

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2016

Open to Public
Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

<p>Name of foundation FRESHWIND FOUNDATION</p> <p>Number and street (or P O box number if mail is not delivered to street address) 44238 FREMONT BLVD</p> <p>City or town, state or province, country, and ZIP or foreign postal code FREMONT, CA 94538</p> <p>G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change</p> <p>H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation</p> <p>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,129,952</p>		<p>A Employer identification number 20-2031040</p> <p>B Telephone number (see instructions) (510) 449-3319</p> <p>C If exemption application is pending, check here ► <input type="checkbox"/></p> <p>D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p>E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>			
		<p>J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p>			
<p>Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)	482,493			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	7	7	7	
	4 Dividends and interest from securities	47,762	47,762	47,762	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-12,102			
	b Gross sales price for all assets on line 6a	814,201			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	518,160	47,769	47,769		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,000			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	759			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,000			
	22 Printing and publications				
	23 Other expenses (attach schedule)	20,626			
	24 Total operating and administrative expenses.	25,385	0	0	
25 Contributions, gifts, grants paid	236,700			236,700	
26 Total expenses and disbursements. Add lines 24 and 25	262,085	0	0	236,700	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	256,075				
b Net investment income (if negative, enter -0-)		47,769			
c Adjusted net income (if negative, enter -0-)			47,769		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets					
1	Cash—non-interest-bearing				
2	Savings and temporary cash investments		1,764,657	2,020,732	2,129,952
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule)				
c	Investments—corporate bonds (attach schedule)				
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		1,764,657	2,020,732	2,129,952
Liabilities					
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ►				
23	Total liabilities (add lines 17 through 22)				0
Net Assets or Fund Balances					
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted		1,764,657	2,020,732	
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)		1,764,657	2,020,732	
31	Total liabilities and net assets/fund balances (see instructions)		1,764,657	2,020,732	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,764,657
2	Enter amount from Part I, line 27a	2	256,075
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,020,732
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,020,732

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-12,102	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					
3			3	-10,057	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XIII, line 4			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	955
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	955
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	955
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,113
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	1,113
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	158
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ►	158	Refunded ►
		11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file Form 1120-POL for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____	(2)	On foundation managers ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	Yes	No
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"> By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►CHARLES K CHANG Telephone no ►(510) 657-1515		

Located at ►44238 FREMONT BLVD FREMONT CA

ZIP+4 ►945386000

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15	<input type="checkbox"/>
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to	
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	<i>If "Yes," attach the statement required by Regulations section 53 4945–5(d)</i>	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	<i>If "Yes" to 6b, file Form 8870</i>	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CHARLES K CHANG 44880 VISTA DEL SOL FREMONT, CA 94539	President 10 00	0		
GRACE T WU 44880 VISTA DEL SOL FREMONT, CA 94539	Secretary 10 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred (d) compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1		
2		
All other program-related investments. See instructions		
3		
Total. Add lines 1 through 3		►

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	955
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	955
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	955
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	955
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	236,700
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	236,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	236,700
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. 133,200				
b From 2012. 202,000				
c From 2013. 210,000				
d From 2014. 154,010				
e From 2015. 156,200				
f Total of lines 3a through e.	855,410			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 236,700				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	236,700			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,092,110			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	133,200			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	958,910			
10 Analysis of line 9				
a Excess from 2012. 202,000				
b Excess from 2013. 210,000				
c Excess from 2014. 154,010				
d Excess from 2015. 156,200				
e Excess from 2016. 236,700				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed			
b	85% of line 2a			
c	Qualifying distributions from Part XII, line 4 for each year listed			
d	Amounts included in line 2c not used directly for active conduct of exempt activities			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
CHARLES K CHANG

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.					47,762
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					-12,102
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).					35,667
13 Total. Add line 12, columns (b), (d), and (e).					35,667

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash. . .

(1) Sales of asset

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment or other assets

(4) Reimbursement arrangements

(E) Loans or loan guarantees

(5) Loans or loan guarantees.

(8) Performance of services or membership of fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

* * * * *

2017-05-15

* * * * *

May the IRS discuss this return
with the preparer shown below
(see instr.)? Yes No

**Sign
Here**

**Paid
Preparer
Use Only**

Signature of officer or trustee		Date	Title		with the preparer shown below (see instr ?) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► <input type="checkbox"/>	PTIN P00378585
	YUHSIEN LIN				
	Firm's name ► VSR ACCOUNTANCY CORP CPAS				Firm's EIN ►
Firm's address ► 10455 BANDLEY DR STE 300 CUPERTINO, CA 950141900				Phone no (408) 666-1445	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
106 908 AQR MANAGED FUTURES STR-I	P	2013-07-11	2016-01-21
87 AQR MANAGED FUTURES STR-I	P	2013-07-11	2016-05-09
44 AQR MANAGED FUTURES STR-I	P	2013-06-30	2016-05-27
203 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-24	2016-08-12
30 ANHEUSER-BUSCH INBEV SPN ADR	P	2011-12-22	2016-01-27
45 ANHEUSER-BUSCH INBEV SPN ADR	P	2011-12-22	2016-04-22
10 APPLE COMPUTER INC COM	P	2010-12-01	2016-08-12
305 189 ARTISAN INTERNATIONAL FD I 662	P	2013-07-11	2016-05-09
154 ARTISAN INTERNATIONAL FD I 662	P	2013-07-11	2016-05-27
928 ARTISAN INTERNATIONAL FD I 662	P	2013-07-11	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,140		1,085	55
874		874	
436		447	-11
2,803		2,803	
3,663		1,791	1,872
5,751		2,687	3,064
1,080		453	627
8,503		8,336	167
4,323		4,206	117
26,782		25,347	1,435

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			55
			-11
			1,872
			3,064
			627
			167
			117
			1,435

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
33 784 ARTISAN MID CAP FUND-INSTL 1333	P	2014-10-21	2016-05-09
52 037 ARTISAN MID CAP FUND-INSTL 1333	P	2013-04-25	2016-05-27
22 635 ARTISAN MID CAP FUND-INSTL 1333	P	2014-10-10	2016-05-27
60 243 ARTISAN MID CAP FUND-INSTL 1333	P	2015-01-27	2016-05-27
69 ARTISAN MID CAP FUND-INSTL 1333	P	2013-04-25	2016-08-12
99 ARTISAN MID CAP FUND-INSTL 1333	P	2013-04-25	2016-09-06
169 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-11-07
10 BLACKROCK INC	P	2014-06-17	2016-05-27
15 BOEING COMPANY	P	2015-01-27	2016-05-27
25 CME GROUP INC	P	2014-06-17	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,377		1,482	-105
2,198		2,246	-48
956		1,145	-189
2,544		2,873	-329
3,046		2,979	67
4,413		4,274	139
1,697		1,758	-61
3,647		3,116	531
1,938		1,992	-54
2,588		1,806	782

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-105
			-48
			-189
			-329
			67
			139
			-61
			531
			-54
			782

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
75 CHEVRON CORP	P	2006-03-24	2016-04-22
15 CHEVRON CORP	P	2014-02-26	2016-04-22
90 CONOCOPHILLIPS	P	2012-11-26	2016-01-27
150 CREDIT SUISSE COMM RT ST-CO 2156	P	2013-04-25	2016-04-22
202 565 CREDIT SUISSE COMM RT ST-CO 2156	P	2013-04-25	2016-05-09
256 435 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-01-30	2016-05-09
420 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-01-30	2016-05-27
173 914 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-01-30	2016-09-06
3102 086 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-09-11	2016-09-06
113 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-09-11	2016-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
7,662		4,319	3,343
1,532		1,732	-200
3,299		5,093	-1,794
716		1,146	-430
954		1,548	-594
1,208		1,839	-631
2,062		3,011	-949
838		1,247	-409
14,952		21,684	-6,732
549		790	-241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	
			3,343
			-200
			-1,794
			-430
			-594
			-631
			-949
			-409
			-6,732
			-241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35 CUMMINS INC	P	2014-01-09	2016-05-09
5 CUMMINS INC	P	2014-02-26	2016-05-09
20 DIAGEO PLC - ADR	P	2014-08-19	2016-01-27
54 846 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-04-22
222 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-05-09
173 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-05-27
98 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-08-12
707 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-09-06
13 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-11-07
437 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,951		4,852	-901
564		730	-166
2,129		2,392	-263
2,024		2,079	-55
7,659		8,414	-755
6,235		6,557	-322
3,706		3,714	-8
27,651		26,795	856
502		493	9
5,773		6,083	-310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-901
			-166
			-263
			-55
			-755
			-322
			-8
			856
			9
			-310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
21 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-04-22
219 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-05-27
21 DODGE & COX INCOME FD COM 147	P	2013-05-14	2016-05-27
101 DODGE & COX INCOME FD COM 147	P	2013-04-09	2016-08-12
118 DODGE & COX INCOME FD COM 147	P	2013-04-09	2016-09-06
236 678 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-09-06
540 548 DODGE & COX INCOME FD COM 147	P	2014-05-06	2016-09-06
453 597 DODGE & COX INCOME FD COM 147	P	2015-01-27	2016-09-06
44 498 DODGE & COX INCOME FD COM 147	P	2015-07-24	2016-09-06
99 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
285		285	
2,981		2,980	1
286		294	-8
1,405		1,411	-6
1,648		1,648	
3,306		3,295	11
7,551		7,492	59
6,337		6,305	32
622		606	16
897		894	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1
			-8
			-6
			11
			59
			32
			16
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
70 EXXON MOBIL CORPORATION	P	2009-12-15	2016-04-22
150 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-01-21
246 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-05-09
59 215 FID ADV EMER MKTS INC- CL I 607	P	2013-10-06	2016-05-27
172 785 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-05-27
292 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-08-12
112 JP MORGAN MID CAP VALUE-I 758	P	2014-06-16	2016-04-22
12 557 JP MORGAN MID CAP VALUE-I 758	P	2014-06-16	2016-05-09
47 168 JP MORGAN MID CAP VALUE-I 758	P	2014-10-21	2016-05-09
14 455 JP MORGAN MID CAP VALUE-I 758	P	2015-01-27	2016-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
6,114		4,881	1,233
1,809		2,052	-243
3,223		3,331	-108
775		818	-43
2,262		2,364	-102
4,094		3,995	99
3,997		4,174	-177
444		468	-24
1,667		1,757	-90
511		537	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,233
			-243
			-108
			-43
			-102
			99
			-177
			-24
			-90
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
105 62 JP MORGAN MID CAP VALUE-I 758	P	2014-05-06	2016-05-27
64 JP MORGAN MID CAP VALUE-I 758	P	2014-05-06	2016-08-12
133 JP MORGAN MID CAP VALUE-I 758	P	2014-05-06	2016-09-06
10 GILEAD SCIENCES INC	P	2011-10-18	2016-08-12
5 GOLDMAN SACHS GROUP INC	P	2014-08-19	2016-05-09
30 GOLDMAN SACHS GROUP INC	P	2012-11-26	2016-08-12
40 INTERNATIONAL BUSINESS MACHS CORP	P	2009-12-15	2016-01-27
5 INTERNATIONAL BUSINESS MACHS CORP	P	2011-01-06	2016-01-27
20 JPMORGAN CHASE & CO	P	2012-11-26	2016-08-12
35 JOHNSON & JOHNSON	P	2006-03-14	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,786		3,810	-24
2,380		2,308	72
4,991		4,797	194
795		204	591
791		872	-81
4,898		3,620	1,278
4,848		5,144	-296
606		740	-134
1,302		813	489
3,966		2,078	1,888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-24
			72
			194
			591
			-81
			1,278
			-296
			-134
			489
			1,888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35 JOHNSON & JOHNSON	P	2006-03-14	2016-05-09
40 JOHNSON CONTROLS INC	P	2014-11-26	2016-04-22
85 JOHNSON CONTROLS INC	P	2015-01-27	2016-04-22
99 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-01-30	2016-01-21
80 811 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-01-30	2016-05-09
320 189 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-23	2016-05-09
80 811 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-01-19	2016-05-27
320 189 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-12	2016-05-27
56 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-23	2016-05-27
56 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-11-24	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,978		2,078	1,900
1,673		1,997	-324
3,556		3,970	-414
653		796	-143
569		569	
2,254		2,254	
574		655	-81
2,273		2,459	-186
398		397	1
411		411	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,900
			-324
			-414
			-143
			-81
			-186
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
134 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-23	2016-08-12
56 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-21	2016-11-07
54 JPMORGAN HIGH YIELD FUND SS 3580	P	2015-01-19	2016-11-07
55 LAS VEGAS SANDS CORP	P	2014-11-26	2016-01-27
30 MERCK & CO INC NEW	P	2014-01-09	2016-08-12
57 MERGER FUND-INST #301	P	2013-04-25	2016-01-21
49 MERGER FUND-INST #301	P	2013-04-25	2016-05-09
54 MERGER FUND-INST #301	P	2013-04-25	2016-05-27
38 MERGER FUND-INST #301	P	2013-04-25	2016-11-07
411 MET WEST TOTAL RETURN BOND CL I 512	P	2015-01-27	2016-05-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
984		984	
408		428	-20
394		413	-19
2,282		3,468	-1,186
1,897		1,486	411
851		906	-55
752		752	
836		852	-16
590		604	-14
4,455		4,455	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-20
			-19
			-1,186
			411
			-55
			-16
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
157 MET WEST TOTAL RETURN BOND CL I 512	P	2014-12-29	2016-08-12
254 MET WEST TOTAL RETURN BOND CL I 512	P	2014-12-29	2016-09-06
1670 MET WEST TOTAL RETURN BOND CL I 512	P	2015-01-27	2016-09-06
191 61 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-01-21
14 39 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-31	2016-01-21
195 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-05-09
195 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-06-30	2016-05-27
37 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-05-27
1527 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-09-06
12 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,733		1,733	
2,807		2,804	3
18,454		18,420	34
1,956		2,200	-244
147		167	-20
1,882		1,882	
1,897		2,250	-353
360		360	
15,056		17,530	-2,474
117		138	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3
			34
			-244
			-20
			-353
			-2,474
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
115 NESTLE S A REGISTERED SHARES - ADR	P	2013-10-16	2016-04-22
148 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-27	2016-05-09
23 185 NEUBERGER BERMAN LONG SH-INS #1830	P	2014-06-16	2016-05-27
77 183 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-16	2016-05-27
58 632 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-27	2016-05-27
944 NEUBERGER BERMAN LONG SH-INS #1830	P	2014-06-16	2016-09-06
31 NEUBERGER BERMAN LONG SH-INS #1830	P	2014-06-16	2016-11-07
80 NEXTERA ENERGY INC	P	2014-01-09	2016-01-27
180 ORACLE CORPORATION	P	2010-12-01	2016-04-22
245 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
8,503		7,818	685
1,878		1,893	-15
297		297	
988		996	-8
750		756	-6
12,121		12,112	9
395		398	-3
8,507		6,893	1,614
7,350		4,964	2,386
7,646		8,524	-878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			685
			-15
			-8
			-6
			9
			-3
			1,614
			2,386
			-878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
23 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-05-09
209 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-05-27
285 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-08-12
1624 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-09-06
38 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-11-07
46 753 ROBECO BP LNG/SHRT RES-INS	P	2013-07-11	2016-05-27
353 ROBECO BP LNG/SHRT RES-INS	P	2013-07-11	2016-09-06
485 T ROWE PRICE SHORT TERM BD FD 55	P	2013-07-11	2016-01-21
792 271 T ROWE PRICE SHORT TERM BD FD 55	P	2013-07-11	2016-04-22
1506 276 T ROWE PRICE SHORT TERM BD FD 55	P	2014-09-11	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
690		800	-110
6,439		7,272	-833
9,528		9,916	-388
55,606		56,505	-899
1,271		1,322	-51
704		622	82
5,387		4,695	692
2,284		2,323	-39
3,747		3,791	-44
7,125		7,192	-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-110
			-833
			-388
			-899
			-51
			82
			692
			-39
			-44
			-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
114 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-30	2016-01-21
135 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-30	2016-05-09
108 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-19	2016-05-27
27 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-19	2016-11-07
37 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-30	2016-11-07
605 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-01-21
66 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-04-22
49 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-05-09
41 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-05-27
194 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,104		1,174	-70
1,338		1,338	
1,075		1,113	-38
270		278	-8
370		381	-11
21,496		27,660	-6,164
2,769		3,017	-248
2,060		2,240	-180
1,721		1,874	-153
8,333		8,869	-536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-70
			-38
			-8
			-11
			-6,164
			-248
			-180
			-153
			-536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
187 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-11-07
28 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-04-22
273 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-05-09
36 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-05-27
26 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-08-12
70 SUNCOR ENERGY INC NEW F	P	2015-07-13	2016-08-12
20 TARGET CORP	P	2014-05-01	2016-05-27
50 TARGET CORP	P	2014-08-19	2016-05-27
45 3M CO	P	2014-01-09	2016-04-22
10 3M CO	P	2014-01-09	2016-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
7,285		8,549	-1,264
2,009		2,160	-151
19,470		21,062	-1,592
2,650		2,777	-127
2,024		2,006	18
1,961		1,891	70
1,377		1,235	142
3,442		2,966	476
7,576		6,157	1,419
1,683		1,368	315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,264
			-151
			-1,592
			-127
			18
			70
			142
			476
			1,419
			315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45 TOTAL FINA ELF S A ADR	P	2015-07-28	2016-08-12
205 US BANCORP DEL NEW	P	2012-05-31	2016-01-27
10 UNION PACIFIC CORP	P	2012-05-31	2016-08-12
30 UNITED PARCEL SERVICE-CL B	P	2014-01-09	2016-01-27
5 UNITED PARCEL SERVICE-CL B	P	2014-08-19	2016-01-27
15 UNITED PARCEL SERVICE-CL B	P	2014-08-19	2016-08-12
35 VANGUARD REIT VIPER	P	2013-04-25	2016-01-21
5 VANGUARD REIT VIPER	P	2013-04-25	2016-04-22
58 VANGUARD REIT VIPER	P	2013-04-25	2016-05-09
13 VANGUARD REIT VIPER	P	2013-04-25	2016-05-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,174		2,162	12
8,054		6,380	1,674
919		558	361
2,735		3,061	-326
456		492	-36
1,647		1,477	170
2,590		2,506	84
408		357	51
5,014		4,139	875
1,085		928	157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			12
			1,674
			361
			-326
			-36
			170
			84
			51
			875
			157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
60 VANGUARD REIT VIPER	P	2013-04-25	2016-08-12
202 VANGUARD REIT VIPER	P	2013-04-25	2016-09-06
217 VANGUARD REIT VIPER	P	2013-04-25	2016-11-07
30 EATON CORP PLC	P	2014-11-26	2016-01-27
25 EATON CORP PLC	P	2013-02-20	2016-08-12
75 CHUBB LTD	P	2009-12-15	2016-01-27
25 092 AQR MANAGED FUTURES STR-I	P	2015-02-16	2016-01-21
10 AFFILIATED MANAGERS GROUP INC	P	2016-01-27	2016-04-22
46 INV BALANCE RISK COMM STR-Y 8611	P	2016-09-08	2016-11-07
3 ALPHABET INC/CA	P	2016-01-27	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
5,406		4,269	1,137
18,092		14,374	3,718
17,365		15,407	1,958
1,488		1,996	-508
1,676		1,375	301
8,101		3,656	4,445
267		275	-8
1,779		1,322	457
320		320	
2,346		2,111	235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,137
			3,718
			1,958
			-508
			301
			4,445
			-8
			457
			235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1507 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-24	2016-05-09
12 269 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-13	2016-05-27
261 731 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-24	2016-05-27
36 669 ARTISAN INTERNATIONAL FD I 662	P	2015-11-16	2016-05-09
35 142 ARTISAN INTERNATIONAL FD I 662	P	2016-04-28	2016-05-09
39 216 ARTISAN MID CAP FUND-INSTL 1333	P	2015-11-16	2016-05-09
2 085 ARTISAN MID CAP FUND-INSTL 1333	P	2015-11-16	2016-05-27
20 BERSHIRE HATHAWAY INC	P	2016-01-27	2016-05-27
5 BERSHIRE HATHAWAY INC	P	2016-01-27	2016-08-12
120 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
18,355		22,556	-4,201
157		187	-30
3,345		3,923	-578
1,022		1,070	-48
979		991	-12
1,599		1,923	-324
88		102	-14
2,859		2,521	338
738		630	108
1,163		1,248	-85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4,201
			-30
			-578
			-48
			-12
			-324
			-14
			338
			108
			-85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
242 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-05-09
205 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-05-27
5 BOEING COMPANY	P	2016-01-27	2016-05-27
175 CISCO SYSTEMS INC	P	2015-09-01	2016-01-27
60 CISCO SYSTEMS INC	P	2015-09-01	2016-08-12
20 CITIGROUP INC	P	2016-01-27	2016-05-27
5 DIAGEO PLC - ADR	P	2015-09-01	2016-01-27
70 WALT DISNEY CO	P	2016-01-27	2016-05-27
47 154 DODGE & COX INT'L STOCK FD 1048	P	2015-11-16	2016-04-22
452 679 DODGE & COX INCOME FD COM 147	P	2016-05-11	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,364		2,365	-1
2,013		2,046	-33
646		586	60
4,109		4,419	-310
1,847		1,515	332
926		819	107
532		517	15
7,000		6,635	365
1,740		1,831	-91
6,324		6,184	140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1
			-33
			60
			-310
			332
			107
			15
			365
			-91
			140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
137 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-01-21
91 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-05-09
97 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-05-27
71 FID ADV EMER MKTS INC- CL I 607	P	2016-09-08	2016-11-07
10 82 JP MORGAN MID CAP VALUE-I 758	P	2015-11-16	2016-05-09
8 38 JP MORGAN MID CAP VALUE-I 758	P	2015-11-16	2016-05-27
265 HSBC - ADR	P	2015-07-28	2016-01-27
20 HSBC - ADR	P	2015-07-13	2016-04-22
100 HSBC - ADR	P	2015-07-28	2016-04-22
65 HSBC - ADR	P	2015-09-01	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,225		1,255	-30
817		823	-6
872		879	-7
989		1,006	-17
382		392	-10
300		304	-4
9,044		11,877	-2,833
673		888	-215
3,364		4,482	-1,118
2,186		2,483	-297

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	
			-30
			-6
			-7
			-17
			-10
			-4
			-2,833
			-215
			-1,118
			-297

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
65 LAS VEGAS SANDS CORP	P	2015-01-27	2016-01-27
65 LAS VEGAS SANDS CORP	P	2015-09-01	2016-01-27
629 MET WEST TOTAL RETURN BOND CL I 512	P	2015-01-27	2016-01-21
30 MONSANTO CO NEW	P	2016-01-27	2016-05-27
102 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-27	2016-01-21
25 048 ROBECO BP LNG/SHRT RES-INS	P	2015-11-16	2016-05-09
27 952 ROBECO BP LNG/SHRT RES-INS	P	2016-04-28	2016-05-09
16 247 ROBECO BP LNG/SHRT RES-INS	P	2015-11-05	2016-05-27
1502 316 T ROWE PRICE SHORT TERM BD FD 55	P	2015-07-24	2016-04-22
952 008 T ROWE PRICE SHORT TERM BD FD 55	P	2015-11-16	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
2,697		3,708	-1,011
2,697		2,947	-250
6,724		6,938	-214
3,291		2,638	653
1,213		1,315	-102
370		377	-7
413		417	-4
245		255	-10
7,106		7,128	-22
4,503		4,480	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-1,011
			-250
			-214
			653
			-102
			-7
			-4
			-10
			-22
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
74 332 T ROWE PRICE REAL ESTATE FD 122	P	2015-12-24	2016-05-09
7 668 T ROWE PRICE REAL ESTATE FD 122	P	2016-04-28	2016-05-09
12 T ROWE PRICE REAL ESTATE FD 122	P	2015-12-24	2016-05-27
89 T ROWE PRICE REAL ESTATE FD 122	P	2015-12-24	2016-08-12
30 SUNCOR ENERGY INC NEW F	P	2015-07-13	2016-05-09
10 THERMO FISHER SCIENTIFIC INC	P	2016-01-27	2016-08-12
115 TOTAL FINA ELF S A ADR	P	2015-07-28	2016-01-27
15 UNITED PARCEL SERVICE-CL B	P	2015-09-01	2016-01-27
10 VANGUARD INFLAT-PROT SECS-ADM 5119	P	2016-05-11	2016-05-27
30 EATON CORP PLC	P	2015-01-27	2016-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,167		2,037	130
224		216	8
338		329	9
2,696		2,439	257
764		810	-46
1,555		1,350	205
4,979		5,524	-545
1,368		1,433	-65
263		263	
1,488		1,890	-402

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			130
			8
			9
			257
			-46
			205
			-545
			-65
			-402

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
110 EATON CORP PLC	P	2015-09-01	2016-01-27
18 6140 GAI CORBIN MULTI STRATEGY FUND	P	2015-12-31	2016-11-16
437 99 GAI CORBIN MULTI STRATEGY FUND	P	2014-12-31	2016-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
5,456		5,999	-543
1,889		1,895	-6
44,447		53,480	-9,033

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-543
			-6
			-9,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEHEMIAH INTERNATIONAL SERVICE 850 STEWART DRIVE SUNNYVALE, CA 94085	N/A	501(c)(3)	MISSION	6,000
WORLDWIDE BIBLE SOCIETY 10883-B SOUTH BLANEY AVENUE CUPERTINO, CA 95014	N/A	501(c)(3)	Mission	70,000
AMBASSADORS FOR CHRIST 1355 Terrell Mill Rd Blvd 1462 100 Marietta, GA 30067	N/A	501(c)(3)	Mission	60,000
VILLAGE GOSPEL MISSION P O BOX 18850 STANFORD, CA 94309	N/A	501(c)(3)	MISSION	3,000
LUKE CHRISTIAN MEDICAL MISSION 3630 MEADOWLARK STREET EL MONTE, CA 91732	N/A	501(C)(3)	EDUCATION/MISSION	3,200
CHRISTIAN SONG-ZHU CARING MINISTRIES P O BOX 375 CUPERTINO, CA 95015	N/A	501(c)(3)	MISSION	3,000
THE MEDIA EVANGELISM ASSOCIATION US 1613 S MAIN STREET SUITE 105 MILPITAS, CA 95035	N/A	501(c)(3)	MISSION	6,000
ASIAN HEALTH SERVICES 818 WEBSTER STREET OAKLAND, CA 94607	N/A	501(c)(3)	HEALTH CARE	11,500
AFRICA INLAND MISSION INTERNATIONAL P O BOX 3611 PEACHTREE CITY, GA 30269	N/A	501(C)(3)	MISSION	10,000
THE CHURCH IN FREMONT 37481 MAPLE STREET SUITE A FREMONT, CA 94536	N/A	501(C)(3)	CHURCH	10,000
THE CHURCH IN BERKELEY 2430 DANA STREET BERKELEY, CA 94706	N/A	501(C)(3)	CHURCH	2,000
TIEN DAO CHRISTIAN MEDIA ASSOCIATION 1160 CADILLAC COURT MILPITAS, CA 95035	N/A	501(C)(3)	MISSION	50,000
GO MINISTRIES INC 11501 PLANTSIDE DRIVE STE 14 LOUISVILLE, KY 40299	N/A	501(C)(3)	MISSION	2,000
Total				236,700
3a				

TY 2016 Accounting Fees Schedule

Name: FRESHWIND FOUNDATION

EIN: 20-2031040

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting fee	1,000	0	0	0

TY 2016 Other Expenses Schedule

Name: FRESHWIND FOUNDATION**EIN:** 20-2031040**Software ID:** 16000303**Software Version:** 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment managment fee	19,305			
Other investment charges (adjustment)	920			
Realize loss adjustment	401			

TY 2016 Taxes Schedule

Name: FRESHWIND FOUNDATION**EIN:** 20-2031040**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Attorney General's Registry of Charitable	25			
Foreign tax paid	724			
Franchise Tax Board	10			

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF
 ► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990.

2016**Name of the organization**
FRESHWIND FOUNDATION**Employer identification number**
20-2031040**Organization type** (check one)**Filers of:****Section:**

Form 990 or 990-EZ

501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

527 political organization
 501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc. purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc. purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc. contributions totaling \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization FRESHWIND FOUNDATION		Employer identification number 20-2031040	
Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLES K CHANG GRACE T WU 44880 VISTA DEL SOL	\$ 482,493	Person <input type="checkbox"/>
	FREMONT, CA94539		Payroll <input type="checkbox"/>
			Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization
FRESHWIND FOUNDATION

Employer identification number

20-2031040

Part II		Noncash Property		
		(see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I		(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1		211 SHARES OF ACCENTURE PLC (ACN), 38 SHARES OF AMAZON COM INC, 132 SHARES OF CLARCOR INC, 277 SHARE	\$ 482 493	2016-12-28
(a) No. from Part I		(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
			\$	
			\$	
(a) No. from Part I		(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
			\$	
			\$	
(a) No. from Part I		(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
			\$	
			\$	
(a) No. from Part I		(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
			\$	
			\$	
(a) No. from Part I		(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
			\$	
			\$	

Name of organization FRESHWIND FOUNDATION		Employer identification number 20-2031040	
Part III <i>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____</i> Use duplicate copies of Part III if additional space is needed			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee