

Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation Schoen Family Charitable Trust		A Employer identification number 206-771-963
Number and street (or P.O. box number if mail is not delivered to street address) 3552 DeKalb Lane	Room/suite	B Telephone number (see instructions) 920-284-7482
City or town, state or province, country, and ZIP or foreign postal code Neenah, WI 54956		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

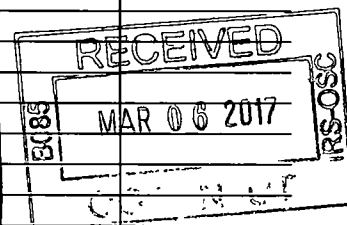
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	37,811			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments			N/A	
	4 Dividends and interest from securities	41,228	41,228		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,129			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		3,129		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)			N/A		
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	82,168	44,357			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	750	750		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	848	848		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,274	1,274		
	24 Total operating and administrative expenses. Add lines 13 through 23	2,872	2,872		
	25 Contributions, gifts, grants paid	76,916			76,916
26 Total expenses and disbursements. Add lines 24 and 25	79,788	41,485	N/A	76,916	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	2,380				
b Net investment income (if negative, enter -0-)		41,485			
c Adjusted net income (if negative, enter -0-)			N/A		

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		47,451	43,661	43,661
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U.S. and state government obligations (attach schedule)		777,355	784,898	1,747,773
	b Investments—corporate stock (attach schedule)				
	c Investments—corporate bonds (attach schedule)				
Liabilities	11 Investments—land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)				
	14 Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		824,806	828,559	1,791,434
	17 Accounts payable and accrued expenses				
	18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
Net Assets or Fund Balances	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)				
	31 Total liabilities and net assets/fund balances (see instructions)				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	824,806
2 Enter amount from Part I, line 27a	2	2,380
3 Other increases not included in line 2 (itemize) ▶ Div/Dis Adjust in 2017 for 2016	3	1,373
4 Add lines 1, 2, and 3	4	828,559
5 Decreases not included in line 2 (itemize) ▶	5	—
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	828,559

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Capital Gain Distributions		P		
b 249 shares Atlantic Trust Disc Equity AWEIX		D	various	7/26/16
c 955 shares Schwab Div Equity Fund SWDSX		P	various	7/26/16
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,868			1,868
b 4,006		2,823	1,183
c 14,120		14,042	78
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } **2** 3,129

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in
Part I, line 8 } **3** -

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	89,615	1,533,168	.05845
2014	75,229	1,435,353	.05241
2013	55,280	1,243,794	.04444
2012	51,930	1,059,371	.04902
2011	22,764	907,944	.04887

2 Total of line 1, column (d) **2** .25319

3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years **3** .05064

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 **4** 1,628,869

5 Multiply line 4 by line 3 **5** 82,480

6 Enter 1% of net investment income (1% of Part I, line 27b) **6** 415

7 Add lines 5 and 6 **7** 82,901

8 Enter qualifying distributions from Part XII, line 4 **8** 76,916
 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	830	-
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	830	-
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	830	-
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	830	-
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be. Credited to 2017 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		MA
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		MA
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	N/A	
14 The books are in care of ► <u>Jeff Mootz</u> Telephone no. ► <u>920-721-2481</u> Located at ► <u>3552 Dekalb Lane, Neenah, WI</u> ZIP+4 ► <u>54956-9048</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes	☐ No
If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☐ No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b N/A

6b

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John Schoen, 1225 Hiawatha East, Dubuque, IL 61025	Trustee 15 hrs week	0	0	0
Laura Mootz, 3552 Dekalb Lane, Neenah, WI 54956	Trustee/Mgr 5 hrs week	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
(none)				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
.....		
.....		
.....		
.....		
.....		
.....		
.....		

"NONE"

Total number of others receiving over \$50,000 for professional services ▶
Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
.....	
2	
.....	
.....	
3	
.....	
4	
.....	

