Form '990-PF Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its separate instructions is at www.lrs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning and ending A Employer identification number Name of foundation The Sloat-Prince Foundation 20-5352209 c/o Shirley A Prince Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number (575)445-0025 417 S 7th St City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here Raton, NM 87740 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change Section 501(c)(3) exempt private foundation H Check type of organization: E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J | Accounting method; | X | Cash Accrual If the foundation is in a 60-month termination under section 507(b)(1)(B), check here (from Part II, col (c), line 16) Other (specify) 1,004,244.05 (Part I, column (d) must be on cash basis.) ▶\$ (d) Disbursements for charitable purposes (cash basis only) Part | Analysis of Revenue and Expenses (c) Adjusted net (a) Revenue and expenses per books (b) Net investment (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) income income N/A 1 Contributions, gifts, grants, etc., received 2 Check X if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments 7.93 7.93 Statement 24,634.43 24,634.43 Statement 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) RECEIVED 18,097.33 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all 1,947,930.84 080 18,097.33 MAY 04 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold **6** c Gross profit or (loss) 462.71 **(3**) 462.71 Statement 11 Other income 202.40 43,202.40 Total Add lines 1 through 11 0.00 0.00 0.00 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages Administrative Expenses 15 Pension plans, employee benefits 16a Legal fees 2,254.52 2,254.52 0.00 Stmt 4 b Accounting fees c Other professional fees 17 Interest 20.40 52.85 Stmt 5 73.25 18 Taxes 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 10,698.52 296.62 Stmt 6 10,995.14 23 Other expenses 24 Total operating and administrative 13,322.91 10,718.92 **2,603.99** expenses. Add lines 13 through 23 46,810.00 46,810.00 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. 49,413.99 10,718.92 Add lines 24 and 25 60,132.91 27 Subtract line 26 from line 12: <16,930.51 8. Excess of revenue over expenses and disbursements 32,483.48 b Net investment income (if negative, enter -0-) N/A C Adjusted net income (if negative, enter -0-)

The Sloat-Prince Foundation

Form 990-PF (2016) c/o Shirley A Prince

	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End o	
	<u>ai t</u>	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	13,097.09	3,724.70	3,724.70
	2	Savings and temporary cash investments	308,891.73	64,590.43	64,590.43
	ı	Accounts receivable			
	•	Less: allowance for doubtful accounts	1		
	4	Pledges receivable			
	"	Less; allowance for doubtful accounts	1		
	5	Grants receivable			
	· -	Receivables due from officers, directors, trustees, and other			
	٥				
	١.,	disqualified persons			
	′	Other notes and loans receivable			
		Less: allowance for doubtful accounts	 		
Assets	_	Inventories for sale or use		000 40	000 10
188	•	Prepaid expenses and deferred charges	980.00	938.40	938.40
٩	10a	Investments - U S. and state government obligations			
	b	Investments - corporate stock			
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 7	695,538.14	932,322.92	934,990.52
	14	Land, buildings, and equipment; basis		<u> </u>	
		Less accumulated depreciation			
	15	Other assets (describe ▶			
	ı	Total assets (to be completed by all filers - see the		· · · · · · · · · · · · · · · · · · ·	
	"	instructions. Also, see page 1, item I)	1.018.506.96	1,001,576.45	1.004.244.05
	17	Accounts payable and accrued expenses	270207300030	2/002/07/07	2700-72-200
	18	Grants payable	<u> </u>		
w	19	Deferred revenue	- 		
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
ij	21	Mortgages and other notes payable			
<u>:</u>	1	Other liabilities (describe)			
		Office Madritics (describe			
	23	Total liabilities (add lines 17 through 22)	0.00	0.00	
	20	Foundations that follow SFAS 117, check here	- 0.00		
		and complete lines 24 through 26 and lines 30 and 31.			
es	24	Unrestricted			
Š	ı				
aja	25	Temporarily restricted			
a B	26	Permanently restricted			
Ę		Foundations that do not follow SFAS 117, check here			
or Fund Balanc		and complete lines 27 through 31	0.00	0.00	
ş	27	Capital stock, trust principal, or current funds	0.00	0.00	
Net Assets	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.00	0.00	
¥.	29	Retained earnings, accumulated income, endowment, or other funds		1,001,576.45	
ž	30	Total net assets or fund balances	1,018,506.96	1,001,576.45	
			1 010 506 06	1 001 576 45	
_	31	Total liabilities and net assets/fund balances		1,001,576.45	
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30		
•		st agree with end-of-year figure reported on prior year's return)		(1	1,018,506.96
2	•	r amount from Part I, line 27a		2	<16,930.51>
		r increases not included in line 2 (itemize)		3	0.00
		lines 1, 2, and 3		4	1,001,576.45
		eases not included in line 2 (itemize)	•	5	0.00
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, c	olumn (b), line 30	6	1,001,576.45
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2-story brick wa	ribe the kind(s) of property sold rehouse; or common stock, 200) shs. MLC Co.)		(b) How P - Pu D - Do	acquired rchase nation	(c) Date acquired (mo., day, yr.)	d (d) Date sold (mo., day, yr.)
12 Merrill Lynch (totals reported) b Capital Gains Dividends					P	Various	12/31/16
b Capital Gains	Dividends						
<u>c</u>							
<u>d</u>	<u> </u>				-		
e (e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale		1_	(h) Gain or (l (e) plus (f) min	
a 1,947,039.37		1,	929,833.5	51			17,205.86
ь 891.47	·						891.47
С				<u> </u>			
<u>d</u>		-				•	
Complete only for secrets shows	a goin in column (b) and owned	Lby the foundation	on 19/21/60			\ O (O-1 (F) -	
Complete only for assets showin	· · · · · · · · · · · · · · · · · · ·	- 1		\dashv	l) col) Gains (Col. (h) g . (k), but not less	ain minus than -0-) or
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (1) col. (j), if any		-	Losses (from c	
•			(1),				17,205.86
a b				_		· -	891.47
C							
d							
e							
	∫ If gain, also ∈	enter in Part I. line	7	1			
Capital gain net income or (net ca	pital loss) If (loss), ente	enter in Part I, line er -0- in Part I, line	7	<u>} 2</u>			18,097.33
Net short-term capital gain or (los	•						
If gain, also enter in Part I, line 8,	,	.,		}			
Part V Qualification U	nder Section 4940(e)	Can Dadwaad	I Tour on Not I	3		N,	<u>'A</u>
f section 4940(d)(2) applies, leave th Vas the foundation liable for the sect		e amount of any ye	ear in the base perio	od?			Yes X No
f "Yes," the foundation does not qual				t			
Enter the appropriate amount in (ne instructions de	tore making any en			1	<u>(4)</u>
Base period years	(b) Adjusted qualifying	distributions	Net value of non	(c) charitabl	e-use assets	(col. (b)	(d) stribution ratio divided by col. (c))
Calendar year (or tax year beginnii 2015	19 111/	9,348.34			,149.9		.055046
2014		$\frac{3,340.34}{1,738.47}$,012.5		.046112
2013		5,294.70	1	074	,868.6	9	.042140
2012		8,033.14	1	,050	,681.0	7	.036199
2011	5	4,056.47	1	<u>, 115</u>	<u>,130.5</u>	1	.048475
? Total of line 1, column (d)						2	.227972
3 Average distribution ratio for the		otal on line 2 by 5,	or by the number of	of years			0.4550
the foundation has been in exister	nce if less than 5 years					3	.045594
Enter the net value of noncharitab	le-use assets for 2016 from Par	t X, line 5				4	999,450.41
Multiply line 4 by line 3						5	45,568.94
Enter 1% of net investment incom	ne (1% of Part I, line 27b)					6	324.83
Add lines 5 and 6						7	45,893.7
3 Enter qualifying distributions from						8	49,413.99
If line 8 is equal to or greater than	line 7, check the box in Part VI,	line 1b, and comp	plete that part using	a 1% ta:	rate.		

The Sloat-Prince Foundation 20-5352209 c/o Shirley A Prince Form 990-PF (2016) Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions 1a Exempt operating foundations described in section 4940(d)(2), check here | and enter "N/A" on line 1. (attach copy of letter if necessary-see instructions) Date of ruling or determination letter: b Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1% 324.83 1 of Part I. line 27h c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 0.00 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 324.83 3 0.00 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 324.83 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-6 Credits/Payments: 938.40 a 2016 estimated tax payments and 2015 overpayment credited to 2016 b Exempt foreign organizations - tax withheld at source 6b c Tax paid with application for extension of time to file (Form 8868) 6с d Backup withholding erroneously withheld 938.40 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here _____ if Form 2220 is attached 8 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 9 613.57 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 0.00 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 11 Part VII-A Statements Regarding Activities Yes No 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? 1b If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities X c Did the foundation file Form 1120-POL for this year? 10 d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 0.00 0.00 (2) On foundation managers. > \$ e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. > \$ 0.00 2 Х 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 3 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4a N/A b if "Yes," has it filed a tax return on Form 990-T for this year? 4b 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law X remain in the governing instrument? 6 Х 7 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions) b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

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X

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of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar

year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV...

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

The Sloat-Prince Foundation c/o Shirley A Prince

Pa	rt VII-A Statements Regarding Activities (continued)			
			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	-		l
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of ► Shirley Ann Prince Telephone no. ► (575)	445-	002	5
	Located at ▶417 S 7th St, Raton, NM ZIP+4 ▶8			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		D	
	and enter the amount of tax-exempt interest received or accrued during the year	N	I/A	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16	7	_X_
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the		<u> </u>	
	foreign country	-		
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	T	Yes	No
1a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1	1	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	-	Ì	
	a disqualified person?	1	1	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		ł	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			}
	for the benefit or use of a disqualified person)?		[
	(6) Agree to pay money or property to a government official? (Exception. Check "No"		{	
	if the foundation agreed to make a grant to or to employ the official for a period after)	
	termination of government service, if terminating within 90 days.)	}	}	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		1	
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	<u></u>	
	Organizations relying on a current notice regarding disaster assistance check here		}	
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		Ì	•
	before the first day of the tax year beginning in 2016?	10	<u> </u>	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	{		ĺ
	defined in section 4942(j)(3) or 4942(j)(5)):	1	{	}
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	1	1	1
	before 2016? Yes X No	}	1	}
	If 'Yes," list the years >,,,	}	}	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	İ	ļ	}
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach		ł	
	statement - see instructions.) N/A	26	├	
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	}	ł	
_	<u> </u>		ĺ	ļ
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time		ł	i
	during the year?	-	[
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after	1		ļ
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose	}		
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,	9.		
A	Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A Pld the foundation invest during the year any amount in a manner that would incorrdize its charitable purposes?	36	+	X
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	 	
0	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	}	Х
		orm 99 (0-PF	
	· ·	J. 111 OO		(~0.0)

 The Sloat-Prince Foundat 	ion			
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Part VII-B Statements Regarding Activities for Which	Form 4/20 May Be I	Required (continu	ued)	
5a During the year did the foundation pay or incur any amount to:				1 1
(1) Carry on propaganda, or otherwise attempt to influence legislation (section		_	s X No	1 1
(2) Influence the outcome of any specific public election (see section 4955); of	or to carry on, directly or indire			- 1
any voter registration drive?			s X No	- } - }
(3) Provide a grant to an individual for travel, study, or other similar purposes	37	Ye	s X No	·
(4) Provide a grant to an organization other than a charitable, etc., organization	on described in section			1 1
4945(d)(4)(A)? (see instructions)		Ye	s X No	1 1
(5) Provide for any purpose other than religious, charitable, scientific, literary	, or educational purposes, or t			1 1
the prevention of cruelty to children or animals?		Ye	s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un	der the exceptions described	in Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see instru	uctions)?		N/A	ib
Organizations relying on a current notice regarding disaster assistance check t	nere			1 1
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption f	rom the tax because it mainta	ined	}	1 1
expenditure responsibility for the grant?	I.	I/A	s No	1 1
If "Yes," attach the statement required by Regulations section 53 494	5-5(d)			1 1
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on			1 1
a personal benefit contract?		Ye	s X No	1 1
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	personal benefit contract?		[(b X
If "Yes" to 6b, file Form 8870			1	1
7a At any time during the tax year, was the foundation a party to a prohibited tax s	shelter transaction?	Ye	s X No	
b If "Yes," did the foundation receive any proceeds or have any net income attribu	utable to the transaction?		N/A	'b
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	nagers, Highly	1	
Paid Employees, and Contractors				
1 List all officers, directors, trustees, foundation managers and their	,	(a) Companyation	(d) Contributions to	1) [vanana
(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid.	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
	to position	`enter'-0-)	compensation	allowances
	President/Tre	asurer/Di	rect	
417 S 7th St				
Raton, NM 87740	1.00	0.00	0.00	0.00
Thelma Coker	Director	ļ '		
PO Box 365	j	}		
Cimarron, NM 87714	1.00	0.00	0.00	0.00
Steven L McConnell	Secretary/Dir	ector	<u> </u>	
PO Box 1148				
Raton, NM 87740	1.00	0.00	0.00	0.00
Paul A Kastler	Director	j		
PO Box 130				
Raton, NM 87740	1.00	0.00	0.00	0.00
2 Compensation of five highest-paid employees (other than those inc		enter "NONE."	(d) contributions of	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
170178	devoted to position	 	compensation	allowances
NONE	{			
				
	-	}	}	
		 		
	-	[
		 		
	1	j		
	 	 		
	{	}	ł	
Total number of other employees paid over \$50,000	L	<u> </u>	<u>_</u>	0
ו סגמו הטווטפו טו טוופו פווטוטעפפט טמוט טעפו סטט,טטט				<u>U</u>

The Sloat-Prince Foundation

Part VIII Information About Officers, Directors, Trustees, Fo		352209 Page 7
Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. If none	, enter "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		
		
		
Total number of others receiving over \$50,000 for professional services		_ ▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevan number of organizations and other beneficiaries served, conferences convened, research paper.		Expenses
1 N/A		
		
2		
<u></u>		
3		
4		
· 		
Part IX-B Summary of Program-Related Investments		A
Describe the two largest program-related investments made by the foundation during the tax y	/ear on lines 1 and 2.	Amount
1N/A		
2		
		
All other program-related investments. See instructions.	ļ	
3		
		
Total Add lines 1 through 2		0 00

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P	art X Minimum Investment Return (All domestic foundation	ns must complete this p	part Foreign foui	ndations	s, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out char	itable, etc., purposes:			
а	Average monthly fair market value of securities			1a	968,614.63
b	Average of monthly cash balances		_	1b	45,097.31
C	Fair market value of all other assets			1c	958.53
d	Total (add lines 1a, b, and c)			1d	1,014,670.47
е	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.00	1	
2	Acquisition indebtedness applicable to line 1 assets			2	0.00
3	Subtract line 2 from line 1d			3	1,014,670.47
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amo	ount, see instructions)	_	4	15,220.06
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here an	d on Part V, line 4		5	999,450.41
6	Minimum investment return. Enter 5% of line 5			6	49,972.52
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3 foreign organizations check here ▶ ☐ and do not complete this p		ting foundations an	d certain	1
1	Minimum investment return from Part X, fine 6			1	49,972.52
2a	Tax on investment income for 2016 from Part VI, line 5	2a	324.83		
	Income tax for 2016. (This does not include the tax from Part VI.)	26			
	Add lines 2a and 2b			2c	324.83
3	Distributable amount before adjustments. Subtract line 2c from line 1		ļ	3	49,647.69
4	Recoveries of amounts treated as qualifying distributions			4	0.00
5	Add lines 3 and 4			5	49,647.69
6	Deduction from distributable amount (see instructions)		{	6	0.00
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on I	Part XIII, line 1		7	49,647.69
<u>-</u>	art XII Qualifying Distributions (see instructions)				
1	Amounts paid (including administrative expenses) to accomplish charitable, etc.,	purposes:			40 412 00
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26		ł	1a 1b	49,413.99 0.00
	Program-related investments - total from Part IX-B	ritable ata purpacas		2	0.00
2	Amounts paid to acquire assets used (or held for use) directly in carrying out cha Amounts set aside for specific charitable projects that satisfy the:	ritable, etc., purposes	•		
	Suitability test (prior IRS approval required)		į	3a	
a b	Cash distribution test (attach the required schedule)			3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line	A and Part YIII line A	ţ	4	49,413.99
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net		}		43,413.33
J	income. Enter 1% of Part I, line 27b	HIVO3HIOH	}	5	324.83
6	Adjusted qualifying distributions Subtract line 5 from line 4		.	6	49,089.16
Ū	Note: The amount on line 6 will be used in Part V, column (b), in subsequent yea	rs when calculation whath	l per the foundation o		
	4940(e) reduction of tax in those years.	as whom ourodiating whom	ioi ti io iodilidation q	juulillos I	01 110 50011011

Form **990-PF** (2016)

Part XIII Undistributed Income (see instructions)

	(a)	(b)	(c)	(d)
	Corpus	Years prior to 2015	2015	2016
1 Distributable amount for 2016 from Part XI,				
line 7				49,647.69
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2015 only			49,295.16	-
b Total for prior years:		1		
		0.00		-
3 Excess distributions carryover, if any, to 2016:		,		
a From 2011				
b From 2012		1		
c From 2013		ì	}	
d From 2014		}		
e From 2015				
f Total of lines 3a through e	0.00			
4 Qualifying distributions for 2016 from		ļ		
Part XII, line 4: \triangleright \$ 49,413.99		}		
a Applied to 2015, but not more than line 2a			49,295.16	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.00		_
c Treated as distributions out of corpus				
(Election required - see instructions)	0.00			
d Applied to 2016 distributable amount				118.83
e Remaining amount distributed out of corpus	0.00		·	
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.00			0.00
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.00			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.00		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which				
the section 4942(a) tax has been previously	•			
assessed		0.00		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.00		
e Undistributed income for 2015. Subtract line				
4a from line 2a. Taxable amount - see instr.		_ 	0.00	
f Undistributed income for 2016. Subtract		ļ		
lines 4d and 5 from line 1. This amount must			}	40 500 00
be distributed in 2017	 +			49,528.86
7 Amounts treated as distributions out of			j	
corpus to satisfy requirements imposed by			j	
section 170(b)(1)(F) or 4942(g)(3) (Election	0 00]	
may be required - see instructions)	0.00			
8 Excess distributions carryover from 2011	0.00			
not applied on line 5 or line 7	0.00			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.00		}	
Subtract lines 7 and 8 from line 6a 10 Analysis of line 9:				
a Excess from 2012			\	
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				
<u>, , - : : : - : : : : : : : : : : : : : </u>				

20-5352209 Page 10 Form 990-PF (2016) c/o Shirley A Prince Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling 4942(1)(5) b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or Tax year Prior 3 years 2 a Enter the lesser of the adjusted net (a) 2016 (b) 2015 (c) 2014(d) 2013 (e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year c "Support" alternative test - enter: (1) Total support other than gross investment income (interest. dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income Part XV | Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) None b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here Fig. 1 if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: Shirley Ann Prince, (575)445-0025 417 S 7th St, Raton, NM 87740 b The form in which applications should be submitted and information and materials they should include: c Any submission deadlines: d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

The Sloat-Prince Foundation

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Form 990-PF (2016) c/o Shirley A Prince
Part XV | Supplementary Information (continued)

3 Grants and Contributions Paid During the	on (continued) Year or Approved for Future	Payment		
Recipient	If recipient is an individual.			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	0.0000		 	
Colfax County Senior Citizens Inc	N/A	Exempt	Contribution in	
444 S 1st St		organization	support of entity	
Raton, NM 87740	+	 		8,860,00
Colfax Pet Rescue	N/A	Exempt	Contribution in	
HC 61 Box 18		organization	support of entity	
Miami, NM 87729		-	-	10,200,00
Colorado State University-Pueblo 2200 Bonforte Blvd	N/A	Exempt organization	Scholarship	
Pueblo CO 81001		organización		750.00
Eastern New Mexico University	N/A	Exempt	Scholarship	
15005 Avenue K Station 5		organization		
Portales, NM 88130				3,000,00
New Mexico State University	N/A	Exempt	Scholarship	
PO Box 30001		organization		
Las Cruces NM 88003-8001	 _	<u> </u>		8 500.00
Total See Co b Approved for future payment	ontinuation shee	et(s)	<u>▶ 3a</u>	46,810,00
v Approved for fature payment				
None				
None				
			+	
	j			
		 	 	······································
Total		······	> 3b	0,00

Form 990-PF (2016) Part XVI-A

623621 11-23-16

Analysis of Income-Producing Activities

1 Program service revenue:	(a) Business code	d business income (b) Amount	(C) Exclu- sion code	(d) Amount	(e) Related or exempt function income
a					
b					
c	_		 		
d	_		 		
e	_		 		
f	_		 		
g Fees and contracts from government agencies	ļ		+		
2 Membership dues and assessments		<u> </u>	+	· <u> </u>	
3 Interest on savings and temporary cash investments					7 02
4 Dividends and interest from securities			 		7.93 24,634.43
5 Net rental income or (loss) from real estate:			+		24,034.43
a Debt-financed property	1		 -		
b Not debt-financed property			+		
5 Net rental income or (loss) from personal			+		
property					
7 Other investment income	<u> </u>		+		462.71
B Gain or (loss) from sales of assets other			+		
than inventory					18,097.33
Net income or (loss) from special events	ļ —		 		<u> </u>
O Gross profit or (loss) from sales of inventory			-		
1 Other revenue:					
a					
b					
C					
d	1				
e					
2 Subtotal. Add columns (b), (d), and (e)		0.0	0	0.00	
				13	43,202.40
3 Total. Add line 12, columns (b), (d), and (e))				
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in	s to the Acco	n column (e) of Part XVI-			plishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities	s to the Acco	n column (e) of Part XVI-			plishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in the foundation's exempt purposes (other the	s to the Acco	n column (e) of Part XVI-			plishment of
Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in the foundation's exempt purposes (other the	s to the Acco	n column (e) of Part XVI-			plishment of
Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in the foundation's exempt purposes (other the	s to the Acco	n column (e) of Part XVI-			plishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in the foundation's exempt purposes (other the	s to the Acco	n column (e) of Part XVI-			plishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations. Part XVI-B Relationship of Activities Line No. Explain below how each activity for which in the foundation's exempt purposes (other the	s to the Acco	n column (e) of Part XVI-			plishment of

Raton, NM 87740-0339

Phone no. (575)445-8458

Form 990-PF (2016)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Part XVII **Exempt Organizations** Yes No Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash 1a(1) (2) Other assets 1a(2) **b** Other transactions: 16(1) (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization 1b(2) (3) Rental of facilities, equipment, or other assets 1b(3) 1b(4) (4) Reimbursement arrangements (5) Loans or loan guarantees 16(5) 16(6) (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. (b) Amount involved (c) Name of noncharitable exempt organization (a) Line no (d) Description of transfers, transactions, and sharing arrangements N/A 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described Yes X No in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? If "Yes," complete the following schedule. (a) Name of organization (c) Description of relationship (b) Type of organization N/A Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge May the IRS discuss this return with the preparer shown below (see instr.)? Sign Here X Yes Signature of officer of rustee Title Print/Type preparer's name Preparer's signature Date Check [PTIN self- employed Paid Barbra M Gonzales Barbra M Gonzales 04/21/17 P00367392 Preparer Firm's name ▶ George & Gonzales CPAs Firm's EIN ► 85-0390714 **Use Only** Firm's address ▶ PO Box 339

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Purpose of grant or contribution Foundation show any relationship to Amount status of any foundation manager Name and address (home or business) recipient or substantial contributor Northern Arizona University N/A Exempt Scholarship PO Box 4108 organization Flagstaff, AZ 86011 3,000,00 Raton Public Schools N/A Exempt Contribution in 1550 Tiger Circle organization support of entity Raton, NM 87740 500,00 Contribution in Short Grass Music Festival N/A Exempt PO Box 121 organization support of entity Cimarron NM 87714 5,000.00 University of New Mexico N/A Scholarship Exempt 1155 University Blvd SE organization Albuquerque, NM 87106 7,000,00 Total from continuation sheets 15,500,00

Form 990-PF Intere	st on Saving	gs and T	'empor	ary Cash	In	vestments	St	atement	1
Source Merrill Lynch #428-04019 Merrill Lynch #428-04020 Total to Part I, line 3						(b) Investment Income 0.10 7.83		(c) Adjusted et Incom	e
					7.93				
Form 990-PF	Dividends	and Int	erest	from Se	cur	ities	St	atement	2
Source	Gross Amount	Capit Gain Divide	s	(a) Revenu Per Boo		(b) Net Inves ment Incom		(c) Adjusto Net Inco	
Merrill Lynch #428-04020	25,525.90	89	1.47	24,634	.43	24,634.	43		
To Part I, line 4	25,525.90	89	1.47	24,634	.43	24,634.	43		
Form 990-PF		Other	Inco	me			St	atement	3
Description			Re	(a) venue Books		(b) et Invest- ent Income		(c) Adjuste Net Inco	
Merrill Lynch-nondi distributions	vidend			462.7	1	462.7	 1		
Total to Form 990-P	F, Part I,]	line 11		462.7	 1	462.7	1		

Form 990-PF	Accounti	ng Fees	S	tatement 4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
George & Gonzales CPA's	2,254.52	0.00		2,254.52
To Form 990-PF, Pg 1, ln 16b	2,254.52	0.00		2,254.52
Form 990-PF	Tax	es	S	tatement 5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax Filing fee Foreign taxes	41.60 11.25 20.40	0.00 0.00 20.40		41.60 11.25 0.00
To Form 990-PF, Pg 1, ln 18	73.25	20.40		52.85
Form 990-PF	Other E	xpenses	S	tatement 6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Merrill Lynch-brokerage fees Office expense Security cost adjustment	10,252.23 296.62 446.29	10,252.23 0.00 446.29		0.00 296.62 0.00
To Form 990-PF, Pg 1, 1n 23	10,995.14	10,698.52		296.62

form 990-PF Ot	ther Investments		Statement	7
Description	Valuation Method	Book Value	Fair Market Value	:
Merrill Lynch Investments Dividends receivable	COST	932,2 4 7.71 75. 21	934,915. 75.	
Total to Form 990-PF, Part II, li	ine 13	932,322.92	934,990.	52