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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

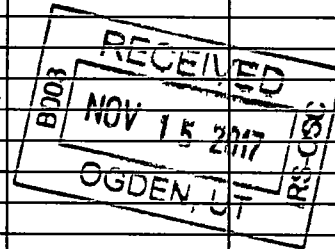
For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE HAVEN FOUNDATION		A Employer identification number 20-4356938
Number and street (or P.O. box number if mail is not delivered to street address) C/O MP LLP, 685 THIRD AVE.		B Telephone number 212-503-8822
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,630,986.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	18,656.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments	66,202.	66,202.		STATEMENT 1
	3 Dividends and interest from securities	259,164.	259,164.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<50,344.>			
	b Gross sales price for all assets on line 6a	3,412,529.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
c Gross profit or (loss)					
11 Other income	3,406.	3,406.		STATEMENT 3	
12 Total. Add lines 1 through 11	297,084.	328,772.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	8,016.	4,040.		3,976.
	b Accounting fees				
	c Other professional fees STMT 5	66,100.	66,100.		0.
	17 Interest	19,371.	19,371.		0.
	18 Taxes STMT 6	12,053.	2,053.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	25,664.	20,704.		4,960.
	24 Total operating and administrative expenses. Add lines 13 through 23	131,204.	112,268.		8,936.
	25 Contributions, gifts, grants paid	829,173.			956,887.
26 Total expenses and disbursements. Add lines 24 and 25	960,377.	112,268.		965,823.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<663,293.>				
b Net investment income (if negative, enter -0-)		216,504.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	713,453.	661,408.	661,408.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,583.	1,583.	1,583.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	11,513,425.	10,779,662.	10,967,995.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		12,228,461.	11,442,653.	11,630,986.
17 Accounts payable and accrued expenses				
18 Grants payable		199,878.	72,164.	
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	199,878.	72,164.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,028,583.	11,370,489.	
30 Total net assets or fund balances	12,028,583.	11,370,489.		
31 Total liabilities and net assets/fund balances	12,228,461.	11,442,653.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,028,583.
2 Enter amount from Part I, line 27a	2	<663,293.>
3 Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	3	5,199.
4 Add lines 1, 2, and 3	4	11,370,489.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,370,489.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,412,529.		3,462,873.	<50,344.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<50,344.>

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	<50,344.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	678,010.	12,483,542.	.054312
2014	603,775.	12,813,609.	.047120
2013	872,468.	12,646,032.	.068991
2012	310,999.	11,841,019.	.026265
2011	339,853.	4,542,582.	.074815

2 Total of line 1, column (d)	2	.271503
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054301
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	11,848,457.
5 Multiply line 4 by line 3	5	643,383.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,165.
7 Add lines 5 and 6	7	645,548.
8 Enter qualifying distributions from Part XII, line 4	8	965,823.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,165.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,165.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,165.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	16,477.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,477.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	14,312.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 14,312. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.THEHAVENFDN.ORG	13	X
14 The books are in care of ► C/O MARK LEVENFUS, MARKS PANETH LLP Telephone no. ► 212-503-8822 Located at ► 685 THIRD AVENUE, NEW YORK, NY ZIP+4 ► 10017		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	N/A
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☒ Yes ☐ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,260,728.
b	Average of monthly cash balances	1b	768,162.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,028,890.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,028,890.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	180,433.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,848,457.
6	Minimum investment return. Enter 5% of line 5	6	592,423.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	592,423.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,165.
b	Income tax for 2016. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,165.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	590,258.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	590,258.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	590,258.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	965,823.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	965,823.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,165.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	963,658.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				590,258.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				28,506.
d From 2014				
e From 2015				63,589.
f Total of lines 3a through e	92,095.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 965,823.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				590,258.
e Remaining amount distributed out of corpus	375,565.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	467,660.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	467,660.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				28,506.
c Excess from 2014				
d Excess from 2015				63,589.
e Excess from 2016				375,565.

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ERICA DIAMOND 733 FAIR AVE NORTH HOLLYWOOD, CA 91601	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
RICHARD SARTORE 24 ACORN ST CLIFTON PARK, NY 12065	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
MICHAEL GOLDEN 400 W. 43RD ST #37K NEW YORK, NY 10036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,232.
CORINA ROBERTS PO BOX 702 SIMI VALLEY, CA 93062	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
MYRA SAFFIAN 100 EAST PALISADE AVE. APT D1 ENGLEWOOD, NJ 07631	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total	SEE CONTINUATION SHEET(S)			829,173.
b Approved for future payment				
NONE				
Total				0.

THE HAVEN FOUNDATION

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Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STEPHEN COHN 3810 B. HEFFRON DRIVE BURBANK, CA 91505	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	680.
MARTIN DELOSSANT 314 SIXTH STREET, # 13 HOBOKEN, NJ 07003	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
MUSICARES 11 WEST 42ND STREET, 27TH FL NEW YORK, NY 10036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
BENJAMIN SCHULTZ 2593 DUPLEX ROAD, APT C1 SPRING HILL, TN 37174	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,750.
CERF 535 STONE CUTTERS WAY, SUITE 202 MONTPELIER, VT 05601	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ADAM GABRIEL WINNI 109 1/2 WEST 37TH STREET SAVANNAH, GA 31401	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
THE ACTORS FUND 729 SEVENTH AVE, 10TH FLOOR NEW YORK, NY 10019	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE ARTISTS' FEL 47 FIFTH AVENUE NEW YORK, NY 10003	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LISA RENTZ 2002 PARK AVENUE BEAUFORT, SC 29902	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
CHRISTA ASSAD 4335 HOLDEN ST EMERYVILLE, CA 94608	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
Total from continuation sheets				811,941.

THE HAVEN FOUNDATION

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROBERT ARNO ESPOSITO 255 W 43RD ST APT 702 NEW YORK, NY 10036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	625.
SAG FOUNDATION 5757 WILSHIRE BLVD SUITE 124 LOS ANGELES, CA 90036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
DAVE BUSCEMA 3443 CRESCENT ST., APT 1S ASTORIA, NY 11106	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,800.
MICHAEL FISCHER 1026 ANDRES RUN, H303 HENDERSONVILLE, TN 37075	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
GARY CORBETT 143 REBECCA DR HENDERSONVILLE, TN 37075	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
ORLANDO WATSON 1223 WALDRON AVE ST. LOUIS, MO 63130	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
RAYMOND JACKSON JR. 307 WILLIAM STREET, #2-C EAST ORANGE, NJ 07017	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
MACIE J MIERNIK 2710 30TH AVE, APT 5B ASTORIA, NY 11102	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
ELVIN SUTHERLAND 16469 CR3540 ADA, OK 74820	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
MELISSA CRABTREE 304 B WEST CROY ST HAILEY, ID 83333	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total from continuation sheets				

Part XV. Supplementary Information**3. Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASTRID WALSCHOT-STAPP 201 CATOCTIN AVENUE FREDERICK, MD 21701	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
MUSIC HEALTH ALLIANCE 2021 RICHARD JONES ROAD, SUITE 160 NASHVILLE, TN 37215	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LOCAL 802 MUSICIANS' ERF 322 WEST 48TH STREET NEW YORK, NY 10036	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
DANIEL ALTIERI PO BOX 1456 MENDOCINO, CA 95460	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOHN GRIFFIN 4605 FULTON AVE, #2 SHERMAN OAKS, CA 91423	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,600.
CHERYL MATTHYNESSENS 895 RIVERSIDE DR, C130 WENACTHEE, WA 98801	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	11,000.
VICTOR BAILEY 430 CENTRE ST, APT 403 NEWTON, MA 02548	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,400.
JAMES BLACKMON 269 NORTH FINLEY STREET ATHENS, GA 30601	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,300.
PHILIP CONNORS 2812 SILVER AVE EL PASO, TX 79930	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
ELLA & BOB BRITT 110 POPLAR ST DICKSON, TN 37055	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				

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Part XV: Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MICHAEL DUCLOS 75 OCEAN AVE, #5R BROOKLYN, NY 11225	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,700.
PAMELA ENZ 150 JORALEMON ST BROOKLYN, NY 11201	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
PREETHI FERNANDO 1501 MORNING DR LOVELAND, CO 80538	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
GREG GARING PO BOX 68439 NASHVILLE, TN 37206	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	9,600.
DIK LIU 45 A WITHER ST BROOKLYN, NY 12111	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
CARY MORIN 1241 MAPLE STREET FORT COLLINS, CO 80521	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MELANIE NEILSON 6042 PUTNAM AVE. #1 RIDGEWOOD, NY 11385	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOY NEWTON 286 W GRANBY ROAD WEST GRANBY, CT 06090	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
JACK RAINES 1007 HERRON ST MURFREESBORO, TN 37130	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	14,400.
ROY SKROPITS 108 SADLER COURT NASHVILLE, TN 37210	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BILL STEIGER 1398 BIRMINGHAM ST ST. PAUL, MN 55106	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOHN SURACI 205 E 95 STREET APT 26A NEW YORK, NY 10128	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
WALLY SWIST 1753 A SOUTH EAST STREET AMHURST, MA 01002	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
WENDY WILLIAMSON 19 NORTH ST RUMSON, NJ 07760	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,386.
MEREDITH BRANDT 100 HARTFORD AVE. #3469 PROVIDENCE, RI 02909	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
EVANS COLAS 1658 SAINT ST SE PALM BAY, FL 32909	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
EDDIE DALY 905 PINE GROVE AVE LOS ANGELES, CA 90042	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CHRISTOPHER GARGES 9648 MENDENHALL VIEW DRIVE HUNTERVILLE, NC 28708	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
IVAN JULIAN 263 NORTH 6TH STREET, #3 BROOKLYN, NY 11211	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	19,200.
EVANS KULMAN 4343 BROCKLEY AVE SHEFFIELD LAKE, OH 44054	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
Total from continuation sheets				

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Part XV: Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TAMARA NATALIE MADDEN 2100 KINGSGATE CIRCLE, APT C SNELLVILLE, GA 30078	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
MICHAEL MCDUNN 741 RUTHERFORD ROAD GREENVILLE, SC 29609	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
WILLIAM MILLER 1205 CUPTREE DRIVE BRENTWOOD, TN 37027	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SARA MUEHLBAUER 3831 S 13TH ST SHEBOYGEN, WI 53081	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
DORRI OLDS 255 WEST 23 STREET, APT 3BW NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
TOMMY SMITH 9405 WOODWORTH AVE. BIG HARBOR, WA 98332	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
HANNA SOMERS-JONES 184 DAMASCUS ROAD CARMEL, ME 04419	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JESSI ZAZU WARINER 3603 BATAVIA STREET NASHVILLE, TN 37209	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,500.
LAWRENCE FAGIN 437 EAST 12TH STREET #18 NEW YORK, NY 10009	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
MICHAEL BEITZ 973 14TH STREET BOULDER, CO 80302	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
Total from continuation sheets				

