

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation THE HAPA FOUNDATION		A Employer identification number 20-7056729	
Number and street (or P O box number if mail is not delivered to street address) 2578 TUSCANY WAY		B Telephone number (see instructions) (562) 547-4308	
City or town, state or province, country, and ZIP or foreign postal code FULLERTON, CA 92835		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 135,779		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	14,000			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,226	1,226	1,226	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,400			
	b Gross sales price for all assets on line 6a 61,123				
	7 Capital gain net income (from Part IV, line 2)		2,400		
	8 Net short-term capital gain			1,899	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	17,626	3,626	3,125		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,180			1,180
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	72	62	62	10
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	1,252	62	62	1,190
	25 Contributions, gifts, grants paid	4,500			4,500
26 Total expenses and disbursements. Add lines 24 and 25	5,752	62	62	5,690	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	11,874				
b Net investment income (if negative, enter -0-)		3,564			
c Adjusted net income (if negative, enter -0-)			3,063		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	23,274	31,520	31,520
	2 Savings and temporary cash investments	8,307	15,023	15,023
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	78,710	75,622	89,236
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	110,291	122,165	135,779	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	110,291	122,165	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	110,291	122,165		
31 Total liabilities and net assets/fund balances (see instructions) .	110,291	122,165		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	110,291
2 Enter amount from Part I, line 27a		2	11,874
3 Other increases not included in line 2 (itemize) ▶ _____		3	
4 Add lines 1, 2, and 3		4	122,165
5 Decreases not included in line 2 (itemize) ▶ _____		5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	122,165

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE ATTACHED	P		
b SEE ATTACHED	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,344		3,843	501
b 56,779		54,880	1,899
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			501
b			1,899
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	2,400
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	1,899

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sections 1a through 11, with sub-sections 6a-6d. Total amount due is 71, and amount overpaid is 71.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes sections 1a through 10, with sub-sections 1b, 4a, 4b, 8b. Columns include Yes/No responses.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 3435 WILSHIRE BLVD SUITE 970 LOS ANGELES CA ZIP+4 90010

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KYE HWAN KIM 2578 TUSCANY WAY FULLERTON, CA 92835	TRUSTEE 10 00	0	0	0
CHONG S KIM 2578 TUSCANY WAY FULLERTON, CA 92835	TRUSTEE 10 00	0	0	0
HARRY KIM 3856 ZAHARIGS RDG YORBA LINDA, CA 92886	TRUSTEE 7 00	0	0	0
PAUL KIM 1323 CANTERBURY LN FULLERTON, CA 92831	TRUSTEE 7 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	73,895
b	Average of monthly cash balances.	1b	37,570
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	111,465
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	111,465
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,672
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	109,793
6	Minimum investment return. Enter 5% of line 5.	6	5,490

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,490
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	71
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	71
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,419
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	5,419
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,419

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,690
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	5,690
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,690

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				5,419
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			119	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>5,690</u>				
a Applied to 2015, but not more than line 2a			119	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				5,419
e Remaining amount distributed out of corpus	152			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	152			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	152			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	152			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ORANGE MISSION CHOIR 2578 TUSCANY WAY FULLERTON, CA 92835	N/A	509(C)3	SEE ATTACHED STMT 4	4,500
Total			▶ 3a	4,500
b <i>Approved for future payment</i>				
Total			▶ 3b	

TY 2016 Accounting Fees Schedule**Name:** THE HAPA FOUNDATION**EIN:** 20-7056729

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GERALD B CHUNG CPA	1,180			1,180

TY 2016 Investments Corporate Stock Schedule**Name:** THE HAPA FOUNDATION**EIN:** 20-7056729

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENT IN CORPORATE STOCKS THRU		
CHARLES SCHWAB FINANCIAL	75,622	89,236

TY 2016 Taxes Schedule**Name:** THE HAPA FOUNDATION**EIN:** 20-7056729

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FRANCHISE TAX BOARD	10			10
U S TREASURY	62	62	62	

105 THE HAPA FOUNDATION

20-7056729

Federal Statements

FYT: 12/31/16

Statement 4 - Form 990-PF, Part XV, Line 3a - Contributions, Grants, and Similar Amounts

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Foundation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Orange Mission Choir 2578 Tuscany Way Fullerton, Ca 92835	N/A	509(c)3	to support community service and missionary activities thru Christian music	\$ 4,500

Total \$ 4,500



Schwab One® Account of
THE HAPA FOUNDATION

WORKSHEET #1, Y6

Report Period
January 1 - December 31,
2016

Account Number
7488-4127

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)	Accounting Method
							Mutual Funds - Average All Other Investments - First In First Out (FIFO)
ACCENTURE PLC ACN	50.0000	10/04/16	11/08/16	\$5,989.52	\$5,919.81	\$69.71	
Security Subtotal				\$5,989.52	\$5,919.81	\$69.71	
ALCOA INC: AA	200.0000	12/09/15	03/04/16	\$1,978.83	\$1,765.10	\$213.73	
ALCOA INC: AA	100.0000	12/09/15	04/14/16	\$990.03	\$882.55	\$107.48	
Security Subtotal				\$2,968.86	\$2,647.65	\$321.21	
BRISTOL-MYERS SQUIBB BMY	40.0000	10/10/16	11/22/16	\$2,248.60	\$2,010.24	\$238.36	
Security Subtotal				\$2,248.60	\$2,010.24	\$238.36	
ISHARES RUSSELL 2000 ETF: IWM	50.0000	12/09/15	07/14/16	\$5,978.55	\$5,729.95	\$248.60	
ISHARES RUSSELL 2000 ETF: IWM	50.0000	09/09/16	09/22/16	\$6,266.11	\$6,108.90	\$157.21	
Security Subtotal				\$12,244.66	\$11,838.85	\$405.81	
SELECT SECTOR INDUSTRIALSPDR ETF: XLI	100.0000	11/10/16	12/07/16	\$6,340.34	\$6,115.75	\$224.59	
Security Subtotal				\$6,340.34	\$6,115.75	\$224.59	
SPDR FUND CONSUMER XLP	0.6646	09/29/15	07/26/16	\$36.76	\$31.15	\$5.61	



Schwab One® Account of
THE HAPA FOUNDATION

WORKSHEET # 1, 3/6

Account Number
7488-4127

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/		Total Proceeds	Cost Basis	Realized Gain or (Loss)
		Opened	Sold/ Closed			
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	0.0491	09/29/15	03/30/16	\$2.18	\$1.92	\$0.26
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	100.0000	12/09/15	03/30/16	\$4,444.74	\$4,382.53	\$62.21
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	0.0599	12/30/15	03/30/16	\$2.66	\$2.61	\$0.05
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	0.4867	12/30/15	03/30/16	\$21.63	\$21.25	\$0.38
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	0.5482	03/30/16	07/14/16	\$24.64	\$24.46	\$0.18
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	100.0000	04/13/16	07/14/16	\$4,495.34	\$4,448.85	\$46.49
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	0.5482	04/20/16	07/14/16	\$24.65	\$24.52	\$0.13
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	99.4518	04/20/16	07/14/16	\$4,470.70	\$4,447.43	\$23.27
Security Subtotal				\$13,507.18	\$13,372.92	\$134.26
VANGUARD FTSE EMERGING MARKETS ETF VWO	0.1437	10/02/15	09/22/16	\$5.47	\$4.75	\$0.72

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Schwab One® Account of
THE HAPA FOUNDATION

Account Number
7488-4127

Report Period
January 1 - December 31,
2016

WORKSHEET # 1, 4/6

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/		Total Proceeds	Cost Basis	Realized Gain or (Loss)
		Opened	Sold/ Closed			
VANGUARD FTSE EMERGING MARKETS ETF-VWO	0.0511	12/29/15	09/22/16	\$1.94	\$1.70	\$0.24
VANGUARD FTSE EMERGING MARKETS ETF-VWO	0.0178	03/22/16	09/22/16	\$0.68	\$0.61	\$0.07
VANGUARD FTSE EMERGING MARKETS ETF-VWO	0.0700	06/21/16	09/22/16	\$2.66	\$2.40	\$0.26
VANGUARD FTSE EMERGING MARKETS ETF-VWO	0.8374	09/16/16	09/22/16	\$31.86	\$31.01	\$0.85
VANGUARD FTSE EMERGING MARKETS ETF-VWO	99.1626	09/16/16	09/22/16	\$3,771.57	\$3,672.69	\$98.88
VANGUARD FTSE EMERGING MARKETS ETF-VWO	0.1310	09/20/16	09/22/16	\$4.98	\$4.88	\$0.10
Security Subtotal				\$3,819.16	\$3,718.04	\$101.12
Total Short-Term				\$56,778.76	\$54,879.98	\$1,898.78

Accounting Method
Mutual Funds - Average
All Other Investments - First In First Out (FIFO)



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2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Accounting Method Mutual Funds Average All Other Investments First In First Out [FIFO]	Cost Basis	Realized Gain or (Loss)
ALIBABA GROUP HLDG FADR ADR REPS BABA	1 20.0000	02/06/15	09/21/16	\$2,073.42		\$1,742.48	\$330.94
Security Subtotal				\$2,073.42		\$1,742.48	\$330.94
HOPE BANCORP INC HOPE	0.4000	12/19/12	08/03/16	\$6.11		\$3.33	\$2.78
Security Subtotal				\$6.11		\$3.33	\$2.78
RITE AID CORPORATION RAD	100.0000	12/24/14	03/30/16	\$797.41		\$723.89	\$73.52
Security Subtotal				\$797.41		\$723.89	\$73.52
SPDR FUND CONSUMER STAPLES ETF IV XLP	10.0000	01/09/15	07/26/16	\$553.15		\$493.88	\$59.27
SPDR FUND CONSUMER STAPLES ETF IV XLP	0.6023	03/31/15	07/26/16	\$33.32		\$29.56	\$3.76
SPDR FUND CONSUMER STAPLES ETF IV XLP	0.6355	06/30/15	07/26/16	\$35.15		\$30.35	\$4.80
Security Subtotal				\$621.62		\$553.79	\$67.83
TECHNOLOGY SELECT SECTORS PDR ETF IV XLK	10.0000	01/26/15	03/30/16	\$444.47		\$414.14	\$30.33
Security Subtotal				\$444.47		\$414.14	\$30.33



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WORKSHEET # 1, 6/1

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)	Accounting Method
							Mutual Funds Average All Other Investments First In First Out (FIFO)
VANGUARD FTSE EMERGING MARKETS ETF VWO	10 0000	12/16/14	09/22/16	\$380 34	\$383 18	(\$2.84)	
VANGUARD FTSE EMERGING MARKETS ETF VWO	0 4360	12/30/14	09/22/16	\$16.58	\$17 40	(\$0.82)	
VANGUARD FTSE EMERGING MARKETS ETF VWO	0 0179	04/01/15	09/22/16	\$0.68	\$0 74	(\$0.06)	
VANGUARD FTSE EMERGING MARKETS ETF VWO	0 1009	07/06/15	09/22/16	\$3.84	\$4 04	(\$0.20)	
Security Subtotal				\$401.44	\$405.36	(\$3.92)	
Total Long-Term				\$4,344.47	\$3,842.99	\$501.48	
Total Realized Gain or (Loss)				\$61,123.23	\$58,722.97	\$2,400.26	

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.
Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.