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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

A Employer identification number
20-1451752

Number and street (or P O box number if mail is not delivered to street address) Room/suite
180 MAIDEN LANE

B Telephone number (see instructions)
(212) 806-6027

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10038

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 78,645,157

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	9,325,147			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	95,240	95,240		
	4	Dividends and interest from securities	1,320,437	1,320,437		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-1,066,569			
	b	Gross sales price for all assets on line 6a 33,978,291				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	39,031	0		
	12	**Total.** Add lines 1 through 11	9,713,286	1,415,677		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages	131,667	0		131,667
	15	Pension plans, employee benefits	41,394	0		391
	16a	Legal fees (attach schedule)	54,016	40,512		13,504
	b	Accounting fees (attach schedule)	35,680	17,483		18,197
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	12,668	0		9,448
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	475,005	0		729
	24	**Total operating and administrative expenses.** Add lines 13 through 23	750,430	57,995		173,936
	25	Contributions, gifts, grants paid	6,068,727			6,068,727
	26	**Total expenses and disbursements.** Add lines 24 and 25	6,819,157	57,995		6,242,663
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	2,894,129			
	b	**Net investment income** (if negative, enter -0-)		1,357,682		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	6,713	2,717	2,717
2	Savings and temporary cash investments	8,605,403	7,173,912	7,173,912
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	518,865	1,457,523	1,457,523
b	Investments—corporate stock (attach schedule)	60,156,810	67,258,294	67,258,294
c	Investments—corporate bonds (attach schedule)	109,982	50,001	50,001
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	2,551,686	2,702,710	2,702,710
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	71,949,459	78,645,157	78,645,157
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	71,949,459	78,645,157	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	71,949,459	78,645,157	
31	**Total liabilities and net assets/fund balances** (see instructions)	71,949,459	78,645,157	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	71,949,459
2	Enter amount from Part I, line 27a	2	2,894,129
3	Other increases not included in line 2 (itemize) ▶	3	3,801,569
4	Add lines 1, 2, and 3	4	78,645,157
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	78,645,157

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES	P		
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	33,978,291		35,044,860	-1,066,569
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-1,066,569
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-1,066,569
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	5,161,330	55,692,208	0 092676
2014	4,472,208	18,703,491	0 239111
2013	4,824,291	19,654,899	0 245450
2012	5,430,514	18,999,219	0 285828
2011	5,801,870	33,883,609	0 171229

2 **Total** of line 1, column (d)	2	1 034294
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 206859
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	74,346,902
5 Multiply line 4 by line 3	5	15,379,326
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,577
7 Add lines 5 and 6	7	15,392,903
8 Enter qualifying distributions from Part XII, line 4	8	6,242,663

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	27,154
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	27,154
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	27,154
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	7,537		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	7,537
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	354
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	19,971
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶N/A			
14	The books are in care of ▶CO ETTA BRANDMAN AT STROOCK Telephone no ▶(212) 806-6027			
	Located at ▶180 MAIDEN LANE NEW YORK NY ZIP+4 ▶10038			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?.	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BETH LIEF C/O CARROLL MILTON PETRIE FND 767 THIRD AVENUE NEW YORK, NY 10038	EXECUTIVE DIRECTOR 25 00	131,667	20,000	0
Total number of other employees paid over $50,000. . . . . ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	67,494,362
b	Average of monthly cash balances.	1b	7,984,726
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	75,479,088
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	75,479,088
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,132,186
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	74,346,902
6	**Minimum investment return.** Enter 5% of line 5.	6	3,717,345

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	3,717,345
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	27,154		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	27,154
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	3,690,191
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	3,690,191
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	3,690,191

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	6,242,663
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,242,663
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	6,242,663

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				3,690,191
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____ , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 5,801,870				
b From 2012. 5,430,514				
c From 2013. 3,866,227				
d From 2014. 3,562,055				
e From 2015. 2,398,404				
f **Total** of lines 3a through e.	21,059,070			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 6,242,663				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				3,690,191
e Remaining amount distributed out of corpus	2,552,472			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,611,542			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	5,801,870			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	17,809,672			
10 Analysis of line 9				
a Excess from 2012. . . . 5,430,514				
b Excess from 2013. . . . 3,866,227				
c Excess from 2014. . . . 3,562,055				
d Excess from 2015. . . . 2,398,404				
e Excess from 2016. . . . 2,552,472				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				6,068,727
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	95,240	
4 Dividends and interest from securities. . . .			14	1,320,437	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-1,066,569	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a MISCELLANEOUS			01	39,031	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		388,139	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 388,139

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-09	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
AARON SHAPIRO				P01333816
Firm's name ▶ LOEB & TROPER LLP				Firm's EIN ▶13-1517563
Firm's address ▶ 655 THIRD AVENUE 12TH FLOOR NEW YORK, NY 10017				Phone no (212) 867-4000

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEROME A MANNING 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1 00	0	0	0
JEAN L TROUBH 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR/VICE-PRESIDENT 1 00	0	0	0
REGINA PERUGGI 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1 00	0	0	0
CHARLES D KLEIN 299 PARK AVENUE NEW YORK, NY 10017	SECRETARY/TREASURER 1 00	0	0	0
ETTA BRANDMAN 180 MAIDEN LANE NEW YORK, NY 10038	PRESIDENT 1 00	0	0	0
GAIL GORDON 180 MAIDEN LANE NEW YORK, NY 10038	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BARUCH COLLEGE 5 LEXINGTON AVENUE NEW YORK, NY 10010	NONE	PUBLIC	EDUCATIONAL	100,000
BOROUGH OF MANHATTAN COMMUNITY COLLEGE 199 CHAMBERS ST NEW YORK, NY 10007	NONE	PUBLIC	EDUCATIONAL	100,000
BOTTOM LINE 44 COURT ST 300 BROOKLYN, NY 11201	NONE	PUBLIC	EDUCATIONAL	175,000
BRONX COMMUNITY COLLEGE 2155 UNIVERSITY AVENUE NEW YORK, NY 10453	NONE	PUBLIC	EDUCATIONAL	225,000
BROOKLYN COLLEGE FOUNDATION 2900 BEDFORD AVENUE BROOKLYN, NY 11210	NONE	PUBLIC	EDUCATIONAL	100,000
Total . . . . ▶ **3a**				6,068,727

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CITY PARKS FOUNDATION 830 FIFTH AVENUE NEW YORK, NY 10021	NONE	PUBLIC	EDUCATIONAL	75,000
COLLEGE OF STATEN ISLAND 2800 VICTORY BLVD STATEN ISLAND, NY 10314	NONE	PUBLIC	EDUCATIONAL	232,000
COOPER UNION 30 COOPER SQ NEW YORK, NY 10003	NONE	PUBLIC	EDUCATIONAL	35,000
GOOD SHEPHERD SERVICES 337 E 17TH ST NEW YORK, NY 10003	NONE	PUBLIC	EDUCATIONAL	100,000
GUTTMAN COMMUNITY COLLEGE 50 W 40TH ST NEW YORK, NY 10018	NONE	PUBLIC	EDUCATIONAL	30,000
Total . . . . ▶ 3a				6,068,727

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOSTOS COMMUNITY COLLEGE 500 GRAND CONCOURSE BRONX, NY 10451	NONE	PUBLIC	EDUCATIONAL	81,667
INTERNATIONAL NETWORK FOR PUBLIC SCHOOLS 50 BROADWAY NEW YORK, NY 10004	NONE	PUBLIC	EDUCATIONAL	200,000
JOHN JAY COLLEGE OF CRIMINAL JUSTICE 524 WEST 59TH STREET NEW YORK, NY 10019	NONE	PUBLIC	EDUCATIONAL	204,208
KINGSBOROUGH COMMUNITY COLLEGE 2001 ORIENTAL BLVD BROOKLYN, NY 11235	NONE	PUBLIC	EDUCATIONAL	100,000
LAGUARDIA COMMUNITY COLLEGE FOUNDATION 31-10 THOMSON AVE LONG ISLAND, NY 11101	NONE	PUBLIC	EDUCATIONAL	127,190
Total . . . . ▶ **3a**				6,068,727

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEHMAN COLLEGE 250 BEDFORD PARK BOULEVARD WEST BRONX, NY 10468	NONE	PUBLIC	EDUCATIONAL	100,000
MEDGAR EVERS COLLEGE 1650 BEDFORD AVE BROOKLYN, NY 11225	NONE	PUBLIC	EDUCATIONAL	34,915
YORK COLLEGE FOUNDATION 94-20 GUY R BREWER BLVD JAMAICA, NY 11451	NONE	PUBLIC	EDUCATIONAL	100,000
NEW VISIONS FOR PUBLIC SCHOOLS 320 W 13TH ST NEW YORK, NY 10014	NONE	PUBLIC	EDUCATIONAL	400,000
NEW YORK CITY COLLEGE OF TECHNOLOGY 300 JAY STREET BROOKLYN, NY 11201	NONE	PUBLIC	EDUCATIONAL	100,000
Total . . . . ▶ **3a**				6,068,727

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW YORK CITY OUTWARD BOUND 29-46 NORTHERN BLVD NEW YORK, NY 11101	NONE	PUBLIC	EDUCATIONAL	100,000
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH NEW YORK, NY 10012	NONE	PUBLIC	EDUCATIONAL	665,000
NEW YORK UNIVERSITY SCHOOL OF LAW 245 SULLIVAN ST NEW YORK, NY 10012	NONE	PUBLIC	EDUCATIONAL	1,000,000
PURCHASE COLLEGE FOUNDATION 735 ANDERSON HILL RD PURCHASE, NY 10577	NONE	PUBLIC	EDUCATIONAL	50,000
QUEENS COLLEGE 65-30 KISSENA BLVD FLUSHING, NY 11367	NONE	PUBLIC	EDUCATIONAL	87,029
Total . . . . ▶ **3a**				6,068,727

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
QUEENSBOROUGH COMMUNITY COLLEGE 222-05 56TH AVE NEW YORK, NY 11364	NONE	PUBLIC	EDUCATIONAL	233,000
THE NEW SCHOOL 66 WEST 66 12TH STREET NEW YORK, NY 10011	NONE	PUBLIC	EDUCATIONAL	96,000
THE URBAN ASSEMBLY 90 BROAD STREET NEW YORK, NY 10004	NONE	PUBLIC	EDUCATIONAL	210,000
RESEARCH FOUNDATION FOR CUNY 30 WEST 41ST ST NEW YORK, NY 10036	NONE	PUBLIC	EDUCATIONAL	641,368
SPONSORS FOR EDUCATIONAL OPPORTUNITY 55 EXCHANGE PLACE SUITE 601 NEW YORK, NY 10005	NONE	PUBLIC	EDUCATIONAL	64,000
Total . . . . ▶ **3a**				6,068,727

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW YORK COMMUNITY TRUST 909 3RD AVE NEW YORK, NY 10022	NONE	PUBLIC	EDUCATIONAL	75,000
PARAPROFESSIONAL HEALTHCARE INSTITUTE INC 349 E 149TH ST 10 BRONX, NY 10451	NONE	PUBLIC	EDUCATIONAL	75,000
PER SHOLAS REP 804E 138TH ST BRONX, NY 10454	NONE	PUBLIC	EDUCATIONAL	52,350
TEACHERS COLLEGE 525 W 120TH ST NEW YORK, NY 10027	NONE	PUBLIC	EDUCATIONAL	100,000
Total . . . . ▶ 3a				6,068,727

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TY 2016 Accounting Fees Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	35,680	17,483		18,197

TY 2016 Investments Corporate Bonds Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TORONTO DOMINION BANK SR NT	50,001	50,001

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TY 2016 Investments Corporate Stock Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES	499,538	499,538
ABBVIE	563,580	563,580
ACCENTURE PLC	468,520	468,520
ALLERGAN INC.	798,038	798,038
ALLERGAN INC.	336,016	336,016
ALPHABET INC CLASS A	578,489	578,489
ALPHABET INC CLASS C	926,184	926,184
ALPHABET INC CLASS C	192,955	192,955
ALTRIA	135,240	135,240
AMAZON	1,799,688	1,799,688
AMERICAN AIRLINES GROUP INC	700,350	700,350
AMERICAN EXPRESS CO	592,640	592,640
AMERICAN TOWER CORP	188,532	188,532
AMETEK INC	486,000	486,000
ANADARKO PETROLEUM CORP	627,570	627,570
AON PLC	669,180	669,180
APPLE INC	1,737,300	1,737,300
APPLE INC	347,460	347,460
ASSURANT INC	371,440	371,440
AUTOMATIC DATA PROCESSING INC	472,788	472,788
AXALTA COATING SYSTEMS LTD	544,000	544,000
BLACKROCK INC	1,331,890	1,331,890
BOC HONG KONG HOLDINGS LTD(HKD)	787,714	787,714
BOEING CO	389,200	389,200
BOEING CO	249,088	249,088
BRISTOL-MYERS SQUIBB CO	584,400	584,400
BROADCOM LTD	441,925	441,925
CELGENE CORP	1,157,500	1,157,500
CERNER CORP	387,108	387,108
CHEVRON	882,750	882,750

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHUBB LTD	660,600	660,600
CHUBB LTD	237,816	237,816
CISCO SYSTEMS INC	362,640	362,640
CITIGROUP INC	505,155	505,155
COCA COLA CO	601,170	601,170
COLGATE PALMOLIVE CO	111,248	111,248
COMCAST CORP CL A	372,870	372,870
CONCHO RESOURCES INC	477,360	477,360
COSTCO WHOLESALE CORP	1,975,757	1,975,757
CSX CORP	330,556	330,556
D R HORTON INC	195,857	195,857
D R HORTON INC	199,509	199,509
DAIMLER AG (EUR)	670,448	670,448
DANAHER CORP	772,173	772,173
DELTA AIR LINES INC DEL	319,735	319,735
DEVON ENERYGY CORP NEW	502,370	502,370
DISCOVERY COMMUNICATIONS	321,360	321,360
DISNEY WALT CO	2,103,160	2,103,160
DOW CHEMICAL CO	743,860	743,860
ECOLAB INC	539,212	539,212
EOG RESOURCES INCORPORATION	1,011,000	1,011,000
EOG RESOURCES INCORPORATION	566,160	566,160
EXTRACTION OIL AND GAS INC	240,480	240,480
EXXON MOBIL CORP	541,560	541,560
FACEBOOK INC CL A	805,350	805,350
FAST RETAILING CO LTD	654,852	654,852
FEDEX CORP	446,880	446,880
GALLAGHER ARTHUR J & CO	779,400	779,400
GENERAL ELECTRIC CO	948,000	948,000
GILEAD SCIENCES INC	358,050	358,050

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HOME DEPOT INC	603,360	603,360
HONEYWELL INTERNATIONAL	857,290	857,290
HYUNDAI MOTOR CO.	312,400	312,400
INTEL CORP	1,088,100	1,088,100
INTERNATIONAL BUSINESS MACHINES CORP	298,782	298,782
INVESTOR AB(SEK)	1,495,192	1,495,192
J P MORGAN CHASE & CO	862,900	862,900
JOHNSON & JOHNSON CO	299,546	299,546
KIMBERLY CLARK CORP	114,120	114,120
LENNAR CORP	214,650	214,650
LILLY ELI & CO	367,750	367,750
LOREAL S A (EUR) 2 NEW	653,176	653,176
LOUIS VUITTON MOET HENNESSY	778,746	778,746
MCDONALDS CORP	486,880	486,880
MICROCHIP TECHNOLOGY INC	832,026	832,026
MICROSOFT CORP	621,400	621,400
MICROSOFT CORP	621,400	621,400
MOTOROLA SOLUTION INC	447,606	447,606
NESTLE SA (CHF) 1	885,150	885,150
NEXTERA ENERGY INC	1,194,600	1,194,600
NIKE INC	1,104,028	1,104,028
NOVO-NORDISK AS	180,273	180,273
OCCIDENTAL PETROLEUM CORP	356,150	356,150
ORACLE CORP	461,400	461,400
PALO ALTO NETWORKS INC	500,200	500,200
PFIZER INC	487,200	487,200
PFIZER INC	233,856	233,856
PHILIP MORRIS INTERNATIONAL INC	274,470	274,470
PIONEER NATURAL RESOURCES CO	720,280	720,280
PNC FINANCIAL SERVICE GROUP INC	280,704	280,704

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PROCTER & GAMBLE CO.	672,640	672,640
RAYTHEON CO NEW	710,000	710,000
RAYTHEON CO NEW	241,400	241,400
RED HAT INC	557,600	557,600
ROCHE HOLDING AG BASIL (CHF)	913,590	913,590
ROYAL DUTCH PLC ADR	125,074	125,074
SALESFORCE.COM INC	253,302	253,302
SCHLUMBERGER LTD	881,475	881,475
SCHWAB CHARLES CORP NEW	592,050	592,050
SKANSKA AB-B (SEK)	708,405	708,405
STANLEY BLACK & DECKER INC	116,410	116,410
TAIWAN SEMICONDUCTOR MFG CO SPON ADR	1,610,000	1,610,000
TORONTO DOMINION BK ONT NEW (CAD)	493,190	493,190
TRAVELERS COS INC	489,680	489,680
UNION PACIFIC CORP	725,760	725,760
UNITED CONTINENTAL HOLDINGS INC	408,128	408,128
UNITED PARCEL SVC INC CL B	997,368	997,368
VERISON COMMUNICATIONS	800,700	800,700
W R GRACE INC	60,876	60,876
WESTERN DIGITAL CORP	283,623	283,623
WHIRLPOOL CORP	381,717	381,717

TY 2016 Investments Government Obligations Schedule

Name:	THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK
EIN:	20-1451752
US Government Securities - End of Year Book Value:	1,457,523
US Government Securities - End of Year Fair Market Value:	1,457,523
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2016 Investments - Other Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ISHARES US INTERMEDIATE CREDIT BOND	FMV	584,226	584,226
VANGUARD SHORT TERM CORPORATE BOND FUND	FMV	500,031	500,031
CARL MARKS STRATEGIC OPPS	FMV	191,066	191,066
DORCHESTER CAPITAL	FMV	142,859	142,859
CARL MARKS STRATEGIC OPPS FD II LP	FMV	1,284,528	1,284,528

TY 2016 Legal Fees Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	54,016	40,512		13,504

TY 2016 Other Expenses Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	4,860	0		729
OFFICE EXPENSES	368	0		0
TRAVEL	304	0		0
OTHER FEES	7,255	0		0
DUES & SUBSCRIPTIONS	226	0		0
INVESTMENT MANAGEMENT FEES	461,992	0		0

TY 2016 Other Income Schedule

Name: THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS	39,031		39,031

TY 2016 Other Increases Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	3,801,569

TY 2016 Taxes Schedule

Name: THE CARROLL AND MILTON PETRIE
FOUNDATION INC C/O BRANDMAN AT STROOCK

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	9,448	0		9,448
FOREIGN TAXES PAID	3,220	0		0

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990***

OMB No 1545-0047

2016

Name of the organization	Employer identification number
THE CARROLL AND MILTON PETRIE FOUNDATION INC C/O BRANDMAN AT STROOCK	20-1451752

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CARROLL PETRIE MARITAL TRUST STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY10038	$ 2,310,329	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	ROBERT SIFFERT STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY10038	$ 4,304,908	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	MILLIE SCHWARTZ STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY10038	$ 1,125,020	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	TRUST UWO MILTON PETRIE ART 22A1 STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY10038	$ 232,998	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
5	DAVID HERMANN STROOCKSTROOCK LAVAN 180 MAIDEN LAN NEW YORK, NY10038	$ 383,841	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

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Part II Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	STOCK CONTRIBUTION	$ 2,258 325	2016-02-29
(a) No.from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No.from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No.from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No.from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No.from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	

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Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** ***exclusively*** **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________
Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

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