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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE ARVD FOUNDATION		A Employer identification number 20-3846779	
Number and street (or P.O. box number if mail is not delivered to street address) 225 MOUNT HERMON ROAD RM/STE 182		Room/suite	B Telephone number (see instructions) (813) 440-1644
City or town, state or province, country, and ZIP or foreign postal code SCOTTS VALLEY, CA 95066		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,259,199	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	100,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	6,763	1,325		
	4 Dividends and interest from securities	26,088	26,088		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	23,148			
	b Gross sales price for all assets on line 6a _____ 414,181				
	7 Capital gain net income (from Part IV, line 2)		42,182		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-10,167			
	12 Total. Add lines 1 through 11	145,832	69,595		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	120			
	b Accounting fees (attach schedule)	2,300			
	c Other professional fees (attach schedule)				
	17 Interest	5			
	18 Taxes (attach schedule) (see instructions)	60			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	31,457			
	24 Total operating and administrative expenses. Add lines 13 through 23	33,942	0		0
	25 Contributions, gifts, grants paid	109,250			109,250
	26 Total expenses and disbursements. Add lines 24 and 25	143,192	0		109,250
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,640			
	b Net investment income (if negative, enter -0-)		69,595		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	157,313	2,955	2,955
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	140,522	140,522	151,584
	b	Investments—corporate stock (attach schedule)	386,722	368,158	388,451
	c	Investments—corporate bonds (attach schedule)	17,070	17,070	15,922
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	510,548	690,985	700,287
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,212,175	1,219,690	1,259,199	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)		4,875	
	23	Total liabilities (add lines 17 through 22)		4,875	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,212,175	1,214,815	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,212,175	1,214,815	
	31	Total liabilities and net assets/fund balances (see instructions) .	1,212,175	1,219,690	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,212,175
2	Enter amount from Part I, line 27a	2	2,640
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,214,815
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,214,815

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	42,182
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	92,326	1,162,992	0 079387
2014	88,478	1,076,124	0 082219
2013	53,397	940,585	0 056770
2012	37,665	768,446	0 049015
2011	42,175	754,531	0 055896
2 Total of line 1, column (d)			2 0 323287
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 064657
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,292,828
5 Multiply line 4 by line 3			5 83,590
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 696
7 Add lines 5 and 6			7 84,286
8 Enter qualifying distributions from Part XII, line 4			8 109,250

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	696
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	696
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	696
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	9
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	705
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► DR AUDREY VIZZARD Telephone no ► (813) 440-1644			

Located at **►** 225 MOUNT HERMON ROAD SCOTTS VALLEY CAZIP+4 **►** 95066

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DR AUDREY VIZZARD 225 MOUNT HERMON ROAD SCOTTS VALLEY, CA 95066	PRESIDENT 5 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. <input type="checkbox"/>				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	937,186
b	Average of monthly cash balances.	1b	76,269
c	Fair market value of all other assets (see instructions).	1c	299,061
d	Total (add lines 1a, b, and c).	1d	1,312,516
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,312,516
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	19,688
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,292,828
6	Minimum investment return. Enter 5% of line 5.	6	64,641

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	64,641
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	696
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	696
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	63,945
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	63,945
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	63,945

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	109,250
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	109,250
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	696
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	108,554

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				63,945
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	4,858			
b From 2012.				
c From 2013.	7,314			
d From 2014.	35,236			
e From 2015.	34,734			
f Total of lines 3a through e.	82,142			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 109,250				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				63,945
e Remaining amount distributed out of corpus	45,305			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	127,447			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	4,858			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	122,589			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.	7,314			
c Excess from 2014.	35,236			
d Excess from 2015.	34,734			
e Excess from 2016.	45,305			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed DR AUDREY VIZZARD 225 MOUNT HERMON ROAD 182 SCOTTS VALLEY, CA 95066 (831) 440-1644	
b The form in which applications should be submitted and information and materials they should include A WRITTEN REQUEST DETAILING THE PROPOSED USE OF THE FUNDS	
c Any submission deadlines THERE ARE NO SUBMISSION DEADLINES	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors THERE ARE NO FORMAL RESTRICTIONS OR LIMITATIONS	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	109,250
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

13 Total. Add line 12, columns (b), (d), and (e).	13	45,832
(See worksheet in line 13 instructions to verify calculations)		

[illegible]

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

- b** If "Yes," complete the following schedule

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name	Preparer's Signature	Date	PTIN
----------------------------	----------------------	------	------

Preparer's Signature

Date _____

2017-05-11

Check if self-employed ☐

PTIN

P00133721

Firm's name ▶	CHARLES MADDEN PC
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Firm's EIN ► 20-0503296

Firm's address ► 850 FORT WAYNE AVE
INDIANAPOLIS, IN 46204

Phone no (317) 266-0480

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SALVATION ARMY SANTA CRUZ CORPS 721 LAUREL STREET PO BOX 640 SANTA CRUZ, CA 95060	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	2,000
HOMELESS SEVICES CENTER 115 CORAL STREET SANTA CRUZ, CA 95060	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	5,000
HEIFER PROJECT 1 WORLD AVENUE LITTLE ROCK, AR 72202	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	3,000
AMNESTY INTERNATIONAL 5 PENN PLAZA NEW YORK, NY 10001	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	5,000
CATHOLIC RELIEF SERVICES 228 WEST LEXINGTON ST BALTIMORE, MD 21201	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	15,000
CATHOLIC COMMUNITY OF SAN AGUSTIN 257 GLENWOOD DR SCOTTS VALLEY, CA 95066	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	4,750
ST FRANCIS CATHOLIC KITCHEN 205 MORA ST SANTA CRUZ, CA 95060	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	5,000
INTERNATIONAL RESCUE COMMITTEE 122 E 42ND ST NEW YORK, NY 10168	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	21,000
DOCTORS WITHOUT BORDERS 333 7TH AVE FLOOR 2 NEW YORK, NY 100015004	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	5,000
CABRILLO COLLEGE FOUNDATION 6500 SOQUEL DR APTOS, CA 95003	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	2,000
RESTORATIVE PARTNERS 793 E FOOTHILL BLVD SUITE 25 SAN LUIS OBISPO, CA 93401	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	500
MERCY CORPS 45 SW ANKENY ST PORTLAND, OR 97204	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	15,000
BUILDING TOMORROW 407 FULTON ST INDIANAPOLIS, IN 46202	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEDGED	1,000
CAPACITAR 2901 PARK AVENUE SUITE B12 SOQUEL, CA 95073	NONE	EXEMPT	ASSITANCE TO THE UNDERPRIVILEDGED	2,500
HABITAT FOR HUMANITY 121 HABITAT ST AMERICUS, GA 31709	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEDGED	5,000
Total ►				109,250
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
POLARIS PROJECT PO BOX 65323 WASHINGTON, DC 20035	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVELEDGED	2,000
REFUGEE ONE 4753 N BROADWAY SUITE 401 CHICAGO, IL 60640	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVELEDGED	1,000
SANTA CRUZ ROTARY FOUNDATION PO BOX 497 SANTA CRUZ, CA 95061	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVELEDGED	1,000
SAVE THE CHILDREN 501 KINGS HIGHWAY EAST SUITE 400 FAIRFIELD, CT 06825	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVELEDGED	10,000
SCOTTS VALLEY SENIOR LIFE CENTER PO BOX 66438 SCOTTS VALLEY, CA 95067	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVELEDGED	500
VALLEY CHURCHES UNITED 9400 STATE ROUTE 9 BEN LOMOND, CA 95005	NONE	EXEMPT	ASSISTANCE TO THE UNDERPRIVELEDGED	3,000
Total ► 3a				109,250

TY 2016 Accounting Fees Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHARLES MADDEN PC	2,300			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: THE ARVD FOUNDATION

EIN: 20-3846779

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE ATTACHED MCDONALD STATEMENT		PURCHASE			194,122	196,326			-2,204	
SEE ATTACHED MCDONALD STATEMENT		PURCHASE			99,711	113,726			-14,015	
FIRST TRUST MORNINGSTETFDIV LDRS IND	2010-12	PURCHASE	2016-05		12,662	8,089			4,573	
SEE ATTACHED MCDONALD STATEMENT		PURCHASE			65,504	72,892			-7,388	

TY 2016 Investments Corporate Bonds Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779

Name of Bond	End of Year Book Value	End of Year Fair Market Value
COSTCO WHOLESALE CORP SENIOR NOTES	3,418	3,028
GE CAP CORP MEDIUM TERM NOTES	4,587	4,115
CONOCO INCE SENIOR NOTES	9,065	8,779

TY 2016 Investments Corporate Stock Schedule

Name: THE ARVD FOUNDATION
EIN: 20-3846779

Name of Stock	End of Year Book Value	End of Year Fair Market Value
APPLE INC	24,112	28,955
FIRST TRUST MORNINSTAR DIVIDEND LDRS	8,089	13,878
AT&T INC	16,885	21,265
CISCO SYSTEMS INC		
CONOCO PHILLIPS		
FIRST TRUST EUROPE ET ALPHADEX FUND		
FIRST TRUST FUND IV ET SENIOR LOAN	73,525	72,825
FIRST TRUST ET UTILITIES ALPHADEX FD		
FIRST TRUST CAPITAL ET STRENGTH		
FIRST TRUST HLTH CAREER	18,474	17,190
FIRST TRUST ETF IV ET TACTICAL HIGH	47,077	48,230
FIRST TRUST III ET PREF SECS & INC	19,978	18,990
FIRST TRUST VI NASDAQ	27,306	29,580
FIRST TRUST ISE-REVERE NAT GAS		
GAP INC		
INTEL CORP	24,106	27,203
I SHARES 20 YEAR	18,245	17,870
SPDR EURO STOXX 50		
TARGET		
S.A. SPONS ADR	41,752	45,873
SELECT SECTOR SPDR FD HEALTH CARE	22,115	20,682
VIACOM INC CL B	11,117	10,530
VODAFONE GROUP PLC NEW SPONSORED ADR		
WAL-MART		
WHOLE FOODS MARKET INC	15,377	15,380

TY 2016 Investments Government Obligations Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779**US Government Securities - End
of Year Book Value:****US Government Securities - End
of Year Fair Market Value:****State & Local Government
Securities - End of Year Book
Value:**

140,522

**State & Local Government
Securities - End of Year Fair
Market Value:**

151,584

TY 2016 Investments - Other Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
THE HARTFORD LIFE INSURANCE POLICY	AT COST	136,686	136,686
ORIGINAL CAPITAL FUND II REIT	AT COST	98,622	98,622
KBS REAL ESTATE INVESTMENT TRUST	AT COST	19,700	19,700
CUSTER COURT-MCDONALD PARTNERS, LLC	AT COST	44,053	44,053
FIRST TR CAPITAL STRENGTH PORT	AT COST		
FIRST TR EQUITY INCOME SELECT SRS 42	AT COST		
FIRST TR EQUITY INC SER 442	AT COST	12,007	12,494
FIRST TR CAPITAL STRENGTH BY-WRT	AT COST	50,008	52,448
FIRST TR CAP STRNGTH BY-WRT SER 26	AT COST	50,010	51,450
FIRST TR DIV EDGE PORT SERIES	AT COST	50,011	55,719
FIRST TR DIV EDGE PORT SERIES 2	AT COST	50,010	51,849
FIRST TR STONEBRIDGE PREF INC SER 3	AT COST	50,016	45,100
FIRST TR US REVENUE PORT SERIES 8	AT COST	24,648	24,354
FIRST TR SENIOR FLOATING RATE	AT COST	26,567	27,660
FIRST TR INTER DUR PFD & INCOME	AT COST	30,097	32,106
FIRST TRUST DYNAMIC EURO EQ INC	AT COST	15,416	15,520
FIRST TRUST SENIOR FLOATING RATE 202	AT COST	25,007	25,300
DIAGEO CAPITAL PLC NOTES	AT COST	8,127	7,226

TY 2016 Legal Fees Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WALTER STONE	120			

TY 2016 Other Expenses Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT EXPENSES				
FOREIGN TAXES	553			
BANK FEES	50			
MISCELLANEOUS	909			
TO RECONCILE	3,063			
INCREASE OF LIFE INSURANCE PO	-4,123			
DECREASE OF KBS REIT	31,005			

TY 2016 Other Income Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MCDONALD ORIGIN CAPITAL FD II	-7,502		
CUSTER COURT - MCDONALD PTNRS	-3,165		
KBS REIT	500		

TY 2016 Other Liabilities Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779

Description	Beginning of Year - Book Value	End of Year - Book Value
MARGIN ACCOUNT		4,875

TY 2016 Taxes Schedule**Name:** THE ARVD FOUNDATION**EIN:** 20-3846779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CALIFORNIA FRANCHISE BOARD	60			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990 .	OMB No 1545-0047 2016
	Name of the organization THE ARVD FOUNDATION	Employer identification number 20-3846779

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE ARVD FOUNDATION	Employer identification number 20-3846779
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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AUDREY RIKER VIZZARD 225 MOUNT HERMON ROAD 182 SCOTTS VALLEY, CA 95066	\$ 100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

20-3846779

Part II	Noncash Property
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[illegible]

Name of organization THE ARVD FOUNDATION	Employer identification number 20-3846779
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	

2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 5390-1213

THE ARVD FOUNDATION DTD
11 23 2005

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
CONOCOPHILLIPS CUSIP 20825C104	500 00000	09/22/2015	07/21/2016	20,285 05	24,337 15	0 00	0 00	-4,052 10	Box 6: Gross Proceeds
FIRST TRUST CAPITAL ETF STRENGTH CUSIP 33733E104	500 00000	09/18/2015	04/29/2016	19,393 85	18,461 27	0 00	0 00	932 58	Box 6: Gross Proceeds
FIRST TRUST ETF UTILITIES ALPHADEX FUND CUSIP 33734X184	500 00000	05/06/2015	05/06/2016	12,372 47	11,760 84	0 00	0 00	611 63	Box 6: Gross Proceeds
FIRST TRUST DYNAMIC EUROPE EQUITY INCOME FD CUSIP 33740D107	1000 00000	04/29/2016	12/19/2016	14,992 17	16,110 64	0 00	0 00	-1,118 47	Original Basis 16,323 06 Box 6: Gross Proceeds
GAP INC CUSIP 364760108	300 00000	04/08/2016	08/19/2016	7,783 88	7,324 87	0 00	0 00	459 01	Box 6: Gross Proceeds
GLAXOSMITHKLINE PLC-ADR CUSIP 37733W105	500 00000	07/27/2016	10/20/2016	20,463 87	22,707 07	0 00	0 00	-2,243 20	Box 6: Gross Proceeds
PROSHARES TRUST ETF ULTRASHORT S&P 500 NEW CUSIP 74347B300	500 00000	09/09/2016	11/10/2016	8,098 57	8,584 93	0 00	0 00	-486 36	Box 6: Gross Proceeds
TARGET CORP CUSIP 87612E106	250 00000	11/13/2015	03/04/2016	19,999 31	18,258 67	0 00	0 00	1,740 64	Box 6: Gross Proceeds
	50 00000	11/13/2015	03/24/2016	4,046 82	3,651 73	0 00	0 00	395 09	Box 6: Gross Proceeds
	250 00000	11/18/2015	03/24/2016	20,234 14	17,402 18	0 00	0 00	2,831 96	Box 6: Gross Proceeds
	250 00000	06/08/2016	11/16/2016	19,212 28	17,304 28	0 00	0 00	1,908 00	Box 6: Gross Proceeds

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 5390-1213

THE ARVD FOUNDATION DTD
11 23 2005

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TARGET CORP CUSIP 87612E106	800.00000			\$63,492.55	\$56,616.86	\$0.00	\$0.00	\$6,875.69	
Subtotals									
VIACOM INC CL B CUSIP 92553P201	300 00000	06/21/2016	12/28/2016	10,516 11	13,322 38	0 00	0 00	-2,806 27	Box 6: Gross Proceeds
	50 00000	06/21/2016	12/28/2016	1,752 69	2,219 06	0 00	0 00	-466 37	Box 6: Gross Proceeds
Subtotals	350.00000			\$12,268.80	\$15,541.44	\$0.00	\$0.00	(\$3,272.64)	
WAL-MART STORES INC CUSIP 931142103	250 00000	10/21/2015	01/20/2016	14,970 70	14,880 75	0 00	0 00	89 95	Box 6: Gross Proceeds
Totals				\$194,121.91	\$196,325.82	\$0.00	\$0.00	(\$2,203.91)	

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 5390-1213

THE ARVD FOUNDATION DTD
11 23 2005

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AT & T INC CUSIP 00206R102	250 00000	04/23/2014	07/14/2016	10,505 86	8,949 34	0 00	0 00	1,556 52	Box 6. Gross Proceeds
CISCO SYSTEMS INC CUSIP 17275R102	375 00000	03/26/2015	07/13/2016	10,982 14	10,342 56	0 00	0 00	639 58	Box 6: Gross Proceeds
	375 00000	03/26/2015	08/31/2016	11,575 49	10,342 54	0 00	0 00	1,232 95	Box 6: Gross Proceeds
Subtotals	750 00000			\$22,557 63	\$20,685 10	\$0.00	\$0.00	\$1,872.53	
FIRST TRUST NATURAL ETF GAS CUSIP 33733E807	400 00000	09/18/2015	11/23/2016	10,260 63	13,253 70	0 00	0 00	-2,993 07	Box 6. Gross Proceeds
FIRST TRUST UTILITIES ALPHADEX FUND CUSIP 33734X184	250 00000	05/06/2015	07/14/2016	6,699 12	5,880 42	0 00	0 00	818 70	Box 6. Gross Proceeds
	250 00000	05/06/2015	08/24/2016	6,447 00	5,880 41	0 00	0 00	566 59	Box 6: Gross Proceeds
Subtotals	500.00000			\$13,146.12	\$11,760.83	\$0.00	\$0.00	\$1,385.29	
FIRST TR EUROPE ALPHADEX FUND CUSIP 33737J117	500 00000	11/25/2014	09/27/2016	14,395 51	15,681 75	0 00	0 00	-1,286 24	Box 6. Gross Proceeds
GAP INC CUSIP 364760108	300 00000	10/24/2014	05/11/2016	5,468 26	11,155 92	0 00	0 00	-5,687 66	Box 6. Gross Proceeds
	150 00000	11/21/2014	05/11/2016	2,734 14	5,810 65	0 00	0 00	-3,076 51	Box 6: Gross Proceeds
	150 00000	11/21/2014	07/21/2016	3,499 94	5,810 64	0 00	0 00	-2,310 70	Box 6: Gross Proceeds
Subtotals	600.00000			\$11,702.34	\$22,777.21	\$0.00	\$0.00	(\$11,074.87)	
SPDR EURO STOXX 50 ETF CUSIP 78463X202	300 00000	05/26/2015	09/13/2016	9,610 56	11,936 56	0 00	0 00	-2,326 00	Box 6: Gross Proceeds
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR CUSIP 92857W308	250 00000	05/20/2014	07/14/2016	7,532 30	8,681 10	0 00	0 00	-1,148 80	Box 6. Gross Proceeds
Totals				\$99,710.95	\$113,725.59	\$0.00	\$0.00	(\$14,014.64)	

2016 Year-End Account Summary

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THE ARVD FOUNDATION DTD
11 23 2005

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FIRST TRUST MORNNGSTRETF DIVIDEND LEADERS INDEX CUSIP 336917109	500 00000	12/30/2010	05/06/2016	12,661.73	8,089.35	0.00	0.00	4,572.38	Box 6: Gross Proceeds
Totals				\$12,661.73	\$8,089.35	\$0.00	\$0.00	\$4,572.38	

2016 Year-End Account Summary

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Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FIRST TRUST EQUITY INCOME SELECT PORTFOLIO SRS 42 CASH CUSIP 30285E755	2640 00000	N/A	12/06/2016	24,749 21	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Redemption
FIRST TRUST CAPITAL STRENGTH BUY- WRITE PORT SER 19 CASH CUSIP 30285G305	4946 00000	N/A	01/26/2016	40,755 04	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Redemption
Totals				\$65,504.25	\$0.00	\$0.00	\$0.00	N/A	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2016 Year-End Account Summary

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Additional Realized Gain/Loss Information

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Description CUSIP	Quantity Sold	Date Acquired	Date Sold	Proceeds	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FIRST TRUST CAPITAL STRENGTH BUY- CPN 0000% DUE 01/21 CUSIP 30285G305	2841 00000 2105 00000	11/26/2014 11/26/2014	01/21/2016 01/21/2016	23,409.84 17,345.20	27,413.66 20,477.61	0.00 0.00	0.00 0.00	-4,003.82 -3,132.41	Gross Proceeds Gross Proceeds
Subtotals	4946.00000			\$40,755.04	\$47,891.27	\$0.00	\$0.00	(\$7,136.23)	
FIRST TRUST EQUITY INCOME SELECT CPN 0000% DUE 12/01 CUSIP 30285E755	2640 00000	01/30/2015	12/01/2016	24,749.21	25,000.54	0.00	0.00	-251.33	Gross Proceeds
Totals				\$65,504.25	\$72,891.81	\$0.00	\$0.00	(\$7,387.56)	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds or Gain/Loss columns, or Not Provided in the Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss.

Please note that the totals and subtotals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.