N/A

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
.....	
2	
.....	
.....	
All other program-related investments. See instructions	
3	
.....	
Total. Add lines 1 through 3 ▶	

N/A

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,613,940
b	Average of monthly cash balances	1b	39,732
c	Fair market value of all other assets (see instructions)	1c	-
d	Total (add lines 1a, b, and c)	1d	1,653,674
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	-
2	Acquisition indebtedness applicable to line 1 assets	2	-
3	Subtract line 2 from line 1d	3	1,653,674
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	24,805
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,628,869
6	Minimum investment return. Enter 5% of line 5	6	81,443

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	81,443
2a	Tax on investment income for 2016 from Part VI, line 5	2a	830
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	313
c	Add lines 2a and 2b	2c	1,143
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	80,300
4	Recoveries of amounts treated as qualifying distributions	4	-
5	Add lines 3 and 4	5	80,300
6	Deduction from distributable amount (see instructions)	6	-
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	80,300

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	76,916
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	76,916
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	830
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	76,086

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				80,300
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			320	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ <u>76,910</u>				
a Applied to 2015, but not more than line 2a			320	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2016 distributable amount				76,596
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				3,704
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

John Schoen

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

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2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Laura Mootz 3552 DeKalb Lane Neenah, WI 54956

- b** The form in which applications should be submitted and information and materials they should include:

Written request, including name & address, purpose of request, tax exempt form

- c** Any submission deadlines:

June 15, 2016

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

none in 2016

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
See attachment					
Total					3a
b Approved for future payment					
N/A					
Total					3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.

		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

Schoen Family Charitable Trust

Employer identification number

206 771963

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Schoen Family Charitable Trust

Employer identification number

206771963

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	John Schoen 1225 Hiawatha East Dubuque, IL 61025-1025	\$ 18,500	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	Laura Mootz 3552 Dekalb Lane Neenah WI 54956	\$ 2,000	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	Sue Coblentz 27 W 153 West St. Naperville, IL 60565	\$ 1,000	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
4	Pete Schoen 1952 Wedgewood Dr. Asbury, IA 52002	\$ 500	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
5	Scott Vogt 390 Amy Ct. Glen Ellyn IL 60137	\$ 500	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
6	Dan Schoen 3065 Castlewoods Lane Dubuque, IA 52001	\$	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

Name of organization

Schoen Family Charitable Trust

Employer identification number

206 771 963

Part II**Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	250 shares I Shares TR SCAP ETF (IIR) Donor basis \$11,528	\$34,578	12/28/16
2	123 shares Atlantic Trust Equity (AWEIX) Donor basis \$ 1,213 ^{8m} 1,213	\$2,004	6/2/16
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Schoen Family Charitable Trust

Employer identification number

206-77-1963

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Line 16A	Legal Fees	CK #	Date	Vendor	Amount	Comments
Line 16C	Other Professional Fees					
		CK #	Date	Vendor	Amount	Comments
		307	2/10/16	Exponent Philantrophy	\$ 750.00	Membership Fee
Line 18	Taxes					
		CK #	Date	Vendor	Amount	Comments
		N/A	N/A	Foreign Tax Paid	\$ 319.28	On 1099 Form for Schoen Charitable Trust from Charles Schwab for 2014
		310	3/9/16	United States Treasury	\$ 534.00	205 Taxes
Line 23	Other Expenses					
		CK #	Date	Vendor	Amount	Comments
		311	3/7/16	US Post Office	\$ 76.94	Postage
		313	3/15/16	Office Max	\$ 243.52	Office Supplies
		312	3/15/16	Verizon	\$ 405.36	Phone
		Debit	11/2/16	Win Host	\$ 79.35	Office Supplies
		349	11/25/16	Minuteman Press	\$ 63.00	Business Cards
		350	11/23/16	Verizon	\$ 405.36	Phone
				Total	\$ 1,273.53	

Part 2 Schedule of Investments (1 of 2)

Line 10a

U.S. and State Government Obligations

none

Line 10b

Investments Corporate Stock

<u>Symbol</u>	<u>Investment</u>	12/31/16 Cost	12/31/16 <u>Market Value</u>
MO	Altria Group Inc	\$54,633	\$210,039
KFT	Kraft Foods Inc	\$3,679	\$36,762
MDLZ	Mondelez Intl	\$8,019	\$56,033
PM	Philip Morris Intl Inc	\$33,830	\$174,471
NOV	National OilWell Varco	\$4,812	\$5,429
CTL	Century Tell	\$754	\$380
PFF	ISHARES S&P US PFD FUND	\$19,980	\$20,466
EFA	ISHARES TR MSCI EAFE FD	\$47,871	\$44,452
IWO	ISHARES TR RUSSELL 2000 Growth	\$24,804	\$61,576
IWM	ISHARES TR RUSSELL 2000 Index Fund	\$64,171	\$158,718
IWN	ISHARES TR RUSSELL 2000 Value Index	\$43,568	\$85,353
IJR	ISHARES TR SCAP ETF	\$46,087	\$137,520
SDY	SPDR S&P Dividend ETF	\$16,096	\$27,322
DWX	SPDR Intl Dividend	\$25,073	\$17,597
DIM	Wisdomtree Intl MDCP Div	\$13,115	\$13,238
VWO	Vanguard Emerging Market	\$25,038	\$23,221
INTC	Intel Corp	\$40,880	\$72,540
USB	US Bancorp Del New	\$22,864	\$117,171
JMCVX	Perkins Mid Cap Value Fund	\$22,610	\$18,570
SWOIX	Laudus International Mar	\$13,621	\$14,667
HPE	Hewlett-Packard Enterprises	\$8,999	\$11,471
AGG	ISHARES TR Barclays Fund	\$10,119	\$10,158
LQD	ISHARES Iboxx Investopp	\$25,938	\$27,420
IJK	ISHARES S&P Mid-Cap 400	\$23,948	\$52,474
SCHC	Schwab Intl SCAP ETR	\$25,115	\$29,275

Part 2 Schedule of Investments (2 of 2)

Line 10b

<u>Symbol</u>	<u>Investment</u>	12/31/16 Cost Basis	12/31/16 <u>Market Value</u>
HD	Home Depot	\$15,116	\$68,381
DD	Dupont EI DE Nemour & Co	\$14,246	\$26,057
PSX	Phillips 66	\$3,454	\$11,838
COP	Conoco Phillips	\$11,621	\$13,738
CAT	Catepillar	\$15,022	\$19,661
CAH	Cardinal Health	\$14,860	\$33,898
T	AT & T NEW	\$14,919	\$22,924
ABBV	Abbvie INC	\$7,811	\$19,788
HPQ	HP Inc	\$10,087	\$17,887
CC	Chemours Company	\$763	\$1,568
QQQ	Powershare QQQ Trust	\$17,817	\$52,724
AWEIX	Atlantic Trust Disc Equity	\$1,213	\$2,032
LSBRX	Loomis Sayles Bond Fund	\$32,345	\$30,954
	Total	\$784,898	\$1,747,773

Part XV
Supplementary Information
Grants and Contributions Paid During the Year or Approved for Future Payment
3. (1 of 4)

Name and Address	Relationship	Foundation Status	Purpose	Amount
Two by Two Character Development 470 W. 4th Street Dubuque, IA 52001	N/A	501 (c) 3	Toolkit of Character	\$ 2,500.00
Autism Society Northeast Wisconsin 209 S Huron DePere, WI 54115	N/A	501 (c) 3	Autism Program in NE Wisconsin	\$ 2,000.00
Hills & Dales 1011 Davis Street Dubuque, IA 52001	N/A	501 (c) 3	Community Center roof replacement	\$ 2,500.00
Carnegie Stout Library Foundation P.O. Box 27 Dubuque, IA 52004-0027	N/A	501 (c) 3	Maker Space Project	\$ 1,000.00
Bear Necessities Pediatric Cancer Founc 55 W Wacker Drive Ste 1100 Chicago, IL 60601	N/A	501 (c) 3	Bear Hugs/Discoveries /Empower	\$ 1,000.00
Clarke University 1550 Clarke Dr. Dubuque, IA 52001	N/A	501 (c) 3	Clarke scholarship fund	\$ 1,000.00
Dubuque Symphony Orchestra 2728 Asbury Road Suite 900 Dubuque, IA 52001	N/A	501 (c) 3	Adopt a Musician	\$ 3,000.00
Camp Albrecht Acres PO Box 50 Sherrill, IA 52073-0050	N/A	501 (c) 3	Sensory Room	\$ 5,000.00
Boys & Girls Club of Oshkosh P.O. Box 411 Oshkosh, WI 54903	N/A	501 (c) 3	Mentoring program & 2016 fundraiser	\$ 2,000.00

Part XV
Supplementary Information (continued)
3. (2 OF 4) Grants and Contributions Paid During the Year or Approved for Future Payment

Name and Address	Relationship	Foundation Status	Purpose	Amount
Easter Seals of WI 101 Nob Hill Rd Suite 301 Madison, WI 53713	N/A	501 (c) 3	2 full camperships for low income campers to Camp Wawbeek	1,568.00
Feeding America 1700 West Fond Du Lac Ave Milwaukee, WI 53205	N/A	501 (c) 3	Meals for Hungry	3,500.00
Hospice of Dubuque 1670 JFK Road Dubuque, IA 52002	N/A	501 (c) 3	Staff safety equipment, education & medical supplies	6,000.00
Make A Wish Foundation of Iowa 3024 104th St Urbandale, Iowa 50322-3220	N/A	501 (c) 3	Help fund a wish	5,000.00
St Mark Youth Enrichment 1201 Locust St Dubuque, IA 52001	N/A	501 (c) 3	academic out of school learning & enrichment programs	3,500.00
Clarity Care 424 Washington Ave Oshkosh, WI 54901	N/A	501 (c) 3	Help at Home Program	2,500.00
University of Wisconsin Foundation 1848 University Avenue Madison, WI 53726	N/A	501 (c) 3	Carbone Cancer Center Fund	1,000.00
National Mississippi River Museum 350 East 3rd Street Dubuque, IA 52001	N/A	501 (c) 3	Mississippi Plaza Project	5,000.00
Foundation for the DBQ Public Schools 700 Locust St Suite 195 Dubuque, IA 52001	N/A	501 (c) 3	Sensory Rooms for DBQ public schools & The LEAP program	3,916.41

Part XV **Supplementary Information (continued)**
3. (3 OF 4) **Grants and Contributions Paid During the Year or Approved for Future Payment**

Name and Address	Relationship	Foundation Status	Purpose	Amount
Epworth United Methodist Church 102 East Main St Epworth, IA 52045	N/A	501 (c) 3	Cobra 3 hand truck for efficiency	436.00
DuRide 2728 Asbury Road Suite 330 Dubuque, IA 52001	N/A	501 (c) 3	Surviving without driving	2,000.00
Wonder Work 420 Fifth Avenue New York, NY 10018	N/A	501 (c) 3	Fund Eye Sugery for Blind	1,000.00
Father Carr's Place 2B 1062 N. Koeller St. Oshkosh, WI 54902	N/A	501 (c) 3	help replace water heater for Mother Teresa Center	1,000.00
Fox Valley Warming Shelter 1928 West College Ave Appleton, WI 54912-1261	N/A	501 (c) 3	bus tickets/residents transportation for jobs success	3,300.00
The Gathering Place 1001 Cherry St. Green Bay, WI 54301	N/A	501 (c) 3	replace flooring for menta health facility	1,000.00
Holy Family Catholic Schools 2005 Kane St Dubuque, IA 52001-0538	N/A	501 (c) 3	Soaring Wahler Catholic HS campaign	2,500.00

Part XV Supplementary Information (continued)				
3. (4 OF 4) Grants and Contributions Paid During the Year or Approved for Future Payment				
Community Foundation of Greater Dubuq 700 Locust St. Suite 195 Dubuque, IA 52001	N/A	501 (c) 3	Haiti Medical Pass- through Fund	\$ 1,000.00
St Stephens Food Bank 3145 Cedar Crest Ridge Dubuque, IA 52003	N/A	501 (c) 3	Tommy lift for safety & efficiency	\$ 4,400.00
Professional Fire Fighters of WI Foundatio 7N Pinckney St. Suite 200 Madison, WI 53703	N/A	501 © 3	Summer Camp for burned and injured Youth	\$ 1,000.00
Sisters of the Presentation 2360 Carter Rd. Dubuque , iowa 52001	N/A	501 (c) 3	Partners in mission	\$ 1,000.00
Hilcrest Family Services 2005 Asbury Rd. Dubuque, iowa 52001	N/A	501 (c) 3	Runde Auto Group Challe Campaign	\$ 1,000.00
MACC Fund 10000 W Innovation Dr. Suite 135 Milwaukee, WI 53226	N/A	501 (c) 3	support research for pediatric cancers and blood disorders	\$ 1,000.00
Mayo Clinic 200 First St. SW Rochester, MN 55905	N/A	501 (c) 3	help transform medicine for people everywhere	\$ 1,000.00
Unbond P.O. Box 410036 Kansas City, MO 64179-9879	N/A	501 (c) 3	sponsor 3 kids in DR	\$ 1,296.00
IMD Guest House 1933 West Polk St. Chicago, IL 60612	N/A	501 (c) 3	help provide temp housin for patients & their caregiver	\$ 1,000.00
Mississippi Valley Archaeology center 1725 State St. LaCrosse, WI 54601-3788	N/A	501 (c) 3	Educational Endowment	\$ 1,000.00
TOTAL			\$	76,916.41