Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation	WILLIAM H & HELEN L NELSON SCH FUND
Number and street (or P.O. box number if mail is not delivered to street address)	P O BOX 1906
Room/suite	
City or town, state or province, country, and ZIP or foreign postal code	MOUNTAIN HOME AR 72654-1906
Foreign country name / Foreign province/state/county / Foreign postal code	
A Employer identification number	20-1947722
B Telephone number (see instructions)	870-424-8072

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 516,963.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	34.	34.		
	4	Dividends and interest from securities	23,075.	11,532.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		219.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	23,109.	11,785.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	510.	280.		230.
	c	Other professional fees (attach schedule)	5,065.	1,403.		2,287.
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	362.	97.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	***Total operating and administrative expenses.*** Add lines 13 through 23	5,937.	1,780.		2,517.
	25	Contributions, gifts, grants paid	19,200.			19,200.
	26	**Total expenses and disbursements.** Add lines 24 and 25	25,137.	1,780.		21,717.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(2,028.)			
	b	**Net investment income** (if negative, enter -0-)		10,005.		
	c	**Adjusted net income** (if negative, enter -0-)				

RECEIVED
MAY 17 2017
OGDEN, UT
IRS-OSC

SCANNED MAY 30 2017

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	25,298.	6,190.	6,190.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	152,168.	152,168.	157,553.
	b	Investments—corporate stock (attach schedule)	93,886.	90,220.	105,429.
	c	Investments—corporate bonds (attach schedule)	97,700.	97,700.	98,708.
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	131,484.	152,388.	149,083.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	500,536.	498,666.	516,963.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	500,536.	498,666.	
	30	**Total net assets or fund balances** (see instructions)	500,536.	498,666.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	500,536.	498,666.	

Part III Analysis of Changes in Net Assets or Fund Balances

	Description	Line	Amount
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	500,536.
2	Enter amount from Part I, line 27a	2	(2,028.)
3	Other increases not included in line 2 (itemize) ▶ SEE ATTACHED	3	272.
4	Add lines 1, 2, and 3	4	498,780.
5	Decreases not included in line 2 (itemize) ▶ OTHER DECREASES	5	114.
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	498,666.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	SHORT TERM - SEE ATTACHED	P	02/24/2016	07/15/2016
b	LONG TERM - SEE ATTACHED	P	VA/RI/OUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	577.		611.	(34.)
b	36,900.		36,647.	253.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				(34.)
b				253.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 219.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . **3** (34.)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	16,918.	510,151.	
2014	21,807.	512,329.	
2013	52,676.	518,980.	
2012	20,361.	533,771.	
2011	17,006.	508,418.	

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	200.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	200.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
~~5~~	~~Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-~~	~~5~~	~~200.~~
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	200.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ | **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ AR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ FIRST SECURITY BANK Telephone no. ▶ 870-424-8072

Located at ▶ P O BOX 1906 MOUNTAIN HOME AR ZIP+4 ▶ 72654

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

~~and enter the amount of tax-exempt interest received or accrued during the year ▶ 15~~

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. — Yes / No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☒ Yes ☐ No

If "Yes," list the years ▶ 2015, 20___, 20___, 20___

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	X	

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ 20___, 20___, 20___, 20___

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL JOHNSON P O BOX 1906 MTN HOME AR 72659	CHAIRMAN 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NURSING SCHOLARSHIPS FOR 18 RECIPIENTS	19,200.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2 N/A	
All other program-related investments. See instructions.	
3 N/A	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	495,757.
b	Average of monthly cash balances	1b	15,744.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	511,501.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	~~Acquisition indebtedness applicable to line 1 assets~~	2	
3	Subtract line 2 from line 1d	3	511,501.
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	7,673.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	503,828.
6	**Minimum investment return.** Enter 5% of line 5	6	25,191.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	25,191.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	200.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	200.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	24,991.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	24,991.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	24,991.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	21,717.
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,717.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	21,717.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				24,991.
2	Undistributed income, if any, as of the end of 2016:				
a	Enter amount for 2015 only			24,493.	
b	Total for prior years: 20___, 20___, 20___				
3	Excess distributions carryover, if any, to 2016:				
a	From 2011				
b	From 2012				
c	From 2013				
d	From 2014				
e	From 2015				
f	Total of lines 3a through e				
4	Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 21,717.				
a	Applied to 2015, but not more than line 2a			21,717.	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2016 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2016. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			2,776.	
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				24,991.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2012				
b	Excess from 2013				
c	Excess from 2014				
d	Excess from 2015				
e	Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

BAXTER REGIONAL MD CENTER 870-508-1000 624 HOSPITAL DR MOUNTAIN HOME AR 7

b The form in which applications should be submitted and information and materials they should include:

APPLICATION FORM AND LETTER OUTLINING CAREER GOALS AND FINANCIAL NEEDS

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

LIMITED TO NURSING STUDENTS WHO ARE RESIDENTS OF BAXTER & MARION COUNTIES

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
RUTH DAVIS 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,200.
SHASTA ROBERSON 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,200.
JOSEPH KOWALSKY 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,200.
JENNIFER MOORE 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,200.
JENNY ANGLIN 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,200.
CALEB LUNDAY 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,200.
SHERRY COWART 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,000.
ANN CONYERS 72653 AR MOUNTAIN HOME			NURSING SCHOLAR	1,000.
Total ▶ 3a				19,200.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					34.
4 Dividends and interest from securities					23,075.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					23,109.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 23,109.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
3 & 4	INVESTMENT INCOME IS USED TO PROVIDE SCHOLARSHIPS FOR NURSING STUDENTS IN BAXTER & MARION COUNTIES IN ARKANSAS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	~~(2) Purchases of assets from a noncharitable exempt organization~~	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Marcina Carson, ATO 5-11-17 Assistant Trust Officer

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only				
Print/Type preparer's name: RANDY W QUEEN CPA	Preparer's signature: Randy W. Queen CPA	Date: 5-8-17	Check ☐ if self-employed	PTIN: P01286384
Firm's name ▶ RANDY W QUEEN CPA P/A			Firm's EIN ▶ 71-0765344	
Firm's address ▶ 707 N CARDINAL SUITE 5 MOUNTAIN HOME AR 72653-			Phone no 870-425-6206	

US 990-PF Accounting Fees 2016

Description	Expenses per books	Net investment income	Adjusted net income	Charitable purposes
TAX RETURN PREPARATION	510.	280.		230.
	510.	280.		230.

US 990-PF	Grants and Contributions Paid During the Year			2016
Recipient name and address	If an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEIDI HENSON MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
DANA BROWN MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
TINA HAVNER MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
LINDA DUREN MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
ANNA EDWARDS MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
KINDRA WOOTEN MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
ANN SINENO MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
TONIANN LITTRELL MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
AMY HICKMAN MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
HOPE BOYD MOUNTAIN HOME AR 72653			NURSING SCHOLAR	1,000.
Total				10,000.

20-1947722

US 990-PF **Other Professional Fees** **2016**

Description	Expenses per books	Net investment income	Adjusted net income	Charitable purposes
ADMINISTRATION FEES	5,065.	1,403.		2,287.
	5,065	1,403		2,287

US 990-PF — Taxes — 2016

Description	Expenses per books	Net investment income	Adjusted net income	Charitable purposes
EXCISE TAX	265.			
FOREIGN TAX	97.	97.		
	362.	97.		

US 990-PF Investments: Corporate Stock as of Year End 2016

Description	Book value	FMV
SEE ATTACHED	90,220.	105,429.
	90,220.	105,429.

US 990-PF Investments: Corporate Bonds as of Year End 2016

Description	Book value	FMV
SEE ATTACHED	97,700.	98,708.
	97,700.	98,708.

20-1947722

Investments - Other

US 990-PF **990-PF: Page 2, Line 13** **2016**

Description	Basis of valuation	Book value	FMV
MUTUAL FUNDS - SEE ATTACHED	COST	152,388.	149,083.
		152,388	149,083

US 990-PF Other Increases 2016

Description	Amount
CAPITAL GAINS	219.
NAEC CAPITAL CREDITS	53.
	272.

Account Holdings As Of

Filtered By : Account = 30221020:Wm. Nelson & Helen Nelson Schol Fd

Sorted By : Account Number

Date Run : 01/20/2017

Time Printed : 5:37:07 PM

Account Name : Wm. Nelson & Helen Nelson Schol Fd | **As Of : 12/31/2016** | **Account Number : 30221020**

Prices As Of : 12/31/2016

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
	Money Market Funds						
6,190.09	FSB Money Market Account		6,190.09	6,190.09	0.00	15.48	0.25%
	Sub Total	$	**6,190.09**	**6,190.09**	**0.00**	**15.48**	**0.25%**
	Corporate Bonds						
45,000	Little Rock Ar Indl Dev Rev 6.4000% 11/01/17		47,700.00	46,801.35	-898.65	2,880.00	6.15%
50,000	Bellsouth Corp Nt 6.0000% 11/15/34		50,000.00	51,906.75	1,906.75	3,000.00	5.78%
	Sub Total	$	**97,700.00**	**98,708.10**	**1,008.10**	**5,880.00**	**5.96%**
	Municipal Bonds						
50,000	Onondaga Cnty NY Recovery Z 5.750% 06/15/28		51,225.00	52,609.50	1,384.50	2,875.00	5.46%
50,000	Greenwood Cnty Sc Sch Dist 5.8750% 03/01/29		50,443.00	53,396.50	2,953.50	2,937.50	5.50%
50,000	University NE Univ Rev Build 5.7000% 07/01/29		50,500.00	51,546.50	1,046.50	2,850.00	5.53%
	Sub Total	$	**152,168.00**	**157,552.50**	**5,384.50**	**8,662.50**	**5.50%**
	Common Stock						
45	Abbvie Inc		2,646.92	2,817.90	170.98	115.20	4.09%
15	Ameriprise Financial, Inc.		1,400.01	1,664.10	264.09	45.00	2.70%
65	AT&T, Inc.		2,205.47	2,764.45	558.98	127.40	4.61%
5	BlackRock, Inc.		1,428.28	1,902.70	474.42	45.80	2.41%
25	Chevron Corporation		3,078.33	2,942.50	-135.83	108.00	3.67%
100	Cisco Systems, Inc.		2,230.50	3,022.00	791.50	104.00	3.44%
25	CMS Energy Corp.		654.12	1,040.50	386.38	31.00	2.98%
25	Crown Castle Intl Corp New		2,012.18	2,169.25	157.07	95.00	4.38%
30	Digital Realty Trust Inc.		1,612.00	2,947.80	1,335.80	105.60	3.58%
25	Dominion Resources, Inc.		1,427.87	1,914.75	486.88	70.00	3.66%
25	Duke Energy Corporation		1,648.53	1,940.50	291.97	85.50	4.41%
50	Enbridge Inc.		2,265.75	2,106.00	-159.75	79.56	3.78%
165	Ford Motor Co.		2,616.37	2,001.45	-614.92	99.00	4.95%
130	General Electric Company		3,086.44	4,108.00	1,021.56	124.80	3.04%

Account Holdings As Of

Filtered By : Account = 30221020:Wm. Nelson & Helen Nelson Schol Fd

Sorted By : Account Number

Date Run : 01/20/2017

Time Printed : 5:37:07 PM

Account Name : Wm. Nelson & Helen Nelson Schol Fd **As Of : 12/31/2016** **Account Number : 30221020**

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
			Prices As Of : 12/31/2016				
15	Hasbro, Inc.		716.17	1,166.85	450.68	30.60	2.62%
75	Intel Corporation		1,705.87	2,720.25	1,014.38	78.00	2.87%
50	International Paper Co		2,560.93	2,653.00	92.07	92.50	3.49%
30	Johnson & Johnson		2,601.15	3,456.30	855.15	96.00	2.78%
55	JPMorgan Chase & Co.		3,066.88	4,745.95	1,679.07	105.60	2.23%
35	Kohl's Corp.		1,844.33	1,728.30	-116.03	70.00	4.05%
15	McDonald's Corp.		1,464.52	1,825.80	361.28	56.40	3.09%
50	Merck & Co. Inc.		2,376.75	2,943.50	566.75	94.00	3.19%
45	Microsoft Corporation		1,747.12	2,796.30	1,049.18	70.20	2.51%
40	Novartis AG		3,260.10	2,913.60	-346.50	92.11	3.16%
30	PepsiCo, Inc.		2,622.19	3,138.90	516.71	90.30	2.88%
95	Pfizer Inc.		2,712.43	3,085.60	373.17	121.60	3.94%
30	Philip Morris International, Inc.		2,459.51	2,744.70	285.19	124.80	4.55%
35	Prudential Financial, Inc.		2,863.57	3,642.10	778.53	98.00	2.69%
45	Qualcomm Inc		2,839.58	2,934.00	94.42	95.40	3.25%
52	Reynolds American, Inc.		3,165.70	2,914.08	-251.62	95.68	3.28%
55	RLJ Lodging		1,596.97	1,346.95	-250.02	72.60	5.39%
25	Rogers Communications Inc.		1,037.58	964.50	-73.08	36.30	3.76%
35	SCANA Corp.		1,628.73	2,564.80	936.07	80.50	3.14%
40	Target Corp.		2,462.21	2,889.20	426.99	96.00	3.32%
40	The Dow Chemical Company		1,739.16	2,288.80	549.64	73.60	3.22%
30	The Procter & Gamble Company		2,426.93	2,522.40	95.47	80.34	3.19%
50	TransCanada Corp		2,131.38	2,257.50	126.12	84.38	3.74%
20	Union Pacific Corporation		1,573.90	2,073.60	499.70	48.40	2.33%
50	Ventas Inc (Vtr)		2,758.25	3,126.00	367.75	155.00	4.96%
76	Verizon Communications Inc.		3,700.87	4,056.88	356.01	175.56	4.33%
30	Wells Fargo & Company		1,405.94	1,653.30	247.36	45.60	2.76%
30	Williams Companies		1,438.35	934.20	-504.15	24.00	2.57%
	Sub Total	**$**	**90,219.84**	**105,429.26**	**15,209.42**	**3,619.33**	**3.43%**

Account Holdings As Of

Filtered By : Account = 30221020:Wm. Nelson & Helen Nelson Schol Fd

Sorted By : Account Number

Date Run : 01/20/2017 | Time Printed : 5:37:07 PM

Account Name : Wm. Nelson & Helen Nelson Schol Fd | **As Of : 12/31/2016** | **Account Number : 30221020**

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
		Prices As Of : 12/31/2016					
	Mutual Fund Fixed Income Blend						
1,733.01	Dodge & Cox Income Fund Instl		23,931.53	23,551.61	-379.92	759.06	3.22%
2,568.68	Principal Preferred Securities Fund Class I		26,519.08	25,404.25	-1,114.83	1,255.83	4.94%
	Sub Total	$	**50,450.61**	**48,955.86**	**-1,494.76**	**2,014.89**	**4.12%**
	Mutual Fund Dev ex-US LC						
1,584.558	Ivy International Core Equity Instl		28,310.22	26,509.66	-1,800.56	503.41	1.90%
	Sub Total	$	**28,310.22**	**26,509.66**	**-1,800.56**	**503.41**	**1.90%**
	Mutual Fund High Yield						
1,190.94	Eaton Vance Floating Rate Fund Instl		10,385.00	10,658.91	273.91	440.88	4.14%
4,215.625	Lazard US Corp Inc-Inst		20,235.00	20,530.09	295.09	969.28	4.72%
	Sub Total	$	**30,620.00**	**31,189.00**	**569.01**	**1,410.16**	**4.52%**
	Mutual Fund Emerging Markets						
421.057	Calamos Evolving World Growth Instl		5,534.91	4,732.68	-802.23	0.00	0.00%
	Sub Total	$	**5,534.91**	**4,732.68**	**-802.23**	**0.00**	**0.00%**
	Mutual Fund U.S. Small Cap						
104.444	Delaware Small Cap Value Instl		5,031.70	6,576.84	1,545.14	45.22	0.69%
	Sub Total	$	**5,031.70**	**6,576.84**	**1,545.14**	**45.22**	**0.69%**
	Mutual Fund U.S. Mid Cap						
208.984	T Rowe Price Mid-Cap Growth Fd		16,755.84	15,749.03	-1,006.81	0.00	0.00%
	Sub Total	$	**16,755.84**	**15,749.03**	**-1,006.81**	**0.00**	**0.00%**
	Mutual Fund Alternative Fixed Income						
582.868	Guggenheim Macro Opportunities Fund		15,685.00	15,370.23	-314.77	873.09	5.68%
	Sub Total	$	**15,685.00**	**15,370.23**	**-314.77**	**873.09**	**5.68%**

Account Holdings As Of

Filtered By : Account = 30221020:Wm. Nelson & Helen Nelson Schol Fd

Sorted By : Account Number

Date Run : 01/20/2017 Time Printed : 5:37:07 PM

Account Name : Wm. Nelson & Helen Nelson Schol Fd **As Of : 12/31/2016** **Account Number : 30221020**

Prices As Of : 12/31/2016

Shares	Asset Description		Cost	Market	Unr Gain Loss	Est Ann Income	Yield
	Grand Total	$	498,666.21	516,963.25	18,297.04	23,024.08	4.45%

Principal Cash: -17,729.60 ***Income Cash:*** 17,729.60 ***Invested Income:*** 0.00

02/03/2017

RECIPIENT:	PAYER:
Wm. Nelson & Helen Nelson Scholarship Fund First Security Bank, Trustee P. O. Box 1906 Mountain Home AR 72654-1906	First Security Bank C/O Trust Department PO Box 1009 Searcy AR 72145-1009
RECIPIENT'S FEDERAL ID:	PAYER'S FEDERAL ID:
20-1947722	710159420

Account Number : 30221020 Wm. Nelson & Helen Nelson Schol Fd

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

2016 Form 1099 - B
OMB No. 1545-0715

Reported To IRS: Proceeds Less Commissions And Option Premiums

CUSIP/Symbol	FATCA Filing Requirement (Y/N)	Description of Property (Box 1a)	Applicable Box on Form 8949	Date Acquired (Box 1b)	Date Sold/Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	FEDERAL INCOME TAX WITHHELD (Box 4)
Covered (Box 3 checked - Basis reported to IRS)										
Short Term Sales (Box 2 checked as ST)										
G7945M107	N	20 shs C of Seagate Techology Plc Shs I	A	02/24/2016	07/15/2016	576.69	610.90	0.00	0.00	0.00
Total Short Term Sales Reported on 1099-B						**576.69**	**610.90**	**0.00**	**0.00**	**0.00**
Report on Form 8949, Part I, with Box A checked										
Long Term Sales (Box 2 checked as LT)										
89417E109	N	15 shs C of Travelers Companies, Inc.	D	09/06/2013	02/24/2016	1,614.04	1,202.33	0.00	0.00	0.00
125896100	N	40 shs C of CMS Energy Corp.	D	09/06/2013	02/24/2016	1,593.86	1,046.60	0.00	0.00	0.00
775109200	N	40 shs C of Rogers Communications Inc.	D	09/06/2013	02/24/2016	1,443.82	1,683.80	0.00	0.00	0.00
291011104	N	45 shs C of Emerson Electric Co.	D	09/06/2013	02/24/2016	2,139.50	2,784.38	0.00	0.00	0.00
494368103	N	15 shs C of Kimberly-Clarke Corporation	D	05/29/2013	02/24/2016	1,957.23	773.89	0.00	0.00	0.00

Account Number : 30221020

26

CUSIP/Symbol	FATCA Filing Requirement (Y/N)	Description of Property (Box 1a)	Applicable Box on Form 8949	Date Acquired (Box 1b)	Date Sold/Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	FEDERAL INCOME TAX WITHHELD (Box 4)
693475105	N	30 shs C of PNC Financial Services Grou	D	05/29/2013	02/24/2016	2,433.04	2,124.15	0.00	0.00	0.00
580135101	N	10 shs C of McDonald's Corp.	D	05/29/2013	02/24/2016	1,158.23	1,005.34	0.00	0.00	0.00
163851108	N	6 shs C of Chemours Co	D	05/29/2013	02/24/2016	28.36	416.24	0.00	0.00	0.00
141624106	N	6 shs C of Care Cap Pptys Inc	D	09/12/2014	03/28/2016	153.63	187.45	0.00	0.00	0.00
465899706	N	668.09 shs C of Ivy International Core	D	06/05/2013	07/15/2016	10,950.00	10,869.82	0.00	0.00	0.00
418056107	N	10 shs C of Hasbro, Inc.	D	09/06/2013	07/15/2016	855.52	477.45	0.00	0.00	0.00
G7945M107	N	25 shs C of Seagate Techology Plc Shs I	D	06/05/2015	07/15/2016	720.86	1,348.85	0.00	0.00	0.00
464288513	N	100 shs C of Ishares Tr Iboxx High Yie	D	11/26/2012	11/08/2016	8,588.44	9,209.00	0.00	0.00	0.00
464288513	N	38 shs C of Ishares Tr Iboxx High Yiel	D	01/19/2011	11/08/2016	3,263.61	3,517.44	0.00	0.00	0.00
Total Long Term Sales Reported on 1099-B **Report on Form 8949, Part II, with Box D checked**						**36,900.14**	**36,646.74**	**0.00**	**0.00**	**0.00**

I - Box 12 Proceeds from Collectibles

Summary of Form 1099-B

1d	Proceeds	$ 37,476.83
1e	Cost or Other Basis	$ 37,257.64
1f	Accrued Market Discount	$ 0.00
1g	Wash Sale Loss Disallowed	$ 0.00
4	**FEDERAL INCOME TAX WITHHELD**	**$ 0.00**
8	Profit (Loss) This Calendar Year	$ 0.00
9	Unrealized Profit (Loss) Last Year	$ 0.00
10	Unrealized Profit (Loss) This Year	$ 0.00
11	Aggregate Profit (Loss)	$ 0.00
13	Bartering	$ 0.00
16	**STATE TAX WITHHELD**	**$ 0.00**

2nd TIN Notice : No

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

Account Number : 30221020