Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE JUDGE SIDNEY RIGELHAUPT AND BERT

A Employer identification number
20-6757112

Number and street (or P O box number if mail is not delivered to street address)
C/O FARMERS TRUST CO 42 MCCLURG R

Room/suite

B Telephone number (see instructions)
(330) 743-7000

City or town, state or province, country, and ZIP or foreign postal code
YOUNGSTOWN, OH 44512

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,023,839

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	28	28		
	4	Dividends and interest from securities	22,884	22,884		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	2,607			
	b	Gross sales price for all assets on line 6a 403,774				
	7	Capital gain net income (from Part IV, line 2)		2,607		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	25,519	25,519		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	10,029	7,020		3,009
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)	4,629	2,315	0	2,315
	b	Accounting fees (attach schedule)	1,670	418	0	1,253
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	251	251		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	2,464			200
	24	**Total operating and administrative expenses.** Add lines 13 through 23	19,043	10,004	0	6,777
	25	Contributions, gifts, grants paid	50,000			50,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	69,043	10,004	0	56,777
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-43,524			
	b	**Net investment income** (if negative, enter -0-)		15,515		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	95,447	44,274	44,274
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	50,106	44,416	42,666
	b Investments—corporate stock (attach schedule)	385,907	370,519	408,707
	c Investments—corporate bonds (attach schedule)	152,052	179,217	179,439
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	365,487	366,748	348,753
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,048,999	1,005,174	1,023,839
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,048,999	1,005,174	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	1,048,999	1,005,174	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,048,999	1,005,174	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,048,999
2	Enter amount from Part I, line 27a	2	-43,524
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,005,475
5	Decreases not included in line 2 (itemize) ▶	5	301
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,005,174

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	2,607
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	52,788	996,594	0 052968
2014	43,021	1,041,035	0 041325
2013	49,155	956,477	0 051392
2012	49,609	899,426	0 055156
2011	47,106	913,983	0 051539

2	**Total** of line 1, column (d)	2	0 25238
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050476
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	983,788
5	Multiply line 4 by line 3	5	49,658
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	155
7	Add lines 5 and 6	7	49,813
8	Enter qualifying distributions from Part XII, line 4	8	56,777

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	155
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	155
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	155
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,987		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,987
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,832
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 1,832	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶FARMERS TRUST COMPANY Telephone no ▶(330) 743-7000

Located at ▶42 MCLURG ROAD YOUNGSTOWN OH ZIP+4 ▶44512

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
FARMERS TRUST COMPANY 42 MCCLURG ROAD YOUNGSTOWN, OH 44512	TRUSTEE 2	10,029		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			1a	960,646
b	Average of monthly cash balances.			1b	38,124
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	998,770
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	998,770
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	14,982
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	983,788
6	**Minimum investment return.** Enter 5% of line 5.			6	49,189

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	49,189
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	155		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	155
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	49,034
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	49,034
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	49,034

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	56,777
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	56,777
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	155
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	56,622

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				49,034
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014 , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 0				
b From 2012. 4,632				
c From 2013. 2,508				
d From 2014. 0				
e From 2015. 5,104				
f **Total** of lines 3a through e.	12,244			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 56,777				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				49,034
e Remaining amount distributed out of corpus	7,743			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	19,987			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	19,987			
10 Analysis of line 9				
a Excess from 2012. . . . 4,632				
b Excess from 2013. . . . 2,508				
c Excess from 2014. . . . 0				
d Excess from 2015. . . . 5,104				
e Excess from 2016. . . . 7,743				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YOUNGSTOWN STATE UNIVERSITY ONE UNIVERSITY PLAZA YOUNGSTOWN, OH 44555	N/A	UNIVERSITY	SCHOLARSHIPS	50,000
Total . . . . ▶ **3a**				50,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	28	
4 Dividends and interest from securities			14	22,884	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,607	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				25,519	

13 Total. Add line 12, columns (b), (d), and (e) 13 25,519

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-05	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30 ACTIVISION BLIZZARD INC		2015-11-20	2016-04-06
194 ACTIVISION BLIZZARD INC			2016-04-06
92 ADVANSIX INC		2015-10-09	2016-10-21
70 ANALOG DEVICES INC		2015-10-09	2016-01-20
138 ANALOG DEVICES INC		2015-10-09	2016-02-10
18 APPLE COMPUTER INC		2015-10-09	2016-10-06
29 BAKER HUGHES INC COM		2016-09-14	2016-12-01
1 BARD C R INC		2015-10-09	2016-12-01
1899 397 BLACKROCK GNMA INSTITUTIONAL			2016-03-31
683 585 BLACKROCK GNMA INSTITUTIONAL		2014-12-17	2016-03-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,012		1,111	-99
6,547		7,154	-607
15		13	2
3,505		4,030	-525
6,862		7,944	-1,082
2,048		2,015	33
1,871		1,406	465
209		188	21
18,747		18,786	-39
6,747		6,761	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-99
			-607
			2
			-525
			-1,082
			33
			465
			21
			-39
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
971 011 BLACKROCK GNMA INSTITUTIONAL		2014-12-17	2016-03-31
6250 BROADCOM CORP 2 700% 11/01/18		2013-09-25	2016-03-08
6208 61 BROADCOM CORP 2 700% 11/01/18		2013-09-25	2016-04-14
12541 39 BROADCOM CORP 2 700% 11/01/18		2013-09-25	2016-07-14
275 CBRE GROUP INC			2016-04-29
12 CME GROUP INC		2015-10-09	2016-12-01
19 CVS/CAREMARK CORP		2015-10-09	2016-03-16
140 CVS/CAREMARK CORP			2016-08-31
7 583 CALIFORNIA RESOURCES CORP			2016-04-07
110 CARDINAL HEALTH INC			2016-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,584		9,604	-20
5,750		6,459	-709
5,900		6,416	-516
12,353		12,961	-608
8,198		9,009	-811
1,377		1,077	300
1,910		1,943	-33
12,999		14,142	-1,143
8		10	-2
7,537		8,679	-1,142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			-709
			-516
			-608
			-811
			300
			-33
			-1,143
			-2
			-1,142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
126 CERNER CORP		2015-10-09	2016-02-10
5 CHEVRONTEXACO CORP		2016-05-20	2016-12-01
11 COGNIZANT TECH SOLUTIONS		2015-10-09	2016-03-16
20 COGNIZANT TECH SOLUTIONS		2015-10-09	2016-03-16
66 COGNIZANT TECH SOLUTIONS		2015-10-09	2016-10-06
17 CONOCOPHILLIPS		2016-06-15	2016-12-01
59 CONSTELLATION BRANDS INC		2015-10-09	2016-01-27
556 CORNING INC COM		2015-10-09	2016-01-13
71 CUMMINS INC		2015-10-09	2016-02-16
69 DARDEN RESTAURANTS INC COM		2015-10-09	2016-02-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,885		8,079	-1,194
570		499	71
634		735	-101
1,153		1,336	-183
3,369		4,407	-1,038
834		745	89
8,735		8,084	651
9,617		9,912	-295
7,062		8,132	-1,070
4,256		4,123	133

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,194
			71
			-101
			-183
			-1,038
			89
			651
			-295
			-1,070
			133

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
141 DARDEN RESTAURANTS INC COM		2015-10-09	2016-04-07
10 DISNEY WALT CO COM DISNEY		2015-10-09	2016-02-24
10 DISNEY WALT CO COM DISNEY		2015-10-09	2016-02-24
269 96 DREYFUS STANDISH GLOBAL FIXED INCOME CLASS I		2015-09-02	2016-07-05
1196 368 DREYFUS STANDISH GLOBAL FIXED INCOME CLASS I		2015-09-02	2016-10-28
175 241 DREYFUS STANDISH GLOBAL FIXED INCOME CLASS I		2016-03-22	2016-10-28
28 EASTMAN CHEM CO COM		2015-10-09	2016-04-07
112 EASTMAN CHEM CO COM		2015-10-09	2016-06-29
49 EXXON MOBIL CORP		2015-10-09	2016-05-20
25000 FEDERAL HOME LOAN BANK 2 870% 10/21/25-16 C		2015-10-09	2016-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,114		8,425	689
937		1,055	-118
937		1,055	-118
5,918		5,768	150
26,045		25,561	484
3,815		3,744	71
1,983		1,983	
7,491		7,930	-439
4,396		3,928	468
25,000		24,941	59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			689
			-118
			-118
			150
			484
			71
			-439
			468
			59

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 FEDEX CORP		2015-10-09	2016-12-01
32 FRANKLIN RESOURCES INC		2016-07-19	2016-12-01
145 GENERAL ELEC CO COM		2015-10-09	2016-08-18
11 GOLDMAN SACHS GROUP INC		2015-10-09	2016-02-05
8 GOLDMAN SACHS GROUP INC		2015-10-09	2016-07-19
52 GOLDMAN SACHS GROUP INC			2016-07-19
867 61 GOLDMAN SACHS SMALL/MID CAP GROWTH CLASS I		2014-01-07	2016-03-22
52 386 GOLDMAN SACHS SMALL/MID CAP GROWTH CLASS I			2016-09-22
582 233 GOLDMAN SACHS SMALL/MID CAP GROWTH CLASS I		2014-01-07	2016-09-22
21 HONEYWELL INTERNATIONAL INC		2015-10-09	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
965		784	181
1,267		1,109	158
4,516		4,088	428
1,719		1,983	-264
1,289		1,442	-153
8,376		8,900	-524
16,467		17,968	-1,501
1,101		1,085	16
12,239		12,058	181
2,390		2,134	256

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			181
			158
			428
			-264
			-153
			-524
			-1,501
			16
			181
			256

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
74 HOST HOTELS & RESORTS INC		2015-10-09	2016-12-01
13 JOHNSON & JOHNSON COM		2015-10-09	2016-03-22
20 JOHNSON & JOHNSON COM		2015-10-09	2016-05-11
157 827 JPMORGAN SMALL CAP CORE FUND SELECT		2013-12-20	2016-11-17
465 244 JP MORGAN MORTGAGE BACKED SECURITIES FUND CLASS I		2016-03-31	2016-07-05
16 LAUDER ESTEE COS INC		2016-01-27	2016-03-22
25 MICROSOFT CORP COM		2015-10-09	2016-12-01
13865 75 FED PRIME OBLIGATIONS FUND #396		2016-07-11	2016-08-01
2181 77 FED PRIME OBLIGATIONS FUND #396		2016-07-28	2016-08-01
244 NATIONAL OILWELL VARCO INC			2016-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,338		1,319	19
1,396		1,244	152
2,291		1,914	377
8,351		8,128	223
5,350		5,294	56
1,488		1,327	161
1,480		1,184	296
13,866		13,866	
2,182		2,182	
7,936		9,584	-1,648

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19
			152
			377
			223
			56
			161
			296
			-1,648

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 NEXTERA ENERGY INC		2015-10-09	2016-03-22
17 NEXTERA ENERGY INC		2015-10-09	2016-10-06
60 NUCOR CORP COM		2015-10-09	2016-12-01
81 OCCIDENTAL PETROLEUM CORP			2016-06-15
20 PEPSICO INC COM			2016-05-20
88 QUANTA SERVICES INC		2015-10-09	2016-12-01
47 SPDR S&P REGIONAL BANKING ETF		2015-10-09	2016-01-20
34 SPDR S&P REGIONAL BANKING ETF		2015-10-09	2016-10-28
39 SPDR S&P REGIONAL BANKING ETF		2015-10-09	2016-12-01
6 SPDR CONSUMER DISCRETIONARY ETF		2016-04-07	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,641		1,413	228
2,010		1,715	295
3,723		2,487	1,236
6,062		6,085	-23
2,014		2,017	-3
3,030		2,370	660
1,649		1,995	-346
1,478		1,443	35
2,074		1,655	419
491		469	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			228
			295
			1,236
			-23
			-3
			660
			-346
			35
			419
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
166 TJX COMPANIES INC		2015-10-09	2016-05-26
55 TRAVELERS COMPANIES INC			2016-09-28
77 TYSON FOODS INC		2015-10-09	2016-03-22
35 TYSON FOODS INC		2015-10-09	2016-10-12
12 895 VANGUARD REIT INDEX FUND ADMIRAL SHARES		2013-02-19	2016-03-18
12 366 VANGUARD REIT INDEX FUND ADMIRAL SHARES		2013-02-19	2016-05-11
269 457 VANGUARD INTERMEDIATE TERM INVESTMENT GRADE ADMIRAL SHARES		2014-03-12	2016-07-05
149 601 VANGUARD SHORT TERM INVESTMENT GRADE INSTL SHARES		2014-03-12	2016-07-05
26 VERIZON COMMUNICATIONS		2015-10-09	2016-03-22
75 VERIZON COMMUNICATIONS			2016-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,622		12,040	582
6,302		5,813	489
5,139		3,579	1,560
2,499		1,627	872
1,500		1,260	240
1,483		1,209	274
2,732		2,661	71
1,614		1,598	16
1,383		1,152	231
3,908		3,633	275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			582
			489
			1,560
			872
			240
			274
			71
			16
			231
			275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
88 VERIZON COMMUNICATIONS			2016-12-01
27 VISA INC		2015-10-09	2016-07-07
20 WAL MART STORES INC COM		2016-01-20	2016-12-01
49 INGERSOLL-RAND PLC		2016-02-16	2016-12-01
19 TE CONNECTIVITY LTD REG SHS		2016-04-06	2016-12-01
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,378		3,945	433
2,026		1,994	32
1,403		1,221	182
3,644		2,537	1,107
1,275		1,154	121
			2,805

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			433
			32
			182
			1,107
			121

TY 2016 Accounting Fees Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,670	418		1,253

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TY 2016 Investments Corporate Bonds Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Name of Bond	End of Year Book Value	End of Year Fair Market Value
25,000 EBAY 3.450%	24,951	24,610
25,000 DISNEY WALT CO 2.750% 8	25,340	25,450
25,000 FHLMC 1.500% 12/30/19	25,000	24,842
25,000 NATIONAL RURAL UTILITIE	28,240	27,224
25,000 PEPSICO INC 3.125% 11/1	25,759	25,802
25,000 MICROSOFT CORP 4.200%	25,311	26,566
25,000 BROADCOM CORP 2.700%		
25,000 AT&T INC 1.400%	24,616	24,945

TY 2016 Investments Corporate Stock Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Name of Stock	End of Year Book Value	End of Year Fair Market Value
143 SHS ACTIVISION BLIZZARD IN		
92 SHS AMGEN INC	13,678	13,451
208 SHS ANALOG DEVICES INC		
100 SHS APPLE COMPUTER INC	11,175	11,582
42 SHS BARD C R INC	7,891	9,436
241 SHS CBRE GROUP INC		
98 SHS CME GROUP INC	8,835	11,304
127 SHS CVS HEALTH CORP		
126 SHS CERNER CORP		
518 SHS CISCO SYS INC	14,391	15,654
160 SHS COGNIZANT TECH SOLUTIO	10,407	8,965
59 SHS CONSTELLATION BRANDS I		
556 SHS CORNING INC		
71 SHS CUMMINS INC		
210 SHS DARDEN RESTAURANTS INC		
134 SHS DISNEY WALT CO	14,117	13,965
140 SHS EASTMAN CHEMICAL CO		
101 SHS EXXON MOBIL CORP	8,096	9,116
46 SHS FEDEX CORP	7,127	8,565
430 SHS GENERAL ELEC CO	12,551	13,588
350 SHS GLAXOSMITHKLINE PLC	14,199	13,479
56 SHS GOLDMAN SACHS GROUP IN		
77 SHS HONEYWELL INTERN'L INC	8,079	8,920
618 SHS HOST HOTELS & RESORTS	10,762	11,643
119 SHS JOHNSON & JOHNSON	11,468	13,710
220 SHS MICROSOFT CORP	10,516	13,671
196 SHS NATIONAL OILWELL VARCO		
77 SHS NEXTERA ENERGY INC	7,779	9,198
213 SHS NUCOR CORP	9,354	12,678
81 SHS OCCIDENTAL PETROLEUM C		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
110 SHS PEPSICO INC	10,947	11,509
320 SHS PUBLIC SERVICE ENTERPR	13,621	14,042
262 SHS QUANTA SERVICES INC	6,847	9,131
166 SHS TJX COMPANIES INC		
78 SHS TRAVELERS COMPANIES IN	8,059	9,549
155 SHS TYSON FOODS INC	7,265	9,560
134 SHS VERIZON COMMUNICATIONS	6,033	7,153
143 SHS VISA INC	10,574	11,157
118 SHS INGERSOLL-RAND	6,623	8,855
237 SHS MICHAEL KORS HOLDINGS	10,096	10,186
163 SHS TE CONNECTIVITY	9,885	11,293
3 SHS ADVANSIX	42	66
17 SHS ALPHABET	12,673	13,472
137 SHS BAKER HUGHES	6,641	8,901
105 SHS CAPITAL ONE FINANCIAL	7,650	9,160
79 SHS CHEVRON CORP	7,895	9,298
136 SHS CONOCOPHILLIPS	5,926	6,819
553 SHS FORD MTR CO DEL	6,572	6,708
169 SHS FRANKLIN RESOURCES	5,863	6,689
285 SHS HP INC	4,337	4,229
70 SHS LABORATORY CORP OF AME	8,512	8,987
114 SHS LAUDER ESTEE COS	9,297	8,720
105 SHS JM SMUCKER	14,397	13,446
157 SHS WALMART STORES	10,339	10,852

TY 2016 Investments Government Obligations Schedule

Name:	THE JUDGE SIDNEY RIGELHAUPT AND BERT
EIN:	20-6757112
US Government Securities - End of Year Book Value:	44,416
US Government Securities - End of Year Fair Market Value:	42,666
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2016 Investments - Other Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
2,870.408 SHS BLACKROCK GNMA			
1,502.229 SHS GOLDMAN SACHS SM			
74.000 SHS ISHARES US TECH	AT COST	8,797	8,899
5,922.929 SHS IVY INTERN'L COR	AT COST	109,293	99,091
1,500.535 SHS VG INTERM TERM I	AT COST	14,812	14,465
1,466.328 SHS DREFUS STANDISH			
1,114.424 SHS VG SH TERM INVES	AT COST	11,905	11,846
2,615.675 SHS JPMORGAN MORT BK	AT COST	29,766	29,165
2,141.751 SHS FED INSTIT HIGH	AT COST	21,192	21,118
253.000 SHS SPDR S&P REGIONA	AT COST	10,483	14,059
1,485.698 SHS GOLDMAN SACHS IN	AT COST	15,283	15,451
88.000 SHS SPDR MATERIAL SE	AT COST	4,297	4,374
189.000 SHS SPDR CONSUMER DI	AT COST	14,830	15,385
4,432.949 SHS PIMCO COMM REAL	AT COST	45,493	31,740
3,082.586 SHS PIMCO FORN BD FD	AT COST	29,901	28,391
522.206 SHS JPMORGAN SM CAP	AT COST	26,813	26,210
244.363 SHS VG REIT INDEX FD	AT COST	23,883	28,559

TY 2016 Legal Fees Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	4,629	2,315		2,315

TY 2016 Other Decreases Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Description	Amount
ROUNDING	3
WASH SALE ADJUSTMENT	298

TY 2016 Other Expenses Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISC EXPENSES (NON-CHARITABLE)	2,264	0		0
STATE OF OHIO FILING FEE	200	0		200

TY 2016 Taxes Schedule

Name: THE JUDGE SIDNEY RIGELHAUPT AND BERT

EIN: 20-6757112

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	37	37		0
FOREIGN TAXES ON QUALIFIED FOR	204	204		0
FOREIGN TAXES ON NONQUALIFIED	10	10		0