

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491125006247

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation
THE WILLIAM R HOCH FAMILY
FOUNDATION INC

Number and street (or P O box number if mail is not delivered to street address)
37 CHERRY LANE CT 6

City or town, state or province, country, and ZIP or foreign postal code
BEREA, KY 40403

G Check all that apply

☐ Initial return

☐ Final return

☐ Address change

☐ Initial return of a former public charity

☐ Amended return

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 14,556,974

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

A Employer identification number
20-2020348

B Telephone number (see instructions)
(859) 985-3440

C If exemption application is pending, check here

D 1. Foreign organizations, check here

2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Revenue

Operating and Administrative Expenses

1 Contributions, gifts, grants, etc , received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 179,302

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses.
Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income(if negative, enter -0-)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	10,469	180,900	180,900
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)		434,927	434,127
	b	Investments—corporate stock (attach schedule)	38,090	13,056,590	13,590,061
	c	Investments—corporate bonds (attach schedule)		379,636	351,886
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	48,559	14,052,053	14,556,974	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	48,559	14,052,053	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	48,559	14,052,053	
	31	Total liabilities and net assets/fund balances (see instructions) .	48,559	14,052,053	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	48,559
2	Enter amount from Part I, line 27a	2	14,003,494
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	14,052,053
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	14,052,053

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	-64,679
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	35,000	399,116	0 087694
2014	109,020	415,196	0 262575
2013	102,581	347,608	0 295105
2012	4,000	107,416	0 037238
2011	71,685	127,746	0 561153
2 Total of line 1, column (d)			2 1 243765
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 248753
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 11,233,298
5 Multiply line 4 by line 3			5 2,794,317
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,207
7 Add lines 5 and 6			7 2,800,524
8 Enter qualifying distributions from Part XII, line 4			8 1,071,250

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	12,415
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	12,415
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	12,415
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	680
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	680
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	11,735
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> SD _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of JEANNE M HOCH Telephone no (859) 985-3440			

Located at **37 CHERRY LANE CT 6 BERE A KY** ZIP+4 **40403**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/> 1c			
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b		
	6b		No
	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JEANNE M HOCH 37 CHERRY LANE COURT 6 BEREA, KY 40403	PRESIDENT 1 00	0	0	0
WILLIAM BALVIN 1203 FIR STREET TYNDALL, SD 57066	VICE-PRESIDE 1 00	0	0	0
LISA ROTHSCADL 1719 MAIN STREET TYNDALL, SD 57066	TREASURER 1 00	0	0	0
JANET WAGNER 709 MAPLE STREET TYNDALL, SD 57066	SECRETARY 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3
Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A
Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B
Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	10,805,443
b	Average of monthly cash balances.	1b	598,920
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,404,363
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	11,404,363
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	171,065
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	11,233,298
6	Minimum investment return. Enter 5% of line 5.	6	561,665

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	561,665
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	12,415
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,415
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	549,250
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	549,250
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	549,250

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,071,250
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,071,250
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,071,250

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				549,250
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. 66,928				
b From 2012.				
c From 2013. 87,039				
d From 2014. 90,220				
e From 2015. 15,702				
f Total of lines 3a through e.	259,889			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 1,071,250				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				549,250
e Remaining amount distributed out of corpus	522,000			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	781,889			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	66,928			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	714,961			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013. 87,039				
c Excess from 2014. 90,220				
d Excess from 2015. 15,702				
e Excess from 2016. 522,000				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

◀ ▶

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,071,250
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name SHAUNA M KAUTH CPA	Preparer's Signature	Date 2017-05-05	Check if self-employed ▶ <input type="checkbox"/>	PTIN P00446613
Firm's name ▶ WILLIAMS & COMPANY CPA PC				Firm's EIN ▶ 42-1377056
Firm's address ▶ 614 BROADWAY YANKTON, SD 57078				Phone no (605) 665-9401

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50,000 ADV MICRO DEVICES NT	D		2016-09-28
33338 SH LAMB WESTON HOLDINGS	D		2016-11-10
50,000 AMERENENERGY GEN CO NT	D		2016-11-29
42109 SH NUVEEN DIV ADV	D		2016-09-13
40,000 BAYTOWN TWP MN BOND	D		2016-09-15
ST PAUL MN PORT AUTH	D		2016-06-01
5,000 MN AGRIC & ECON DEV BOND	D		2016-07-15
50,000 SANDRIDGE ENERGY INC SR NOTE	D		2016-10-05
25,000 PRIOR LAKE MN HSG BOND	D		2016-08-01
GOODRICH PETROLEUM CORP	D		2016-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51,292		41,000	10,292
10			10
16,372		47,375	-31,003
6			6
40,800		40,384	416
284		284	
5,000		5,006	-6
730		34,375	-33,645
25,000		25,263	-263
		10,500	-10,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,292
			10
			-31,003
			6
			416
			-6
			-33,645
			-263
			-10,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15,000 SPRINT NEXTEL CORP NOTE	D		2016-12-01
10 SH MOTORS LIQ CO GUC	D		2016-11-15
15,000 AITKIN MN HEALTH BOND	D		2016-05-18
97 SH ALCATEL LUCENT SPONS	D		2016-06-02
25 SH AXCELIS TECHNOLOGIES	D		2016-07-01
6 SH CALIFORNIA RESOURCES	D		2016-06-01
52 SH CALIFORNIA RESOURCES	D		2016-07-05
30 SH ENPRO INDUSTRIES	D		2016-07-05
41 SH GENERAL MOTORS	D		2016-06-10
36 SH GENERAL MOTORS WTS	D		2016-06-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,000		15,647	-647
35			35
15,000		15,164	-164
260		333	-73
3			3
9			9
541		3,826	-3,285
1,255		1,854	-599
1,143		1,435	-292
642		911	-269

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-647
			35
			-164
			-73
			3
			9
			-3,285
			-599
			-292
			-269

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d


List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
36 SH GENERAL MOTORS WTS	D		2016-06-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
378		624	-246

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-246

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GOOD SAMARITAN SOCIETY 2304 LAUREL ST TYNDALL, SD 57066	NONE	PC	ELDERLY	5,000
CITY OF TYNDALL 110 E 17TH AVE TYNDALL, SD 57066	NONE	GOV	COMMUNITY IMPROVEMENT	47,750
CAVALIER CORNER PO BOX 411 TYNDALL, SD 57066	NONE	PC	YOUTH	4,500
CZECH HERITAGE PRESERVATION SOCIETY PO BOX 3 TABOR, SD 57063	NONE	PC	HISTORICAL PRESERVATION	2,000
IZAAK WALTON LEAGUE CLUB 30289 418 AVE TYNDALL, SD 57066	NONE	PC	YOUTH	2,000
THE CARTER CENTER 453 FREEDOM PARKWAY ATLANTA, GA 30307	NONE	PC	POVERTY	10,000
SOUTH DAKOTA STATE FOUNDATION 201 S PHILLIPS AVE SIOUX FALLS, SD 57104	NONE	PC	EDUCATION	1,000,000
Total 				1,071,250
3a				

TY 2016 Accounting Fees Schedule

Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

EIN: 20-2020348

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,861			

TY 2016 Explanation of Non-Filing with Attorney General Statement**Name:** THE WILLIAM R HOCH FAMILY

FOUNDATION INC

EIN: 20-2020348**Statement:** NOT REQUIRED IN SOUTH DAKOTA

TY 2016 Investments Corporate Bonds Schedule

Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

EIN: 20-2020348

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DEAN FOODS SENIOR NOTE	32,025	30,780
ALPHA RESOURCES SENIOR NOTE	17,000	3,250
NOKIA CORP NOTE	32,571	31,665
RADIOSHACK CORP SENIOR NOTE	2,000	1
AK STEEL CORP SENIOR NOTE	26,025	30,600
J C PENNEY CORP SENIOR NOTE	44,125	49,313
SANDRIDGE ENERGY INC SENIOR NOTE		
BON-TON DEPT STORES INC SENIOR NOTE	41,750	24,000
NAVISTAR INTL CORP SENIOR NOTE	34,606	35,350
ALBERTSONS INC SENIOR NOTE	49,500	47,875
PRUDENTIAL FINANCIAL INTERNOTES	100,034	99,052

TY 2016 Investments Corporate Stock Schedule

Name: THE WILLIAM R HOCH FAMILY
 FOUNDATION INC
EIN: 20-2020348

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1220753.94 SH AFLAC CORP	1,220,754	1,619,645
1333 SH EATON CORP	1,875	89,431
188 SH ACCO BRANDS CORP	1,469	2,453
1300 SH AETNA INC NEW	140,218	161,213
114 SH AGILENT TECHNOLOGIES	4,748	5,194
500 SH ALLETE INC	24,910	32,095
15842.49753 SH ALLSTATE INC	1,108,539	1,174,246
78 SH ALPHA NATURAL RESOURCES HLDGS		
3600 SH AMERICAN MOVIL S A DE C V	75,528	45,252
3246 SH ANGLO AMERN PLC	28,954	23,264
78 SH ANR INC		
462 SH APACHE CORP	31,790	29,323
1915.8264 SH ARCHER DANIELS MIDLAND	96,299	87,457
225 SH ARCTIC CAT INC	7,872	3,380
1000 SH ASSURED GUARANTY LTD	25,990	37,770
309 SH AXCELIS TECHNOLOGIES	3,185	4,496
1724 SH BRISTOL-MYERS SQUIBB	112,094	100,751
700 SH CATERPILLAR INC	61,222	64,918
450 SH CBS CORP CL	28,001	28,629
1093 SH CENTURYLINK INC	39,102	25,992
300 SH CHESAPEAKE ENERGY	27,060	13,905
453 SH CITIGROUP INC	24,361	26,922
6928 SH CONAGRA BRANDS INC	202,560	274,002
5 SH CONTURA ENERGY INC		355
9 SH CONTURA ENERGY INC WARRANTS		
1212 SH DELTA AIR LINES INC	53,207	59,618
660 SH DISCOVER FINL SERV	39,138	47,579
24615.42709 SH DOMINION RESOURCES IN	1,746,224	1,885,296
1879.3735 SH DOW CHEMICAL CO	97,295	107,538
162 SH EASTMAN CHEM CO	12,982	12,184

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1222.60177 SH EXXON MOBIL CORP	109,184	110,352
2000 SH FREEPORT-MCMORAN INC	46,700	26,380
4300 SH GENERAL ELECTRIC CO	116,552	135,880
4560 SH HARSCO CORP	74,670	62,016
3253.63684 SH HNI CORP	156,142	181,943
600 SH HP CORP	19,980	8,904
1500 SH INTEL CORP	49,609	54,405
456 SH INTERPUBLIC GROUP CO	9,597	10,675
1800 SH JOHNSON & JOHNSON	179,622	207,378
300 SH KATE SPADE & CO	9,924	5,601
57 SH KEYSIGHT TECHNOLOGIES	1,961	2,084
2800 SH KROGER CO	96,408	96,628
2309 SH LAMB WESTON HOLDINGS	53,861	87,396
2300 SH MERCK & CO	138,874	135,401
70 SH MONEYGRAM INTL INC	562	827
10 SH MOTORS LIQUIDATION COMP GUC	203	84
20999 SH MYLAN N V	1,533,766	801,112
10 SH NEWS CORP	161	115
835 SH NII HOLDINGS	21,125	1,795
932 SH OCCIDENTAL PETE CORP	74,359	66,386
2148 SH OLIN CORP	64,698	55,010
1000 SH OTTER TAIL POWER CO	28,635	40,800
1861 SH PRAXAIR INC	226,446	218,091
136 SH PRINCIPAL FINANCIAL GROUP	7,065	7,869
19 SH RAYTHEON CO	2,002	2,698
400 SH ROYAL DUTCH SHELL PLC	25,588	21,752
41 SH SANDRIDGE ENERGY INC WARRANTS		166
97 SH SANDRIDGE ENERGY INC WARRANTS		340
112 SH SANDRIDGE ENERGY INC		2,638
1100 SH SENIOR HOUSING PROP	22,182	20,823

Name of Stock	End of Year Book Value	End of Year Fair Market Value
500 SH STATE AUTO FINANCIAL CORP	11,696	13,405
2535.03945 SH TARGET CORP	201,675	183,106
32 SH TRAVELERS COMPANIES	3,272	3,917
42 SH TWENTY-FIRST CENTURY FOX	1,438	1,178
857 SH TYSON FOODS INC	35,184	52,860
25 SH VERITIV CORP	981	1,344
3791.49322 SH VERIZON COMMUNICATIONS	190,997	202,390
54 SH VIACOM INC	3,767	1,895
140 SH VIAD CORP	3,715	6,174
600 SH WAL-MART STORES	47,121	41,472
4330.48192 SH WEC ENERGY GROUP INC	212,367	253,983
73084.12512 SH XCEL ENERGY INC	2,482,668	2,974,524
800 SH XI GROUP LTD	29,600	29,808
322 SH ZIMMER BIOMET HOLDINGS	35,488	33,230
1500 SH GOLDMAN SACHS GROUP INC	31,035	33,135
1500 SH GOLMAN SACHS GRP INC	30,495	32,730
500 SH MFA FINANCIAL INC	12,503	12,117
2000 SH MORGAN STANLEY DEP	42,900	46,000
1000 SH FRANKLIN UNIVERS TRUST	7,090	6,680
69.5544 SH INSIGHT SELECT INCOME FD	1,367	1,333
300 SH ISHARES S&P US PFD STK IND	11,891	11,163
2050 SH NUVEEN MUNI VALUE FUND	20,089	19,598
1505 SH NUVEEN QUALITY MUNI INC FUND	20,529	21,010
5934.5724 SH PUTNAM MANAGED MUNI FUN	43,382	41,957
4643.5 SH CAPITAL WORLD GROWTH & INC	221,897	203,107
9886.236 SH EATON VANCE TAX MANG GRO	171,850	183,192
27984.401 SH FRANKLIN HIGH YIELD TAX	294,676	285,161
32546.798 SH FRANKLIN INC FUND	78,516	75,183
6031.563 SH INVESCO MUNI INC FUND	81,969	79,134
12119.545 SH OPPENHEIMER INTL BOND	71,063	67,991

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2941.41 SH OPPENHEIMER SENIOR FLOAT	24,037	23,972
3081.564 SH OPPENHEIMER STEELPATH ML	32,091	23,882
4620.846 SH PUTNAM GLOBAL UTIL	57,032	52,447
2632.781 SH PUTNAM TAX EXEMPT INC	23,089	22,273
1848.463 SH SMALLCAP WORLD FUND	89,170	84,992
2984.559 SH TEMPLETON GROWTH FUND	71,993	68,675
3339.301 SH THORNBURG INVT INC	72,704	66,586

TY 2016 Investments Government Obligations Schedule

Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

EIN: 20-2020348

**US Government Securities - End
of Year Book Value:**

133,225

**US Government Securities - End
of Year Fair Market Value:**

131,702

**State & Local Government
Securities - End of Year Book
Value:**

301,702

**State & Local Government
Securities - End of Year Fair
Market Value:**

302,425

TY 2016 Other Income Schedule

Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

EIN: 20-2020348

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTIONS	6,341	6,341	
WILLIAM R HOCH ESTATE	5,028		

**TY 2016 Substantial Contributors
Schedule**

Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

EIN: 20-2020348

Name	Address
WILLIAM R HOCH ESTATE	37 CHERRY LANE CT APT 6 BEREA, KY 40403

TY 2016 Taxes Schedule

Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

EIN: 20-2020348

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXCISE TAX ESTIMATES	363			
FOREIGN TAX WITHHOLDING-ED JONES	169	169		
FOREIGN TAX WITHHOLDING-WILLIAM	1,110	1,110		

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2016
	Name of the organization THE WILLIAM R HOCH FAMILY FOUNDATION INC	Employer identification number 20-2020348

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE WILLIAM R HOCH FAMILY FOUNDATION INC	Employer identification number 20-2020348
--	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM R HOCH ESTATE IN CARE OF JEANNE M HOCH 37 CHERRY LANE CT APT 6 BEREA, KY40403	 \$ 14,451,997 	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE WILLIAM R HOCH FAMILY FOUNDATION INC	Employer identification number 20-2020348
--	---

Part II Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

Name of organizationTHE WILLIAM R HOCH FAMILY
FOUNDATION INC**Employer identification number**

20-2020348

Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

Additional Data

Software ID:
Software Version:
EIN: 20-2020348
Name: THE WILLIAM R HOCH FAMILY
FOUNDATION INC

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	ST LOUIS PARK MN HLTH CARE REV B	<u>\$ 16,513</u>	<u>2016-04-21</u>
<u>1</u>	SOUTHEAST WI BASEBALL PK	<u>\$ 6,195</u>	<u>2016-04-21</u>
<u>1</u>	JACKSON MN HOUSING	<u>\$ 9,926</u>	<u>2016-04-21</u>
<u>1</u>	NORTH OAKS MN SR	<u>\$ 25,724</u>	<u>2016-04-18</u>
<u>1</u>	AMERENENERGY GEN CO	<u>\$ 18,000</u>	<u>2016-04-21</u>
<u>1</u>	NAVISTAR INTL CORP	<u>\$ 25,025</u>	<u>2016-04-21</u>
<u>1</u>	AETNA INC STOCK-1000 SHARES	<u>\$ 110,280</u>	<u>2016-04-07</u>
<u>1</u>	ALLETE INC STOCK-500 SHARES	<u>\$ 27,210</u>	<u>2016-04-21</u>
<u>1</u>	ARCHER DANIELS MIDLAND CO STOCK	<u>\$ 25,579</u>	<u>2016-04-21</u>
<u>1</u>	CA RESOURCE CORP STOCK-246 SHARE	<u>\$ 494</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	CITIGROUP INC STOCK-60 SHARES	<u>\$ 2,796</u>	<u>2016-04-21</u>
<u>1</u>	DOW CHEM CO STK-1879 3735 SHARES	<u>\$ 94,796</u>	<u>2016-04-07</u>
<u>1</u>	GEN ELECTRIC CO STK-4300 SHARES	<u>\$ 133,214</u>	<u>2016-04-21</u>
<u>1</u>	HNI CORP STK-3253 63684 SHARES	<u>\$ 127,347</u>	<u>2016-04-07</u>
<u>1</u>	KEYSIGHT TECH INC STK-57 SHARES	<u>\$ 1,543</u>	<u>2016-04-21</u>
<u>1</u>	MYLAN N V STK-14062 SHARES	<u>\$ 686,226</u>	<u>2016-04-21</u>
<u>1</u>	PRAXAIR INC STK-1861 SHARES	<u>\$ 221,180</u>	<u>2016-04-21</u>
<u>1</u>	TARGET CORP STK-2535 03945 SHRS	<u>\$ 206,783</u>	<u>2016-04-07</u>
<u>1</u>	VERIZON COMM STK-1098 SHRS	<u>\$ 54,933</u>	<u>2016-04-21</u>
<u>1</u>	EXCEL ENGY INC STK-36600 SHRS	<u>\$ 1,413,126</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	MORGAN STANLEY STK-2000 SHRS	<u>\$ 41,400</u>	<u>2016-04-21</u>
<u>1</u>	PUTNAM MANG MUN INCM TR-5932 5 S	<u>\$ 45,934</u>	<u>2016-04-07</u>
<u>1</u>	OPPENHEIMER INTL-11767 39	<u>\$ 66,928</u>	<u>2016-04-07</u>
<u>1</u>	TEMPLETON GRWTH FUND	<u>\$ 65,316</u>	<u>2016-04-21</u>
<u>1</u>	MN AGRIC & ECON DEV	<u>\$ 4,985</u>	<u>2016-04-21</u>
<u>1</u>	ST PAUL MN PORT AUTH	<u>\$ 7,125</u>	<u>2016-04-21</u>
<u>1</u>	FRANKLIN-MCKINLEY CA	<u>\$ 21,097</u>	<u>2016-04-07</u>
<u>1</u>	SPRINT NEXEL CORP	<u>\$ 15,075</u>	<u>2016-04-18</u>
<u>1</u>	AK STEEL CORP	<u>\$ 25,725</u>	<u>2016-04-21</u>
<u>1</u>	ALBERTSONS INC	<u>\$ 50,125</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	AETNA IN STOCK-300 SHARES	<u>\$ 33,927</u>	<u>2016-04-21</u>
<u>1</u>	ALLSTATE CORP STK-15,842 49753 S	<u>\$ 1,050,041</u>	<u>2016-04-07</u>
<u>1</u>	ARCTIC CAT INC STOCK-225 SHARES	<u>\$ 3,593</u>	<u>2016-04-21</u>
<u>1</u>	CATERPILLAR INC STOCK-700 SHARES	<u>\$ 55,062</u>	<u>2016-04-21</u>
<u>1</u>	CONAGRA FOOD STOCK-985 SHARES	<u>\$ 45,399</u>	<u>2016-04-07</u>
<u>1</u>	EASTMAN CHEM CO STK-112 SHARES	<u>\$ 7,973</u>	<u>2016-04-07</u>
<u>1</u>	GEN MOTORS CO WTS EXP 7/10/16	<u>\$ 812</u>	<u>2016-04-21</u>
<u>1</u>	HP INC STK-600 SHARES	<u>\$ 7,554</u>	<u>2016-04-21</u>
<u>1</u>	KROGER CO STK-2800 SHARES	<u>\$ 106,876</u>	<u>2016-04-07</u>
<u>1</u>	NEWS CORP STK-10 SHARES	<u>\$ 127</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	PRINCIPAL FIN GROUP STK-136 SHRS	<u>\$ 5,262</u>	<u>2016-04-07</u>
<u>1</u>	TRAVELERS CO INC STK-32 SHRS	<u>\$ 3,481</u>	<u>2016-04-21</u>
<u>1</u>	VIACOM INC STK-54 SHRS	<u>\$ 2,298</u>	<u>2016-04-21</u>
<u>1</u>	XI GROUP PLC STK-800 SHRS	<u>\$ 28,456</u>	<u>2016-04-21</u>
<u>1</u>	CUTWATER SELECT FUN-69 5544 SHRS	<u>\$ 1,311</u>	<u>2016-04-07</u>
<u>1</u>	CAPITAL WRLD GRW & INC-4429 294	<u>\$ 195,598</u>	<u>2016-04-21</u>
<u>1</u>	OPPENHEIMER SR FLOATING	<u>\$ 21,986</u>	<u>2016-04-21</u>
<u>1</u>	THORNBURG INVT INCM BUILDER	<u>\$ 63,522</u>	<u>2016-04-21</u>
<u>1</u>	LIVERMORE VY CA	<u>\$ 26,540</u>	<u>2016-04-07</u>
<u>1</u>	CUYUNA RANGE HOSPITAL	<u>\$ 25,181</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	PRIOR LAKE MN SR	<u>\$ 24,895</u>	<u>2016-04-21</u>
<u>1</u>	DEAN FOODS CO	<u>\$ 31,575</u>	<u>2016-04-18</u>
<u>1</u>	JC PENNEY CORP INC	<u>\$ 47,750</u>	<u>2016-04-21</u>
<u>1</u>	PUDENTIAL FINL INC	<u>\$ 101,677</u>	<u>2016-04-21</u>
<u>1</u>	AFLAC INC STOCK-18,547 76554 SHR	<u>\$ 1,168,509</u>	<u>2016-04-07</u>
<u>1</u>	AMERICA MOVIL STOCK-3600 SHARES	<u>\$ 56,196</u>	<u>2016-04-21</u>
<u>1</u>	ASSURED GUARANTY STOCK-1000 SHRS	<u>\$ 26,230</u>	<u>2016-04-21</u>
<u>1</u>	CBS CORP STOCK-450 SHARES	<u>\$ 25,119</u>	<u>2016-04-21</u>
<u>1</u>	CONAGRA FOOD STOCK-5943 SHARES	<u>\$ 264,166</u>	<u>2016-04-21</u>
<u>1</u>	EASTMAN CHEM CO STK-50 SHARES	<u>\$ 3,804</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	GEN MOTOR CO WARRANT EXP 7/10/19	<u>\$ 534</u>	<u>2016-04-21</u>
<u>1</u>	INTEL CORP STK-1500 SHARES	<u>\$ 47,955</u>	<u>2016-04-21</u>
<u>1</u>	MERCK & CO STK-2300 SHARES	<u>\$ 130,180</u>	<u>2016-04-21</u>
<u>1</u>	OCCIDENTAL PETE CORP STK-430 SHR	<u>\$ 29,833</u>	<u>2016-04-07</u>
<u>1</u>	RAYTHEON CO STK-19 SHRS	<u>\$ 2,400</u>	<u>2016-04-21</u>
<u>1</u>	TWENTY-FIRST CENT FOX STK-42 SHR	<u>\$ 1,274</u>	<u>2016-04-21</u>
<u>1</u>	VIAD CORP STK-140 SHRS	<u>\$ 4,059</u>	<u>2016-04-07</u>
<u>1</u>	ZIMMER BIOMET HLD INC STK-322 SH	<u>\$ 34,824</u>	<u>2016-04-07</u>
<u>1</u>	FRANKLIN UNI TRUST-1000 SHRS	<u>\$ 6,210</u>	<u>2016-04-07</u>
<u>1</u>	EATON VANCE TAX-9865 055	<u>\$ 172,540</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	PUTMAN GLOBAL UTILITIES CI	<u>\$ 55,129</u>	<u>2016-04-07</u>
<u>1</u>	NII HOLDINGS INC STK-835 SHARES	<u>\$ 3,958</u>	<u>2016-05-05</u>
<u>1</u>	SOUTHERN MN MUN PWR	<u>\$ 8,915</u>	<u>2016-04-21</u>
<u>1</u>	FALLBROOK CA UN ELEM	<u>\$ 28,885</u>	<u>2016-04-07</u>
<u>1</u>	MAPLE GROVE MN HEALTH	<u>\$ 25,635</u>	<u>2016-04-21</u>
<u>1</u>	ALPHA NATURAL RESOURCES	<u>\$ 500</u>	<u>2016-04-18</u>
<u>1</u>	ADVANCED MICRO DEVICES	<u>\$ 35,750</u>	<u>2016-04-21</u>
<u>1</u>	FEDERAL HOME LOAN CONS	<u>\$ 97,425</u>	<u>2016-04-21</u>
<u>1</u>	AFLAC IN STOCK-50 SHARES	<u>\$ 3,370</u>	<u>2016-04-21</u>
<u>1</u>	ANGLO AMERN STK-3246 SHARES	<u>\$ 11,578</u>	<u>2016-04-07</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	AXCELIS TECH STOCK- 1237 SHARES	<u>\$ 3,402</u>	<u>2016-04-07</u>
<u>1</u>	CENTURYLINK INC STOCK-1093 SHARE	<u>\$ 34,834</u>	<u>2016-04-07</u>
<u>1</u>	DETLA AIR LINES STK-1212 SHARES	<u>\$ 54,504</u>	<u>2016-04-21</u>
<u>1</u>	ENPRO IND INC STK-30 SHARES	<u>\$ 1,662</u>	<u>2016-04-07</u>
<u>1</u>	GEN MOTORS CO STK-41 SHARES	<u>\$ 1,339</u>	<u>2016-04-21</u>
<u>1</u>	INTERPUBLIC GROUP INC STK-456 SH	<u>\$ 10,379</u>	<u>2016-04-07</u>
<u>1</u>	MONEYGRAM INTL INC STK-70 SHARES	<u>\$ 415</u>	<u>2016-04-07</u>
<u>1</u>	OCCIDENTAL PETE CORP STK-502 SHR	<u>\$ 37,459</u>	<u>2016-04-21</u>
<u>1</u>	ROYAL DUTCH PLC STK-400 SHRS	<u>\$ 21,052</u>	<u>2016-04-21</u>
<u>1</u>	TYSON FOODS INC STK-857 SHRS	<u>\$ 53,940</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	WALMART STORES INC STK-600 SHRS	<u>\$ 41,082</u>	<u>2016-04-21</u>
<u>1</u>	GOLDMAN SACHS STK-1500 SHRS	<u>\$ 29,835</u>	<u>2016-04-21</u>
<u>1</u>	ISHARES S & P US-300 SHRS	<u>\$ 11,490</u>	<u>2016-04-07</u>
<u>1</u>	FRANKLIN HIGH YEILD TAX FREE	<u>\$ 298,314</u>	<u>2016-04-07</u>
<u>1</u>	PUTMAN TAX EXEMPT INCOME	<u>\$ 23,247</u>	<u>2016-04-07</u>
<u>1</u>	PHOENIX AZ & PIMA CNTY AZ	<u>\$ 779</u>	<u>2016-07-05</u>
<u>1</u>	INTL FALLS MN POLLUTN CTL	<u>\$ 14,934</u>	<u>2016-04-21</u>
<u>1</u>	PUERTO RICO ELEC PWR	<u>\$ 49,746</u>	<u>2016-04-21</u>
<u>1</u>	INVER GROVE HEIGHTS MN	<u>\$ 19,865</u>	<u>2016-04-18</u>
<u>1</u>	NOKIA CORP	<u>\$ 32,025</u>	<u>2016-04-18</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	SANDRIDGE ENERGY	<u>\$ 3,063</u>	<u>2016-04-21</u>
<u>1</u>	TENNESSEE VALLEY AUTH PARRS	<u>\$ 38,445</u>	<u>2016-04-21</u>
<u>1</u>	AGILENT TECH STOCK-114 SHARES	<u>\$ 4,780</u>	<u>2016-04-21</u>
<u>1</u>	APACHE CORP STOCK-462 SHARES	<u>\$ 25,308</u>	<u>2016-04-21</u>
<u>1</u>	BRISTOL-MYERS SQUIBB STK-1724 SH	<u>\$ 114,267</u>	<u>2016-04-07</u>
<u>1</u>	CHESAPEAKE ENERGY STK-300 SHARES	<u>\$ 7,845</u>	<u>2016-04-21</u>
<u>1</u>	DISCOVER FINL SVCA STK-660 SHARE	<u>\$ 37,198</u>	<u>2016-04-21</u>
<u>1</u>	EXXON MO CORP STK-1222 60177 SHR	<u>\$ 100,706</u>	<u>2016-04-07</u>
<u>1</u>	GOODRICH PETR CORP STK-1000 SHRS	<u>\$ 40</u>	<u>2006-04-21</u>
<u>1</u>	JOHNSON & JOHNSON STK-1800 SHRS	<u>\$ 204,426</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	MOTORS LIQ CO STK-10 SHARES	<u>\$ 103</u>	<u>2016-04-21</u>
<u>1</u>	OLIN CORP STL-2148 SHARES	<u>\$ 36,366</u>	<u>2016-04-07</u>
<u>1</u>	SENIOR HSING PROP STK-1100 SHRS	<u>\$ 18,898</u>	<u>2016-04-21</u>
<u>1</u>	VERITIV CORP STK-25 SHRS	<u>\$ 926</u>	<u>2016-04-07</u>
<u>1</u>	WEC ENERGY INC STK-4330 48192 SH	<u>\$ 255,109</u>	<u>2016-04-07</u>
<u>1</u>	GOLDMAN SACHS PFD STK-1500 SHRS	<u>\$ 30,450</u>	<u>2016-04-21</u>
<u>1</u>	NUVEEN MUN VALUE FUND-2050 SHRS	<u>\$ 21,505</u>	<u>2016-04-07</u>
<u>1</u>	FRANKLIN INC FUND CI-31390 SHRS	<u>\$ 68,117</u>	<u>2016-04-21</u>
<u>1</u>	SMALLCAP WORLD FUND	<u>\$ 77,552</u>	<u>2016-04-07</u>
<u>1</u>	INTL FALLS MN POLLUTN CTL	<u>\$ 19,912</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	AITKIN MN HEALTH CARE	<u>\$ 14,969</u>	<u>2016-04-21</u>
<u>1</u>	BAYTOWN TWP MN	<u>\$ 40,612</u>	<u>2016-04-18</u>
<u>1</u>	RADIOSHACK CORP	<u>\$ 125</u>	<u>2016-04-18</u>
<u>1</u>	BON-TON DEPT STORES	<u>\$ 22,875</u>	<u>2016-04-21</u>
<u>1</u>	ACCO BRANDS CORP STOCK-188 SHRS	<u>\$ 1,628</u>	<u>2016-04-07</u>
<u>1</u>	ALCATEL LUCENT STK-97 SHARES	<u>\$ 336</u>	<u>2016-04-21</u>
<u>1</u>	ARCHER DANIELS MIDLAND CO STOCK	<u>\$ 44,608</u>	<u>2016-04-07</u>
<u>1</u>	CA RESOURCE CORP STOCK-280 SHARE	<u>\$ 316</u>	<u>2016-04-07</u>
<u>1</u>	CITIGROUP INC STOCK-393 SHARES	<u>\$ 15,826</u>	<u>2016-04-07</u>
<u>1</u>	DOMINION RES STK-24615 42709 SHA	<u>\$ 1,796,188</u>	<u>2016-04-07</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	FREEPORTMCMORAN INC STK-2000 SHR	<u>\$ 23,040</u>	<u>2016-04-21</u>
<u>1</u>	HARSCO CORP STK-4560 SHARES	<u>\$ 23,758</u>	<u>2016-04-07</u>
<u>1</u>	KATE SPADE CO STK-300 SHARES	<u>\$ 7,404</u>	<u>2016-04-21</u>
<u>1</u>	MYLAN N V STK-6937 SHARES	<u>\$ 333,462</u>	<u>2016-04-07</u>
<u>1</u>	OTTER TAIL PWR STK-1000 SHARES	<u>\$ 28,390</u>	<u>2016-04-21</u>
<u>1</u>	STATE AUTO FIN CORP STK-500 SHRS	<u>\$ 10,130</u>	<u>2016-04-21</u>
<u>1</u>	VERIZON COMM STK-2693 49322 SHRS	<u>\$ 140,062</u>	<u>2016-04-07</u>
<u>1</u>	XCEL ENGY INC STK-36484 12512 SH	<u>\$ 1,488,187</u>	<u>2016-04-07</u>
<u>1</u>	MFA FIN INC PFD STK-500 SHRS	<u>\$ 12,275</u>	<u>2016-04-21</u>
<u>1</u>	NUVEEN PREM INC FUND-1500 SHRS	<u>\$ 22,170</u>	<u>2016-04-21</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	INVESCO MUNI INC-6031 563 SHRS	<u>\$ 83,356</u>	<u>2016-04-07</u>
<u>1</u>	STEELPATH MLP INC FUND	<u>\$ 20,516</u>	<u>2016-04-21</u>