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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
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Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>JIM AND CAROL TRAWICK FOUNDATION, INC.</b>		A Employer identification number <b>20-3932082</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>7979 OLD GEORGETOWN ROAD, SUITE 1000</b>	Room/suite <b>1000</b>	B Telephone number <b>301-654-7030</b>
City or town, state or province, country, and ZIP or foreign postal code <b>BETHESDA, MD 20814</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>3,802,495.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	26,000.		N/A	
2 Check <input type="checkbox"/> If the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	79,100.	79,100.		STATEMENT 1
5a Gross rents	14,306.	14,306.		STATEMENT 2
b Net rental income or (loss) 14,306.				
6a Net gain or (loss) from sale of assets not on line 10	145,745.			
b Gross sales price for all assets on line 6a 1,805,752.				
7 Capital gain net income (from Part IV, line 2)		145,745.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	265,151.	239,151.		
13 Compensation of officers, directors, trustees, etc.	115,875.	0.		115,875.
14 Other employee salaries and wages				
15 Pension plans, employee benefits	6,674.	0.		6,674.
16a Legal fees				
b Accounting fees STMT 3	7,450.	0.		7,450.
c Other professional fees STMT 4	12,072.	0.		12,072.
17 Interest				
18 Taxes STMT 5	13,137.	0.		13,137.
19 Depreciation and depletion	2,619.	0.		
20 Occupancy	78,539.	0.		78,539.
21 Travel, conferences, and meetings	328.	0.		328.
22 Printing and publications	2,834.	0.		2,834.
23 Other expenses STMT 6	107,513.	33,144.		73,727.
24 Total operating and administrative expenses. Add lines 13 through 23	347,041.	33,144.		310,636.
25 Contributions, gifts, grants paid	1,209,542.			1,209,542.
26 Total expenses and disbursements. Add lines 24 and 25	1,556,583.	33,144.		1,520,178.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-1,291,432.			
b Net investment income (if negative, enter -0-)		206,007.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	97,694.	55,870.	55,870.
	2 Savings and temporary cash investments	257,486.	110,659.	110,659.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,944.	20,728.	20,728.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,949,298.	1,172,748.	1,412,516.
	c Investments - corporate bonds STMT 8	1,003,851.	728,860.	711,788.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9		1,243,995.	1,177,080.	1,480,559.
14 Land, buildings, and equipment: basis ▶ 63,571.				
Less accumulated depreciation STMT 10 ▶ 58,493.		3,928.	5,078.	5,078.
15 Other assets (describe ▶ STATEMENT 11)		5,297.	5,297.	5,297.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,567,493.	3,276,320.	3,802,495.
17 Accounts payable and accrued expenses		1,832.	2,091.	
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶ )				
23 Total liabilities (add lines 17 through 22)	1,832.	2,091.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	4,565,661.	3,274,229.		
30 Total net assets or fund balances	4,565,661.	3,274,229.		
31 Total liabilities and net assets/fund balances	4,567,493.	3,276,320.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,565,661.
2 Enter amount from Part I, line 27a	2	-1,291,432.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,274,229.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,274,229.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHED STATEMENT</b>	<b>P</b>		
<b>b SEE ATTACHED STATEMENT</b>	<b>P</b>		
<b>c CAPITAL GAINS DIVIDENDS</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 112,619.</b>		<b>115,463.</b>	<b>-2,844.</b>
<b>b 1,660,755.</b>		<b>1,544,544.</b>	<b>116,211.</b>
<b>c 32,378.</b>			<b>32,378.</b>
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>-2,844.</b>
<b>b</b>			<b>116,211.</b>
<b>c</b>			<b>32,378.</b>
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>145,745.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,429,215.	5,723,660.	.249703
2014	1,365,464.	6,895,825.	.198013
2013	1,195,894.	7,357,206.	.162547
2012	1,092,112.	7,400,054.	.147582
2011	1,342,262.	8,125,870.	.165184

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.923029</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.184606</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	<b>4,302,172.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>794,207.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>2,060.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>796,267.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>1,520,178.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,060.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,060.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,060.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,080.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,080.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,020.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 3,020. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>WWW.TRAWICK.ORG</b>	13	X
14 The books are in care of ► <b>THE FOUNDATION</b> Telephone no. ► <b>301-654-7030</b> Located at ► <b>7979 OLD GEORGETOWN ROAD, 10TH FLOOR, BETHESDA, M</b> ZIP+4 ► <b>20814</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL TRAWICK 6600 ELGIN LANE BETHESDA, MD 20817	PRESIDENT / DIRECTOR 32.00	0.	0.	0.
ROBERT BREWER 105 GRAFTON ST CHEVY CHASE, MD 20815	DIRECTOR 4.00	0.	0.	0.
GAIL NACHMAN 5809 NICHOLSON LANE, APT 1407 ROCKVILLE, MD 20852	DIRECTOR 4.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE CANTREL - 8819 KENSINGTON PARKWAY, CHEVY CHASE, MD 20815	EXECUTIVE DIRECTOR 40.00	67,594.	3,000.	0.

Total number of other employees paid over \$50,000

0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 4,152,276.
b	Average of monthly cash balances	1b 205,611.
c	Fair market value of all other assets	1c 9,800.
d	Total (add lines 1a, b, and c)	1d 4,367,687.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 4,367,687.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 65,515.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 4,302,172.
6	Minimum investment return. Enter 5% of line 5	6 215,109.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 215,109.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 2,060.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 2,060.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 213,049.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 213,049.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 213,049.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 1,520,178.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 1,520,178.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 2,060.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 1,518,118.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				213,049.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	938,476.			
b From 2012	727,101.			
c From 2013	837,106.			
d From 2014	1,033,995.			
e From 2015	1,153,174.			
f Total of lines 3a through e	4,689,852.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 1,520,178.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				213,049.
e Remaining amount distributed out of corpus	1,307,129.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,996,981.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	938,476.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	5,058,505.			
10 Analysis of line 9:				
a Excess from 2012	727,101.			
b Excess from 2013	837,106.			
c Excess from 2014	1,033,995.			
d Excess from 2015	1,153,174.			
e Excess from 2016	1,307,129.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ARTS ON THE BLOCK 4218 HOWARD AVENUE KENSINGTON, MD 20895		501(C)(3) ORG	CAPACITY COOPERATIVE GRANT	11,250.
ARTS ON THE BLOCK 4218 HOWARD AVENUE KENSINGTON, MD 20895		501(C)(3) ORG	BRIGGS CHANEY MIDDLE SCHOOL 2016 TEAMUP	93,000.
ARTSTREAM 620 PERSHING DRIVE SILVER SPRING, MD 20910		501(C)(3) ORG	ARTS SCHOLARSHI	4,550.
ASPIRE COUNSELING/CHILD CENTER AND ADULT SERVICES 16220 S FREDERICK AVENUE GAITHERSBURG, MD 20877		501(C)(3) ORG	GRANT GENERAL INVITATIONAL	20,000.
B'NAI B'RITH HOMECREST HOUSE 14508 HOMECREST ROAD SILVER SPRING, MD 20906		501(C)(3) ORG	SPONSOR CONCERT SERIES	9,500.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>		<b>3a</b>	<b>1,209,542.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	<b>0.</b>





**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

Employer identification number

JIM AND CAROL TRAWICK FOUNDATION, INC.

20-3932082

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization	Employer identification number
JIM AND CAROL TRAWICK FOUNDATION, INC.	20-3932082

**Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CAROL TRAWICK 6600 ELGIN LANE BETHESDA, MD 20817	\$ 26,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization

Employer identification number

**JIM AND CAROL TRAWICK FOUNDATION, INC.****20-3932082****Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

**JIM AND CAROL TRAWICK FOUNDATION, INC.****20-3932082**

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BCC BASEBALL 5420 BUTLER ROAD BETHESDA, MD 20816		501(C)(3) ORG	SPONSOR NON PROFIT NIGHT	2,500.
BCC HIGH SCHOOL /LAZARUS LEADERSHIP FELLOW 4301 EAST WEST HWY BETHESDA, MD 20814		501(C)(3) ORG	SPONSORSHIP	1,000.
BETHESDA METRO AREA VILLAGE PO BOX 30525 BETHESDA, MD 20824		501(C)(3) ORG	CO-OCCUPANT GRANT	2,333.
BETHESDA URBAN PARTNERSHIP 7700 OLD GEORGETOWN ROAD BETHESDA, MD 20814		501(C)(3) ORG	LITERARY GRANT  LITERARY FESTIVAL	2,500.
BIG LEARNING 11721 KEMP MILL ROAD SILVER SPRING, MD 20902		501(C)(3) ORG	SEMINAR	123,147.
CITY DANCE CENTER AT STRATHMORE 5301 TUCKERMAN LANE NORTH BETHESDA, MD 20852		501(C)(3) ORG	ARTS SCHOLARSHIP	3,000.
CLASS ACTS ARTS 700 ROEDER ROAD, FIRST FLOOR SILVER SPRING, MD 20910		501(C)(3) ORG	SEMINAR	46,619.
COMMUNITY FOUNDATION 1201 15TH STREET NW WASHINGTON, DC 20005		501(C)(3) ORG	FUNDERS ROUNDTABLE	2,500.
FLYING V 7979 OLD GEORGETOWN ROAD, 10TH FLOOR BETHESDA, MD 20814		501(C)(3) ORG	CO-OCCUPANT GRANT	2,333.
FLYING V 7979 OLD GEORGETOWN ROAD BETHESDA, MD 20814		501(C)(3) ORG	CAPACITY COOPERATIVE GRANT	11,250.
<b>Total from continuation sheets</b>				<b>1,071,242.</b>

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
GLEN ECHO PARK PARTNERSHIP 7300 MACARTHUR BLVD GLEN ECHO, MD 20812		501(C)(3) ORG	SPONSORSHIP FOR GALA	2,500.
IMAGINATION STAGE 4908 AUBURN AVENUE BETHESDA, MD 20814		501(C)(3) ORG	ARTABILITY PROGRAM	50,000.
INTERFAITH WORKS 114 WEST MONTGOMERY AVENUE ROCKVILLE, MD 20850		501(C)(3) ORG	COMPANIES CARING SPONSORSHIP	5,000.
INTERFAITH WORKS 114 WEST MONTGOMERY AVENUE ROCKVILLE, MD 20850		501(C)(3) ORG	PROJECT INFORM	40,000.
INTERPLAY 6777 SURREYWOOD LANE BETHESDA, MD 20817		501(C)(3) ORG	ARTS SCHOLARSHIP	1,000.
INTERPLAY 6777 SURREYWOOD LANE BETHESDA, MD 20817		501(C)(3) ORG	ART SCHOLARSHIP	3,000.
KIDS INTERNATIONAL DISCOVERY MUSEUM 6400 DEMOCRACY BLVD BETHESDA, MD 20817		501(C)(3) ORG	CO-OCCUPANT GRANT	87,500.
LATIN AMERICAN YOUTH CENTER 1419 COLUMBIA ROAD NW WASHINGTON, DC 20009		501(C)(3) ORG	FULL CIRCLE MENTORS PROGRAM	130,000.
LEAD 4 LIFE 7979 OLD GEORGETOWN ROAD BETHESDA, MD 20814		501(C)(3) ORG	CO-OCCUPANT GRANT	5,600.
LIBERTY'S PROMISE 2900A JEFFERSON DAVIS HIGHWAY ALEXANDRIA, VA 22305		501(C)(3) ORG	HELPING IMMIGRANT YOUTH SUCCEED GRANT	25,000.
Total from continuation sheets				

**Part XV** **Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARYLAND CLASSIC YOUTH ORCHESTRAS 5301 TUCKERMAN LANE NORTH BETHESDA, MD 20852		501(C)(3) ORG	ARTS SCHOLARSHIP AWARD	7,500.
MARYLAND YOUTH BALLET 926 ELLSWORTH DRIVE SILVER SPRING, MD 20910		501(C)(3) ORG	ARTS SCHOLARSHIP AWARD	2,950.
MC COALITION FOR THE HOMELESS 600-B EAST GUDE DRIVE ROCKVILLE, MD 20850		501(C)(3) ORG	CREATIVE HOUSING INITIATIVE PROJECT	25,000.
MC COALITION FOR THE HOMELESS 600-B EAST GUDE DRIVE ROCKVILLE, MD 20850		501(C)(3) ORG	GALA SPONSORSHIP	1,000.
MINISTRIES UNITED SILVER SPRING 8818 GEORGIA AVENUE #216 SILVER SPRING, MD 20910		501(C)(3) ORG	HEAT, WATER, AND LIGHT PROJECT	48,599.
MONTGOMERY COUNTY CHAMBER OF COMMERCE 51 MONROE STREET SUITE 1800 ROCKVILLE, MD 20850		501(C)(3) ORG	PUBLIC SAFETY AWARDS LUNCHEON	3,000.
MONTGOMERY COUNTY COLLABORATIVE 600-B EAST GUDE DRIVE ROCKVILLE, MD 20850		501(C)(3) ORG	CELEBRATION OF PARTNERSHIP	300.
MONTGOMERY VICTORY GARDENS 822 GIST AVENUE, SUITE 100 SILVER SPRING, MD 20910		501(C)(3) ORG	HEALTH AND HUMAN SERVICES GRANT	86,430.
NATIONAL PHILHARMONIC 5301 TUCKERMAN LANE NORTH BETHESDA, MD 20852-3385		501(C)(3) ORG	TUITION SCHOLARSHIP AWARD	2,100.
PROJECT CHANGE INC PO BOX 934 OLNEY, MD 20830		501(C)(3) ORG	TEAM OF STARS PROGRAM	45,000.
Total from continuation sheets				

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REAL FOOD FOR KIDS 7979 OLD GEORGETOWN ROAD BETHESDA, MD 20814		501(C)(3) ORG	CO-OCCUPANT GRANT	5,600.
SPIRIT CLUB FOUNDATION 4507 DRESDEN ST KENSINGTON, MD 20895		501(C)(3) ORG	CAPACITY COOPERATIVE GRANT	11,250.
SUNFLOWER BAKERY 8507 ZIGGY LANE GAITHERSBURG, MD 20877		501(C)(3) ORG	PASTRY ARTS TRAINING PROGRAM	25,000.
THE ARC OF MONTGOMERY COUNTY 11600 NEBEL STREET ROCKVILLE, MD 20852		501(C)(3) ORG	THE TRANSITIONING YOUTH RETAIL PROJECT	17,975.
THE BERNIE SCHOLARSHIP P.O. BOX 2514 ROCKVILLE, MD 20847		501(C)(3) ORG	SCHOLARSHIP	3,000.
THE CENTER FOR ADOPTION SUPPORT AND EDUCATION 4000 BLACKBURN LANE SUITE 260 BURTONSVILLE, MD 20866		501(C)(3) ORG	3L ACADEMY	10,000.
THE LEVINE SCHOOL OF MUSIC 2801 UPTON ST. NW WASHINGTON, DC 20008		501(C)(3) ORG	ARTS SCHOLARSHIP AWARD	5,000.
THE NATIONAL ALLIANCE ON MENTAL ILLNESS OF MONTGOMERY COUNTY 11718 PARKLAWN DR ROCKVILLE, MD 20854		501(C)(3) ORG	HEALTH AND HUMAN SERVICES GRANT	141,256.
THE TREATMENT AND LEARNING CENTERS 2092 GAITHER ROAD, SUITE 100 ROCKVILLE, MD 20850		501(C)(3) ORG	HELP OTHERS HEAR PROGRAM	10,000.
WOMEN WHO CARE MINISTRIES 19634 CLUBHOUSE ROAD, SUITE 620 MONTGOMERY VILLAGE, MD 20886		501(C)(3) ORG	HELPING KIDS EAT BACKPACK PROGRAM	25,000.
Total from continuation sheets				

**3 Grants and Contributions Paid During the Year (Continuation)****Total from continuation sheets**

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAP GAIN DIVIDENDS	32,378.	32,378.	0.	0.	
CHARLES SCHWAB #1101-1062	79,100.	0.	79,100.	79,100.	
TO PART I, LINE 4	111,478.	32,378.	79,100.	79,100.	

FORM 990-PF RENTAL INCOME STATEMENT 2

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
OFFICE SPACE (SUBLET)	1	14,306.
TOTAL TO FORM 990-PF, PART I, LINE 5A		14,306.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,450.	0.		7,450.
TO FORM 990-PF, PG 1, LN 16B	7,450.	0.		7,450.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IT	10,630.	0.		10,630.
CONTRACT CONSULTANTS	1,442.	0.		1,442.
TO FORM 990-PF, PG 1, LN 16C	12,072.	0.		12,072.



FORM 990-PF	TAXES		STATEMENT		5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PROPERTY TAXES	74.	0.		74.	
PAYROLL TAXES	8,915.	0.		8,915.	
REAL ESTATE TAXES	677.	0.		677.	
FEDERAL TAXES	3,471.	0.		3,471.	
TO FORM 990-PF, PG 1, LN 18	13,137.	0.		13,137.	

FORM 990-PF	OTHER EXPENSES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	33,144.	33,144.		0.
EQUIPMENT RENTAL	13,136.	0.		13,136.
OFFICE SUPPLIES AND EXPENSES	5,924.	0.		5,924.
BOOKS, DUES AND SUBSCRIPTIONS	20.	0.		20.
INSURANCE	3,054.	0.		3,054.
POSTAGE, MAILING SERVICE	1,004.	0.		1,004.
TELECOMMUNICATIONS	3,451.	0.		3,451.
WEBSITE MAINTENANCE	149.	0.		149.
SECURITY	144.	0.		144.
PAYROLL ADMIN FEE	2,094.	0.		2,094.
MEMBERSHIP AND DUES	1,634.	0.		1,634.
COLLABORATIVE PROGRAMS	43,362.	0.		42,721.
BANK SERVICE CHARGES	197.	0.		196.
APPRECIATION GIFTS	200.	0.		200.
TO FORM 990-PF, PG 1, LN 23	107,513.	33,144.		73,727.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB #1101-1062	1,172,748.	1,412,516.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,172,748.	1,412,516.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB #1101-1062	728,860.	711,788.
TOTAL TO FORM 990-PF, PART II, LINE 10C	728,860.	711,788.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB #1101-1062	COST	1,177,080.	1,480,559.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,177,080.	1,480,559.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
EXECUTIVE DESK	750.	750.	0.
OFFICE TELEPHONE SYSTEM	4,317.	4,317.	0.
COMPUTER (DELL DIMENSION E520)	870.	870.	0.
COMPUTER EQUIP FOR OFFICE (D LINK & NET SCREENS)	1,220.	1,220.	0.
COMPUTER (LATITUDE D 620)	2,200.	2,200.	0.
OFFICE POWEREDGE SERVER	1,403.	1,403.	0.
POWER SUPPLY	1,049.	1,049.	0.
DESK FOR EXEC. ASST.	813.	813.	0.
OFFICE SECURITY SYSTEM	8,636.	8,636.	0.
XEROX PRINTER	824.	824.	0.

CONFERENCE RM CABINET	600.	600.	0.
10 CHAIRS	900.	900.	0.
2 COMPUTERS (DELL OPTIPLEX)			
FOR CO-OCCUPANTS	1,350.	1,350.	0.
4 MAHOGANY DESKS	1,720.	1,720.	0.
4 JR. EXEC. CHAIRS	680.	680.	0.
WALL SIGN	1,208.	1,208.	0.
4 TASK CHAIRS - CO-OCCUPANTS	630.	630.	0.
INSTALL WI-FI SWITCH AND			
CO-OCC. COMPUTERS	866.	866.	0.
WEBSITE DESIGN	4,750.	4,750.	0.
10TH FLOOR BUILD-OUT	4,314.	1,031.	3,283.
GRANTS MANAGEMENT SOFTWARE	6,910.	6,910.	0.
BACKUP SERVER	1,656.	1,656.	0.
COMPUTER MONITORS (2)	507.	507.	0.
OPTIPLEX COMPUTERS	1,334.	1,334.	0.
OPTIPLEX 330 COMPUTER	664.	664.	0.
SERVER	2,293.	2,293.	0.
DELL LASER PRINTER	1,172.	1,172.	0.
LAPTOP FOR CT	1,023.	1,023.	0.
VOSTRO LAPTOP GN	808.	808.	0.
DELL LAPTOP	1,418.	1,418.	0.
TWO COMPUTERS	1,498.	1,455.	43.
DELL LAPTOP COMPUTER	1,418.	1,176.	242.
DELL COMPUTER FOR CO-OCCUPANT	942.	565.	377.
DELL COMPUTER FOR CO-OCCUPANT	942.	565.	377.
DELL COMPUTER FOR CO-OCCUPANT	942.	565.	377.
DELL COMPUTER FOR CO-OCCUPANT	942.	565.	377.
TOTAL TO FM 990-PF, PART II, LN 14	63,569.	58,493.	5,076.

FORM 990-PF	OTHER ASSETS	STATEMENT	11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
RENT SECURITY DEPOSIT	5,297.	5,297.	5,297.
TO FORM 990-PF, PART II, LINE 15	5,297.	5,297.	5,297.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	EXECUTIVE DESK	01/06/07	200DB	7.00	HY17	750.				750.	750.		0.	750.
2	OFFICE TELEPHONE SYSTEM	01/10/07	200DB	7.00	HY17	4,317.				4,317.	4,317.		0.	4,317.
3	COMPUTER (DELL DIMENSION E520)	01/15/07	200DB	5.00	HY17	870.				870.	870.		0.	870.
4	COMPUTER EQUIP FOR OFFICE (D LINK & NET SCREENS)	01/15/07	200DB	5.00	HY17	1,220.				1,220.	1,220.		0.	1,220.
5	COMPUTER (LATITUDE D 620)	01/17/07	200DB	5.00	HY17	2,200.				2,200.	2,200.		0.	2,200.
6	OFFICE POWEREDGE SERVER	01/18/07	200DB	5.00	HY17	1,403.				1,403.	1,403.		0.	1,403.
7	POWER SUPPLY	01/18/07	200DB	5.00	HY17	1,049.				1,049.	1,049.		0.	1,049.
8	DESK FOR EXEC. ASST.	02/12/07	200DB	7.00	HY17	813.				813.	813.		0.	813.
10	OFFICE SECURITY SYSTEM	06/20/07	200DB	5.00	HY17	8,636.				8,636.	8,636.		0.	8,636.
11	XEROX PRINTER	07/27/07	200DB	5.00	HY17	824.				824.	824.		0.	824.
12	CONFERENCE RM CABINET	08/01/07	200DB	7.00	HY17	600.				600.	600.		0.	600.
13	10 CHAIRS	08/01/07	200DB	7.00	HY17	900.				900.	900.		0.	900.
14	2 COMPUTERS (DELL OPTIPLEX) FOR CO-OCCUPANTS	08/24/07	200DB	5.00	HY17	1,350.				1,350.	1,350.		0.	1,350.
15	4 MAHOGANY DESKS	09/01/07	200DB	7.00	HY17	1,720.				1,720.	1,720.		0.	1,720.
16	4 JR. EXEC. CHAIRS	09/01/07	200DB	7.00	HY17	680.				680.	680.		0.	680.
17	WALL SIGN	11/29/07	200DB	7.00	HY17	1,208.				1,208.	1,208.		0.	1,208.
18	4 TASK CHAIRS - CO-OCCUPANTS	09/26/07	200DB	7.00	HY17	630.				630.	630.		0.	630.
19	INSTALL WI-FI SWITCH AND CO-OCC. COMPUTERS	10/04/07	200DB	5.00	HY17	866.				866.	866.		0.	866.

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(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2016 DEPRECIATION AND AMORTIZATION REPORT

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Asset No	Description	Date Acquired	Method	Life	C o n v	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
20	WEBSITE DESIGN	07/09/07	200DE	5.00	HV17	4,750.				4,750.	4,750.		0.	4,750.
21	10TH FLOOR BUILD-OUT	09/01/07	SL	39.00	MM17	4,314.				4,314.	920.		111.	1,031.
22	GRANTS MANAGEMENT SOFTWARE	09/01/07	200DE	3.00	HV17	6,910.				6,910.	6,910.		0.	6,910.
23	BACKUP SERVER	11/29/07	200DE	5.00	HV17	1,656.				1,656.	1,656.		0.	1,656.
24	COMPUTER MONITORS (2)	06/24/08	200DE	5.00	HV17	507.			254.	253.	253.		0.	253.
25	OPTIPLEX COMPUTERS	07/16/08	200DE	5.00	HV17	1,334.			667.	667.	667.		0.	667.
26	OPTIPLEX 330 COMPUTER	11/08/08	200DE	5.00	HV17	664.			332.	332.	332.		0.	332.
27	SERVER	10/21/09	200DE	5.00	MQ17	2,293.			1,147.	1,146.	1,146.		0.	1,146.
28	DELL LASER PRINTER	03/08/10	200DE	5.00	HV17	1,172.				1,172.	1,172.		0.	1,172.
29	LAPTOP FOR CT	03/08/10	200DE	5.00	HV17	1,023.				1,023.	1,023.		0.	1,023.
30	VOSTRO LAPTOP GN	03/17/10	200DE	5.00	HV17	808.				808.	808.		0.	808.
31	DELL LAPTOP	07/01/11	200DE	5.00	HV17	1,418.			1,418.				0.	
32	TWO COMPUTERS	03/13/12	200DE	5.00	HV17	1,498.			749.	749.	620.		86.	706.
33	DELL LAPTOP COMPUTER	10/22/14	200DE	5.00	MQ17	1,418.			709.	709.	305.		162.	467.
34	DELL COMPUTER FOR CO-OCCUPANT	08/01/16	200DE	5.00	HV19H	942.			471.	471.			565.	94.
35	DELL COMPUTER FOR CO-OCCUPANT	08/01/16	200DE	5.00	HV19H	942.			471.	471.			565.	94.
36	DELL COMPUTER FOR CO-OCCUPANT	08/01/16	200DE	5.00	HV19H	942.			471.	471.			565.	94.
37	DELL COMPUTER FOR CO-OCCUPANT	08/01/16	200DE	5.00	HV19H	942.			471.	471.			565.	94.

628111 04-01-16

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2016 DEPRECIATION AND AMORTIZATION REPORT

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	* TOTAL 990-PF PG 1 DEPR						63,569.			7,160.	56,409.	50,598.		2,619.	51,333.
	CURRENT YEAR ACTIVITY														
	BEGINNING BALANCE						59,801.			5,276.	54,525.	50,598.			50,957.
	ACQUISITIONS						3,768.			1,884.	1,884.	0.			376.
	DISPOSITIONS						0.			0.	0.	0.			0.
	ENDING BALANCE						63,569.			7,160.	56,409.	50,598.			51,333.
	ENDING ACCUM DEPR											58,493.			
	ENDING BOOK VALUE											5,076.			

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(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone



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## TAX YEAR 2016 YEAR-END SUMMARY

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Date Prepared: February 24, 2017

### REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

### Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part I, with Box A checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
FPA CRESCENT FUND INST CL SHARES	30254T759	276.08	08/04/15	02/01/16	\$ 8,100.00	\$ 9,295.74	\$ -	(1,195.74)
FPA CRESCENT FUND INST CL SHARES	30254T759	413.09	08/04/15	07/12/16	\$ 12,983.00	\$ 13,908.59	\$ -	(925.59)
<b>Security Subtotal</b>					<b>\$ 21,083.00</b>	<b>\$ 23,204.33</b>	<b>\$ -</b>	<b>(2,121.33)</b>
GATEWAY FUND CL Y	367829884	490.34	02/11/15	02/01/16	\$ 14,100.00	\$ 14,615.59	\$ -	(515.59)
<b>Security Subtotal</b>					<b>\$ 14,100.00</b>	<b>\$ 14,615.59</b>	<b>\$ -</b>	<b>(515.59)</b>
ISHARE EDGE MSCI MIN VOLEAFE ETF	46429B689	450.00	05/31/16	09/09/16	\$ 30,183.05	\$ 30,381.97	\$ -	(198.92)
ISHARE EDGE MSCI MIN VOLEAFE ETF	46429B689	700.00	05/31/16	10/03/16	\$ 47,252.62	\$ 47,260.84	\$ -	(8.22)
<b>Security Subtotal</b>					<b>\$ 77,435.67</b>	<b>\$ 77,642.81</b>	<b>\$ -</b>	<b>(207.14)</b>
<b>Total Short-Term (Cost basis is reported to the IRS)</b>					<b>\$ 112,618.67</b>	<b>\$ 115,462.73</b>	<b>\$ -</b>	<b>(2,844.06)</b>
<b>Total Short-Term</b>					<b>\$ 112,618.67</b>	<b>\$ 115,462.73</b>	<b>\$ -</b>	<b>(2,844.06)</b>



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# TAX YEAR 2016 YEAR-END SUMMARY

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Date Prepared: February 24, 2017

## Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(-)Realized Gain or (Loss)
FPA CRESCENT FUND INST CL SHARES	30254T759	660.65	08/04/15	09/09/16	\$ 21,300.00	\$ 22,243.73	\$ -	(943.73)
FPA CRESCENT FUND INST CL SHARES	30254T759	370.32	08/04/15	10/03/16	\$ 12,000.00	\$ 12,468.61	\$ -	(468.61)
<b>Security Subtotal</b>					<b>\$ 33,300.00</b>	<b>\$ 34,712.34</b>	<b>\$ -</b>	<b>(1,412.34)</b>
GATEWAY FUND CL Y	367829884	433.91	02/11/15	07/12/16	\$ 12,983.00	\$ 12,933.53	\$ -	49.47
GATEWAY FUND CL Y	367829884	555.51	02/11/15	11/17/16	\$ 17,000.00	\$ 16,558.01	\$ -	441.99
<b>Security Subtotal</b>					<b>\$ 29,983.00</b>	<b>\$ 29,491.54</b>	<b>\$ -</b>	<b>491.46</b>
HARBOR INTERNATIONAL INST CL	411511306	20.75	02/11/15	04/28/16	\$ 1,269.45	\$ 1,368.38	\$ -	(98.93)
HARBOR INTERNATIONAL INST CL	411511306	418.27	02/11/15	07/12/16	\$ 24,977.52	\$ 27,581.97	\$ -	(2,604.45)
HARBOR INTERNATIONAL INST CL	411511306	61.74	02/11/15	09/09/16	\$ 3,800.00	\$ 4,071.58	\$ -	(271.58)
<b>Security Subtotal</b>					<b>\$ 30,046.97</b>	<b>\$ 33,021.93</b>	<b>\$ -</b>	<b>(2,974.96)</b>
ISHARE EDGE MSCI MIN VOLEAFE ETF	46429B689	50.00	01/15/14	03/07/16	\$ 3,225.41	\$ 3,049.10	\$ -	176.31
ISHARE EDGE MSCI MIN VOLEAFE ETF	46429B689	450.00	01/15/14	03/07/16	\$ 29,028.69	\$ 27,441.89	\$ -	1,586.80
<b>Security Subtotal</b>					<b>\$ 32,254.10</b>	<b>\$ 30,490.99</b>	<b>\$ -</b>	<b>1,763.11</b>
LORD ABBETT SHORT DUR FD CL I	INCOME 543916688	2,311.65	06/24/13	02/01/16	\$ 9,900.00	\$ 10,544.87	\$ -	(644.87)





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Date Prepared: February 24, 2017

## Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(-)Realized Gain or (Loss)
LORD ABBETT SHORT DUR FD CL I	INCOME 543916688	2,298.85	06/24/13	07/12/16	9,983.00 \$	10,486.46	- \$	(503.46)
Security Subtotal				\$	19,883.00 \$	21,031.33	-- \$	(1,148.33)
MARKETFIELD FD CL I	89834E245	645.83	08/22/12	02/01/16	9,300.00 \$	10,010.41	- \$	(710.41)
MARKETFIELD FD CL I	89834E245	8,817.42	08/22/12	04/19/16	124,325.75 \$	136,670.15	- \$	(12,344.40)
Security Subtotal				\$	133,625.75 \$	146,680.56	- \$	(13,054.81)
METROPOLITAN WEST BOND I	INTERM 592905855	1,273.00	08/28/13	02/01/16	13,354.58 \$	13,267.55	- \$	87.03
METROPOLITAN WEST BOND I	INTERM 592905855	1,386.52	12/12/13	02/01/16	14,545.42 \$	14,543.86	- \$	1.56
METROPOLITAN WEST BOND I	INTERM 592905855	1,872.65	08/28/13	07/12/16	19,982.02 \$	19,517.21	- \$	464.81
Security Subtotal				\$	47,882.02 \$	47,328.62	-- \$	553.40
PIMCO FOREIGN BD USD CL INST	HEDGED 693390882	1,715.92	06/26/14	07/12/16	17,983.00 \$	18,665.56	- \$	(682.56)
Security Subtotal				\$	17,983.00 \$	18,665.56	- \$	(682.56)
PIMCO REAL RETURN FUND CL	INSTL 693391104	5,773.20	02/25/13	11/03/16	64,331.25 \$	70,187.61	- \$	(5,856.36)
Security Subtotal				\$	64,331.25 \$	70,187.61	- \$	(5,856.36)
PIMCO SHORT TERM INSTL CL	INSTL 693390601	1,437.70	08/20/14	02/01/16	13,900.00 \$	14,260.50	- \$	(360.50)
PIMCO SHORT TERM INSTL CL	INSTL 693390601	870.06	09/03/13	07/12/16	8,442.65 \$	8,534.31	- \$	(91.66)



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# TAX YEAR 2016 YEAR-END SUMMARY

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Date Prepared: February 24, 2017

## Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO SHORT TERM INSTL CL	693390601	144.89	08/20/14	07/12/16	\$ 1,406.02	\$ 1,437.24	--	(31.22)
Security Subtotal					\$ 23,748.67	\$ 24,232.05	--	(483.38)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	888.24	12/12/13	02/01/16	\$ 8,973.02	\$ 9,503.15	--	(530.13)
Security Subtotal					\$ 8,973.02	\$ 9,503.15	--	(530.13)
PRINCIPAL DIVERSIFIED REAL ASSET INST	74254V166	1,220.48	02/25/13	02/01/16	\$ 12,200.00	\$ 14,452.34	--	(2,252.34)
PRINCIPAL DIVERSIFIED REAL ASSET INST	74254V166	2,075.81	02/25/13	07/12/16	\$ 22,979.32	\$ 24,580.77	--	(1,601.45)
PRINCIPAL DIVERSIFIED REAL ASSET INST	74254V166	1,618.51	02/25/13	09/09/16	\$ 17,900.00	\$ 19,165.73	--	(1,265.73)
PRINCIPAL DIVERSIFIED REAL ASSET INST	74254V166	897.58	02/25/13	10/03/16	\$ 10,000.00	\$ 10,628.73	--	(628.73)
Security Subtotal					\$ 63,079.32	\$ 68,827.57	--	(5,748.25)
SCHWAB US SMALL CAP ETF	808524607	300.00	03/06/15	04/28/16	\$ 16,178.02	\$ 16,910.64	--	(732.62)
SCHWAB US SMALL CAP ETF	808524607	134.00	03/06/15	09/09/16	\$ 7,655.79	\$ 7,553.42	--	102.37
SCHWAB US SMALL CAP ETF	808524607	300.00	03/06/15	10/03/16	\$ 17,173.43	\$ 16,910.64	--	262.79
Security Subtotal					\$ 41,007.24	\$ 41,374.70	--	(367.46)
SPDR S&P 500 ETF	78462F103	15.00	01/25/12	02/01/16	\$ 2,915.46	\$ 1,968.66	--	946.80
SPDR S&P 500 ETF	78462F103	100.00	01/25/12	02/01/16	\$ 19,436.36	\$ 13,124.39	--	6,311.97
Security Subtotal					\$ 22,351.82	\$ 15,093.05	--	7,258.77



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**TAX YEAR 2016  
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Date Prepared: February 24, 2017

**Long-Term Realized Gain or (Loss) (continued)**

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
T ROWE PRICE EUROPEAN STOCK FUND	77956H401	5,790.36	10/03/13	05/27/16	\$ 110,145.72	\$ 115,320.78	— \$	(5,175.06)
<b>Security Subtotal</b>					<b>\$ 110,145.72</b>	<b>\$ 115,320.78</b>	<b>— \$</b>	<b>(5,175.06)</b>
VANGUARD FTSE EMERGING MARKETS ETF	922042858	600.00	05/07/13	07/29/16	\$ 22,201.37	\$ 26,583.77	— \$	(4,382.40)
VANGUARD FTSE EMERGING MARKETS ETF	922042858	450.00	05/07/13	09/09/16	\$ 16,972.78	\$ 19,937.83	— \$	(2,965.05)
VANGUARD FTSE EMERGING MARKETS ETF	922042858	680.00	05/07/13	10/03/16	\$ 25,733.93	\$ 30,128.27	— \$	(4,394.34)
<b>Security Subtotal</b>					<b>\$ 64,908.08</b>	<b>\$ 76,649.87</b>	<b>— \$</b>	<b>(11,741.79)</b>
<b>Total Long-Term (Cost basis is reported to the IRS)</b>					<b>\$ 743,502.96</b>	<b>\$ 782,611.65</b>	<b>— \$</b>	<b>(39,108.69)</b>

**Long-Term Realized Gain or (Loss)**

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
FMI LARGE CAP FUND	302933205	1,874.66	08/04/11	03/07/16	\$ 35,000.00	\$ 28,569.89	— \$	6,430.11
FMI LARGE CAP FUND	302933205	3,567.78	08/04/11	04/28/16	\$ 70,000.00	\$ 54,373.09	— \$	15,626.91
FMI LARGE CAP FUND	302933205	1,349.32	08/04/11	07/12/16	\$ 27,000.00	\$ 20,563.71	— \$	6,436.29
FMI LARGE CAP FUND	302933205	4,437.87	08/04/11	07/29/16	\$ 90,000.00	\$ 67,633.14	— \$	22,366.86
FMI LARGE CAP FUND	302933205	1,004.52	08/04/11	09/09/16	\$ 20,000.00	\$ 15,308.88	— \$	4,691.12



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Date Prepared: February 24, 2017

## Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
FMI LARGE CAP FUND	302933205	2,482.62	08/04/11	10/03/16	\$ 50,000.00	\$ 37,835.16	—	\$ 12,164.84
FMI LARGE CAP FUND	302933205	2,321.92	08/04/11	11/17/16	\$ 48,272.79	\$ 35,386.11	—	\$ 12,886.68
FMI LARGE CAP FUND	302933205	83.07	08/11/11	11/17/16	\$ 1,727.21	\$ 1,225.42	—	\$ 501.79
Security Subtotal				\$	\$ 342,000.00	\$ 260,895.40	—	\$ 81,104.60
HARBOR INTERNATIONAL INST CL	411511306	215.75	12/20/07	02/01/16	\$ 12,100.00	\$ 14,956.91	—	\$ (2,856.91)
HARBOR INTERNATIONAL INST CL	411511306	11.03	12/19/07	04/28/16	\$ 675.00	\$ 761.81	—	\$ (86.81)
HARBOR INTERNATIONAL INST CL	411511306	33.78	12/19/07	04/28/16	\$ 2,067.04	\$ 2,332.77	—	\$ (265.73)
HARBOR INTERNATIONAL INST CL	411511306	85.72	12/19/07	04/28/16	\$ 5,243.98	\$ 5,918.21	—	\$ (674.23)
HARBOR INTERNATIONAL INST CL	411511306	175.63	12/20/07	04/28/16	\$ 10,744.53	\$ 12,175.49	—	\$ (1,430.96)
Security Subtotal				\$	\$ 30,830.55	\$ 36,145.19	—	\$ (5,314.64)
IVY ASSET STRATEGY FUND CL I	466001864	602.68	09/10/10	07/12/16	\$ 12,983.00	\$ 13,442.70	—	\$ (459.70)
IVY ASSET STRATEGY FUND CL I	466001864	5,542.73	09/10/10	07/22/16	\$ 120,010.71	\$ 123,628.23	—	\$ (3,617.52)
Security Subtotal				\$	\$ 132,993.71	\$ 137,070.93	—	\$ (4,077.22)
JPMORGAN MID CAP VALUE L	339128100	29.23	12/20/10	07/12/16	\$ 1,075.27	\$ 681.09	—	\$ 394.18
JPMORGAN MID CAP VALUE L	339128100	459.63	08/11/11	07/12/16	\$ 16,907.73	\$ 10,088.85	—	\$ 6,818.88
JPMORGAN MID CAP VALUE L	339128100	382.97	08/11/11	09/09/16	\$ 14,000.00	\$ 8,406.27	—	\$ 5,593.73
JPMORGAN MID CAP VALUE L	339128100	520.76	08/11/11	11/17/16	\$ 20,000.00	\$ 11,430.54	—	\$ 8,569.46
Security Subtotal				\$	\$ 51,983.00	\$ 30,606.75	—	\$ 21,376.25



Schwab One® Account of  
JIM AND CAROL TRAWICK  
FOUNDATION, INC

Account Number  
1101-1062

**TAX YEAR 2016  
YEAR-END SUMMARY**

**YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.**

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Date Prepared: February 24, 2017

**Long-Term Realized Gain or (Loss) (continued)**

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-) Cost Basis	(+) Wash Sale Loss Disallowed	(=) Realized Gain or (Loss)
PIMCO SHORT TERM INSTL CL	693390601	1.83	10/09/10	07/12/16	\$ 17.81	\$ 18.18	—	(0.37)
PIMCO SHORT TERM INSTL CL	693390601	12.00	11/07/10	07/12/16	\$ 116.52	\$ 119.05	—	(2.53)
<b>Security Subtotal</b>				\$	<b>134.33</b>	<b>137.23</b>	<b>—</b>	<b>(2.90)</b>
PIMCO TOTAL RETURN FUND INSTL CL	693390700	52.16	06/01/09	02/01/16	\$ 526.98	\$ 539.10	—	(12.12)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	251.04	05/26/09	03/31/16	\$ 2,554.20	\$ 2,585.16	—	(30.96)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	7,607.50	06/01/09	03/31/16	\$ 77,400.80	\$ 78,338.96	—	(938.16)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	2,377.96	03/04/09	11/10/16	\$ 24,057.13	\$ 23,535.65	—	521.48
PIMCO TOTAL RETURN FUND INSTL CL	693390700	152.26	03/31/09	11/10/16	\$ 1,540.42	\$ 1,530.61	—	9.81
PIMCO TOTAL RETURN FUND INSTL CL	693390700	156.11	04/30/09	11/10/16	\$ 1,579.39	\$ 1,583.41	—	(4.02)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	9,387.34	05/26/09	11/10/16	\$ 94,968.78	\$ 96,667.00	—	(1,698.22)
<b>Security Subtotal</b>				\$	<b>202,627.70</b>	<b>204,779.89</b>	<b>—</b>	<b>(2,152.19)</b>
PRINCIPAL MIDCAP INST CL	74253Q747	671.14	07/28/10	07/12/16	\$ 14,983.00	\$ 8,143.06	—	6,839.94
PRINCIPAL MIDCAP INST CL	74253Q747	1,319.28	07/28/10	10/03/16	\$ 30,000.00	\$ 16,007.13	—	13,992.87
<b>Security Subtotal</b>				\$	<b>44,983.00</b>	<b>24,150.19</b>	<b>—</b>	<b>20,832.81</b>
SCHWAB FUNDAMENTAL US CO INDEX	LARGE 808509442	573.77	09/14/11	02/01/16	\$ 7,700.00	\$ 5,163.93	—	2,536.07
SCHWAB FUNDAMENTAL US CO INDEX	LARGE 808509442	2,028.39	09/14/11	04/28/16	\$ 30,000.00	\$ 18,255.58	—	11,744.42



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## TAX YEAR 2016 YEAR-END SUMMARY

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Date Prepared: February 24, 2017

### Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
SCHWAB FUNDAMENTAL US CO INDEX	LARGE 808509442	2,210.66	09/14/11	07/12/16	\$ 34,000.00	\$ 19,895.97	-- \$	14,104.03
SCHWAB FUNDAMENTAL US CO INDEX	LARGE 808509442	2,536.46	09/14/11	11/17/16	\$ 40,000.00	\$ 22,828.16	-- \$	17,171.84
Security Subtotal				\$	111,700.00	66,143.64	-- \$	45,556.36
Total Long-Term (Transactions are not reported on Form 1099-B or to the IRS)				\$	917,252.29	759,929.22	-- \$	157,323.07
Total Long-Term				\$	1,660,755.25	1,542,540.87	-- \$	118,214.38