *REMOVED FROM POSITION IN 12/2016.	PRES / TREAS* / TRUSTEE 5.00	0.	0.	0.
ROBERTA TRACHTEN-ZEVE 4950 S YOSEMITE ST F2 - 380 GREENWOOD VILLAGE, CO 80111-1349 *REMOVED FROM POSITION IN 12/2016.	VP* / TREAS / TRUSTEE 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 10FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

VICKI TRACHTEN SCHWARTZ
4950 S YOSEMITE ST. F2-380
GREENWOOD VILLAGE, CO 80111
303-488-2057

ATTACHMENT 11990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

THE FOUNDATION ACCEPTS UNSOLICITED REQUESTS ON AN EMERGENCY BASIS ONLY
AND UP TO \$20,000 PER YEAR.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AMERICAN COMMITTEE FOR SHIAARE ZEDEK 55 W 39TH ST 4TH FL NEW YORK, NY 10018	N/A PC		GENERAL & UNRESTRICTED	180
AMERICAN FRIENDS OF MAGEN DAVID ADOM 352 7TH AVE STE 400 NEW YORK, NY 10001	N/A PC		GENERAL & UNRESTRICTED	500
AMERICAN JEWISH JOINT DISTRIBUTION COMMITTEE INC 711 3RD AVE NEW YORK, NY 10017	N/A PC		GENERAL & UNRESTRICTED	360.
AMERICAN JEWISH WORLD SERVICE INC 45 W 36TH ST, 11TH FL NEW YORK, NY 10018	N/A PC		GENERAL & UNRESTRICTED	180
AMERICAN NATIONAL RED CROSS 209 FARMINGTON AVE FARMINGTON, CT 06032	N/A PC		NATIONAL DISASTER RELIEF FUND	2,500
AMIT CHILDREN INC 817 BROADWAY NEW YORK, NY 10003	N/A PC		EDUCATIONAL PROGRAMMING FUND	24,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONTR'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
ANTI-DEFAMATION LEAGUE OF BNAI BRITH - CONNECTICUT 1952 WHITNEY AVE, 3RD FL HAMDEN, CT 06517	N/A PC		GENERAL & UNRESTRICTED	1,000
CHABAD CENTER AT UNIVERSITY OF COLORADO INC 909 14TH ST STE 104 BOULDER, CO 80302	N/A PC		BASKETBALL AND BARBECUE AREA PROJECT	5,000
CHILDRENS HOSPITAL COLORADO FOUNDATION 13123 E 16TH AVE AURORA, CO 80045	N/A PC		FOR THE EATING DISORDER CLINIC AND MEDICAL SCHOLARSHIP FUND FOR CHILDREN WHO MUST BE ADMITTED	1,800
DANIEL PEARL FOUNDATION 16161 VENTURA BLVD STE C ENCINO, CA 91436	N/A PC		GENERAL & UNRESTRICTED	180
DELRAY ORTHODOX SYNAGOGUE INC 7319 W ATLANTIC AVE DELRAY BEACH, FL 33446	N/A PC		SISTERHOOD AND SYNAGOGUE PROGRAMS	1,500
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE 2ND FL NEW YORK, NY 10001	N/A PC		GENERAL & UNRESTRICTED	3,600

FORM 990PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FATHER FLANAGANS BOYS HOME 14100 CRAWFORD ST BOYS TOWN, NE 68010	N/A PC	GENERAL & UNRESTRICTED	100
FISHER HOUSE FOUNDATION INC 111 ROCKVILLE PIKE, STE 420 ROCKVILLE, MD 20850	N/A PC	GENERAL & UNRESTRICTED	2,000
FOOTSTEPS INC 114 JOHN ST UNIT 930 NEW YORK, NY 10272	N/A PC	GENERAL & UNRESTRICTED	1,000
FRIENDS OF YAD SARAH INC 445 PARK AVE, STE 1702 NEW YORK, NY 10022	N/A PC	GENERAL & UNRESTRICTED	6,000
HADASSAH THE WOMENS ZIONIST ORGANIZATION OF AMERIC 40 WALL ST 8TH FL NEW YORK, NY 10005	N/A PC	PARKINSON'S AND EPILEPSY RESEARCH GIFT FUNDS	1,800
HEBREW BURIAL & FREE LOAN ASSOCIATION OF NEW HAVEN PO BOX 3783 WOODBIDGE, CT 06525	N/A PC	GENERAL & UNRESTRICTED	118