THE HAVEN FOUNDATION

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Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CYNTHIA CLAMPITT 700 W RAND ROAD, APT C305 ARLINGTON HEIGHTS, IL 60004	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
MATT CRANE 31 BUNKER HILL ROAD NASSAU, NY 12123	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
CHRISTOPHER TODD DUKE 1005 RUPP ST GRETBA, LA 70053	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
KARRIE HIGGINS 755 S LAFAYETTE DR, APT 244 LAFAYETTE, CO 80026	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
RUTH IORIO 1727 1/4 S NEW ENGLAND ST LOS ANGELES, CA 90006	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
JORDAN KLEMONS 310 WEST 47TH ST, APT 4D NEW YORK, NY 10036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
BARB MORRISON 31 KINGWOOD AVE FRENCHTOWN, NJ 08825	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
PEN WRITER'S EMERGENCY FUND 588 BROADWAY, SUITE 303 NEW YORK, NY 10012	NONE	PC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AQR DIVERSIFIED ARBITRAGE FUND CL I	P	08/30/16	12/15/16
b	AQR MANAGED FUTURES STRATEGY FUND CL I	P	08/30/16	12/15/16
c	ABBVIE INC UNSECD SR NT DTD 05/06/13 1.750% DUE 1	P	02/28/14	12/17/16
d	ACTAVIS FDG SCS SR UNSECD NT LUXEMBOURG DTD 06/19	P	01/20/15	04/22/16
e	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DT	P	04/29/15	04/27/16
f	AMERICA MOVIL S A B DE C V CO GTD SR NT MEXICO DT	P	04/22/15	04/27/16
g	ANADARKO PETE CORP SR NT DTD 09/19/06 5.950% DUE	P	07/19/13	05/02/16
h	ARBITRAGE FUND CL I	P	08/30/16	12/16/16
i	ARBITRAGE FUND CL I	P	08/30/16	12/15/16
j	BB&T CORP SR MTN CALL 2/16/16 @100 DTD 03/07/11 3	P	12/19/12	02/16/16
k	BHP BILLITON FIN USA LTD CO GTD SR NT AUSTRALIA D	P	12/10/12	04/22/16
l	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND INSTL CL	P	08/30/16	12/15/16
m	COCA COLA CO SR UNSECD NT DTD 11/01/13 3.200% DUE	P	09/09/16	09/28/16
n	COMCAST CORP NEW SR UNSECD NT DTD 03/01/10 5.150%	P	08/30/16	09/28/16
o	CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I	P	08/30/16	12/15/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,000.		2,941.	59.
b	2,000.		2,159.	<159.>
c	50,313.		50,461.	<148.>
d	49,837.		49,752.	85.
e	26,093.		26,494.	<401.>
f	24,085.		24,472.	<387.>
g	50,986.		56,702.	<5,716.>
h	17.			17.
i	3,000.		2,995.	5.
j	50,000.		53,325.	<3,325.>
k	50,257.		51,894.	<1,637.>
l	2,000.		1,946.	54.
m	53,866.		53,848.	18.
n	55,993.		56,321.	<328.>
o	7,050.		6,770.	280.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			59.
b			<159.>
c			<148.>
d			85.
e			<401.>
f			<387.>
g			<5,716.>
h			17.
i			5.
j			<3,325.>
k			<1,637.>
l			54.
m			18.
n			<328.>
o			280.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EXPRESS SCRIPTS INC CO GTD NT DTD 05/02/11 3.125%	P	01/09/13	04/01/16
b	GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 02/07/11	P	11/29/12	02/07/16
c	KINDER MORGAN ENERGY PARTNERS L P SR UNSECD SR NT	P	03/26/13	03/01/16
d	KRAFT FOODS INC SR UNSECD NT DTD 02/08/10 4.125%	P	07/19/13	02/09/16
e	LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND	P	08/30/16	12/15/16
f	NEUBERGER BERMAN LONG SHORT FUND INSTL CL	P	08/30/16	12/15/16
g	PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z	P	08/30/16	12/15/16
h	PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z	P	08/30/16	12/09/16
i	BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL	P	08/30/16	12/15/16
j	SIMON PPTY GROUP L P NT CALL 9/2/16 @100 DTD 12/1	P	12/21/12	09/02/16
k	STATOIL ASA GO GTD NT NORWAY DTD 08/17/10 3.125%	P	02/15/13	05/26/16
l	TEVA PHARMACEUTICAL FIN CO B V CO GTD NT NETHERLA	P	06/14/13	11/10/16
m	TEVA PHARMACEUTICAL FIN CO B V CO GTD NT NETHERLA	P	06/14/13	04/22/16
n	TOYOTA MTR CR CORP SR UNSECD MTN DTD 09/15/11 2.0	P	12/19/12	09/15/16
o	UNITED STATES TREAS NT DTD 11/17/14 2.250% DUE 11	P	02/20/15	12/15/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	50,167.		53,050.	<2,883.>
b	50,000.		52,984.	<2,984.>
c	50,000.		53,447.	<3,447.>
d	50,000.		53,699.	<3,699.>
e	3,000.		2,969.	31.
f	3,000.		2,961.	39.
g	8,820.		10,017.	<1,197.>
h	455.			455.
i	3,000.		2,936.	64.
j	50,000.		56,616.	<6,616.>
k	20,441.		21,651.	<1,210.>
l	40,000.		41,601.	<1,601.>
m	10,064.		10,400.	<336.>
n	50,000.		51,755.	<1,755.>
o	98,078.		101,481.	<3,403.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,883.>
b			<2,984.>
c			<3,447.>
d			<3,699.>
e			31.
f			39.
g			<1,197.>
h			455.
i			64.
j			<6,616.>
k			<1,210.>
l			<1,601.>
m			<336.>
n			<1,755.>
o			<3,403.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 3 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UNITED STATES TREAS NT DTD 08/31/11 1.000% DUE 08	P	07/23/15	08/31/16
b	VERIZON COMMUNICATIONS INC SR UNSECD NT DTD 11/03	P	11/29/12	04/08/16
c	WESTPAC BKG CORP SR UNSECD GMTN AUSTRALIA DTD 01/	P	01/20/15	01/12/16
d	WISCONSIN ENERGY CORP SR UNSECD NT CALL 3/15/25 @	P	06/04/15	12/16/16
e	INGERSOLL-RAND CO LTD COM IRELAND	P	11/15/16	12/16/16
f	MEDTRONIC PLC COM IRELAND	P	01/26/15	12/16/16
g	CHUBB LTD COM SWITZERLAND	P	01/14/16	12/16/16
h	CHUBB LTD COM SWITZERLAND	P	01/14/16	03/09/16
i	CHUBB LTD COM SWITZERLAND	P	01/14/16	03/04/16
j	CHUBB LTD COM SWITZERLAND	P	01/14/16	03/03/16
k	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	P	03/18/16	12/16/16
l	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	P	02/04/15	12/16/16
m	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	P	03/18/16	10/18/16
n	ABBVIE INC COM	P	08/10/16	12/16/16
o	ABBVIE INC COM	P	05/05/16	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,000.		50,318.	<318.>
b 50,388.		52,021.	<1,633.>
c 50,000.		50,210.	<210.>
d 50,479.		49,992.	487.
e 698.		681.	17.
f 738.		738.	0.
g 2,125.		1,776.	349.
h 10.		10.	0.
i 700.		666.	34.
j 589.		555.	34.
k 354.		352.	2.
l 177.		172.	5.
m 1,206.		1,319.	<113.>
n 622.		668.	<46.>
o 685.		677.	8.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<318.>
b			<1,633.>
c			<210.>
d			487.
e			17.
f			0.
g			349.
h			0.
i			34.
j			34.
k			2.
l			5.
m			<113.>
n			<46.>
o			8.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ABBVIE INC COM	P	08/10/16	11/01/16
b	ACTIVISION BLIZZARD INC COM	P	11/20/15	12/28/16
c	ACTIVISION BLIZZARD INC COM	P	11/20/15	12/16/16
d	ACTIVISION BLIZZARD INC COM	P	11/20/15	08/18/16
e	ACTIVISION BLIZZARD INC COM	P	11/20/15	05/05/16
f	ADVANSIX INC COM	P	01/07/13	10/28/16
g	ADVANSIX INC COM	P	02/12/16	10/10/16
h	ADVANSIX INC COM	P	11/20/15	10/10/16
i	ADVANSIX INC COM	P	04/24/13	10/10/16
j	ADVANSIX INC COM	P	01/07/13	10/10/16
k	ALTRIA GROUP INC COM	P	04/24/13	12/16/16
l	AMERICAN ELEC PWR INC COM	P	05/29/13	12/16/16
m	AMERICAN ELEC PWR INC COM	P	01/07/13	12/16/16
n	APPLE INC COM	P	10/18/16	12/16/16
o	APPLE INC COM	P	02/06/14	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 958.		1,135.	<177.>
b 2,150.		2,223.	<73.>
c 480.		490.	<10.>
d 1,204.		1,130.	74.
e 1,458.		1,582.	<124.>
f 16.		8.	8.
g 6.		5.	1.
h 11.		10.	1.
i 17.		11.	6.
j 28.		16.	12.
k 2,214.		1,178.	1,036.
l 628.		462.	166.
m 63.		43.	20.
n 1,393.		1,416.	<23.>
o 1,741.		1,099.	642.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<177.>
b			<73.>
c			<10.>
d			74.
e			<124.>
f			8.
g			1.
h			1.
i			6.
j			12.
k			1,036.
l			166.
m			20.
n			<23.>
o			642.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a APPLE INC COM	P	02/06/14	02/12/16
b AUTOMATIC DATA PROCESSING INC COM	P	11/29/16	12/16/16
c AUTOMATIC DATA PROCESSING INC COM	P	04/24/13	12/16/16
d BB&T CORP COM	P	11/01/16	12/16/16
e BLACKROCK INC CL A	P	04/24/13	12/16/16
f BLACKROCK INC CL A	P	04/24/13	02/12/16
g BOEING CO COM	P	01/07/13	02/12/16
h BOEING CO COM	P	01/07/13	02/11/16
i BRISTOL MYERS SQUIBB CO COM	P	06/29/15	12/16/16
j BRISTOL MYERS SQUIBB CO COM	P	06/29/15	08/10/16
k CME GROUP INC COM	P	08/18/16	12/16/16
l CME GROUP INC COM	P	04/24/13	12/16/16
m CME GROUP INC COM	P	04/24/13	03/04/16
n CME GROUP INC COM	P	04/24/13	03/03/16
o CMS ENERGY CORP COM	P	05/29/13	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,029.		806.	223.
b 1,107.		1,069.	38.
c 604.		350.	254.
d 936.		777.	159.
e 1,573.		1,035.	538.
f 1,215.		1,035.	180.
g 2,596.		1,823.	773.
h 2,706.		1,974.	732.
i 469.		533.	<64.>
j 4,389.		4,796.	<407.>
k 1,103.		955.	148.
l 735.		361.	374.
m 1,029.		662.	367.
n 377.		241.	136.
o 583.		380.	203.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			223.
b			38.
c			254.
d			159.
e			538.
f			180.
g			773.
h			732.
i			<64.>
j			<407.>
k			148.
l			374.
m			367.
n			136.
o			203.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CMS ENERGY CORP COM	P	01/07/13	12/16/16
b	CVS HEALTH CORP COM	P	06/24/14	12/16/16
c	CVS HEALTH CORP COM	P	02/12/16	11/29/16
d	CVS HEALTH CORP COM	P	06/24/14	11/29/16
e	CVS HEALTH CORP COM	P	06/24/14	11/29/16
f	CVS HEALTH CORP COM	P	02/12/16	11/01/16
g	CALIFORNIA RESOURCES CORP COM	P	01/07/13	04/20/16
h	CALIFORNIA RESOURCES CORP COM	P	06/24/14	04/01/16
i	CALIFORNIA RESOURCES CORP COM	P	04/24/13	04/01/16
j	CALIFORNIA RESOURCES CORP COM	P	01/07/13	04/01/16
k	CHEVRON CORP COM	P	05/06/16	12/16/16
l	CHUBB CORP COM	P	01/07/13	01/14/16
m	CISCO SYS INC COM	P	02/12/16	12/16/16
n	CISCO SYS INC COM	P	02/12/16	10/18/16
o	CISCO SYS INC COM	P	08/14/15	10/18/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	42.	25.	17.
b	1,191.	1,138.	53.
c	1,060.	1,335.	<275.>
d	908.	908.	0.
e	681.	683.	<2.>
f	1,084.	1,084.	0.
g	1.	1.	0.
h	1.	2.	<1.>
i	1.	2.	<1.>
j	3.	5.	<2.>
k	1,650.	1,423.	227.
l	5,839.	3,478.	2,361.
m	1,947.	1,604.	343.
n	428.	351.	77.
o	1,682.	1,593.	89.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			17.
b			53.
c			<275.>
d			0.
e			<2.>
f			0.
g			0.
h			<1.>
i			<1.>
j			<2.>
k			227.
l			2,361.
m			343.
n			77.
o			89.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COMCAST CORP NEW CL A COM	P	08/27/14	12/16/16
b	COMCAST CORP NEW CL A COM	P	05/05/14	12/16/16
c	CROWN CASTLE INTL CORP REITS	P	08/14/15	12/16/16
d	DOMINION RES INC VA NEW COM	P	05/29/13	12/16/16
e	DOMINION RES INC VA NEW COM	P	01/07/13	12/16/16
f	DOVER CORP COM	P	01/07/13	11/16/16
g	DOVER CORP COM	P	04/24/13	11/15/16
h	DOVER CORP COM	P	01/07/13	11/15/16
i	DOW CHEM CO COM	P	10/18/16	12/16/16
j	DUKE RLTY CORP COM NEW	P	07/25/16	12/16/16
k	EASTMAN CHEM CO COM	P	06/29/15	02/09/16
l	ESSEX PPTY TR INC COM	P	03/26/15	12/16/16
m	EVERSOURCE ENERGY COM	P	09/29/15	12/16/16
n	EXXON MOBIL CORP COM	P	01/15/15	12/16/16
o	EXXON MOBIL CORP COM	P	01/07/13	12/16/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,894.		1,470.	424.
b	1,122.		834.	288.
c	429.		430.	<1.>
d	456.		342.	114.
e	76.		53.	23.
f	1,834.		1,455.	379.
g	1,502.		1,230.	272.
h	1,145.		896.	249.
i	874.		805.	69.
j	367.		386.	<19.>
k	2,776.		3,960.	<1,184.>
l	454.		466.	<12.>
m	601.		544.	57.
n	1,272.		1,244.	28.
o	2,363.		2,279.	84.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			424.
b			288.
c			<1.>
d			114.
e			23.
f			379.
g			272.
h			249.
i			69.
j			<19.>
k			<1,184.>
l			<12.>
m			57.
n			28.
o			84.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 8 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EXXON MOBIL CORP COM	P	01/15/15	05/05/16
b	EXXON MOBIL CORP COM	P	01/15/15	05/05/16
c	GENERAL DYNAMICS CORP COM	P	08/17/15	12/16/16
d	GENERAL ELEC CO COM	P	11/05/14	09/27/16
e	GENERAL ELEC CO COM	P	08/14/15	09/26/16
f	GENERAL ELEC CO COM	P	11/05/14	09/26/16
g	GENERAL ELEC CO COM	P	01/01/14	09/26/16
h	GENERAL MLS INC COM	P	06/29/15	12/16/16
i	GENERAL MLS INC COM	P	06/29/15	02/12/16
j	GENUINE PARTS CO COM	P	11/23/15	12/16/16
k	GILEAD SCIENCES INC COM	P	02/04/15	01/29/16
l	HOME DEPOT INC COM	P	01/07/13	12/16/16
m	HONEYWELL INTL INC COM	P	02/12/16	12/16/16
n	HONEYWELL INTL INC COM	P	11/20/15	12/16/16
o	INTEL CORP COM	P	01/07/13	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,845.		1,845.	0.
b 1,845.		1,867.	<22.>
c 2,279.		1,976.	303.
d 2,309.		2,012.	297.
e 5,087.		4,477.	610.
f 3,756.		3,276.	480.
g 1,094.		1,028.	66.
h 1,460.		1,299.	161.
i 1,353.		1,356.	<3.>
j 689.		634.	55.
k 6,194.		7,325.	<1,131.>
l 2,855.		1,321.	1,534.
m 700.		618.	82.
n 2,101.		1,896.	205.
o 1,087.		638.	449.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			<22.>
c			303.
d			297.
e			610.
f			480.
g			66.
h			161.
i			<3.>
j			55.
k			<1,131.>
l			1,534.
m			82.
n			205.
o			449.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INTERPUBLIC GROUP COS INC COM	P	08/19/16	12/16/16
b	J P MORGAN CHASE & CO COM	P	03/03/16	12/16/16
c	J P MORGAN CHASE & CO COM	P	02/12/16	12/16/16
d	JOHNSON & JOHNSON COM	P	08/10/16	12/16/16
e	JOHNSON & JOHNSON COM	P	01/29/16	12/16/16
f	JOHNSON & JOHNSON COM	P	11/12/15	12/16/16
g	KLA-TENCOR CORP COM	P	02/12/16	06/13/16
h	KLA-TENCOR CORP COM	P	02/16/16	06/10/16
i	KIMBERLY CLARK CORP COM	P	01/07/13	12/16/16
j	KIMBERLY CLARK CORP COM	P	01/07/13	08/18/16
k	KIMBERLY CLARK CORP COM	P	05/29/13	02/12/16
l	KIMBERLY CLARK CORP COM	P	01/07/13	02/12/16
m	LAM RESEARCH CORP COM	P	06/13/16	12/16/16
n	LOCKHEED MARTIN CORP COM	P	11/20/15	12/16/16
o	MARSH & MCLENNAN COS INC COM	P	06/29/15	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	573.	555.	18.
b	3,585.	2,515.	1,070.
c	853.	571.	282.
d	812.	864.	<52.>
e	1,392.	1,246.	146.
f	1,740.	1,514.	226.
g	1,382.	1,212.	170.
h	1,454.	1,298.	156.
i	930.	649.	281.
j	1,021.	649.	372.
k	1,283.	960.	323.
l	257.	162.	95.
m	1,051.	824.	227.
n	2,010.	1,813.	197.
o	1,857.	1,549.	308.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			18.
b			1,070.
c			282.
d			<52.>
e			146.
f			226.
g			170.
h			156.
i			281.
j			372.
k			323.
l			95.
m			227.
n			197.
o			308.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MCDONALDS CORP COM	P	01/07/13	12/16/16
b	MERCK & CO INC NEW COM	P	08/14/15	12/16/16
c	MERCK & CO INC NEW COM	P	05/29/13	12/16/16
d	MICROSOFT CORP COM	P	06/29/15	12/16/16
e	NEXTERA ENERGY INC COM	P	05/29/13	12/16/16
f	NEXTERA ENERGY INC COM	P	01/07/13	12/16/16
g	NORTHERN TR CORP COM	P	11/12/15	12/16/16
h	OCCIDENTAL PETE CORP DEL COM	P	04/24/13	12/16/16
i	OCCIDENTAL PETE CORP DEL COM	P	01/07/13	12/16/16
j	OCCIDENTAL PETE CORP DEL COM	P	06/24/14	05/05/16
k	OCCIDENTAL PETE CORP DEL COM	P	04/24/13	05/05/16
l	PG&E CORP COM	P	01/15/15	12/16/16
m	PNC FINL SVCS GROUP INC COM	P	02/12/16	12/16/16
n	PNC FINL SVCS GROUP INC COM	P	04/24/13	12/16/16
o	PNC FINL SVCS GROUP INC COM	P	02/12/16	11/01/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,109.	817.	292.
b	1,496.	1,427.	69.
c	1,433.	1,074.	359.
d	4,991.	3,590.	1,401.
e	714.	455.	259.
f	119.	71.	48.
g	1,428.	1,188.	240.
h	946.	1,059.	<113.>
i	291.	307.	<16.>
j	1,062.	1,396.	<334.>
k	76.	81.	<5.>
l	795.	746.	49.
m	460.	322.	138.
n	1,151.	684.	467.
o	762.	644.	118.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			292.
b			69.
c			359.
d			1,401.
e			259.
f			48.
g			240.
h			<113.>
i			<16.>
j			<334.>
k			<5.>
l			49.
m			138.
n			467.
o			118.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PARKER HANNIFIN CORP COM	P	04/24/13	12/16/16
b	PARKER HANNIFIN CORP COM	P	01/07/13	12/16/16
c	PEPSICO INC COM	P	02/12/16	12/16/16
d	PEPSICO INC COM	P	03/26/15	12/16/16
e	PFIZER INC COM	P	05/29/13	12/16/16
f	PFIZER INC COM	P	01/07/13	12/16/16
g	PFIZER INC COM	P	08/10/16	08/18/16
h	PFIZER INC COM	P	05/29/13	08/18/16
i	PHILIP MORRIS INTL INC COM	P	01/07/13	12/16/16
j	PRICE T ROWE GROUP INC COM	P	04/24/13	12/16/16
k	PRICE T ROWE GROUP INC COM	P	01/07/13	12/16/16
l	PROCTER & GAMBLE CO COM	P	04/24/13	12/16/16
m	PROCTER & GAMBLE CO COM	P	03/15/13	12/16/16
n	PROCTER & GAMBLE CO COM	P	04/24/13	02/12/16
o	PUBLIC STORAGE INC COM (REIT)	P	04/24/13	12/16/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	717.		447.	270.
b	143.		88.	55.
c	1,269.		1,180.	89.
d	634.		574.	60.
e	1,338.		1,162.	176.
f	913.		728.	185.
g	1,122.		1,121.	1.
h	175.		142.	33.
i	2,186.		2,068.	118.
j	613.		584.	29.
k	77.		68.	9.
l	254.		234.	20.
m	1,100.		1,001.	99.
n	1,283.		1,246.	37.
o	1,746.		1,274.	472.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			270.
b			55.
c			89.
d			60.
e			176.
f			185.
g			1.
h			33.
i			118.
j			29.
k			9.
l			20.
m			99.
n			37.
o			472.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLIC STORAGE INC COM (REIT)	P	09/25/14	02/12/16
b	QUALCOMM INC COM	P	09/27/16	12/16/16
c	SCHLUMBERGER LTD COM CURACAO	P	09/06/13	12/16/16
d	SCHLUMBERGER LTD COM CURACAO	P	01/14/14	05/05/16
e	SCHLUMBERGER LTD COM CURACAO	P	09/06/13	05/05/16
f	SEMPRA ENERGY COM	P	01/07/13	12/16/16
g	SHERWIN WILLIAMS CO COM	P	01/07/13	12/13/16
h	SHERWIN WILLIAMS CO COM	P	01/07/13	08/18/16
i	SHERWIN WILLIAMS CO COM	P	01/07/13	07/22/16
j	SHERWIN WILLIAMS CO COM	P	01/07/13	02/12/16
k	SIMON PPTY GROUP INC NEW COM	P	05/29/13	12/16/16
l	SONOCO PRODS CO COM	P	07/01/16	12/16/16
m	STANLEY BLACK & DECKER INC COM	P	10/05/16	12/16/16
n	STANLEY BLACK & DECKER INC COM	P	10/04/16	12/16/16
o	TJX COS INC NEW COM	P	08/14/15	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,393.	982.	411.
b	1,137.	1,067.	70.
c	2,130.	2,135.	<5.>
d	226.	266.	<40.>
e	1,957.	2,220.	<263.>
f	613.	432.	181.
g	2,162.	1,265.	897.
h	577.	316.	261.
i	1,761.	949.	812.
j	2,557.	1,581.	976.
k	904.	804.	100.
l	754.	695.	59.
m	708.	745.	<37.>
n	118.	123.	<5.>
o	773.	705.	68.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			411.
b			70.
c			<5.>
d			<40.>
e			<263.>
f			181.
g			897.
h			261.
i			812.
j			976.
k			100.
l			59.
m			<37.>
n			<5.>
o			68.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TEXAS INSTRS INC COM	P	06/25/13	12/16/16
b	3M CO COM	P	09/27/16	12/16/16
c	TIME WARNER INC NEW COM	P	03/26/15	12/16/16
d	US BANCORP DEL COM NEW	P	01/07/13	12/16/16
e	UNITED PARCEL SVC INC CL B	P	02/12/16	12/16/16
f	UNITED PARCEL SVC INC CL B	P	11/20/15	12/16/16
g	UNITEDHEALTH GROUP INC COM	P	01/29/16	12/16/16
h	V F CORP COM	P	04/24/13	08/18/16
i	V F CORP COM	P	01/07/13	08/18/16
j	VALERO ENERGY CORP NEW COM	P	11/20/15	12/16/16
k	VERIZON COMMUNICATIONS INC COM	P	03/12/13	12/16/16
l	VERIZON COMMUNICATIONS INC COM	P	01/07/13	12/16/16
m	WEC ENERGY GROUP INC COM	P	05/29/13	12/16/16
n	WAL-MART STORES INC COM	P	08/28/14	12/16/16
o	WASTE MGMT INC DEL COM	P	06/28/16	12/16/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,608.		769.	839.
b	1,603.		1,588.	15.
c	1,424.		1,273.	151.
d	1,296.		824.	472.
e	695.		581.	114.
f	1,505.		1,355.	150.
g	1,137.		793.	344.
h	448.		305.	143.
i	2,305.		1,344.	961.
j	816.		863.	<47.>
k	2,396.		2,198.	198.
l	52.		45.	7.
m	524.		368.	156.
n	1,285.		1,285.	0.
o	1,128.		1,024.	104.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			839.
b			15.
c			151.
d			472.
e			114.
f			150.
g			344.
h			143.
i			961.
j			<47.>
k			198.
l			7.
m			156.
n			0.
o			104.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 14 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO & CO NEW COM	P	04/24/13	12/16/16
b	WELLS FARGO & CO NEW COM	P	01/07/13	12/16/16
c	WELLS FARGO & CO NEW COM	P	04/24/13	11/01/16
d	WELLS FARGO & CO NEW COM	P	04/24/13	07/22/16
e	WELLS FARGO & CO NEW COM	P	08/14/15	06/10/16
f	WELLS FARGO & CO NEW COM	P	04/24/13	06/10/16
g	IHS MARKIT LTD COM BERMUDA	P	07/12/16	08/17/16
h	ADIDAS AG SPONSORED ADR GERMANY	P	01/26/15	02/16/16
i	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	P	09/16/15	02/12/16
j	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	P	07/09/15	02/12/16
k	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	P	10/13/14	02/12/16
l	ALIBABA GROUP HLDG LTD ADS CAYMAN ISLANDS	P	09/22/14	02/12/16
m	ALPHABET INC CL C COM	P	01/21/14	06/17/16
n	AMAZON COM INC COM	P	02/12/16	10/17/16
o	AMAZON COM INC COM	P	01/21/14	10/17/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,321.	898.	423.
b	220.	139.	81.
c	1,930.	1,571.	359.
d	1,546.	1,197.	349.
e	1,399.	1,659.	<260.>
f	338.	262.	76.
g	33.	31.	2.
h	4,252.	2,894.	1,358.
i	1,104.	1,212.	<108.>
j	1,472.	1,929.	<457.>
k	491.	666.	<175.>
l	429.	629.	<200.>
m	1,379.	1,155.	224.
n	815.	510.	305.
o	815.	405.	410.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			423.
b			81.
c			359.
d			349.
e			<260.>
f			76.
g			2.
h			1,358.
i			<108.>
j			<457.>
k			<175.>
l			<200.>
m			224.
n			305.
o			410.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 15 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMAZON COM INC COM	P	02/12/16	08/01/16
b	AMERICAN INTL GROUP INC NEW COM	P	01/21/14	12/29/16
c	AMERICAN INTL GROUP INC NEW COM	P	01/21/14	12/28/16
d	APACHE CORP COM	P	01/21/14	05/06/16
e	APACHE CORP COM	P	01/21/14	05/06/16
f	CALIFORNIA RESOURCES CORP COM	P	01/07/13	04/20/16
g	CALIFORNIA RESOURCES CORP COM	P	01/21/14	03/29/16
h	CALIFORNIA RESOURCES CORP COM	P	04/24/13	03/29/16
i	CALIFORNIA RESOURCES CORP COM	P	01/07/13	03/29/16
j	CATERPILLAR INC COM	P	01/21/14	10/13/16
k	CISCO SYS INC COM	P	03/30/15	07/28/16
l	CITIGROUP INC NEW COM	P	03/28/14	08/05/16
m	CITIGROUP INC NEW COM	P	03/28/14	06/17/16
n	DANAHER CORP DEL COM	P	09/19/14	05/27/16
o	IHS INC CL A COM	P	06/23/15	07/12/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	768.		510.	258.
b	4,457.		3,441.	1,016.
c	2,373.		1,822.	551.
d	2,779.		4,314.	<1,535.>
e	326.		508.	<182.>
f	2.		1.	1.
g	1.		1.	0.
h	1.		2.	<1.>
i	3.		4.	<1.>
j	781.		814.	<33.>
k	1,797.		1,632.	165.
l	1,178.		1,225.	<47.>
m	511.		565.	<54.>
n	3,859.		3,110.	749.
o	351.		392.	<41.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			258.
b			1,016.
c			551.
d			<1,535.>
e			<182.>
f			1.
g			0.
h			<1.>
i			<1.>
j			<33.>
k			165.
l			<47.>
m			<54.>
n			749.
o			<41.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	IHS INC CL A COM	P	01/23/15	07/12/16
b	IHS INC CL A COM	P	01/21/14	07/12/16
c	J P MORGAN CHASE & CO COM	P	04/24/13	12/23/16
d	J P MORGAN CHASE & CO COM	P	04/24/13	12/20/16
e	J P MORGAN CHASE & CO COM	P	04/24/13	12/15/16
f	LENNAR CORP COM	P	01/21/14	07/28/16
g	LINKEDIN CORP CL A COM	P	05/21/14	06/20/16
h	LINKEDIN CORP CL A COM	P	08/14/15	06/17/16
i	LINKEDIN CORP CL A COM	P	05/21/14	06/17/16
j	LOWES COS INC COM	P	01/21/14	12/30/16
k	LOWES COS INC COM	P	01/21/14	12/23/16
l	MEAD JOHNSON NUTRITION CO COM	P	10/05/15	12/02/16
m	MEAD JOHNSON NUTRITION CO COM	P	10/05/15	12/01/16
n	MEAD JOHNSON NUTRITION CO COM	P	10/05/15	11/29/16
o	PEPSICO INC COM	P	01/21/14	10/05/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,742.		3,714.	28.
b 936.		936.	0.
c 1,300.		733.	567.
d 1,715.		977.	738.
e 2,575.		1,466.	1,109.
f 1,790.		1,373.	417.
g 764.		609.	155.
h 954.		951.	3.
i 3,054.		2,434.	620.
j 2,065.		1,381.	684.
k 2,101.		1,381.	720.
l 1,699.		1,804.	<105.>
m 284.		301.	<17.>
n 936.		977.	<41.>
o 2,332.		1,826.	506.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			28.
b			0.
c			567.
d			738.
e			1,109.
f			417.
g			155.
h			3.
i			620.
j			684.
k			720.
l			<105.>
m			<17.>
n			<41.>
o			506.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PRICE T ROWE GROUP INC COM	P	04/24/13	11/11/16
b	PRICE T ROWE GROUP INC COM	P	01/07/13	11/11/16
c	PROCTER & GAMBLE CO COM	P	09/30/15	10/05/16
d	PRUDENTIAL FINL INC COM	P	01/21/14	10/05/16
e	RALPH LAUREN CORP CL A COM	P	10/26/15	11/04/16
f	RALPH LAUREN CORP CL A COM	P	08/14/15	11/04/16
g	RALPH LAUREN CORP CL A COM	P	08/14/15	10/14/16
h	RALPH LAUREN CORP CL A COM	P	03/27/15	10/14/16
i	RALPH LAUREN CORP CL A COM	P	03/27/15	07/28/16
j	RALPH LAUREN CORP CL A COM	P	03/27/15	05/13/16
k	HELMERICH & PAYNE	P	04/18/16	12/21/16
l	OSHKOSH CORP	P	09/06/16	12/20/16
m	ESTEE LAUDER COMPANIES-CL A	P	10/13/16	12/14/16
n	APPLIED MATERIALS INC	P	11/12/15	11/07/16
o	HELMERICH & PAYNE	P	04/18/16	11/04/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,018.		2,116.	<98.>
b	2,575.		2,529.	46.
c	2,125.		1,722.	403.
d	1,104.		1,173.	<69.>
e	886.		1,034.	<148.>
f	394.		472.	<78.>
g	880.		1,062.	<182.>
h	1,075.		1,435.	<360.>
i	1,931.		2,609.	<678.>
j	2,683.		4,044.	<1,361.>
k	2,948.		2,207.	741.
l	2,584.		2,153.	431.
m	1,807.		2,030.	<223.>
n	1,567.		903.	664.
o	2,557.		2,446.	111.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<98.>
b			46.
c			403.
d			<69.>
e			<148.>
f			<78.>
g			<182.>
h			<360.>
i			<678.>
j			<1,361.>
k			741.
l			431.
m			<223.>
n			664.
o			111.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	APPLIED MATERIALS INC	P	11/12/15	11/04/16
b	PROGRESSIVE CORP	P	07/21/16	10/31/16
c	PROGRESSIVE CORP	P	07/20/16	10/31/16
d	GENERAL MILLS INC	P	08/04/16	10/18/16
e	GENERAL MILLS INC	P	05/27/16	10/18/16
f	GENERAL MILLS INC	P	05/24/16	10/18/16
g	GENERAL MILLS INC	P	05/23/16	10/18/16
h	GENERAL MILLS INC	P	05/20/16	10/18/16
i	GENERAL MILLS INC	P	05/20/16	10/17/16
j	VERIZON COMMUNICATIONS INC	P	12/21/15	10/17/16
k	GENERAL MILLS INC	P	05/20/16	10/14/16
l	GENERAL MILLS INC	P	05/19/16	10/14/16
m	PPL CORPORATION	P	10/16/15	10/07/16
n	PPL CORPORATION	P	10/13/15	10/07/16
o	PPL CORPORATION	P	10/12/15	10/07/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,576.		1,522.	1,054.
b 32.		33.	<1.>
c 4,411.		4,605.	<194.>
d 1,977.		2,247.	<270.>
e 3,212.		3,270.	<58.>
f 2,100.		2,120.	<20.>
g 1,915.		1,945.	<30.>
h 1,606.		1,625.	<19.>
i 2,657.		2,687.	<30.>
j 2,365.		2,143.	222.
k 681.		687.	<6.>
l 1,301.		1,311.	<10.>
m 2,548.		2,721.	<173.>
n 3,839.		3,977.	<138.>
o 2,839.		2,937.	<98.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,054.
b			<1.>
c			<194.>
d			<270.>
e			<58.>
f			<20.>
g			<30.>
h			<19.>
i			<30.>
j			222.
k			<6.>
l			<10.>
m			<173.>
n			<138.>
o			<98.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ADVANSIX INC - W/I	P	05/16/16	10/04/16
b	ADVANSIX INC - W/I	P	04/29/16	10/04/16
c	PUBLIC STORAGE	P	08/08/16	09/20/16
d	XILINX INC	P	01/20/16	09/20/16
e	PUBLIC STORAGE	P	10/14/15	09/20/16
f	PUBLIC STORAGE	P	10/01/15	09/20/16
g	UNITED PARCEL SERVICE-CL B	P	06/20/16	09/15/16
h	UNITED PARCEL SERVICE-CL B	P	06/13/16	09/15/16
i	UNITED PARCEL SERVICE-CL B	P	05/16/16	09/15/16
j	UNITED PARCEL SERVICE-CL B	P	05/11/16	09/15/16
k	UNITED PARCEL SERVICE-CL B	P	05/11/16	09/14/16
l	CF INDUSTRIES HOLDINGS INC	P	11/23/15	09/13/16
m	CF INDUSTRIES HOLDINGS INC	P	10/15/15	09/13/16
n	CF INDUSTRIES HOLDINGS INC	P	10/15/15	09/12/16
o	AMPHENOL CORP-CL A	P	06/02/16	07/28/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	15.		14.	1.
b	30.		40.	<10.>
c	1,723.		1,849.	<126.>
d	2,554.		2,054.	500.
e	3,231.		3,260.	<29.>
f	2,584.		2,551.	33.
g	1,390.		1,384.	6.
h	1,925.		1,879.	46.
i	2,460.		2,340.	120.
j	1,925.		1,865.	60.
k	4,171.		4,041.	130.
l	2,611.		4,808.	<2,197.>
m	556.		1,210.	<654.>
n	1,240.		2,578.	<1,338.>
o	1,468.		1,476.	<8.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			<10.>
c			<126.>
d			500.
e			<29.>
f			33.
g			6.
h			46.
i			120.
j			60.
k			130.
l			<2,197.>
m			<654.>
n			<1,338.>
o			<8.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CITIZENS FINANCIAL GROUP	P	12/22/15	07/19/16
b	CITIZENS FINANCIAL GROUP	P	12/21/15	07/19/16
c	CITIZENS FINANCIAL GROUP	P	12/02/15	07/19/16
d	CITIZENS FINANCIAL GROUP	P	12/02/15	07/18/16
e	MCDONALD'S CORP	P	09/10/15	07/18/16
f	MCDONALD'S CORP	P	09/02/15	07/18/16
g	PPL CORPORATION	P	10/02/15	06/24/16
h	PPL CORPORATION	P	10/01/15	06/24/16
i	SEALED AIR CORP	P	06/29/15	06/09/16
j	CF INDUSTRIES HOLDINGS INC	P	07/14/15	06/08/16
k	APPLIED MATERIALS INC	P	06/10/15	06/08/16
l	LYONDELLBASELL INDU-CL A	P	10/13/15	06/03/16
m	LYONDELLBASELL INDU-CL A	P	10/13/15	06/02/16
n	UNITEDHEALTH GROUP INC	P	08/26/15	06/02/16
o	UNITEDHEALTH GROUP INC	P	08/18/15	06/02/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,333.		2,893.	<560.>
b	1,744.		2,148.	<404.>
c	3,132.		3,977.	<845.>
d	1,843.		2,349.	<506.>
e	9,517.		7,345.	2,172.
f	4,202.		3,259.	943.
g	677.		592.	85.
h	3,309.		2,857.	452.
i	2,815.		3,168.	<353.>
j	285.		566.	<281.>
k	3,219.		2,666.	553.
l	1,986.		2,342.	<356.>
m	964.		1,124.	<160.>
n	2,305.		1,900.	405.
o	2,576.		2,387.	189.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<560.>
b			<404.>
c			<845.>
d			<506.>
e			2,172.
f			943.
g			85.
h			452.
i			<353.>
j			<281.>
k			553.
l			<356.>
m			<160.>
n			405.
o			189.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LYONDELLBASELL INDU-CL A	P	07/14/15	06/02/16
b	LYONDELLBASELL INDU-CL A	P	07/14/15	05/19/16
c	MONSTER BEVERAGE CORP	P	07/14/15	05/19/16
d	NORTHROP GRUMMAN CORP	P	06/01/15	05/13/16
e	NORTHROP GRUMMAN CORP	P	05/28/15	05/13/16
f	JETBLUE AIRWAYS	P	07/16/15	05/12/16
g	JETBLUE AIRWAYS	P	07/15/15	05/12/16
h	APPLIED MATERIALS INC	P	06/10/15	05/12/16
i	APPLIED MATERIALS INC	P	06/05/15	05/12/16
j	ROCKWELL COLLINS INC.	P	07/10/15	05/10/16
k	BALL CORP	P	07/14/15	04/28/16
l	COMPUTER SCIENCES CORP	P	01/25/16	04/20/16
m	ALTRIA GROUP INC	P	08/17/15	04/15/16
n	APPLIED MATERIALS INC	P	06/05/15	04/14/16
o	ROSS STORES INC	P	04/15/15	04/14/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,206.		1,510.	<304.>
b 645.		805.	<160.>
c 1,634.		1,541.	93.
d 4,736.		3,516.	1,220.
e 1,292.		957.	335.
f 2,791.		3,409.	<618.>
g 2,847.		3,426.	<579.>
h 1,370.		1,383.	<13.>
i 258.		262.	<4.>
j 4,405.		4,445.	<40.>
k 1,674.		1,621.	53.
l 2,554.		2,316.	238.
m 2,023.		1,837.	186.
n 3,641.		3,501.	140.
o 1,645.		1,508.	137.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<304.>
b			<160.>
c			93.
d			1,220.
e			335.
f			<618.>
g			<579.>
h			<13.>
i			<4.>
j			<40.>
k			53.
l			238.
m			186.
n			140.
o			137.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GAMESTOP CORP-CLASS A	P	07/14/15	03/18/16
b	ROSS STORES INC	P	04/09/15	02/25/16
c	APPLIED MATERIALS INC	P	06/05/15	02/24/16
d	L BRANDS INC	P	03/25/15	02/24/16
e	MURPHY OIL CORP	P	11/16/15	02/12/16
f	MURPHY OIL CORP	P	10/09/15	02/12/16
g	NORTHROP GRUMMAN CORP	P	05/28/15	02/05/16
h	EDISON INTERNATIONAL	P	06/26/15	02/01/16
i	MOBILEYE NV	P	03/06/15	01/26/16
j	MOBILEYE NV	P	02/11/15	01/26/16
k	VOYA FINANCIAL INC	P	09/14/15	01/19/16
l	VOYA FINANCIAL INC	P	09/11/15	01/19/16
m	VOYA FINANCIAL INC	P	09/04/15	01/19/16
n	VOYA FINANCIAL INC	P	08/31/15	01/19/16
o	AB DISCOVERY VALUE FUND- ADV	P	01/27/14	12/29/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	998.		1,492.	<494.>
b	2,574.		2,376.	198.
c	2,863.		3,159.	<296.>
d	2,474.		2,837.	<363.>
e	2,918.		5,241.	<2,323.>
f	1,451.		2,658.	<1,207.>
g	2,976.		2,551.	425.
h	3,090.		2,804.	286.
i	1,226.		1,643.	<417.>
j	1,569.		2,000.	<431.>
k	2,626.		3,704.	<1,078.>
l	2,294.		3,221.	<927.>
m	1,449.		1,986.	<537.>
n	1,569.		2,234.	<665.>
o	5,145.		4,859.	286.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<494.>
b			198.
c			<296.>
d			<363.>
e			<2,323.>
f			<1,207.>
g			425.
h			286.
i			<417.>
j			<431.>
k			<1,078.>
l			<927.>
m			<537.>
n			<665.>
o			286.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MICROSOFT CORP	P	10/27/14	12/20/16
b	ESTEE LAUDER COMPANIES-CL A	P	07/14/15	12/14/16
c	ESTEE LAUDER COMPANIES-CL A	P	07/14/15	12/13/16
d	KIMBERLY-CLARK CORP	P	03/06/15	12/13/16
e	KIMBERLY-CLARK CORP	P	02/13/15	12/13/16
f	ESTEE LAUDER COMPANIES-CL A	P	07/15/14	12/13/16
g	BANK OF AMERICA CORP	P	12/12/12	12/13/16
h	BANK OF AMERICA CORP	P	11/28/12	12/13/16
i	KIMBERLY-CLARK CORP	P	02/13/15	12/12/16
j	ESTEE LAUDER COMPANIES-CL A	P	07/15/14	12/12/16
k	AETNA INC	P	06/12/14	12/12/16
l	MEDTRONIC PLC	P	07/14/15	12/08/16
m	MEDTRONIC PLC	P	02/18/15	12/08/16
n	MEDTRONIC PLC	P	01/30/15	12/08/16
o	MEDTRONIC PLC	P	01/27/15	12/08/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,781.	2,019.	762.
b	393.	447.	<54.>
c	946.	1,072.	<126.>
d	2,647.	2,466.	181.
e	230.	221.	9.
f	1,340.	1,292.	48.
g	407.	191.	216.
h	1,084.	460.	624.
i	3,102.	2,987.	115.
j	2,595.	2,509.	86.
k	1,664.	1,050.	614.
l	1,436.	1,515.	<79.>
m	1,724.	1,875.	<151.>
n	2,729.	2,649.	80.
o	2,657.	2,720.	<63.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			762.
b			<54.>
c			<126.>
d			181.
e			9.
f			48.
g			216.
h			624.
i			115.
j			86.
k			614.
l			<79.>
m			<151.>
n			80.
o			<63.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 24 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AB DISCOVERY VALUE FUND- ADV	P	01/27/14	12/01/16
b	DOLLAR GENERAL CORP	P	03/20/15	11/30/16
c	DOLLAR GENERAL CORP	P	09/08/14	11/30/16
d	DOLLAR GENERAL CORP	P	09/08/14	11/30/16
e	DOLLAR GENERAL CORP	P	09/08/14	11/29/16
f	DOLLAR GENERAL CORP	P	07/31/14	11/29/16
g	AB DISCOVERY VALUE FUND- ADV	P	01/27/14	11/15/16
h	AB DISCOVERY VALUE FUND- ADV	P	01/27/14	11/11/16
i	CAPITAL ONE FINANCIAL CORP	P	03/26/13	11/10/16
j	CAPITAL ONE FINANCIAL CORP	P	03/25/13	11/10/16
k	XEROX CORP	P	02/03/14	11/07/16
l	APPLIED MATERIALS INC	P	10/08/15	11/04/16
m	HESS CORP	P	03/11/15	11/02/16
n	ALLSTATE CORP	P	02/20/15	11/02/16
o	ALLSTATE CORP	P	01/13/15	11/02/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,455.		1,344.	111.
b	546.		531.	15.
c	312.		252.	60.
d	2,027.		1,641.	386.
e	1,563.		1,262.	301.
f	1,954.		1,397.	557.
g	1,770.		1,682.	88.
h	1,680.		1,643.	37.
i	158.		110.	48.
j	3,327.		2,282.	1,045.
k	1,977.		2,299.	<322.>
l	2,746.		1,505.	1,241.
m	656.		977.	<321.>
n	1,412.		1,494.	<82.>
o	605.		631.	<26.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			111.
b			15.
c			60.
d			386.
e			301.
f			557.
g			88.
h			37.
i			48.
j			1,045.
k			<322.>
l			1,241.
m			<321.>
n			<82.>
o			<26.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HESS CORP	P	03/05/14	11/02/16
b	ROSS STORES INC	P	10/06/15	10/31/16
c	ROSS STORES INC	P	07/14/15	10/31/16
d	KIMBERLY-CLARK CORP	P	02/13/15	10/31/16
e	ALLSTATE CORP	P	01/13/15	10/31/16
f	ALLSTATE CORP	P	12/24/14	10/31/16
g	ALLSTATE CORP	P	12/24/14	10/28/16
h	ALLSTATE CORP	P	12/17/14	10/28/16
i	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	10/26/16
j	L-3 COMMUNICATIONS HOLDINGS	P	12/03/14	10/25/16
k	L-3 COMMUNICATIONS HOLDINGS	P	11/17/14	10/25/16
l	ALTRIA GROUP INC	P	08/17/15	10/24/16
m	WALT DISNEY CO/THE	P	02/28/14	10/21/16
n	BIOGEN INC	P	01/17/13	10/21/16
o	BIOGEN INC	P	01/16/13	10/21/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,405.	2,411.	<1,006.>
b	2,864.	2,219.	645.
c	374.	312.	62.
d	1,716.	1,660.	56.
e	882.	912.	<30.>
f	882.	921.	<39.>
g	1,760.	1,843.	<83.>
h	1,828.	1,840.	<12.>
i	523.	525.	<2.>
j	296.	246.	50.
k	6,656.	5,389.	1,267.
l	1,424.	1,225.	199.
m	1,914.	1,704.	210.
n	294.	144.	150.
o	1,763.	857.	906.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,006.>
b			645.
c			62.
d			56.
e			<30.>
f			<39.>
g			<83.>
h			<12.>
i			<2.>
j			50.
k			1,267.
l			199.
m			210.
n			150.
o			906.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ROSS STORES INC	P	07/14/15	10/19/16
b	ROSS STORES INC	P	04/15/15	10/19/16
c	HEWLETT PACKARD ENTERPRIS	P	10/23/12	10/17/16
d	DR PEPPER SNAPPLE GROUP INC	P	10/06/14	10/13/16
e	DR PEPPER SNAPPLE GROUP INC	P	07/15/14	10/13/16
f	DR PEPPER SNAPPLE GROUP INC	P	07/15/14	10/12/16
g	PEPSICO INC	P	01/27/14	10/12/16
h	APPLIED MATERIALS INC	P	10/08/15	10/11/16
i	APPLIED MATERIALS INC	P	07/14/15	10/11/16
j	APPLIED MATERIALS INC	P	07/14/15	10/10/16
k	APPLIED MATERIALS INC	P	06/10/15	10/10/16
l	PPL CORPORATION	P	10/02/15	10/07/16
m	ITT INC	P	07/14/15	10/03/16
n	ITT INC	P	01/06/15	10/03/16
o	ITT INC	P	12/17/14	10/03/16

