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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation The Swan Family Chantable Foundation		A Employer identification number 20-3954964	
% Foundation Source			
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	
		B Telephone number (see instructions) (800) 839-1754	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377			
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,490,797		E If private foundation status was terminated under section 507(b)(1)(A), check here	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	138	138		
	4 Dividends and interest from securities . . .	285,763	285,763		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	165,463			
	b Gross sales price for all assets on line 6a 7,772,007				
	7 Capital gain net income (from Part IV, line 2) . . .		165,463		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 2,399	2,399		
	12 Total. Add lines 1 through 11	453,763	453,763		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	 45,840	45,840		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	 9,921	2,832		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	 35,372	963		34,409
	24 Total operating and administrative expenses. Add lines 13 through 23	91,133	49,635		34,409
	25 Contributions, gifts, grants paid	570,498			570,498
	26 Total expenses and disbursements. Add lines 24 and 25	661,631	49,635		604,907
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-207,868			
	b Net investment income (if negative, enter -0-)		404,128		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	129,021	216,141	216,141
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	548,548	555,411	550,190
	b	Investments—corporate stock (attach schedule)	5,195,169	3,647,126	3,828,525
	c	Investments—corporate bonds (attach schedule)	2,102,107	3,346,454	3,345,303
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,159,977	3,161,822	3,550,638
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,134,822	10,926,954	11,490,797	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	11,134,822	10,926,954	
	30	Total net assets or fund balances (see instructions)	11,134,822	10,926,954	
31	Total liabilities and net assets/fund balances (see instructions) .	11,134,822	10,926,954		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,134,822
2	Enter amount from Part I, line 27a	2	-207,868
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	10,926,954
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,926,954

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,772,007		7,606,544	165,463
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			165,463
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	165,463
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	594,737	12,045,651	0 049374
2014	575,399	12,110,613	0 047512
2013	155,062	12,245,659	0 012663
2012	90,152	2,280,498	0 039532
2011	89,357	1,870,179	0 04778
2 Total of line 1, column (d)			2 0 196861
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 039372
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 11,538,302
5 Multiply line 4 by line 3			5 454,286
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,041
7 Add lines 5 and 6			7 458,327
8 Enter qualifying distributions from Part XII, line 4			8 604,907

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	4,041
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	4,041
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,041
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	6,741
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	6,741
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	2,700
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 2,700 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► Foundation Source _____ Telephone no ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No	6b		No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No	7b		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Kenneth R Swan	Pres / Dir / Sec	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377	1 0			
Rebecca Swan	VP / Dir	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377	1 0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Total number of other employees paid over \$50,000.				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	7,261,745
b	Average of monthly cash balances.	1b	931,807
c	Fair market value of all other assets (see instructions).	1c	3,520,460
d	Total (add lines 1a, b, and c).	1d	11,714,012
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	11,714,012
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	175,710
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	11,538,302
6	Minimum investment return. Enter 5% of line 5.	6	576,915

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	576,915
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	4,041
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,041
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	572,874
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	572,874
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	572,874

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	604,907
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	604,907
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	4,041
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	600,866

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				572,874
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			593,007	
b Total for prior years 2014, 2013, 2012				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>604,907</u>				
a Applied to 2015, but not more than line 2a			593,007	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				11,900
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				560,974
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	570,498
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
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Form **990-PF** (2016)

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

Kenneth R Swan
Rebecca Swan

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CANCER SOCIETY 3513 10TH ST LUBBOCK, TX 79415	N/A	PC	Jack County Relay for Life Division	2,000
BIO-MEDICAL FOUNDATION 206 THORNTON TRL JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	20,000
CONCERNED CITIZENS OF JACK CO INC 400 E PINE ST JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	10,000
FAITH COMMUNITY HOSPITAL CHARITABLE FOUNDATION 717 MAGNOLIA ST JACKSBORO, TX 76458	N/A	SO I	Wellness Center	100,000
FRIENDS OF THE JACKSBORO ANIMAL SHELTER 227 E COLLEGE ST JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	1,000
GLADYS JOHNSON RITCHIE PUBLIC LIBRARY INC 626 W COLLEGE ST JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	5,000
JACK COUNTY FAIR ASSOCIATION PO BOX 486 JACKSBORO, TX 76458	N/A	PC	Bill 48 Fund	22,650
JACK COUNTY MUSEUM ASSOCIATION PO BOX 861 JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	5,000
JACKSBORO COMMUNITY POOL PROJECT 302 S MAIN ST JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	303,148
JACKSBORO ELEMENTARY SCHOOL 1677 N MAIN ST JACKSBORO, TX 76458	N/A	GOV	provide a leveled Library in all classrooms Fund	8,500
JACKSBORO HIGH SCHOOL EX- STUDENTS ASSOCIATION 101 N MAIN ST STE 200 JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	20,000
JACKSBORO PARISH PO BOX 716 JACKSBORO, TX 76458	N/A	PC	to fund a new curriculum and playground equipment for the mothers day out program	5,000
JACKSBORO YOUTH SPORTS ASSOCIATION 500 EASTER LN JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	30,000
JACKSBORO YOUTH SPORTS ASSOCIATION 500 EASTER LN JACKSBORO, TX 76458	N/A	PC	Jacksboro Youth Football Program	2,000
KIDS ON THE LAND INC 4004 GATEWAY DR STE 180 COLLEYVILLE, TX 76034	N/A	PC	Jack County Kids on the Land Division	1,000
Total ► 3a				570,498

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LIGHTS CHURCH PO BOX 16179 FORT WORTH, TX 76162	N/A	PC	in support of the Honduras Mission Trip	1,200
PROJECT 4031 INC 6100 WESTERN PL STE 911 FORT WORTH, TX 76107	N/A	PC	General & Unrestricted	10,000
RECOVERY OPTIONS NETWORK INC 32236 PASEO ADELANTO STE G SAN JUAN CAPISTRANO, CA 92675	N/A	PC	Pacific Hills Treatment Center	5,000
STANDING TOGETHER ON METH PREVENTION PO BOX 425 JACKSBORO, TX 76458	N/A	PC	General & Unrestricted	10,000
THE LIGHT OF JACKSBORO CHURCH INC 543 WESLEY CHAPEL RD JACKSBORO, TX 76458	N/A	PC	Loads of Love Project	5,000
WISE COUNTY DOMESTIC VIOLENCE TASK FORCE PO BOX 569 DECATUR, TX 76234	N/A	PC	General & Unrestricted	4,000
Total ► 3a				570,498

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: The Swan Family Charitable Foundation

EIN: 20-3954964

TY 2016 Investments Corporate Bonds Schedule**Name:** The Swan Family Charitable Foundation**EIN:** 20-3954964

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AFLAC INC SR NT - 3.625% - 06/	39,122	38,302
AVIS BUDGET CAR RENT LLC - 5.5	39,731	38,318
BARCLAYS BANK PLC L/O CYN RTY	350,001	351,049
CENTURYLINK INC NOTE - 6.450%	39,359	38,943
CONSOL ENERGY - 0.059% - 04/15	41,288	44,100
CREDIT SUISSE AG L/O TC-CYN RT	250,000	252,000
CREDIT SUISSE NY MTN - 12.000%	250,000	246,600
CREDIT SUISSE NY MTN - 12.500%	100,000	98,630
DISCOVER BK - 3.200% - 08/09/2	44,147	44,258
GOLDMAN SACHS GROUP - 2.625% -	46,546	46,495
GS FINANCE CORP L/O TC-CYN SPX	250,000	249,000
ICAHN ENTERPRISES LP - 6.000%	31,612	33,701
JEFFERIES GROUP INC - 6.875% -	47,640	47,780
JP MORGAN CHASE BANK - 0.000%	250,000	254,125
JP MORGAN CHASE BANK - 0.075%	250,000	252,875
JPMORGAN CHASE & CO - 0.000% -	300,000	303,000
KENNAMETAL INC - 2.650% - 11/0	37,187	36,797
KROGER CO SR NT - 3.400% - 04/	32,104	31,600
L-3 COMMUNICATIONS CORP - 4.75	33,386	31,892
LAZARD LLC - 0.043% - 11/14/20	39,863	39,777

Name of Bond	End of Year Book Value	End of Year Fair Market Value
LENDER PROCESSING SVCS INC - 5	40,360	39,805
LEVEL 3 FING INC - 5.375% - 08	39,831	40,268
LYONDELLBASELL INDUSTRIES NV -	38,835	38,558
MERRILL LYNCH & CO - 6.500% -	50,776	45,866
MICRON TECHNOLOGY INC - 5.875%	30,375	31,275
MORGAN STANLEY BD - 5.500% - 0	38,403	38,785
NRG ENERGY INC - 7.625% - 01/1	24,434	23,265
OWENS CORNING - 0.042% - 12/15	40,165	39,465
RAYMOND JAMES FINANCIAL - 0.03	40,245	39,009
TELEFONAKTIEBOLAGET LM ERICSS	39,337	38,135
THOMSON-REUTERS CO - 4.700% -	37,666	35,038
UBS AG LONDON BRH NT LKD 19 PF	300,000	305,099
VALERO ENERGY - 0.000% - 03/15	39,314	38,681
VERIZON COMMUNICATIONS INC - 4	31,155	31,033
WELLPOINT INC - 2.250% - 08/15	38,878	38,921
ZURICH REINS CENTRE HLDG INC -	44,694	42,858

TY 2016 Investments Corporate Stock Schedule

Name: The Swan Family Charitable Foundation
EIN: 20-3954964

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC	88,067	107,057
ADIDAS AG	15,937	16,574
ADOBE SYSTEMS, INC	70,599	75,051
ADVANSIX INC	381	598
ALPHABET INC CL A	56,527	77,660
AMBEV SA	13,282	13,448
AMERIPRISE FINANCIAL INC	75,249	88,419
BARCLAYS ETN PLUS SELECT MLP	499,456	510,752
BECTON DICKINSON & CO	74,208	73,173
BOEING CO	79,875	92,941
CANADIAN PACIFIC RAILWAY LTD	36,072	32,266
CHR HANSEN HOLDINGS	12,529	18,730
CHUBB LIMITED	28,700	38,975
COGNIZANT TECHNOLOGY SOLUTIONS	99,993	92,450
COLGATE-PALMOLIVE COMPANY	76,177	69,497
COLOPLAST AS ADR	21,508	22,054
COMCAST CORP	72,697	81,755
COMPASS GROUP PLC ADR	22,271	24,994
CORE LABORATORIES	28,113	28,089
CSL LTD	32,140	33,078
CTRIIP.COM INTERNATIONAL LTD	22,266	21,840
CVS CAREMARK CORP	78,567	69,914
DANAHER CORP	73,672	78,774
DSV UNSP ADR EACH REPR 0.5 ORD	22,156	22,523
ECOLAB INC	76,142	75,959
ESSILOR INTL ADR	19,315	19,842
EXPERIAN GROUP LTD S/ADR	28,826	31,147
FACEBOOK INC	72,672	70,756
HDFC BANK LTD ADR	21,711	22,694
HERMES INTL 10 ADR	16,039	18,319

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HILTON HOTELS CORP	74,315	93,323
HOME DEPOT INC	80,344	82,459
HONEYWELL INTL	71,560	78,199
ICON PLC - AMERICAN DEPOSITARY	15,061	17,146
INDUSTRIA DE DISEÑO	10,417	12,541
INTERCONTINENTAL EXCHANGE, INC	76,196	82,091
INVESCO PLC	72,031	77,792
LOWES COMPANIES INC	83,352	78,232
LUXOTTICA GP SPA	16,361	16,701
LVMH MOET HENN UNSP	21,101	23,215
MCKESSON CORP	82,112	67,276
MEDTRONIC PLC	74,778	65,247
MYLAN NV	82,561	78,513
NESTLE S.A	32,207	32,426
NOVOZYMES A/S UNSP/ADR	25,543	19,636
O'REILLY AUTOMOTIVE INC	73,185	78,233
PAREXEL INTERNATIONAL CORPORAT	67,677	75,118
RECKITT BENCKISER GROUP	34,344	34,474
RED HAT, INC	71,245	68,236
ROCKWELL AUTOMATION INC	74,433	87,629
ROCKWELL COLLINS INC	84,089	86,360
SGS SA UNSP ADR	22,736	22,883
SHOPRITE HOLDINGS LTD	17,699	17,980
STARBUCKS CORP COM	81,625	80,837
SYSMEX CORP UNSP ASDR	8,465	12,673
TAIWAN SEMICONDUCTOR MFG CO LT	24,985	37,145
TENCENT HOLDINGS LIMITED	17,256	26,957
THERMO FISHER SCIENTIFIC INC	75,247	70,409
TIME WARNER INC	72,203	95,372
TJX COMPANIES INC	80,492	79,412

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORP	77,203	85,175
WAL-MART DE MEX V SP/ADR	9,866	9,614
WALT DISNEY HOLDINGS CO	83,847	88,379
YANDEX N V	17,443	17,513

TY 2016 Investments Government Obligations Schedule**Name:** The Swan Family Charitable Foundation**EIN:** 20-3954964**US Government Securities - End
of Year Book Value:**

555,411

**US Government Securities - End
of Year Fair Market Value:**

550,190

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule**Name:** The Swan Family Charitable Foundation**EIN:** 20-3954964

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALKEON GROWTH OFFSHORE FUND LT		750,001	949,888
CREDIT SUISSE DOLLAR SENIOR LO		669,490	711,430
LAZARD LTD		24,167	18,655
MILLENNIUM INTERNATIONAL HEDGE		450,000	500,053
MKP OPPORTUNITY HEDGEFOCUS FUN		518,164	550,832
SEER CAPITAL PARTNERS OFFSHORE		750,000	819,780

TY 2016 Other Expenses Schedule**Name:** The Swan Family Charitable Foundation**EIN:** 20-3954964**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	34,384			34,384
Bank Charges	957	957		
K-1 Exp LAZARD LTD	6	6		
State or Local Filing Fees	25			25

TY 2016 Other Income Schedule

Name: The Swan Family Charitable Foundation

EIN: 20-3954964

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K-1 Inc/Loss LAZARD LTD	2,399	2,399	

TY 2016 Other Professional Fees Schedule**Name:** The Swan Family Charitable Foundation**EIN:** 20-3954964

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	45,840	45,840		

TY 2016 Taxes Schedule**Name:** The Swan Family Charitable Foundation**EIN:** 20-3954964

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2016	4,900			
990-PF Extension for 2015	2,189			
Foreign Tax Paid	2,832	2,832		