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
HIAS INC 1300 SPRING ST STE 500 SILVER SPRING, MD 20910	N/A PC		GENERAL & UNRESTRICTED	1,800
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE PO BOX 8 PURCHASE, NY 10577	N/A PC		SHABBAT DINNERS FUND	750
HILLELS OF GEORGIA INC 735 GATEWOOD RD NE ATLANTA, GA 30322	N/A PC		GENERAL & UNRESTRICTED	20
HOLOCAUST AND HUMAN RIGHTS EDUCATION CENTER 4 W RED OAK LN STE 330 WHITE PLAINS, NY 10604	N/A PC		GENERAL & UNRESTRICTED	1,000
INTERNATIONAL RESCUE COMMITTEE INC 122 E 42ND ST NEW YORK, NY 10168	N/A PC		GENERAL & UNRESTRICTED	500
ISRAEL PROJECT INC 1901 PENNSYLVANIA AVE NW STE 600 WASHINGTON, DC 20006	N/A PC		GENERAL & UNRESTRICTED	180

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JAFPC CHILDRENS FOUNDATION INC 4200 N UNIVERSITY DR SUNRISE, FL 33351	N/A	PC		GENERAL & UNRESTRICTED	118
JEWISH AGENCY FOR ISRAEL-NORTH AMERICAN COUNCIL 633 3RD AVE 32ND FL STE C NEW YORK, NY 10017	N/A	PC		NITZANA SYNAGOGUE STAINED GLASS WINDOW PROJECT	1,000
JEWISH FEDERATION AND FAMILY SERVICES OF ORANGE CO 1 FEDERATION WAY STE 210 IRVINE, CA 92603	N/A	PC		GENERAL & UNRESTRICTED	5,000
JEWISH FEDERATION AND FAMILY SERVICES OF ORANGE CO 1 FEDERATION WAY STE 210 IRVINE, CA 92603	N/A	PC		CRITICAL DENTAL WORK FOR FEMALE HOLOCAUST SURVIVOR	1,500
JEWISH FEDERATION AND FAMILY SERVICES OF ORANGE CO 1 FEDERATION WAY STE 210 IRVINE, CA 92603	N/A	PC		CRITICAL DENTAL WORK FOR AN INDIGENT HOLOCAUST SURVIVOR	1,500
JEWISH FEDERATION AND FAMILY SERVICES OF ORANGE CO 1 FEDERATION WAY STE 210 IRVINE, CA 92603	N/A	PC		EYEGLASSES FOR 3 HOLOCAUST SURVIVORS	750

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JEWISH FEDERATION AND FAMILY SERVICES OF ORANGE CO 1 FEDERATION WAY STE 210 IRVINE, CA 92603	N/A PC	HEARING AIDS FOR HOLOCAUST SURVIVOR	1,800
JEWISH FOUNDATION OF GREATER NEW HAVEN INC 360 AMITY RD WOODBIDGE, CT 06525	N/A SO I	STACEY & DAVID TRACHTEN PACE FUND	5,000
JEWISH HISTORICAL SOCIETY OF GREATER NEW HAVEN INC 270 FITCH ST NEW HAVEN, CT 06515	N/A PC	GENERAL & UNRESTRICTED	54
JEWISH NATIONAL FUND -KEREN KAYEMETH LEISRAEL- INC 78 RANDALL AVE ROCKVILLE CTR, NY 11570	N/A PC	GENERAL & UNRESTRICTED	1,000
JEWISH NATIONAL FUND -KEREN KAYEMETH LEISRAEL- INC 78 RANDALL AVE ROCKVILLE CTR, NY 11570	N/A PC	FIRE RECOVERY INITIATIVE - REBUILD REPLANT RESTORE	3,000
JEWISH NATIONAL FUND -KEREN KAYEMETH LEISRAEL- INC 78 RANDALL AVE ROCKVILLE CTR, NY 11570	N/A PC	EARTH'S PROMISE FUND	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JEWISH NATIONAL FUND - KEREN KAYEMETH LEISRAEL- INC 78 RANDALL AVE ROCKVILLE CTR, NY 11570	N/A	PC		GEERZ FUND	1,000
JEWISH NATIONAL FUND-KEREN KAYEMETH LEISRAEL INC 42 E 69TH ST NEW YORK, NY 10021	N/A	PC		GENERAL & UNRESTRICTED	1,000
KESHET OF THE ROCKIES 300 S DAHLIA ST STE 202 DENVER, CO 80246	N/A	PC		EMERGENCY SCHOLARSHIP FUND FOR CHILDREN WITH SPECIAL NEEDS TO ATTEND JEWISH SCHOOLS, ATTENDANT FUND, AND SPECIAL LEARNING PROGRAM	1,800
MAKE-A-WISH FOUNDATION OF AMERICA 4742 N 24TH ST STE 400 PHOENIX, AZ 85016	N/A	PC		GENERAL & UNRESTRICTED	100
NATIONAL YIDDISH BOOK CENTER INC 1021 W ST AMHERST, MA 01002	N/A	PC		GENERAL & UNRESTRICTED	54
NORTH AMERICAN CONFERENCE ON ETHIOPIAN JEWRY INC 255 W 36TH ST RM 701 NEW YORK, NY 10018	N/A	PC		LIMUDIAH INTENSIVE AFTER-SCHOOL EDUCATION PROGRAMS	24,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NORTH AMERICAN CONFERENCE ON ETHIOPIAN JEWRY INC 255 W 36TH ST RM 701 NEW YORK, NY 10018		N/A	PC	SEMINAR KIBBUTZIM SCHOLARSHIP FUND FOR 4 COLLEGE STUDENTS	10,000
ORT AMERICA INC 75 MAIDEN LN FL 10 NEW YORK, NY 10038		N/A	PC	GENERAL & UNRESTRICTED	180
SAVE THE CHILDREN FEDERATION INC 501 KINGS HWY E STE 400 FAIRFIELD, CT 06825		N/A	PC	BERNADETA INDONESIA PROJECT	1,000
SPECIAL OLYMPICS CONNECTICUT INC 2666 STATE ST STE 1 HAMDEN, CT 06517		N/A	PC	GENERAL & UNRESTRICTED	360
ST JOSEPH'S INDIAN SCHOOL 1301 N MAIN ST BOX 89 CHAMBERTAIN, SD 57325		N/A	PC	GENERAL & UNRESTRICTED	100
THE JEWISH FEDERATION OF GREATER NEW HAVEN INC 360 AMITY RD WOODBIDGE, CT 06525		N/A	PC	GENERAL & UNRESTRICTED	50,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNION OF ORTHODOX JEWISH CONGREGATIONS OF AMERICA 11 BROADWAY 14TH FL NEW YORK, NY 10004	N/A PC	BOB SCHWELL FUND	100
UNITED SERVICE ORGANIZATIONS INC P O BOX 96860 WASHINGTON, DC 20077	N/A PC	GENERAL & UNRESTRICTED	100
WESTCHESTER JEWISH COUNCIL INC 701 WESTCHESTER AVE, STE 203E WHITE PLAINS, NY 10604	N/A PC	CHARITABLE EVENT	750
WESTVILLE SYNAGOGUE 74 W PROSPECT ST NEW HAVEN, CT 06515	N/A PC	CHARITABLE EVENT	2,000
YALE NEW HAVEN HOSPITAL - AUXILIARY TOY CLOSET 20 YORK ST WP 127 NEW HAVEN, CT 06510	N/A PC	TOY CLOSET PROGRAM AND GENERAL OPERATING FUND	300
ZIONIST ORGANIZATION OF AMERICA 4 E 34TH ST NEW YORK, NY 10016	N/A PC	GENERAL & UNRESTRICTED	360
TOTAL CONTRIBUTIONS PAID			<u>170,994</u>