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or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation: **ALICE SMITH MARSH CHARITABLE TRUST**

Number and street (or P.O. box number if mail is not delivered to street address): **516 SOUTH ELM STREET**

City or town, state or province, country, and ZIP or foreign postal code: **MARSHVILLE, NC 28103**

Room/suite: _____

A Employer identification number: **20-6948170**

B Telephone number: **704-289-5168**

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 541,203.**

J Accounting method: Cash Accrual
 Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	9,614.	9,614.		Statement 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	41,018.			
b	Gross sales price for all assets on line 6a	279,684.			
7	Capital gain net income (from Part IV, line 2)		41,018.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	1,083.	0.		Statement 2
12	Total. Add lines 1 through 11	51,715.	50,632.		
13	Compensation of officers, directors, trustees, etc	6,800.	6,800.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees Stmt 3	2,500.	2,500.		0.
c	Other professional fees Stmt 4	5,233.	5,233.		0.
17	Interest				
18	Taxes Stmt 5	249.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses				
24	Total operating and administrative expenses. Add lines 13 through 23	14,782.	14,533.		0.
25	Contributions, gifts, grants paid	55,100.			55,100.
26	Total expenses and disbursements. Add lines 24 and 25	69,882.	14,533.		55,100.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-18,167.			
b	Net investment income (if negative, enter -0-)		36,099.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			44,298.	4,279.	
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock Stmt 6			311,201.	326,372.	373,319.
	c Investments - corporate bonds Stmt 7			162,784.	169,465.	167,884.
	11 Investments - land, buildings, and equipment: basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			518,283.	500,116.	541,203.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			518,283.	500,116.	
30 Total net assets or fund balances			518,283.	500,116.		
31 Total liabilities and net assets/fund balances			518,283.	500,116.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	518,283.
2 Enter amount from Part I, line 27a	2	-18,167.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	500,116.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	500,116.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RBC Capital Markets Acct. #845	P		06/30/16
b RBC Capital Markets Acct. #845	P		06/30/16
c RBC Capital Markets Acct. #845	P		06/30/16
d Capital Gains Dividends			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 99,335.	0.	58,607.	40,728.
b 66,183.	0.	66,679.	-496.
c 107,265.	0.	113,380.	-6,115.
d 6,901.			6,901.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			40,728.
b			-496.
c			-6,115.
d			6,901.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	41,018.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	55,265.	605,593.	.091258
2014	66,792.	669,346.	.099787
2013	53,300.	677,290.	.078696
2012	72,396.	661,619.	.109422
2011	62,798.	709,542.	.088505

2 Total of line 1, column (d)	2	.467668
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.093534
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	553,124.
5 Multiply line 4 by line 3	5	51,736.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	361.
7 Add lines 5 and 6	7	52,097.
8 Enter qualifying distributions from Part XII, line 4	8	55,100.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	361.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	361.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	361.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed See Statement 8.	9		361.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. $\$$ 0. (2) On foundation managers. $\$$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. $\$$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ LARRY B. SMITH, JR Telephone no. ▶ 704-289-5168 Located at ▶ 516 SOUTH ELM STREET, MARSHVILLE, NC ZIP+4 ▶ 28103		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LARRY B. SMITH, JR 516 SOUTH ELM STREET MARSHVILLE, NC 28103	TRUSTEE 5.00	3,600.	0.	0.
HARRIET HELMS SMITH 5015 CLUSTER BOX 50 MARSHVILLE, NC 28103	TRUSTEE 1.00	1,600.	0.	0.
H. ALLISON SMITH 9232 VENDOME DRIVE BETHESDA, MD 20817	TRUSTEE 1.00	1,600.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	537,258.
b	Average of monthly cash balances	1b	24,289.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	561,547.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	561,547.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,423.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	553,124.
6	Minimum investment return. Enter 5% of line 5	6	27,656.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	27,656.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	361.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	361.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	27,295.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	27,295.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,295.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	55,100.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	55,100.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	361.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	54,739.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				27,295.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	27,455.			
b From 2012	40,173.			
c From 2013	20,035.			
d From 2014	35,441.			
e From 2015	25,455.			
f Total of lines 3a through e	148,559.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	55,100.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				27,295.
e Remaining amount distributed out of corpus	27,805.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (c), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	176,364.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	27,455.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	148,909.			
10 Analysis of line 9:				
a Excess from 2012	40,173.			
b Excess from 2013	20,035.			
c Excess from 2014	35,441.			
d Excess from 2015	25,455.			
e Excess from 2016	27,805.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Statement 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year AUSTIN GROVE BAPTIST CHURCH 5919 AUSTIN GROVE CHURCH ROAD MARSHVILLE, NC 28103			General Support	12,500.
HEALTHQUEST 415 E. FRANKLIN STREET MONROE, NC 28112			General Support	1,000.
HELP CRISIS PREGNANCY 1700 SECREST SHORTCUT ROAD MONROE, NC 28110			General Support	1,000.
NC STATE UNIVERSITY 2220 HILLSBOROUGH STREET RALEIGH, NC 27695			General Support	2,500.
SECOND HARVEST FOODBANK 500 spratt street charlotte, NC 28206			General Support	3,000.
Total	See continuation sheet(s)			55,100.
b Approved for future payment None				
Total				0.

▶ 3a

▶ 3b

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TURNING POINT POST OFFICE BOX 952 MONROE, NC 28111			General Support	1,000.
UNC CHAPEL HILL POST OFFICE BOX 309 CHAPEL HILL, NC 27514			General Support	5,000.
UNION COUNTY CRISIS ASSISTANCE MINISTRY 1333 W ROOSEVELT DRIVE MONROE, NC 28110			General Support	20,800.
UNION COUNTY LIBRARY FOUNDATION 316 EAST WINDSOR STREET MONROE, NC 28112			General Support	8,300.
Total from continuation sheets				35,100.

Form 990-PF	Dividends and Interest from Securities				Statement	1
Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income	
RBC 1671	417.	0.	417.	417.		
RBC 1845	16,098.	6,901.	9,197.	9,197.		
To Part I, line 4	16,515.	6,901.	9,614.	9,614.		

Form 990-PF	Other Income			Statement	2
Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income		
IRS REFUND	1,083.	0.			
Total to Form 990-PF, Part I, line 11	1,083.	0.			

Form 990-PF	Accounting Fees			Statement	3
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
ACCOUNTING FEES	2,500.	2,500.		0.	
To Form 990-PF, Pg 1, ln 16b	2,500.	2,500.		0.	

Form 990-PF	Other Professional Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
INVESTMENT & ADVISORY SERVICES	5,217.	5,217.		0.	
Bank Fees	16.	16.		0.	
To Form 990-PF, Pg 1, ln 16c	5,233.	5,233.		0.	

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Current Year Estimates	249.	0.			0.
To Form 990-PF, Pg 1, ln 18	249.	0.			0.

Form 990-PF	Corporate Stock		Statement	6
Description	Book Value	Fair Market Value		
Corporate Stock	326,372.	373,319.		
Total to Form 990-PF, Part II, line 10b	326,372.	373,319.		

Form 990-PF	Corporate Bonds		Statement	7
Description	Book Value	Fair Market Value		
Corporate Bond Funds	169,465.	167,884.		
Total to Form 990-PF, Part II, line 10c	169,465.	167,884.		

Form 990-PF	Interest and Penalties		Statement	8
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Tax due from Form 990-PF, Part VI	361.
Late payment interest	3.
Late payment penalty	5.
Total Amount Due	369.

LARRY B SMITH JR
HARRIET H SMITH TTEES
MARSH CHARITABLE TRUST
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MARSHVILLE NC 28103-9100

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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
602.530	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/15	10/20/16	11,821.64	12,153.04	-331.40
7.209	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/15	10/20/16	141.44	145.41	-3.97
1.270	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	09/29/16	10/20/16	219.76	217.82	1.94
1.241	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	12/23/15	10/20/16	214.74	199.03	15.71
1.728	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	06/29/16	10/20/16	299.01	260.35	38.66
3.521	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/28/16	10/20/16	609.27	550.09	59.18
7.248	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/28/16	10/20/16	1,254.19	1,132.35	121.84
15.732	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	12/23/15	10/20/16	2,722.27	2,522.35	199.92
12,605.042	FEDERATED INTL STRATEGIC VALUE DIVIDEND FUND IS SYMBOL: IVFIX, CUSIP: 314172362	<input type="checkbox"/>	10/20/16	10/24/16	44,621.85	45,000.00	-378.15
161.588	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	12/11/15	04/01/16	3,002.30	3,092.79	-90.49
51.609	JANUS INVT FD OVERSEAS FD CL I SYMBOL: JIGFX, CUSIP: 47103C464	<input type="checkbox"/>	12/18/15	04/01/16	1,276.81	1,405.30	-128.49
Short-Term Subtotal					66,183.28		-495.25

LARRY B SMITH JR
 HARRIET H SMITH TTEES
 MARSH CHARITABLE TRUST
 ADVISOR ACCOUNT
 516 S ELM ST
 MARSHVILLE NC 28103-9100

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Schedule of Realized Gains and Losses (con't)

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
963.391	ADVISORS INNER CIRCLE CHAMPLAIN SMALL CO FD SYMBOL: CIPXS, CUSIP: 00764Q405	<input checked="" type="checkbox"/>	04/03/09	04/01/16	15,000.00	8,622.35	6,377.65
732.601	ADVISORS INNER CIRCLE FD II INC NEW CHAMPLAIN MID CAP FD SYMBOL: CIPMX, CUSIP: 00764Q744	<input type="checkbox"/>	08/14/12	04/01/16	10,000.00	8,512.82	1,487.18
282.520	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/14	10/20/16	5,543.04	7,209.92	-1,666.88
164.125	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/13	10/20/16	3,220.13	4,613.55	-1,393.42
217.707	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input checked="" type="checkbox"/>	04/22/10	10/20/16	4,271.41	5,083.46	-812.05
155.924	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/12	10/20/16	3,059.23	3,661.10	-601.87
167.879	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input checked="" type="checkbox"/>	12/30/11	10/20/16	3,293.79	3,854.51	-560.72
14.112	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/13	10/20/16	276.88	396.68	-119.80
20.891	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/12	10/20/16	409.88	490.51	-80.63
11.967	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/14	10/20/16	234.79	305.41	-70.62
10.759	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input checked="" type="checkbox"/>	12/30/10	10/20/16	211.09	260.47	-49.38
11.620	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input checked="" type="checkbox"/>	12/30/11	10/20/16	227.98	266.79	-38.81
4.289	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/13	10/20/16	84.15	120.57	-36.42
6.046	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/12	10/20/16	118.62	141.96	-23.34
6.149	AMG TR IV	<input checked="" type="checkbox"/>	12/30/09	10/20/16	120.64	139.09	-18.45

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HARRIET H SMITH TTEES
MARSH CHARITABLE TRUST
ADVISOR ACCOUNT
516 S ELM ST
MARSHVILLE NC 28103-9100

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Schedule of Realized Gains and Losses (con't)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597						
2.855	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input type="checkbox"/>	12/31/14	10/20/16	56.02	72.86	-16.84
3.421	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input checked="" type="checkbox"/>	12/30/10	10/20/16	67.12	82.82	-15.70
719.333	AMG TR IV MNGRS MNTG & CDWLL GRWTH CL N SYMBOL: MCGFX, CUSIP: 00171A597	<input checked="" type="checkbox"/>	04/03/09	10/20/16	14,113.31	12,480.43	1,632.89
5.532	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	12/23/14	10/20/16	957.26	1,004.00	-46.74
1.338	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	06/29/15	10/20/16	231.53	246.18	-14.65
3.101	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/30/15	10/20/16	536.60	544.80	-8.20
0.821	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	12/23/14	10/20/16	142.07	149.03	-6.96
1.150	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	06/27/14	10/20/16	199.00	204.74	-5.74
1.928	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/30/15	10/20/16	333.62	338.76	-5.14
0.734	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	09/29/14	10/20/16	127.01	131.82	-4.81
1.752	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/28/14	10/20/16	303.17	297.24	5.93
1.274	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	12/23/13	10/20/16	220.45	209.92	10.53
1.269	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	09/29/15	10/20/16	219.59	206.50	13.09
1.457	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	09/27/13	10/20/16	252.12	221.03	31.09
2.084	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	06/27/13	10/20/16	360.62	290.28	70.34
1.970	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/28/13	10/20/16	340.89	265.75	75.14
1.497	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	12/23/10	10/20/16	259.04	161.10	97.94
1.490	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	12/23/09	10/20/16	257.83	143.81	114.02

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2.271	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	12/21/12	10/20/16	392.97	278.55	114.42
2.142	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	09/27/12	10/20/16	370.65	255.28	115.37
1.469	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	09/29/09	10/20/16	254.20	134.31	119.89
2.203	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	03/30/11	10/20/16	381.21	248.45	132.76
1.850	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	09/29/10	10/20/16	320.12	179.16	140.96
2.053	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	03/30/10	10/20/16	355.25	208.57	146.68
2.851	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	03/29/12	10/20/16	493.34	328.45	164.89
2.841	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	06/29/11	10/20/16	491.61	311.66	179.95
2.272	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	06/29/10	10/20/16	393.15	209.27	183.88
2.619	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	09/29/11	10/20/16	453.19	250.47	202.72
2.853	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	12/22/11	10/20/16	493.68	285.47	208.21
2.368	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	06/29/09	10/20/16	409.76	182.44	227.32
3.700	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	06/28/12	10/20/16	640.25	393.39	246.86
127.816	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input type="checkbox"/>	10/13/14	10/20/16	22,117.28	21,500.00	617.28
219.471	DODGE & COX STOCK FUND SYMBOL: DODGX, CUSIP: 256219106	<input checked="" type="checkbox"/>	04/03/09	10/20/16	37,977.26	14,741.87	23,235.39
180.786	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	12/12/14	04/01/16	3,359.00	3,395.16	-36.16
5.074	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	12/13/13	04/01/16	94.27	88.44	5.83
8.626	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	12/13/13	04/01/16	160.27	150.35	9.92
5.654	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input checked="" type="checkbox"/>	12/10/10	04/01/16	105.05	74.92	30.13

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Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.187	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	12/14/12	04/01/16	189.27	151.38	37.89
12.416	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input checked="" type="checkbox"/>	12/14/09	04/01/16	230.69	141.91	88.78
305.035	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	12/13/13	04/01/16	5,667.55	5,316.76	350.79
221.806	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input checked="" type="checkbox"/>	04/22/10	04/01/16	4,121.16	2,805.84	1,315.32
1,040.222	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input type="checkbox"/>	07/20/12	04/01/16	19,327.32	15,000.00	4,327.32
835.653	IVY FDS INC LARGE CAP GROWTH FD CL I SYMBOL: IYGIX, CUSIP: 466001203	<input checked="" type="checkbox"/>	04/03/09	04/01/16	15,526.43	7,738.15	7,788.28
1,087.928	JANUS INVT FD OVERSEAS FD CL I SYMBOL: JIGFX, CUSIP: 47103C464	<input type="checkbox"/>	10/13/14	04/01/16	26,915.34	36,500.00	-9,584.66
12.572	JANUS INVT FD OVERSEAS FD CL I SYMBOL: JIGFX, CUSIP: 47103C464	<input type="checkbox"/>	12/18/14	04/01/16	311.03	386.34	-75.31
Long-Term Subtotal					206,600.18		34,613.34
Total Gains and Losses					272,783.46		34,118.09
Short-Term							-495.25
Long-Term							34,613.34
Term Unknown							0.00