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EXTENDED TO NOVEMBER 15, 2017

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Form 990-PF

Department of the Treasury

Internal Revenue Service

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Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

|  |  |  |
|--|--|--|
| Name of foundation<br><b>THE POSES FAMILY FOUNDATION</b>   |  | A Employer identification number<br><b>20-6375470</b>  |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>145 HUDSON STREET, SUITE 5B</b>  |  | B Telephone number<br><b>646-613-8934</b>  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>NEW YORK, NY 10013</b>  |  | C If exemption application is pending, check here <input type="checkbox"/>   |
| G Check all that apply<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |  | D 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust<br><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Other taxable private foundation   |  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br><b>\$ 397,370,270</b>   |  | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |
| J Accounting method<br><input checked="" type="checkbox"/> Cash<br><input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____  |  |  |

| Part II Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received   |  | 7,506,000.                         |                           | N/A                     |   |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B  |  |                                    |                           |                         |   |
| 3 Interest on savings and temporary cash investments   |  | 15,106.                            | 12,877.                   |                         | STATEMENT 1   |
| 4 Dividends and interest from securities   |  | 9,456,091.                         | 9,456,091.                |                         | STATEMENT 2   |
| 5a Gross rents   |  |                                    |                           |                         |   |
| b Net rental income or (loss)  |  |                                    |                           |                         |   |
| 6a Net gain or (loss) from sale of assets not on line 10   |  | 4,835,804.                         |                           |                         |   |
| b Gross sales price for all assets on line 6a <b>20,849,241.</b>   |  |                                    | 4,835,804.                |                         |   |
| 7 Capital gain net income (from Part IV, line 2)   |  |                                    |                           |                         |   |
| 8 Net short-term capital gain  |  |                                    |                           |                         |   |
| 9 Income modifications   |  |                                    |                           |                         |   |
| 10a Gross sales less returns and allowances  |  |                                    |                           |                         |   |
| b Less: Cost of goods sold   |  |                                    |                           |                         |   |
| c Gross profit or (loss)   |  |                                    |                           |                         |   |
| 11 Other income  |  | 254,403.                           | 254,403.                  |                         | STATEMENT 3   |
| 12 Total. Add lines 1 through 11   |  | 22,067,404.                        | 14,559,175.               |                         |   |
| 13 Compensation of officers, directors, trustees, etc.   |  | 0.                                 | 0.                        |                         | 0.  |
| 14 Other employee salaries and wages   |  | 2,571,214.                         | 0.                        |                         | 2,571,214.  |
| 15 Pension plans, employee benefits  |  | 636,169.                           | 0.                        |                         | 636,169.  |
| 16a Legal fees STMT 4  |  | 295,565.                           | 0.                        |                         | 295,565.  |
| b Accounting fees STMT 5   |  | 16,470.                            | 8,235.                    |                         | 8,235.  |
| c Other professional fees STMT 6   |  | 3,096,934.                         | 426,726.                  |                         | 2,670,208.  |
| 17 Interest  |  | 396,513.                           | 396,513.                  |                         | 0.  |
| 18 Taxes STMT 7  |  | 67,475.                            | 66,814.                   |                         | 661.  |
| 19 Depreciation and depletion  |  | 173,005.                           | 0.                        |                         |   |
| 20 Occupancy   |  | 88,012.                            | 14,481.                   |                         | 73,531.   |
| 21 Travel, conferences, and meetings   |  | 508,521.                           | 0.                        |                         | 508,521.  |
| 22 Printing and publications   |  |                                    |                           |                         |   |
| 23 Other expenses STMT 8   |  | 6,445,160.                         | 765,669.                  |                         | 5,672,914.  |
| 24 Total operating and administrative expenses. Add lines 13 through 23  |  | 14,295,038.                        | 1,678,438.                |                         | 12,437,018.   |
| 25 Contributions, gifts, grants paid   |  | 8,746,888.                         |                           |                         | 8,746,888.  |
| 26 Total expenses and disbursements. Add lines 24 and 25   |  | 23,041,926.                        | 1,678,438.                |                         | 21,183,906.   |
| 27 Subtract line 26 from line 12   |  |                                    |                           |                         |   |
| a Excess of revenue over expenses and disbursements  |  | <974,522.>                         |                           |                         |   |
| b Net investment income (if negative enter 0)  |  |                                    | 12,880,737.               |                         |   |
| c Adjusted net income (if negative enter 0)  |  |                                    |                           | N/A                     |   |

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| Part II Balance Sheets      |   | Beginning of year | End of year    |                |
|-----------------------------|---|-------------------|----------------|----------------|
|                             |   |                   | (a) Book Value | (b) Book Value |
| Assets                      | 1 Cash - non-interest-bearing   | 440.              | 1,101.         | 1,101.         |
|                             | 2 Savings and temporary cash investments  | 6,771,860.        | 8,167,212.     | 8,167,212.     |
|                             | 3 Accounts receivable ▶   |                   |                |                |
|                             | Less: allowance for doubtful accounts ▶   |                   |                |                |
|                             | 4 Pledges receivable ▶  |                   |                |                |
|                             | Less: allowance for doubtful accounts ▶   |                   |                |                |
|                             | 5 Grants receivable   |                   |                |                |
|                             | 6 Receivables due from officers, directors, trustees, and other disqualified persons  |                   |                |                |
|                             | 7 Other notes and loans receivable ▶  |                   |                |                |
|                             | Less: allowance for doubtful accounts ▶   |                   |                |                |
|                             | 8 Inventories for sale or use   |                   |                |                |
|                             | 9 Prepaid expenses and deferred charges   |                   |                |                |
|                             | 10a Investments - U.S. and state government obligations   |                   |                |                |
|                             | b Investments - corporate stock STMT 10   | 360,393,697.      | 332,140,666.   | 371,674,413.   |
|                             | c Investments - corporate bonds   |                   |                |                |
| Liabilities                 | 11 Investments - land, buildings and equipment basis ▶  |                   |                |                |
|                             | Less: accumulated depreciation ▶  |                   |                |                |
|                             | 12 Investments - mortgage loans   |                   |                |                |
|                             | 13 Investments - other STMT 11  | 660,000.          | 13,242,656.    | 13,242,656.    |
|                             | 14 Land, buildings, and equipment; basis ▶ 4,301,456.   |                   |                |                |
|                             | Less: accumulated depreciation STMT 9 ▶ 600,111.  | 3,839,850.        | 3,701,345.     | 3,701,345.     |
|                             | 15 Other assets (describe ▶ STATEMENT 12)   | 67,939.           | 583,543.       | 583,543.       |
|                             | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                              | 371,733,786.      | 357,836,523.   | 397,370,270.   |
|                             | 17 Accounts payable and accrued expenses  |                   |                |                |
|                             | 18 Grants payable   |                   |                |                |
| Net Assets or Fund Balances | 19 Deferred revenue   |                   |                |                |
|                             | 20 Loans from officers, directors, trustees, and other disqualified persons   | 13,000,000.       |                |                |
|                             | 21 Mortgages and other notes payable  |                   |                |                |
|                             | 22 Other liabilities (describe ▶ STATEMENT 13)  | 311,400.          | 388,659.       |                |
|                             | 23 Total liabilities (add lines 17 through 22)  | 13,311,400.       | 388,659.       |                |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/> |                   |                |                |
|                             | 24 Unrestricted   |                   |                |                |
|                             | 25 Temporarily restricted   |                   |                |                |
|                             | 26 Permanently restricted   |                   |                |                |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>   |                   |                |                |
|                             | 27 Capital stock, trust principal, or current funds   | 250,312,257.      | 250,312,257.   |                |
|                             | 28 Paid-in or capital surplus, or land, bldg., and equipment fund   | 0.                | 0.             |                |
|                             | 29 Retained earnings, accumulated income, endowment, or other funds   | 108,110,129.      | 107,135,607.   |                |
| Net Assets or Fund Balances | 30 Total net assets or fund balances  | 358,422,386.      | 357,447,864.   |                |
|                             | 31 Total liabilities and net assets/fund balances   | 371,733,786.      | 357,836,523.   |                |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |   |              |
|---|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 358,422,386. |
| 2 Enter amount from Part I, line 27a  | 2 | <974,522.>   |
| 3 Other increases not included in line 2 (itemize) ▶  | 3 | 0.           |
| 4 Add lines 1, 2, and 3   | 4 | 357,447,864. |
| 5 Decreases not included in line 2 (itemize) ▶  | 5 | 0.           |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30   | 6 | 357,447,864. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a   |  |                                      |                                  |
| b SEE ATTACHED STATEMENTS  |  |                                      |                                  |
| c  |  |                                      |                                  |
| d  |  |                                      |                                  |
| e  |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     |  |   |  |
| b                     |  |   |  |
| c                     |  |   |  |
| d                     |  |   |  |
| e 20,849,241.         |  | 16,013,437.                                     | 4,835,804.                                   |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   |   |
| b                         |                                      |   |   |
| c                         |                                      |   |   |
| d                         |                                      |   |   |
| e                         |                                      |   | 4,835,804.  |

|  |   |   |            |
|--|---|---|------------|
| 2 Capital gain net income or (net capital loss)  | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div> | 2 | 4,835,804. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 |   | 3 | N/A        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2015  | 25,229,523.                           | 302,035,779.                              | .083532  |
| 2014  | 26,076,671.                           | 291,489,248.                              | .089460  |
| 2013  | 16,885,573.                           | 227,348,067.                              | .074272  |
| 2012  | 13,831,029.                           | 301,985,828.                              | .045800  |
| 2011  | 12,198,614.                           | 247,396,692.                              | .049308  |

|  |   |              |
|--|---|--------------|
| 2 Total of line 1, column (d)  | 2 | .342372      |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .068474      |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5   | 4 | 361,839,044. |
| 5 Multiply line 4 by line 3  | 5 | 24,776,567.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)   | 6 | 128,807.     |
| 7 Add lines 5 and 6  | 7 | 24,905,374.  |
| 8 Enter qualifying distributions from Part XII, line 4   | 8 | 21,183,906.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|  |  |    |          |
|--|--|----|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |  |    |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b  |  | 1  | 257,615. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).   |  |    |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  |  | 2  | 0.       |
| 3 Add lines 1 and 2  |  | 3  | 257,615. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  |  | 4  | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-  |  | 5  | 257,615. |
| 6 Credits/Payments.  |  |    |          |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016  |  | 6a | 650,534. |
| b Exempt foreign organizations - tax withheld at source  |  | 6b |          |
| c Tax paid with application for extension of time to file (Form 8868)  |  | 6c |          |
| d Backup withholding erroneously withheld  |  | 6d |          |
| 7 Total credits and payments. Add lines 6a through 6d  |  | 7  | 650,534. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached  |  | 8  |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed  |  | 9  |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid   |  | 10 | 392,919. |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> 392,919. Refunded <input type="checkbox"/> 0.  |  | 11 | 0.       |

**Part VII-A Statements Regarding Activities**

|  | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?   |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.   |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.   |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities   |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?   |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?   |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T   |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?         | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV   | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>  |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV   |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  |     | X  |

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**Part VII-A Statements Regarding Activities** (continued)

|  | Yes | No |
|--|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► N/A  | X   |    |
| 14 The books are in care of ► DAVID WAGGETT Telephone no. ► 646-613-8934<br>Located at ► 145 HUDSON STREET SUITE 5B, NEW YORK, NY ZIP+4 ► 10013  |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year<br>► 15 N/A   |     |    |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|  | Yes | No  |
|--|-----|-----|
| 1a During the year did the foundation (either directly or indirectly):<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |     |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>  | 1b  | X   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  | 1c  | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):<br>a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?<br>If "Yes," list the years ► , , ,<br>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)<br>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>► , , ,  | 2b  | N/A |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |     |     |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)   | 3b  | X   |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a  | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?  | 4b  | X   |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address        | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------|---|---|---|---------------------------------------|
| FREDERIC POSES              | TRUSTEE   |   |   |                                       |
| 145 HUDSON STREET, SUITE 5B | 5.00  | 0.  | 0.  | 0.                                    |
| NEW YORK, NY 10013          |   |   |   |                                       |
| SHELLY LONDON               | PRESIDENT   |   |   |                                       |
| 145 HUDSON STREET, SUITE 5B | 40.00   | 0.  | 0.  | 0.                                    |
| NEW YORK, NY 10013          |   |   |   |                                       |
| NADINE ELLERTHORPE          | TREASURER   |   |   |                                       |
| 145 HUDSON STREET, SUITE 5B | 5.00  | 0.  | 0.  | 0.                                    |
| NEW YORK, NY 10013          |   |   |   |                                       |
|                             |   |   |   |                                       |
|                             |   |   |   |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000           | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| JILL O NAGLE - 145 HUDSON STREET, SUITE 5B, NEW YORK, NY 10013          | VICE PRESIDENT  | 40.00            | 272,556.  | 24,303.                               |
| ASHLEY L SANDVI - 145 HUDSON STREET, SUITE 5B, NEW YORK, NY 10013       | VICE PRESIDENT  | 40.00            | 230,333.  | 20,320.                               |
| PRISCILLA C RODRIGUEZ - 145 HUDSON STREET, SUITE 5B, NEW YORK, NY 10013 | VICE PRESIDENT  | 40.00            | 229,500.  | 20,655.                               |
| ROBERT B CUNNINGHAM - 145 HUDSON STREET, SUITE 5B, NEW YORK, NY 10013   | ADVISOR   | 24.00            | 213,334.  | 19,200.                               |
| JULIA TAO - 145 HUDSON STREET, SUITE 5B, NEW YORK, NY 10013             | DIRECTOR OF ADVISING                                      | 40.00            | 170,750.  | 15,367.                               |

Total number of other employees paid over \$50,000

0

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**Part VII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                              | (b) Type of service              | (c) Compensation |
|--|----------------------------------|------------------|
| OASIS TECHNOLOGY PARTNERS INC<br>101 ARCH STREET 21ST FLOOR, BOSTON, MA 02110            | WEBSITE DESIGN AND<br>CONSULTING | 1,348,218.       |
| RIGHTPOINT CONSULTING - 99 NORTH WACKER<br>DRIVE, 4TH FLOOR, CHICAGO, IL 60606           | WEBSITE DESIGN AND<br>CONSULTING | 912,067.         |
| LEADERS MATTER LLC<br>74 MUMI DRIVE, FORT LAUDERDALE, FL 33301                           | MANAGEMENT<br>CONSULTING         | 355,030.         |
| SQ ADVISORS - 1400 GULFSHORE BLVD SUITE 184,<br>NAPLES, FL 34102                         | INVESTMENT MANAGER               | 324,181.         |
| GLOBAL DISABILITY INCLUSION LLC - 10 VERSAGGI<br>DRIVE SUITE 100, ST AUGUSTINE, FL 32080 | DISABILITY<br>CONSULTING         | 320,386.         |
| Total number of others receiving over \$50,000 for professional services                 |                                  | 32               |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                       | Expenses   |
|-----------------------|------------|
| 1<br>SEE STATEMENT 14 | 4,998,758. |
| 2<br>SEE STATEMENT 15 | 1,444,280. |
| 3<br>SEE STATEMENT 16 | 1,000,000. |
| 4<br>SEE STATEMENT 17 | 390,450.   |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|  | Amount |
|--|--------|
| 1 NONE   | 0.     |
| 2  |        |
| All other program-related investments. See instructions. |        |
| 3 NONE   | 0.     |
| Total. Add lines 1 through 3                             | 0.     |

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |   |    |              |
|---|---|----|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |              |
| a | Average monthly fair market value of securities   | 1a | 361,752,803. |
| b | Average of monthly cash balances  | 1b | 5,596,480.   |
| c | Fair market value of all other assets   | 1c |              |
| d | Total (add lines 1a, b, and c)  | 1d | 367,349,283. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.           |
| 2 | Acquisition indebtedness applicable to line 1 assets  | 2  | 0.           |
| 3 | Subtract line 2 from line 1d  | 3  | 367,349,283. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 5,510,239.   |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 361,839,044. |
| 6 | Minimum investment return. Enter 5% of line 5   | 6  | 18,091,952.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |  |    |             |
|----|--|----|-------------|
| 1  | Minimum investment return from Part X, line 6  | 1  | 18,091,952. |
| 2a | Tax on investment income for 2016 from Part VI, line 5   | 2a | 257,615.    |
| b  | Income tax for 2016. (This does not include the tax from Part VI.)                                 | 2b |             |
| c  | Add lines 2a and 2b  | 2c | 257,615.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 17,834,337. |
| 4  | Recoveries of amounts treated as qualifying distributions  | 4  | 0.          |
| 5  | Add lines 3 and 4  | 5  | 17,834,337. |
| 6  | Deduction from distributable amount (see instructions)   | 6  | 0.          |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 17,834,337. |

**Part XII** Qualifying Distributions (see instructions)

|   |   |    |             |
|---|---|----|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |    |             |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | 1a | 21,183,906. |
| b | Program-related investments - total from Part IX-B  | 1b | 0.          |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |             |
| 3 | Amounts set aside for specific charitable projects that satisfy the:  |    |             |
| a | Suitability test (prior IRS approval required)  | 3a |             |
| b | Cash distribution test (attach the required schedule)   | 3b |             |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 21,183,906. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.          |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4  | 6  | 21,183,906. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7   |               |                            |             | 17,834,337. |
| 2 Undistributed income, if any, as of the end of 2016  |               |                            |             |             |
| a Enter amount for 2015 only   |               |                            | 0.          |             |
| b Total for prior years:   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2016.   |               |                            |             |             |
| a From 2011  | 402,733.      |                            |             |             |
| b From 2012  | 350,592.      |                            |             |             |
| c From 2013  | 5,841,846.    |                            |             |             |
| d From 2014  | 11,785,997.   |                            |             |             |
| e From 2015  | 10,471,082.   |                            |             |             |
| f Total of lines 3a through e  | 28,852,250.   |                            |             |             |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 21,183,906.  |               |                            |             |             |
| a Applied to 2015, but not more than line 2a   |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)  | 460,500.      |                            |             |             |
| d Applied to 2016 distributable amount   |               |                            |             | 17,834,337. |
| e Remaining amount distributed out of corpus   | 2,889,069.    |                            |             |             |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d) the same amount must be shown in column (a))  | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:   | 32,201,819.   |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |             |
| e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instr.   |               |                            | 0.          |             |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017  |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7   | 402,733.      |                            |             |             |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a  | 31,799,086.   |                            |             |             |
| 10 Analysis of line 9:   |               |                            |             |             |
| a Excess from 2012   | 350,592.      |                            |             |             |
| b Excess from 2013   | 5,841,846.    |                            |             |             |
| c Excess from 2014   | 11,785,997.   |                            |             |             |
| d Excess from 2015   | 10,471,082.   |                            |             |             |
| e Excess from 2016   | 3,349,569.    |                            |             |             |

|                 |  |
|-----------------|--|
| <b>Part XIV</b> | <b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9) |
|-----------------|--|

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

▶

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c "Support" alternative test - enter:**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

FREDERIC POSES

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

- b. The form in which applications should be submitted and information and materials they should include:

N/A

- c Any submission deadlines:**

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|---|--|--------------------------------------|-------------------------------------|------------|
| a Paid during the year  |  |                                      |                                     |            |
| ABILITY BEYOND DISABILTY<br>480 BEDFORD ROAD<br>CHAPPAQUA, NY 10514                       | NONE   | PC                                   | GENERAL                             | 83,000.    |
| ACHIEVEMENT NETWORK<br>225 FRIEND ST SUITE 704<br>BOSTON, MA 02114                        | NONE   | PC                                   | GENERAL                             | 167,500.   |
| ACHILLES INTERNATIONAL<br>42 WEST 38TH ST 4TH FLOOR<br>NEW YORK, NY 10018                 | NONE   | PC                                   | GENERAL                             | 1,000.     |
| AMAZON SUPPORT SERVICES NORTHWEST<br>CENTER<br>7272 W MARGINAL WAY S<br>SEATTLE, WA 98108 | NONE   | PC                                   | GENERAL                             | 257,575.   |
| AMERICAN REFUGEE COMMITTEE<br>615 1ST AVE NE SUITE 500<br>MINNEAPOLIS, MN 55413           | NONE   | PC                                   | GENERAL                             | 1,000.     |
| Total SEE CONTINUATION SHEET(S)   |  |                                      |                                     | 8,746,888. |
| b Approved for future payment   |  |                                      |                                     |            |
| NONE  |  |                                      |                                     |            |
|   |  |                                      |                                     |            |
|   |  |                                      |                                     |            |
| Total   |  |                                      |                                     | 0.         |

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**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? |  | Yes   | No |
|---|--|-------|----|
| <b>a</b>  | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|   | (1) Cash   | 1a(1) | X  |
|   | (2) Other assets   | 1a(2) | X  |
| <b>b</b>  | Other transactions:  |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|   | (4) Reimbursement arrangements   | 1b(4) | X  |
|   | (5) Loans or loan guarantees   | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| <b>c</b>  | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| <b>d</b>  | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


**Sign Here**

*Nadine Ellettsorpe*

*11-14-17*

**TREASURER**

May the IRS discuss this return with the preparer shown below (see Instr. 1)?  
☒ Yes    ☐ No

|                                       |  |   |                         |   |                          |
|---------------------------------------|--|---|-------------------------|---|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name<br><b>ROBERT J CRIGLER,<br/>CPA</b>             | Preparer's signature<br> | Date<br><b>11/14/17</b> | Check <input type="checkbox"/> if self-employed | PTIN<br><b>P00025827</b> |
|                                       | Firm's name ▶ <b>MARINER WEALTH ADVISORS-MADISON, LLC</b>                  |   |                         | Firm's EIN ▶ <b>80-0882908</b>                  |                          |
|                                       | Firm's address ▶ <b>ONE GIRALDA FARMS, SUITE 130<br/>MADISON, NJ 07940</b> |   |                         | Phone no. <b>973-984-3352</b>                   |                          |

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

THE POSES FAMILY FOUNDATION

Employer identification number

20-6375470

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)**

Name of organization

Employer identification number

THE POSES FAMILY FOUNDATION

20-6375470

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|---|----------------------------|---|
| 1          | FREDERIC POSES<br>145 HUDSON STREET, SUITE 5B<br>NEW YORK, NY 10013                   | \$ 2,000,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 2          | POSES 2008 FAMILY TRUST<br>PO BOX 2630<br>WESTPORT, CT 06880                          | \$ 5,000,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 3          | EMILY HALL TREMAINE FOUNDATION<br>171 ORANGE STREET<br>NEW HAVEN, CT 06510            | \$ 460,500.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 4          | PROCTOR & GAMBLE<br>1 P&G PLAZA<br>CINCINNATI, OH 45202                               | \$ 30,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 5          | INSTITUTE OF CAREER DEVELOPMENT<br>123 WILLIAM STREET 5TH FLOOR<br>NEW YORK, NY 10038 | \$ 7,500.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 6          | KESSLER FOUNDATION<br>120 EAGLE ROCK AVENUE<br>EAST HANOVER, NJ 07936                 | \$ 7,500.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |



Name of organization

Employer identification number

THE POSES FAMILY FOUNDATION

20-6375470

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                      | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|--|----------------------------|---|
| 7          | BIG HEART WINE FOUNDATION<br>6 BRIARBROOK TRAIL<br>DES PERES, MO 63131 | \$ 500.                    | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 8          |  | \$                         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 9          |  | \$                         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 10         |  | \$                         | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |  | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |



Name of organization

Employer identification number

## THE POSES FAMILY FOUNDATION

20-6375470

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|---|-----------------|--|
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |
|                           | (e) Transfer of gift                    |                 |  |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |   |                 |  |
|                           |   |                 |  |
|                           |   |                 |  |

## THE POSES FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a FIDELITY 6179 SEE ATTACHED  |  | P  |                                      |                                  |
| b UNITED PARCEL SERVICE  |  | P  | 05/31/13                             | 02/04/16                         |
| c UNITED PARCEL SERVICE  |  | P  | 05/31/13                             | 02/02/16                         |
| d UNITED PARCEL SERVICE  |  | P  | 05/09/13                             | 02/02/16                         |
| e UNITED PARCEL SERVICE  |  | P  | 08/21/13                             | 02/05/16                         |
| f UNITED PARCEL SERVICE  |  | P  | 08/21/13                             | 02/09/16                         |
| g UNITED PARCEL SERVICE  |  | P  | 08/21/13                             | 02/10/16                         |
| h UNITED PARCEL SERVICE  |  | P  | 05/31/13                             | 05/05/16                         |
| i AIRBUS GROUP F ADR   |  | P  | 12/15/14                             | 08/03/16                         |
| j AIRBUS GROUP F ADR   |  | P  | 12/15/14                             | 10/26/16                         |
| k AMETEK INC   |  | P  | 07/13/15                             | 11/16/16                         |
| l AMETEK INC   |  | P  | 07/02/15                             | 11/16/16                         |
| m AMETEK INC   |  | P  | 07/01/15                             | 11/16/16                         |
| n BROOKFIELD ASSET MGM F CLASS A   |  | P  | 03/04/15                             | 08/02/16                         |
| o BROOKFIELD ASSET MGM F CLASS A   |  | P  | 03/03/15                             | 08/02/16                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 3,115,572.          |  | 2,897,797.                                      | 217,775.                                     |
| b 267,512.            |  | 239,866.  | 27,646.                                      |
| c 309,879.            |  | 283,054.  | 26,825.                                      |
| d 88,037.             |  | 82,305.   | 5,732.                                       |
| e 134,919.            |  | 121,555.  | 13,364.                                      |
| f 350,150.            |  | 308,800.  | 41,350.                                      |
| g 455,231.            |  | 404,923.  | 50,308.                                      |
| h 169,749.            |  | 153,231.  | 16,518.                                      |
| i 235,780.            |  | 213,247.  | 22,533.                                      |
| j 160,257.            |  | 136,700.  | 23,557.                                      |
| k 322,805.            |  | 378,671.  | <55,866.>                                    |
| l 47,735.             |  | 55,639.   | <7,904.>                                     |
| m 112,524.            |  | 130,499.  | <17,975.>                                    |
| n 123,337.            |  | 133,549.  | <10,212.>                                    |
| o 45,447.             |  | 48,706.   | <3,259.>                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 217,775.  |
| b                         |                                      |   | 27,646.   |
| c                         |                                      |   | 26,825.   |
| d                         |                                      |   | 5,732.  |
| e                         |                                      |   | 13,364.   |
| f                         |                                      |   | 41,350.   |
| g                         |                                      |   | 50,308.   |
| h                         |                                      |   | 16,518.   |
| i                         |                                      |   | 22,533.   |
| j                         |                                      |   | 23,557.   |
| k                         |                                      |   | <55,866.>   |
| l                         |                                      |   | <7,904.>  |
| m                         |                                      |   | <17,975.>   |
| n                         |                                      |   | <10,212.>   |
| o                         |                                      |   | <3,259.>  |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE POSES FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                                 | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|---------------------------------|--|--------------------------------------|----------------------------------|
| 1a   | BROOKFIELD ASSET MGM F CLASS A  | P  | 03/03/15                             | 08/03/16                         |
| b  | BROOKFIELD ASSET MGM F CLASS A  | P  | 05/08/12                             | 08/05/16                         |
| c  | BROOKFIELD ASSET MGM F CLASS A  | P  | 05/09/12                             | 08/05/16                         |
| d  | BROOKFIELD ASSET MGM F CLASS A  | P  | 04/08/13                             | 08/05/16                         |
| e  | BROOKFIELD ASSET MGM F CLASS A  | P  | 08/11/14                             | 08/05/16                         |
| f  | BROOKFIELD ASSET MGM F CLASS A  | P  | 02/19/15                             | 08/05/16                         |
| g  | BROOKFIELD ASSET MGM F CLASS A  | P  | 03/03/15                             | 08/05/16                         |
| h  | BROOKFIELD ASSET MGM F CLASS A  | P  | 03/03/15                             | 08/11/16                         |
| i  | BROOKFIELD ASSET MGM F CLASS A  | P  | 02/19/15                             | 08/11/16                         |
| j  | BROOKFIELD ASSET MGM F CLASS A  | P  | 02/19/15                             | 08/12/16                         |
| k  | BROOKFIELD ASSET MGM F CLASS A  | P  | 02/19/15                             | 08/15/16                         |
| l  | BROOKFIELD ASSET MGM F CLASS A  | P  | 03/06/15                             | 08/15/16                         |
| m  | BROOKFIELD ASSET MGM F CLASS A  | P  | 03/06/15                             | 08/18/16                         |
| n  | BROOKFIELD ASSET MGM F CLASS A  | P  | 03/06/15                             | 08/24/16                         |
| o  | BROOKFIELD BUSINESS PARTNERS LP | P  | 06/20/16                             | 08/02/16                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 110,253.            |  | 117,595.  | <7,342.>                                     |
| b 17.                 |  | 11.   | 6.   |
| c 17.                 |  | 11.   | 6.   |
| d 17.                 |  | 12.   | 5.   |
| e 17.                 |  | 15.   | 2.   |
| f 17.                 |  | 18.   | <1.>   |
| g 17.                 |  | 18.   | <1.>   |
| h 76,565.             |  | 78,204.   | <1,639.>                                     |
| i 69,743.             |  | 71,177.   | <1,434.>                                     |
| j 85,778.             |  | 88,998.   | <3,220.>                                     |
| k 52,406.             |  | 54,257.   | <1,851.>                                     |
| l 119,029.            |  | 123,221.  | <4,192.>                                     |
| m 55,584.             |  | 58,111.   | <2,527.>                                     |
| n 9,419.              |  | 9,884.  | <465.>                                       |
| o 53,932.             |  | 56,099.   | <2,167.>                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | <7,342.>  |
| b                         |                                      |   | 6.  |
| c                         |                                      |   | 6.  |
| d                         |                                      |   | 5.  |
| e                         |                                      |   | 2.  |
| f                         |                                      |   | <1.>  |
| g                         |                                      |   | <1.>  |
| h                         |                                      |   | <1,639.>  |
| i                         |                                      |   | <1,434.>  |
| j                         |                                      |   | <3,220.>  |
| k                         |                                      |   | <1,851.>  |
| l                         |                                      |   | <4,192.>  |
| m                         |                                      |   | <2,527.>  |
| n                         |                                      |   | <465.>  |
| o                         |                                      |   | <2,167.>  |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

THE POSES FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                      | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|----------------------|--|--------------------------------------|----------------------------------|
| 1a   | CHARLES SCHWAB CORP  | P  | 04/13/15                             | 11/14/16                         |
| b  | CHARLES SCHWAB CORP  | P  | 04/13/15                             | 11/15/16                         |
| c  | CHARLES SCHWAB CORP  | P  | 04/10/15                             | 11/15/16                         |
| d  | CROWN HOLDINGS INC   | P  | 05/09/12                             | 06/20/16                         |
| e  | CROWN HOLDINGS INC   | P  | 05/09/12                             | 06/21/16                         |
| f  | CROWN HOLDINGS INC   | P  | 05/09/12                             | 06/22/16                         |
| g  | CROWN HOLDINGS INC   | P  | 05/09/12                             | 06/23/16                         |
| h  | CROWN HOLDINGS INC   | P  | 08/26/11                             | 06/23/16                         |
| i  | CROWN HOLDINGS INC   | P  | 08/26/11                             | 07/21/16                         |
| j  | CROWN HOLDINGS INC   | P  | 08/26/11                             | 07/22/16                         |
| k  | CROWN HOLDINGS INC   | P  | 08/26/11                             | 07/25/16                         |
| l  | CROWN HOLDINGS INC   | P  | 08/26/11                             | 07/26/16                         |
| m  | LIBERTY GLOBAL INC F | P  | 08/24/15                             | 07/01/16                         |
| n  | NESTLE SA ORDF       | P  | 05/06/14                             | 06/24/16                         |
| o  | NESTLE SA ORDF       | P  | 05/05/14                             | 06/24/16                         |

|   | (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|---|-----------------------|--|---|--|
| a | 373,232.              |  | 312,391.  | 60,841.                                      |
| b | 69,762.               |  | 59,535.   | 10,227.                                      |
| c | 303,713.              |  | 256,969.  | 46,744.                                      |
| d | 282,864.              |  | 193,968.  | 88,896.                                      |
| e | 348,836.              |  | 240,885.  | 107,951.                                     |
| f | 277,235.              |  | 191,111.  | 86,124.                                      |
| g | 84,194.               |  | 57,722.   | 26,472.                                      |
| h | 185,215.              |  | 113,582.  | 71,633.                                      |
| i | 291,506.              |  | 177,465.  | 114,041.                                     |
| j | 276,014.              |  | 170,258.  | 105,756.                                     |
| k | 310,232.              |  | 191,749.  | 118,483.                                     |
| l | 61,284.               |  | 37,675.   | 23,609.                                      |
| m | 7.                    |  | 10.   | <3.>   |
| n | 263,717.              |  | 276,219.  | <12,502.>                                    |
| o | 283,718.              |  | 296,541.  | <12,823.>                                    |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 60,841.   |
| b                         |                                      |   | 10,227.   |
| c                         |                                      |   | 46,744.   |
| d                         |                                      |   | 88,896.   |
| e                         |                                      |   | 107,951.  |
| f                         |                                      |   | 86,124.   |
| g                         |                                      |   | 26,472.   |
| h                         |                                      |   | 71,633.   |
| i                         |                                      |   | 114,041.  |
| j                         |                                      |   | 105,756.  |
| k                         |                                      |   | 118,483.  |
| l                         |                                      |   | 23,609.   |
| m                         |                                      |   | <3.>  |
| n                         |                                      |   | <12,502.>   |
| o                         |                                      |   | <12,823.>   |

|   |   |   |  |
|---|---|---|--|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }   | 2 |  |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | 3 |  |

## THE POSES FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co |                       | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|-----------------------|--|--------------------------------------|----------------------------------|
| 1a  | NESTLE SA ORDF        | P  | 01/03/14                             | 06/24/16                         |
| b   | NESTLE SA ORDF        | P  | 01/03/14                             | 06/27/16                         |
| c   | NESTLE SA ORDF        | P  | 01/03/14                             | 08/18/16                         |
| d   | NESTLE SA ORDF        | P  | 01/03/14                             | 08/24/16                         |
| e   | NESTLE SA ORDF        | P  | 01/03/14                             | 10/24/16                         |
| f   | NESTLE SA ORDF        | P  | 01/03/14                             | 11/14/16                         |
| g   | NESTLE SA ORDF        | P  | 01/02/14                             | 11/14/16                         |
| h   | NESTLE SA ORDF        | P  | 11/07/14                             | 11/14/16                         |
| i   | VALEANT PHARMA INTL F | P  | 10/20/15                             | 08/17/16                         |
| j   | VALEANT PHARMA INTL F | P  | 10/21/15                             | 08/17/16                         |
| k   | VALEANT PHARMA INTL F | P  | 05/09/12                             | 08/17/16                         |
| l   | VALEANT PHARMA INTL F | P  | 05/09/12                             | 08/18/16                         |
| m   | VALEANT PHARMA INTL F | P  | 05/09/12                             | 08/19/16                         |
| n   | VALEANT PHARMA INTL F | P  | 05/09/12                             | 08/22/16                         |
| o   | VALEANT PHARMA INTL F | P  | 10/19/11                             | 08/22/16                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     | 44,002.                                    | 43,360.   | 642.   |
| b                     | 427,276.                                   | 428,128.  | <852.>                                       |
| c                     | 255,999.                                   | 225,050.  | 30,949.                                      |
| d                     | 94,318.                                    | 85,918.   | 8,400.                                       |
| e                     | 335,769.                                   | 336,444.  | <675.>                                       |
| f                     | 519,276.                                   | 559,450.  | <40,174.>                                    |
| g                     | 708,110.                                   | 758,995.  | <50,885.>                                    |
| h                     | 389,051.                                   | 416,743.  | <27,692.>                                    |
| i                     | 101,861.                                   | 546,211.  | <444,350.>                                   |
| j                     | 84,278.                                    | 319,938.  | <235,660.>                                   |
| k                     | 35,513.                                    | 64,588.   | <29,075.>                                    |
| l                     | 15,485.                                    | 26,744.   | <11,259.>                                    |
| m                     | 83,038.                                    | 151,216.  | <68,178.>                                    |
| n                     | 50,764.                                    | 88,531.   | <37,767.>                                    |
| o                     | 31,519.                                    | 38,660.   | <7,141.>                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 642.  |
| b                         |                                      |   | <852.>  |
| c                         |                                      |   | 30,949.   |
| d                         |                                      |   | 8,400.  |
| e                         |                                      |   | <675.>  |
| f                         |                                      |   | <40,174.>   |
| g                         |                                      |   | <50,885.>   |
| h                         |                                      |   | <27,692.>   |
| i                         |                                      |   | <444,350.>  |
| j                         |                                      |   | <235,660.>  |
| k                         |                                      |   | <29,075.>   |
| l                         |                                      |   | <11,259.>   |
| m                         |                                      |   | <68,178.>   |
| n                         |                                      |   | <37,767.>   |
| o                         |                                      |   | <7,141.>  |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

THE POSES FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                       | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|-----------------------|--|--------------------------------------|----------------------------------|
| 1a   | VALEANT PHARMA INTL F | P  | 10/19/11                             | 08/24/16                         |
| b  | VALEANT PHARMA INTL F | P  | 10/19/11                             | 08/30/16                         |
| c  | VALEANT PHARMA INTL F | P  | 10/19/11                             | 09/08/16                         |
| d  | VALEANT PHARMA INTL F | P  | 10/19/11                             | 09/22/16                         |
| e  | WELLS FARGO & CO      | P  | 09/01/15                             | 11/03/16                         |
| f  | WELLS FARGO & CO      | P  | 08/01/13                             | 11/03/16                         |
| g  | WELLS FARGO & CO      | P  | 08/01/13                             | 11/04/16                         |
| h  | WELLS FARGO & CO      | P  | 03/01/13                             | 11/04/16                         |
| i  | WELLS FARGO & CO      | P  | 05/09/12                             | 11/04/16                         |
| j  | WELLS FARGO & CO      | P  | 05/09/12                             | 11/07/16                         |
| k  | WELLS FARGO & CO      | P  | 05/09/12                             | 11/09/16                         |
| l  | WELLS FARGO & CO      | P  | 05/09/12                             | 11/10/16                         |
| m  | WELLS FARGO & CO      | P  | 05/09/12                             | 11/14/16                         |
| n  | WELLS FARGO & CO      | P  | 05/09/12                             | 11/16/16                         |
| o  | WELLS FARGO & CO      | P  | 08/26/11                             | 11/16/16                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 106,044.            |  | 121,148.  | <15,104.>                                    |
| b 63,547.             |  | 78,398.   | <14,851.>                                    |
| c 80,028.             |  | 99,141.   | <19,113.>                                    |
| d 148,375.            |  | 203,449.  | <55,074.>                                    |
| e 4,144.              |  | 4,725.  | <581.>                                       |
| f 314,302.            |  | 305,491.  | 8,811.                                       |
| g 50,917.             |  | 50,192.   | 725.   |
| h 65,241.             |  | 51,300.   | 13,941.                                      |
| i 199,269.            |  | 145,307.  | 53,962.                                      |
| j 345,485.            |  | 248,868.  | 96,617.                                      |
| k 192,119.            |  | 131,981.  | 60,138.                                      |
| l 185,228.            |  | 118,852.  | 66,376.                                      |
| m 105,809.            |  | 64,861.   | 40,948.                                      |
| n 145,629.            |  | 92,364.   | 53,265.                                      |
| o 139,847.            |  | 65,663.   | 74,184.                                      |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | <15,104.>   |
| b                         |                                      |   | <14,851.>   |
| c                         |                                      |   | <19,113.>   |
| d                         |                                      |   | <55,074.>   |
| e                         |                                      |   | <581.>  |
| f                         |                                      |   | 8,811.  |
| g                         |                                      |   | 725.  |
| h                         |                                      |   | 13,941.   |
| i                         |                                      |   | 53,962.   |
| j                         |                                      |   | 96,617.   |
| k                         |                                      |   | 60,138.   |
| l                         |                                      |   | 66,376.   |
| m                         |                                      |   | 40,948.   |
| n                         |                                      |   | 53,265.   |
| o                         |                                      |   | 74,184.   |

|   |   |   |
|---|---|---|
| 2 Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } | 2 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | }   | 3 |



THE POSES FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                                   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|-----------------------------------|--|--------------------------------------|----------------------------------|
| 1a   | WELLS FARGO & CO                  | P  | 08/26/11                             | 12/09/16                         |
| b  | WELLS FARGO & CO                  | P  | 08/26/11                             | 12/13/16                         |
| c  | WELLS FARGO & CO                  | P  | 08/26/11                             | 12/15/16                         |
| d  | WELLS FARGO & CO                  | P  | 08/26/11                             | 12/16/16                         |
| e  | WELLS FARGO & CO                  | P  | 08/26/11                             | 12/19/16                         |
| f  | SK CAPITAL PARTNERS III LP K-1    | P  | VARIOUS                              | 12/31/16                         |
| g  | AG NET LEASE REALTY FUND II LP    | P  | VARIOUS                              | 12/31/16                         |
| h  | CALL TEL \$65 EXP - 6970          | P  | 01/15/16                             | 01/15/16                         |
| i  | KG SPECIAL OPORTUNITY FUND LP K-1 | P  | VARIOUS                              | 12/31/16                         |
| j  | MAKENA CAPITAL SPLITTER X K-1     | P  | VARIOUS                              | 12/31/16                         |
| k  | OSAGE UNIVERSITY PARTNERS K-1     | P  | VARIOUS                              | 12/31/16                         |
| l  | OSAGE VENTURE PARTNERS K-1        | P  | VARIOUS                              | 12/31/16                         |
| m  | SK III BLUE AIV LP K-1            | P  | VARIOUS                              | 12/31/16                         |
| n  | AG NET LEASE REALTY DEBT K-1      | P  | VARIOUS                              | 12/31/16                         |
| o  | SKCAPITAL PTRS III                | P  | VARIOUS                              | 12/31/16                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     | 353,803.                                   | 150,693.  | 203,110.                                     |
| b                     | 383,091.                                   | 166,667.  | 216,424.                                     |
| c                     | 175,655.                                   | 75,916.   | 99,739.                                      |
| d                     | 257,635.                                   | 112,929.  | 144,706.                                     |
| e                     | 257,648.                                   | 113,390.  | 144,258.                                     |
| f                     | 363,400.                                   |   | 363,400.                                     |
| g                     | 2,338.                                     |   | 2,338.                                       |
| h                     | 323,126.                                   |   | 323,126.                                     |
| i                     | 9,205.                                     |   | 9,205.                                       |
| j                     | 892,954.                                   |   | 892,954.                                     |
| k                     |  | 38,013.   | <38,013.>                                    |
| l                     | 2,360.                                     |   | 2,360.                                       |
| m                     | 3,017.                                     |   | 3,017.                                       |
| n                     | 21,152.                                    |   | 21,152.                                      |
| o                     | 7,127.                                     |   | 7,127.                                       |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |   |
| a   |                                      |   | 203,110.  |
| b   |                                      |   | 216,424.  |
| c   |                                      |   | 99,739.   |
| d   |                                      |   | 144,706.  |
| e   |                                      |   | 144,258.  |
| f   |                                      |   | 363,400.  |
| g   |                                      |   | 2,338.  |
| h   |                                      |   | 323,126.  |
| i   |                                      |   | 9,205.  |
| j   |                                      |   | 892,954.  |
| k   |                                      |   | <38,013.>   |
| l   |                                      |   | 2,360.  |
| m   |                                      |   | 3,017.  |
| n   |                                      |   | 21,152.   |
| o   |                                      |   | 7,127.  |

|   |   |   |  |
|---|---|---|--|
| 2 Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } | 2 |  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 |   | 3 |  |

## THE POSES FAMILY FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|---|--|--------------------------------------|----------------------------------|
| 1a  | SKCP III ROSE AIV LP K-1                    | P  | VARIOUS                              | 12/31/16                         |
| b   | ARROWSTREET                                 | P  |                                      | 12/31/16                         |
| c   | AG SUPER FUND                               | P  |                                      | 12/31/16                         |
| d   | ECF VALUE FUND                              | P  |                                      | 12/31/16                         |
| e   | BRIGADE CREDIT                              | P  |                                      | 12/31/16                         |
| f   | GAIN ON EXCESS RETIREMENT PLAN CONTRIBUTION | P  |                                      | 12/31/16                         |
| g   | CORRECTION OF PARTNERSHIP BASIS             | P  |                                      | 12/31/16                         |
| h   | CAPITAL GAINS DIVIDENDS                     |  |                                      |                                  |
| i   |   |  |                                      |                                  |
| j   |   |  |                                      |                                  |
| k   |   |  |                                      |                                  |
| l   |   |  |                                      |                                  |
| m   |   |  |                                      |                                  |
| n   |   |  |                                      |                                  |
| o   |   |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 322,298.            |  |   | 322,298.                                     |
| b                     |  | 661,860.  | <661,860.>                                   |
| c 1,209,585.          |  |   | 1,209,585.                                   |
| d 311,734.            |  |   | 311,734.                                     |
| e 567,291.            |  |   | 567,291.                                     |
| f 13.                 |  |   | 13.  |
| g 66,798.             |  |   | 66,798.                                      |
| h 13,494.             |  |   | 13,494.                                      |
| i                     |  |   |  |
| j                     |  |   |  |
| k                     |  |   |  |
| l                     |  |   |  |
| m                     |  |   |  |
| n                     |  |   |  |
| o                     |  |   |  |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |   |
| a   |                                      |   | 322,298.  |
| b   |                                      |   | <661,860.>  |
| c   |                                      |   | 1,209,585.  |
| d   |                                      |   | 311,734.  |
| e   |                                      |   | 567,291.  |
| f   |                                      |   | 13.   |
| g   |                                      |   | 66,798.   |
| h   |                                      |   | 13,494.   |
| i   |                                      |   |   |
| j   |                                      |   |   |
| k   |                                      |   |   |
| l   |                                      |   |   |
| m   |                                      |   |   |
| n   |                                      |   |   |
| o   |                                      |   |   |

|   |   |   |            |
|---|---|---|------------|
| 2 Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } | 2 | 4,835,804. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | }   | 3 | N/A        |

## THE POSES FAMILY FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--|--|--------------------------------------|-------------------------------------|------------|
| ART 21<br>231 WEST 29TH STREET SUITE 706<br>NEW YORK, NY 10001                           | NONE   | PC                                   | GENERAL                             | 30,000.    |
| BROOKLYN ANIMAL ACTION<br>285 5TH AVE PMB #456<br>BROOKLYN, NY 11215                     | NONE   | PC                                   | GENERAL                             | 1,000.     |
| BUSINESS LEADERSHIP NETWORK OF<br>GREATER KC<br>PO BOX 901296<br>KANSAS CITY, MO 64190   | NONE   | PC                                   | GENERAL                             | 200,000.   |
| CAMBRIDGE IN AMERICA<br>1120 AVENUE OF THE AMERICAS 17TH FLOOR<br>NEW YORK, NY 10036     | NONE   | PC                                   | GENERAL                             | 1,000.     |
| CARTER HOPE CENTER<br>506 HAWTHORNE ST<br>DALTON, GA 30721                               | NONE   | PC                                   | GENERAL                             | 1,000.     |
| CENTER FOR HEAD INJURY SERVICES<br>11786 WESTLINE INDUSTRIAL DRIVE<br>ST LOUIS, MO 63146 | NONE   | PC                                   | GENERAL                             | 62,500.    |
| CHILDFIRST<br>917 BRIDGEPORT AVE<br>SHELTON, CT 06484                                    | NONE   | PC                                   | GENERAL                             | 1,000.     |
| CHILDRENS CANCER RESEARCH FUND<br>7301 OHMS LANE SUITE 355<br>MINNEAPOLIS, MN 55439      | NONE   | PC                                   | GENERAL                             | 1,000.     |
| COMMON SENSE MEDIA<br>1230 AVENUE OF THE AMERICAS 3RD FL<br>NEW YORK, NY 10020           | NONE   | PC                                   | GENERAL                             | 166,000.   |
| COMMUNITY ENTERPRISES<br>441 PLEASANT STREET<br>NORTHAMPTON, MA 01060                    | NONE   | PC                                   | GENERAL                             | 50,000.    |
| Total from continuation sheets   |  |                                      |                                     | 8,236,813. |

## THE POSES FAMILY FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|-------------------------------------|----------|
| CONTRIBUTIONS FROM K-1S   | NONE   | PC                                   | GENERAL                             | 2,423.   |
| DOCTORS WITHOUT BORDERS<br>333 7TH AVENUE 2ND FL<br>NEW YORK, NY 10001                            | NONE   | PC                                   | GENERAL                             | 1,000.   |
| DONORSCHOOSE<br>134 WEST 37TH STREET 11TH FL<br>NEW YORK, NY 10018                                | NONE   | PC                                   | GENERAL                             | 1,000.   |
| DRAKE UNIVERSITY<br>2507 UNIVERSITY AVENUE<br>DES MOINES, IA 60311-4505                           | NONE   | PC                                   | GENERAL                             | 10,000.  |
| EYE TO EYE<br>1430 BROADWAY<br>NEW YORK, NY 10018   | NONE   | PC                                   | GENERAL                             | 390,450. |
| FACIAL PAIN RESEARCH FOUNDATION<br>2653 SW 87TH DRIVE SUITE A<br>GAINESVILLE, FL 32608-9313       | NONE   | PC                                   | GENERAL                             | 1,000.   |
| FLORIDA SCHOOL FOR THE DEAF AND BLIND<br>207 SAN MARCO AVE<br>ST AUGUSTINE, FL 32084              | NONE   | PC                                   | GENERAL                             | 1,000.   |
| FORTUNE SOCIETY<br>29-76 NORTHERN BOULEVARD<br>LONG ISLAND CITY, NY 11101                         | NONE   | PC                                   | GENERAL                             | 2,000.   |
| FRANKLIN INSTITUTE<br>222 NORTH 20TH STREET<br>PHILADELPHIA, PA 19103                             | NONE   | PC                                   | GENERAL                             | 15,000.  |
| GEORGIA VOCATIONAL REHABILITATION<br>AGENCY<br>200 PIEDMONT AVE SE 5TH FL<br>WEST TOWER, GA 30334 | NONE   | PC                                   | GENERAL                             | 96,794.  |
| Total from continuation sheets  |  |                                      |                                     |          |

## THE POSES FAMILY FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--|--|--------------------------------------|-------------------------------------|----------|
| GREATER WASHINGTON EDUCATIONAL<br>TELECOMM ASSOC<br>3939 CAMPBELL AVE<br>ARLINGTON, VA 22206 | NONE   | PC                                   | GENERAL                             | 195,000. |
| HASKINS LABORATORIES INC.<br>300 GEORGE STREET<br>NEW HAVEN, CT 06511                        | NONE   | PC                                   | GENERAL                             | 19,200.  |
| INTERFAITH HOSPITALITY NETWORK OF<br>ESSEX<br>46 PARK STREET<br>MONTCLAIR, NJ 07042-3441     | NONE   | PC                                   | GENERAL                             | 1,000.   |
| KESSLER FOUNDATION<br>120 EAGLE ROCK AVE<br>EAST HANOVER, NJ 07936                           | NONE   | PC                                   | GENERAL                             | 32,461.  |
| LAKE HOPATCONG FOUNDATION<br>37 NOLAN'S POINT PARK ROAD<br>LAKE HOPATCONG, NJ 07849          | NONE   | PC                                   | GENERAL                             | 1,000.   |
| LEARNING DISABILITIES ASSOCIATION<br>4156 LIBRARY ROAD<br>PITTSBURGH, PA 15234               | NONE   | PC                                   | GENERAL                             | 5,000.   |
| LEARNING GAMES NETWORK<br>222 THIRD STREET SUITE 0300<br>CAMBRIDGE 02142                     | NONE   | PC                                   | GENERAL                             | 16,936.  |
| MARTHA'S TABLE<br>2114 14TH STREET NW<br>WASHINGTON, DC 20009                                | NONE   | PC                                   | GENERAL                             | 1,030.   |
| MATHENY MEDICAL AND EDUCATIONAL<br>CENTER<br>65 HIGHLANDS AVE<br>PEAPACK, NJ 07977           | NONE   | PC                                   | GENERAL                             | 1,000.   |
| MEDS & FOOD FOR KIDS<br>4488 FOREST PARK BLVD STE 230<br>ST LOUIS, MO 63108                  | NONE   | PC                                   | GENERAL                             | 10,000.  |
| Total from continuation sheets   |  |                                      |                                     |          |

## THE POSES FAMILY FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--|--|--------------------------------------|-------------------------------------|------------|
| NATIONAL CENTER FOR LEARNING<br>DISABILITIES<br>381 PARK AVENUE SOUTH<br>NEW YORK, NY 10016    | NONE   | PC                                   | GENERAL                             | 3,381,558. |
| NATIONAL DISABILITY INSTITUTE<br>1667 K STREET NW NO 640<br>WASHINGTON, DC 20006               | NONE   | PC                                   | GENERAL                             | 25,000.    |
| NATIONAL PARKS CONSERVATION<br>ASSOCIATION<br>777 6TH STREET NW NO 700<br>WASHINGTON, DC 20001 | NONE   | PC                                   | GENERAL                             | 1,000.     |
| NEW MUSEUM<br>235 BOWERY<br>NEW YORK, NY 10002   | NONE   | PC                                   | GENERAL                             | 32,240.    |
| NEW PROFIT<br>200 CLARENDON STREET<br>BOSTON, MA 02116   | NONE   | PC                                   | GENERAL                             | 1,000,000. |
| NEWARK SCHOOLS<br>2 CEDAR STREET<br>NEWARK, NJ 07102   | NONE   | PC                                   | GENERAL                             | 1,000.     |
| NVLD PROJECT<br>177 EAST 87TH STREET<br>NEW YORK, NY 10128                                     | NONE   | PC                                   | GENERAL                             | 1,000.     |
| NYU STERN SCHOOL OF BUSINESS<br>44 W 4TH STREET RM 7-78<br>NEW YORK, NY 10012                  | NONE   | PC                                   | GENERAL                             | 52,150.    |
| NYU<br>25 WEST 4TH STREET 4TH FLOOR<br>NEW YORK, NY 10012                                      | NONE   | PC                                   | GENERAL                             | 1,000.     |
| OHIO BUSINESS LEADERSHIP NETWORK<br>7100 N HIGH STREET SUITE 209<br>COLUMBUS, OH 43085         | NONE   | PC                                   | GENERAL                             | 150,000.   |
| Total from continuation sheets   |  |                                      |                                     |            |

## THE POSES FAMILY FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--|--|--------------------------------------|-------------------------------------|----------|
| ORATORIO SOCIETY OF NJ<br>115 INWOOD AVENUE<br>MONTCLAIR, NJ 07043                             | NONE   | PC                                   | GENERAL                             | 1,000.   |
| PARTNERSHIP FOR DRUG-FREE KIDS<br>352 PARK AVENUE SOUTH 9TH FLOOR<br>NEW YORK, NY 10010        | NONE   | PC                                   | GENERAL                             | 1,000.   |
| PLANNED PARENTHOOD<br>26 BLEEKER ST<br>NEW YORK, NY 10012                                      | NONE   | PC                                   | GENERAL                             | 2,000.   |
| PRESIDENT AND FELLOWS OF HAVARD<br>COLLEGE<br>86 BRATTLE STREET<br>CAMBRIDGE, MA 02138         | NONE   | PC                                   | GENERAL                             | 237,021. |
| RESPECTABILITY USA<br>11333 WOODGLEN DRIVE #102<br>ROCKVILLE, MD 20852                         | NONE   | PC                                   | GENERAL                             | 25,000.  |
| ROADTRIP NATION EDUCATION<br>1626 PLACENTIA AVE<br>COSTA MESA, CA 92627                        | NONE   | PC                                   | GENERAL                             | 97,500.  |
| SAN FRANCISCO AIDS FOUNDATION -<br>AIDS/LIFE CYCLE<br>PO BOX 426182<br>SAN FRANCISCO, CA 94142 | NONE   | PC                                   | GENERAL                             | 3,000.   |
| SETTLEMENT HOME FOR CHILDREN<br>240 FRISCH COURT SUITE 100<br>PARAMUS, NJ 07652                | NONE   | PC                                   | GENERAL                             | 1,000.   |
| SOUTHERN POVERTY LAW CENTER<br>400 WASHINGTON AVENUE<br>MONTGOMERY, AL 36104                   | NONE   | PC                                   | GENERAL                             | 1,000.   |
| SPECIAL OLYMPICS MASSACHUSETTS<br>512 FOREST STREET<br>MARLBOROUGH, MA 01752                   | NONE   | PC                                   | GENERAL                             | 5,000.   |
| Total from continuation sheets   |  |                                      |                                     |          |

## THE POSES FAMILY FOUNDATION

20-6375470

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--|--|--------------------------------------|-------------------------------------|------------|
| SPLASHES OF HOPE<br>PO BOX 537<br>HUNTINGTON, NY 11743                                 | NONE   | PC                                   | GENERAL                             | 1,000.     |
| SPRINGBOARD COLLABORATIVE<br>1701 WALNUT STREET 7TH FLOOR<br>PHILADELPHIA, PA 19103    | NONE   | PC                                   | GENERAL                             | 1,000.     |
| TAMAR ROGOFF PERFORMANCE PROJECTS<br>170 AVENUE C APT 19G<br>NEW YORK, NY 10009        | NONE   | PC                                   | GENERAL                             | 1,000.     |
| TANGRAM BUSINESS RESOURCING<br>5155 PENNWOOD DRIVE<br>INDIANAPOLIS, IN 46205           | NONE   | PC                                   | GENERAL                             | 75,000.    |
| TEACH FOR AMERICA - ALABAMA<br>2301 1ST AVENUE NORTH SUITE 105<br>BIRMINGHAM, AL 35203 | NONE   | PC                                   | GENERAL                             | 100,000.   |
| THE ADVERTISING COUNCIL INC<br>815 SECOND AVENUE 9TH FLOOR<br>NEW YORK, NY 10017       | NONE   | PC                                   | GENERAL                             | 1,247,000. |
| THE TREVOR PROJECT<br>8704 SANTA MONICA BOULEVARD NO 200<br>WEST HOLLYWOOD, CA 90069   | NONE   | PC                                   | GENERAL                             | 1,000.     |
| UNITED CEREBRAL PALSY HEARTLAND<br>32 FORD AVE<br>MILLTOWN, NJ 08850                   | NONE   | PC                                   | GENERAL                             | 80,350.    |
| US BUSINESS LEADERSHIP NETWORK<br>1310 BRADDOCK PLACE<br>ALEXANDRIA, VA 22314          | NONE   | PC                                   | GENERAL                             | 246,600.   |
| VIAQUEST<br>525 METRO PL N #300<br>DUBLIN, OH 43017                                    | NONE   | PC                                   | GENERAL                             | 50,000.    |
| Total from continuation sheets   |  |                                      |                                     |            |



20-6375470

|  | 2019 | 2018 |
|--|------|------|
| 3 Grants and Contributions Paid During the Year (Continuation) |      |      |

623631  
04-01-16

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                       | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|------------------------------|-----------------------------|---------------------------------|-------------------------------|
| FIDELITY - 6179              | 117.                        | 117.                            |                               |
| FIDELITY 970                 | 66.                         | 66.                             |                               |
| FIDELITY MUNI - 6903         | 2.                          | 0.                              |                               |
| INTEREST                     | 12,656.                     | 12,656.                         |                               |
| MAKENA CAPITAL SPLITTER      | 2,211.                      | 0.                              |                               |
| PNBK INVESTMENT PARTNERS LLC | 27.                         | 27.                             |                               |
| SCHWAB - 219                 | 16.                         | 0.                              |                               |
| SKCP III GROUNDHOG AIV LP    | 11.                         | 11.                             |                               |
| TOTAL TO PART I, LINE 3      | 15,106.                     | 12,877.                         |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                              | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| ACSEND DEBT                         | 313,883.        | 0.                            | 313,883.                    | 313,883.                          |                               |
| AG COMMERCIAL REAL<br>ESTATE DEBT   | 82,355.         | 0.                            | 82,355.                     | 82,355.                           |                               |
| AG NET LEASE                        |                 |                               |                             |                                   |                               |
| REALTY FUND II                      | 84,859.         | 0.                            | 84,859.                     | 84,859.                           |                               |
| CHARLES SCHWAB 219                  | 391,408.        | 0.                            | 391,408.                    | 391,408.                          |                               |
| FIDELITY - 6179                     | 652,688.        | 0.                            | 652,688.                    | 652,688.                          |                               |
| FIDELITY - 6179                     | 13,494.         | 13,494.                       | 0.                          | 0.                                |                               |
| FIDELITY - 6186                     | 81.             | 0.                            | 81.                         | 81.                               |                               |
| FIDELITY - 6903                     | 16.             | 0.                            | 16.                         | 16.                               |                               |
| FIDELITY - 6970                     | 217,799.        | 0.                            | 217,799.                    | 217,799.                          |                               |
| FIDLEITY 355                        | 66.             | 0.                            | 66.                         | 66.                               |                               |
| GARMARK SBIC K-1                    | 1,652,140.      | 0.                            | 1,652,140.                  | 1,652,140.                        |                               |
| JDS THERAPEUTICS<br>LLC             | 188.            | 0.                            | 188.                        | 188.                              |                               |
| MAKENA CAPITAL<br>SPLITTER X LP     | 340,295.        | 0.                            | 340,295.                    | 340,295.                          |                               |
| OSAGE UNIVERSITY<br>PARTNERS II LP  | 1,020.          | 0.                            | 1,020.                      | 1,020.                            |                               |
| OSAGE UNIVERSITY<br>PARTNERS III LP | 5,149.          | 0.                            | 5,149.                      | 5,149.                            |                               |
| SK SPECIAL<br>OPPORTUNITIES         | 8,317.          | 0.                            | 8,317.                      | 8,317.                            |                               |
| SK TITAN LP /<br>ASCEND             | 5,686,235.      | 0.                            | 5,686,235.                  | 5,686,235.                        |                               |
| SKCP III ANGEL AIV<br>LP            | 4,538.          | 0.                            | 4,538.                      | 4,538.                            |                               |

|                          |            |         |            |            |
|--------------------------|------------|---------|------------|------------|
| SKCP III BLUE AIV<br>LLC | 15,054.    | 0.      | 15,054.    | 15,054.    |
| TO PART I, LINE 4        | 9,469,585. | 13,494. | 9,456,091. | 9,456,091. |

|             |              |           |   |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 3 |
|-------------|--------------|-----------|---|

| DESCRIPTION                              | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--|-----------------------------|-----------------------------------|-------------------------------|
| K-1 KG SPECIAL OPPORTUNITES FUND<br>LLC  | <11.>                       | <11.>                             |                               |
| AG COMMERCIAL REAL ESTATE DEBTFUND<br>LP | <63,305.>                   | <63,305.>                         |                               |
| MAKENA CAPITAL SPLITTER                  | 252,906.                    | 252,906.                          |                               |
| AG NET LEASE REALTY FUND II K-1          | <1,982.>                    | <1,982.>                          |                               |
| OTHER INVESTMENT INCOME                  | 66,795.                     | 66,795.                           |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11    | 254,403.                    | 254,403.                          |                               |

|             |            |           |   |
|-------------|------------|-----------|---|
| FORM 990-PF | LEGAL FEES | STATEMENT | 4 |
|-------------|------------|-----------|---|

| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES                 | 295,565.                     | 0.                                |                               | 295,565.                      |
| TO FM 990-PF, PG 1, LN 16A | 295,565.                     | 0.                                |                               | 295,565.                      |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 5 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING & RECORDKEEPING   | 16,470.                      | 8,235.                            |                               | 8,235.                        |
| TO FORM 990-PF, PG 1, LN 16B | 16,470.                      | 8,235.                            |                               | 8,235.                        |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT FEES              | 426,726.                     | 426,726.                          |                               | 0.                            |
| CONSULTANTS/CREATIVE TEAM    | 2,498,924.                   | 0.                                |                               | 2,498,924.                    |
| IT SERVICES                  | 150,442.                     | 0.                                |                               | 150,442.                      |
| OTHER PROFESSIONAL SERVICE   | 20,842.                      | 0.                                |                               | 20,842.                       |
| TO FORM 990-PF, PG 1, LN 16C | 3,096,934.                   | 426,726.                          |                               | 2,670,208.                    |

FORM 990-PF TAXES STATEMENT 7

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAX PAID            | 66,814.                      | 66,814.                           |                               | 0.                            |
| OTHER MISCELLANEOUS TAXES   | 661.                         | 0.                                |                               | 661.                          |
| TO FORM 990-PF, PG 1, LN 18 | 67,475.                      | 66,814.                           |                               | 661.                          |

FORM 990-PF OTHER EXPENSES STATEMENT 8

| DESCRIPTION                                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| K-1 AG COMMERCIAL REAL ESTATE DEBT         | 5,787.                       | 5,787.                            |                               | 0.                            |
| K-1 AG NET LEASE REALTY FUND II LP         | 277.                         | 277.                              |                               | 0.                            |
| K-1 SK TITAN                               | 4,715.                       | 4,715.                            |                               | 0.                            |
| K-1 KG SPECIAL OPPORTUNITIES FUND LP       | 7,409.                       | 7,409.                            |                               | 0.                            |
| DUES / SUBSCRIPTIONS / COPIES /DUPLICATING | 65,381.                      | 0.                                |                               | 65,381.                       |
| POSTAGE AND DELIVERY                       | 7,578.                       | 0.                                |                               | 7,578.                        |
| GARMARK SBIC K-1                           | 316,475.                     | 316,475.                          |                               | 0.                            |
| K-1 MAKENA CAPITAL SPLITTER X LP           | 264,318.                     | 264,318.                          |                               | 0.                            |
| K-1 OSAGE VENTURE PARTNERS III LP          | 34,893.                      | 34,893.                           |                               | 0.                            |
| K-1 SK CAPITAL PARTNERS III LP             | 25,165.                      | 25,165.                           |                               | 0.                            |

## THE POSES FAMILY FOUNDATION

20-6375470

|   |            |          |            |
|---|------------|----------|------------|
| INSURANCE                               | 47,470.    | 0.       | 47,470.    |
| OFFICE SUPPLIES                         | 12,560.    | 0.       | 12,560.    |
| OFFICE EQUIPMENT/SOFTWARE               | 20,797.    | 0.       | 20,797.    |
| OSAGE UNIVERSITY PARTNERS I LP          | 18,189.    | 18,189.  | 0.         |
| PROGRAM RELATED DIRECT EXPENSES         | 3,973,166. | 0.       | 3,973,166. |
| MARKETING AND PUBLIC RELATIONS EXPENSES | 1,146,131. | 0.       | 1,146,131. |
| HUMAN RESOURCE EXPENSES                 | 374,988.   | 0.       | 374,988.   |
| K-1 KG SELECT OPPORTUNITY FUND          | 22,640.    | 22,640.  | 0.         |
| K-1 SKCP III GLADES AIV LP              | 320.       | 320.     | 0.         |
| K-1 PNBK INVESTMENT PARTNERS LLC        | 409.       | 409.     | 0.         |
| K-1 SKCP III ANGEL AIV LP               | 264.       | 264.     | 0.         |
| MISCELLANEOUS EXPENSES                  | 5,000.     | 0.       | 5,000.     |
| OSAGE UNIVERSITY PARTNERS II LP         | 64,347.    | 64,347.  | 0.         |
| SKCP III BLUE AIV LP K-1                | 347.       | 347.     | 0.         |
| UTILITIES                               | 19,843.    | 0.       | 19,843.    |
| SKCP III GROUNDHOG AIV K-1              | 114.       | 114.     | 0.         |
| NON DEDUCTIBLE K-1 EXPENSES             | 6,577.     | 0.       | 0.         |
| TO FORM 990-PF, PG 1, LN 23             | 6,445,160. | 765,669. | 5,672,914. |

## FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

| DESCRIPTION            | COST OR<br>OTHER BASIS | ACCUMULATED<br>DEPRECIATION | BOOK VALUE | FAIR MARKET<br>VALUE |
|------------------------|------------------------|-----------------------------|------------|----------------------|
| OFFICE EQUIPMENT       | 9,819.                 | 8,067.                      | 1,752.     | 1,752.               |
| AUDIO VIDEO EQUIPMENT  | 9,064.                 | 7,446.                      | 1,618.     | 1,618.               |
| OFFICE EQUIPMENT       | 6,360.                 | 4,772.                      | 1,588.     | 1,588.               |
| OFFICE CO-OP           | 3,842,363.             | 386,113.                    | 3,456,250. | 3,456,250.           |
| COMPUTER EQUIPMENT     | 61,101.                | 42,770.                     | 18,331.    | 18,331.              |
| FURNITURE AND FIXTURES | 230,536.               | 115,269.                    | 115,267.   | 115,267.             |
| OFFICE EQUIPMENT       | 12,388.                | 6,195.                      | 6,193.     | 6,193.               |
| OFFICE EQUIPMENT       | 2,467.                 | 1,232.                      | 1,235.     | 1,235.               |
| COMPUTER EQUIPMENT     | 4,433.                 | 1,688.                      | 2,745.     | 2,745.               |
| COMPUTER EQUIPMENT     | 12,513.                | 4,768.                      | 7,745.     | 7,745.               |
| STORAGE CABINETS       | 10,754.                | 1,664.                      | 9,090.     | 9,090.               |
| LAPTOPS                | 10,765.                | 1,922.                      | 8,843.     | 8,843.               |
| FILE STORAGE CABINETS  | 41,988.                | 5,998.                      | 35,990.    | 35,990.              |
| RECEPTION DESK         | 8,400.                 | 1,300.                      | 7,100.     | 7,100.               |
| STOOLS                 | 4,005.                 | 572.                        | 3,433.     | 3,433.               |
| IMPROVEMENTS           | 15,778.                | 185.                        | 15,593.    | 15,593.              |
| 2 LAPTOPS              | 5,413.                 | 2,978.                      | 2,435.     | 2,435.               |
| LAPTOP                 | 2,809.                 | 1,545.                      | 1,264.     | 1,264.               |
| CAMERA EQUIPMENT       | 2,362.                 | 1,265.                      | 1,097.     | 1,097.               |
| PHONE SYSTEM           | 1,175.                 | 630.                        | 545.       | 545.                 |

## THE POSES FAMILY FOUNDATION

20-6375470

|                           |            |          |            |            |
|---------------------------|------------|----------|------------|------------|
| LIGHTING PACKAGE          | 1,699.     | 911.     | 788.       | 788.       |
| TABLES                    | 2,259.     | 1,211.   | 1,048.     | 1,048.     |
| STANDING DESKS            | 3,005.     | 1,610.   | 1,395.     | 1,395.     |
| TO 990-PF, PART II, LN 14 | 4,301,456. | 600,111. | 3,701,345. | 3,701,345. |

|             |                 |              |
|-------------|-----------------|--------------|
| FORM 990-PF | CORPORATE STOCK | STATEMENT 10 |
|-------------|-----------------|--------------|

| DESCRIPTION                             | BOOK VALUE   | FAIR MARKET VALUE |
|---|--------------|-------------------|
| SEE SCHEDULE 1 ATTACHED                 | 218,873,770. | 371,674,413.      |
| BOOK TAX APPRECIATION ON DONATIONS      | 113,266,896. | 0.                |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 332,140,666. | 371,674,413.      |

|             |                   |              |
|-------------|-------------------|--------------|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT 11 |
|-------------|-------------------|--------------|

| DESCRIPTION                            | VALUATION METHOD | BOOK VALUE  | FAIR MARKET VALUE |
|--|------------------|-------------|-------------------|
| ASCEND NOTE - NET OF UNEARNED OID      | COST             | 13,242,656. | 13,242,656.       |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                  | 13,242,656. | 13,242,656.       |

|             |              |              |
|-------------|--------------|--------------|
| FORM 990-PF | OTHER ASSETS | STATEMENT 12 |
|-------------|--------------|--------------|

| DESCRIPTION                      | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|----------------------------------|----------------------------|------------------------|-------------------|
| SECURITY DEPOSITS                | 42,500.                    | 40,000.                | 40,000.           |
| OTHER ASSETS                     | 25,439.                    | 64,341.                | 64,341.           |
| FUNDS IN TRANSIT                 | 0.                         | 479,202.               | 479,202.          |
| TO FORM 990-PF, PART II, LINE 15 | 67,939.                    | 583,543.               | 583,543.          |

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|             |                   |              |
|-------------|-------------------|--------------|
| FORM 990-PF | OTHER LIABILITIES | STATEMENT 13 |
|-------------|-------------------|--------------|

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| DESCRIPTION                            | BOY AMOUNT | EOY AMOUNT |
|--|------------|------------|
| OTHER LIABILITIES                      | 238,771.   | 349,587.   |
| CREDIT CARDS PAYABLE                   | 72,629.    | 39,072.    |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 311,400.   | 388,659.   |

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|             |   |              |
|-------------|---|--------------|
| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT 14 |
|-------------|---|--------------|

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## ACTIVITY ONE

UNDERSTOOD.ORG IS A FREE, WEBBY-WINNING ONLINE RESOURCE FOR PARENTS OF THE 1 IN 5 CHILDREN WITH BRAIN-BASED LEARNING AND ATTENTION ISSUES, LIKE DYSLEXIA AND ADHD. CREATED THROUGH A COLLABORATION OF 15 NONPROFITS, UNDERSTOOD FEATURES OVER 2500 EXPERT-VETTED ARTICLES, VIDEOS AND INTERACTIVE TOOLS THAT GIVE PARENTS PRACTICAL AND ACTIONABLE INFORMATION, ALONG WITH DAILY ACCESS TO EXPERTS AND AN ONLINE COMMUNITY THAT HELPS PARENTS CONNECT WITH EACH OTHER. CURRENTLY SUPPORTING SOME 2 MILLION PEOPLE PER MONTH, UNDERSTOOD IS A PLATFORM FOR LARGE-SCALE CHANGE IN THE LEARNING AND ATTENTION ISSUES FIELD.

## EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

4,998,758.

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|             |   |              |
|-------------|---|--------------|
| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT 15 |
|-------------|---|--------------|

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## ACTIVITY TWO

THE WORKPLACE INITIATIVE WAS CREATED TO BE A CATALYST FOR CHANGE IN HOW THE NATION VIEWS DISABILITY EMPLOYMENT AND INCLUSION. THE INITIATIVE FOCUSES ON THREE KEY AREAS: LOCAL/REGIONAL COLLABORATION, NATIONAL COMPANY-BASED PROJECTS, AND DISABILITY INCLUSION FIELD-BUILDING EFFORTS. IT EMPOWERS PEOPLE WITH DISABILITIES, INCLUDING THE 1 IN 5 PEOPLE WITH LEARNING AND ATTENTION ISSUES, TO FLOURISH IN THE WORKPLACE. THE INITIATIVE INCLUDES 275 PARTNERSHIPS WITH EMPLOYERS, FOUNDATIONS, NON-PROFITS AND GOVERNMENT AGENCIES AT THE LOCAL AND NATIONAL LEVEL. SO FAR, MORE THAN 7,500

PEOPLE HAVE OBTAINED COMPETITIVELY PAID JOBS AS A RESULT OF THE INITIATIVE.

## EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

1,444,280.

|             |   |           |    |
|-------------|---|-----------|----|
| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT | 16 |
|-------------|---|-----------|----|

## ACTIVITY THREE

NEW PROFIT'S REIMAGINE LEARNING FUND IS A NETWORK OF NONPROFITS, FUNDERS, RESEARCHERS, POLICY MAKERS AND OTHER KEY STAKEHOLDERS FOCUSED ON SUPPORTING THE SUCCESS OF STUDENTS WHOSE NEEDS ARE UNMET BY THE CURRENT SYSTEM, INCLUDING THE 1 IN 5 STUDENTS WITH BRAIN-BASED LEARNING AND ATTENTION ISSUES. THE REIMAGINE LEARNING FUND AIMS TO DRIVE FIELD-WIDE SHIFTS IN TEACHING AND LEARNING PRACTICE, INFLUENCE FEDERAL AND STATE EDUCATION POLICY TO SUPPORT INNOVATION AND CHANGE, AND BUILD PUBLIC AWARENESS AND ENGAGEMENT TO CREATE THE URGENCY FOR CHANGE REQUIRED TO REACH A TIPPING POINT ACROSS THE 14,000 DISTRICTS IN THE US.

## EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

1,000,000.

|             |   |           |    |
|-------------|---|-----------|----|
| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT | 17 |
|-------------|---|-----------|----|

## ACTIVITY FOUR

EYE TO EYE'S MISSION IS TO IMPROVE THE LIFE OF EVERY PERSON WITH A LEARNING DISABILITY. THE ORGANIZATION FULFILLS ITS MISSION BY SUPPORTING AND GROWING A NETWORK OF YOUTH MENTORING PROGRAMS RUN BY AND FOR THOSE WITH LEARNING DISABILITIES AND ADHD; ENGAGING STUDENTS, FAMILIES, EDUCATORS AND ADMINISTRATORS THROUGH TARGETED EVENTS AND A SUITE OF RESOURCES TO DIRECTLY INFLUENCE THE SCHOOL ENVIRONMENTS IN WHICH STUDENTS LEARN; AND LEVERAGING MEDIA AND A NETWORK OF INFLUENCERS TO RAISE AWARENESS, INCREASE UNDERSTANDING AND CELEBRATION OF LEARNING DISABILITIES AND ADHD, FOSTER A SENSE OF COMMUNITY, AND PROMOTE POSITIVE ROLE MODELS - ALL WITH THE GOAL OF UNLOCKING GREATNESS FOR THE 1 IN 5 WHO LEARN DIFFERENTLY. EYE TO EYE IS A FOUNDING PARTNER OF UNDERSTOOD.ORG.

## EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

390,450.