

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF Department of the Treasury

EXTENDED TO NOVEMBER 15, 2017
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning and ending Name of foundation A Employer identification number THE HAVEN FOUNDATION 20-4356938 Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number C/O MP LLP, 685 THIRD AVE. 5TH FL 212-503-8822 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here NEW YORK, NY 10017 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return 2. Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation H Check type of organization; E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J | Accounting method: X Accrual F If the foundation is in a 60-month termination (from Part II, col (c), line 16) Other (specify) under section 507(b)(1)(B), check here 11,630,986. (Part I, column (d) must be on cash basis) Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) (d) Disbursements for charitable purposes (cash basis only) (a) Revenue and (c) Adjusted net (b) Net investment expenses per books income income 18,656. Contributions, gifts, grants, etc., received N/A 2 Check X if the foundation is not required to attach Sch. B 3 Interest on savings and temporary cash investments 66,202. 66,202. STATEMENT 259,164. 259,164. 4 Dividends and interest from securities STATEMENT 5a Gross rents b Net rental income or (loss) <50,344. 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 3,412,529. 7 Capital gain net income (from Part IV, line 2) 0. 8 Net short-term capital gain 9 Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 11 Other income 3,406. 3,406. STATEMENT 12 Total. Add lines 1 through 11 297,084. 328.772.13 Compensation of officers, directors, trustees, etc 0. O. 14 Other employee salaries and wages 15 Pension plans, employee benefits STMT 4 8,016. 4,040. 16a Legal fees 3,976. **b** Accounting fees STMT 5 c Other professional fees 66,100. 66,100. 0. 19,371. 19,371. 17 Interest 0. 18 Taxes S
19 Depreciation and depletion
20 Occupancy
21 Travel, conferences, and meetings 2,053. STMT 6 12,053. 0. SCANNED NOV 1 & 2017 22 Printing and publications 23 Other expenses ST
24 Total operating and administrative expenses. Add lines 13 through 23
25 Contributions of the graphs paid 25,664. 20,704. 4,960. 131,204. 112,268. 8,936. 25 Contributions, gifts, grants paid 829,173. 956,887. 26 Total expenses and disbursements. Add lines 24 and 25 960,377. 112,268. 965,823. Subtract line 26 from line 12: <663,293. 8 Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) 216,504. c Adjusted net income (if negative, enter -0-) N/A

623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

968

Form **990-PF** (2016)

31 Total liabilities and net assets/fund balances	12,228,461.
Part III Analysis of Changes in Net Assets or Fund	l Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30		
(must agree with end-of-year figure reported on prior year's return)	. 11	12,028,583.
2 Enter amount from Part I, line 27a	2	<663,293.>
3 Other increases not included in line 2 (itemize) ► COST BASIS ADJUSTMENT	3	5,199.
4 Add lines 1, 2, and 3	4	11,370,489.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,370,489.

 $\triangleright X$

Net Assets or Fund Balances

22 Other liabilities (describe

24 Unrestricted25 Temporarily restricted26 Permanently restricted

23 Total liabilities (add lines 17 through 22)

and complete lines 27 through 31.27 Capital stock, trust principal, or current funds

30 Total net assets or fund balances

Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.

Foundations that do not follow SFAS 117, check here

28 Paid-in or capital surplus, or land, bldg., and equipment fund

29 Retained earnings, accumulated income, endowment, or other funds

199,878.

0.

12,028,583.

12,028,583.

72,164.

11,370,489.

11,370,489.

11,442,653

Part IV Capital Gains	and Losses for Tax on	Investment	Income						
	ribe the kind(s) of property sold (irehouse; or common stock, 200			`P-) Date a (mo., da	cquired ay, yr.)	(d) Date sold (mo., day, yr.)
1a									
b SEE ATTACHED	STATEMENTS								
	· 			<u> </u>					
_d				<u> </u>					
e				┖┯					<u></u>
(e) Gross sales price	(f) Depreciation allowed (or allowable)		t or other basis xpense of sale		(h) Gain or (loss) (e) plus (f) minus (g)				
a	 								
<u>b</u>				 ⊦					
<u>c</u>				-					
d 3,412,529.			3,462,87	2					<50,344.>
e 3,412,529. Complete only for assets showin	in gain in column (h) and owned			 		//\ C	aine (C	ol (b) goin	
Complete only for assets showing	(j) Adjusted basis		cess of col. (I)					ol. (h) gain ot less thai	
(i) F.M.V. as of 12/31/69	as of 12/31/69		col. (j), if any					(from col. (
<u>a</u>	·	_ -							
<u>b</u>				 -					
<u>c</u>				_					
<u>d</u>									-EO 244 S
			-	\rightarrow		_			<50,344.
2 Capital gain net income or (net ca	If gain, also e ipital loss) (if (loss), ente	nter in Part I, line r -0- in Part I, line	7 7	<u>}</u>	2				<50,344.>
3 Net short-term capital gain or (los	ss) as defined in sections 1222(5) and (6):							
If gain, also enter in Part I, line 8,	column (c).			М					
If (loss), enter -0- in Part I, line 8		- B-J		71	3			N/A	·
	nder Section 4940(e) 1					nt inco	me		
(For optional use by domestic private	e foundations subject to the secti	on 4940(a) tax on	net investment in	come.)				
If section 4940(d)(2) applies, leave the	nis part blank.								
AMERICAN CONTRACTOR OF THE CON									
Was the foundation liable for the sec			<u>-</u>	logs					Yes X No
If "Yes," the foundation does not qual 1 Enter the appropriate amount in				ntrige					
(a)		ie ilisti detions bei	ore making any c						(d)
Base period years Calendar year (or tax year beginnii	(b) Adjusted qualifying	distributions	Net value of no	(c) ncharif	lable-use	assets			oùtión ratio vided by col. (c))
2015	··· · ··· · · · · · · · · · · · · · · ·	578,010.				,542.	,	(60). (6) (6)	.054312
2014		$\frac{37373101}{503,775}$,609.			.047120
2013		372,468.	 _	12	646	,032.			.068991
2012		310,999.				,019.			.026265
2011		339,853.				,582.			.074815
		2,000.			<u> </u>	75521			
2 Total of line 1, column (d)							2		.271503
3 Average distribution ratio for the	5-vear base period - divide the to	tal on line 2 by 5.	or by the number	of vea	rs				
the foundation has been in exister		, . ,	o. 5, 110 Hamber	o. , ou			3		.054301
							Ť		
4 Enter the net value of noncharitat	ole-use assets for 2016 from Part	X, line 5					4	1	1,848,457.
5 Multiply line 4 by line 3					5			643,383.	
6 Enter 1% of net investment incom	ne (1% of Part I, line 27b)						6		2,165.
7 Add lines 5 and 6							7		645,548.
8 Enter qualifying distributions from	n Part XII line 4						8		965,823.
If line 8 is equal to or greater than		line 1b, and comp	lete that part usin	g a 1%	tax rate	· <u>.</u>			20270231
See the Part VI instructions.					_				

-qrm 990-PF (2016) THE HAVEN FOUNDATION		-4356			Page 4
Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e),	or 4948	3 - see ir	ıstru	ction	1S)
1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.	l				
Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)	- 1				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► X and enter 1%	1			2,1	<u>65.</u>
of Part I, line 27b					
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		ł			_
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				0.
3 Add lines 1 and 2	3			2,1	<u>65.</u>
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4				<u>0.</u>
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5			2,1	<u>65.</u>
6 Credits/Payments;		-			
a 2016 estimated tax payments and 2015 overpayment credited to 2016 6a16 , 4	77.	1			
b Exempt foreign organizations - tax withheld at source		į.			
c Tax paid with application for extension of time to file (Form 8868)					
d Backup withholding erroneously withheld 6d					
7 Total credits and payments. Add lines 6a through 6d	7		1	6,4	77.
8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8	1			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	▶ 9				
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	▶ 10	,	1	4,3	<u>12.</u>
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 14,312. Refunde	ed ▶ 11	i			0.
Part VII-A Statements Regarding Activities					
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inf	ervene in			Yes	No
any political campaign?			1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the de	finition)?	Ī	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials i		or			
distributed by the foundation in connection with the activities					
c Did the foundation file Form 1120-POL for this year?			Îc.		х
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.					
(1) On the foundation. ► \$ 0 • (2) On foundation managers. ► \$	0.				
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation		1			
managers. ►\$ 0.					
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X.
If "Yes," attach a detailed description of the activities					
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorpor	ation or				
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	µ		3		х
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		}	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b	_	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	·	-1,	5		X
If "Yes," attach the statement required by General Instruction T.		ŀ	—	_	
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					'
By language in the governing instrument, or					
 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the 	ne state law				
remain in the governing instrument?	io state law		6	Х	'
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and I	Part VI/	ŀ	7	X	
To bid the foundation have at least \$5,000 in assets at any time during the year in Tes, Complete Fait II, Coi. [6], and F	artin		•		
8a Enter the states to which the foundation reports or with which it is registered (see instructions)		l			
NY					
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)	_	——			
of each state as required by General Instruction G? If "No," attach explanation	for soland-		8b	_^	├
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5)	ioi calendar				-x
year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV			9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	3		_10	L	_^

had not been removed from jeopardy before the first day of the tax year beginning in 2016?

	1 990-PF (2016) THE HAVEN FOUNDATION	20-4356	<u>938</u>		Page 5
Pa	art VII-A Statements Regarding Activities (continued)				
				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of				
	section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory	privileges?			
	If "Yes," attach statement (see instructions)		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	ĺ	13	X	
	Website address ► WWW.THEHAVENFDN.ORG	_			
14	The books are in care of C/O MARK LEVENFUS, MARKS PANETH LLP Telephone no.	▶ 212-50:	3-8	822	
	Located at ▶ 685 THIRD AVENUE, NEW YORK, NY	ZIP+4 ▶10	17		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			<u> </u>	
	and enter the amount of tax-exempt interest received or accrued during the year	15	N	/A	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank,			Yes	No
	securities, or other financial account in a foreign country?	ſ	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the				
	foreign country			- 1	
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required				
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
18	a During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	es X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)				
	a disqualified person?	es X No			
		es X No			
		es X No			ĺ
	(5) Transfer any income or assets to a disqualified person (or make any of either available				
		es X No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"				
	if the foundation agreed to make a grant to or to employ the official for a period after				
		res X No			ŀ
ı	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations				
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here				
(Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected				
	before the first day of the tax year beginning in 2016?		1c	-	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation				
	defined in section 4942(j)(3) or 4942(j)(5));				
;	a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginni	na l			
		res X No			l
	If "Yes," list the years				
ı	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorre	ct I			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attact				ŀ
	statement - see instructions.)	N/A	2b	*	-
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	,			
3:	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time				
-		res X No			
	b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons a				
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to				
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule	-			
	Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b		
4	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	-	X
	a Did the foundation make any investment in a prior year (but after December 31, 1969) that could ignoratize its charitable purpose.	no that	74	├─	 ^

Dot VII D Cotton and Department A At it is a few Miles	4700 M. D. F	<u> </u>	20-43565	30	Page 6
Part VII-B Statements Regarding Activities for Which F	orm 4/20 May Be F	sequirea (contin	ued)		
5a During the year did the foundation pay or incur any amount to:		—	[1]	İ	
(1) Carry on propaganda, or otherwise attempt to influence legislation (section			es X No	i	:
(2) Influence the outcome of any specific public election (see section 4955); or	r to carry on, directly or indire	··	(1 27)		
any voter registration drive?			es X No		į
(3) Provide a grant to an individual for travel, study, or other similar purposes'		Y	es X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	i described in section	П.,	(TE)		ļ
4945(d)(4)(A)? (see instructions)			es X No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or t		l		
the prevention of cruelty to children or animals?		X Y	es L No [
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und		n Regulations		ا	J.,
section 53.4945 or in a current notice regarding disaster assistance (see instru	•			5b	X
Organizations relying on a current notice regarding disaster assistance check h					
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr					
expenditure responsibility for the grant?		I/A □ Ye	es L No		
If "Yes," attach the statement required by Regulations section 53 4945	• •				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	pay premiums on	—	(TE)		
a personal benefit contract?		Ye	es X No		۱.,
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		-	6b	<u>X</u>
If "Yes" to 6b, file Form 8870			[32]		
7a At any time during the tax year, was the foundation a party to a prohibited tax s		Ye	es X No	 .	
b If "Yes," did the foundation receive any proceeds or have any net income attributed Part VIII Information About Officers, Directors, Truston			N/A	7b	
Part VIII Information About Officers, Directors, Trustors Paid Employees, and Contractors	ees, Foundation Ma	inagers, Highly	y		
List all officers, directors, trustees, foundation managers and their	compensation				
		(c) Compensation	(d) Contributions to	10	1 Expense
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	(d) Contributions to employee benefit plans and deferred	acc) Expense ount, other llowances
	to position	enter -0-)	compensation	a	IIUWalices
SEE STATEMENT 9		0.	0.		0.
JUL DITTURENT J		•	- 0.	' 	<u> </u>
				+	
				1	
Compensation of five highest-paid employees (other than those inc	luded on line 1). If none.	enter "NONE."	ļ	1	
	(b) Title, and average		(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred	acc	ount, other llowances
NONE	devoted to position		compensation	 "	ilowanees
- · · · · · · · · · · · · · · · · · · ·				1	
				 	
	·			+	
]			
		-		\vdash	
,				1	
Total number of other employees paid over \$50,000	<u> </u>	L	<u> </u>	1	0

Form 990-PF (2016) THE HAVEN FOUNDATION	20-4	356938 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	ation Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	er "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	1	
	7	
	7	
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statis number of organizations and other beneficiaries served, conferences convened, research papers pro	stical information such as the duced, etc.	Expenses
1 N/A		
2		
3		
4		
Part IV-B Common of Drawan Delated Investment		• • •
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year or	lines 1 and 2	Amount
1 N/A	Times Tana 2.	Amount
II/A		
		
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3	>	0.

0 • Form **990-PF** (2016)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations	s, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а		1a	11,260,728.
b		1b	768,162.
C		1c	
d	Total (add lines 1a, b, and c)	1d	12,028,890.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,028,890.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	180,433.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,848,457.
6	Minimum investment return. Enter 5% of line 5	6	592,423.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	592,423.
2a	Tax on investment income for 2016 from Part VI, line 5 2,165.		
b	Income tax for 2016. (This does not include the tax from Part VI)		
C	Add lines 2a and 2b	2c	2,165.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,165. 590,258.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	590,258.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	590,258.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	-	065 000
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	965,823.
þ	Program-related investments - total from Part IX-B	1b	<u> </u>
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	and the state of t	3a	
b	Cash distribution test (attach the required schedule)	3b	0.00
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	965,823.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		0 165
e	Adjusted analytic of distributions Cubract has 5 from head	5	2,165. 963,658.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	jualities f	or the section

Form **990-PF** (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI,	· · · · · · · · · · · · · · · · · · ·			
line 7				590,258.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only	•		0.	
b Total for prior years:				
		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	ľ			
bFrom 2012				
c From 2013 28,506.				
dFrom 2014 eFrom 2015 63,589.				
	92,095.			
f Total of lines 3a through e	32,033.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶\$ 965,823.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior			0.	
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	,			
(Election required - see instructions)	0.			
d Applied to 2016 distributable amount				590,258.
e Remaining amount distributed out of corpus	375,565.			330,230,
5 Excess distributions carryover applied to 2016	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
& Corpus Add lines 3f, 4c, and 4e Subtract line 5	467,660.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which				
the section 4942(a) tax has been previously				
assessed		0.		
d Subtract line 6c from line 6b. Taxable		•		
amount - see instructions		0.	· · · · · · · · · · · · · · · · · · ·	
e Undistributed income for 2015. Subtract line			•	
4a from line 2a. Taxable amount - see instr.			0.	· - · - · - · - · - · - · - · - · - · -
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must				
be distributed in 2017				0.
7 Amounts treated as distributions out of				· ·
corpus to satisfy requirements imposed by	}			
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2011				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017.				i
Subtract lines 7 and 8 from line 6a	467,660.			
10 Analysis of line 9:	-			
a Excess from 2012	1			
b Excess from 2013 28,506.	1			
c Excess from 2014				
d Excess from 2015 63,589.				
e Excess from 2016 375, 565.				

	EN FOUNDATI			20-43	56938 Page 10
Part XIV Private Operating F	oundations (see ins	structions and Part VII	A, question 9)	N/A	
1 a If the foundation has received a ruling o	r determination letter that	it is a private operating			
foundation, and the ruling is effective fo	r 2016, enter the date of t	the rulina			
b Check box to indicate whether the found		*	n section	4942(j)(3) or 49	42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year	<u></u>	Prior 3 years		()/(-/
income from Part I or the minimum	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
investment return from Part X for			, ,	· · ·	
each year listed					
b 85% of line 2a		<u> </u>			
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
• •					
(1) Total support other than gross investment income (interest,					
dividends, rents, payments on					
securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(III)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	had \$5,000 or me	ore in assets
at any time during t	he year-see insti	ructions.)		•	
1 Information Regarding Foundation					*
a List any managers of the foundation wh	•	than 2% of the total cont	ributions received by the	foundation before the clo	se of any tax
year (but only if they have contributed n			,		,
STEPHEN KING					
b List any managers of the foundation wh	n own 10% or more of th	e stock of a cornoration (or an equally large portion	on of the ownership of a n	artnershin or
other entity) of which the foundation ha			or an equally large portion	on or are ownership or a p	artificiality of
NONE	•				
	an Crant Cift Lan	Cabalanahia ata Da			
2 Information Regarding Contribut Check here ► In the foundation of					anda far francis lf
the foundation makes gifts, grants, etc.					
	<u> </u>	-	· · · · · · · · · · · · · · · · · ·		
a The name, address, and telephone num	ber or e-mail address of t	the person to whom appli	cations should be addres	ssed:	
CEE CEAMENTAIN 10					
SEE STATEMENT 10					
b The form in which applications should b	e submitted and informa	tion and materials they sh	rould include:		
c Any submission deadlines:	-				
<u>. </u>					
d Any restrictions or limitations on award	s, such as by geographic	al areas, charitable fields,	kınds of institutions, or	other factors:	

3 Grants and Contributions Paid During th	ne Year or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	33,13,13,13	
a Paid during the year				
ERICA DIAMOND	NONE	Į.	TO HELP FREELANCE	
733 FAIR AVE			WRITERS AND ARTISTS	
NORTH HOLLYWOOD, CA 91601		•	WHO HAVE	
		ł	CAREER-THREATENING	
			ILLNESS	5,000
RICHARD SARTORE	NONE	<u>r</u>	TO HELP FREELANCE	
24 ACORN ST			WRITERS AND ARTISTS	
CLIFTON PARK, NY 12065			WHO HAVE	
		:	CAREER-THREATENING	
			ILLNESS	4,000
MICHAEL GOLDEN	NONE	I I	TO HELP FREELANCE	
400 W. 43RD ST #37K			WRITERS AND ARTISTS	
NEW YORK, NY 10036			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,232
CORINA ROBERTS	NONE		TO HELP FREELANCE	
PO BOX 702		Γ	WRITERS AND ARTISTS	
SIMI VALLEY, CA 93062			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	2,000
MYRA SAPFIAN	NONE	L L	TO HELP FREELANCE	
100 EAST PALISADE AVE. APT D1			WRITERS AND ARTISTS	
ENGLEWOOD, NJ 07631			WHO HAVE	
			CAREER-THREATENING	
Table CER (COMPTANTA MECAN CHE		ILLNESS	5,000
b Approved for future payment	CONTINUATION SHE	71(2)	▶ 3a	829,173
NONE				
1101112				
				· · · · · · · · · · · · · · · · · · ·
Total				·
Total			▶ 3b	

623621 11-23-16

Part XVI-A	Analysis of Income-Producing Activities

ter gross amounts unless otherwise indicated.	Unrelated business income			by section 512, 513, or 514	(e)
•	(a) Business	(b)	(C) Exclu-	(d)	Related or exemp
Program service revenue:	code	Amount	code	Amount	function income
a					
b		-			
C					
d				1	
e					-
f					
g Fees and contracts from government agencies					
Membership dues and assessments					
Interest on savings and temporary cash					
investments			14	66,202.	
Dividends and interest from securities			14	66,202. 259,164.	
Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property		•			
Net rental income or (loss) from personal				Ī	
property					
Other investment income		-	01	3,406.	
Gain or (loss) from sales of assets other					
than inventory			18	<50,344.>	•
Net income or (loss) from special events				T	
Gross profit or (loss) from sales of inventory					
Other revenue;					
a					
b					
C					
d					
e		•			
Subtotal. Add columns (b), (d), and (e)		().	278,428.	
Total. Add line 12, columns (b), (d), and (e)				13	278,4
e worksheet in line 13 instructions to verify calculations.)					

Line No	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

orm 990-PF (2		AVEN FOUN				20-435			ge 13
Part XVII	Information Re Exempt Organ		sfers To a	ind Transactions a	ind Relationsh	nips With Noncha	ritable)	
1 Did the org			of the followin	g with any other organization	n described in section	n 501(c) of		Yes	No
				7, relating to political organ					
a Transfers	from the reporting found	ation to a noncharita	ble exempt org	janization of:			1 1		
(1) Cash							1a(1)		X
(2) Other	assets						1a(2)		_X_
b Other trans	sactions:								,
(1) Sales	of assets to a noncharita	ble exempt organizat	tion				1b(1)		X
(2) Purch	ases of assets from a no	ncharitable exempt o	rganization				1b(2)		X
(3) Renta	I of facilities, equipment,	or other assets					1b(3)		X
(4) Reiml	bursement arrangements						1b(4)		X
(5) Loans	s or loan guarantees						1b(5)		X
(6) Perfo	rmance of services or me	embership or fundrai	sıng solıcıtatıo	ns			1b(6)		<u>X</u>
c Sharing of	f facilities, equipment, ma	uling lists, other asse	ts, or paid em	ployees			1c		X
d If the ansv	ver to any of the above is	"Yes," complete the	following sche	dule. Column (b) should al	ways show the fair m	arket value of the goods,	other ass	ets,	
or service:	s given by the reporting fo	oundation. If the fou	ndation receive	ed less than fair market valu	ie in any transaction	or sharing arrangement,	show in		
) the value of the goods,								
• •	(b) Amount involved	(c) Name of	noncharitable	exempt organization	(d) Description	of transfers, transactions, and	sharing arr	angeme	nts
N/A									
					1				
						· · · · · · · · · · · · · · · · · · ·			
	•	•	· ·	or more tax-exempt organ	zations described	г	—	[77	л
	501(c) of the Code (othe	•)(3)) or in sect	ion 527?		Ĺ	Yes	LX	□No
b if "Yes," co	omplete the following sch			1 (L) T (1	- December of volation			
	(a) Name of org	janization		(b) Type of organization	(c) Description of relation	snip		
	N/A								
				· · · · · · · · · · · · · · · · · · ·			_		
		$\longrightarrow \bigcirc$	_						
Lundan		**************************************	<u> </u>		Latetements, and to the	host of my knowledge		-	
Sign Here	elief, it is true, offect, and for	mplete Declaration of o	eparer (other that	ng accompanying schedules and n taxpayer) is based on all inform	L		ay the IHS of turn with the lown below X Yes	e prepa (see ins	rer
Sigr	nature of officer of trustee			Date	Title	<u> </u>			
	Print/Type preparer's na	ame /	Preparer's s)gnature	Date	Check if PTIN			
				1000	1/6/	self- employed			
Paid -	GIAMPAPA,				11/1/17		1073		<u> </u>
Preparer Use Only	Firm's name ► MAR	RKS PANETI	LLP			Firm's EIN ► 11 – 3	5188	42	
•	Firm's address ▶ 68	5 THIRD A	VENUE	-					
		W YORK, N		.7		Phone no. 212 5	03-8	800)

Part XV Supplementary Informati	on			
3 Grants and Contributions Paid During the	e Year (Continuation)			
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Атоипт
Name and address (home or business)	or substantial contributor	recipient		
STEPHEN COHN	NONE	F	TO HELP FREELANCE	
3810 B. HEFFRON DRIVE		<u> </u>	WRITERS AND ARTISTS	
BURBANK, CA 91505			WHO HAVE	
			CAREER-THREATENING	
		 	ILLNESS	680
MARTIN DELOSSANT	NONE	F	TO HELP FREELANCE	
314 SIXTH STREET, # 13		Ì	WRITERS AND ARTISTS	
HOBOKEN, NJ 07003			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,000
MUSICARES	none	PC	TO HELP FREELANCE	
11 WEST 42ND STREET, 27TH FL			WRITERS AND ARTISTS	
NEW YORK, NY 10036			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
BENJAMIN SCHULTZ	NONE	F	TO HELP FREELANCE	
2593 DUPLEX ROAD, APT C1			WRITERS AND ARTISTS	
SPRING HILL, TN 37174			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,750
CERF	NONE	NC	TO HELP FREELANCE	
535 STONE CUTTERS WAY, SUITE 202			WRITERS AND ARTISTS	
MONTPELIER, VT 05601			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
ADAM GABRIEL WINNI	NONE	F	TO HELP FREELANCE	
109 1/2 WEST 37TH STREET			WRITERS AND ARTISTS	
SAVANNAH, GA 31401			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	4,000
THE ACTORS FUND	NONE	₽C	TO HELP FREELANCE	
729 SEVENTH AVE, 10TH FLOOR	•		WRITERS AND ARTISTS	
NEW YORK, NY 10019			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
THE ARTISTS' FEL	NONE	NC	TO HELP FREELANCE .	
47 FIFTH AVENUE			WRITERS AND ARTISTS	
NEW YORK, NY 10003			WHO HAVE	
	1		CAREER-THREATENING	
			ILLNESS	25,000
LISA RENTZ	NONE	ļr .	TO HELP FREELANCE	
2002 PARK AVENUE			WRITERS AND ARTISTS	
BEAUFORT, SC 29902		1	WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,000
CHRISTA ASSAD	NONE	Ī	TO HELP FREELANCE	
4335 HOLDEN ST			WRITERS AND ARTISTS	
EMERYVILLE, CA 94608			WHO HAVE	
			CAREER-THREATENING	
		<u> </u>	ILLNESS	12,500
Total from continuation sheets		-		811,941

THE HAVEN FOUNDATION

Part XV Supplementary Informati 3 Grants and Contributions Paid During th			Т	
Recipient	If recipient is an individual.			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROBERT ARNO ESPOSITO	NONE	Т	TO HELP FREELANCE	
255 W 43RD ST APT 702			WRITERS AND ARTISTS	
NEW YORK, NY 10036			WHO HAVE	
·			CAREER-THREATENING	
			ILLNESS	625
SAG FOUNDATION	NONE	PC	TO HELP FREELANCE	
5757 WILSHIRE BLVD SUITE 124			WRITERS AND ARTISTS	
LOS ANGELES, CA 90036			WHO HAVE	
•			CAREER-THREATENING	
			ILLNESS	25,000
DAVE BUSCEMA	NONE	 	TO HELP FREELANCE	
3443 CRESCENT ST., APT 1S		ſ	WRITERS AND ARTISTS	
ASTORIA, NY 11106			WHO HAVE	
		i	CAREER-THREATENING	
			ILLNESS	7 800
MICHAEL FISCHER	NONE	+	TO HELP FREELANCE	7,800
	NONE	ľ		
1026 ANDRES RUN, H303			WRITERS AND ARTISTS	
HENDERSONVILLE, TN 37075			WHO HAVE	
			CAREER-THREATENING	2 222
CARL CORRECT		-	ILLNESS	2,000
GARY CORBETT	NONE	F	TO HELP FREELANCE	
143 REBECCA DR			WRITERS AND ARTISTS	
HENDERSONVILLE, TN 37075			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	12,500
ORLANDO WATSON	NONE	F	TO HELP FREELANCE	
1223 WALDRON AVE			WRITERS AND ARTISTS	
ST. LOUIS, MO 63130			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	7,500
RAYMOND JACKSON JR.	NONE	I	TO HELP FREELANCE	
307 WILLIAM STREET, #2-C			WRITERS AND ARTISTS	
EAST ORANGE, NJ 07017			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,500
MACIE J MIERNIK	NONE	ļr .	TO HELP FREELANCE	
2710 30TH AVE, APT 5B			WRITERS AND ARTISTS	
ASTORIA, NY 11102		1	WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	10,000
ELVIN SUTHERLAND	NONE	Ī	TO HELP FREELANCE	
16469 CR3540			WRITERS AND ARTISTS	
ADA, OK 74820			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	12,500
MELISSA CRABTREE	NONE	Ī	TO HELP FREELANCE	.
304 B WEST CROY ST			WRITERS AND ARTISTS	
HAILEY, ID 83333			WHO HAVE	
,			CAREER-THREATENING	
	1		ILLNESS	5,000
Total from continuation sheets		<u> </u>		=,,,,,

THE HAVEN FOUNDATION Part XV. Supplementary Information

3 Grants and Contributions Paid During the	Year (Continuation)			
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution	
ASTRID WALSCHOT-STAPP	NONE	<u> </u>	TO HELP FREELANCE	-
201 CATOCTIN AVENUE			WRITERS AND ARTISTS	
FREDERICK, MD 21701		1	WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,000.
MUSIC HEALTH ALLIANCE	NONE	NC	TO HELP FREELANCE	
2021 RICHARD JONES ROAD, SUITE 160			WRITERS AND ARTISTS	
NASHVILLE, TN 37215			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000.
LOCAL 802 MUSICIANS' ERF	NONE	₽C	TO HELP FREELANCE	
322 WEST 48TH STREET			WRITERS AND ARTISTS	
NEW YORK, NY 10036			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	10,000.
DANIEL ALTIERI	NONE	ļr	TO HELP FREELANCE	
PO BOX 1456			WRITERS AND ARTISTS	
MENDOCINO, CA 95460			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,000.
JOHN GRIFFIN	NONE	ļr	TO HELP FREELANCE	
4605 FULTON AVE, #2			WRITERS AND ARTISTS	
SHERMAN OAKS, CA 91423			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,600.
CHERYL MATTHYNESSENS	NONE	ļ.	TO HELP FREELANCE	
895 RIVERSIDE DR, C130			WRITERS AND ARTISTS	
WENACTHEE, WA 98801			WHO HAVE	
			CAREER-THREATENING	11 000
	Lacen		ILLNESS	11,000.
VICTOR BAILEY	NONE	<u> </u>	TO HELP FREELANCE	
430 CENTRE ST, APT 403			WRITERS AND ARTISTS	
NEWTON, MA 02548			WHO HAVE CAREER-THREATENING	
				20.400
JAMES BLACKMON	NONE	1	ILLNESS TO HELP FREELANCE	20,400.
269 NORTH FINLEY STREET	NONE	Ť	WRITERS AND ARTISTS	
ATHENS, GA 30601			WHO HAVE	
AIRENS, GA 30001			CAREER-THREATENING	
			ILLNESS	10,300.
PHILIP CONNORS	NONE	<u></u>	TO HELP FREELANCE	
2812 SILVER AVE		Γ	WRITERS AND ARTISTS	
EL PASO, TX 79930			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	4,000.
ELLA & BOB BRITT	NONE	Ī	TO HELP FREELANCE	
110 POPLAR ST		Ī	WRITERS AND ARTISTS	
DICKSON, TN 37055			WHO HAVE	
,		İ	CAREER-THREATENING	
			ILLNESS	25,000
Total from continuation sheets	 1 	1	1	

THE HAVEN FOUNDATION Part XV: Supplementary Information

3 Grants and Contributions Paid During the				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
<u> </u>	or substantial contributor	recipient	<u> </u>	
MICHAEL DUCLOS	NONE	I	TO HELP FREELANCE	
75 OCEAN AVE, #5R			WRITERS AND ARTISTS	
BROOKLYN, NY 11225	1		WHO HAVE	
			CAREER-THREATENING	45 700
			ILLNESS	15,700.
PAMELA ENZ	NONE	I	TO HELP FREELANCE	
150 JORALEMON ST			WRITERS AND ARTISTS	
BROOKLYN, NY 11201			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	4,000.
PREETHI FERNANDO	NONE	I	TO HELP FREELANCE	
1501 MORNING DR			WRITERS AND ARTISTS	
LOVELAND, CO 80538			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	15,000.
GREG GARING	NONE	Ľ	TO HELP FREELANCE	
PO BOX 68439			WRITERS AND ARTISTS	
NASHVILLE, TN 37206			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	9,600.
DIK LIU	NONE	I	TO HELP FREELANCE	
45 A WITHER ST			WRITERS AND ARTISTS	
BROOKLYN, NY 12111			WHO HAVE	
			CAREER-THREATENING	
·			ILLNESS	10,000.
CARY MORIN	NONE	I	TO HELP FREELANCE	
1241 MAPLE STREET			WRITERS AND ARTISTS	
FORT COLLINS, CO 80521			WHO HAVE	
	1		CAREER-THREATENING	
			ILLNESS	25,000
MELANIE NEILSON	NONE	I	TO HELP FREELANCE	
6042 PUTNAM AVE. #1			WRITERS AND ARTISTS	
RIDGEWOOD, NY 11385			WHO HAVE	
•			CAREER-THREATENING	
			ILLNESS	5,000
JOY NEWTON	NONE	I	TO HELP FREELANCE	
286 W GRANBY ROAD			WRITERS AND ARTISTS	
WEST GRANBY, CT 06090			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	2,500
JACK RAINES	NONE	I	TO HELP FREELANCE	
1007 HERRON ST			WRITERS AND ARTISTS	
MURFREESBORO, TN 37130			WHO HAVE	
	,		CAREER-THREATENING	
			ILLNESS	14,400
ROY SKROPITS ·	NONE	I	TO HELP FREELANCE	
108 SADLER COURT			WRITERS AND ARTISTS	
	i		kuro unum	
			WHO HAVE	
NASHVILLE, TN 37210			CAREER-THREATENING	

THE HAVEN FOUNDATION Part XV. Supplementary Information

3 Grants and Contributions Paid During t		·		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
BILL STEIGER	NONE	þ	TO HELP FREELANCE	
1398 BIRMINGHAM ST	i e		WRITERS AND ARTISTS	
ST. PAUL, MN 55106			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,000
JOHN SURACI	NONE	ļr	TO HELP FREELANCE	
205 E 95 STREET APT 26A			WRITERS AND ARTISTS	
NEW YORK, NY 10128			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
WALLY SWIST	NONE	μ	TO HELP FREELANCE	
1753 A SOUTH EAST STREET			WRITERS AND ARTISTS	
AMHURST, MA 01002			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,000
WENDY WILLIAMSON	NONE	Ī	TO HELP FREELANCE	
19 NORTH ST			WRITERS AND ARTISTS	
RUMSON, NJ 07760			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,386
MEREDITH BRANDT	NONE	Į.	TO HELP FREELANCE	
100 HARTFORD AVE. #3469		1	WRITERS AND ARTISTS	
PROVIDENCE, RI 02909			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,000
EVANS COLAS	NONE	I	TO HELP FREELANCE	
1658 SAINT ST SE			WRITERS AND ARTISTS	
PALM BAY, FL 32909			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,000
EDDIE DALY	NONE	I	TO HELP FREELANCE	
905 PINE GROVE AVE			WRITERS AND ARTISTS	
LOS ANGELES, CA 90042			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
CHRISTOPHER GARGES	NONE	ī	TO HELP FREELANCE	
9648 MENDENHALL VIEW DRIVE			WRITERS AND ARTISTS	
HUNTERSVILLE, NC 28708			WHO HAVE	
·			CAREER-THREATENING	
			ILLNESS	25,000
IVAN JULIAN	NONE	Ī	TO HELP FREELANCE	·
263 NORTH 6TH STREET, #3			WRITERS AND ARTISTS	
BROOKLYN, NY 11211			WHO HAVE	
,	1		CAREER-THREATENING	
			ILLNESS	19,200
EVANS KULMAN	NONE	II	TO HELP FREELANCE	
4343 BROCKLEY AVE			WRITERS AND ARTISTS	
SHEFFIELD LAKE, OH 44054			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	7,500
Total from continuation sheets			2222200	7,300

Part XV Supplementary Informat	ion			
3 Grants and Contributions Paid During th				
Recipient	If recipient is an individual,			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TAMARA NATALIE MADDEN	NONE	T Total	TO HELP FREELANCE	
2100 KINGSGATE CIRCLE, APT C	NONE	Ť	WRITERS AND ARTISTS	
SNELLVILLE, GA 30078			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	2,500
MICHAEL MCDUNN	NONE	T	TO HELP FREELANCE	2,300
741 RUTHERFORD ROAD	[Γ	WRITERS AND ARTISTS	
GREENVILLE SC 29609			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	20,000
WILLIAM MILLER	NONE	T .	TO HELP FREELANCE	20,000
1205 CUFTREE DRIVE	NO.12	Ī	WRITERS AND ARTISTS	
BRENTWOOD, TN 37027			WHO HAVE	
3.02.			CAREER-THREATENING	
			ILLNESS	25,000
SARA MUEHLBAUER	NONE	<u>.</u>	TO HELP FREELANCE	23,000
3831 S 13TH ST	l'ONE	ſ	WRITERS AND ARTISTS	
SHEBOYGEN, WI 53081		1	WHO HAVE	
33001			CAREER-THREATENING	
			ILLNESS	1 000
DORRI OLDS	NONE	π	TO HELP FREELANCE	1,000
255 WEST 23 STREET, APT 3BW	HONE	†	WRITERS AND ARTISTS	
NEW YORK, NY 10011			WHO HAVE	
ADW TORK, NI 10011			CAREER-THREATENING	
			ILLNESS	10 000
TOMMY SMITH	NONE	<u>r</u>	TO HELP FREELANCE	10,000
9405 WOODWORTH AVE.	NONE	ľ	WRITERS AND ARTISTS	
BIG HARBOR, WA 98332			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,000
HANNA SOMERS-JONES	NONE	+	TO HELP FREELANCE	1,000
184 DAMASCUS ROAD	10212	ſ	WRITERS AND ARTISTS	
CARMEL, ME 04419			WHO HAVE	
,			CAREER-THREATENING	
			ILLNESS	25,000
JESSI ZAZU WARINER	NONE	 	TO HELP FREELANCE	23,000
3603 BATAVIA STREET	[10-10-	Ţ.	WRITERS AND ARTISTS	
NASHVILLE, TN 37209			WHO HAVE	
,			CAREER-THREATENING	
			ILLNESS	10,500
LAWRENCE PAGIN	NONE	т	TO HELP PREELANCE	20,300
437 EAST 12TH STREET #18			WRITERS AND ARTISTS	
NEW YORK, NY 10009			WHO HAVE	
,			CAREER-THREATENING	
			ILLNESS	20,000
MICHAEL BEITZ	NONE	1	TO HELP FREELANCE	20,000
973 14TH STREET	[T .	WRITERS AND ARTISTS	
BOULDER, CO 80302			WHO HAVE	
- ··, ·· · · · · · · · · · · · · · · · ·		1.	CAREER-THREATENING	
	İ		ILLNESS	10,000
Total from continuation sheets	_	<u> </u>		

Part XV Supplementary Informat	ion			
3 Grants and Contributions Paid During th	ne Year (Continuation)			
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
CYNTHIA CLAMPITT	NONE	ļr .	TO HELP FREELANCE	
700 W RAND ROAD, APT C305			WRITERS AND ARTISTS	
ARLINGTON HEIGHTS, IL 60004	i		WHO HAVE	
	İ		CAREER-THREATENING	
			ILLNESS	10,000
MATT CRANE	NONE	F	TO HELP FREELANCE	
31 BUNKER HILL ROAD			WRITERS AND ARTISTS	
NASSAU, NY 12123	İ		WHO HAVE	
			CAREER-THREATENING	
		<u> </u>	ILLNESS	15,000
CHRISTOPHER TODD DUKE	NONE	F	TO HELP FREELANCE	
1005 RUPP ST			WRITERS AND ARTISTS	
GRETBA, LA 70053			WHO HAVE	
			CAREER-THREATENING	
WARDER WIGGING			ILLNESS	4,000
KARRIE HIGGINS	NONE	ļ	TO HELP FREELANCE	
755 S LAFAYETTE DR, APT 244			WRITERS AND ARTISTS	
LAFAYETTE, CO 80026			WHO HAVE	
			CAREER-THREATENING	25 000
RUTH IORIO	NONE	<u>I</u>	TO HELP FREELANCE	25,000
1727 1/4 S NEW ENGLAND ST	NONE	ľ	WRITERS AND ARTISTS	
LOS ANGELES, CA 90006			WHO HAVE	
DOS ANGEDES, CA 90000			CAREER-THREATENING	
			ILLNESS	10,000
JORDAN KLEMONS	NONE	<u> </u>	TO HELP FREELANCE	
310 WEST 47TH ST. APT 4D		ſ	WRITERS AND ARTISTS	
NEW YORK, NY 10036		1	WHO HAVE	
•		1	CAREER-THREATENING	
	i		ILLNESS	25,000
BARB MORRISON	NONE	Ī	TO HELP FREELANCE	•
31 KINGWOOD AVE			WRITERS AND ARTISTS	
FRENCHTOWN, NJ 08825			WHO HAVE	
·			CAREER-THREATENING	
			ILLNESS	2,000
PEN WRITER'S EMERGENCY FUND	NONE	PC	TO HELP FREELANCE	
588 BROADWAY, SUITE 303			WRITERS AND ARTISTS	
NEW YORK, NY 10012			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
			1	
		1		

Part IV Capital Gains and Lo	osses for Tax on Investment Income	<u>-</u>		
	d describe the kind(s) of property sole rick warehouse; or common stock, 20		P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a AOR DIVERSIFIE	D ARBITRAGE FUND	CL I	P	08/30/1612/15/16
b AQR MANAGED FU			P	08/30/1612/15/16
	ECD SR NT DTD 05		1 P	02/28/1412/17/16
d ACTAVIS FDG SC				01/20/1504/22/16
		GTD SR NT MEXICO D		04/29/1504/27/16
	S A B DE C V CO			04/22/15/04/27/16
		9/19/06 5.950% DUE		07/19/1305/02/16
h ARBITRAGE FUND		3/13/00 3:3300 BOE	P	08/30/1612/16/16
ARBITRAGE FUND			P	08/30/16/12/15/16
		@100 DTD 03/07/11	3 P	12/19/1202/16/16
k BHP BILLITON F			D P	12/10/1204/22/16
	AL LONG/SHORT EQ			08/30/16/12/15/16
		11/01/13 3.200% DU	1	09/09/16/09/28/16
	EW SR UNSECD NT			08/30/16/09/28/16
O CREDIT SUISSE	COMMODITY-RETURN	STRATEGY FUND CL	I P	08/30/16 12/15/16
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis) Gain or (loss)
	(or allowable)	plus expense of sale	(e) t	olus (f) minus (g)
a 3,000.		2,941.		59.
b 2,000.		2,159.		<159.>
c 50,313.		50,461.		<148.>
d 49,837.		49,752.		85.
e 26,093.		26,494.		<401.>
f 24,085.		24,472.		<387.>
g 50,986.		56,702.		<5,716.>
h 17.				17.
3,000.		2,995.	·	5.
50,000.		53,325.		<3,325.>
k 50,257.		51,894.		<1,637.>
2,000.		1,946.		54.
m 53,866.		53,848.		18.
n 55,993.		56,321.		<328.>
0 7,050.		6,770.	···	280.
	ng gain in column (h) and owned by t		(1) Los	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a		·		59.
b			-	<159.>
С				<148.>
d				85.
e				<401.>
f				<387.>
9				<5,716 . >
h			·	17.
ī				5.
ī				<3,325.>
k	1			<1,637.>
ī				54.
m	 	 		18.
n				
0	 			280.
· <u> </u>	. If gain, also enter	r in Part I line 7	T -	
2 Capital gain net income or (net c	· / (1033), citter -c	, I		
3 Net short-term capital gain or (lo if gain, also enter in Part i, line 8	ss) as defined in sections 1222(5) an	nd (6):		
If (loss), enter "-0-" in Part I, line	8	J 3		
,,				

Part IV Capital Gains and Losses for T	ax on investment income			
	the kind(s) of property solouse; or common stock, 2		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a EXPRESS SCRIPTS INC	C CO GTD NT	DTD 05/02/11 3.12		01/09/1304/01/16
b GOLDMAN SACHS GROUI	INC SR UNS		'11 P	11/29/1202/07/16
c KINDER MORGAN ENERG				03/26/1303/01/16
d KRAFT FOODS INC SR				07/19/1302/09/16
e LEGG MASON BW ABSOI				08/30/1612/15/16
1 NEUBERGER BERMAN LO			P	08/30/1612/15/16
g PRUDENTIAL GLOBAL I		FUND CL Z	P	08/30/1612/15/16
h PRUDENTIAL GLOBAL I		FUND CL Z	P	08/30/1612/09/16
BOSTON PARTNERS LO			P	08/30/1612/15/16
_ 				12/21/1209/02/16
k STATOIL ASA GO GTD		TD 08/17/10 3.125		02/15/1305/26/16
I TEVA PHARMACEUTICAL				06/14/13/11/10/16
m TEVA PHARMACEUTICAL				06/14/1304/22/16
n TOYOTA MTR CR CORP			2.0 P	12/19/1209/15/16
0 UNITED STATES TREAS	NT DTD 11/	17/14 2.250% DUE	11 P	02/20/15/12/15/16
(e) Gross sales price (f) (Depreciation allowed	(g) Cost or other basis) Gain or (loss)
	(or allowable)	plus expense of sale	(e) p	lus (f) minus (g)
a 50,167.		53,050.		<2,883.
ь 50,000.		52,984.		<2,984.
50,000.		53,447.		<3,447.
d 50,000.		53,699.	· —	<3,699.
e 3,000.		2,969.		31.
f 3,000.		2,961.		39.
g 8,820.		10,017.		<1,197.
h 455.				455.
3,000.		2,936.		64.
50,000.		56,616.		<6,616.
k 20,441.		21,651.		<1,210.
40,000.		41,601.	-	<1,601.
m 10,064.		10,400.		<336.
n 50,000.		51,755.		<1,755.
98,078.		101,481.		<3,403.
Complete only for assets showing gain in	column (h) and owned by		(1)1 00	ses (from col. (h))
	(j) Adjusted basis	(k) Excess of col. (I)	Gains (excess	of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	` but n	ot less than "-0-")
a			·	<2,883.
b				<2,984.
c				<3,447.
d			 	<3,699.
				31.
f				39.
				<1,197.
9				455.
<u>h</u>				64.
1				<6,616.
1				
<u>k</u>				<1,210.
<u> </u>			<u>-</u>	<1,601.
<u>m</u>				<336.
<u>n</u>				<1,755.
0				<3,403.
2 Capital gain net income or (net capital loss)	{ If gain, also enter "-C	in Part I, line 7 I-" in Part I, line 7	2	
3 Net short-term capital gain or (loss) as defi If gain, also enter in Part I, line 8, column (or If (loss), enter "-0-" in Part I, line 8	ned in sections 1222(5) an	- I	3	
				

THE HAVEN FOUNDATION 20-4356938 PAGE 3 OF 42 Capital Gains and Losses for Tax on Investment Income Part IV (a) List and describe the kind(s) of property sold, e.g., real estate, (b) How acquired (c) Date acquired (d) Date sold P - Purchase D - Donation (mo., day, yr.) 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) 1a UNITED STATES TREAS NT DTD 08/31/11 1.000% DUE 07/23/15|08/31/16 VERIZON COMMUNICATIONS INC SR UNSECD NT DTD 11/03 P 11/29/1204/08/16 c WESTPAC BKG CORP SR UNSECD GMTN AUSTRALIA DTD 01/ P 01/20/1501/12/16 d WISCONSIN ENERGY CORP SR UNSECD NT CALL 3/15/25 P 06/04/1512/16/16 e INGERSOLL-RAND CO LTD COM IRELAND P 11/15/1612/16/16 MEDTRONIC PLC COM IRELAND P 01/26/1512/16/16 g CHUBB LTD COM SWITZERLAND P 01/14/1612/16/16 h CHUBB LTD COM SWITZERLAND P 01/14/1603/09/16 | CHUBB LTD COM SWITZERLAND P 01/14/1603/04/16 CHUBB LTD COM SWITZERLAND P 01/14/1603/03/16 k LYONDELLBASELL INDUSTRIES CL A COM NETHERLANDS P NV 03/18/1612/16/16 LYONDELLBASELL INDUSTRIES NV CLA COM NETHERLANDS P 02/04/1512/16/16 INDUSTRIES m LYONDELLBASELL NV $\overline{\mathtt{CL}}$ COM NETHERLANDS P Α 03/18/1610/18/16 n ABBVIE INC COM P 08/10/16|12/16/16 O ABBVIE INC COM 05/05/1612/16/16 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 50,000 50,318 <318·> а 50,388. b 52,021. $\frac{1,633.}{$ 50,000. 50,210. <210.> C 50,479. 487. d 49,992. 698. 681 17. е 738. 0. 738. 349. 2,125. 1,776. 10. 10. 0. h 700. 34. 666. 589. 555. 34. 354. 352. 2. k 177. 172. ١ ,206. ,319. <113.> m 622. $\overline{<}46.>$ n 668. 685. <u>677.</u> 0 8. Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any <318.> a b <1,633.> <210.> C <u>487.</u> d 17. е Ō. 349. g 0. h **34.** 34. 2. 5. <113.> m $\overline{<46.}>$ n 8. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDA			10730	AGE 4 OF 42
Part IV Capital Gains and Lo	sses for Tax on Investment Income			
(a) List and 2-story b	i describe the kind(s) of property sold rick warehouse; or common stock, 20	, e.g., real estate, 0 shs. MLC Co.	(b) How acquire P - Purchase D - Donation	(mo., day, yr.) (mo., day, yr.)
1a ABBVIE INC COM			P	08/10/1611/01/16
b ACTIVISION BLI	ZZARD INC COM		P	11/20/1512/28/16
c ACTIVISION BLI	ZZARD INC COM		P	11/20/1512/16/16
d ACTIVISION BLI	ZZARD INC COM		P	11/20/1508/18/16
e ACTIVISION BLI			P	11/20/1505/05/16
	OM		P	01/07/1310/28/16
g ADVANSIX INC C			P	02/12/1610/10/16
h ADVANSIX INC C			P	11/20/1510/10/16
ADVANSIX INC C			P	04/24/1310/10/16
ADVANSIX INC C		-	P	01/07/1310/10/16
	NC COM		P	04/24/1312/16/16
AMERICAN ELEC			P	05/29/1312/16/16
m AMERICAN ELEC			P	01/07/1312/16/16
n APPLE INC COM			P	10/18/1612/16/16
O APPLE INC COM			- P	02/06/1412/16/16
	(f) Depreciation allowed	(g) Cost or other basis		h) Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale	(e)	plus (f) minus (g)
a 958.		1,135.		<177.>
b 2,150.		2,223.		<u><73.</u> >
c 480.		490.		<10.>
1,204.		1,130.		74.
e 1,458.		1,582.		<124.>
f 16.		8.		8.
g 6.		5.		1.
h 11.		10.		<u></u>
17.		11.		6.
28.		16.		12.
k 2,214.		1,178.		1,036.
628.		462.		166.
m 63.		43.		20.
n 1,393.		1,416.		<23.>
0 1,741.		1,099.		642.
Complete only for assets shown	ng gain in column (h) and owned by t			osses (from col. (h))
(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		of col. (h) gain over col. (k), not less than "-0-")
a				<177.>
b				<73.>
С				<10.>
d				74.
e				<124.>
f				8.
g	 			1.
h	 			<u></u>
<u>"</u>				6.
1				12.
<u> </u>				1,036.
<u>k</u>	 			1,036.
	 			20.
<u>m</u>	 			
<u>n</u>				<23.>
0		De 11 les 7	<u> </u>	642.
2 Capital gain net income or (net ca	· (1000), cittor 0	<u> </u>	2	
If gain, also enter in Part I, line 8,		d (6):	,	
If (loss), enter "-0-" in Part I, line	8		3	

20-4356938

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase D - Donation (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) COM 1a APPLE INC 02/06/1402/12/16 b AUTOMATIC DATA PROCESSING INC COM 11/29/1612/16/16 P C AUTOMATIC DATA PROCESSING INC COM P 04/24/1312/16/16 11/01/1612/16/16 P d BB&T CORP COM e BLACKROCK INC P 04/24/1312/16/16 CL A 1 BLACKROCK INC P 04/24/1302/12/16 CL A 01/07/1302/12/16 g BOEING CO COM P h BOEING CO COM P 01/07/1302/11/16 BRISTOL MYERS SOUIBB P 06/29/1512/16/16 CO COM BRISTOL MYERS SOUIBB CO COM P 06/29/1508/10/16 k CME GROUP INC COM P 08/18/1612/16/16 04/24/1312/16/16 CME GROUP INC COM P m CME GROUP 04/24/1303/04/16 INC COM P 04/24/1303/03/16 n CME GROUP INC COM P O CMS ENERGY CORP COM 05/29/1312/16/16 P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 223. 1,029 806. а 1,107. 1,069. 38. b 254. 604. 350. C 936. 159. d 1,573.538. 1,035. е 180. 1,035. 1,215. 2,596. 1,823. 773. g 1,974 2.706. 732. h 469. 533. $\frac{\overline{64.}}{}$ 4,389. 4,796. <407.>1,103. 148. 955. 374. 735. 361. ١ 367. 1.029.662. m 377. 241. 136. п 583. 203. 0 380 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (i) Adjusted basis (k) Excess of col. (I) but not less than "-0-") (i) F M V. as of 12/31/69 as of 12/31/69 over col. (j), if any 223. 38. b 254. 159. d 538. е 180. 773. 732. h $\overline{<64.}>$ <407.> 148. 374. 367. 136. П 203. 0 { If gain, also enter in Part I, line 7 } If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

20-4356938 PAGE 6 OF 42

Part IV Capital Gains and Lo	sses for Tax on Investment Income			
	d describe the kind(s) of property solution distribution in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind(s) of property solution is described in the kind (s) of the kind (s) of the kind (s) of the kind (s) of the kind (s) of the kind (s) of the kind (s) of the kind (s) of the kind (s) of the k		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
12 CMS ENERGY COR		33. 33.	P	01/07/1312/16/16
b CVS HEALTH COR			P	06/24/1412/16/16
	P COM		P	02/12/1611/29/16
d CVS HEALTH COR			P	06/24/1411/29/16
e CVS HEALTH COR		·····		1
			P	06/24/1411/29/16
f CVS HEALTH COR			P	02/12/1611/01/16
g CALIFORNIA RES			P	01/07/1304/20/16
h CALIFORNIA RES			P	06/24/1404/01/16
CALIFORNIA RES			P	04/24/1304/01/16
CALIFORNIA RES			P	01/07/1304/01/16
k CHEVRON CORP C			P	05/06/1612/16/16
CHUBB CORP COM			P	01/07/1301/14/16
m CISCO SYS INC			P	02/12/16/12/16/16
n CISCO SYS INC	COM		P	02/12/16/10/18/16
O CISCO SYS INC	COM		P	08/14/1510/18/16
(a) Grand delegation	(f) Depreciation allowed	(g) Cost or other basis	(1)) Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale	(e) r	olus (f) minus (g)
a 42.		25.		17.
b 1,191.		1,138.		53.
$\frac{c}{c}$ 1,060.		1,335.		<275.>
d 908.		908.		0.
<u></u>		683.		
f 1,084.		1,084.		0.
		1,004.		0.
4		2.		
<u> </u>		2.		
3.	ļ			<1.>
, <u>.</u>		5.		<2.>
k 1,650.		1,423.		227.
5,839.		3,478.		2,361.
m 1,947.		1,604.		343.
n 428.		351.		77.
0 1,682.		1,593.		89.
Complete only for assets shown	ng gain in column (h) and owned by t	the foundation on 12/31/69		sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess but r	of col. (h) gain over col. (k), not less than "-0-")
a				17.
b				53.
С				<275.>
d				0.
e				<2.>
f			-	0.
0				0.
h				<1.>
1				(1. >
·				<u> </u>
<u> </u>		-		227.
<u> </u>	 			2,361.
<u></u>	 			343.
<u>m</u>	 			77.
<u>n</u>	 			
0				89.
2 Capital gain net income or (net ca	apital loss) { If gain, also enter If (loss), enter "-0	r in Part I, line 7)-" in Part I, line 7		
3 Net short-term capital gain or (lo	ss) as defined in sections 1222(5) an	nd (6):		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line	, column (c).	}		
623691				

Part IV Capital Gains and Los	sses for Tax on Investment Income			
(a) List and	describe the kind(s) of property solo	l, e.g., real estate,	(b) How acquired	(c) Date acquired (d) Date sold
	ick warehouse; or common stock, 20		P - Purchase D - Donation	`(mo., day, yr.) (mo., day, yr.)
1a EXXON MOBIL CORP COM			P	01/15/1505/05/16
b EXXON MOBIL CO			P	01/15/1505/05/16
c GENERAL DYNAMIC			P	08/17/1512/16/16
d GENERAL ELEC CO			P	11/05/1409/27/16
e GENERAL ELEC CO			P P	08/14/1509/26/16
f GENERAL ELEC CO			P	11/05/1409/26/16
g GENERAL ELEC CO			P	01/01/1409/26/16
h GENERAL MLS IN			P P	06/29/1512/16/16
GENERAL MLS INC COM			P	06/29/1502/12/16
GENUINE PARTS			P	11/23/1512/16/16
				
k GILEAD SCIENCE			P	02/04/1501/29/16
HOME DEPOT INC			P	01/07/1312/16/16
m HONEYWELL INTL			P	02/12/16/12/16/16
n HONEYWELL INTL	INC COM		P	11/20/1512/16/16
o INTEL CORP COM			P	01/07/1312/16/16
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis		n) Gain or (loss)
	(or allowable)	plus expense of sale	(e)	plus (f) minus (g)
a 1,845.		1,845.		0.
b 1,845.		1,867.		<22.>
c 2,279.		1,976.		303.
d 2,309.		2,012.		297.
e 5,087.		4,477.		610.
f 3,756.		3,276.		480.
g 1,094.		1,028.		66.
h 1,460.		1,299.		161.
1,353.		1,356.		<3.>
689.		634.		55.
k 6,194.		7,325.		<1,131.>
1 2,855.		1,321.	<u>_</u>	1,534.
m 700.		618.		82.
n 2,101.		1,896.		205.
0 1,087.		638.		449.
Complete only for assets showing	g gain in column (h) and owned by	he foundation on 12/31/69	(I) Lo	sses (from col. (h))
(j) Adjusted basis (k) Excess of col. (i)			of col. (h) gain over col. (k),	
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	Dut	not less than "-0-")
a				0.
b				<22.>
С				303.
d				297.
е				610.
1				480.
g				66.
h				161.
1				<3.>
				55.
k				<1,131.>
				1,534.
m				82.
n				205.
0				449.
	If gain, also codes	un Part I. lung 7		
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-C	on Part I, line 7	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):				
If gain, also enter in Part I, line 8,	column (c).)		
If (loss), enter "-0-" in Part I, line 8	3		3	
				

20-4356938

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold - Purchase 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mó., day, yr.) D - Donation 1a INTERPUBLIC GROUP COS INC COM 08/19/1612/16/16 P b J P MORGAN CHASE & CO COM 03/03/1612/16/16 P c J P MORGAN CHASE & CO 02/12/1612/16/16 P d JOHNSON & JOHNSON COM P 08/10/1612/16/16 e JOHNSON & JOHNSON COM P 01/29/1612/16/16 f JOHNSON & JOHNSON COM P 11/12/1512/16/16 g KLA-TENCOR CORP COM 02/12/1606/13/16 P 02/16/1606/10/16 h KLA-TENCOR CORP P 01/07/1312/16/16 | KIMBERLY CLARK CORP P COM KIMBERLY CLARK CORP P 01/07/1308/18/16 COM k KIMBERLY CLARK CORP P 05/29/1302/12/16 COM 01/07/1302/12/16 | KIMBERLY CLARK CORP COM P 06/13/1612/16/16 m LAM RESEARCH CORP COM P 11/20/1512/16/16 n LOCKHEED MARTIN CORP COM P 0 MARSH & MCLENNAN COS 06/29/1512/16/16 INC COM P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 18. 573. 555 a 1.070. b 3,585. 2.515. 853. 571. 282. C 812. <52.> 864. đ 1,246. 1,392. 146. е 1,740. 226. 1,514. 1,382. 1,212. 170. g 1,298. 156. 1.454. h 930. 649. 281. 1,021. 649. 372· 1,283. 960. 323. 95. 257. 162. ١ 227. 1.051. 824. m 197. 2,010. 813 n 1,857. 308. ,549. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (i) Adjusted basis (k) Excess of col. (i) (i) F M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 18. a 1,070. b 282.C <52.> ď 146. е 226. 170. 156. h 281. 372. 323. 95. 227. <u> 197.</u> n 308. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. 1a MCDONALDS CORP COM b MERCK & CO INC NEW COM c MERCK & CO INC NEW COM d MICROSOFT CORP COM e NEXTERA ENERGY INC COM f NEXTERA ENERGY INC COM g NORTHERN TR CORP COM h OCCIDENTAL PETE CORP DEL COM i OCCIDENTAL PETE CORP DEL COM j OCCIDENTAL PETE CORP DEL COM k OCCIDENTAL PETE CORP DEL COM l PG&E CORP COM m PNC FINL SVCS GROUP INC COM o PNC FINL SVCS GROUP INC COM	(b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (mo., day, yr.) P 01/07/1312/16/1 P 08/14/1512/16/1 P 05/29/1312/16/1 P 05/29/1312/16/1 P 05/29/1312/16/1 P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1 P 02/12/1611/01/1
b MERCK & CO INC NEW COM c MERCK & CO INC NEW COM d MICROSOFT CORP COM e NEXTERA ENERGY INC COM f NEXTERA ENERGY INC COM g NORTHERN TR CORP COM n OCCIDENTAL PETE CORP DEL COM i OCCIDENTAL PETE CORP DEL COM f OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM f OCCIDENTAL PETE CORP DEL COM k OCCIDENTAL PETE CORP DEL COM p PG&E CORP COM m PNC FINL SVCS GROUP INC COM o PNC FINL SVCS GROUP INC COM	P 01/07/1312/16/1 P 08/14/1512/16/1 P 05/29/1312/16/1 P 05/29/1312/16/1 P 06/29/1512/16/1 P 05/29/1312/16/1 P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/16/12/16/1 P 04/24/1312/16/1
D MERCK & CO INC NEW COM C MERCK & CO INC NEW COM d MICROSOFT CORP COM e NEXTERA ENERGY INC COM f NEXTERA ENERGY INC COM g NORTHERN TR CORP COM h OCCIDENTAL PETE CORP DEL COM c OCCIDENTAL PETE CORP DEL COM f OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM k OCCIDENTAL PETE CORP DEL COM p PG&E CORP COM m PNC FINL SVCS GROUP INC COM o PNC FINL SVCS GROUP INC COM	P 08/14/1512/16/1 P 05/29/1312/16/1 P 06/29/1512/16/1 P 05/29/1312/16/1 P 05/29/1312/16/1 P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
C MERCK & CO INC NEW COM d MICROSOFT CORP COM e NEXTERA ENERGY INC COM f NEXTERA ENERGY INC COM g NORTHERN TR CORP COM h OCCIDENTAL PETE CORP DEL COM COCCIDENTAL PETE CORP DEL COM COCCIDENTAL PETE CORP DEL COM K OCCIDENTAL PETE CORP DEL COM k OCCIDENTAL PETE CORP DEL COM pG&E CORP COM m PNC FINL SVCS GROUP INC COM o PNC FINL SVCS GROUP INC COM	P 05/29/1312/16/1 P 06/29/1512/16/1 P 05/29/1312/16/1 P 05/29/1312/16/1 P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
d MICROSOFT CORP COM e NEXTERA ENERGY INC COM f NEXTERA ENERGY INC COM g NORTHERN TR CORP COM h OCCIDENTAL PETE CORP DEL COM f OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM k OCCIDENTAL PETE CORP DEL COM g PG&E CORP COM m PNC FINL SVCS GROUP INC COM g PNC FINL SVCS GROUP INC COM g PNC FINL SVCS GROUP INC COM	P 06/29/1512/16/1 P 05/29/1312/16/1 P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/16/12/16/1 P 04/24/1312/16/1
e NEXTERA ENERGY INC COM f NEXTERA ENERGY INC COM g NORTHERN TR CORP COM h OCCIDENTAL PETE CORP DEL COM cocidental PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM g OCCIDENTAL PETE CORP DEL COM k OCCIDENTAL PETE CORP DEL COM p PG&E CORP COM m PNC FINL SVCS GROUP INC COM n PNC FINL SVCS GROUP INC COM o PNC FINL SVCS GROUP INC COM	P 05/29/1312/16/1 P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 01/07/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
NEXTERA ENERGY INC COM NORTHERN TR CORP COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM PG&E CORP COM PG&E CORP COM PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM O PNC FINL SVCS GROUP INC COM	P 01/07/1312/16/1 P 11/12/1512/16/1 P 04/24/1312/16/1 P 01/07/1312/16/1 P 06/24/14/05/05/1 P 04/24/13/05/05/1 P 01/15/1512/16/1 P 02/12/16/12/16/1 P 04/24/13/12/16/1
OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM KOCCIDENTAL PETE CORP DEL COM PG&E CORP COM MPNC FINL SVCS GROUP INC COM OPNC FINL SVCS GROUP INC COM OPNC FINL SVCS GROUP INC COM	P 11/12/1512/16/1 P 04/24/1312/16/1 P 01/07/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
h OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL COM PG&E CORP COM PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM O PNC FINL SVCS GROUP INC COM	P 04/24/1312/16/1 P 01/07/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
OCCIDENTAL PETE CORP DEL COM OCCIDENTAL PETE CORP DEL CORP DEL CORP DEL CORP DEL CORP DEL CORP DEL CORP DEL CORP DEL CORP DEL CORP D	P 01/07/1312/16/1 P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
OCCIDENTAL PETE CORP DEL COM K OCCIDENTAL PETE CORP DEL COM PG&E CORP COM M PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM O PNC FINL SVCS GROUP INC COM	P 06/24/1405/05/1 P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
k OCCIDENTAL PETE CORP DEL COM PG&E CORP COM M PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM	P 04/24/1305/05/1 P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
PG&E CORP COM m PNC FINL SVCS GROUP INC COM n PNC FINL SVCS GROUP INC COM O PNC FINL SVCS GROUP INC COM	P 01/15/1512/16/1 P 02/12/1612/16/1 P 04/24/1312/16/1
m PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM PNC FINL SVCS GROUP INC COM	P 02/12/1612/16/1 P 04/24/1312/16/1
n PNC FINL SVCS GROUP INC COM O PNC FINL SVCS GROUP INC COM	P 04/24/1312/16/1
o PNC FINL SVCS GROUP INC COM	
	P 02/12/16µ1/01/1
	T
(e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale	
	317. 292
	127. 69
	074. 359
	1,401
	155. 259
119.	71. 48
1 420	188. 240
	059. <113
	307.
	396. <334
	81. <5
· 	746. 49
1 1 1 1	
	467
	544. 118
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69	(I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any	but not less than "-0-")
	292
	69
	359
	1,401
	259
	48
	240
1	<113
	<16
	<334
(<5
``	49
	138
1	467
	118
If gain, also enter in Part I line 7	110
Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7	2
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	} 3

Part IV Capital Gains and Losses for Tax on Investment Income					
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				(c) Date acquired (d) Date sold (mo., day, yr.)	
1a PARKER HANNIFIN CORP COM			D - Donation P	04/24/1312/16/16	
b PARKER HANNIFIN			P	01/07/1312/16/16	
c PEPSICO INC COM			P	02/12/1612/16/16	
d PEPSICO INC COM			P	03/26/1512/16/16	
e PFIZER INC COM			P	05/29/1312/16/16	
† PFIZER INC COM			P	01/07/1312/16/16	
g PFIZER INC COM			P	08/10/1608/18/16	
h PFIZER INC COM			P	05/29/13/08/18/16	
PHILIP MORRIS I	NTL INC COM		P	01/07/1312/16/16	
PRICE T ROWE GROUP INC COM			P	04/24/1312/16/16	
	OUP INC COM		P	01/07/1312/16/16	
PROCTER & GAMBL			P	04/24/1312/16/16	
m PROCTER & GAMBL			P	0074574040745745	
n PROCTER & GAMBL			P	04/24/1302/12/16	
0 PUBLIC STORAGE	INC COM (REIT)		P	04/24/13/12/16/16	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		n) Gain or (loss) plus (f) minus (g)	
a 717.		447.		270.	
b 143.		88.		55.	
c 1,269.		1,180.	<u> </u>	89.	
d 634.		574.		60.	
e 1,338.		1,162.		176.	
f 913.		728.		185.	
g 1,122.		1,121.		1.	
h 175.		142.		33.	
2,186.		2,068.		118.	
613.		584.		29.	
k 77.		68.		9.	
254.		234.		20.	
m 1,100.		1,001.		99.	
n 1,283.		1,246.		37.	
0 1,746.		1,274.		472.	
Complete only for assets showing	gain in column (h) and owned by t	he foundation on 12/31/69	(I) Lo	sses (from col. (h))	
(i) F.M.V. as of 12/31/69 (j) Adjusted basis (k) Excess of col. (i) over col. (j), if any			Gains (excess of col. (h) gain over col. (k), but not less than "-0-")		
a				270.	
b				55.	
c				89.	
d				60.	
e		-		176.	
f				185.	
g				1.	
h				33.	
"		· · · · · · · · · · · · · · · · · · ·		118.	
				29.	
<u> </u>				9.	
				20.	
m				99.	
m n				37.	
		-		472.	
0	, If nam also enter	ın Part I, line 7		7140	
Capital gain net income or (net capital gain or (lease)	· (1000), unto	, I	2		
3 Net short-term capital gain or (loss if gain, also enter in Part I, line 8, ci if (loss), enter "-0-" in Part I, line 8		a (o):	3		

Part V Capital Gains and Cosses	s for lax on investment income			
	scribe the kind(s) of property sol warehouse; or common stock, 2		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
	1a PUBLIC STORAGE INC COM (REIT)			09/25/1402/12/16
b QUALCOMM INC COM			P	09/27/1612/16/16
c SCHLUMBERGER LTD COM CURACAO			P	09/06/1312/16/16
d SCHLUMBERGER LTD			P	01/14/1405/05/16
e SCHLUMBERGER LTD		-	P	09/06/1305/05/16
SEMPRA ENERGY CO	M		P	01/07/1312/16/16
g SHERWIN WILLIAMS	CO COM		P	01/07/1312/13/16
h SHERWIN WILLIAMS	CO COM		P	01/07/1308/18/16
SHERWIN WILLIAMS			P	01/07/1307/22/16
, SHERWIN WILLIAMS			P	01/07/1302/12/16
k SIMON PPTY GROUP			P	05/29/1312/16/16
	COM		P	07/01/16/12/16/16
	DECKER INC COM		P	10/05/16/12/16/16
	DECKER INC COM		P	10/04/16/12/16/16
o TJX COS INC NEW			P	08/14/1512/16/16
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		h) Gain or (loss) plus (f) minus (g)
a 1,393.		982.		411.
b 1,137.		1,067.		70.
c 2,130.		2,135.		<5.>
d 226.		266.		<40.>
e 1,957.		2,220.		<263.>
f 613.		432.		181.
g 2,162.		1,265.		897.
h 577.		316.		261.
1,761.		949.		812.
2,557.		1,581.		976.
k 904.		804.		100.
754.		695.		59.
m 708.		745.		< 37. >
n 118.		123.		<5.> 68.
Complete only for assets showing g	ain in column (h) and owned by	705.		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	osses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
a		1	<u> </u>	411.
b				70.
С				<5.>
d				<40.>
е				<263.>
f				181.
g				897.
h				261.
1				812.
1				976.
k				100.
<u> </u>				59.
m	<u></u>			< <u>37.</u> >
n	· _	<u> </u>		<5.>
0		L	- 	68.
2 Capital gain net income or (net capital3 Net short-term capital gain or (loss) a		r in Part I, line 7 0-" in Part I, line 7 nd (6):	2	
If gain, also enter in Part I, line 8, coli If (loss), enter "-0-" in Part I, line 8		}	3	

Part IV Capital Gains and Lo	sses for Tax on Investment Income	<u> </u>		
	describe the kind(s) of property so ick warehouse; or common stock, 2		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
12 TEXAS INSTRS I	NC COM		P	06/25/1312/16/16
b 3M CO COM				09/27/1612/16/16
C TIME WARNER IN	C NEW COM		P	03/26/1512/16/16
d US BANCORP DEL	COM NEW		P	01/07/1312/16/16
e UNITED PARCEL	SVC INC CL B		P	02/12/1612/16/16
UNITED PARCEL	SVC INC CL B		P	11/20/1512/16/16
UNITEDHEALTH G	ROUP INC COM		P	01/29/1612/16/16
h V F CORP COM			P	04/24/1308/18/16
V F CORP COM			P	01/07/1308/18/16
VALERO ENERGY	CORP NEW COM		P	11/20/1512/16/16
k VERIZON COMMUN	ICATIONS INC COM	1	P	03/12/1312/16/16
VERIZON COMMUN	ICATIONS INC COM	1	P	01/07/1312/16/16
m WEC ENERGY GRO	UP INC COM		P	05/29/1312/16/16
0 WAL-MART STORE			P	08/28/1412/16/16
	DEL COM		P	06/28/1612/16/16
	(f) Depreciation allowed	(g) Cost or other basis) Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale		olus (f) minus (g)
a 1,608.		769.	<u> </u>	839.
b 1,603.		1,588.		15.
c 1,424.		1,273.		151.
d 1,296.		824.		472.
e 695.	<u> </u>	581.		114.
f 1,505.		1,355.		150.
g 1,137.		793.		344.
h 448.		305.		143.
2,305.		1,344.		961.
J816.		863.		<47.>
k_ 2,396.		2,198.		198.
ī52 .	_	45.		7.
m_ 524.		368.		156.
n 1,285.		1,285.		0.
01,128.		1,024.		104.
Complete only for assets showing	ig gain in column (h) and owned by	the foundation on 12/31/69		sses (from col. (h))
(i) F.M.V. as of 12/31/69 (j) Adjusted basis (k) Excess of col. (i) over col. (j), if any			Gains (excess of col. (h) gain over col. (k), but not less than "-0-")	
a			-	839.
b -				15.
С				151.
d				472.
e				114.
f				150.
9				344.
h				143.
1				961.
1				<47.>
<u>,</u>	,	1		198.
				7.
m				156.
n		 		0.
0				104.
	(If gain, also ente	er in Part I, line 7 0-" in Part I, line 7		
Capital gain net income or (net ca Net short-term capital gain or (los	upital loss) { If (loss), enter "- ss) as defined in sections 1222(5) a	, I	2	
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).)	3	
			- 1	

Part IV Capital Gains and Lo	sses for Tax on Investment Income			
2-story bi	d describe the kind(s) of property sole rick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
	CO NEW COM		P	04/24/1312/16/16
	CO NEW COM		P	01/07/1312/16/16
c WELLS FARGO &	CO NEW COM		P	04/24/1311/01/16
d WELLS FARGO &	CO NEW COM		P	04/24/1307/22/16
e WELLS FARGO &	CO NEW COM		P	08/14/1506/10/16
	CO NEW COM		P	04/24/1306/10/16
g IHS MARKIT LTD	COM BERMUDA	-	P	07/12/1608/17/16
	SORED ADR GERMAN	Y	P	01/26/1502/16/16
	HLDG LTD ADS CAY		P	09/16/1502/12/16
	HLDG LTD ADS CAY		P	07/09/1502/12/16
k ALIBABA GROUP			P	10/13/1402/12/16
ALIBABA GROUP			P	09/22/1402/12/16
	L C COM		P	01/21/1406/17/16
n AMAZON COM INC		· · · · · · · · · · · · · · · · · · ·	P	02/12/16/10/17/16
O AMAZON COM INC			P	01/21/14/10/17/16
	(f) Depreciation allowed	(g) Cost or other basis) Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale	(e) t	olus (f) minus (g)
a 1,321.		898.		423.
b 220.		139.		81.
c 1,930.		1,571.		359.
d 1,546.		1,197.		349.
e 1,399.		1,659.		<260 . >
f 338.		262.		76.
g 33.		31.		2.
h 4,252.		2,894.		1,358.
1,104.		1,212.		<108.>
1,472.		1,929.	_	<457.>
k 491.		666.		<175.>
1 429.		629.		<200.>
m 1,379.		1,155.		224.
n 815.		510.		305.
0 815.		405.		410.
Complete only for assets showing	ng gain in column (h) and owned by	the foundation on 12/31/69	(I) Los	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a				423.
b				81.
С				359.
d				349.
e				<260.>
f				76.
a			,	2.
h				1,358.
1		 		<108.>
<u> </u>	 -			<457.>
<u>k</u>	 _			<175.>
<u> </u>		 		<200·>
<u></u>				224.
<u>m</u>				305.
<u>n</u>		-		410.
0	I If gain, also enter	r in Part I line 7		410.
2 Capital gain net income or (net ca	apital 1055) \ If (loss), enter "-C)-" in Part I, line 7 3	2	
3 Net short-term capital gain or (losif gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line	, column (c).	nd (6):	3	

Part IV Capital Gains and Lo	sses for Tax on Investment Income			
	d describe the kind(s) of property sol		(b) How acquired P - Purchase	(c) Date acquired (d) Date sold (mo., day, yr.)
2-story brick warehouse; or common stock, 200 shs. MLC Co.			D - Donation	(110., day, y)
1a AMAZON COM INC COM			P	02/12/1608/01/16
b AMERICAN INTL GROUP INC NEW COM			P	01/21/1412/29/16
c AMERICAN INTL		M	P	01/21/1412/28/16
d APACHE CORP CO			P	01/21/1405/06/16
e APACHE CORP CO			P	01/21/1405/06/16
CALIFORNIA RES	OURCES CORP COM		P	01/07/1304/20/16
Q CALIFORNIA RESOURCES CORP COM			P	01/21/1403/29/16
h CALIFORNIA RES	OURCES CORP COM		P	04/24/1303/29/16
CALIFORNIA RES	OURCES CORP COM		P	01/07/1303/29/16
CATERPILLAR INC COM			P	01/21/1410/13/16
k CISCO SYS INC			P	03/30/1507/28/16
CITIGROUP INC		····	P	03/28/14/08/05/16
	NEW COM		P	03/28/1406/17/16
n DANAHER CORP D			- P	09/19/14/05/27/16
O IHS INC CL A C			P	06/23/1507/12/16
O THE THE CH A C		(a) Coat as ather has a		
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		ı) Gaın or (loss) plus (f) mınus (g)
a 768.		510.		258.
b 4,457.		3,441.		1,016.
c 2,373.		1,822.		551.
d 2,779.		4,314.		<1,535.>
e 326.		508.		<182.>
f 2.		1.		1.
- 7		1.		0.
g h 1.		2.		
3.		4.		<1.>
781.		814.		<33.>
1,797.		1,632.		165.
1,178.		1,225.		
F11		565.		
2 050		3,110.		749.
251		3,110.		
	l ng gain in column (h) and owned by		401.5	
- Complete only for assets shown	,			sses (from col. (h)) of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		not less than "-0-")
	43 01 120 1103	Over out (j), it any		258.
<u>a</u> b				1,016.
				551.
<u>c</u>				<1,535.>
<u>d</u>			·	<182.>
e				
<u>f</u>				1.
<u>g</u>				
<u>h</u>				<1.>
<u> </u>				<1.>
			·	<33.>
<u>k</u>				165.
	 			<47.>
<u>m</u>				<54.>
<u>n</u>			<u> </u>	749.
0	L			<41.>
2 Capital gain net income or (net ca	I if gain, also enter	r in Part I, line 7)-" in Part I, line 7		
•		` `	2	
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) ar	nd (6):		
If (loss), enter "-0-" in Part I, line o,		ŗ	3	
(·			

T, CITE TO Capital Callis and Eusses for Tax off	iivesuiiciii iiicoiiic			
(a) List and describe the kin	d(s) of property sold, e.g.	, real estate,	(b) How acquired P - Purchase	(c) Date acquired (d) Date sold
2-story brick warehouse; o	or common stock, 200 sh	s. MLC Co.	D - Donation	(mo., day, yr.) (mo., day, yr.)
1a IHS INC CL A COM			P	01/23/1507/12/16
b IHS INC CL A COM		-	P	01/21/14/07/12/16
c J P MORGAN CHASE & CO	COM		P	04/24/1312/23/16
d J P MORGAN CHASE & CO			P	04/24/1312/20/16
	COM		P	04/24/1312/15/16
f LENNAR CORP COM			P	01/21/1407/28/16
g LINKEDIN CORP CL A COM			P	05/21/1406/20/16
h LINKEDIN CORP CL A COM	1		P	08/14/1506/17/16
LINKEDIN CORP CL A COM	4		P	05/21/1406/17/16
, LOWES COS INC COM			P	01/21/1412/30/16
k LOWES COS INC COM			P	01/21/1412/23/16
MEAD JOHNSON NUTRITION	1 CO COM		P	10/05/1512/02/16
m MEAD JOHNSON NUTRITION	1 CO COM		P	10/05/1512/01/16
n MEAD JOHNSON NUTRITION	1 CO COM		P	10/05/1511/29/16
o PEPSICO INC COM		· · · · · · · · · · · · · · · · · · ·	P	01/21/1410/05/16
(f) Donroos	ation allowed	(g) Cost or other basis	(h) Gain or (loss)
	owable)	plus expense of sale		plus (f) minus (g)
a 3,742.		3,714.		28.
b 936.		936.	-	0.
c 1,300.		733.		567.
d 1,715.		977.		738.
e 2,575.		1,466.		1,109.
f 1,790.		1,373.		417.
g 764.	1	609.		155.
h 954.		951.		3.
3,054.		2,434.		620.
2,065.		1,381.		684.
k 2,101.		1,381.		720.
1,699.		1,804.		<105.>
m 284.		301.		<17.>
n 936.		977.		<41.>
0 2,332.		1,826.		506.
Complete only for assets showing gain in column	(h) and owned by the fo		(I) Lo:	sses (from col. (h))
(i) Adıu	sted basis	(k) Excess of col. (ı)	Gains (excess	of col. (h) gain over col. (k),
	12/31/69	over col. (j), if any	but r	not less than "-0-")
a	-	,		28.
b				0.
С				567.
d				738.
е				1,109.
f				417.
g		-		155.
h		"		3.
1				620.
1				684.
k	<u> </u>	÷		720.
1				<105.>
m				<17.>
n				
0				506.
				300:
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Pa If (loss), enter "-0-" in F	rt I, line 7	2	
		_ [-	
3 Net short-term capital gain or (loss) as defined in s If gain, also enter in Part I, line 8, column (c).	sections 1222(5) and (6):			
If (loss), enter "-0-" in Part I, line 8		J	3	

20-4356938 17 THE HAVEN FOUNDATION OF 42 PAGE Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold Purchase 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a PRICE T ROWE GROUP INC COM 04/24/1311/11/16 b PRICE T ROWE GROUP INC COM P 01/07/1311/11/16 c PROCTER & GAMBLE CO COM P 09/30/1510/05/16 01/21/1410/05/16 d PRUDENTIAL FINL INC COM P P 10/26/1511/04/16 e RALPH LAUREN CORP CL A COM COM P 08/14/1511/04/16 f RALPH LAUREN CORP CLΑ g RALPH LAUREN P 08/14/1510/14/16 CORP CL A COM 03/27/1510/14/16 h RALPH LAUREN CORP CLΑ COM P RALPH LAUREN CORP COM P 03/27/15|07/28/16 CLA 03/27/1505/13/16 RALPH LAUREN CORP CLA COM \overline{P} 04/18/1612/21/16 k HELMERICH & PAYNE P 09/06/1612/20/16 OSHKOSH CORP P P 10/13/1612/14/16 m ESTEE LAUDER COMPANIES-CL A 11/12/1511/07/16 n APPLIED MATERIALS INC P 0 HELMERICH & PAYNE P 04/18/1611/04/16 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 2,018. <98.> 2,116. а 2,575.2,529. 46. b 1.722. 2,125. 403. C 1,104. 1,173. <69.> d 886. 1,034. <148.> <78.> 394. 472. <182.> 880. 1,062. 1,435. <360.> 1.075. h 1,931. 2,609. <678.> 2,683. 4,044. <1,361.> 741. 2,207. 2,948. 2,584. 2,153. $\overline{431}$. 1 1,807. $\overline{<223.}>$ 2,030. m 1,567. 903. 664. n $\overline{111}$. 2,557. 2.446. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any <98.> а 46. b 403. C <69.> d $\overline{<148.}>$ е <78.> f <182.> <360.> h <678.> <1,361.>741. 431. <223·> m 664. n 111. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }

Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

Part IV 'Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mó., day, yr.) 1a APPLIED MATERIALS INC 11/12/1511/04/16 b PROGRESSIVE CORP P 07/21/1610/31/16 c PROGRESSIVE CORP P 07/20/1610/31/16 d GENERAL MILLS INC P 08/04/1610/18/16 e GENERAL MILLS INC P 05/27/1610/18/16 f GENERAL MILLS P 05/24/1610/18/16 INC g GENERAL MILLS 05/23/1610/18/16 INC P h GENERAL MILLS INC P 05/20/1610/18/16 GENERAL MILLS INC 05/20/1610/17/16 P VERIZON COMMUNICATIONS INC P 12/21/1510/17/16 P k GENERAL MILLS INC 05/20/16|10/14/16 | GENERAL MILLS INC P 05/19/1610/14/16 m PPL CORPORATION 10/16/15/10/07/16 P n PPL CORPORATION P 10/13/1510/07/16 10/12/1510/07/16 o PPL CORPORATION P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) (e) plus (f) minus (g) plus expense of sale 2,576. 1,522. 1,054. a 32. 33. b <1.> 4.411. $\overline{<194.}>$ 4,605. C 1,977. <270·> 2,247. đ 3,212. 3,270. <58.> е 2,100.2,120.<20·> 1,915. 1,945. < 30.>1,606. <19.> 1,625. h 2,657. 2,687. <30.> 2,365. 222.2,143. 681. 687. <6.> 1,301.1,311. <10.> 2,548. <173.> 2,721. m 3,839. 3,977. <138·> n 2,937. <98.> 2,839. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (I) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 1,054. а <1.> b $\frac{194.}{}$ C <270.> d <u><58.</u>> <20.> <30·> <19·> h <30·> 222. <6.> k <10.> <173·> m <138.> n <u><98.</u>> 0 { If gain, also enter in Part I, line 7 } If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

19 OF 20-4356938 PAGE

Part IV Capital Gallis and Lo	isses for Tax of fivestifient income			
	d describe the kind(s) of property solo rick warehouse; or common stock, 20		i r - ruiciiasc	(c) Date acquired (d) Date sold (mo., day, yr.)
1a ADVANSIX INC -			D - Donation	05/16/1610/04/16
		· · · · · · · · · · · · · · · · · · ·	P	
b ADVANSIX INC -			P	04/29/16/10/04/16
c PUBLIC STORAGE			P	08/08/1609/20/16
d XILINX INC			P	01/20/1609/20/16
e PUBLIC STORAGE			P	10/14/1509/20/16
PUBLIC STORAGE			P	10/01/1509/20/16
g UNITED PARCEL			P	06/20/16/09/15/16
h UNITED PARCEL	SERVICE-CL B		P	06/13/1609/15/16
UNITED PARCEL	SERVICE-CL B		P	05/16/16/09/15/16
UNITED PARCEL	SERVICE-CL B		P	05/11/1609/15/16
k UNITED PARCEL	SERVICE-CL B	<u> </u>	P	05/11/1609/14/16
CF INDUSTRIES	HOLDINGS INC		P	11/23/15/09/13/16
	HOLDINGS INC		P	10/15/15/09/13/16
	HOLDINGS INC		P	10/15/15/09/12/16
O AMPHENOL CORP-		· · · · · · · · · · · · · · · · · · ·	P	06/02/1607/28/16
0 AMI HEROE CORT	(f) Depreciation allowed	(a) Cost or other boss		
(e) Gross sales price	(r) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		o) Gain or (loss) plus (f) minus (g)
a 15.		14.		1.
b 30.		40.		<10.>
c 1,723.		1,849.		<126.>
d 2,554.		2,054.		500.
e 3,231.		3,260.		<29.>
f 2,584.		2,551.		33.
g 1,390.		1,384.		6.
h 1,925.		1,879.		46.
2,460.		2,340.		120.
1,925.		1,865.		60.
4 1 17 1		4,041.		130.
$\frac{k}{1}$ 2,611.		4,808.	·	<2,197.>
		1,210.		<654.>
1 010		2,578.		<1,338.>
1 400		1,476.		<8.>
	l ng gain in column (h) and owned by t		40.1	
Complete only for assets snown	. 			sses (from col. (h)) of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (ı) over col. (j), if any		not less than "-0-")
a				1.
b		-		<10.>
C				<126.>
d				500.
e				<29.>
f				33.
				6.
<u>g</u> h		· -		46.
<u>"</u>		 -		120.
<u>'</u>				60.
<u> </u>				130.
<u>k</u>				<2,197·>
	 		_ 	<2,197.> <654.>
<u>m</u>				<1,338.>
<u>n</u>	 	<u> </u>		
0			 _	<8.>
2 Capital gain net income or (net ca	apıtal loss) { if gain, also enter if (loss), enter "-0	in Part I, line 7 -" in Part I, line 7 }	!	
	ss) as defined in sections 1222(5) an	a I		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line				
-				

Part IV Capital Gains and Lo	sses for Tax on Investment Income			
	describe the kind(s) of property soli rick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a CITIZENS FINAN	CIAL GROUP		P	12/22/1507/19/16
D CITIZENS FINAN			P	12/21/1507/19/16
c CITIZENS FINAN			- P	12/02/1507/19/16
	CIAL GROUP		P	12/02/1507/18/16
e MCDONALD'S COR			P	09/10/1507/18/16
MCDONALD'S COR			P	09/02/1507/18/16
9 PPL CORPORATIO			P	10/02/1506/24/16
h PPL CORPORATIO			P	10/01/1506/24/16
SEALED AIR COR			P	06/29/1506/09/16
CF INDUSTRIES			P	07/14/1506/08/16
k APPLIED MATERI			P	06/10/15/06/08/16
LYONDELLBASELL				
			P	10/13/1506/03/16
m LYONDELLBASELL			P	10/13/1506/02/16
∩ UNITEDHEALTH G			P	08/26/1506/02/16
∪NITEDHEALTH G			P	08/18/1506/02/16
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) blus (f) minus (g)
a 2,333.		2,893.		<560.>
b 1,744.	_ :-	2,148.		<404.>
c3,132.		3,977.		<845.>
d_ 1,843.		2,349.		<506.>
e 9,517.		7,345.		2,172.
f 4,202.		3,259.	 .	943.
g 677.		592.		85.
h 3,309.		2,857.		452.
2,815.		3,168.		<353.>
1 285.		566.		<281.>
$\frac{1}{k}$ 3,219.		2,666.	/	553.
1,986.		2,342.		<356.>
m 964.		1,124.		<160.>
n 2,305.		1,900.		405.
2,576.		2,387.		189.
Complete only for assets shown	ng gain in column (h) and owned by t		(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (I) over col. (I), If any		of col. (h) gain over col. (k), not less than "-0-")
a			· <u>-</u>	<560.>
b				<404.>
С				<845.>
d				<506·>
e				2,172.
f				943.
g				85.
h				452.
I				<353·>
1				<281.>
k				553.
Ī_				<356.>
m				<160.>
n				405.
0				189.
2 Capital gain net income or (net ca	apital loss) { If gain, also enter If (loss), enter If (loss)	in Part I, line 7	2	
•		, I		
3 Net short-term capital gain or (lost f gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line		α (δ):	3	
(1000), citter o mir art iş imo				

(a) Let and describe the learle(s) of property sold, ag., rail estate, 2014 (19) (19) (20), sold (19), sold (1	Fait IV Capital Gains and Los	ses for lax on investment income			
19 LYONDELLBASELL INDU-CL A P 07/14/15 06/02/16 MONSTER BEVERAGE CORP P 07/14/15 05/19/16 MONSTER BEVERAGE CORP P 07/14/15 05/19/16 MONSTER DEVERAGE CORP P 07/14/15 05/19/16 MONSTER DEVERAGE CORP P 07/14/15 05/19/16 MONSTER DEVERAGE CORP P 07/14/15 05/19/16 MONSTERO P 07/14/15 05/13/16 P 07/15/15 05/13/16 P P 07/15/15 05/13/16 P P 07/				(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
DAYONDELDRASELL INDU-CL A	12 LYONDELLBASELL	INDII-CI. A			07/14/1506/02/16
MORTERO BEVERAGE CORP					
MORTHROP GRUMMAN CORP					
NORTHROP GRUMMAN CORP					
JETPLUE ATRWAYS					
DIFFELUE ATRINAYS					
APPLIED MATERIALS INC					
APPLIED MATERIALS INC					
ROCKWELL COLLINS INC-	h APPLIED MATERIA	ALS INC		P	06/10/1505/12/16
BALL CORP P 07/14/15/04/28/16 COMPUTER SCIENCES CORP P 01/25/16/04/20/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/14/16 P 08/17/15/04/14/16 P 06/05/15/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/05/05/05/05/05/05/05/05/05/05/05/05	APPLIED MATERIA	ALS INC		P	06/05/1505/12/16
BALL CORP P 07/14/15/04/28/16 COMPUTER SCIENCES CORP P 01/25/16/04/20/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/15/16 P 08/17/15/04/14/16 P 08/17/15/04/14/16 P 06/05/15/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/04/14/16 P 06/05/15/05/05/05/05/05/05/05/05/05/05/05/05/05	ROCKWELL COLLIN	IS INC.		P	07/10/1505/10/16
COMPUTER SCIENCES CORP P 03/725/1604/20/16 P 04/15/15/15/16 P 06/705/15/04/15/16 P 06/705/15/04/15/16 P 06/705/15/04/15/16 P 06/705/15/04/14/16 P 06/705/15/04/14/16 P 06/705/15/04/14/16 P 06/705/15/04/14/14					
m ALTRIA GROUP INC		TES CORP			
APPLIED MATRRIALS INC					
ROSS STORES INC					
(e) Gross sales price (f) Depresciation allowed (or allowable) (plus cryption of stale (plus (pl					
Consideration Consideratio	0 ROSS STORES INC	<u>: </u>			
b 645. 805. < 160.> c 1,634. 1,541. 93. d 4,736. 3,516. 1,220. e 1,292. 957. 335. f 2,191. 3,409. <618.> g 2,847. 3,426. <579.> h 1,370. 1,383. <13.> g 2,847. 4,405. 4,445. <40.> k 1,674. 1,621. 53. l 2,554. 2,316. 238. m 2,023. 1,837. 186. 238. m 2,023. 1,837. 186. 238. m 3,641. 3,501. 1,383. 137. Complete only for assets showing gain in column (h) and owned by the foundation on 123/169 (i)) Losses (from col. (h)) gain over col. (h), if any of 12/31/69 (i) Adjusted basis of 12/31/69 (i) Adjusted basis of 12/31/69 (ii) Adjusted basis of 12/31/6	(e) Gross sales price				
b 645. 805. < 160.> c 1,634. 1,541. 93. d 4,736. 3,516. 1,220. e 1,292. 957. 335. f 2,191. 3,409. <618.> g 2,847. 3,426. <579.> h 1,370. 1,383. <13.> g 2,847. 4,405. 4,445. <40.> k 1,674. 1,621. 53. l 2,554. 2,316. 238. m 2,023. 1,837. 186. 238. m 2,023. 1,837. 186. 238. m 3,641. 3,501. 1,383. 137. Complete only for assets showing gain in column (h) and owned by the foundation on 123/169 (i)) Losses (from col. (h)) gain over col. (h), if any of 12/31/69 (i) Adjusted basis of 12/31/69 (i) Adjusted basis of 12/31/69 (ii) Adjusted basis of 12/31/6	a 1,206.		1,510.		<304.>
c			805.		<160.>
d 4,736	1 (24				
1,292					
1	1 292				
g 2,847, h 3,426, l <579.> h 1,370, l 1,383, l <13.> 258, l 262, l <40.> i 4,405, l <40.> k 1,674, l 1,621, l <53.					
Name	2 0 4 5				
1					
X					
1	14,405.		4,445.		<40.>
March Marc	k 1,674.		1,621.		53.
March Marc	2,554.		2,316.		238.
No.				 -	186.
1,645 137 13					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F.M.V. as of 12/31/69 (i) Adjusted basis as of 12/31/69 (k) Excess of col. (ii) over col. (j), if any	1 (15				
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any but not less than "-0-") a		g gain in column (h) and owned by		//\	
State Stat		(j) Adjusted basis	(k) Excess of col. (ı)	Gains (excess	of col. (h) gain over col. (k),
C 93.	a				<304.>
C 93.	b				<160.>
1,220. e			_		
Bar					
Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 8, column (c).				 -	
Standard Standard					
Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 Capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (los				 _	
Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 2		_ 	<u> </u>		
	h				
k 1 238. m 186. n 140. Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).					
238. m 186. n 140. co 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } co 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	<u>1</u>				
m 186. n 140. o 137. Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 1 1 1 1 1 1 1 1 1					
n 0 140. 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): 1 gain, also enter in Part I, line 8, column (c).	1				
140. Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7	m				186.
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).					140.
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).				<u> </u>	
If gain, also enter in Part I, line 8, column (c).	2 Capital gain net income or (net cap	ortal loss) { If gain, also enter If (loss), enter If (loss), enter If (loss), enter If (loss), enter If (loss)	nn Part I, line 7)-" in Part I, line 7	2	
If gain, also enter in Part I, line 8, column (c).	3 Net short-term capital gain or (loss	s) as defined in sections 1222(5) an	d (6):		
	If gain, also enter in Part I, line 8, o	column (c).	}	3	

THE HAVEN FOUNDATION 20-4356938 22 OF 42 PAGE Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired
P - Purchase (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse: or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a GAMESTOP CORP-CLASS A 07/14/1503/18/16 b ROSS STORES INC P 04/09/1502/25/16 c APPLIED MATERIALS INC P 06/05/1502/24/16 d L BRANDS INC P 03/25/1502/24/16 e MURPHY OIL CORP P 11/16/1502/12/16 f MURPHY OIL CORP P 10/09/1502/12/16 g NORTHROP GRUMMAN CORP P 05/28/1502/05/16 h EDISON INTERNATIONAL P 06/26/1502/01/16 | MOBILEYE NV P 03/06/1501/26/16 | MOBILEYE NV \overline{P} 02/11/1501/26/16 09/14/1501/19/16 k VOYA FINANCIAL INC P VOYA FINANCIAL INC P 09/11/1501/19/16 m VOYA FINANCIAL 09/04/1501/19/16 INC \overline{P} 08/31/1501/19/16 n VOYA FINANCIAL INC P o AB DISCOVERY VALUE P 01/27/1412/29/16 FUND-(f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) <494.> 998. 1,492. а 2,376. 2,574 198. b 2,863. 3,159. <296.> C 2,474. 2,837. <363.> d 2,918. 5,241. <2,323.> е 1,451 2,658. <1,207.>2,976. 2,551. 425. g 3,090. 286. 2,804. h 1,643. 1,226. $\overline{<417.}>$ 1,569 2,000. $\overline{<431.}>$ 3,704. $\overline{<1,078.}>$ 2,626 2,294. 3,221. <927.> 1,449. 1.986. $\frac{1}{537.}$ m 1,569 2,234. <665.> n 5,145. 286. 4,859. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (I) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any <494.> а 198. þ <296.>C <363·> d <2.323.>е <1,207.> 425. 286. h <417.> $\frac{431.}{}$ <1,078.><927.> i <537.> m <665·> n 286. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }

Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

(a) Let and describe the kindigs of property sold, ap, real estatus, Co. Section year worknown services get common stock, 200 ans, ML Co. P. D. D. Co. Co. Co. Co. Co. Co. Co. Co. Co. Co	Part IV Capital Gains and Lo	sses for Tax on Investment Income			
MICROSOFT CORP P 10/27/14/12/20/16	(a) List and 2-story b	describe the kind(s) of property soli rick warehouse; or common stock, 20	i, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
E STEE LAUDER COMPANIES CL A P 07/14/15\2/13/16 d KIMBERLY - CLARK CORP R 1 03/06/15\2/13/16 d KIMBERLY - CLARK CORP P 03/06/15\2/13/16 1 ESTEE LAUDER COMPANIES CL A P 07/14/15\2/13/16 1 ESTEE LAUDER COMPANIES CL A P 07/15/14\2/13/16 1 BANK OF AMERICA CORP P 12/12/13/15 BANK OF AMERICA CORP P 12/12/13/15 BANK OF AMERICA CORP P 12/12/13/15 I XIMBERLY - CLARK CORP P 12/12/13/16 BANK OF AMERICA CORP P 12/12/13/15 I XIMBERLY - CLARK CORP P 12/12/13/15 BANK OF AMERICA CORP P 12/12/13/15 P 13/13/15\2/12/12/13/16 I XIMBERLY - CLARK CORP P 12/12/13/15 P 13/13/15\2/12/12/13/16 I XIMBERLY - CLARK CORP P 12/12/13/15 P 13/13/15\2/12/12/13/16 I XIMBERLY - CLARK CORP P 12/13/15\2/13/15 P 13/13/15\2/12/13/16 I XIMBERLY - CLARK CORP P 12/12/13/15 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/12/13/16 P 13/13/15\2/13/15 P 13/13/15\2/12/13/16 P 13/13/15\2/13/15 P 13/13/15\2/12/13/16 P 13/13/15\2/13/16 P 13/13/15\2/13/16 P 13/13/15\2/13/16 P 13/13/15\2/13/15 P 13/13/15\2	12 MICROSOFT CORP				10/27/1412/20/16
MIMBERLY-CLARK CORP	b ESTEE LAUDER C	OMPANIES-CL A		P	
MIMBERLY-CLARK CORP	c ESTEE LAUDER C	OMPANIES-CL A			
R STEE LAUDER COMPANIES - CL A			-		
ESTEE LAUDER COMPANIES - CL A					
BANK OF AMERICA CORP P 12/12/12/13/15					
BANK OF AMERICA CORP					14 : 1 = 4 1 = 1 = 1 = 1 = 1
KIMBERLY-CLARK CORP					<u> </u>
BSTRE LAUDR COMPANIES-CL A					
X ABTNA INC					10 10 10 10 10 10 10 10 10 10 10 10 10 1
MEDTRONIC PLC		OHIANIED CE A			6 3 1 4 6 1 4 4 1 6 1 4 6 1 4 3
m MEDTRONIC PLC					
n MEDTRONIC PLC o MEDTRONIC PLC (e) Gross sales proce (1) Depreciation allowed (or allowable) plus expense of sale plus expense of sale (or plus (1) minus (q)) a 2, 781.					
MEDTRONIC PLC					
(e) Gross sales price (f) Deprecation allowed (gradiowable) (gradiowable					
Control Cont	0 MEDIKONIC FLC	(A) Degreester allowed	(a) 0 a st th		
D 393 447 554 56			plus expense of sale		plus (f) minus (g)
C 946 1,072					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the f					
Complete only for assets showing pain in column (h) and owned by the foundation on 12/31/69 Column (a) Column (b) Column (c)					
The content of the					
Q					
No. No.					
1	<u> </u>				
1					
Residual Content of the Content of					
1					
The content of the					
Note the form continued by the foundation on 1231/50 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/50 (i) F.M.V. as of 12/31/69 (i) Adjusted basis as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (ii) F.M.V. as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iv) Excess of col. (i) over col. (i), if any over col. (ii), if any over col. (ii), if any over col. (iii) and over col. (iii), but not less than "-0-") A					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (j), if any (i) Excess of col. (i) over col. (k), over col. (i), gain over col. (k), over col. (i), gain over col. (k), over col. (ii) over col. (ii) over col. (ii) over col. (ii) over col. (ii) over col. (ii) over col. (ii) over col. (ii) over col. (iii) over				*****	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any (k) Excess of col. (h) gains (excess of col. (h) gain over col. (k), but not less than "-0-") A					
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any 762. 5		Compared to the control of the contr			
(i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any but not less than "-0-") a	Complete only for assets shown				
State Stat	(i) F.M.V. as of 12/31/69				not less than "-0-")
C C C C C C C C C C	a				
181.	b			-	
Part Part	С				
f 48. g 216. h 624. i 115. j 86. k 614. i <79.> m <151.> n 80. 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): } If gain, also enter in Part I, line 8, column (c). }	d				
216.	e				
1	f				
115. 36. 86.	g				
Section Sect	h				
k I Capital gain net income or (net capital loss) Ref gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	1				
Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 2	1				
m				_	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	<u>I</u>				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 1 If (loss), enter "-0-" in Part I, line 7 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).		· · · · · · · · · · · · · · · · · · ·			
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	0			1	<u> </u>
If gain, also enter in Part I, line 8, column (c).	2 Capital gain net income or (net ca	apıtal loss) { If gain, also enter If (loss), enter "-0	ın Part I, line 7 -" ın Part I, line 7	2	
	If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) an column (c).	_ 1	3	

b DOLLAR GENERAL CORP

c DOLLAR GENERAL CORP

d DOLLAR GENERAL CORP e DOLLAR GENERAL CORP

f DOLLAR GENERAL CORP

| APPLIED MATERIALS INC

1,455.

2,027.

1,563.

1,954.

1,770.

1,680.

3,327.

1,977.

2,746.

656.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

158.

546.

312.

k XEROX CORP

m HESS CORP

а

b

C

d

h

k

m

n ALLSTATE CORP

0 ALLSTATE CORP

(e) Gross sales price

Part IV | Capital Gains and Losses for Tax on Investment Income

1a AB DISCOVERY VALUE FUND- ADV

g AB DISCOVERY VALUE FUND- ADV

h AB DISCOVERY VALUE FUND- ADV

(f) Depreciation allowed

(or allowable)

| CAPITAL ONE FINANCIAL CORP CAPITAL ONE FINANCIAL CORP

CONTINUATION FOR 990-PF, PART IV 20-4356938 24 OF 42 PAGE (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold P - Purchase D - Donation (mo., day, yr.) 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) 01/27/1412/01/16 03/20/1511/30/16 P P 09/08/1411/30/16 P 09/08/1411/30/16 P 09/08/1411/29/16 07/31/1411/29/16 P 01/27/1411/15/16 P P 01/27/1411/11/16 03/26/1311/10/16 P P 03/25/1311/10/16 P 02/03/1411/07/16 10/08/1511/04/16 P 03/11/1511/02/16 P 02/20/1511/02/16 P 01/13/1511/02/16 P (g) Cost or other basis (h) Gain or (loss) plus expense of sale (e) plus (f) minus (g) 111. 1,344. 531. 15. 252. 60. 386. 1,641. 1,262. 301. 1,397. 557. 1,682. 88. 37. 1,643. 48. 110. 2,282. 1,045. 2,299. <322·> 1,505. 1,241. <321.> 977. <82.> 1,494.

n 1,412.		1,494.	<82.>
605.		631.	<26.>
Complete only for assets show	ing gain in column (h) and owned by	the foundation on 12/31/69	(I) Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			111.
b			15.
С			60.
d			386.
e			301.
f			557.
g			88.
h			37.
1			48.
J			1,045.
k	1		<322.>
Ī			1,241.
m			<321.>
n			<82.>
0			<26.>
2 Capital gain net income or (net o	capital loss) { If gain, also enter	er in Part I, line 7 0-" in Part I, line 7	2

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 1a HESS CORP 03/05/1411/02/16 b ROSS STORES INC ₽ 10/06/1510/31/16 c ROSS STORES INC P 07/14/1510/31/16 d KIMBERLY-CLARK CORP P 02/13/1510/31/16 e ALLSTATE CORP P 01/13/1510/31/16 f ALLSTATE CORP P 12/24/1410/31/16 g ALLSTATE CORP P 12/24/1410/28/16 h ALLSTATE CORP P 12/17/1410/28/16 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO 12/14/1010/26/16 P i L-3 COMMUNICATIONS HOLDINGS P 12/03/14/10/25/16 k L-3 COMMUNICATIONS HOLDINGS P 11/17/1410/25/16 I ALTRIA GROUP INC 08/17/1510/24/16 P m WALT DISNEY CO/THE P 02/28/14/10/21/16 n BIOGEN INC P 01/17/1310/21/16 o BIOGEN INC P 01/16/1310/21/16 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,405.a 2,411 <1,006.> 2,864. b 2,219. 645. 374.312 62. C 1,716. ,660. 56. đ 882. 912. е <30·> 882. 921. <39.> 1,760. 1,843. <83·> g 1,828. 1.840. h $\frac{12.}{12.}$ 523. 525. <2·> 296. 50. 246. 5,389. 1,267. 6,656. 1,424. 1,225. 199. 1.914..704. 210. m 294. 144. 150. n 763. 857. 0 906. Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any а <1,006.645. b ¢ 62. d 56. $\overline{<30.}>$ е <39.> <83.> $\overline{<12.}>$ <2.> 50. 1,267. <u>199.</u> $\overline{210}$. m 150. n 906. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

	sses for Tax on Investment Income		· · · · · · · · · · · · · · · · · · ·	
	describe the kind(s) of property solorick warehouse; or common stock, 20		(b) How acquired (c) P - Purchase D - Donation (n	Date acquired (d) Date sold (mo., day, yr.)
1a ROSS STORES IN	C		O Dolladon	//14/1510/19/16
b ROSS STORES IN				/15/1510/19/16
c HEWLETT PACKAR				/23/1210/17/16
d DR PEPPER SNAP				/06/1410/13/16
e DR PEPPER SNAP	PLE GROUP INC			/15/1410/13/16
f DR PEPPER SNAP	PLE GROUP INC			/15/1410/12/16
g PEPSICO INC				/27/1410/12/16
h APPLIED MATERI	ALS INC		P 10	/08/1510/11/16
APPLIED MATERI	ALS INC		P 07	/14/1510/11/16
, APPLIED MATERI	ALS INC		P 07	/14/15/10/10/16
k APPLIED MATERI	ALS INC		P 06	710/1510/10/16
PPL CORPORATIO	N		P 10	/02/15/10/07/16
m ITT INC			P 07	/14/15/10/03/16
n ITT INC			P 01	706/15/10/03/16
o ITT INC			P 12	/17/1410/03/16
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		n or (loss) (f) minus (g)
1,455.		1,195.		260.
1,392.		1,144.		248.
3,528.		1,300.		2,228.
2,969.		2,196.		773.
349.		237.		112.
3,316.		2,253.		1,063.
2,331.		1,813.		518.
2,495.		1,350.		1,145.
1,262.		843.		419.
1,421.		919.		502.
799.	7	541.		258.
1,807.	_	1,841.		<34.
1,640.		1,845.		<205.
1,319.		1,381.		<62.
4,600.		5,012.		<412.
Complete only for assets showing	ig gain in column (h) and owned by t	he foundation on 12/31/69		(from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (ı) over col. (յ), ıf any		l. (h) gain over col. (k), ss than "-0-")
1				260.
		.,	,	248.
				2,228.
				773.
				112.
				1,063.
				518.
				1,145.
				419.
				502.
				258.
				<34.
				<205.
				<62.
				<412.
Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7	2	
Net short-term capital gain or (los	ss) as defined in sections 1222(5) and	· · · · · · · · · · · · · · · · · · ·		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8		J	3	
3591				

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) ITT INC P 09/05/14/10/03/16 b ITT INC P 07/08/1410/03/16 c AMDOCS LTD 07/14/1509/27/16 P d AMDOCS LTD P 01/27/1409/27/16 e AMDOCS LTD P 01/27/1409/22/16 1 DANAHER CORP P 07/14/1509/15/16 g DANAHER CORP 07/10/1509/15/16 P 07/10/1509/14/16 h DANAHER CORP P 01/30/1509/14/16 DANAHER CORP P ALLSTATE CORP P 12/17/1409/14/16 12/17/1409/14/16 k ALLSTATE CORP P I DANAHER CORP P 11/11/1309/14/16 m DANAHER CORP 11/11/1309/13/16 P n CF INDUSTRIES HOLDINGS P 07/14/1509/12/16 06/10/1508/30/16 O APPLIED MATERIALS INC P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) (e) plus (f) minus (g) plus expense of sale 1,783. 2,444. <661.> a 4,837.3,637. <1.200.>þ 1,570.45. 1,525. C 2,791. 2,030. 761. d 485. 1,712. $1,\overline{227}$ 2,150. 1,880. 270. 1,997. 289. 1,708. g 281. 1,989. 1,708. h 1,530. 1,263. 267. 2,589. 2,572. <17.> 68. 68. 0. 40. 153. 113. 1 3,319. 896. 2,423. m 607. <903.> 1,510. n 2,365 3,523. 1,158. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any <661.> a <1,200.> b 45. C 761. d 485. е 270. 289. g 281. h 267. <17.> 0. 40. 896. m <903·> n 1,158. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

1a ANTHEM INC

c ANTHEM INC

d ANTHEM INC

e ANTHEM INC

f ANTHEM INC

Part IV | Capital Gains and Losses for Tax on Investment Income

b DISCOVER FINANCIAL SERVICES

g SHERWIN-WILLIAMS CO/THE

h SHERWIN-WILLIAMS CO/THE

I SHERWIN-WILLIAMS CO/THE

k SHERWIN-WILLIAMS CO/THE

0 SHERWIN-WILLIAMS CO/THE

I VALERO ENERGY CORP

m VALERO ENERGY CORP

n VALERO ENERGY CORP

SHERWIN-WILLIAMS CO/THE

(a) List and describe the kind(s) of property sold, e.g., real estate,

2-story brick warehouse; or common stock, 200 shs. MLC Co.

CONTINUATION FOR 990-PF, PART IV 20-4356938 PAGE 28 OF 42 (b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (d) Date sold (mó., day, yr.) 07/14/1508/19/16 P P 05/06/1508/19/16 12/04/1408/19/16 P 09/30/1408/19/16 P 09/30/1408/18/16 P P 09/05/1408/18/16 P 07/14/1508/11/16 P 10/15/1408/11/16 $\overline{\mathtt{P}}$ 10/15/1408/10/16 01/27/1408/10/16 P P 01/27/1408/09/16 P 07/14/1508/05/16 P 01/08/1508/05/16

P

P

12/03/1408/05/16

01/27/1408/05/16

_				
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,550.		1,914.	<364.>
b	3,467.	·	3,516.	<49.>
c	1,550.	-	1,531.	19.
d	258.		240.	18.
е	2,987.		2,759.	228.
f	2,338.		2,124.	214.
g	2,078.		1,987.	91.
h	594.		408.	186.
$\overline{}$	2,369.		1,631.	738.
<u> </u>	296.		190.	106.
k	1,779.		1,141.	638.
$\overline{1}$	1,501.		1,867.	<366.>
m	1,769.		1,638.	131.
n	697.		672.	25.
0	2,097.		1,332.	765.
$\overline{}$	Complete only for accets chouses	gain in column (b) and award by	the foundation on 40/04/00	

Complete only for assets showing	g gain in column (n) and owned by	the loundation on 12/31/69	(I) Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (ı) over col. (ı), ıf any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<364.>
b			<49.>
С			19.
d			18.
е			228.
f			214.
g			91.
h			186.
1			738.
1		-	106.
k	_		638.
1			<366.>
m			131.
n			25.
0			765.

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 } If (loss), enter "-0-" in Part I, line 7 }
Net short-term capital gain or (loss) as defined	in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

(9) Int and describe the kundfol of property soid, e.g., real estate, 2-bits year weedloses; or common stock, 200 shes, ML Co. 14 VALERO ENERGY CORP 15 VALERO ENERGY CORP 16 VALERO ENERGY CORP 2 P 1,726/1408/04/16 2 ANTHEM INC 2 P 39/05/1408/04/16 2 ANTHEM INC 3 P 108/05/1408/04/16 4 P 107/14/1508/03/16 5 PORTIVE CORP 4 P 07/14/1508/03/16 5 PORTIVE CORP 5 P 07/14/1508/03/16 6 PORTIVE CORP 6 P 07/14/1508/03/16 6 PORTIVE CORP 7 P 07/14/1508/03/16 6 PORTIVE CORP 8 P 07/14/1508/03/16 6 PORTIVE CORP 9 P 07/14/1508/03/16 6 PORTIVE CORP 1 P 08/05/1408/03/16 6 P 08/05/1	Part IV Capital Gains and Lo	sses for Tax on Investment Income			
B VALERO ENERGY CORP				l i i nicilasc	(c) Date acquired (d) Date sold (mo., day, yr.)
DVALERO ENERGY CORP	1a VALERO ENERGY	CORP			12/03/1408/04/16
C ANTHEM INC	b VALERO ENERGY	CORP			
d ANTHEM INC P 08/05/14(08/04/16 f PORTIVE CORP P 07/10/15(08/03/16 f PORTIVE CORP P 07/10/15(08/03/16 h ANTHEM INC P 08/05/14(08/03/16 h ANTHEM INC P 08/05/14(08/03/16 ANTHEM INC P 07/14/15(08/03/16 ANTHEM INC P 08/03/16 ANTHEM INC P	c ANTHEM INC			P	
FORTIVE CORP				P	
G PORTIVE CORP P 01/30/1508/03/16 NAMPHEM INC P 08/05/1408/03/16 NAMPHEM INC P 08/05/1408/03/16 P 08/05/1408/03/16 P 08/05/1408/03/16 P 08/05/1408/03/16 P 08/05/1408/03/16 P 07/14/150/7/28/16 P 07/14/150/7/28/16 P 07/14/150/7/28/16 NAMPHENOL CORP-CL A P 07/14/150/7/28/16 NAMPHENOL CORP-CL A P 07/14/150/7/28/16 P 07/14/150/7/28			· · · · · · · · · · · · · · · · · · ·	P	07/14/1508/03/16
n ANTHEM INC				P	07/10/1508/03/16
ANTHEM INC					
PORTIVE CORP P 11/11/1308703716					
RAMPHENOL CORP-CL A		<u> </u>			
I AMPHENOL CORP-CL A					
MAMPHENOL CORP-CL A					
n AMPHENOL CORP-CL A P 10/30/13 07/27/16 O AMPHENOL CORP-CL A P 10/30/13 07/27/16 P 10/30/13 07/26/16 P 10/30/13/26 P 10/30/13/26 P 10/30/13/26 P 10/30/13/26 P 10/30/13/26 P 10/30/13					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the f					
(e) Gross sales price (f) Depreciation allowed (g) Cost or other basis (e) plus (f) minus (g) (or allowable) (or allowable) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (f) minus (g) (l) Expense of sale (l) plus (l) plus (l)					
Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69 Complete only for assets showing gain in column (h) and owned by the foundation on 1231/69	6 AMPHENOL CORP-				
Dec 10 10 10 10 10 10 10 1			plus expense of sale		olus (f) minus (g)
C 8888					
d 508 442 66 66 66 679 600 791 171 171 172 172 172 171 171 171 172 172 172 171 171 172 171 172					
B					
1					
Second Second					
Net short-term capital gain or (loss) as defined in sections 1 (221 (s) and size a	405				
1					
1					
Record R					
1				-	
The standard column The standard column					
Natishort-term capital gain or (loss) as defined in sections 1222(5) and (6): Natishort-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 7 or 10 and 1231 and 12					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (k), but not less than "-0-") Sains (excess of col. (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (h) gain over col. (h), but not less than "-0-" (Complete only for assets shown	ng gain in column (h) and owned by	the foundation on 12/31/69	(1) Los	
Description C	(i) F.M.V. as of 12/31/69			Gains (excess	of col. (h) gain over col. (k),
c 62. d 66. e 79. f 171. g 82. h 511. ! 258. k 43. ! 51. m 566. n 615. o 131. 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } { If (loss), enter "-0." in Part I, line 7 } 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): } If gain, also enter in Part I, line 8, column (c).	a				84.
Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 Capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). Capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). Capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). Capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6): Capital gain or (loss) as defined in sections 1222(5) and (6):	b				110.
Part Part	С				
171. g	d				
Section Sect	<u>e</u>				
Since Sinc	f				
17. 1					
258 k 43 43 1 1 1 1 1 1 1 1 1	<u>h</u>				
k 43. I 51. M 566. n 615. Capital gain net income or (net capital loss) { f gain, also enter in Part I, line 7 1 1 1 1 1 1 1 1 1	<u> </u>	-w			
S1. S66. S	1				
m 566. n 615. Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	K			 	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	m				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).		r If gain, also enter	in Part I, line 7		131+
If gain, also enter in Part I, line 8, column (c).	, -		. 1	2	
	If gain, also enter in Part I, line 8,	column (c).	d (0).	3	

(a) Let and	describe the kind(s) of property sol	d a g real actata	(b) How acquired (c) Date acquired (d) D	ata a a lel
2-story hr	ick warehouse; or common stock, 2	u, e.y., rearestate, On shs MiC Co	I I DIOIGOO I (ma day yr) I (ma	ate sold day, yr.)
			D Donagin	
1a AMPHENOL CORP-			P 10/25/1307/	
b AMPHENOL CORP-			P 04/09/13 07/2	
		N PORTFOLIO	P 12/14/1007/	
d AMPHENOL CORP-			P 04/09/13 07/	25/16
e AMPHENOL CORP-	CL A		P 03/14/1307/	25/16
f AMPHENOL CORP-	CL A		P 02/11/1307/	
g ANSYS INC			P 07/14/1507/	
h ANSYS INC			P 10/21/1407/	
ANSYS INC			P 10/02/1407/	
ANSYS INC				
				20/16
k ANSYS INC				20/16
ANSYS INC				20/16
m COSTCO WHOLESA			P 08/21/13 07/	18/16
n COSTCO WHOLESA	LE CORP		P 06/18/1307/	18/16
0 SERVICENOW INC			P 05/08/1406/	16/16
	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)	
(e) Gross sales price	(or allowable)	plus expense of sale	(e) plus (f) minus (g)	
a 2,368.		1,619.		749.
b 1,006.		616.		390.
c 472.		471.		1.
d 763.		471.		292.
e 1,174.	-	733.		441.
f 704.		423.		281.
4 040	· ·			
g 1,810.		1,861.		<51.>
h 2,263.		1,897.		366.
2,263.		1,902.		361.
2,263.		2,045.		218.
k 1,810.		1,692.		118.
1,267.		1,239.		28.
m 3,175.		2,137.	1	,038.
n 1,671.		1,117.		554.
0 4,041.		2,568.	1	,473.
	g gain in column (h) and owned by t		(I) Losses (from col. (h))	, 1750
	(j) Adjusted basis		Gains (excess of col. (h) gain over col. (k)	١
(i) F M.V. as of 12/31/69	as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	but not less than "-0-")	•
	40 01 120 1700		•	740
a		#\		749.
b				390.
C				<u> </u>
d				292.
<u>e</u>	_			441.
f				281.
g				<51.>
h				366.
1				361.
1		·-		218.
k				118.
				28.
<u> </u>				
m			1	,038.
n		<u>,</u>		554.
0			1	,473.
a Occadel es		in Part I. line 7		_
2 Capital gain net income or (net ca	pıtal loss) { if gaın, also enter if (loss), enter "-0	-" in Part I, line 7	2	
3 Net short-term capital gain or (los	s) as defined in sections 1222(5) an	d (6):		
If gain, also enter in Part I, line 8,	column (c).	PΙ		
If (loss), enter "-0-" in Part I, line 8		J	3	

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold, e.g., real estate (c) Date acquired (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mó., day, yr.) 1a MICROSOFT CORP 10/27/1406/09/16 b MICROSOFT CORP P 10/08/1406/09/16 c CF INDUSTRIES HOLDINGS INC 04/16/1506/08/16 P d DR PEPPER SNAPPLE GROUP INC P 07/15/1406/07/16 e DR PEPPER SNAPPLE GROUP INC P 07/02/1406/07/16 1 DR PEPPER SNAPPLE GROUP INC P 07/01/1406/07/16 q UNITEDHEALTH GROUP INC 02/24/1506/02/16 P h UNITEDHEALTH GROUP INC P 01/13/1506/02/16 UNITEDHEALTH GROUP INC P 12/23/1406/02/16 | ESTEE LAUDER COMPANIES-CL P 06/25/1406/01/16 k ESTEE LAUDER COMPANIES-CL P 06/25/1405/31/16 ESTEE LAUDER COMPANIES-CL A P 05/28/1405/31/16 m INTUITIVE SURGICAL INC P 04/23/1405/31/16 n INTUITIVE SURGICAL INC P 03/28/1405/31/16 o INTUITIVE SURGICAL 03/28/1405/27/16 INC P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,344. 1,193 151. a 724. 640. 84. þ 2,690.4,958. $\overline{<2,268.}>$ C 736. 474. d 262. 825. 2,299. 1,474. е 1,195. 768. 427. 3,253. 481. 2,772. g 2,576. 586. 1,990. h 1,898. 1,439 <u>459.</u> 3,135. 2,546 589. 1,469.1,198. 271. k 2,020. 1,666. 354. 634. 378. 256. m 2,535. 739 796. п 2,546. 0 739 807. Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (ı) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col (1), if any 151. a b 84. <2,268.>C 262. d 825. е 427. 481. 586. h <u>459.</u> 589. 271. k 354. 256. m 796. n 807. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Capital Gaine and Cobood for tax on invocations inc	VIII V	
(a) List and describe the kind(s) of propert 2-story brick warehouse; or common sto	(b) How acquired (c) Date acquired (d) Date sold (mo., day, yr.)	
	CK, 200 SIIS. MEG GO.	D Donadon
12 AMDOCS LTD		P 01/27/1405/26/16
b AMDOCS LTD		P 01/27/14/05/26/16
c MICROSOFT CORP		P 10/08/14/05/23/16
d MICROSOFT CORP		P 08/04/14/05/23/16
e VISA INC - CLASS A SHRS	<u> </u>	P 08/01/13/05/23/16
f VISA INC - CLASS A SHRS		P 02/25/1305/23/16
g LYONDELLBASELL INDU-CL A		P 02/19/1505/19/16
h MONSTER BEVERAGE CORP	-	P 05/09/1405/19/16
i CVS HEALTH CORP	P 01/15/1405/18/16	
J CVS HEALTH CORP		P 11/20/13 05/18/16
k DANAHER CORP		P 11/11/13 05/16/16
I DANAHER CORP		P 11/11/13 05/16/16
m DANAHER CORP		P 11/06/13 05/16/16
n DANAHER CORP		P 04/10/13 05/16/16
0 ROSS STORES INC	 -	P 04/15/1505/12/16
(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)
(e) Gross sales price (i) Depreciation anowed (or allowable)	plus expense of sale	(e) plus (f) minus (g)
a 5,055.	3,723.	1,332.
b 57.	42.	15.
c 1,816.	1,646.	170.
d 807.	689.	118.
e 1,551.	880.	671.
f 465.	239.	226.
g 3,305.	3,698.	<393.>
n 1,188.	530.	658.
1,013.	684.	329.
1 520	984.	536.
1,520. k 392.	297.	95.
1 98.	74.	24.
2 450	1,825.	625.
606	430.	256.
0 554	2,443.	111.
Complete only for assets showing gain in column (h) and owner	- No. 2	
	·	(I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	but not less than "-0-")
	3731 331. ()), 11 4119	1,332.
<u>a</u>		15.
<u>b</u>		170.
C		
d		118.
<u>e</u>		
f		226.
9		<393.>
h		658.
1		329.
		536.
<u>k</u>		95.
1		24.
m		625.
n		256.
0		111.
	anter in Part I line 7	
2 Capital gain net income or (net capital loss) { if gain, also if (loss), ent	enter in Part I, line 7 er "-0-" in Part I, line 7	2
3 Net short-term capital gain or (loss) as defined in sections 1222(<u> </u>	
If gain, also enter in Part I, line 8, column (c).	}	
If (loss), enter "-0-" in Part I, line 8	J	3

	d describe the kind(s) of property sol rick warehouse; or common stock, 2	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a MEDTRONIC PLC	· · · · · · · · · · · · · · · · · · ·	P	01/27/1505/11/16	
b DOLLAR GENERAL	CORP	<u> </u>	P ·	07/31/1405/11/16
c DOLLAR GENERAL			P	07/31/1405/11/16
d DOLLAR GENERAL			P	07/28/1405/11/16
e CF INDUSTRIES		<u>-</u>	P	04/16/1505/10/16
			P	
		<u> </u>		04/13/1505/10/16
g LYONDELLBASELL		.,	P	02/19/1505/10/16
h LYONDELLBASELL		P	05/13/1305/10/16	
LYONDELLBASELL			P	12/05/1205/10/16
j LYONDELLBASELL			P	12/05/1205/03/16
k LYONDELLBASELL	INDU-CL A		P	10/18/12/05/03/16
BALL CORP		<u> </u>	P	11/26/1404/28/16
m BALL CORP			P	02/28/1404/28/16
n PEPSICO INC			P	01/27/1404/28/16
MONSTER BEVERA	GE CORP		P	05/09/1404/21/16
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		n) Gain or (loss) plus (f) minus (g)
a 3,006.		2,720.		286.
b 1,983.		1,341.		642.
с 83.		56.		27.
d 1,404.		961.		443.
e 1,656.	-	3,208.		<1,552.>
1 2,860.		5,412.		<2,552·>
g 1,884.		2,074.		<190.>
h 2,048.		1,542.		506.
1,556.		922.		634.
0 1 5 0		1,261.		897.
$\frac{1}{k} \qquad \frac{2,158}{415}.$		277.		138.
1 4,004.		3,622.		382.
m 1,674.		1,276.		398.
n 3,490.		2,801.		689.
$\frac{1}{0}$ 2,001.		1,060.		941.
	l ng gain in column (h) and owned by t			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	sses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
2	40 01 120 1/00	over don (),, it dily		286.
b				642.
C				27.
d				443.
e		-	 .	<1,552.>
1	-			<2,552.>
				<2,332.> <190.>
g h			· 	506.
 -				634.
				897.
J .				
k				138.
				382.
<u>m</u>				398.
n .				689.
0				941.
2 Capital gain net income or (net ca	apıtal loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7 -" in Part I, line 7	2	
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8,	column (c).	d (6):		_
If (loss), enter "-0-" in Part I, line I	8 <u> </u>		3_	

THE HAVEN FOUNDATION 20-4356938 34 OF 42 PAGE Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 12 MONSTER BEVERAGE CORP 05/09/1404/21/16 b MONSTER BEVERAGE CORP P 03/10/1404/21/16 C BERNSTEIN INTERMEDIATE DURATION PORTFOLIO P 12/14/1004/20/16 P 03/31/1504/15/16 d L BRANDS INC P e L BRANDS INC 03/25/1504/15/16 f ALTRIA GROUP INC P 10/01/1404/15/16 g ROSS STORES INC P 04/09/1504/14/16 h CVS HEALTH CORP P 11/20/1304/14/16 02/28/1403/21/16 P BALL CORP | GAMESTOP CORP-CLASS A P 02/03/1403/18/16 k BALL CORP P 02/28/1403/11/16 02/03/1403/11/16 | BALL CORP P m GAMESTOP CORP-CLASS A P 02/03/1403/09/16 P 01/27/1403/09/16 n SHERWIN-WILLIAMS CO/THE 01/08/1403/09/16 O GAMESTOP CORP-CLASS A P (h) Gain or (loss) (f) Depreciation allowed (g) Cost or other basis (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) <u>59.</u> 125. a 66. 2,001. 1,173.828. b 561. 572. <11.> C 3,021. 3,506. <u><485.</u>> d 163. <26.> 189. 383. 1,532. 1,149. 71. 794. 723. g 819. 2,327. 1,508. h 1,941. 2,444. 503. 1,966. 2,131. <165.> 2,330. 536. 2,866. k 136. 103. <u>33.</u> 2,698. 2,942. <244.> m 2,483. 1,712. 771. n 1,085. 1,579 $\overline{<494.}>$ 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), (i) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (1), if any 59. 828. b <11.> С $\overline{<485.}>$ d <26.> е 383. 71. 819. h 503. <165.> 536. 33. <244.> m 771. η $\overline{<494.}>$ 0 If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 2 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold (mo., day, yr.) (mo., day, yr.) 2-story brick warehouse; or common stock, 200 shs. MLC Co. D - Donation CORP-CLASS A 12/05/1303/09/16 1a GAMESTOP h ALPHABET 10/23/1203/07/16 INC-CL A P 04/30/1403/01/16 P c FACEBOOK INC-A 04/07/1403/01/16 d FACEBOOK INC-A P e ALPHABET INC-CL P 10/23/1203/01/16 P 02/03/1402/25/16 f BALL CORP g PRICELINE GROUP P 04/01/1302/23/16 INC/THE P 06/18/1302/18/16 h GILEAD SCIENCES INC GILEAD SCIENCES P 10/18/1202/18/16 INC ALLSTATE CORP P 12/17/1402/17/16 03/28/1402/17/16 k INTUITIVE SURGICAL INC P 04/10/1302/17/16 I DANAHER CORP P P 10/18/1202/17/16 m DANAHER CORP n MURPHY OIL CORP P 07/23/1402/12/16 10/01/1402/05/16 O ALTRIA GROUP INC (g) Cost or other basis (h) Gain or (loss) (f) Depreciation allowed (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) <390.> 837 1,227. а 2,271. 4,320. 2,049. b 1,531. 818. 713. C 472. 984. 512. d 789. $\overline{1,472}$ 683. е 692. 3,058. 2,366. 574. 695. 1,269. g 653. 873. 1,526. h 1,164. 1,885. 721. 5,403. 5,655. <252.> 3,223.2,609. 614. 1,567. 1,106. 461. 1,290. 799. 2,089. m <6,370.> 2,061. 8,431. n 1,884. 2,429. 545. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) but not less than "-0-") (i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any <390.> a 2,271. b 713. C 472. d 789. е 692. 574. 653. h 1,164. <252.> 614. 461. 799. m <6,370.>n 545. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Lo	sses for Tax on Investment Income			
	d describe the kind(s) of property sol		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
	NC		P	10/01/1402/03/16
	NC		P	10/01/1402/03/16
	NC NC		P	01/27/1402/03/16
d UNION PACIFIC			P	
				01/27/1402/03/16
e AB GLOBAL BOND			P	01/27/1402/02/16
f UNION PACIFIC			P	01/27/1402/02/16
g BERNSTEIN INTE		N PORTFOLIO	P	12/14/1002/02/16
	RMEDIATE DURATIO	N PORTFOLIO	P	12/14/10/01/27/16
HESS CORP			P	03/05/1401/26/16
, HESS CORP			P	02/20/1401/26/16
k HESS CORP			P	01/10/1401/26/16
UNION PACIFIC	CORP		P	01/27/1401/22/16
m F5 NETWORKS IN			P	02/26/1401/21/16
n ADVANSIX INC	 		P P	01/01/0110/27/16
o FORTIVE CORP			P	01/01/0107/26/16
0 TORTIVE CORE	(6) Depression allowed	(a) Cook on other book		
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		a) Gain or (loss) plus (f) minus (g)
a 3,461.		2,665.		796.
ь 60.		46.		14.
c 179.		111.		68.
d 2,143.		2,560.		<417.
e 25,165.		25,441.		<276.
f 2,461.		2,902.		<441.
04 025		25,685.		<850.
4.0.0		415.		<15.
706.		1,607.	· <u>-</u>	<901.>
882.		2,025.		<1,143.>
k 1,447.		3,315.		<1,868.
1,772.		2,133.		<361.
m 1,894.		2,376.		<482.
n 5.				5.
0 25.				25.
Complete only for assets showing	ng gain in column (h) and owned by	the foundation on 12/31/69	(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		of col. (h) gain over col. (k), not less than "-0-")
a				796.
b	 	 		14.
C .				68.
d	<u> </u>	 		<417.
		 		<276.
<u>e</u>		 		
<u> </u>		ļ		<441.
9				<850.
h				<15.
l .				<901.
J				<1,143.
k				<1,868.
1		1		<361.
m				<482.
n		 	<u> </u>	5.
0		 - - 		25.
	I f gain, also ente	r in Part L line 7		
2 Capital gain net income or (net ca	ipital loss) \ If (loss), enter "-(0-" in Part I, line 7 /	2	
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line 8		na (6):	3	
, , , , , , , , , , , , , , , , , , , ,				

Part IV Capital Gains and Lo	sses for Tax on Investment Income							
	d describe the kind(s) of property solo		(b) How acquired (c) Date acquired (d) Date sold (P - Purchase (mo., day, yr.) (mo., day, yr.)					
	rick warehouse; or common stock, 20		D Dollation					
	OOMBERG BARCLAYS	INTER TERM CORPOR						
	OOMBERG BARCLAYS							
	OOMBERG BARCLAYS	INTER TERM CORPOR						
	OOMBERG BARCLAYS	INTER TERM CORPOR						
	OOMBERG BARCLAYS	INTER TERM CORPOR						
	OOMBERG BARCLAYS	INTER TERM CORPOR						
h PIMCO ETF TR TOTAL RETURN ACTIVE ETF P 10/12/1512/28/16								
	PIMCO ETF TR TOTAL RETURN ACTIVE ETF P 10/12/1512/28/16							
_ 	OTAL RETURN ACTI		P 07/14/1512/28/16					
k PIMCO INVESTME			C P 12/29/15/12/28/16					
PIMCO INVESTME			P 12/31/15 12/28/16					
m PIMCO INVESTME			C P 01/29/16 12/28/16					
n PIMCO INVESTME			C P 02/29/1612/28/16					
o PIMCO INVESTME	NT GRADE CORPORA	TE BOND FUND INST	P 11/30/16 12/28/16					
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)					
(e) aloss sales place	(or allowable)	plus expense of sale	(e) plus (f) minus (g)					
a 99,841.		101,585.	<1,744.>					
ь 3,370.		3,428.	<58·>					
c 3,370.		3,428.	<58.>					
d 3,370.		3,428.	<58.>					
e 3,370.		3,428.	<58·>					
f 3,370.		3,428.	<58. >					
g 3,370.		3,428.	<5 8. >					
h 41,236.		42,603.	<1,367.>					
14,541.		15,018.	<477.>					
87,661.		90,781.	<3,120.>					
k 1,205.		1,173.	32.					
596.		581.	15.					
m 471.		460.	11.					
n 515.		504.	11.					
0 509.		523.						
	t ng gain in column (h) and owned by t		(I) Losses (from col. (h))					
	(j) Adjusted basis	(k) Excess of col. (I)	Gains (excess of col. (h) gain over col. (k),					
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	but not less than "-0-")					
	455.125.755	0.00.00.00.00.00.00.00.00.00.00.00.00.0	<1,744.>					
<u>a</u>			<58.>					
b			<58.>					
<u>c</u>		<u> </u>	<58.> <58.>					
d			<58.>					
· <u>e</u>	<u> </u>							
f			<58.>					
g			<58.>					
<u>h</u>			<1,367.>					
1			<477.>					
1			<3,120.>					
k			32.					
1			15.					
m			11.					
n			11.					
0			<14.>					
	. If any aloc enter	in Part Line 7						
2 Capital gain net income or (net ca	apıtal loss) { If gain, also enter If (loss), enter "-0	In Part I, line 7 -" in Part I, line 7 }						
3 Net short-term capital gain or (loc	ss) as defined in sections 1222(5) an							
If gain, also enter in Part I, line 8,	, column (c).	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \						
If (loss), enter "-0-" in Part I, line		J a						
								

CONTINUATION FOR 990-PF, PART IV 20-4356938 PAGE 38 OF 42

Valent Capital Callo and Eco	boco for tax on investment mount		
	describe the kind(s) of property solick warehouse; or common stock, 2		(b) How acquired (c) Date acquired (d) Date sold (mo., day, yr.)
1a PIMCO INVESTMEN	<u> </u>		D-Dolladoli
b PIMCO INVESTMEN			
c PIMCO INVESTMEN			
d PIMCO INVESTMEN			
e PIMCO INVESTMEN			
† PIMCO INVESTMEN			
g PIMCO INVESTMEN			
h PIMCO INVESTMEN			
PIMCO INVESTME			
j PIMCO INVESTME			
k PIMCO INVESTME			
PIMCO INVESTME			
m PIMCO INVESTME			
n PIMCO INVESTME		TE BOND FUND INST	
o PIMCO INVESTME	NT GRADE CORPORA	TE BOND FUND INST	C P 07/29/1612/28/16
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)
	(or allowable)	plus expense of sale	(e) plus (f) minus (g)
a 500.	•	499.	1.
b 500.		504.	<4.>
c 497.	· -	502.	<5.>
d 488.	******	502.	<14.>
e 464.		478.	<14.>
f 508.		529.	<21.>
g 475.		495.	<20.>
h 1,279.		1,256.	23.
1,180.	 -	1,160.	20.
306.		309.	<3.>
k 504.		511.	<7.>
1 440.		449.	<9.>
m 56,627.		57,821.	<1,194.>
n 14.		14.	0.
0 480.	.,.	500.	<20.>
	g gain in column (h) and owned by		(I) Losses (from col. (h))
	(i) Adjusted basis	(k) Excess of col. (i)	Gains (excess of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	but not less than "-0-")
a		, , ,	1.
b	·		<4.>
c			<5.>
d			<14.>
e			<14.>
f			<21.>
			<20.>
g h			23.
	-		20.
1			<3·>
J V		<u> </u>	<7.>
<u>k</u>			<9.>
<u></u>		<u> </u>	<1,194.>
<u>m</u>			
n	·	 	
0		D	<20.>
2 Capital gain net income or (net cap	pital loss) { if gain, also enter "-(n Part I, line 7 -" in Part I, line 7 }	2
3 Net short-term capital gain or (los: If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).	d (6):	3
(1000); 011101 0 1111 0111; 11115 0	,	<i>)</i>	o j

THE HAVEN FOUNDA	TION	20-43	<u> 5693</u>	38 I	PAGE 39 OF 42
Part IV Capital Gains and Lo	sses for Tax on investment income				
(a) List and	d describe the kind(s) of property solo	d, e.g., real estate,	- (b) How acquired	[(e) bate acquired (a) bate colo
	rick warehouse; or common stock, 20			P - Purchase D - Donation	(mo., day, yr.) (mo., day, yr.)
1a PIMCO INVESTME	NT GRADE CORPORA	TE BOND FUND INS	r d	P	08/31/1512/28/16
	NT GRADE CORPORA			P	06/29/1512/28/16
c ISHARES TR U S		TE BOND FOND IND	* 9	P	06/29/1512/28/16
d ISHARES TR U S				P	06/29/1512/28/16
e ISHARES TR U S				P	06/29/1512/28/16
f ISHARES TR U S	CR BD ETF		1	P	06/29/15/12/28/16
g ISHARES TR MSC	I EUROPE FINL SE	CTOR ETF		P	06/30/1609/01/16
h ISHARES TR CHI	NA LARGE-CAP ETF			P	05/02/1609/01/16
BARCLAYS BK PL		VIX SHORT TERM F	וזיינו	P	03/17/1606/24/16
WISDOMTREE TR				P	06/29/1505/18/16
	SPDR TR TECHNOLO			<u>P</u>	06/29/15/05/18/16
	NITED KINGDOM ET			P	06/29/1505/18/16
m ISHARES MSCI U				P	06/29/1505/18/16
	JAPAN HEDGED EQU			P	06/29/1505/02/16
o SELECT SECTOR	SPDR TR FINANCIA	L		P	06/29/1505/02/16
	(f) Depreciation allowed	(g) Cost or other basis		(1	n) Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale			plus (f) minus (g)
a 440.	,	452.		. , ,	<12.>
					<2,426.>
b 88,142.		90,568.			
c 54,186.		54,068.			118.
d 10,837.		10,816.			21.
e 10,729.		10,708.			21.
f 759.		757.			2.
g 32,528.		28,656.			3,872.
h 23,707.		21,239.			2,468.
26 622		35,367.			<8,745.>
		35,367.			
53,840.		65,733.			<11,893.>
k 39,525.		39,005.			520.
37,331.		43,578.			<6,247.>
m 19,231.		22,457.			<3,226.>
n 46,635.		64,542.			<17,907.>
0 37,082.		39,030.			<1,948.>
	ng gain in column (h) and owned by t			(I) I o	sses (from col. (h))
					of col. (h) gain over col. (k),
(i) F.M V. as of 12/31/69	(j) Adjusted basis	(k) Excess of col. (i)			not less than "-0-")
	as of 12/31/69	over col. (j), if any			<u> </u>
a					<12.>
b					<2,426.>
С					118.
d					21.
e					21.
f					<u>21.</u>
g					3,872.
<u>h</u>					2,468.
<u> </u>					<8,745.>
]					<11,893.>
k					520.
1				_	<6,247.>
<u>·</u>	· · · · · · · · · · · · · · · · · · ·				<3,226.>
<u>m</u>					
n					<17,907.>
0					<1,948.>
_	- If any also enter	un Part I line 7			
2 Capital gain net income or (net ca	apıtal loss) { If gain, also enter If (loss), enter "-0)-" in Part I, line 7	2		
2 Not chart term contains and					
If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) an	lu (0).			
If (loss), enter "-0-" in Part I, line		ſ	3		
(1000), onto					

Part IV - Capital Gains and Lo	sses for Tax on Investment Income		
(a) List and 2-story b	I describe the kind(s) of property sol- rick warehouse; or common stock, 2	d, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase (mo., day, yr.) (mo., day, yr.)
	TF TR TR UNIT		P 06/29/1505/02/16
	TF TR TR UNIT		P 07/20/15/05/02/16
c BROOKFIELD GLO		ESTATE FUND CLASS	Y P 09/22/15/04/11/16
d BROOKFIELD GLO			Y P 12/22/15/04/11/16
e BROOKFIELD GLO			Y P 12/22/15/04/11/16
f BROOKFIELD GLO			Y P 03/22/1504/11/16
			<u> </u>
h MARKET VECTORS			P 06/29/1503/10/16
MARKET VECTORS			P 06/29/1503/10/16
		UITY FD	P 06/29/1502/22/16
		UITY FD	P 06/29/1502/22/16
		UITY FD	P 06/29/15/02/22/16
		UITY FD	P 06/29/1502/22/16
		UITY FD	P 06/29/15/02/22/16
0 WISDOMTREE TR	EUROPE HEDGED EQ	UITY FD	P 06/29/15 02/22/16
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 104,059.		104,920.	<861.>
b 9,516.		9,775.	<259.>
c 1,209.		1,158.	51.
d 1,204.		1,164.	40.
e 621.		600.	21.
f 1,224.		1,206.	18.
g 118,053.		117,487.	566.
h 20,892.		18,963.	1,929.
4,002.		3,634.	368.
2,653.		3,350.	<697.>
k 8,611.		10,871.	<2,260.>
701.		885.	<184.>
m 1,151.		1,454.	<303.>
n 1,802.		2,275.	< <u>473.</u> >
0 2,754.		3,476.	<722.>
Complete only for assets shown	ng gain in column (h) and owned by	the foundation on 12/31/69	(I) Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<861.>
b			<259.>
С			51.
d			40.
е			21.
f			18.
g			566.
h			1,929.
			368.
J			<697.>
k			<2,260.>
1			<184.>
<u>m</u>			<303.>
n			<473.>
0	<u> </u>		<722.>
2 Capital gain net income or (net ca	apital loss) { If gain, also enter "-(r in Part I, line 7)-" in Part I, line 7	
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line		ad (6):	
			- L

Part IV Capital Gains and Lo	osses for Tax on investment income			
	d describe the kind(s) of property solo crick warehouse; or common stock, 20		(b) How acquired P - Purchase	(c) Date acquired (d) Date sold (mo., day, yr.)
			D - Donation	<u> </u>
	EUROPE HEDGED EQ		P	06/29/1502/22/16
		UITY FD	P	06/29/1502/22/16
		UITY FD	P	06/29/1502/22/16
		CYBER SEC ETF	P	07/16/15/02/17/16
		ITY FD	P	06/29/1502/16/16
		ITY FD	P	06/29/15/02/16/16
		ITY FD	P	06/29/15/02/16/16
	STRATEGIC INCOME		P	01/29/16/02/02/16
	STRATEGIC INCOME		P	12/31/15/02/02/16
	STRATEGIC INCOME		P	12/29/1502/02/16
	STRATEGIC INCOME		P	09/30/1502/02/16
	STRATEGIC INCOME		P	11/30/1502/02/16
	STRATEGIC INCOME		P	10/30/1502/02/16
	STRATEGIC INCOME		P	08/31/15/02/02/16
o GOLDMAN SACHS	STRATEGIC INCOME		P	06/30/1502/02/16
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		ı) Gaın or (loss) olus (f) mınus (g)
a 200.		253.		<53 . >
b 5,757.		7,269.		<1,512.>
c 10,013.		12,641.		<2,628.>
d 16,277.		25,044.		<8,767.>
e 12,237.		17,161.		<4,924.>
f 5,727.		8,032.		<2,305.>
g 6,634.		9,304.		<2,670.>
h 578.		619.		<41.>
557.		569.		<12.>
2,849.		2,912.		<63.>
k 441.	1	463.		<22.>
618.		649.		<31. >
m 525.		554.		<29.>
n 514.		544.		<30.>
0 21.		23.	•	<2.>
Complete only for assets show	ng gain in column (h) and owned by t	he foundation on 12/31/69	(I) Lo:	sses (from col. (h))
(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a				<53 . >
b	7.			<1,512.>
С		, ,, ,		<2,628.>
d				<8,767.>
е				<4,924.>
f				<2,305.>
g				<2,670.>
h				<41.>
1				<12.>
ī		· ···		<63.>
k				<22.>
<u> </u>			-	<31.>
m				<29·>
n				<30.>
0				<2·>
2 Capital gain net income or (net ca	apital loss) { If gain, also enter if (loss), enter "-0	in Part I, line 7	2	
,	ss) as defined in sections 1222(5) an		2	
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line	, column (c).	d (0).	3	
				

THE HAVEN FOUNDATION Part IV | Capital Gains and Losses for Tax on Investment Income

Part IV Capital Gains and Lo	sses for Tax on Investment Income				
(a) List and 2-story br	describe the kind(s) of property sol ick warehouse; or common stock, 2	d, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOLDMAN SACHS			P	07/31/15	
	STRATEGIC INCOME		P	06/29/15	
		P500 NEW JAN 2014	P P	10/08/15	
		VIX SHORT TERM FUT		10/08/15	
e CAPITAL GAIN D			P	01/01/16	
	IFIED ALTERNATIV	ES PORTFOLIO LP K-			12/31/16
	IFIED ALTERNATIV				12/31/16
	IFIED ALTERNATIV				12/31/16
	CAPITAL DEBT FU		P		12/31/16
CLARITY PRIVAT					$\frac{12}{12}$
k CLARITY PRIVAT					12/31/16
1		TO (CITIZEN) BE CEL			12/31/10
m				 	
n				 	
0				 	
	(f) Depreciation allowed	(g) Cost or other basis	/h) Gain or (loss)	
(e) Gross sales price	(or allowable)	plus expense of sale	(e) n	olus (f) minus (g)	
a 584.	· , , , , , , , , , , , , , , , , , , ,	624.	(-/ -	() () () ()	<40.>
b 196,014.		209,357.			<13,343.>
$\frac{s}{c}$ 40,520.		38,546.			1,974.
d 21,467.		19,161.			2,306.
e 14,699.		13,101.			14,699.
f 14,000.		2,094.			$\frac{14,099.}{<2,094.}$
		2,054.			2,239.
g 2,239. h 569.					569.
10			· · ·		15.
1 7.		,			7.
, , , , , , , , , , , , , , , , , , ,	7.				/•
1					
m					
n					
0					
	g gain in column (h) and owned by	the foundation on 12/31/69	(1)1 00	sses (from col. (h))	
	(j) Adjusted basis	(k) Excess of col. (i)	Gains (excess of	of col. (h) gain over	col. (k),
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	but n	ot less than "-0-")	()/
a			· · · · · · · · · · · · · · · · · · ·		<40.>
b -					<13,343.>
C	·				1,974.
d		 			2,306.
e			• • • •		14,699.
f		· · ·	·		$\frac{14,033.}{<2,094.}$
g		 			2,239.
h					569.
"		 			15.
· · · · · · · · · · · · · · · · · · ·					7.
k		 			1.
"					<u> </u>
m				··	
n					
0					
					
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0	r in Part I, line 7			<50,344.>
, ,			,		
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8,		ia (b):			
If (loss), enter "-0-" in Part I, line 8		<i>f</i> 3	.	N/A	
<u> </u>			·	-1/ 63	

FORM 990-PF INTERE	ST ON SAVING	S AND TEMPOR	RARY CASH IN	VESTMENTS :	STATEMENT
SOURCE		(A) REVENUE PER BOOK		(B) VESTMENT COME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFO CLARITY PRIVATE INC PORTFOLIO (CAYMAN)	LIO LP K-1	9,8	320.	9,820.	,
K-1 KCPS SANFORD BERNSTEIN	LP CLASS A	10,4	22.	1,197. 10,456. 22.	
US TRUST TOTAL TO PART I, LI	NE 3	66,2		66,202.	.1 .10
FORM 990-PF	DIVIDENDS	AND INTEREST	FROM SECUE	RITIES	STATEMENT
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOM	
CLARITY DIVERSIFIED ALTERNATIVES JPMORGAN	4,397.	0.	4,397.		
KCPS MADISON REALTY CAPITAL DEBT FUND III LP	36,043.	0.	36,043.	36,043	•
SANFORD BERNSTEIN US TRUST	14,921. 179,783. 24,012.	0. 0. 0.	14,921. 179,783. 24,012.	179,783	•
TO PART I, LINE 4	259,164.	0.	259,164.	259,164	•

FORM 990-PF	OTHER	INCOME		STATEMENT 3
DESCRIPTION		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNAT PORTFOLIO LP K-1 - ORDINARY		<1,238.>	<1,238.	
CLARITY DIVERSIFIED ALTERNAT		·		-
PORTFOLIO LP K-1 - ROYALTIES CLARITY DIVERSIFIED ALTERNAT	TVES	1.	1.	
PORTFOLIO LP K-1 - OTHER INC MADISON REALTY CAPITAL DEBT	OME	2,358.	2,358.	
III LP		2,466.	2,466.	
CLARITY PRIVATE INCOME PORTF (CAYMAN) LP CLASS A K-1		71.	71.	
CLARITY PRIVATE INCOME PORTF (CAYMAN) LP CLASS A K-1	OLIO	<259.>	<259.	_
GAIN FROM FOREIGN EXCHANGE		7.	7.	
TOTAL TO FORM 990-PF, PART I	, LINE 11	3,406.	3,406.	
FORM 990-PF	LEGA	L FEES		STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLI PURPOSES
COHEN TAUBER SPOEVACK &				
WAGNER	8,016	4,040.	,	3,976
TO FM 990-PF, PG 1, LN 16A	8,016	4,040.		3,976
FORM 990-PF	OTHER PROFE	SSIONAL FEES	 	STATEMENT !
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLI PURPOSES
INVESTMENTS FEES	66,100	. 66,100.	·	0
TO FORM 990-PF, PG 1, LN 16C	66,100	. 66,100.		

FORM 990-PF	TAX	ES		STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOM	
FOREIGN TAX WITHHELD US TREASURY CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	1,774. 10,000.			0.
K-1 - FOREIGN TAX WITHHELD	279.	279.		0.
TO FORM 990-PF, PG 1, LN 18	12,053.	2,053.		0.
FORM 990-PF	OTHER E	XPENSES		STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOM	
INSURANCE	1,838.	0.		1,838.
FILING FEE	750.	0.		750.
POSTAGE	486.	0.		486.
OFFICE EXPENSES CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	1,886.	0.		1,886.
K-1 - OTHER EXPENSE MADISON REALTY CAPITAL DEBT	12,040.	12,040.		0.
FUND III LP CLARITY PRIVATE INCOME	8,257.	8,257.		0.
PORTFOLIO (CAYMAN) LP CLASS A K-1	407.	407.		0.
TO FORM 990-PF, PG 1, LN 23	25,664.	20,704.		4,960.
FORM 990-PF	CORRORIA	T. GEOGR		GENERAL 0
FORM 990-PF	CORPORAT	E STOCK		STATEMENT 8
DESCRIPTION		ВО	OK VALUE	FAIR MARKET VALUE
STOCKS - SCHEDULE ATTACHED		1	0,779,662.	10,967,995.
TOTAL TO FORM 990-PF, PART I	I, LINE 10B	1	0,779,662.	10,967,995.

	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS			STATEMENT 9	
NAME AND ADDRESS		TITLE AND AVRG HRS/WK			EXPENSE
STEPHEN KING	685 THIRD	DIRECTOR			
C/O MARKS PANETH LLP, AVE. 5TH FL NEW YORK, NY 10017		1.00	0.	0.	0.
SUSAN GREENE		TREAS/ASST SECR/DIR			
C/O MARKS PANETH LLP, AVE. 5TH FL NEW YORK, NY 10017	685 THIRD	1.00	0.	0.	0.
MARK LEVENFUS		SECRETARY/ASST	TREAS		
C/O MARKS PANETH LLP, AVE. 5TH FL NEW YORK, NY 10017	685 THIRD	1.00	0.	0.	0.
FREDRIC M. SANDERS	LLP, 685 THIRD	PRESIDENT			
C/O MARKS PANETH LLP, AVE. 5TH FL NEW YORK, NY 10017		1.00	0.	0.	0.
ARTHUR B. GREENE	LLP, 685 THIRD	DIRECTOR			
AVE. 5TH FL NEW YORK, NY 10017		1.00	0.	0.	0.
DAVID RAPKIN	LLP, 685 THIRD	DIRECTOR			
AVE. 5TH FL NEW YORK, NY 10017		1.00	0.	0.	0 .
JOHN MCELROY C/O MARKS PANETH LLP,	COE MUITOD	DIRECTOR			
AVE. 5TH FL NEW YORK, NY 10017	•	1.00	0.	0.	0
MARSHA DEFILIPPO	COE MUITOD	DIRECTOR			
C/O MARKS PANETH LLP, LVE. 5TH FL NEW YORK, NY 10017	OOJ THIND	1.00	0.	0.	0
CHUCK VERRILL	685 THIRD	DIRECTOR			
C/O MARKS PANETH LLP AVE. 5TH FL NEW YORK, NY 10017		1.00	0.	0.	0
TOTALS INCLUDED ON 990	-PF, PAGE 6,	PART VIII	0.	0.	0

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL