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Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation  
**THE SARA SMITH SELF FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**1001 WEST FOURTH STREET**

City or town, state or province, country, and ZIP or foreign postal code  
**WINSTON-SALEM, NC 27101**

**A Employer identification number**  
20-3631059

**B Telephone number (see instructions)**  
(336) 607-7314

**C** If exemption application is pending, check here.

**D** 1 Foreign organizations, check here.   
2 Foreign organizations meeting the 85% test, check here and attach computation.

**E** If private foundation status was terminated under section 507(b)(1)(A), check here.

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

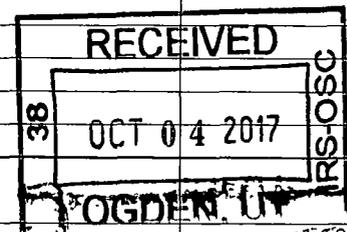
**G** Check all that apply  
 Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 3,578,180.**

**J** Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B.					
3 Interest on savings and temporary cash investments		57,424.	57,424.		ATCH 1
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		39,150.			
b Gross sales price for all assets on line 6a 1,174,405.					
7 Capital gain net income (from Part IV, line 2)			39,150.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 2		-10,052.	-10,052.		
12 Total. Add lines 1 through 11		86,522.	86,522.		
13 Compensation of officers, directors, trustees, etc.		0.			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule) ATCH 3		14,507.	14,507.		
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule) [4]		20,545.	20,545.		
7 Interest					
3 Taxes (attach schedule) (see instructions)					
3 Depreciation (attach schedule) and depletion					
3 Occupancy					
1 Travel, conferences, and meetings					
2 Printing and publications					
3 Other expenses (attach schedule) ATCH 5		19,432.	19,432.		
4 Total operating and administrative expenses. Add lines 13 through 23.		54,484.	54,484.		
3 Contributions, gifts, grants paid		169,500.			169,500.
3 Total expenses and disbursements. Add lines 24 and 25		223,984.	54,484.	0.	169,500.
7 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-137,462.			
b Net investment income (if negative, enter -0-)			32,038.		
c Adjusted net income (if negative, enter -0-)					



SCANNED OCT 06 2017

Revenue

ve Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	188.	338.	338.	
	2	Savings and temporary cash investments	366,723.	409,785.	405,900.	
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule) [ 6 ]	249,848.	133,672.	134,003.	
	b	Investments - corporate stock (attach schedule) ATCH 7	1,422,759.	1,372,024.	1,984,888.	
	c	Investments - corporate bonds (attach schedule) ATCH 8	410,179.	530,797.	529,711.	
	11	Investments - land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 9	181,832.	168,657.	275,690.		
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe ATCH 10 )	320,690.	199,382.	247,650.		
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I)	2,952,219.	2,814,655.	3,578,180.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe )				
23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds	2,952,219.	2,814,655.			
30	<b>Total net assets or fund balances</b> (see instructions)	2,952,219.	2,814,655.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	2,952,219.	2,814,655.			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,952,219.
2	Enter amount from Part I, line 27a	2	-137,462.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,814,757.
5	Decreases not included in line 2 (itemize) ATCH 11	5	102.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	2,814,655.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	39,150.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

<b>1</b> Enter the appropriate amount in each column for each year, see the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2015	240,263.	3,748,375.	0.064098	
2014	169,191.	3,904,173.	0.043336	
2013	182,423.	3,678,293.	0.049594	
2012	193,080.	3,563,452.	0.054183	
2011	93,773.	3,527,122.	0.026586	
<b>2</b> Total of line 1, column (d)			<b>2</b>	0.237797
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			<b>3</b>	0.047559
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b>	3,489,466.
<b>5</b> Multiply line 4 by line 3.			<b>5</b>	165,956.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			<b>6</b>	320.
<b>7</b> Add lines 5 and 6.			<b>7</b>	166,276.
<b>8</b> Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			<b>8</b>	169,500.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments, total credits and payments, penalty, tax due, overpayment, and amount credited to 2017 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, reimbursement, IRS reporting, changes in governing instruments, unrelated business income, liquidation, section 508(e) requirements, assets, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of ROBERT L. EDWARDS Telephone no 336-607-7314 Located at 1001 WEST FOURTH STREET WINSTON-SALEM, NC ZIP+4 27101
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  **5b**

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities . . . . .	1a	3,151,335.
b	Average of monthly cash balances . . . . .	1b	124,069.
c	Fair market value of all other assets (see instructions). . . . .	1c	267,201.
d	<b>Total</b> (add lines 1a, b, and c) . . . . .	1d	3,542,605.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets . . . . .	2	
3	Subtract line 2 from line 1d . . . . .	3	3,542,605.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	53,139.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,489,466.
6	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	6	174,473.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1	Minimum investment return from Part X, line 6 . . . . .	1	174,473.
2a	Tax on investment income for 2016 from Part VI, line 5 . . . . .	2a	320.
b	Income tax for 2016 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b . . . . .	2c	320.
3	Distributable amount before adjustments Subtract line 2c from line 1 . . . . .	3	174,153.
4	Recoveries of amounts treated as qualifying distributions . . . . .	4	
5	Add lines 3 and 4. . . . .	5	174,153.
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	174,153.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 . . . . .	1a	169,500.
b	Program-related investments - total from Part IX-B . . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required) . . . . .	3a	
b	Cash distribution test (attach the required schedule) . . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	169,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) . . . . .	5	320.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	6	169,180.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				174,153.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only. . . . .				
b Total for prior years 20 14 ,20 13 ,20 12 . . . . .				
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . . .				
b From 2012 . . . . .				
c From 2013 . . . . .				
d From 2014 . . . . .				
e From 2015 . . . . . 49,327.				
f Total of lines 3a through e . . . . .	49,327.			
4 Qualifying distributions for 2016 from Part XII, line 4. ▶ \$ 169,500.				
a Applied to 2015, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2016 distributable amount. . . . .				169,500.
e Remaining amount distributed out of corpus. . . . .				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	4,653.			4,653.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	44,674.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions. . . . .				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	44,674.			
10 Analysis of line 9				
a Excess from 2012 . . . . .				
b Excess from 2013 . . . . .				
c Excess from 2014 . . . . .				
d Excess from 2015 . . . . . 44,674.				
e Excess from 2016 . . . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling.
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with columns: Tax year (a) 2016, (b) 2015, (c) 2014, (d) 2013, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
ATCH 13

b The form in which applications should be submitted and information and materials they should include
LETTER FORM

c Any submission deadlines
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
NONE

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a Paid during the year</b></p> <p>ATCH 14</p>				
<b>Total</b> .....				169,500.
<b>b Approved for future payment</b>				
<b>Total</b> .....				▶ 3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include categories like Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, etc.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash... (2) Other assets... b Other transactions... (1) Sales of assets... (2) Purchases of assets... (3) Rental of facilities... (4) Reimbursement arrangements... (5) Loans or loan guarantees... (6) Performance of services... c Sharing of facilities... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for amount and description.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... [ ] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All cells are empty.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee: ROBERT L. EDWARDS, Date: 09/26/2017, Title: SECRETARY/TREASURER. Includes a box for 'May the IRS discuss this return with the preparer shown below' with [X] Yes.

Paid Preparer Use Only: Print/Type preparer's name: ROBERT L EDWARDS, SEC/TR; Preparer's signature: Robert L Edwards; Date: 9/26/17; Firm's name: EDWARDS CRAVER VEACH PLLC; Firm's address: 1001 WEST FOURTH STREET WINSTON-SALEM, NC; Firm's EIN: 26-1668110; Phone no: 336.747.7542.

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
50,313.		\$50,000					06/10/2016	12/17/2016
		50,179.					134.	
49,144.		300 SHS					02/01/2016	12/19/2016
		47,686.					1,458.	
100,000.		\$100,000					10/13/2015	07/29/2016
		100,000.						
583.		37 SHS					12/15/2015	01/11/2016
		440.					143.	
24,757.		500 SHS					10/13/2015	08/08/2016
		17,533.					7,224.	
22,416.		1,500 SHS					05/20/2015	01/22/2016
		40,409.					-17,993.	
45,486.		800 SHS					11/12/2009	03/23/2016
		22,179.					23,307.	
17,057.		300 SHS					04/21/2010	03/23/2016
		8,083.					8,974.	
15,960.		150 SHS					12/02/2009	08/31/2016
		4,291.					11,669.	
42,721.		1,250 SHS					04/17/2013	03/23/2016
		37,606.					5,115.	
20,506.		600 SHS					05/13/2013	03/23/2016
		19,052.					1,454.	
29,806.		200 SHS					11/03/2009	08/31/2016
		13,271.					16,535.	

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
16,908.		800 SHS BHP BILLITON 53,719.					11/02/2009 -36,811.	01/22/2016
4,227.		200 SHS BHP BILLITON 13,735.					10/11/2012 -9,508.	12/22/2016
150,000.		\$150,000 FREDDIE MAC 149,903.					10/13/2015 97.	10/31/2016
23,379.		300 SHS GILEAD SCIENCES 30,906.					12/09/2015 -7,527.	08/31/2016
23,379.		300 SHS GILEAD SCIENCES 29,830.					03/10/2015 -6,451.	08/31/2016
50,000.		\$50,000 JP MORGAN CHASE 49,525.					09/29/2011 475.	09/21/2016
14,036.		200 SHS LOWES COMPANIES 8,501.					05/23/2013 5,535.	12/09/2016
35,167.		250 SHS MCKESSON 50,409.					09/21/2015 -15,242.	12/19/2016
10,540.		250 SHS MONDELEZ INTL 7,639.					05/13/2013 2,901.	12/09/2016
13,488.		300 SHS MONDELEZ INTL 9,167.					05/13/2013 4,321.	06/03/2016
25,136.		250 SHS PEPSICO 15,395.					11/12/2009 9,741.	01/29/2016
48,294.		1,250 SHS POWERSHARES S & P 46,280.					06/19/2013 2,014.	01/25/2016

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
75,000.		\$75,000					03/26/2014	05/12/2016
		PRUDENTIAL FINANCIAL						
		75,000.						
53,150.		2,000					01/24/2013	03/04/2016
		SHS SCHWAB INTERNATIONAL						
		55,456.					-2,306.	
11,844.		200					01/28/2014	03/23/2016
		SHS STARBUCKS						
		7,391.					4,453.	
11,733.		357					09/26/2013	08/31/2016
		SHS SYNOVUS FINANCIAL						
		8,222.					3,511.	
49,514.		1,000					07/01/2015	08/08/2016
		SHS UBIQUITI NETWORKS						
		32,525.					16,989.	
25,193.		\$25,000					06/02/2014	04/08/2016
		VERIZON COMMUNICATION						
		25,171.					22.	
50,000.		\$50,000					12/07/2015	12/15/2016
		WELLS FARGO BK						
		50,000.						
50,234.		700					10/13/2015	11/17/2016
		SHS WYNDHAM WORLDWIDE						
		55,681.					-5,447.	
		ENTERPRISE PRODUCTS PARTNERS						
		71.					-71.	
684.		BEP PRIVATE INVESTORS, L.P.					684.	
13,750.		BEP PRIVATE INVESTORS, L.P.					13,750.	
TOTAL GAIN (LOSS) .....							<u>39,150.</u>	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
SCHWAB #9197-9594- DIVIDENDS	36,623.	36,623.
SCHWAB #9197-9594- INTEREST	22,697.	22,697.
LESS INTEREST PURCHASED	-1,918.	-1,918.
ENTERPRISE PRODUCTS PARTNERS - INTEREST	9.	9.
BEP PRIVATE INVESTORS, L.P.- INTEREST	11.	11.
RAYMOND JAMES - INTEREST	2.	2.
TOTAL	<u>57,424.</u>	<u>57,424.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BEP PRIVATE INVESTORS - ROYALTIES (NET)	2,563.	2,563.
ENTERPRISE PRODUCTS PART- BUSINESS LOSS	-4,815.	-4,815.
BEP PRIVATE INVESTORS LP - RENTAL	-116.	-116.
BEP PRIVATE INVESTORS LP - OTHER INCOME	4,910.	4,910.
BEP PRIVATE INVESTORS LP - BUSINESS LOSS	-12,594.	-12,594.
TOTALS	<u>-10,052.</u>	<u>-10,052.</u>

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	14,507.	14,507.		
TOTALS	<u>14,507.</u>	<u>14,507.</u>		

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISOR FEES	20,545.	20,545.
TOTALS	<u>20,545.</u>	<u>20,545.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
ADR FEES	6.	6.
WIRING FEES	25.	25.
ENTERPRISE PRODUCTS PARTNERS	6,459.	6,459.
BEP PRIVATE INVESTORS, L.P.	12,942.	12,942.
TOTALS	<u>19,432.</u>	<u>19,432.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	249,848.	133,672.	134,003.
US OBLIGATIONS TOTAL	<u>249,848.</u>	<u>133,672.</u>	<u>134,003.</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	1,422,759.	1,372,024.	1,984,888.
TOTALS	<u>1,422,759.</u>	<u>1,372,024.</u>	<u>1,984,888.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	410,179.	530,797.	529,711.
TOTALS	<u>410,179.</u>	<u>530,797.</u>	<u>529,711.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BEP PRIVATE INVESTORS LP	181,832.	168,657.	275,690.
TOTALS	<u>181,832.</u>	<u>168,657.</u>	<u>275,690.</u>

ATTACHMENT 10

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MISCELLANEOUS	320,690.	199,382.	247,650.
TOTALS	<u>320,690.</u>	<u>199,382.</u>	<u>247,650.</u>

ATTACHMENT 11

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
ADJUST FOR BALANCE SHEET ITEMS	102.
TOTAL	<u>102.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS

EXPENSE ACCT AND OTHER ALLOWANCES

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

NAME AND ADDRESS

SMITH WINBORNE SELF  
300 PLYMOUTH AVENUE  
WINSTON-SALEM, NC 27104

DIRECTOR, VICE PRESIDENT

ROBERT L. EDWARDS  
417 AVALON ROAD  
WINSTON-SALEM, NC 27104

SECRETARY, TREASURER, DIRECTOR

ROBERT SHELDON  
911 BARTLETT CIRCLE  
HILLSBOROUGH, NC 27278

DIRECTOR

GRAND TOTALS

0.

0.

0.

ATTACHMENT 13FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ROBERT L. EDWARDS  
1001 WEST FOURTH STREET  
WINSTON-SALEM, NC 27101  
336-607-7314

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF NC AT GREENSBORO SCHOOL OF EDUCATION P.O. BOX 26170 GREENSBORO, NC 27402	NONE	EDUCATION PURPOSES; FUNDING SCHOLARSHIPS	75,000.
CENTRAL NC CHAPTER OF THE NATIONAL MS SOCIETY 2211 WEST MEADOWVIEW ROAD, SUITE 30 GREENSBORO, NC 27407	NONE	RESEARCH INTO CAUSES AND CURE FOR MULTIPLE SCLEROSIS	
JUVENILE DIABETES RESEARCH FOUNDATION (JDRF) 312 NORTH EUGENE STREET, SUITE D GREENSBORO, NC 27401	NONE	RESEARCH TO FIND A CURE FOR DIABETES AND ITS COMPLICATIONS	
THE SUMMIT SCHOOL 2100 REYNOLDA ROAD WINSTON-SALEM, NC 27106	NONE	TECHNOLOGY PROJECT AT THE SCHOOL; OTHER EDUCATIONAL ENDEAVORS	40,000.
SALEM ACADEMY & COLLEGE MAIN BOX NUMBER 22 601 SOUTH CHURCH STREET WINSTON-SALEM, NC 27101	NONE	COLLEGE ANNUAL FUND AND ACADEMY ANNUAL FUND	
SAMARITAN MINISTRIES 1243 NORTH PATTERSON AVENUE WINSTON-SALEM, NC 27101	NONE	FOOD AND SHELTER PROGRAM	

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NORTH CAROLINA VETERINARY MEDICAL FOUNDATION, INC. 1060 WILLIAM MOORE DRVIE RALEIGH, NC 27607	ORTHOPEDIC RESEARCH FUND	
SECU FAMILY HOUSE 1970 BALDWIN LANE WINSTON-SALEM, NC 27103	HOSPITAL HOSPITALITY HOUSE PROVIDING AFFORDABLE LODGING IN A CARING ENVIRONMENT FOR REFERRED ADULT PATIENTS AND/OR THEIR CAREGIVERS WHO TRAVEL TO WINSTON-SALEM FOR MEDICAL TREATMENT	10,000.
SECOND HARVEST FOOD BANK OF NORTHWEST NC 3655 REED STREET WINSTON-SALEM, NC 27107	PROVIDE FOOD TO VARIOUS CHARITIES FOR NORTHWEST NORTH CAROLINA	
THE RANDOLPH ARTS GUILD 123 SUNSET AVENUE ASHEBORO, NC 27204	PROVIDES AND CONNECTS CITIZENS OF RANDOLPH COUNTY, NORTH CAROLINA WITH ARTS OPPORTUNITIES	
THE BARNABAS NETWORK P.O. BOX 2666 GREENSBORO, NC 27402	BASIC HOME FURNISHINGS FOR FAMILIES AND INDIVIDUALS WHO ARE MOVING FROM HOMELESSNESS, RECOVERING FROM A MAJOR SETBACK, FLEEING DOMESTIC VIOLENCE OR LIVING WITH INCOMES THAT CANNOT COVER BASIC NEEDS	5,000.
AARF ADOPTON GROUP 311 HARVEY STREET WINSTON-SALEM, NC 27103	ANIMAL ADOPTION AND RESCUE	

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTON-SALEM, NC 27157	NONE	FOR THE CC SMITH STROKE FUND FOR STROKE RESEARCH	
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTON-SALEM, NC 7157	NONE	OPHTHALMOLOGY RESEARCH AND DEVELOPMENT	
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WISNTON-SALEM, NC 27157	NONE	DIABETIC RESEARCH	
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTON-SALEM, NC 27157	NONE	CARDIOLOGY PROGRAM ACTIVITIES	
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTON-SALEM, NC 27157	NONE	BREAST CANCER RESEARCH	
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTON-SALEM, NC 27157	NONE	REGENERATIVE MEDICINE RESEARCH	

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
IMUS RANCH FOR KIDS WITH CANCER P. O BOX 282 HOLTSVILLE, NY 11742	NONE	CAMP FOR CHILDREN WHO ARE AFFECTED BY CANCER, BLOOD DISORDERS AND SUDDEN INFANT DEATH SYSDROME	2,500.
STOKES COUNTY ARTS COUNCIL 500 NORTH MAIN STREET DANBURY, NC 27016	NONE	ART IN EDUCATION- SUPPORTING AND PROMOTING VISUAL AND PERFORMING ARTS IN RURAL STOKES COUNTY, NC	2,500.
FIRST TEE OF THE TRIAD P. O. BOX 236 CLEMMONS, NC 27012	NONE	SUPPORT OF THE SELF COMPUTER LAB LOCATED IN THE JOHN FAIDLEY LEARNING CENTER	25,000.
THIRD STREET EDUCATION CENTER 600 WEST THIRD STREET GREENVILLE, NC 27834	NONE	LEARNING CENTER THAT SERVES CHILDREN IN THE NEIGHBORHOOD THAT INVOLVES LOCAL PARENTS	5,000.
BOOKMARKS 251 NORTH SPRUCE STREET WINSTON-SALEM, NC 27101	NONE	ASSIST IN CREATING HOME FOR THE LITERARY ARTS	5,000.
COMMUNITY CARE CENTER FOR FORSYTH, INC	NONE	CARE FOR PATIENTS WHO CANNOT PAY AND MOSTLY PERSONS NOT ELIGIBLE FOR AN INSURANCE SUBSIDY UNDER THE AFFORDABLE CARE ACT	1,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND  
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

WOMEN FOR WOMEN  
1694-A EAST ARLINGTON BLVD  
GREENVILLE, NC 27101-2410

NONE

PROVIDES AN EFFECTIVE WAY FOR EVERY WOMAN TO  
BECOME INVOLVED IN MAKING A DIFFERENCE IN THE  
COMMUNITY THROUGH COLLECTIVE PHILANTHROPY TO HELP  
FULFILL MISSION OF GIVING HOPE, CHANGE AND A  
BRIGHTER FUTURE FOR THE WOMEN AND GIRLS OF PITT  
COUNTY

1,000.

TOTAL CONTRIBUTIONS PAID

169,500.

**THE SARA SMITH SELF FOUNDATION  
BEGINNING & ENDING MARKET VALUES  
2016**

**CHARLES SCHWAB #9197-9594**

**CASH & MONEY MARKET:**

	<u>BEGINNING</u>	<u>ENDING</u>	<u>TOTALS</u>
JANUARY	112,748	201,829	314,577
FEBRUARY	201,829	46,580	248,409
MARCH	46,580	95,521	142,101
APRIL	95,521	120,072	215,593
MAY	120,072	105,569	225,641
JUNE	105,569	28,783	134,352
JULY	28,783	125,854	154,637
AUGUST	125,854	207,465	333,319
SEPTEMBER	207,465	84,948	292,413
OCTOBER	84,948	207,204	292,152
NOVEMBER	207,204	161,003	368,207
DECEMBER	161,003	95,246	256,249

**SECURITIES:**

	<u>BEGINNING</u>	<u>ENDING</u>	<u>TOTALS</u>
JANUARY	3,225,290	2,976,932	6,202,222
FEBRUARY	2,976,932	3,123,973	6,100,905
MARCH	3,123,973	3,147,024	6,270,997
APRIL	3,147,024	3,131,158	6,278,182
MAY	3,131,158	3,169,604	6,300,762
JUNE	3,169,604	3,244,895	6,414,499
JULY	3,244,895	3,220,389	6,465,284
AUGUST	3,220,389	3,147,751	6,368,140
SEPTEMBER	3,147,751	3,253,872	6,401,623
OCTOBER	3,253,872	3,079,537	6,333,409
NOVEMBER	3,079,537	3,104,619	6,184,156
DECEMBER	3,104,619	3,207,241	6,311,860

TOTAL CHARLES SCHWAB BEGINNING & ENDING MONTH MARKET VALUES

78,609,689

**ALEX. BROWN #4506K143**

	<u>BEGINNING</u>	<u>ENDING</u>	<u>TOTALS</u>
JANUARY	267,697	267,697	535,394
FEBRUARY	267,697	270,103	537,800
MARCH	270,103	270,103	540,206
APRIL	270,103	270,103	540,206
MAY	270,103	270,103	540,206
JUNE	270,103	260,726	530,829
JULY	260,726	260,369	521,095
AUGUST	260,369	260,369	520,738
SEPTEMBER	260,369	260,369	520,738
OCTOBER	260,369	269,092	529,461
NOVEMBER	269,092	275,689	544,781
DECEMBER	275,689	275,690	551,379

TOTAL ALEX. BROWN BEGINNING & ENDING MONTH MARKET VALUES

6,412,833

TOTAL CHARLES SCHWAB & ALEX. BRO BEGINNING & ENDING MONTH MARKET VALUES

85,022,522

THE SARA SMITH SELF FOUNDATION  
YEAR-END BOOK VALUE  
AS OF 12/31/2016

SAVINGS & TEMPORARY CASH INVESTMENTS:

CASH	338	
SCHWAB US TREAS MONEY FD	94,908	95,246

PRIVATE EQUITY:

BEP PRIVATE INVESTORS LP		168,657
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CERTIFICATE OF DEPOSIT:

250,000 SYNCHRONY BAN @ 1.85% DUE 4/27/17	254,163	
60,000 AMERICAN EXPRESS BK @ 1.7% DUE 7/23/18	60,714	314,877

AGENCY SECURITIES, CORPORATE BONDS AND NOTES:

125,000 FREDDIE MAC @ 5% DUE 12/14/18	133,672	
50,000 MORGAN STANLEY @ 2.5% DUE 1/24/19	51,115	
100,000 FEDERAL NATL MTG @ .75% DUE 2/26/19	100,010	
100,000 AT&T INC @ 5.5% DUE 2/1/18	106,025	
60,000 AMERICAN EXPRESS @ 7% DUE 3/19/18	65,442	
100,000 GOLDMAN SACHS GRP @ 4.8% DUE 11/15/19	99,475	
50,000 WALGREEN @ 5.25% DUE 1/15/19	54,196	
50,000 KRAFT FOODS @ 6.125% DUE 8/23/18	54,534	664,469

EQUITIES:

28 ADVANSIX INC	358	
50 ALPHABET INC, CLASS A	19,484	
50 ALPHABET INC, CLASS C	19,600	
4,500 BANK OF AMERICA CORP	61,970	
900 APPLE INC	25,746	
2,500 BOSTON SCIENTIFIC CO	59,784	
500 BERKSHIRE HATHAWAY CL B	33,178	
2,000 CISCO SYSTEMS INC	62,569	
1,200 BRISTOL-MYERS SQUIBB	39,556	
850 ELI LILLY & CO	62,430	
2,000 CHARLES SCHWAB CORP	58,419	
3,500 GOVERNMENT PROPERTIES	65,821	
2,000 D R HORTON CO	61,906	
700 FACEBOOK INC	54,007	
1,250 GENERAL ELECTRIC CO	20,190	
700 HONEYWELL INTERNATIONAL	67,311	
900 LOWES COMPANIES INC	38,254	

THE SARA SMITH SELF FOUNDATION  
YEAR-END BOOK VALUE  
AS OF 12/31/2016

1,700 MONDELEZ INTL INC CL A	51,346	
650 PEPSICO INCORPORATED	40,026	
950 MICROSOFT CORP	60,030	
500 PHILLIPS 66	16,717	
1,200 QUALCOMM INC	55,306	
400 ROPER TECHNOLOGIES	62,896	
1,200 STARBUCKS CORP	44,348	
2,500 SYNOVUS FINANCIAL CORP	57,574	
1,000 T J X COS INC	59,782	
700 UNITED TECHNOLOGIES CORP	47,913	
1,000 WALGREENS BOOTS	35,338	
1,000 WELLS FARGO & CO NEW	37,816	
500 WALT DISNEY CO	52,349	1,372,024

OTHER ASSETS:

3,000 CHARLES SCHWAB	96,237	
2,000 ENTERPRISE PRODUCTS	46,249	
1,000 VANGUARD FTSE PACIFIC	56,896	199,382

TOTAL BOOK VALUE AS OF 12/31/2016	2,814,655
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THE SARA SMITH SELF FOUNDATION  
YEAR-END MARKET VALUE  
AS OF 12/31/2016

SAVINGS & TEMPORARY CASH INVESTMENTS:

CASH	338	
SCHWAB US TREAS MONEY FD	94,908	95,246

PRIVATE EQUITY:

BEP PRIVATE INVESTORS LP		275,690
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CERTIFICATE OF DEPOSIT:

250,000 SYNCHRONY BAN @ 1.85% DUE 4/27/17	250,973	
60,000 AMERICAN EXPRESS BK @ 1.7% DUE 7/23/18	60,019	310,992

AGENCY SECURITIES, CORPORATE BONDS AND NOTES:

125,000 FREDDIE MAC @ 5% DUE 12/14/18	134,003	
50,000 MORGAN STANLEY @ 2.5% DUE 1/24/19	50,388	
100,000 AT&T INC @ 5.5% DUE 2/1/18	103,775	
100,000 GOLDMAN SACHS GRP @ 4.8% DUE 11/15/19	105,765	
60,000 AMERICAN EXPRESS @ 7% DUE 3/19/18	63,781	
50,000 WALGREEN @ 5.25% DUE 1/15/19	52,802	
50,000 KRAFT FOODS @ 6.125% DUE 8/23/18	53,201	
100,000 FEDERAL NATL MTG @ .75% DUE 2/26/19	99,999	663,714

EQUITIES:

28 ADVANSIX INC	620	
50 ALPHABET INC, CLASS A	39,623	
50 ALPHABET INC, CLASS C	38,591	
4,500 BANK OF AMERICA CORP	99,450	
900 APPLE INC	104,238	
2,500 BOSTON SCIENTIFIC CO	54,075	
500 BERKSHIRE HATHAWAY CL B	81,490	
2,000 CISCO SYSTEMS INC	60,440	
850 ELI LILLY & CO	62,518	
1,200 BRISTOL-MYERS SQUIBB	70,128	
3,500 GOVERNMENT PROPERTIES	66,728	
2,000 CHARLES SCHWAB CORP	78,940	
950 MICROSOFT CORP	59,033	
2,000 D R HORTON CO	54,660	
700 FACEBOOK INC	80,535	
1,250 GENERAL ELECTRIC CO	39,500	
700 HONEYWELL INTERNATIONAL	81,095	
900 LOWES COMPANIES INC	64,008	

THE SARA SMITH SELF FOUNDATION  
YEAR-END MARKET VALUE  
AS OF 12/31/2016

1,700 MONDELEZ INTL INC CL A	75,361	
650 PEPSICO INCORPORATED	68,010	
500 PHILLIPS 66	43,205	
1,200 QUALCOMM INC	78,240	
400 ROPER TECHNOLOGIES	73,232	
1,200 STARBUCKS CORP	66,624	
2,500 SYNOVUS FINANCIAL CORP	102,700	
1,000 T J X COS INC	75,130	
700 UNITED TECHNOLOGIES CORP	76,734	
500 WALT DISNEY CO	52,110	
1,000 WALGREENS BOOTS	82,760	
1,000 WELLS FARGO & CO NEW	<u>55,110</u>	1,984,888
 <i>OTHER ASSETS:</i>		
3,000 CHARLES SCHWAB US MC	135,450	
2,000 ENTERPRISE PRODUCTS	54,080	
1,000 VANGUARD FTSE PACIFIC	<u>58,120</u>	247,650
 TOTAL MARKET VALUE AS OF 12/31/2016		 <u><u>3,578,180</u></u>