See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter social security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

HAVEN CHARITABLE FOUNDATION INC
7726 E LA JUNTA RD
SCOTTSDALE, AZ 85255

A Employer identification number: 20-8090568

B Telephone number (see instructions): 480-753-0084

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 921,881.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc, received (attach schedule)				
2	Check ► ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	19.	19.	19.	
4	Dividends and interest from securities	13,205.	13,205.	13,205.	
5a	Gross rents	15,552.			
b	Net rental income or (loss) 15,552.				
6a	Net gain or (loss) from sale of assets not on line 10	-8,898.			
b	Gross sales price for all assets on line 6a 307,170.				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain			70.	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) SEE STATEMENT 1	24,862.	2,207.	2,207.	
12	**Total.** Add lines 1 through 11	44,740.	15,431.	15,501.	
13	Compensation of officers, directors, trustees, etc	95,290.	4,764.	4,764.	
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach sch)				
c	Other professional fees (attach sch)				
17	Interest	86.			
18	Taxes (attach schedule)(see instrs) SEE STM 2	24,602.			
19	Depreciation (attach schedule) and depletion	1,761.			
20	Occupancy				
21	Travel, conferences, and meetings	5,512.			
22	Printing and publications				
23	Other expenses (attach schedule) SEE STATEMENT 3	30,720.	13,401.	13,401.	
24	**Total operating and administrative expenses.** Add lines 13 through 23	157,971.	18,165.	18,165.	
25	Contributions, gifts, grants paid PART XV	194,282.			194,282.
26	**Total expenses and disbursements.** Add lines 24 and 25	352,253.	18,165.	18,165.	194,282.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	-307,513.			
b	**Net investment income** (if negative, enter -0-)		0.		
c	**Adjusted net income** (if negative, enter -0-)			0.	

RECEIVED
OCT 17 2017
OGDEN, UT
B003 IRS-OSC

SCANNED OCT 18 2017

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing	212,246.	79,571.	79,572.
	2	Savings and temporary cash investments	7,953.	52,838.	52,838.
	3	Accounts receivable ►			
		Less· allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		22,000.	22,000.
	7	Other notes and loans receivable (attach sch) ►			
		Less· allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S. and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)	178,102.	116,440.	122,969.
	c	Investments — corporate bonds (attach schedule)	14,266.	140,713.	132,924.
	11	Investments — land, buildings, and equipment basis ►			
		Less· accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)	785,854.	489,923.	500,313.
	14	Land, buildings, and equipment· basis ► 19,893.			
		Less accumulated depreciation (attach schedule) SEE STMT 4 ► 12,608.	9,046.	7,285.	7,285.
	15	Other assets (describe ► SEE STATEMENT 5)	9,152.	3,980.	3,980.
	16	**Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I)	1,216,619.	912,750.	921,881.
LIABILITIES	17	Accounts payable and accrued expenses	2,776.	6,420.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)	2,776.	6,420.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,213,843.	906,330.	
	30	**Total net assets or fund balances** (see instructions)	1,213,843.	906,330.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,216,619.	912,750.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,213,843.
2	Enter amount from Part I, line 27a	2	-307,513.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	906,330.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	906,330.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	FIDELITY ST ATTACHMENT	P	VARIOUS	VARIOUS
b	FIDELITY LT ATTACHMENT	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	31,869.		31,799.	70.
b	275,301.		284,269.	-8,968.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				70.
b				-8,968.
c				
d				
e				

2	Capital gain net income or (net capital loss). { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-8,898.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	70.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	234,594.	1,207,826.	0.194228
2014	188,600.	1,984,738.	0.095025
2013	398,701.	2,098,228.	0.190018
2012	108,523.	2,075,137.	0.052297
2011	237,176.	2,465,128.	0.096212

2	**Total** of line 1, column (d)	2	0.627780
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.125556
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	985,214.
5	Multiply line 4 by line 3	5	123,700.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	123,700.
8	Enter qualifying distributions from Part XII, line 4	8	194,282.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter _______ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	0.
6	Credits/Payments		
a	2016 estimated tax pmts and 2015 overpayment credited to 2016 — 6 a: 270.		
b	Exempt foreign organizations – tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments. Add lines 6a through 6d	7	270.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	0.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	270.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ► 270. **Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a	X	
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► AZ			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► KARI YATKOWSKI Telephone no ► 480-753-0084

Located at ► 7726 E LA JUNTA RD SCOTTSDALE AZ ZIP + 4 ► 85255

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

					Yes	No
1 a	During the year did the foundation (either directly or indirectly):					
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?			1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐					
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?			1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))					
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	☐ Yes	☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)			2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__					
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No			
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*			3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?			4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? — 5b: N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b: No X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KARI K YATKOWSKI 7726 E LA JUNTA RD SCOTTSDALE, AZ 85255	PRESIDENT 30.00	95,290.	0.	0.
PAUL C YATKOWSKI 7726 E LA JUNTA RD SCOTTSDALE, AZ 85255	VICE PRESIDE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	885,888.
b	Average of monthly cash balances	1b	114,329.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,000,217.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,000,217.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	15,003.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	985,214.
6	**Minimum investment return.** Enter 5% of line 5	6	49,261.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	49,261.
2a	Tax on investment income for 2016 from Part VI, line 5 2a		
b	Income tax for 2016. (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	49,261.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	49,261.
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	49,261.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	194,282.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	194,282.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	194,282.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					49,261.
2 Undistributed income, if any, as of the end of 2016.					
a Enter amount for 2015 only				0.	
b Total for prior years 20 ___, 20 ___, 20 ___			0.		
3 Excess distributions carryover, if any, to 2016:					
a From 2011	115,504.				
b From 2012	9,260.				
c From 2013	302,104.				
d From 2014	89,363.				
e From 2015	174,203.				
f **Total** of lines 3a through e		690,434.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► $ 194,282.					
a Applied to 2015, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required — see instructions)			0.		
c Treated as distributions out of corpus (Election required — see instructions)		0.			
d Applied to 2016 distributable amount					49,261.
e Remaining amount distributed out of corpus		145,021.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5		835,455.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount — see instructions			0.		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)		0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		115,504.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		719,951.			
10 Analysis of line 9					
a Excess from 2012	9,260.				
b Excess from 2013	302,104.				
c Excess from 2014	89,363.				
d Excess from 2015	174,203.				
e Excess from 2016	145,021.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 6				
Total			► 3a	194,282.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	19.	
4 Dividends and interest from securities			14	13,205.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property	900099	15,552.			
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income				1,948.	
8 Gain or (loss) from sales of assets other than inventory			1	-8,898.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a CAPITAL GAIN DISTRIBUTION			14	2,207.	
b K-1 1231 LOSS			1	-229.	
c K-1 ORDINARY INCOME	531110		14	19,825.	
d K-1 RENTAL INCOME	531110		16	-239.	
e TAX-EXEMPT			18	1,350.	
12 Subtotal. Add columns (b), (d), and (e)		15,552.		29,188.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 44,740.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 10/1/17 | Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LYNN C. OLSON		8/17/17		P00154155

Firm's name ► TULL, FORSBERG & OLSON, PLC — Firm's EIN ► 86-0130568

Firm's address ► 5225 N. CENTRAL AVE. SUITE 220 PHOENIX, AZ 85012 — Phone no (602) 277-5447

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAIN DISTRIBUTION	$ 2,207.	$ 2,207.	$ 2,207.
K-1 1231 LOSS	-229.		
K-1 ORDINARY INCOME	19,825.		
K-1 RENTAL INCOME	-239.		
OTHER INVESTMENT INCOME	1,948.		
TAX-EXEMPT	1,350.		
TOTAL	$ 24,862.	$ 2,207.	$ 2,207.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL INCOME TAX	$ 4,242.			
FOREIGN	192.			
PAYROLL	20,168.			
TOTAL	$ 24,602.	$ 0.	$ 0.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	$ 10,870.	$ 2,174.	$ 2,174.	
ADVERTISING	850.			
BANK FEES	104.	104.	104.	
CHARITABLE CONTRIBUTIONS	39.			
DUES	150.			
INVESTMENT FEES	9,556.	9,556.	9,556.	
K-1 NON-DEDUCTIBLES	3,848.			
LEGAL FEES	1,251.	1,251.	1,251.	
OFFICE EXPENSE	1,582.	316.	316.	
OTHER EXPENSE	760.			
PENALTIES	175.			
SUBSCRIPTIONS	1,535.			
TOTAL	$ 30,720.	$ 13,401.	$ 13,401.	$ 0.

STATEMENT 4
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MISCELLANEOUS	$ 19,893.	$ 12,608.	$ 7,285.	$ 7,285.
TOTAL	$ 19,893.	$ 12,608.	$ 7,285.	$ 7,285.

STATEMENT 5
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
RECEIVABLE	$ 3,980.	$ 3,980.
TOTAL	$ 3,980.	$ 3,980.

STATEMENT 6
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
PHOENIX SUNS CHARITIES P.O. BOX 1369 PHOENIX AZ 85001	NONE	PUBLIC	SUPPORT LOCAL COMMUNITY	$ 25,000.
AMERICAN HEART ASSOCIATION 2929 S 48TH STREET TEMPE AZ 85282	NONE	PUBLIC	PROGRAMS	10,732.
BROPHY COLLEGE PREPARATORY 4701 NORTH CENTRAL AVENUE PHOENIX AZ 85012	NONE	PUBLIC	PROGRAM FUNDING	10,500.
UNIVERSITY OF TENNESSEE FUND 1551 LAKE LOUDOUN BLVD KNOXVILLE TN 37996	NONE	PUBLIC	PROGRAM FUNDING	17,500.
ARIZONA WOMENS BOARD 5921 E INDIAN BEND RD PARADISE VALLEY AZ 85253	NONE	PUBLIC	PROGRAM FUNDING	20,760.
BIOACCEL 2702 N 3RD STREET, SUITE 3001 PHOENIX AZ 85004	NONE	PUBLIC	PROGRAM FUNDING	589.

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
SCOTTSDALE POP WARNER PO BOX 32567 PHOENIX AZ 85064	NONE	PUBLIC	PROGRAM FUNDING	$ 1,500.
ROCKY MOUNTAIN ELK FOUNDATION 5705 GRANT CREEK MISSOULA MT 59608	NONE	PUBLIC	PROGRAM FUNDING	1,000.
ASU FOUNDATION PO BOX 2260 TEMPE AZ 85280	NONE	PUBLIC	FOOTBALL CAMPS	5,000.
HOMEWARD BOUND 2302 W COLTER STREET PHOENIX AZ 85015	NONE	PUBLIC	GENERAL FUNDING	16,454.
VALLEY YOUTH THEATRE 525 NORTH FIRST STREET PHOENIX AZ 85000	NONE	PUBLIC	GENERAL FUNDING	26,342.
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET NW ATLANTA GA 30303	NONE	PUBLIC	PROGRAM FUNDING	1,100.
ARIZONA SCIENCE CENTER 600 E WASHINGTON ST PHOENIX AZ 85004	NONE	PUBLIC	PROGRAM FUNDING	10,000.
BARROW NEUROLOGICAL FOUNDATION 124 W THOMAS ROAD, STE 250 PHOENIX AZ 85012	NONE	PUBLIC	PROGRAM FUNDING	2,500.
BEST BUDDIES 100 SOUTHEAST SECOND ST, STE 2200 MIAMI FL 33131	NONE	PUBLIC	PROGRAM FUNDING	1,000.
CATHOLIC CHARITIES COMMUNITY SERVICES 4747 7TH AVENUE PHOENIX AZ 85013	NONE	PUBLIC	PROGRAM FUNDING	5,000.
CHILDREN'S ORGAN TRANSPLANT ASSOCIATION 2501 WEST COTA DRIVE BLOOMINGTON IN 47403	NONE	PUBLIC	PROGRAM FUNDING	20,000.
GABRIEL'S ANGELS 727 E BETHANY HOME RD C-100 PHOENIX AZ 85014	NONE	PUBLIC	PROGRAM FUNDING	3,600.

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
LIBERTY WILDLIFE 2600 E ELWOOD ST PHOENIX AZ 85040	NONE	PUBLIC	PROGRAM FUNDING	$ 100.
NAVY SEAL FOUNDATION 1619 D STREET VIRGINIA BEACH VA 23459	NONE	PUBLIC	PROGRAM FUNDING	5,000.
OPERATION 300 INC PO BOX #3 PORT SALERNO FL 34992	NONE	PUBLIC	PROGRAM FUNDING	500.
SCOTTSDALE MUSEUM OF CONTEMPORARY ART 7374 EAST SECOND STREET SCOTTSDALE AZ 85251	NONE	PUBLIC	PROGRAM FUNDING	5,000.
CENTRAL ARIZONA SHELTER SERVICES 230 S 12TH AVE PHOENIX AZ 85007	NONE	PUBLIC	HOMELESS SOCKS PROGRAM	105.
ONE-N-TEN 1101 N CENTRAL AVE #202 PHOENIX AZ 85004	NONE	PUBLIC	PROGRAM FUNDING	5,000.
			TOTAL	$ 194,282.

PART II - BALANCE SHEETS

LINE 12 INVESTMENTS - OTHER:

	12/31/15 BOOK VALUE	12/31/16 BOOK VALUE	12/31/16 FAIR MARKET
PARTNERSHIPS	$280,517	$268,414	$268,414
EXCHANGE TRADED	292,789	221,509	225,847
OTHER BONDS	212,548		
TOTALS	$785,854	$489,923	$500,313

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC | Account No 636-400645 | Customer Service 888-557-7390
Recipient ID No **-***0568 | Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
GOLDMAN SACHS STRG INCOME FUND INSTL, GSZIX, 38145C646										
Sale	68 275	various	05/04/16	645 89	724 09			-78 20		
JOHN HANCOCK US EQUITY FUND CL I, JHUIX, 47804B161										
Sale	536 960	various	09/02/16	5,718 62	7,015 62			-1,297 00		
MAINGATE MLP FUND CL I, IMLPX, 560599201										
Sale	726 190	12/03/15	09/29/16	7,320 00	5,796 18			1,523 82		
NATIONWIDE GENEVA SMALL CAP GROWTH IS, NWKDX, 63868B658										
Sale	62 226	09/02/16	09/29/16	2,840 00	2,912 18			-72 18		
Sale	43 614	09/02/16	12/16/16	2,100 00	2,041 23			58 77		
Subtotals				**4,940.00**	**4,953.41**					
PIMCO ETF TR 1-5 YR US TIPS INDEX FD, STPZ, 72201R205										
Sale	16 000	11/13/15	01/11/16	821 66	821 92			-0 26		
Sale	34 000	11/13/15	09/29/16	1,790 09	1,746 58			43 51		
Subtotals				**2,611.75**	**2,568.50**					
ROYCE SPECIAL EQUITYINVESTMENT CL, RYSEX, 780905782										
Sale	169 660	various	12/13/16	4,032 83	4,077 93			-45 10		
SEMPER MBS TOTAL RETURN INSTL, SEMMX, 00770X758										
Sale	423 573	12/03/15	01/11/16	4,600 00	4,621 15		1 00	-21 15		
Sale	187 970	12/03/15	09/29/16	2,000 00	2,048 44		5 18	-48 44		
Subtotals				**6,600 00**	**6,669.59**		**6 18**			

***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions**

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC — Account No **636-400645** Customer Service 888-557-7390
Recipient ID No ****-***0568** Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
TOTALS				**31,869.09**	**31,805.32**	**0.00**	**6.18**		**0.00**	
	Short-Term Realized Gain							**1,626.10**		
	Short-Term Realized Loss							**-1,562.33**		
								70		

***Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.**

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC | Account No 636-400645 | Customer Service 888-557-7390
Recipient ID No **-***0568 | Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
CLEARBRIDGE APPRECIATION CLASS I, SAPYX, 52468E402										
Sale	17 619	11/13/13	01/11/16	330 00	343 16		13 16	-13 16		
Sale	275 048	11/13/13	09/29/16	5,710 00	5,359 39			350 61		
Sale	188 147	11/13/13	12/16/16	4,000 00	3,672 40			327 60		
Subtotals				**10,040.00**	**9,374 95**		**13 16**			
DOUBLELINE TOTAL RETURN BOND FD CL I, DBLTX, 258620103										
Sale	741 697	11/13/13	01/11/16	8,040 00	8,108 88		0 90	-68 88		
Sale	346 715	11/13/13	09/29/16	3,800 00	3,790 36			9 64		
Sale	331 439	11/13/13	12/16/16	3,500 00	3,622 97		6 68	-122 97		
Subtotals				**15,340 00**	**15,522 21**		**7 58**			
EATON VANCE FLOATINGRATE CLASS I, EIBLX, 277911491										
Sale	519 666	11/13/13	01/11/16	4,360 00	4,758 41		7 58	-398 41		
Sale	447 846	11/13/13	09/29/16	3,950 00	4,039 24		4 78	-89 24		
Sale	459 127	11/13/13	12/16/16	4,100 00	4,140 16		1 71	-40 16		
Subtotals				**12,410 00**	**12,937 81**		**14 07**			
FIDELITY NEW MARKETSINCOME, FNMIX, 315910836										
Sale	259 930	11/13/13	01/11/16	3,730 00	4,078 83		10 32	-348 83		
Sale	320 882	11/13/13	09/29/16	5,240 00	5,032 31			207 69		
Sale	129 534	11/13/13	12/16/16	2,000 00	2,031 54		5 37	-31 54		
Subtotals				**10,970 00**	**11,142.68**		**15.69**			

***Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions**

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC — Account No 636-400645 — Customer Service 888-557-7390
Recipient ID No **-***0568 — Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
GOLDMAN SACHS STRG INCOME FUND INSTL, GSZIX, 38145C646										
Sale	740 586	03/24/14	01/11/16	7,080 00	7,859 90		11 79	-779 90		
Sale	3,601 081	various	05/04/16	34,066 22	38,192 17			-4,125 95		
Subtotals				**41,146.22**	**46,052.07**		**11.79**			
HARDING LOEVNER INST EMERGING MRKTS CL I, HLMEX, 412295701										
Sale	284 589	08/27/15	09/29/16	5,060 00	4,299 25			760 75		
Sale	36 014	08/27/15	12/16/16	600 00	544 41			55 59		
Subtotals				**5,660.00**	**4,843.66**					
JENSEN QUALITY GROWTH FD CL I, JENIX, 476313309										
Sale	13 631	11/13/13	01/11/16	470 00	514 76		44 76	-44 76		
Sale	222 919	11/13/13	09/29/16	8,890 00	8,430 07			459 93		
Sale	113 809	11/13/13	12/16/16	4,500 00	4,311 13			188 87		
Subtotals				**13,860 00**	**13,255 96**		**44.76**			
JOHN HANCOCK US EQUITY FUND CL I, JHUIX, 47804B161										
Sale	2,589 625	various	09/02/16	27,579 51	33,834 63			-6,255 12		
LAZARD GLOBAL LISTEDINFRASTRUCTE INSTL, GLIFX, 52106N459										
Sale	100 537	11/13/13	01/11/16	1,310 00	1,341 11		14 89	-31 11		
Sale	112 384	11/13/13	09/29/16	1,570 00	1,502 06			67 94		
Sale	69 881	11/13/13	12/16/16	1,000 00	933 99			66 01		
Subtotals				**3,880.00**	**3,777 16**		**14 89**			

***Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.**

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC Account No 636-400645 Customer Service 888-557-7390
Recipient ID No **-***0568 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
MAINGATE MLP FUND CL I, IMLPX, 560599201										
Sale	166 830	12/03/15	12/16/16	1,700 00	1,310 43			389 57		
METROPOLITAN WEST TOTAL RETURN CLASS I, MWTIX, 592905509										
Sale	718 574	12/05/14	01/11/16	7,660 00	7,817 60		1 12	-157 60		
Sale	500 906	12/05/14	09/29/16	5,530 00	5,447 11			82 89		
Sale	421 860	12/05/14	12/16/16	4,400 00	4,585 30		31 21	-185 30		
Subtotals				**17,590.00**	**17,850 01**		**32 33**			
PIMCO ETF TR 1-5 YR US TIPS INDEX FD, STPZ, 72201R205										
Sale	28 000	11/13/15	12/16/16	1,456 84	1,438 36			18 48		
ROYCE SPECIAL EQUITYINVESTMENT CL, RYSEX, 780905782										
Sale	189 867	11/13/13	09/29/16	3,860 00	4,563 63			-703 63		
Sale	437 330	various	12/13/16	10,395 32	10,511 62			-116 30		
Subtotals				**14,255 32**	**15,075.25**					
SEMPER MBS TOTAL RETURN INSTL, SEMMX, 00770X758										
Sale	264 650	12/03/15	12/16/16	2,800 00	2,883 63		5 56	-83 63		
T ROWE PRICE INTL DISCOVERY FUND, PRIDX, 77956H302										
Sale	48 849	03/24/14	09/29/16	2,780 00	2,723 37			56 63		
Sale	13 285	03/24/14	12/16/16	700 00	739 73		15 07	-39 73		
Subtotals				**3,480 00**	**3,463.10**		**15 07**			

***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.**

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC | Account No 636-400645 | Customer Service 888-557-7390
Recipient ID No **-***0568 | Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS. It may assist you in tax return preparation

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
VANGUARD BD INDEX FDINC SHORT TERM BD ET, BSV, 921937827										
Sale	307 000	11/13/13	01/11/16	24,526 24	24,703 71			-177 47		
Sale	134 000	11/13/13	09/29/16	10,832 76	10,782 72			50 04		
Sale	169 000	11/13/13	12/16/16	13,398 70	13,599 11			-200 41		
Subtotals				**48,757 70**	**49,085 54**					
VANGUARD INDEX FDS MID-CAP VALUE INDEX V, VOE, 922908512										
Sale	33 000	06/17/15	09/29/16	3,069 33	3,040 59			28 74		
Sale	22 000	06/17/15	12/16/16	2,179 22	2,027 06			152 16		
Subtotals				**5,248 55**	**5,067 65**					
VANGUARD INDEX FDS VANGUARD TOTAL STK MK, VTI, 922908769										
Sale	52 000	11/13/13	09/29/16	5,756 46	4,813 63			942 83		
Sale	34 000	11/13/13	12/16/16	3,977 36	3,147 38			829 98		
Subtotals				**9,733 82**	**7,961 01**					
VANGUARD INTL EQUITYINDEX FD INC FTSE AL, VEU, 922042775										
Sale	274 000	11/13/13	09/29/16	12,391 15	13,576 97			-1,185 82		
Sale	110 000	11/13/13	12/16/16	4,891 60	5,450 61			-559 01		
Subtotals				**17,282 75**	**19,027 58**					
VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE, VIG, 921908844										
Sale	89 000	11/13/13	09/29/16	7,430 80	6,559 81			870 99		
Sale	54 000	11/13/13	12/16/16	4,679 19	3,980 11			699 08		
Subtotals				**12,109 99**	**10,539 92**					

***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions.**

Fidelity INVESTMENTS

2016 Informational Tax Reporting Statement

HAVEN CHARITABLE FDTN INC Account No **636-400645** Customer Service 888-557-7390
Recipient ID No ****-*****0568** Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
TOTALS				**275,300.70**	**284,443.61**	**0.00**	**174.90**		**0.00**	
	Long-Term Realized Gain							**6,616.02**		
	Long-Term Realized Loss							**-15,758.93**	(8968)	

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions**