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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE SHERMAN FAMILY FOUNDATION C/O MARY N SHERMAN		A Employer identification number 20-5667031	
Number and street (or P O box number if mail is not delivered to street address) 2000 SHAWNEE MISSION PARKWAY NO 310		Room/suite	B Telephone number (see instructions) (913) 258-2203
City or town, state or province, country, and ZIP or foreign postal code MISSION WOODS, KS 662053606		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 37,684,367	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	10,000,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	547,985	547,985		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,005,016			
	b Gross sales price for all assets on line 6a _____ 4,292,517				
	7 Capital gain net income (from Part IV, line 2) . . .		1,005,016		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	11,553,001	1,553,001		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages	88,366	0		88,366
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	249	0		249
	b Accounting fees (attach schedule)	6,963	3,482		3,481
	c Other professional fees (attach schedule)	97,257	96,974		283
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	55,120	6,983		6,760
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	3,921	0		3,921
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	14,217	1,142		13,075
	24 Total operating and administrative expenses. Add lines 13 through 23	266,093	108,581		116,135
	25 Contributions, gifts, grants paid	2,126,995			2,126,995
	26 Total expenses and disbursements. Add lines 24 and 25	2,393,088	108,581		2,243,130
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	9,159,913			
	b Net investment income (if negative, enter -0-)		1,444,420		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	985,346	5,552,792	5,552,792
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	33,720	42,440	42,440
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	17,133,573	18,654,716	26,750,318
	c	Investments—corporate bonds (attach schedule)	2,022,349	2,905,617	2,966,892
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,082,586	1,061,120	2,368,004
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	5,282,012	6,506,936	3,921	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	26,539,586	34,723,621	37,684,367	
Liabilities	17	Accounts payable and accrued expenses		100	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	4,403	3,366	
	23	Total liabilities (add lines 17 through 22)	4,403	3,466	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	26,535,183	34,720,155	
30	Total net assets or fund balances (see instructions)	26,535,183	34,720,155		
31	Total liabilities and net assets/fund balances (see instructions) .	26,539,586	34,723,621		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,535,183
2	Enter amount from Part I, line 27a	2	9,159,913
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	35,695,096
5	Decreases not included in line 2 (itemize) ▶ _____	5	974,941
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	34,720,155

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES	P	2015-01-01	2016-12-31
b CAPITAL GAINS DIVIDENDS	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,014,140		3,287,501	726,639
b 278,377			278,377
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			726,639
b			278,377
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,005,016
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,817,295	26,408,068	0 068816
2014	933,334	17,643,195	0 052901
2013	458,333	7,155,841	0 064050
2012	906,094	6,525,460	0 138855
2011	648,062	7,292,270	0 088870

2 Total of line 1, column (d)	2	0 413492
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 082698
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	26,299,995
5 Multiply line 4 by line 3	5	2,174,957
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14,444
7 Add lines 5 and 6	7	2,189,401
8 Enter qualifying distributions from Part XII, line 4	8	2,243,130

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	14,444
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	14,444
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,444
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	42,440
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	42,440
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	27,996
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 14,480 Refunded ▶	11	13,516

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0 (2) On foundation managers ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► MARY N SHERMAN Telephone no ► (816) 444-7418			

Located at **►** 5603 SUNSET DRIVE KANSAS CITY MO ZIP+4 **►** 64112

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOHN SHERMAN 5603 SUNSET DRIVE KANSAS CITY, MO 64112	DIRECTOR 1 00	0	0	0
MARY SHERMAN 5603 SUNSET DRIVE KANSAS CITY, MO 64112	DIRECTOR 1 00	0	0	0
AMY DONNELLY 1007 W 61ST STREET KANSAS CITY, MO 64113	DIRECTOR 1 00	0	0	0
BETH ALLEN 6516 ABERDEEN MISSION HILLS, KS 66208	DIRECTOR 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOSEPH ALLEN 6516 ABERDEEN MISSION HILLS, KS 66208	FOUNDATION MANAGER 40 00	88,366	0	0
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	26,263,172
b	Average of monthly cash balances.	1b	437,331
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	26,700,503
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	26,700,503
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	400,508
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	26,299,995
6	Minimum investment return. Enter 5% of line 5.	6	1,315,000

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,315,000
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	14,444
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	14,444
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,300,556
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,300,556
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,300,556

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,243,130
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	2,243,130
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	14,444
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,228,686

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,300,556
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	281,268			
b From 2012.	584,299			
c From 2013.	108,381			
d From 2014.	84,894			
e From 2015.	539,322			
f Total of lines 3a through e.	1,598,164			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>2,243,130</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,300,556
e Remaining amount distributed out of corpus	942,574			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,540,738			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	281,268			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,259,470			
10 Analysis of line 9				
a Excess from 2012.	584,299			
b Excess from 2013.	108,381			
c Excess from 2014.	84,894			
d Excess from 2015.	539,322			
e Excess from 2016.	942,574			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) See Additional Data Table
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a The name, address, and telephone number or email address of the person to whom applications should be addressed
b The form in which applications should be submitted and information and materials they should include
c Any submission deadlines
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	2,126,995
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	1,465,295

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	547,985	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,005,016	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .		0		1,553,001	0
13 Total. Add line 12, columns (b), (d), and (e).			13		1,553,001

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below
(see instr. 12) ☒ Yes ☐ No

Print/Type preparer's name MARK W EATON	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00556079
Firm's name ▶ IFFT & CO PA				Firm's EIN ▶ 48-1108284
Firm's address ▶ 11030 GRANADA LN SUITE 100 OVERLAND PARK, KS 66211				Phone no (913) 345-1120

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

JOHN SHERMAN
MARY SHERMAN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACADEMIE LAFAYETTE 6903 OAK ST KANSAS CITY, MO 64113	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	30,000
ACADEMY FOR THE INTEGRATED ARTS 7910 TROOST KANSAS CITY, MO 64131	N/A	PC	TO SUPPORT YOUTH PROGRAMS	5,000
BISHOP MIEGE SCHOOL 5041 REINHARDT DRIVE SHAWNEE MISSIIION, KS 66205	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	125,000
BOYS HOPE GIRLS HOPE OF KC 7700 WEDD STREET SUITE 15 OVERLAND PARK, KS 66204	N/A	PC	TO SUPPORT PROGRAMS FOR YOUTH	8,000
BRIGHT FUTURES FUND PO BOX 419037 KANSAS CITY, MO 64141	N/A	PC	TO SUPPORT YOUTH PROGRAMS	10,000
BROOKSIDE CHARTER SCHOOL 1815 E 63RD KANSAS CITY, MO 64130	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	98,000
CATHOLIC EDUCATION FOUNDATION 12615 PARALLEL PKWY KANSAS CITY, KS 66109	N/A	PC	TO SUPPORT YOUTH PROGRAMS	25,000
CONNECTING FOR GOOD 3210 MICHIGAN AVE KANSAS CITY, MO 64109	N/A	PC	TO SUPPORT YOUTH PROGRAMS	5,000
CRISTO REY 211 W LINWOOD BLVD KANSAS CITY, MO 64111	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	333,000
CROSSROADS ACADEMY OF KANSAS CITY 1015 CENTRAL KANSAS CITY, MO 64105	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	250,000
DE LA SALLE EDUCATION CENTER 3737 TROOST KANSAS CITY, MO 64109	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	20,000
DONNELLY COLLEGE 608 N 18TH ST KANSAS CITY, KS 66102	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	40,000
EARLY EDUCATOR FUNDERS CO GKCCF 1055 BROADWAY BLVD KANSAS CITY, MO 64105	N/A	PC	TO SUPPORT EDUCATIONAL PROGRAMS	50,000
EL CENTRO ACADEMY FOR CHILDREN 1340 S 30TH ST KANSAS CITY, KS 66106	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	10,000
ELLIS FOUNDATION PO BOX 54 FORT SCOTT, KS 66701	N/A	PC	TO SUPPORT PROGRAMS FOR YOUTH	16,000
Total ► 3a				2,126,995

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FIRE FOUNDATION 20 W NINTH STREET KANSAS CITY, MO 64105	N/A	PC	TO SUPPORT YOUTH PROGRAMS	10,000
GENESIS PROMISE ACADEMY 3800 E 44TH ST KANSAS CITY, MO 64130	N/A	PC	TO SUPPORT YOUTH PROGRAMS	5,000
GILLIS 8150 WORNALL ROAD KANSAS CITY, MO 64114	N/A	PC	TO SUPPORT YOUTH PROGRAMS	20,000
GORDON PARKS ELEMENTARY 3715 WYOMING ST KANSAS CITY, MO 64111	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	100,000
HORIZON ACADEMY 4901 REINHARDT DRIVE ROELAND PARK, KS 66205	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	2,500
KANSAS CITY TEACHER RESIDENCY 415 DELAWARE KANSAS CITY, MO 64105	N/A	PC	TO SUPPORT EDUCATIONAL PROGRAMS	28,000
KIPP ENDEAVOR ACADEMY 2700 E 18TH ST 155B KANSAS CITY, MO 64127	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	200,000
KU ENDOWMENT 3901 RAINBOW BLVD KANSAS CITY, KS 66160	N/A	PC	TO SUPPORT YOUTH PROGRMS	500
LOYOLA UNIVERSITY NEW ORLEANS 6363 ST CHARLES AVE NEW ORLEANS, LA 70118	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	10,000
METROPOLITAN COMMUNITY COLLEGE 3200 BROADWAY KANSAS CITY, MO 64111	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	5,000
MINDDRIVE 2615 HOLMES KANSAS CITY, MO 64108	N/A	PC	TO SUPPORT YOUTH PROGRAMS	5,000
MOCSA 3100 BROADWAY SUITE 400 KANSAS CITY, MO 64111	N/A	PC	TO SUPPORT VICTIMS OF SEXUAL ASSAULT	45,000
NORTHEAST COMMUNITY CENTER 544 WABASH KANSAS CITY, MO 64124	N/A	PC	TO SUPPORT EDUCATIONAL YOUTH PROGRAMS	18,200
NOTRE DAME CRISTO REY 303 HAVERHILL LAWRENCE, MA 01840	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	10,000
NOTRE DAME DE SION 10631 WORNALL KANSAS CITY, MO 64114	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	10,000
Total ► 3a				2,126,995

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPERATION BREAKTHROUGH 3039 TROOST AVE KANSAS CITY, MO 64109	N/A	PC	TO SUPPORT YOUTH PROGRAMS	200,000
OUR LADY’S MONTESSORI SCHOOL 3020 S 7TH ST KANSAS CITY, KS 66103	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	7,500
OZANAM 421 E 137TH ST KANSAS CITY, MO 64145	N/A	PC	TO SUPPORT YOUTH PROGRAMS	75,000
PHOENIX FAMILY 3908 WASHINGTON KANSAS CITY, MO 64111	N/A	PC	TO SUPPORT YOUTH PROGRAMS	25,000
PREP KC 800 W 47TH SUITE 525 KANSAS CITY, MO 64112	N/A	PC	TO SUPPORT YOUTH PROGRAMS	50,000
RHODES COLLEGE 2000 NORTH PARKWAY MEMPHIS, TN 38112	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	10,000
ROCKHURST HIGH SCHOOL 9301 STATE LINE ROAD KANSAS CITY, MO 64114	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	5,000
SHOW ME KC SCHOOLS 4049 W PENNSYLVANIA AVE KANSAS CITY, MO 64111	N/A	PC	TO SUPPORT YOUTH PROGRAMS	10,000
ST THERESA'S ACADEMY 5600 MAIN ST KANSAS CITY, MO 64113	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	50,000
ST PAUL'S EPISCOPAL DAY SCHOOL 4041 MAIN STREET KANSAS CITY, MO 64111	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	15,000
THE GROOMING PROJECT 5829 TROOST KANSAS CITY, MO 64110	N/A	PC	TO SUPPORT EDUCATIONAL YOUTH PROGRAMS	13,000
THE LITERACY LAB 1003 K STREET NORTHWEST WASHINGTON, DC 20001	N/A	PC	TO SUPPORT YOUTH PROGRAMS	30,000
UCM THRIVE STUDENT SUCCESS PO BOX 800 WARRENSBURG, MO 64093	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	10,000
UMKC TRUSTEES SCHOLARS 5115 OAK ST KANSAS CITY, MO 64112	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	5,000
UNITED INNER CITY SERVICES ST MARK 2008 E 12TH ST KANSAS CITY, MO 64127	N/A	PC	TO SUPPORT YOUTH PROGRAMS	70,000
Total ► 3a				2,126,995

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY ACADEMY 6801 HOLMES RD KANSAS CITY, MO 64131	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	10,000
UNIVERSITY OF ST MARY 4100 SOUTH 4TH ST LEAVENWORTH, KS 66048	N/A	PC	TO FURTHER POST SECONDARY EDUCATION AT AN ACCREDITED UNIVERSITY	10,000
VISITATION SCHOOL 5134 BALTIMORE KANSAS CITY, MO 64112	N/A	PC	TO FURTHER EDUCATION AT AN ACCREDITED SCHOOL	5,000
YOUTH AMBASSADORS INC 5803 E 51ST ST KANSAS CITY, MO 64130	N/A	PC	TO SUPPORT YOUTH PROGRAMS	32,295
Total ▶ 3a				2,126,995

TY 2016 Accounting Fees Schedule**Name:** THE SHERMAN FAMILY FOUNDATION

C/O MARY N SHERMAN

EIN: 20-5667031

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,963	3,482		3,481

TY 2016 Investments Corporate Bonds Schedule**Name:** THE SHERMAN FAMILY FOUNDATION

C/O MARY N SHERMAN

EIN: 20-5667031

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BOND FUNDS	2,905,617	2,966,892

TY 2016 Investments Corporate Stock Schedule**Name:** THE SHERMAN FAMILY FOUNDATION

C/O MARY N SHERMAN

EIN: 20-5667031

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITY INVESTMENTS	18,654,716	26,750,318

TY 2016 Investments - Other Schedule

Name: THE SHERMAN FAMILY FOUNDATION

C/O MARY N SHERMAN

EIN: 20-5667031

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OTHER INVESTMENTS	FMV	1,061,120	2,368,004

TY 2016 Legal Fees Schedule

Name: THE SHERMAN FAMILY FOUNDATION
C/O MARY N SHERMAN

EIN: 20-5667031

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	249	0		249

TY 2016 Other Assets Schedule

Name: THE SHERMAN FAMILY FOUNDATION
C/O MARY N SHERMAN

EIN: 20-5667031

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CONTRIBUTED STOCK VALUATION	5,282,012	6,503,015	
DEPOSIT		3,921	3,921

TY 2016 Other Decreases Schedule**Name:** THE SHERMAN FAMILY FOUNDATION

C/O MARY N SHERMAN

EIN: 20-5667031

Description	Amount
DECREASE IN CONTRIBUTED STOCK VALUATION	974,941

TY 2016 Other Expenses Schedule

Name: THE SHERMAN FAMILY FOUNDATION
C/O MARY N SHERMAN

EIN: 20-5667031

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING EXPENSES	13,021	0		13,021
OTHER	1,196	1,142		54

TY 2016 Other Liabilities Schedule**Name:** THE SHERMAN FAMILY FOUNDATION

C/O MARY N SHERMAN

EIN: 20-5667031

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL LIABILITIES	4,403	3,366

TY 2016 Other Professional Fees Schedule

Name: THE SHERMAN FAMILY FOUNDATION
C/O MARY N SHERMAN

EIN: 20-5667031

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	96,974	96,974		0
ADMINISTRATIVE FEES	283	0		283

TY 2016 Taxes Schedule

Name: THE SHERMAN FAMILY FOUNDATION
C/O MARY N SHERMAN

EIN: 20-5667031

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	6,983	6,983		0
EXCISE	41,377	0		0
PAYROLL TAXES	6,760	0		6,760

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016

Name of the organization THE SHERMAN FAMILY FOUNDATION C/O MARY N SHERMAN	Employer identification number 20-5667031
----------------------------------------------------------------------------------------	-----------------------------------------------------

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE SHERMAN FAMILY FOUNDATION C/O MARY N SHERMAN	Employer identification number 20-5667031
------------------------------------------------------------------------------------	-----------------------------------------------------

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN AND MARY SHERMAN	\$ 5,040,163	Person <input type="checkbox"/>
	5603 SUNSET DRIVE		Payroll <input type="checkbox"/>
	KANSAS CITY, MO64112		Noncash <input checked="" type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	JOHN AND MARY SHERMAN	\$ 4,959,837	Person <input checked="" type="checkbox"/>
	5603 SUNSET DRIVE		Payroll <input type="checkbox"/>
	KANSAS CITY, MO64112		Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)

Name of organization THE SHERMAN FAMILY FOUNDATION C/O MARY N SHERMAN	Employer identification number 20-5667031
------------------------------------------------------------------------------------	---------------------------------------------------------

Part II	Noncash Property
----------------	-------------------------

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	PUBLICLY TRADED SECURITIES	\$ 5,040 163	2016-12-23
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____

Name of organization THE SHERMAN FAMILY FOUNDATION C/O MARY N SHERMAN	Employer identification number 20-5667031
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee