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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation MONDERER FOUNDATION, INC.		A Employer identification number 20-1842981
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 110	Room/suite	B Telephone number 212-580-1798
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10024-0110		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,086,420.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		250,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		98,273.	98,273.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		210,961.			
b Gross sales price for all assets on line 6a		2,035,270.			
7 Capital gain net income (from Part IV, line 2)			210,961.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		559,234.	309,234.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 2		1,000.	1,000.		0.
b Accounting fees STMT 3		6,950.	0.		0.
c Other professional fees STMT 4		33,441.	33,441.		0.
17 Interest					
18 Taxes STMT 5		4,017.	3,330.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		12.	0.		0.
24 Total operating and administrative expenses Add lines 13 through 23		45,420.	37,771.		0.
25 Contributions, gifts, grants paid		225,000.			225,000.
26 Total expenses and disbursements Add lines 24 and 25		270,420.	37,771.		225,000.
27 Subtract line 26 from line 12		288,814.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			271,463.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	149,642.	167,600.	167,600.	
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations STMT 8	614,703.	650,815.	649,080.	
	b Investments - corporate stock STMT 9	3,161,826.	3,301,349.	3,872,099.	
	c Investments - corporate bonds STMT 10	406,186.	373,158.	370,820.	
	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶ STATEMENT 11)	22,429.	26,408.	26,821.		
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,354,786.	4,519,330.	5,086,420.		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	4,354,786.	4,519,330.		
	30 Total net assets or fund balances	4,354,786.	4,519,330.		
	31 Total liabilities and net assets/fund balances	4,354,786.	4,519,330.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,354,786.
2 Enter amount from Part I, line 27a	2	288,814.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,643,600.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	124,270.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,519,330.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,035,270.		1,824,309.	210,961.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			210,961.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	210,961.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	216,498.	4,705,809.	.046007
2014	177,000.	4,524,395.	.039121
2013	172,709.	3,797,622.	.045478
2012	131,500.	3,274,518.	.040159
2011	133,000.	2,879,593.	.046187

2 Total of line 1, column (d)	2	.216952
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043390
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,776,224.
5 Multiply line 4 by line 3	5	207,240.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,715.
7 Add lines 5 and 6	7	209,955.
8 Enter qualifying distributions from Part XII, line 4	8	225,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,715.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,715.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,715.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,880.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,880.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,165.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 1,165. Refunded ☒ 0.	11		0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► CAROL B. TURCHIN Telephone no ► 212-580-1798 Located at ► 2373 BROADWAY, NEW YORK, NY ZIP+4 ► 10024-2842		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► N/A	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL B. TURCHIN 2373 BROADWAY NEW YORK, NY 10024	PRES. & TREASURER	0.00	0.	0.
BENJAMIN MONDERER 2373 BROADWAY NEW YORK, NY 10024	V.P. & SECRETARY	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
	0.
2	
All other program-related investments See instructions	
3 NONE	
	0.
Total Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,637,965.
b	Average of monthly cash balances	1b	210,993.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,848,958.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,848,958.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	72,734.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,776,224.
6	Minimum investment return. Enter 5% of line 5	6	238,811.

Part XI Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	238,811.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,715.
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,715.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	236,096.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	236,096.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	236,096.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	225,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	225,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,715.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	222,285.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				236,096.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			143,820.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 225,000.				
a Applied to 2015, but not more than line 2a			143,820.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				81,180.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				154,916.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]Form **990-PF** (2016)

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BARC SHELTER 253 WYTHE AVENUE BROOKLYN, NY 11249	N/A	CHARITY	GENERAL PURPOSES	2,500.
CARE FOR THE HOMELESS 30 E 33RD ST NEW YORK, NY 10010	N/A	CHARITY	GENERAL PURPOSES	15,000.
COLUMBIA GRAMMAR & PREPARATORY SCHOOL 5 WEST 93RD STREET NEW YORK, NY 10025	N/A	CHARITY	GENERAL PURPOSES GENERAL PURPOSES	25,000.
GOOD SAMARITAN FOUNDATION 255 LAFAYETTE AVE SUFFERN, NY 10901	N/A	CHARITY	GENERAL PURPOSES	10,000.
JUMPSTART 505 EIGHTH AVENUE, SUITE 1100 NEW YORK, NY 10018	N/A	CHARITY	GENERAL PURPOSES	2,500.
Total SEE CONTINUATION SHEET(S) ▶ 3a				225,000.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

MONDERER FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a. DEUTSCHE BANK SECURITIES INC #9890		P	VARIOUS	12/31/16
b DEUTSCHE BANK SECURITIES INC #1233		P	VARIOUS	12/31/16
c DEUTSCHE BANK SECURITIES INC #1233		P	VARIOUS	12/31/16
d DEUTSCHE BANK SECURITIES INC #3148		P	VARIOUS	12/31/16
e DEUTSCHE BANK SECURITIES INC #3148		P	VARIOUS	12/31/16
f DEUTSCHE BANK SECURITIES INC #3155		P	VARIOUS	12/31/16
g DEUTSCHE BANK SECURITIES INC #3155		P	VARIOUS	12/31/16
h DEUTSCHE BANK SECURITIES INC #3437		P	VARIOUS	12/31/16
i DEUTSCHE BANK SECURITIES INC #3437		P	VARIOUS	12/31/16
j DEUTSCHE BANK SECURITIES INC #5630		P	VARIOUS	12/31/16
k DEUTSCHE BANK SECURITIES INC #5630		P	VARIOUS	12/31/16
l RAYMOND JAMES #4416		P	VARIOUS	12/31/16
m RAYMOND JAMES #4416		P	VARIOUS	12/31/16
n RAYMOND JAMES #8208		P	VARIOUS	12/31/16
o RAYMOND JAMES #8208		P	VARIOUS	12/31/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 132,966.		52,194.	80,772.
b 24,935.		23,742.	1,193.
c 294,944.		296,204.	<1,260.>
d 8,533.		8,858.	<325.>
e 76,836.		78,973.	<2,137.>
f 187,580.		207,033.	<19,453.>
g 322,021.		230,814.	91,207.
h 7,480.		8,009.	<529.>
i 159,559.		161,502.	<1,943.>
j 64,124.		61,915.	2,209.
k 53,162.		52,388.	774.
l 31,705.		22,443.	9,262.
m 27,603.		20,955.	6,648.
n 37,541.		37,266.	275.
o 188,586.		188,796.	<210.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			80,772.
b			1,193.
c			<1,260.>
d			<325.>
e			<2,137.>
f			<19,453.>
g			91,207.
h			<529.>
i			<1,943.>
j			2,209.
k			774.
l			9,262.
m			6,648.
n			275.
o			<210.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

MONDERER FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	RAYMOND JAMES #C398	P	VARIOUS	12/31/16
b	RAYMOND JAMES #C398	P	VARIOUS	12/31/16
c	RAYMOND JAMES #F802	P	VARIOUS	12/31/16
d	RAYMOND JAMES #F802	P	VARIOUS	12/31/16
e	RAYMOND JAMES #N512	P	VARIOUS	12/31/16
f	RAYMOND JAMES #R737	P	VARIOUS	12/31/16
g	RAYMOND JAMES #R737	P	VARIOUS	12/31/16
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 96,460.		97,390.	<930.>
b 92,775.		71,389.	21,386.
c 4,012.		4,028.	<16.>
d 32,448.		35,543.	<3,095.>
e 68,437.		35,636.	32,801.
f 47,141.		44,573.	2,568.
g 76,129.		84,658.	<8,529.>
h 293.			293.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<930.>
b			21,386.
c			<16.>
d			<3,095.>
e			32,801.
f			2,568.
g			<8,529.>
h			293.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	210,961.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK CITY MISSION SOCIETY 646 MALCOLM X BLVD NEW YORK, NY 10037	N/A	CHARITY	GENERAL PURPOSES	5,000.
PRINCETON UNIVERSITY PRINCETON, NJ 08544	N/A	CHARITY	GENERAL PURPOSES	2,500.
SDTC CENTER FOR DISCOVERY P.O. BOX 840 HARRIS, NY 12742-0840	N/A	CHARITY	GENERAL PURPOSES	75,000.
THE BOWERY MISSION 227 BOWERY MISSION NEW YORK, NY 10002	N/A	CHARITY	GENERAL PURPOSES	5,000.
TUXEDO PARK SCHOOL 227 ROUTE 17 TUXEDO PARK, NY 10987	N/A	CHARITY	GENERAL PURPOSES	30,000.
TUXEDO PARK VOLUNTEER FIRE DEPT 2 CONTRACTORS RD TUXEDO PARK, NY 10987	N/A	CHARITY	GENERAL PURPOSES	2,500.
WEST SIDE FEDERATION FOR SENIOR AND SUPPORTIVE HOUSING INC 230 W 103RD ST NEW YORK, NY 10025	N/A	CHARITY	GENERAL PURPOSES	50,000.
Total from continuation sheets				170,000.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

MONDERER FOUNDATION, INC.

Employer identification number

20-1842981

Organization type (check one)

Filers of

Section.

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990 PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 **exclusively** for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor during the year, contributions **exclusively** for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an **exclusively** religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received **nonexclusively** religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

MONDERER FOUNDATION, INC.

20-1842981

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BENJAMIN MONDERER & CAROL B. TURCHIN 2373 BROADWAY NEW YORK, NY 10024-2842	\$ 250,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

20-1842981

[illegible]

Name of organization

Employer identification number

MONDERER FOUNDATION, INC.**20-1842981****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DEUTSCHE BANK SECURITIES INC #5XP-009890	2,244.	0.	2,244.	2,244.	
DEUTSCHE BANK SECURITIES INC #5XP-941233	15,780.	0.	15,780.	15,780.	
DEUTSCHE BANK SECURITIES INC #5XP-943148	12,013.	0.	12,013.	12,013.	
DEUTSCHE BANK SECURITIES INC #5XP-943155	13,963.	0.	13,963.	13,963.	
DEUTSCHE BANK SECURITIES INC #5XP-943437	24,024.	0.	24,024.	24,024.	
DEUTSCHE BANK SECURITIES INC #5XP-945630	3,442.	99.	3,343.	3,343.	
RAYMOND JAMES #292KF802	1,660.	0.	1,660.	1,660.	
RAYMOND JAMES #354VN512	3,939.	0.	3,939.	3,939.	
RAYMOND JAMES #367F4416	1,731.	194.	1,537.	1,537.	
RAYMOND JAMES #4480R737	8,512.	0.	8,512.	8,512.	
RAYMOND JAMES #5454C398	5,256.	0.	5,256.	5,256.	
RAYMOND JAMES #554K8208	6,002.	0.	6,002.	6,002.	
TO PART I, LINE 4	98,566.	293.	98,273.	98,273.	

FORM 990-PF	LEGAL FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,000.	1,000.		0.
TO FM 990-PF, PG 1, LN 16A	1,000.	1,000.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEE	6,950.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	6,950.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DEUTSCHE BANK SECURITIES	24,915.	24,915.		0.
RAYMOND JAMES	8,526.	8,526.		0.
TO FORM 990-PF, PG 1, LN 16C	33,441.	33,441.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NEW YORK ANNUAL FILING FEE	250.	0.		0.
FOREIGN TAXES	3,330.	3,330.		0.
DELAWARE ANNUAL FILING FEE	25.	0.		0.
ESTIMATED FEDERAL EXCISE TAX	412.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,017.	3,330.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	12.	0.		0.
TO FORM 990-PF, PG 1, LN 23	12.	0.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
ADJUSTMENT FOR CONTRIBUTED ASSETS	124,100.
OTHER ADJUSTMENT	170.
TOTAL TO FORM 990-PF, PART III, LINE 5	124,270.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
DB #5XP-941233	X		0.	0.
RAYMOND JAMES #554K8208	X		650,815.	649,080.
TOTAL U.S. GOVERNMENT OBLIGATIONS			650,815.	649,080.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			650,815.	649,080.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DB #5XP-009890	0.	0.
DB #5XP-943437	0.	0.
DB #5XP-943148	0.	0.
DB #5XP-943155	0.	0.
DB #5XP-945630	0.	0.

RAYMOND JAMES #292KF802	462,024.	526,528.
RAYMOND JAMES #5454C398	1,098,044.	1,259,578.
RAYMOND JAMES #367F4416	177,889.	208,595.
RAYMOND JAMES #4480R737	935,369.	1,125,978.
RAYMOND JAMES #354VN512	628,023.	751,420.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,301,349.	3,872,099.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DB #5XP-941233	0.	0.
RAYMOND JAMES #554K8208	373,158.	370,820.
TOTAL TO FORM 990-PF, PART II, LINE 10C	373,158.	370,820.

FORM 990-PF	OTHER ASSETS	STATEMENT 11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
REAL ESTATE INVESTMENT TRUSTS	22,429.	26,408.	26,821.
TO FORM 990-PF, PART II, LINE 15	22,429.	26,408.	26,821.

MONDERER FOUNDATION, INC.

SCHEDULE OF CONTRIBUTORS - BENJAMIN MONDERER & CAROL TURCHIN

12/31/2016

<u>SHARES</u>	<u>DESCRIPTION</u>	<u>Value Donated</u>
814	CISCO SYSTEMS, INC.	
183	HOME DEPOT, INC.	
992	IBERDROLA COMPANY	
84	INTUIT, INC.	
216	JOHNSON & JOHNSON	
583	JP MORGAN CHASE & CO.	
53	O' REILLY AUTOMOTIVE, INC	
212	PNC FINANCIAL SERVICES GROUP, INC	
408	TRAVELERS COMPANIES, INC	
		<u>\$ 250,000</u>

Raymond James & Associates, Inc.

Account 367F4416

Proceeds Not Reported to the IRS

2016

02/28/2017

Sales transactions are organized into sections according to term (long short or undetermined) For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase

Several columns include both an amount and a qualifying notation to its right Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross) Accrued market discount and Wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively Where you are not permitted to recognize a loss an indication of X (change in control or capital structure) or Z (other corporate action) is used

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions Similarly, sales of collectibles noted as "12- [X] Collectible " are handled distinctly under the tax code You may wish to consult with your tax advisor the IRS or your state tax authority regarding the proper treatment for these scenarios

Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CALERES INCORPORATED / CUSIP 129500104 / Symbol CAL							
12/22/16	73 000	2,526 11	05/13/16	1,709 69		816 42	Sale
FLIR SYSTEMS INCORPORATED / CUSIP 302445101 / Symbol FLIR							
12/27/16	66 000	2 414 42	08/16/16	2,012 20		402 22	Sale
GREEN PLAINS INCORPORATED / CUSIP 393222104 / Symbol GPPE							
2 transactions for 10/10/16							
	62 000	1,711 16	02/12/16	824 39		886 77	Sale
	71 000	1 959 55	05/13/16	1 071 91		887 64	Sale
10/10/16	133 000	3 670 71	Various	1 896 30		1 774 41	Total of 2 transactions
INTERDIGITAL INCORPORATED / CUSIP 45867G101 / Symbol IDCC							
12/15/16	37 000	3 352 13	12/29/15	1 858 62		1 493 51	Sale
MENTOR GRAPHICS CORPORATION / CUSIP 587200106 / Symbol MENT							
11/07/16	102 000	3,003 84	01/08/16	1 751 33		1,252 51	Sale



367F4416 - 003

Raymond James & Associates, Inc.

Account 367F4416

2016

Proceeds Not Reported to the IRS (continued)

02/28/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PBF ENERGY INCORPORATED CLASS A / CUSIP 69318G106 / Symbol PBF							
12/09/16	178 000	5,063.49	08/16/16	4,134.09		929.40	Sale
PVH CORPORATION / CUSIP 693656100 / Symbol PVH							
	2 transactions for 11/04/16						
	22 000	2,312.79	02/26/16	1,754.32		558.47	Sale
	10 000	1,051.27	06/28/16	882.08		169.19	Sale
11/04/16	32 000	3,364.06	Various	2,636.40		727.66	Total of 2 transactions
WGL HLDGS INCORPORATED / CUSIP 92924F106 / Symbol WGL							
	2 transactions for 12/14/16						
	32 000	2,495.96	08/16/16	2,058.53		437.43	Sale
	30 000	2,339.97	11/21/16	1,989.89		350.08	Sale
12/14/16	62 000	4,835.93	Various	4,048.42		787.51	Total of 2 transactions
WESTAMERICA BANCORPORATION / CUSIP 957090103 / Symbol WABC							
12/08/16	40 000	2,553.94	01/08/16	1,757.49		796.45	Sale
ENDURANCE SPECIALTY HLDGS LIMITED SHS (BERMUDA) / CUSIP G30397106 / Symbol ENH							
11/09/16	10 000	920.08	06/28/16	638.10		281.98	Sale
Totals:		31,704.71		22,442.64		9,262.07	

Raymond James & Associates, Inc.

Account 367F4416

2016

Proceeds Not Reported to the IRS (continued)

02/28/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BELDEN INCORPORATED / CUSIP 077454106 / Symbol BDC							
12/15/16	38 000	2 978 37	09/24/15	1,812 88		1,165 49	Sale
FIFTH THIRD BANCORP / CUSIP 316773100 / Symbol FITB							
11/18/16	33 000	844 78	06/19/14	710 00		134 78	Sale
GEO GROUP INCORPORATED NEW REIT / CUSIP 36162J106 / Symbol GEO							
2 transactions for 11/22/16							
	61 000	1 969 28	09/26/14	2,192 09		-222 81	Sale
	26 000	839 36	06/05/15	933 72		-94 36	Sale
11/22/16	87 000	2,808 64	Various	3,125 81		317 17	Total of 2 transactions
INTERDIGITAL INCORPORATED / CUSIP 45867G101 / Symbol IDCC							
12/15/16	38 000	3,442 72	09/24/15	1 835 30		1,607 42	Sale
NATIONAL INSTRS CORPORATION / CUSIP 636518102 / Symbol NATI							
10/05/16	65 000	1 862 40	06/03/15	1 990 59		-128 19	Sale
PACWEST BANCORP DEL / CUSIP 695263103 / Symbol PACW							
11/18/16	12 000	607 06	11/13/14	552 28		54 78	Sale
12/08/16	35 000	1,922 86	11/13/14	1,610 83		312 03	Sale
	Security total	2 529 92		2,163 11		366 81	
VALLEY NATL BANCORP / CUSIP 919794107 / Symbol VLY							
11/18/16	103 000	1,156 76	02/04/15	962 85		193 91	Sale
WEBSTER FINL CORPORATION CONN / CUSIP 947890109 / Symbol WBS							
11/18/16	27 000	1,271 69	09/29/14	797 22		474 47	Sale
12/08/16	49 000	2,646 93	09/29/14	1,446 80		1 200 13	Sale
	Security total	3 918 62		2 244 02		1,674 60	



367F4416 - 004

Raymond James & Associates, Inc.

Account 367F4416

Proceeds Not Reported to the IRS (continued)

2016

02/28/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WEYERHAEUSER COMPANY REIT / CUSIP 962166104 / Symbol WY							
10/31/16	62 000	1 852 70	06/13/14	1 912 39		-59 69	Sale
ENDURANCE SPECIALTY HLDGS LIMITED SHS (BERMUDA) / CUSIP G30397106 / Symbol ENH							
2 transactions for 11/09/16							
	29 000	2 668 23	09/24/15	1 789 59		878 64	Sale
	29 000	2 668 24	10/27/15	1 859 91		808 33	Sale
11/09/16	58 000	5 336 47	Various	3 649 50		1,686 97	Total of 2 transactions
VALIDUS HOLDINGS LIMITED COM SHS (BERMUDA) / CUSIP G9319H102 / Symbol VR							
11/30/16	16 000	871 18	11/20/12	548 69		322 49	Sale
Totals		27,602 56		20,955 14		6,647 42	

Raymond James & Associates, Inc

Account 554K8208

2016

Proceeds Not Reported to the IRS

02/15/2017

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SHORT TERM TRANSACTIONS (Ordinary gains or losses are identified in the Additional information column)

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss () & Loss not allowed (X) also not reported (Z)	Additional information
CONTINENTAL RESOURCES, INC NTS ISIN US212015AL58 / CUSIP 212015AL5 / Symbol							
10/19/16	5,000,000	4,987.50	11/17/15	4,412.50		575.00	Sale
US TREASURY NOTES 1.75% 05/15/2022 / CUSIP 912828SV3 / Symbol 912828SV							
2 transactions for 10/26/16							
	1,000,000	1,017.30	11/24/15	987.31		29.99	Sale
	31,000,000	31,536.32	06/24/16	31,866.22		329.90	Sale
10/26/16	32,000,000	32,553.62	Various	32,853.53		299.91	Total of 2 transactions
Totals		37,541.12		37,266.03		275.09	



554K8208 - 003

Raymond James & Associates, Inc

Account 554K8208

2016

Proceeds Not Reported to the IRS (continued)

02/15/2017

LONG TERM TRANSACTIONS (Ordinary gains or losses are identified in the Additional information column)
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (C)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CONTINENTAL RESOURCES INC NTS ISIN US212015AL58 / CUSIP 212015AL5 / Symbol 10/19/16	30,000 000	29,925 00	01/22/14	30,325 64		-400 64	Sale
FEDERAL HOME LOAN MORTGAGE CORPORATION NOTE / CUSIP 3137EADP1 / Symbol 12/20/16	106 000 000	105,686 24	04/21/15	105 977 74		291 50	Sale
US TREASURY NOTES 0.75% 10/31/2017 / CUSIP 912828TW0 / Symbol 912828TW 11/16/16	53,000 000	52,974 98	08/07/14	52,492 95		482 03	Sale
Totals		188 586 22		188 796 33		210 11	

Raymond James & Associates, Inc.

Account 5454C398

Proceeds Not Reported to the IRS

2016

02/15/2017

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss () & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN EAGLE OUTFITTERS NEW / CUSIP 02553E106 / Symbol AEO 12/19/16	1,730,000	28,133.33	10/07/16	30,317.90		-2,184.57	Sale
ARISTA NETWORKS INCORPORATED / CUSIP 040413106 / Symbol ANET 10/26/16	79,000	6,662.72	07/11/16	5,553.52		1,109.20	Sale
CAMPBELL SOUP COMPANY / CUSIP 134429109 / Symbol CPB 10/07/16	434,000	23,308.02	02/18/16	26,588.06		3,280.04	Sale
FIRST HORIZON NATL CORPORATION / CUSIP 320517105 / Symbol FHN 10/27/16	2,066,000	31,801.24	08/02/16	29,756.60		2,044.64	Sale
TAKE-TWO INTERACTIVE SOFTWARE / CUSIP 874054109 / Symbol TTWO 10/21/16	146,000	6,555.03	03/23/16	5,173.45		1,381.58	Sale
Totals		96,460.34		97,389.53		929.19	



5454C398 - 003

Raymond James & Associates, Inc

Account: 5454C398

2016

Proceeds Not Reported to the IRS (continued)

02/15/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (C)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BARD C R INCORPORATED / CUSIP 067383109 / Symbol BCR							
10/11/16	19 000	4 196 26	03/24/14	2,723 73		1,472 53	Sale
CISCO SYSTEMS INCORPORATED / CUSIP 17275R102 / Symbol CSCO							
2 transactions for 12/19/16							
	1 022 000	31 366 02	05/20/14	24 824 28		6 541 74	Sale
	13 000	398 98	03/12/15	368 29		30 69	Sale
12/19/16	1,035 000	31,765 00	Various	25 192 57		6,572 43	Total of 2 transactions
CINTAS CORPORATION / CUSIP 172908105 / Symbol CTAS							
10/11/16	83 000	9 112 71	03/02/15	6 990 46		2,122 25	Sale
COACH INCORPORATED / CUSIP 189754104 / Symbol COH							
10/07/16	710 000	25 897 75	04/22/15	29,410 05		3,512 30	Sale
MCKESSON CORPORATION / CUSIP 58155Q103 / Symbol MCK							
10/07/16	132 000	21,803 48	08/05/09	7,072 53		14 730 95	Sale
Totals		92 773 20		71 389 34		21 385 86	

Raymond James & Associates, Inc.

Account 292KF802

Proceeds Not Reported to the IRS

2016

02/15/2017

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Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INTESA SANPAOLO S P A SPON ADR (ITALY) / CUSIP 46115H107 / Symbol ISNPY							
11/10/16	145.000	2,087.25	01/21/16	2,524.77		-437.52	Sale
TOTAL S A SPONSORED ADR (FRANCE) / CUSIP 89151E109 / Symbol TOT							
12/13/16	34.000	1,713.60	04/07/16	1,503.39		210.21	Sale
Totals		3,800.85		4,028.16		227.31	



292KF802 - 003

Raymond James & Associates, Inc.

Account 292KF802

Proceeds Not Reported to the IRS

2016

(continued)

02/15/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949 Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AKZO NOBEL NV SPONSORED ADR (NETHERLANDS) / CUSIP 010199305 / Symbol AKZOY							
6 transactions for 12/21/16							
	135 000	2 737 61	03/03/10	2 462 56		275 05	Sale
	57 000	1 155 88	03/09/10	1 040 13		115 75	Sale
	51 000	1 034 21	03/18/10	964 71		69 50	Sale
	51 000	1 034 20	04/14/10	1 003 97		30 23	Sale
	51 000	1 034 21	10/11/10	1 069 23		35 02	Sale
	39 000	790 86	02/08/11	823 94		33 08	Sale
12/21/16	384 000	7,786 97	Various	7,364 54		422 43	Total of 6 transactions
BANCO BILBAO VIZCAYA ARGENTARI SPONSORED ADR (SPAIN) / CUSIP 05946K101 / Symbol BBVA							
11/02/16	0 137	0 98	06/12/15	1 40		0 42	Sale
3 transactions for 11/18/16							
	449 863	2 783 51	06/12/15	4 588 60		-1,805 09	Sale
	134 000	829 12	07/31/15	1 354 31		-525 19	Sale
	151 137	935 16	08/06/15	1 530 49		595 33	Sale
11/18/16	735 000	4,547 79	Various	7,473 40		-2,925 61	Total of 3 transactions
	Security total	4 548 77		7 474 80		-2 926 03	
BAYER A G SPONSORED ADR (GERMANY) / CUSIP 072730302 / Symbol BAYRY							
2 transactions for 11/15/16							
	38 000	3 833 44	06/04/13	4 071 97		238 53	Sale
	11 000	1 109 68	06/12/13	1 218 54		-108 86	Sale
11/15/16	49 000	4,943 12	Various	5 290 51		347 39	Total of 2 transactions
SMC CORPORATION JAPAN SPONSORED ADR (JAPAN) / CUSIP 78445W306 / Symbol SMCAJ							
12/16/16	121 000	1,411 43	06/04/14	1,647 05		235 62	Sale

Raymond James & Associates, Inc

Account 292KF802

Proceeds Not Reported to the IRS

2016

(continued)

02/15/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (C)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss() & Loss not allowed (X) also not reported (Z)	Additional information
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SANOFI SPONSORED ADR (FRANCE) / CUSIP 80105N105 / Symbol SNY

6 transactions for 11/14/16

40 000	1 648 19	02/08/13	1 850 73	202 54	Sale	
29 000	1 194 94	02/14/13	1 409 36	214 42	Sale	
47 000	1 936 63	03/11/13	2 311 37	-374 74	Sale	
31 000	1 277 35	06/12/13	1 667 74	390 39	Sale	
24 000	988 91	10/31/13	1 289 00	300 09	Sale	
16 000	659 28	02/10/14	789 09	-129 81	Sale	
11/14/16	187 000	7,705 30	Various	9 317 29	-1 611 99	Total of 6 transactions

TE CONNECTIVITY LIMITED REG SHS (SWITZERLAND) / CUSIP H84989104 / Symbol TEL

11/16/16	33 000	2 213 23	08/20/15	2 021 56	191 67	Sale
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CHECK POINT SOFTWARE TECH LIMITED ORD (ISRAEL) / CUSIP M22465104 / Symbol CHKP

10/28/16	48 000	3 838 72	08/21/12	2,427 31	1 411 41	Sale
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Totals	32,447 54	35 543 06	-3 095 52
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292KF802 - 004

Raymond James & Associates Inc

Account 292KF802

2016

Proceeds Not Reported to the IRS (continued)

02/15/2017

UNDETERMINED TERM TRANSACTIONS (Ordinary gains or losses are identified in the Additional information column)

Report on Form 8949, Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss (disallowed) (W)	Gain or loss () & Loss not allowed (X) also not reported (Z)	Additional information
AIR LIQUIDE ADR (FRANCE) / CUSIP 009126202 / Symbol AIQUY							
10/13/16	0.000	211.39	N/A				Gross proceeds
Totals		211.39					

Raymond James & Associates, Inc

Account 354VN512

Proceeds Not Reported to the IRS

2016

02/15/2017

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
JPMORGAN CHASE & COMPANY / CUSIP 46625H100 / Symbol JPM 11/16/16	633.000	48,887.88	11/04/11	21,236.08		27,651.80	Sale ³¹
ALLERGAN PLC SHS (IRELAND) / CUSIP G0177J108 / Symbol AGN 11/16/16	100.000	19,549.41	10/01/13	14,400.00		5,149.41	Sale ³²
Totals		68,437.29		35,636.08		32,801.21	



354VN512 - 003

Raymond James & Associates, Inc.

Account 4480R737

Proceeds Not Reported to the IRS

2016

02/15/2017

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss () & Loss not allowed (X) also not reported (Z)	Additional information
FLUOR CORPORATION NEW / CUSIP 343412102 / Symbol FLR							
3 transactions for 12/20/16							
	87 000	4 659 09	05/03/16	4 748 55		89 46	Sale
	102 000	5 462 39	05/09/16	5 256 53		205 86	Sale
	214 000	11 460 30	05/23/16	10 743 82		716 48	Sale
12/20/16	403 000	21 581 78	Various	20 748 90		832 88	Total of 3 transactions
SASOL LIMITED SPONSORED ADR (SOUTH AFRICA) / CUSIP 803866300 / Symbol SSL							
12/01/16	131 000	3 405 73	07/19/16	3,643 34		-237 61	Sale
TIME WARNER INCORPORATED COM NEW / CUSIP 887317303 / Symbol TWX							
2 transactions for 10/25/16							
	124 000	10 791 01	08/03/16	9 645 77		1 145 24	Sale
	21 000	1 827 51	08/12/16	1 688 75		138 76	Sale
10/25/16	145 000	12 618 52	Various	11 334 52		1,284 00	Total of 2 transactions
11/11/16	110 000	9,534 59	08/12/16	8 845 84		688 75	Sale



4480R737 - 003

Raymond James & Associates, Inc

Account 4480R737

Proceeds Not Reported to the IRS

2016

(continued)

02/15/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TIME WARNER INCORPORATED COM NEW / CUSIP 887317303 / Symbol TWX (cont'd)							
	Security total	22,153.11		20,180.36		1,972.75	
	Totals	47,140.62		44,572.60		2,568.02	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AT&T INCORPORATED / CUSIP 00206R102 / Symbol T							
3 transactions for 10/25/16							
	6,000	220.66	02/21/07	213.02		7.64	Sale
	167,000	6,141.57	02/08/11	4,648.96		1,492.61	Sale
	43,000	1,581.36	12/24/13	1,494.25		87.11	Sale
10/25/16	216,000	7,943.59	Various	6,356.23		1,587.36	Total of 3 transactions
2 transactions for 11/11/16							
	108,000	3,927.88	12/24/13	3,753.00		174.88	Sale
	159,000	5,782.72	03/17/14	5,192.94		589.78	Sale
11/11/16	267,000	9,710.60	Various	8,945.94		764.66	Total of 2 transactions
	Security total	17,654.19		15,302.17		2,352.02	

Raymond, James & Associates, Inc.

Account 4480R737

2016

Proceeds Not Reported to the IRS (continued)

02/15/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss() & Loss not allowed (X) also not reported (Z)	Additional information
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FORD MTR COMPANY DEL COM PAR \$0 01 / CUSIP 345370860 / Symbol F

4 transactions for 12/01/16

101 000	1 256 87	06/25/13	1 506 92	-250 05	Sale	
300 000	3 733 27	07/09/13	5,049 00	-1 315 73	Sale	
779 000	9 694 05	12/24/13	11 813 54	2 119 49	Sale	
200 000	2 488 84	03/05/15	3 229 30	740 46	Sale	
12/01/16	1,380 000	17 173 03	Various	21,598 76	4 425 73	Total of 4 transactions

JPMORGAN CHASE & COMPANY / CUSIP 46625H100 / Symbol JPM

2 transactions for 09/20/16

77 000	5 124 31	05/03/11	3 530 39	1 593 92	Sale	
10 000	665 50	11/04/11	335 48	330 02	Sale	
09/20/16	87 000	5 789 81	Various	3 865 87	1 923 94	Total of 2 transactions

SASOL LIMITED SPONSORED ADR (SOUTH AFRICA) / CUSIP 803866300 / Symbol SSL

5 transactions for 12/01/16

286 000	7 435 41	01/06/15	9 857 85	-2 422 44	Sale	
119 000	3 093 75	02/05/15	4 851 24	1 757 49	Sale	
118 000	3 067 75	02/10/15	4 666 17	-1 598 42	Sale	
42 000	1 091 91	02/19/15	1 557 36	465 45	Sale	
50 000	1 299 90	03/05/15	1 740 00	440 10	Sale	
12/01/16	615 000	15 988 72	Various	22 672 62	-6 683 90	Total of 5 transactions

TOTAL S A SPONSORED ADR (FRANCE) / CUSIP 89151E109 / Symbol TOT

4 transactions for 12/20/16

43 000	2 114 58	04/09/12	2 148 22	33 64	Sale	
68 000	3 343 98	03/13/13	3 409 29	65 31	Sale	
86 000	4 229 16	12/24/13	5 177 55	948 39	Sale	



4480R737 - 004

Raymond James & Associates, Inc.

Account 4480R737

Proceeds Not Reported to the IRS (continued)

2016

02/15/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TOTAL S A SPONSORED ADR (FRANCE) / CUSIP 89151E109 / Symbol TOT (cont'd)							
	200 000	9 835 24	03/05/15	10 483 92		648 68	Sale
12/20/16	397 000	19 522 96	Various	21 218 98		-1 696 02	Total of 4 transactions
	Totals	76 128 71		84 658 40		-8 529 69	