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,455.		1,195.	260.
b	1,392.		1,144.	248.
c	3,528.		1,300.	2,228.
d	2,969.		2,196.	773.
e	349.		237.	112.
f	3,316.		2,253.	1,063.
g	2,331.		1,813.	518.
h	2,495.		1,350.	1,145.
i	1,262.		843.	419.
j	1,421.		919.	502.
k	799.		541.	258.
l	1,807.		1,841.	<34.>
m	1,640.		1,845.	<205.>
n	1,319.		1,381.	<62.>
o	4,600.		5,012.	<412.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			260.
b			248.
c			2,228.
d			773.
e			112.
f			1,063.
g			518.
h			1,145.
i			419.
j			502.
k			258.
l			<34.>
m			<205.>
n			<62.>
o			<412.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ITT INC	P	09/05/14	10/03/16
b	ITT INC	P	07/08/14	10/03/16
c	AMDOCS LTD	P	07/14/15	09/27/16
d	AMDOCS LTD	P	01/27/14	09/27/16
e	AMDOCS LTD	P	01/27/14	09/22/16
f	DANAHER CORP	P	07/14/15	09/15/16
g	DANAHER CORP	P	07/10/15	09/15/16
h	DANAHER CORP	P	07/10/15	09/14/16
i	DANAHER CORP	P	01/30/15	09/14/16
j	ALLSTATE CORP	P	12/17/14	09/14/16
k	ALLSTATE CORP	P	12/17/14	09/14/16
l	DANAHER CORP	P	11/11/13	09/14/16
m	DANAHER CORP	P	11/11/13	09/13/16
n	CF INDUSTRIES HOLDINGS INC	P	07/14/15	09/12/16
o	APPLIED MATERIALS INC	P	06/10/15	08/30/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,783.		2,444.	<661.>
b	3,637.		4,837.	<1,200.>
c	1,570.		1,525.	45.
d	2,791.		2,030.	761.
e	1,712.		1,227.	485.
f	2,150.		1,880.	270.
g	1,997.		1,708.	289.
h	1,989.		1,708.	281.
i	1,530.		1,263.	267.
j	2,572.		2,589.	<17.>
k	68.		68.	0.
l	153.		113.	40.
m	3,319.		2,423.	896.
n	607.		1,510.	<903.>
o	3,523.		2,365.	1,158.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<661.>
b			<1,200.>
c			45.
d			761.
e			485.
f			270.
g			289.
h			281.
i			267.
j			<17.>
k			0.
l			40.
m			896.
n			<903.>
o			1,158.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ANTHEM INC	P	07/14/15	08/19/16
b	DISCOVER FINANCIAL SERVICES	P	05/06/15	08/19/16
c	ANTHEM INC	P	12/04/14	08/19/16
d	ANTHEM INC	P	09/30/14	08/19/16
e	ANTHEM INC	P	09/30/14	08/18/16
f	ANTHEM INC	P	09/05/14	08/18/16
g	SHERWIN-WILLIAMS CO/THE	P	07/14/15	08/11/16
h	SHERWIN-WILLIAMS CO/THE	P	10/15/14	08/11/16
i	SHERWIN-WILLIAMS CO/THE	P	10/15/14	08/10/16
j	SHERWIN-WILLIAMS CO/THE	P	01/27/14	08/10/16
k	SHERWIN-WILLIAMS CO/THE	P	01/27/14	08/09/16
l	VALERO ENERGY CORP	P	07/14/15	08/05/16
m	VALERO ENERGY CORP	P	01/08/15	08/05/16
n	VALERO ENERGY CORP	P	12/03/14	08/05/16
o	SHERWIN-WILLIAMS CO/THE	P	01/27/14	08/05/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,550.		1,914.	<364.>
b 3,467.		3,516.	<49.>
c 1,550.		1,531.	19.
d 258.		240.	18.
e 2,987.		2,759.	228.
f 2,338.		2,124.	214.
g 2,078.		1,987.	91.
h 594.		408.	186.
i 2,369.		1,631.	738.
j 296.		190.	106.
k 1,779.		1,141.	638.
l 1,501.		1,867.	<366.>
m 1,769.		1,638.	131.
n 697.		672.	25.
o 2,097.		1,332.	765.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<364.>
b			<49.>
c			19.
d			18.
e			228.
f			214.
g			91.
h			186.
i			738.
j			106.
k			638.
l			<366.>
m			131.
n			25.
o			765.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 29 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VALERO ENERGY CORP		P	12/03/14	08/04/16
b VALERO ENERGY CORP		P	11/26/14	08/04/16
c ANTHEM INC		P	09/05/14	08/04/16
d ANTHEM INC		P	08/05/14	08/04/16
e FORTIVE CORP		P	07/14/15	08/03/16
f FORTIVE CORP		P	07/10/15	08/03/16
g FORTIVE CORP		P	01/30/15	08/03/16
h ANTHEM INC		P	08/05/14	08/03/16
i ANTHEM INC		P	08/05/14	08/03/16
j FORTIVE CORP		P	11/11/13	08/03/16
k AMPHENOL CORP-CL A		P	07/14/15	07/28/16
l AMPHENOL CORP-CL A		P	07/14/15	07/27/16
m AMPHENOL CORP-CL A		P	11/07/13	07/27/16
n AMPHENOL CORP-CL A		P	10/30/13	07/27/16
o AMPHENOL CORP-CL A		P	10/30/13	07/26/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,151.		2,067.	84.
b 2,205.		2,095.	110.
c 888.		826.	62.
d 508.		442.	66.
e 679.		600.	79.
f 1,262.		1,091.	171.
g 485.		403.	82.
h 3,830.		3,319.	511.
i 128.		111.	17.
j 1,068.		810.	258.
k 763.		720.	43.
l 826.		775.	51.
m 1,770.		1,204.	566.
n 1,947.		1,332.	615.
o 414.		283.	131.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			84.
b			110.
c			62.
d			66.
e			79.
f			171.
g			82.
h			511.
i			17.
j			258.
k			43.
l			51.
m			566.
n			615.
o			131.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 30 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMPHENOL CORP-CL A	P	10/25/13	07/26/16
b	AMPHENOL CORP-CL A	P	04/09/13	07/26/16
c	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/26/16
d	AMPHENOL CORP-CL A	P	04/09/13	07/25/16
e	AMPHENOL CORP-CL A	P	03/14/13	07/25/16
f	AMPHENOL CORP-CL A	P	02/11/13	07/25/16
g	ANSYS INC	P	07/14/15	07/20/16
h	ANSYS INC	P	10/21/14	07/20/16
i	ANSYS INC	P	10/02/14	07/20/16
j	ANSYS INC	P	09/03/14	07/20/16
k	ANSYS INC	P	11/15/13	07/20/16
l	ANSYS INC	P	08/09/13	07/20/16
m	COSTCO WHOLESALE CORP	P	08/21/13	07/18/16
n	COSTCO WHOLESALE CORP	P	06/18/13	07/18/16
o	SERVICENOW INC	P	05/08/14	06/16/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,368.		1,619.	749.
b	1,006.		616.	390.
c	472.		471.	1.
d	763.		471.	292.
e	1,174.		733.	441.
f	704.		423.	281.
g	1,810.		1,861.	<51.>
h	2,263.		1,897.	366.
i	2,263.		1,902.	361.
j	2,263.		2,045.	218.
k	1,810.		1,692.	118.
l	1,267.		1,239.	28.
m	3,175.		2,137.	1,038.
n	1,671.		1,117.	554.
o	4,041.		2,568.	1,473.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			749.
b			390.
c			1.
d			292.
e			441.
f			281.
g			<51.>
h			366.
i			361.
j			218.
k			118.
l			28.
m			1,038.
n			554.
o			1,473.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MICROSOFT CORP	P	10/27/14	06/09/16
b	MICROSOFT CORP	P	10/08/14	06/09/16
c	CF INDUSTRIES HOLDINGS INC	P	04/16/15	06/08/16
d	DR PEPPER SNAPPLE GROUP INC	P	07/15/14	06/07/16
e	DR PEPPER SNAPPLE GROUP INC	P	07/02/14	06/07/16
f	DR PEPPER SNAPPLE GROUP INC	P	07/01/14	06/07/16
g	UNITEDHEALTH GROUP INC	P	02/24/15	06/02/16
h	UNITEDHEALTH GROUP INC	P	01/13/15	06/02/16
i	UNITEDHEALTH GROUP INC	P	12/23/14	06/02/16
j	ESTEE LAUDER COMPANIES-CL A	P	06/25/14	06/01/16
k	ESTEE LAUDER COMPANIES-CL A	P	06/25/14	05/31/16
l	ESTEE LAUDER COMPANIES-CL A	P	05/28/14	05/31/16
m	INTUITIVE SURGICAL INC	P	04/23/14	05/31/16
n	INTUITIVE SURGICAL INC	P	03/28/14	05/31/16
o	INTUITIVE SURGICAL INC	P	03/28/14	05/27/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,344.	1,193.	151.
b	724.	640.	84.
c	2,690.	4,958.	<2,268.>
d	736.	474.	262.
e	2,299.	1,474.	825.
f	1,195.	768.	427.
g	3,253.	2,772.	481.
h	2,576.	1,990.	586.
i	1,898.	1,439.	459.
j	3,135.	2,546.	589.
k	1,469.	1,198.	271.
l	2,020.	1,666.	354.
m	634.	378.	256.
n	2,535.	1,739.	796.
o	2,546.	1,739.	807.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			151.
b			84.
c			<2,268.>
d			262.
e			825.
f			427.
g			481.
h			586.
i			459.
j			589.
k			271.
l			354.
m			256.
n			796.
o			807.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMDOCS LTD	P	01/27/14	05/26/16
b	AMDOCS LTD	P	01/27/14	05/26/16
c	MICROSOFT CORP	P	10/08/14	05/23/16
d	MICROSOFT CORP	P	08/04/14	05/23/16
e	VISA INC - CLASS A SHRS	P	08/01/13	05/23/16
f	VISA INC - CLASS A SHRS	P	02/25/13	05/23/16
g	LYONDELLBASELL INDU-CL A	P	02/19/15	05/19/16
h	MONSTER BEVERAGE CORP	P	05/09/14	05/19/16
i	CVS HEALTH CORP	P	01/15/14	05/18/16
j	CVS HEALTH CORP	P	11/20/13	05/18/16
k	DANAHER CORP	P	11/11/13	05/16/16
l	DANAHER CORP	P	11/11/13	05/16/16
m	DANAHER CORP	P	11/06/13	05/16/16
n	DANAHER CORP	P	04/10/13	05/16/16
o	ROSS STORES INC	P	04/15/15	05/12/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,055.		3,723.	1,332.
b	57.		42.	15.
c	1,816.		1,646.	170.
d	807.		689.	118.
e	1,551.		880.	671.
f	465.		239.	226.
g	3,305.		3,698.	<393.>
h	1,188.		530.	658.
i	1,013.		684.	329.
j	1,520.		984.	536.
k	392.		297.	95.
l	98.		74.	24.
m	2,450.		1,825.	625.
n	686.		430.	256.
o	2,554.		2,443.	111.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,332.
b			15.
c			170.
d			118.
e			671.
f			226.
g			<393.>
h			658.
i			329.
j			536.
k			95.
l			24.
m			625.
n			256.
o			111.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MEDTRONIC PLC	P	01/27/15	05/11/16
b	DOLLAR GENERAL CORP	P	07/31/14	05/11/16
c	DOLLAR GENERAL CORP	P	07/31/14	05/11/16
d	DOLLAR GENERAL CORP	P	07/28/14	05/11/16
e	CF INDUSTRIES HOLDINGS INC	P	04/16/15	05/10/16
f	CF INDUSTRIES HOLDINGS INC	P	04/13/15	05/10/16
g	LYONDELLBASELL INDU-CL A	P	02/19/15	05/10/16
h	LYONDELLBASELL INDU-CL A	P	05/13/13	05/10/16
i	LYONDELLBASELL INDU-CL A	P	12/05/12	05/10/16
j	LYONDELLBASELL INDU-CL A	P	12/05/12	05/03/16
k	LYONDELLBASELL INDU-CL A	P	10/18/12	05/03/16
l	BALL CORP	P	11/26/14	04/28/16
m	BALL CORP	P	02/28/14	04/28/16
n	PEPSICO INC	P	01/27/14	04/28/16
o	MONSTER BEVERAGE CORP	P	05/09/14	04/21/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,006.		2,720.	286.
b	1,983.		1,341.	642.
c	83.		56.	27.
d	1,404.		961.	443.
e	1,656.		3,208.	<1,552.>
f	2,860.		5,412.	<2,552.>
g	1,884.		2,074.	<190.>
h	2,048.		1,542.	506.
i	1,556.		922.	634.
j	2,158.		1,261.	897.
k	415.		277.	138.
l	4,004.		3,622.	382.
m	1,674.		1,276.	398.
n	3,490.		2,801.	689.
o	2,001.		1,060.	941.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			286.
b			642.
c			27.
d			443.
e			<1,552.>
f			<2,552.>
g			<190.>
h			506.
i			634.
j			897.
k			138.
l			382.
m			398.
n			689.
o			941.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MONSTER BEVERAGE CORP	P	05/09/14	04/21/16
b	MONSTER BEVERAGE CORP	P	03/10/14	04/21/16
c	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	04/20/16
d	L BRANDS INC	P	03/31/15	04/15/16
e	L BRANDS INC	P	03/25/15	04/15/16
f	ALTRIA GROUP INC	P	10/01/14	04/15/16
g	ROSS STORES INC	P	04/09/15	04/14/16
h	CVS HEALTH CORP	P	11/20/13	04/14/16
i	BALL CORP	P	02/28/14	03/21/16
j	GAMESTOP CORP-CLASS A	P	02/03/14	03/18/16
k	BALL CORP	P	02/28/14	03/11/16
l	BALL CORP	P	02/03/14	03/11/16
m	GAMESTOP CORP-CLASS A	P	02/03/14	03/09/16
n	SHERWIN-WILLIAMS CO/THE	P	01/27/14	03/09/16
o	GAMESTOP CORP-CLASS A	P	01/08/14	03/09/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	125.		66.	59.
b	2,001.		1,173.	828.
c	561.		572.	<11.>
d	3,021.		3,506.	<485.>
e	163.		189.	<26.>
f	1,532.		1,149.	383.
g	794.		723.	71.
h	2,327.		1,508.	819.
i	2,444.		1,941.	503.
j	1,966.		2,131.	<165.>
k	2,866.		2,330.	536.
l	136.		103.	33.
m	2,698.		2,942.	<244.>
n	2,483.		1,712.	771.
o	1,085.		1,579.	<494.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			59.
b			828.
c			<11.>
d			<485.>
e			<26.>
f			383.
g			71.
h			819.
i			503.
j			<165.>
k			536.
l			33.
m			<244.>
n			771.
o			<494.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GAMESTOP CORP-CLASS A	P	12/05/13	03/09/16
b	ALPHABET INC-CL A	P	10/23/12	03/07/16
c	FACEBOOK INC-A	P	04/30/14	03/01/16
d	FACEBOOK INC-A	P	04/07/14	03/01/16
e	ALPHABET INC-CL A	P	10/23/12	03/01/16
f	BALL CORP	P	02/03/14	02/25/16
g	PRICELINE GROUP INC/THE	P	04/01/13	02/23/16
h	GILEAD SCIENCES INC	P	06/18/13	02/18/16
i	GILEAD SCIENCES INC	P	10/18/12	02/18/16
j	ALLSTATE CORP	P	12/17/14	02/17/16
k	INTUITIVE SURGICAL INC	P	03/28/14	02/17/16
l	DANAHER CORP	P	04/10/13	02/17/16
m	DANAHER CORP	P	10/18/12	02/17/16
n	MURPHY OIL CORP	P	07/23/14	02/12/16
o	ALTRIA GROUP INC	P	10/01/14	02/05/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	837.	1,227.	<390.>
b	4,320.	2,049.	2,271.
c	1,531.	818.	713.
d	984.	512.	472.
e	1,472.	683.	789.
f	3,058.	2,366.	692.
g	1,269.	695.	574.
h	1,526.	873.	653.
i	1,885.	721.	1,164.
j	5,403.	5,655.	<252.>
k	3,223.	2,609.	614.
l	1,567.	1,106.	461.
m	2,089.	1,290.	799.
n	2,061.	8,431.	<6,370.>
o	2,429.	1,884.	545.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<390.>
b			2,271.
c			713.
d			472.
e			789.
f			692.
g			574.
h			653.
i			1,164.
j			<252.>
k			614.
l			461.
m			799.
n			<6,370.>
o			545.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALTRIA GROUP INC	P	10/01/14	02/03/16
b	ALTRIA GROUP INC	P	10/01/14	02/03/16
c	ALTRIA GROUP INC	P	01/27/14	02/03/16
d	UNION PACIFIC CORP	P	01/27/14	02/03/16
e	AB GLOBAL BOND FUND- ADV	P	01/27/14	02/02/16
f	UNION PACIFIC CORP	P	01/27/14	02/02/16
g	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	02/02/16
h	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	01/27/16
i	HESS CORP	P	03/05/14	01/26/16
j	HESS CORP	P	02/20/14	01/26/16
k	HESS CORP	P	01/10/14	01/26/16
l	UNION PACIFIC CORP	P	01/27/14	01/22/16
m	F5 NETWORKS INC	P	02/26/14	01/21/16
n	ADVANSIX INC	P	01/01/01	10/27/16
o	FORTIVE CORP	P	01/01/01	07/26/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,461.	2,665.	796.
b	60.	46.	14.
c	179.	111.	68.
d	2,143.	2,560.	<417.>
e	25,165.	25,441.	<276.>
f	2,461.	2,902.	<441.>
g	24,835.	25,685.	<850.>
h	400.	415.	<15.>
i	706.	1,607.	<901.>
j	882.	2,025.	<1,143.>
k	1,447.	3,315.	<1,868.>
l	1,772.	2,133.	<361.>
m	1,894.	2,376.	<482.>
n	5.		5.
o	25.		25.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			796.
b			14.
c			68.
d			<417.>
e			<276.>
f			<441.>
g			<850.>
h			<15.>
i			<901.>
j			<1,143.>
k			<1,868.>
l			<361.>
m			<482.>
n			5.
o			25.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
b	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
c	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
d	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
e	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
f	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
g	SPDR	SER	TR	BLOOMBERG	BARCLAYS INTER TERM CORPORA	P	06/02/16	12/28/16
h	PIMCO	ETF	TR	TOTAL RETURN ACTIVE	ETF	P	10/12/15	12/28/16
i	PIMCO	ETF	TR	TOTAL RETURN ACTIVE	ETF	P	10/12/15	12/28/16
j	PIMCO	ETF	TR	TOTAL RETURN ACTIVE	ETF	P	07/14/15	12/28/16
k	PIMCO	INVESTMENT	GRADE	CORPORATE	BOND FUND INST C	P	12/29/15	12/28/16
l	PIMCO	INVESTMENT	GRADE	CORPORATE	BOND FUND INST C	P	12/31/15	12/28/16
m	PIMCO	INVESTMENT	GRADE	CORPORATE	BOND FUND INST C	P	01/29/16	12/28/16
n	PIMCO	INVESTMENT	GRADE	CORPORATE	BOND FUND INST C	P	02/29/16	12/28/16
o	PIMCO	INVESTMENT	GRADE	CORPORATE	BOND FUND INST C	P	11/30/16	12/28/16

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	99,841.		101,585.	<1,744.>
b	3,370.		3,428.	<58.>
c	3,370.		3,428.	<58.>
d	3,370.		3,428.	<58.>
e	3,370.		3,428.	<58.>
f	3,370.		3,428.	<58.>
g	3,370.		3,428.	<58.>
h	41,236.		42,603.	<1,367.>
i	14,541.		15,018.	<477.>
j	87,661.		90,781.	<3,120.>
k	1,205.		1,173.	32.
l	596.		581.	15.
m	471.		460.	11.
n	515.		504.	11.
o	509.		523.	<14.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,744.>
b			<58.>
c			<58.>
d			<58.>
e			<58.>
f			<58.>
g			<58.>
h			<1,367.>
i			<477.>
j			<3,120.>
k			32.
l			15.
m			11.
n			11.
o			<14.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

CONTINUATION FOR 990-PF, PART IV
20-4356938 PAGE 38 OF 42**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	03/31/16	12/28/16
b	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	04/29/16	12/28/16
c	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	05/31/16	12/28/16
d	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	06/30/16	12/28/16
e	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	10/31/16	12/28/16
f	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	09/30/16	12/28/16
g	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	08/31/16	12/28/16
h	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	12/16/15	12/28/16
i	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	12/16/15	12/28/16
j	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	09/30/15	12/28/16
k	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	11/30/15	12/28/16
l	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	10/30/15	12/28/16
m	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	10/12/15	12/28/16
n	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	06/30/15	12/28/16
o	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C					P	07/29/16	12/28/16

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	500.		499.	1.
b	500.		504.	<4.>
c	497.		502.	<5.>
d	488.		502.	<14.>
e	464.		478.	<14.>
f	508.		529.	<21.>
g	475.		495.	<20.>
h	1,279.		1,256.	23.
i	1,180.		1,160.	20.
j	306.		309.	<3.>
k	504.		511.	<7.>
l	440.		449.	<9.>
m	56,627.		57,821.	<1,194.>
n	14.		14.	0.
o	480.		500.	<20.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			<4.>
c			<5.>
d			<14.>
e			<14.>
f			<21.>
g			<20.>
h			23.
i			20.
j			<3.>
k			<7.>
l			<9.>
m			<1,194.>
n			0.
o			<20.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C	P	08/31/15	12/28/16
b	PIMCO INVESTMENT GRADE CORPORATE BOND FUND INST C	P	06/29/15	12/28/16
c	ISHARES TR U S CR BD ETF	P	06/29/15	12/28/16
d	ISHARES TR U S CR BD ETF	P	06/29/15	12/28/16
e	ISHARES TR U S CR BD ETF	P	06/29/15	12/28/16
f	ISHARES TR U S CR BD ETF	P	06/29/15	12/28/16
g	ISHARES TR MSCI EUROPE FINL SECTOR ETF	P	06/30/16	09/01/16
h	ISHARES TR CHINA LARGE-CAP ETF	P	05/02/16	09/01/16
i	BARCLAYS BK PLC IPATH S&P 500 VIX SHORT TERM FUTU	P	03/17/16	06/24/16
j	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	05/18/16
k	SELECT SECTOR SPDR TR TECHNOLOGY	P	06/29/15	05/18/16
l	ISHARES MSCI UNITED KINGDOM ETF NEW	P	06/29/15	05/18/16
m	ISHARES MSCI UNITED KINGDOM ETF NEW	P	06/29/15	05/18/16
n	WISDOMTREE TR JAPAN HEDGED EQUITY FD	P	06/29/15	05/02/16
o	SELECT SECTOR SPDR TR FINANCIAL	P	06/29/15	05/02/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 440.		452.	<12.>
b 88,142.		90,568.	<2,426.>
c 54,186.		54,068.	118.
d 10,837.		10,816.	21.
e 10,729.		10,708.	21.
f 759.		757.	2.
g 32,528.		28,656.	3,872.
h 23,707.		21,239.	2,468.
i 26,622.		35,367.	<8,745.>
j 53,840.		65,733.	<11,893.>
k 39,525.		39,005.	520.
l 37,331.		43,578.	<6,247.>
m 19,231.		22,457.	<3,226.>
n 46,635.		64,542.	<17,907.>
o 37,082.		39,030.	<1,948.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<12.>
b			<2,426.>
c			118.
d			21.
e			21.
f			2.
g			3,872.
h			2,468.
i			<8,745.>
j			<11,893.>
k			520.
l			<6,247.>
m			<3,226.>
n			<17,907.>
o			<1,948.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SPDR S&P 500 ETF TR TR UNIT	P	06/29/15	05/02/16
b	SPDR S&P 500 ETF TR TR UNIT	P	07/20/15	05/02/16
c	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	P	09/22/15	04/11/16
d	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	P	12/22/15	04/11/16
e	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	P	12/22/15	04/11/16
f	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	P	03/22/16	04/11/16
g	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS Y	P	06/29/15	04/11/16
h	MARKET VECTORS ETF TR GOLD MINERS ETF FD	P	06/29/15	03/10/16
i	MARKET VECTORS ETF TR GOLD MINERS ETF FD	P	06/29/15	03/10/16
j	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
k	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
l	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
m	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
n	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
o	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	104,059.		104,920.	<861.>
b	9,516.		9,775.	<259.>
c	1,209.		1,158.	51.
d	1,204.		1,164.	40.
e	621.		600.	21.
f	1,224.		1,206.	18.
g	118,053.		117,487.	566.
h	20,892.		18,963.	1,929.
i	4,002.		3,634.	368.
j	2,653.		3,350.	<697.>
k	8,611.		10,871.	<2,260.>
l	701.		885.	<184.>
m	1,151.		1,454.	<303.>
n	1,802.		2,275.	<473.>
o	2,754.		3,476.	<722.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<861.>
b			<259.>
c			51.
d			40.
e			21.
f			18.
g			566.
h			1,929.
i			368.
j			<697.>
k			<2,260.>
l			<184.>
m			<303.>
n			<473.>
o			<722.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
b	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
c	WISDOMTREE TR EUROPE HEDGED EQUITY FD	P	06/29/15	02/22/16
d	FACTORSHARES TR PUREFUNDS ISE CYBER SEC ETF	P	07/16/15	02/17/16
e	WISDOMTREE TR JAPAN HEDGED EQUITY FD	P	06/29/15	02/16/16
f	WISDOMTREE TR JAPAN HEDGED EQUITY FD	P	06/29/15	02/16/16
g	WISDOMTREE TR JAPAN HEDGED EQUITY FD	P	06/29/15	02/16/16
h	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	01/29/16	02/02/16
i	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	12/31/15	02/02/16
j	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	12/29/15	02/02/16
k	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	09/30/15	02/02/16
l	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	11/30/15	02/02/16
m	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	10/30/15	02/02/16
n	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	08/31/15	02/02/16
o	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	06/30/15	02/02/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	200.	253.	<53.>
b	5,757.	7,269.	<1,512.>
c	10,013.	12,641.	<2,628.>
d	16,277.	25,044.	<8,767.>
e	12,237.	17,161.	<4,924.>
f	5,727.	8,032.	<2,305.>
g	6,634.	9,304.	<2,670.>
h	578.	619.	<41.>
i	557.	569.	<12.>
j	2,849.	2,912.	<63.>
k	441.	463.	<22.>
l	618.	649.	<31.>
m	525.	554.	<29.>
n	514.	544.	<30.>
o	21.	23.	<2.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<53.>
b			<1,512.>
c			<2,628.>
d			<8,767.>
e			<4,924.>
f			<2,305.>
g			<2,670.>
h			<41.>
i			<12.>
j			<63.>
k			<22.>
l			<31.>
m			<29.>
n			<30.>
o			<2.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	07/31/15	02/02/16
b	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	P	06/29/15	02/02/16
c	PROSHARES TR ULTRAPRO SHORT S&P500 NEW JAN 2014	P	10/08/15	01/11/16
d	BARCLAYS BK PLC IPATH S&P 500 VIX SHORT TERM FUTU	P	10/08/15	01/11/16
e	CAPITAL GAIN DISTRIBUTIONS	P	01/01/16	12/31/16
f	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	P		12/31/16
g	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	P		12/31/16
h	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	P		12/31/16
i	MADISON REALTY CAPITAL DEBT FUND III LP K-1	P		12/31/16
j	CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLAS	P		12/31/16
k	CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLAS	P		12/31/16
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 584.		624.	<40.>
b 196,014.		209,357.	<13,343.>
c 40,520.		38,546.	1,974.
d 21,467.		19,161.	2,306.
e 14,699.			14,699.
f 2,239.		2,094.	<2,094.>
g 569.			2,239.
h 15.			569.
i 7.			15.
j 1.			7.
k			1.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<40.>
b			<13,343.>
c			1,974.
d			2,306.
e			14,699.
f			<2,094.>
g			2,239.
h			569.
i			15.
j			7.
k			1.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<50,344.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	9,820.	9,820.	
KCPS	1,197.	1,197.	
SANFORD BERNSTEIN	10,456.	10,456.	
US TRUST	22.	22.	
	44,707.	44,707.	
TOTAL TO PART I, LINE 3	66,202.	66,202.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES	4,397.	0.	4,397.	4,397.	
JPMORGAN	8.	0.	8.	8.	
KCPS	36,043.	0.	36,043.	36,043.	
MADISON REALTY CAPITAL DEBT FUND III LP	14,921.	0.	14,921.	14,921.	
SANFORD BERNSTEIN	179,783.	0.	179,783.	179,783.	
US TRUST	24,012.	0.	24,012.	24,012.	
TO PART I, LINE 4	259,164.	0.	259,164.	259,164.	

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - ORDINARY LOSS	<1,238.>	<1,238.>	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - ROYALTIES	1.	1.	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	2,358.	2,358.	
MADISON REALTY CAPITAL DEBT FUND III LP	2,466.	2,466.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	71.	71.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	<259.>	<259.>	
GAIN FROM FOREIGN EXCHANGE	7.	7.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,406.	3,406.	

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COHEN TAUBER SPOEVACK & WAGNER	8,016.	4,040.		3,976.
TO FM 990-PF, PG 1, LN 16A	8,016.	4,040.		3,976.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENTS FEES	66,100.	66,100.		0.
TO FORM 990-PF, PG 1, LN 16C	66,100.	66,100.		0.

FORM 990-PF	TAXES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	1,774.	1,774.		0.
US TREASURY	10,000.	0.		0.
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - FOREIGN TAX WITHHELD	279.	279.		0.
TO FORM 990-PF, PG 1, LN 18	12,053.	2,053.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,838.	0.		1,838.
FILING FEE	750.	0.		750.
POSTAGE	486.	0.		486.
OFFICE EXPENSES	1,886.	0.		1,886.
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER EXPENSE	12,040.	12,040.		0.
MADISON REALTY CAPITAL DEBT FUND III LP	8,257.	8,257.		0.
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	407.	407.		0.
TO FORM 990-PF, PG 1, LN 23	25,664.	20,704.		4,960.

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
STOCKS - SCHEDULE ATTACHED	10,779,662.		10,967,995.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,779,662.		10,967,995.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREAS/ASST SECR/DIR 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY/ASST TREAS 1.00	0.	0.	0.
FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRESIDENT 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
CHUCK VERRILL C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL