

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,764,657	2,020,732	2,129,952
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,764,657	2,020,732	2,129,952	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,764,657	2,020,732	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,764,657	2,020,732	
31	Total liabilities and net assets/fund balances (see instructions) .	1,764,657	2,020,732		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,764,657
2	Enter amount from Part I, line 27a	2	256,075
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,020,732
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,020,732

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	-12,102
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-10,057

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	955
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	955
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	955
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,113
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,113
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	158
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 158 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CHARLES K CHANG Telephone no ► (510) 657-1515			

Located at **►** 44238 FREMONT BLVD FREMONT CA ZIP+4 **►** 945386000

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		No
Organizations relying on a current notice regarding disaster assistance check here.			<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CHARLES K CHANG 44880 VISTA DEL SOL FREMONT, CA 94539	President 10 00	0		
GRACE T WU 44880 VISTA DEL SOL FREMONT, CA 94539	Secretary 10 00	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	955
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	955
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	955
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	955
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	236,700
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	236,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	236,700

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. 133,200				
b From 2012. 202,000				
c From 2013. 210,000				
d From 2014. 154,010				
e From 2015. 156,200				
f Total of lines 3a through e.	855,410			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 236,700				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	236,700			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,092,110			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	133,200			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	958,910			
10 Analysis of line 9				
a Excess from 2012. 202,000				
b Excess from 2013. 210,000				
c Excess from 2014. 154,010				
d Excess from 2015. 156,200				
e Excess from 2016. 236,700				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
CHARLES K CHANG

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	236,700
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

* * * * *

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00378585
	YUHSIEN LIN				
	Firm's name ▶ VSR ACCOUNTANCY CORP CPAS				Firm's EIN ▶
	Firm's address ▶ 10455 BANDLEY DR STE 300 CUPERTINO, CA 950141900				Phone no (408) 666-1445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
106 908 AQR MANAGED FUTURES STR-I	P	2013-07-11	2016-01-21
87 AQR MANAGED FUTURES STR-I	P	2013-07-11	2016-05-09
44 AQR MANAGED FUTURES STR-I	P	2013-06-30	2016-05-27
203 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-24	2016-08-12
30 ANHEUSER-BUSCH INBEV SPN ADR	P	2011-12-22	2016-01-27
45 ANHEUSER-BUSCH INBEV SPN ADR	P	2011-12-22	2016-04-22
10 APPLE COMPUTER INC COM	P	2010-12-01	2016-08-12
305 189 ARTISAN INTERNATIONAL FD I 662	P	2013-07-11	2016-05-09
154 ARTISAN INTERNATIONAL FD I 662	P	2013-07-11	2016-05-27
928 ARTISAN INTERNATIONAL FD I 662	P	2013-07-11	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,140		1,085	55
874		874	
436		447	-11
2,803		2,803	
3,663		1,791	1,872
5,751		2,687	3,064
1,080		453	627
8,503		8,336	167
4,323		4,206	117
26,782		25,347	1,435

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			55
			-11
			1,872
			3,064
			627
			167
			117
			1,435

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 784 ARTISAN MID CAP FUND-INSTL 1333	P	2014-10-21	2016-05-09
52 037 ARTISAN MID CAP FUND-INSTL 1333	P	2013-04-25	2016-05-27
22 635 ARTISAN MID CAP FUND-INSTL 1333	P	2014-10-10	2016-05-27
60 243 ARTISAN MID CAP FUND-INSTL 1333	P	2015-01-27	2016-05-27
69 ARTISAN MID CAP FUND-INSTL 1333	P	2013-04-25	2016-08-12
99 ARTISAN MID CAP FUND-INSTL 1333	P	2013-04-25	2016-09-06
169 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-11-07
10 BLACKROCK INC	P	2014-06-17	2016-05-27
15 BOEING COMPANY	P	2015-01-27	2016-05-27
25 CME GROUP INC	P	2014-06-17	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,377		1,482	-105
2,198		2,246	-48
956		1,145	-189
2,544		2,873	-329
3,046		2,979	67
4,413		4,274	139
1,697		1,758	-61
3,647		3,116	531
1,938		1,992	-54
2,588		1,806	782

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-105
			-48
			-189
			-329
			67
			139
			-61
			531
			-54
			782

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
75 CHEVRON CORP	P	2006-03-24	2016-04-22
15 CHEVRON CORP	P	2014-02-26	2016-04-22
90 CONOCOPHILLIPS	P	2012-11-26	2016-01-27
150 CREDIT SUISSE COMM RT ST-CO 2156	P	2013-04-25	2016-04-22
202 565 CREDIT SUISSE COMM RT ST-CO 2156	P	2013-04-25	2016-05-09
256 435 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-01-30	2016-05-09
420 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-01-30	2016-05-27
173 914 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-01-30	2016-09-06
3102 086 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-09-11	2016-09-06
113 CREDIT SUISSE COMM RT ST-CO 2156	P	2014-09-11	2016-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
7,662		4,319	3,343
1,532		1,732	-200
3,299		5,093	-1,794
716		1,146	-430
954		1,548	-594
1,208		1,839	-631
2,062		3,011	-949
838		1,247	-409
14,952		21,684	-6,732
549		790	-241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,343
			-200
			-1,794
			-430
			-594
			-631
			-949
			-409
			-6,732
			-241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
35 CUMMINS INC	P	2014-01-09	2016-05-09
5 CUMMINS INC	P	2014-02-26	2016-05-09
20 DIAGEO PLC - ADR	P	2014-08-19	2016-01-27
54 846 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-04-22
222 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-05-09
173 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-05-27
98 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-08-12
707 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-09-06
13 DODGE & COX INT'L STOCK FD 1048	P	2013-07-11	2016-11-07
437 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,951		4,852	-901
564		730	-166
2,129		2,392	-263
2,024		2,079	-55
7,659		8,414	-755
6,235		6,557	-322
3,706		3,714	-8
27,651		26,795	856
502		493	9
5,773		6,083	-310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-901
			-166
			-263
			-55
			-755
			-322
			-8
			856
			9
			-310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-04-22
219 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-05-27
21 DODGE & COX INCOME FD COM 147	P	2013-05-14	2016-05-27
101 DODGE & COX INCOME FD COM 147	P	2013-04-09	2016-08-12
118 DODGE & COX INCOME FD COM 147	P	2013-04-09	2016-09-06
236 678 DODGE & COX INCOME FD COM 147	P	2013-04-25	2016-09-06
540 548 DODGE & COX INCOME FD COM 147	P	2014-05-06	2016-09-06
453 597 DODGE & COX INCOME FD COM 147	P	2015-01-27	2016-09-06
44 498 DODGE & COX INCOME FD COM 147	P	2015-07-24	2016-09-06
99 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
285		285	
2,981		2,980	1
286		294	-8
1,405		1,411	-6
1,648		1,648	
3,306		3,295	11
7,551		7,492	59
6,337		6,305	32
622		606	16
897		894	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1
			-8
			-6
			11
			59
			32
			16
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
70 EXXON MOBIL CORPORATION	P	2009-12-15	2016-04-22
150 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-01-21
246 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-05-09
59 215 FID ADV EMER MKTS INC- CL I 607	P	2013-10-06	2016-05-27
172 785 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-05-27
292 FID ADV EMER MKTS INC- CL I 607	P	2013-10-17	2016-08-12
112 JP MORGAN MID CAP VALUE-I 758	P	2014-06-16	2016-04-22
12 557 JP MORGAN MID CAP VALUE-I 758	P	2014-06-16	2016-05-09
47 168 JP MORGAN MID CAP VALUE-I 758	P	2014-10-21	2016-05-09
14 455 JP MORGAN MID CAP VALUE-I 758	P	2015-01-27	2016-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,114		4,881	1,233
1,809		2,052	-243
3,223		3,331	-108
775		818	-43
2,262		2,364	-102
4,094		3,995	99
3,997		4,174	-177
444		468	-24
1,667		1,757	-90
511		537	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,233
			-243
			-108
			-43
			-102
			99
			-177
			-24
			-90
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
105 62 JP MORGAN MID CAP VALUE-I 758	P	2014-05-06	2016-05-27
64 JP MORGAN MID CAP VALUE-I 758	P	2014-05-06	2016-08-12
133 JP MORGAN MID CAP VALUE-I 758	P	2014-05-06	2016-09-06
10 GILEAD SCIENCES INC	P	2011-10-18	2016-08-12
5 GOLDMAN SACHS GROUP INC	P	2014-08-19	2016-05-09
30 GOLDMAN SACHS GROUP INC	P	2012-11-26	2016-08-12
40 INTERNATIONAL BUSINESS MACHS CORP	P	2009-12-15	2016-01-27
5 INTERNATIONAL BUSINESS MACHS CORP	P	2011-01-06	2016-01-27
20 JPMORGAN CHASE & CO	P	2012-11-26	2016-08-12
35 JOHNSON & JOHNSON	P	2006-03-14	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,786		3,810	-24
2,380		2,308	72
4,991		4,797	194
795		204	591
791		872	-81
4,898		3,620	1,278
4,848		5,144	-296
606		740	-134
1,302		813	489
3,966		2,078	1,888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-24
			72
			194
			591
			-81
			1,278
			-296
			-134
			489
			1,888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
35 JOHNSON & JOHNSON	P	2006-03-14	2016-05-09
40 JOHNSON CONTROLS INC	P	2014-11-26	2016-04-22
85 JOHNSON CONTROLS INC	P	2015-01-27	2016-04-22
99 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-01-30	2016-01-21
80 811 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-01-30	2016-05-09
320 189 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-23	2016-05-09
80 811 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-01-19	2016-05-27
320 189 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-12	2016-05-27
56 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-23	2016-05-27
56 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-11-24	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,978		2,078	1,900
1,673		1,997	-324
3,556		3,970	-414
653		796	-143
569		569	
2,254		2,254	
574		655	-81
2,273		2,459	-186
398		397	1
411		411	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,900
			-324
			-414
			-143
			-81
			-186
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
134 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-23	2016-08-12
56 JPMORGAN HIGH YIELD FUND SS 3580	P	2014-12-21	2016-11-07
54 JPMORGAN HIGH YIELD FUND SS 3580	P	2015-01-19	2016-11-07
55 LAS VEGAS SANDS CORP	P	2014-11-26	2016-01-27
30 MERCK & CO INC NEW	P	2014-01-09	2016-08-12
57 MERGER FUND-INST #301	P	2013-04-25	2016-01-21
49 MERGER FUND-INST #301	P	2013-04-25	2016-05-09
54 MERGER FUND-INST #301	P	2013-04-25	2016-05-27
38 MERGER FUND-INST #301	P	2013-04-25	2016-11-07
411 MET WEST TOTAL RETURN BOND CL I 512	P	2015-01-27	2016-05-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
984		984	
408		428	-20
394		413	-19
2,282		3,468	-1,186
1,897		1,486	411
851		906	-55
752		752	
836		852	-16
590		604	-14
4,455		4,455	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			-19
			-1,186
			411
			-55
			-16
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
157 MET WEST TOTAL RETURN BOND CL I 512	P	2014-12-29	2016-08-12
254 MET WEST TOTAL RETURN BOND CL I 512	P	2014-12-29	2016-09-06
1670 MET WEST TOTAL RETURN BOND CL I 512	P	2015-01-27	2016-09-06
191 61 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-01-21
14 39 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-31	2016-01-21
195 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-05-09
195 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-06-30	2016-05-27
37 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-05-27
1527 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-09-06
12 ASG GLOBAL ALTERNATIVES-Y 1993	P	2013-07-11	2016-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,733		1,733	
2,807		2,804	3
18,454		18,420	34
1,956		2,200	-244
147		167	-20
1,882		1,882	
1,897		2,250	-353
360		360	
15,056		17,530	-2,474
117		138	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			34
			-244
			-20
			-353
			-2,474
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
115 NESTLE S A REGISTERED SHARES - ADR	P	2013-10-16	2016-04-22
148 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-27	2016-05-09
23 185 NEUBERGER BERMAN LONG SH-INS #1830	P	2014-06-16	2016-05-27
77 183 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-16	2016-05-27
58 632 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-27	2016-05-27
944 NEUBERGER BERMAN LONG SH-INS #1830	P	2014-06-16	2016-09-06
31 NEUBERGER BERMAN LONG SH-INS #1830	P	2014-06-16	2016-11-07
80 NEXTERA ENERGY INC	P	2014-01-09	2016-01-27
180 ORACLE CORPORATION	P	2010-12-01	2016-04-22
245 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,503		7,818	685
1,878		1,893	-15
297		297	
988		996	-8
750		756	-6
12,121		12,112	9
395		398	-3
8,507		6,893	1,614
7,350		4,964	2,386
7,646		8,524	-878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			685
			-15
			-8
			-6
			9
			-3
			1,614
			2,386
			-878

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-05-09
209 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-05-27
285 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-08-12
1624 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-09-06
38 OPPENHEIMER DEVELOPING MKT-I 799	P	2014-01-30	2016-11-07
46 753 ROBECO BP LNG/SHRT RES-INS	P	2013-07-11	2016-05-27
353 ROBECO BP LNG/SHRT RES-INS	P	2013-07-11	2016-09-06
485 T ROWE PRICE SHORT TERM BD FD 55	P	2013-07-11	2016-01-21
792 271 T ROWE PRICE SHORT TERM BD FD 55	P	2013-07-11	2016-04-22
1506 276 T ROWE PRICE SHORT TERM BD FD 55	P	2014-09-11	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
690		800	-110
6,439		7,272	-833
9,528		9,916	-388
55,606		56,505	-899
1,271		1,322	-51
704		622	82
5,387		4,695	692
2,284		2,323	-39
3,747		3,791	-44
7,125		7,192	-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-110
			-833
			-388
			-899
			-51
			82
			692
			-39
			-44
			-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
114 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-30	2016-01-21
135 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-30	2016-05-09
108 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-19	2016-05-27
27 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-19	2016-11-07
37 T ROWE PRICE INST FLOAT RATE 170	P	2014-01-30	2016-11-07
605 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-01-21
66 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-04-22
49 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-05-09
41 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-05-27
194 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,104		1,174	-70
1,338		1,338	
1,075		1,113	-38
270		278	-8
370		381	-11
21,496		27,660	-6,164
2,769		3,017	-248
2,060		2,240	-180
1,721		1,874	-153
8,333		8,869	-536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-70
			-38
			-8
			-11
			-6,164
			-248
			-180
			-153
			-536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
187 SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2013-04-25	2016-11-07
28 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-04-22
273 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-05-09
36 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-05-27
26 STERLING CAPITAL STRATTON SMALL CAP	P	2014-06-16	2016-08-12
70 SUNCOR ENERGY INC NEW F	P	2015-07-13	2016-08-12
20 TARGET CORP	P	2014-05-01	2016-05-27
50 TARGET CORP	P	2014-08-19	2016-05-27
45 3M CO	P	2014-01-09	2016-04-22
10 3M CO	P	2014-01-09	2016-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,285		8,549	-1,264
2,009		2,160	-151
19,470		21,062	-1,592
2,650		2,777	-127
2,024		2,006	18
1,961		1,891	70
1,377		1,235	142
3,442		2,966	476
7,576		6,157	1,419
1,683		1,368	315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,264
			-151
			-1,592
			-127
			18
			70
			142
			476
			1,419
			315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
45 TOTAL FINA ELF S A ADR	P	2015-07-28	2016-08-12
205 US BANCORP DEL NEW	P	2012-05-31	2016-01-27
10 UNION PACIFIC CORP	P	2012-05-31	2016-08-12
30 UNITED PARCEL SERVICE-CL B	P	2014-01-09	2016-01-27
5 UNITED PARCEL SERVICE-CL B	P	2014-08-19	2016-01-27
15 UNITED PARCEL SERVICE-CL B	P	2014-08-19	2016-08-12
35 VANGUARD REIT VIPER	P	2013-04-25	2016-01-21
5 VANGUARD REIT VIPER	P	2013-04-25	2016-04-22
58 VANGUARD REIT VIPER	P	2013-04-25	2016-05-09
13 VANGUARD REIT VIPER	P	2013-04-25	2016-05-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,174		2,162	12
8,054		6,380	1,674
919		558	361
2,735		3,061	-326
456		492	-36
1,647		1,477	170
2,590		2,506	84
408		357	51
5,014		4,139	875
1,085		928	157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			12
			1,674
			361
			-326
			-36
			170
			84
			51
			875
			157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
60 VANGUARD REIT VIPER	P	2013-04-25	2016-08-12
202 VANGUARD REIT VIPER	P	2013-04-25	2016-09-06
217 VANGUARD REIT VIPER	P	2013-04-25	2016-11-07
30 EATON CORP PLC	P	2014-11-26	2016-01-27
25 EATON CORP PLC	P	2013-02-20	2016-08-12
75 CHUBB LTD	P	2009-12-15	2016-01-27
25 092 AQR MANAGED FUTURES STR-I	P	2015-02-16	2016-01-21
10 AFFILIATED MANAGERS GROUP INC	P	2016-01-27	2016-04-22
46 INV BALANCE RISK COMM STR-Y 8611	P	2016-09-08	2016-11-07
3 ALPHABET INC/CA	P	2016-01-27	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,406		4,269	1,137
18,092		14,374	3,718
17,365		15,407	1,958
1,488		1,996	-508
1,676		1,375	301
8,101		3,656	4,445
267		275	-8
1,779		1,322	457
320		320	
2,346		2,111	235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,137
			3,718
			1,958
			-508
			301
			4,445
			-8
			457
			235

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1507 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-24	2016-05-09
12 269 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-13	2016-05-27
261 731 AMER CENT SMALL CAP GRWTH INST 336	P	2015-07-24	2016-05-27
36 669 ARTISAN INTERNATIONAL FD I 662	P	2015-11-16	2016-05-09
35 142 ARTISAN INTERNATIONAL FD I 662	P	2016-04-28	2016-05-09
39 216 ARTISAN MID CAP FUND-INSTL 1333	P	2015-11-16	2016-05-09
2 085 ARTISAN MID CAP FUND-INSTL 1333	P	2015-11-16	2016-05-27
20 BERSHIRE HATHAWAY INC	P	2016-01-27	2016-05-27
5 BERSHIRE HATHAWAY INC	P	2016-01-27	2016-08-12
120 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,355		22,556	-4,201
157		187	-30
3,345		3,923	-578
1,022		1,070	-48
979		991	-12
1,599		1,923	-324
88		102	-14
2,859		2,521	338
738		630	108
1,163		1,248	-85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,201
			-30
			-578
			-48
			-12
			-324
			-14
			338
			108
			-85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
242 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-05-09
205 BLACKROCK GL L/S CREDIT-INS #1833	P	2015-10-27	2016-05-27
5 BOEING COMPANY	P	2016-01-27	2016-05-27
175 CISCO SYSTEMS INC	P	2015-09-01	2016-01-27
60 CISCO SYSTEMS INC	P	2015-09-01	2016-08-12
20 CITIGROUP INC	P	2016-01-27	2016-05-27
5 DIAGEO PLC - ADR	P	2015-09-01	2016-01-27
70 WALT DISNEY CO	P	2016-01-27	2016-05-27
47 154 DODGE & COX INT'L STOCK FD 1048	P	2015-11-16	2016-04-22
452 679 DODGE & COX INCOME FD COM 147	P	2016-05-11	2016-09-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,364		2,365	-1
2,013		2,046	-33
646		586	60
4,109		4,419	-310
1,847		1,515	332
926		819	107
532		517	15
7,000		6,635	365
1,740		1,831	-91
6,324		6,184	140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1
			-33
			60
			-310
			332
			107
			15
			365
			-91
			140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
137 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-01-21
91 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-05-09
97 EATON VANCE GLOBAL MACRO - I	P	2015-10-27	2016-05-27
71 FID ADV EMER MKTS INC- CL I 607	P	2016-09-08	2016-11-07
10 82 JP MORGAN MID CAP VALUE-I 758	P	2015-11-16	2016-05-09
8 38 JP MORGAN MID CAP VALUE-I 758	P	2015-11-16	2016-05-27
265 HSBC - ADR	P	2015-07-28	2016-01-27
20 HSBC - ADR	P	2015-07-13	2016-04-22
100 HSBC - ADR	P	2015-07-28	2016-04-22
65 HSBC - ADR	P	2015-09-01	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,225		1,255	-30
817		823	-6
872		879	-7
989		1,006	-17
382		392	-10
300		304	-4
9,044		11,877	-2,833
673		888	-215
3,364		4,482	-1,118
2,186		2,483	-297

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-30
			-6
			-7
			-17
			-10
			-4
			-2,833
			-215
			-1,118
			-297

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 LAS VEGAS SANDS CORP	P	2015-01-27	2016-01-27
65 LAS VEGAS SANDS CORP	P	2015-09-01	2016-01-27
629 MET WEST TOTAL RETURN BOND CL I 512	P	2015-01-27	2016-01-21
30 MONSANTO CO NEW	P	2016-01-27	2016-05-27
102 NEUBERGER BERMAN LONG SH-INS #1830	P	2015-01-27	2016-01-21
25 048 ROBECO BP LNG/SHRT RES-INS	P	2015-11-16	2016-05-09
27 952 ROBECO BP LNG/SHRT RES-INS	P	2016-04-28	2016-05-09
16 247 ROBECO BP LNG/SHRT RES-INS	P	2015-11-05	2016-05-27
1502 316 T ROWE PRICE SHORT TERM BD FD 55	P	2015-07-24	2016-04-22
952 008 T ROWE PRICE SHORT TERM BD FD 55	P	2015-11-16	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,697		3,708	-1,011
2,697		2,947	-250
6,724		6,938	-214
3,291		2,638	653
1,213		1,315	-102
370		377	-7
413		417	-4
245		255	-10
7,106		7,128	-22
4,503		4,480	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,011
			-250
			-214
			653
			-102
			-7
			-4
			-10
			-22
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
74 332 T ROWE PRICE REAL ESTATE FD 122	P	2015-12-24	2016-05-09
7 668 T ROWE PRICE REAL ESTATE FD 122	P	2016-04-28	2016-05-09
12 T ROWE PRICE REAL ESTATE FD 122	P	2015-12-24	2016-05-27
89 T ROWE PRICE REAL ESTATE FD 122	P	2015-12-24	2016-08-12
30 SUNCOR ENERGY INC NEW F	P	2015-07-13	2016-05-09
10 THERMO FISHER SCIENTIFIC INC	P	2016-01-27	2016-08-12
115 TOTAL FINA ELF S A ADR	P	2015-07-28	2016-01-27
15 UNITED PARCEL SERVICE-CL B	P	2015-09-01	2016-01-27
10 VANGUARD INFLAT-PROT SECS-ADM 5119	P	2016-05-11	2016-05-27
30 EATON CORP PLC	P	2015-01-27	2016-01-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,167		2,037	130
224		216	8
338		329	9
2,696		2,439	257
764		810	-46
1,555		1,350	205
4,979		5,524	-545
1,368		1,433	-65
263		263	
1,488		1,890	-402

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			130
			8
			9
			257
			-46
			205
			-545
			-65
			-402

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
110 EATON CORP PLC	P	2015-09-01	2016-01-27
18 6140 GAI CORBIN MULTI STRATEGY FUND	P	2015-12-31	2016-11-16
437 99 GAI CORBIN MULTI STRATEGY FUND	P	2014-12-31	2016-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,456		5,999	-543
1,889		1,895	-6
44,447		53,480	-9,033

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-543
			-6
			-9,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEHEMIAH INTERNATIONAL SERVICE 850 STEWART DRIVE SUNNYVALE, CA 94085	N/A	501(c)(3)	MISSION	6,000
WORLDWIDE BIBLE SOCIETY 10883-B SOUTH BLANEY AVENUE CUPERTINO, CA 95014	N/A	501(c)(3)	Mission	70,000
AMBASSADORS FOR CHRIST 1355 Terrell Mill Rd Blud 1462 100 Marietta, GA 30067	N/A	501(c)(3)	Mission	60,000
VILLAGE GOSPEL MISSION P O BOX 18850 STANFORD, CA 94309	N/A	501(c)(3)	MISSION	3,000
LUKE CHRISTIAN MEDICAL MISSION 3630 MEADOWLARK STREET EL MONTE, CA 91732	N/A	501(C)(3)	EDUCATION/MISSION	3,200
CHRISTIAN SONG-ZHU CARING MINISTRIE P O BOX 375 CUPERTINO, CA 95015	N/A	501(c)(3)	MISSION	3,000
THE MEDIA EVANGELISM ASSOCIATION US 1613 S MAIN STREET SUITE 105 MILPITAS, CA 95035	N/A	501(c)(3)	MISSION	6,000
ASIAN HEALTH SERVICES 818 WEBSTER STREET OAKLAND, CA 94607	N/A	501(c)(3)	HEALTH CARE	11,500
AFRICA INLAND MISSION INTERNATIONAL P O BOX 3611 PEACHTREE CITY, GA 30269	N/A	501(C)(3)	MISSION	10,000
THE CHURCH IN FREMONT 37481 MAPLE STREET SUITE A FREMONT, CA 94536	N/A	501(C)(3)	CHURCH	10,000
THE CHURCH IN BERKELEY 2430 DANA STREET BERKELEY, CA 94706	N/A	501(C)(3)	CHURCH	2,000
TIEN DAO CHRISTIAN MEDIA ASSOCIATIO 1160 CADILLAC COURT MILPITAS, CA 95035	N/A	501(C)(3)	MISSION	50,000
GO MINISTRIES INC 11501 PLANTSIDE DRIVE STE 14 LOUISVILLE, KY 40299	N/A	501(C)(3)	MISSION	2,000
Total ► 3a				236,700

TY 2016 Accounting Fees Schedule**Name:** FRESHWIND FOUNDATION**EIN:** 20-2031040**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting fee	1,000	0	0	0

TY 2016 Other Expenses Schedule**Name:** FRESHWIND FOUNDATION**EIN:** 20-2031040**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment managment fee	19,305			
Other investment charges (adjustment)	920			
Realize loss adjustment	401			

TY 2016 Taxes Schedule**Name:** FRESHWIND FOUNDATION**EIN:** 20-2031040**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Attorney General's Registry of Charitabl	25			
Foreign tax paid	724			
Franchise Tax Board	10			

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047 2016
	Name of the organization FRESHWIND FOUNDATION	Employer identification number 20-2031040

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization FRESHWIND FOUNDATION	Employer identification number 20-2031040
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLES K CHANG GRACE T WU 44880 VISTA DEL SOL FREMONT, CA 94539	\$ 482,493	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization FRESHWIND FOUNDATION	Employer identification number 20-2031040
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Part II Noncash Property <small>(see instructions) Use duplicate copies of Part II if additional space is needed</small>			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	211 SHARES OF ACCENTURE PLC (ACN), 38 SHARES OF AMAZON COM INC, 132 SHARES OF CLARCOR INC, 277 SHARE	\$ 482 493	2016-12-28
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization FRESHWIND FOUNDATION	Employer identification number 20-2031040
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	