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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation Cornelia Cogswell Rossi Foundation Inc		A Employer identification number 20-1420345	
% Foundation Source			
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	
		B Telephone number (see instructions) (800) 839-1754	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377			
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 14,266,374		E If private foundation status was terminated under section 507(b)(1)(A), check here	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,661	2,661		
	4 Dividends and interest from securities	255,287	255,287		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	514,698			
	b Gross sales price for all assets on line 6a	1,764,437			
	7 Capital gain net income (from Part IV, line 2)		595,025		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	772,646	852,973		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	56,553	0	0	56,553
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	55,714	55,714		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,704			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	57,136			57,136
	24 Total operating and administrative expenses. Add lines 13 through 23	179,107	55,714	0	113,689
	25 Contributions, gifts, grants paid	1,466,400			1,466,400
	26 Total expenses and disbursements. Add lines 24 and 25	1,645,507	55,714	0	1,580,089
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-872,861			
	b Net investment income (if negative, enter -0-)		797,259		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	3,928,847	3,064,246	3,064,246
	3	Accounts receivable ▶ <u>1,109</u>			
		Less allowance for doubtful accounts ▶ _____		1,109	1,109
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,373,703 	4,970,382	9,129,983
	c	Investments—corporate bonds (attach schedule)	1,655,735 	2,049,687	2,071,036
	11	Investments—land, buildings, and equipment basis ▶ _____			
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,958,285	10,085,424	14,266,374
	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	10,958,285	10,085,424	
	30	Total net assets or fund balances (see instructions)	10,958,285	10,085,424	
	31	Total liabilities and net assets/fund balances (see instructions) .	10,958,285	10,085,424	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,958,285
2	Enter amount from Part I, line 27a	2	-872,861
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	10,085,424
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,085,424

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,764,437		1,169,412	595,025
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			595,025
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	595,025
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,731,788	15,843,954	0 109303
2014	1,959,214	17,595,176	0 111349
2013	1,145,378	17,562,222	0 065218
2012	1,876,508	17,613,395	0 106539
2011	898,011	17,736,818	0 05063
2 Total of line 1, column (d)			2 0 443039
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 088608
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 14,417,234
5 Multiply line 4 by line 3			5 1,277,482
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,973
7 Add lines 5 and 6			7 1,285,455
8 Enter qualifying distributions from Part XII, line 4			8 1,580,089

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	7,973
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	7,973
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	7,973
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	8,900
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	8,900
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	927
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 927 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► Foundation Source Telephone no ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ Yes ☒ No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Charles B Kaufmann III Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Pres 5 0	0	0	0
John R Raben Jr Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP / Treas 2 0	0	0	0
Laurie A Warren Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP / Sec 1 0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
Total number of other employees paid over \$50,000.				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Foundation Source 55 Walls Drive Fairfield, CT 06824	Administrative	57,086
Shipman & Goodwin LLP 289 Greenwich Ave Greenwich, CT 06830		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	10,887,483
b	Average of monthly cash balances.	1b	3,749,303
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	14,636,786
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,636,786
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	219,552
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	14,417,234
6	Minimum investment return. Enter 5% of line 5.	6	720,862

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	720,862
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	7,973
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,973
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	712,889
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	712,889
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	712,889

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,580,089
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,580,089
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	7,973
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,572,116

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				712,889
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 2014, 2013, 2012				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012. 562,906				
c From 2013. 282,937				
d From 2014. 1,095,441				
e From 2015. 957,198				
f Total of lines 3a through e.	2,898,482			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,580,089</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				712,889
e Remaining amount distributed out of corpus	867,200			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,765,682			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,765,682			
10 Analysis of line 9				
a Excess from 2012. 562,906				
b Excess from 2013. 282,937				
c Excess from 2014. 1,095,441				
d Excess from 2015. 957,198				
e Excess from 2016. 867,200				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) NONE
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a The name, address, and telephone number or email address of the person to whom applications should be addressed
b The form in which applications should be submitted and information and materials they should include
c Any submission deadlines
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,466,400
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	2,661	
4 Dividends and interest from securities. . . .			14	255,287	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	514,698	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				772,646	
13 Total. Add line 12, columns (b), (d), and (e).			13		772,646

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2017-07-21	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01345770
	Firm's name ▶ Foundation Source				Firm's EIN ▶
	Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no (800) 839-1754

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALS THERAPY DEVELOPMENT FOUNDATION INC 300 TECHNOLOGY SQ STE 400 CAMBRIDGE, MA 02139	N/A	PC	General & Unrestricted	1,500
ALZHEIMER'S ASSOCIATION - CONNECTICUT CHAPTER 200 EXECUTIVE BLVD STE 4B SOUTHINGTON, CT 06489	N/A	PC	General & Unrestricted	1,000
AMERICAN NATIONAL RED CROSS - GREENWICH CHAPTER 99 INDIAN FIELD RD GREENWICH, CT 06830	N/A	PC	Louisiana Flood Relief Effort Project	25,000
AMERICARES FREE CLINIC INC 88 HAMILTON AVE STAMFORD, CT 06902	N/A	PC	Stamford Free Clinic Project	25,000
BARNARD COLLEGE 3009 BROADWAY NEW YORK, NY 10027	N/A	PC	General & Unrestricted	5,000
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BERKSHIRE SCHOOL INC 245 N UNDERMOUNTAIN RD SHEFFIELD, MA 01257	N/A	PC	Hail Berkshire campaign	25,000
BERKSHIRE SCHOOL INC 245 N UNDERMOUNTAIN RD SHEFFIELD, MA 01257	N/A	PC	Hail Berkshire Campaign	25,000
BREAST CANCER ALLIANCE INC 48 MAPLE AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	3,500
BUCKLEY SCHOOL IN THE CITY OF NEW YORK 113 E 73RD ST NEW YORK, NY 10021	N/A	PC	Centennial Building Campaign	10,000
CAMDEN PUBLIC LIBRARY 55 MAIN ST CAMDEN, ME 04843	N/A	GOV	Millay Society Program	1,200
Total ▶ 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAMP SUNSHINE AT SEBAGO LAKE INC 35 ACADIA RD CASCO, ME 04015	N/A	PC	General & Unrestricted	50,000
CHILDREN OF FALLEN PATRIOTS FOUNDATION 419 3RD ST N JACKSONVILLE BEACH, FL 32250	N/A	PC	General & Unrestricted	5,000
COLUMBIA COLLEGE OF COLUMBIA UNIVERSITY 475 RIVERSIDE DR RM 917 NEW YORK, NY 10115	N/A	PC	Carroll Adams Scholarship Fund	5,000
CONNECTICUT FOOD BANK INC PO BOX 8686 NEW HAVEN, CT 06512	N/A	PC	General & Unrestricted	3,000
COVENANT HOUSE 460 W 41ST ST NEW YORK, NY 10036	N/A	PC	sleep out Fund	500
Total 				1,466,400
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOOD BANK OF LOWER FAIRFIELD COUNTY INC 461 GLENBROOK RD STAMFORD, CT 06906	N/A	PC	General & Unrestricted	6,500
FRIENDS OF ACADIA PO BOX 45 BAR HARBOR, ME 04609	N/A	PC	Second Century Campaign Organizational Endowment Fund	200,000
FRIENDS OF NATHANIEL WITHERELL INC 70 PARSONAGE RD GREENWICH, CT 06830	N/A	PC	General & Unrestricted	2,500
GOODWILL OF WESTERN AND NORTHERN CONNECTICUT INC 165 OCEAN TER BRIDGEPORT, CT 06605	N/A	PC	General & Unrestricted	2,500
GRAND CANYON ASSOCIATION PO BOX 399 GRAND CANYON, AZ 86023	N/A	PC	General & Unrestricted	1,000
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENWICH ACADEMY INC 200 N MAPLE AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	5,000
GREENWICH ADULT DAY CARE INC 125 RIVER RD EXT COS COB, CT 06807	N/A	PC	General & Unrestricted	2,500
GREENWICH EMERGENCY MEDICAL SERVICE INCORPORATED 1111 E PUTNAM AVE RIVERSIDE, CT 06878	N/A	PC	General & Unrestricted	4,500
GREENWICH HISTORICAL SOCIETY INC 39 STRICKLAND RD COS COB, CT 06807	N/A	PC	General & Unrestricted	1,000
GREENWICH HOSPITAL 5 PERRYRIDGE RD GREENWICH, CT 06830	N/A	PC	General & Unrestricted	2,000
Total 				1,466,400
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENWICH LIBRARY 101 W PUTNAM AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	2,000
GREENWICH REBOUNDERS CLUB 125 STONEHEDGE DR N GREENWICH, CT 06831	N/A	PC	Greenwich Boys Basketball Team Fundraiser	200
GREENWICH SYMPHONY ORCHESTRA INC PO BOX 35 GREENWICH, CT 06836	N/A	PC	General & Unrestricted	5,000
GREENWICH TREE CONSERVANCY INC PO BOX 4215 GREENWICH, CT 06831	N/A	PC	General & Unrestricted	500
HOSPITAL FOR SPECIAL SURGERY FUND INC 535 E 70TH ST NEW YORK, NY 10021	N/A	PC	General & Unrestricted	2,000
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ISLAND CONNECTIONS 15 EAGLE LAKE RD BAR HARBOR, ME 04609	N/A	PC	General & Unrestricted	25,000
ISLAND INSTITUTE 386 MAIN ST ROCKLAND, ME 04841	N/A	PC	General & Unrestricted	25,000
JACKSON LABORATORY 600 MAIN ST BAR HARBOR, ME 04609	N/A	PC	Cornelia Cogswell Rossi Neurobehavioral Biometry Center Project	325,000
LEWA WILDLIFE CONSERVANCY USA 38 MILLER AVE BOX 507 MILL VALLEY, CA 94941	N/A	PC	General & Unrestricted	7,500
LEWA WILDLIFE CONSERVANCY USA 38 MILLER AVE BOX 507 MILL VALLEY, CA 94941	N/A	PC	Lewa Rangers Team Uniforms Project	3,000
Total ▶ 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LIFEFLIGHT OF MAINE LLC PO BOX 899 CAMDEN, ME 04843	N/A	PC	General & Unrestricted	50,000
MARINE TOYS FOR TOTS FOUNDATION 18251 QUANTICO GATEWAY DR TRIANGLE, VA 22172	N/A	PC	General & Unrestricted	1,000
MASSACHUSETTS GENERAL HOSPITAL 100 CAMBRIDGE ST STE 1310 BOSTON, MA 02114	N/A	PC	MGH Center for Law, Brain & Behavior Program	2,500
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVE NEW YORK, NY 10065	N/A	PC	General & Unrestricted	2,500
MORYS PRESERVATION INC 305 YORK ST NEW HAVEN, CT 06511	N/A	PC	General & Unrestricted	2,500
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOUNT DESERT ISLAND HOSPITAL 10 WAYMAN LN BAR HARBOR, ME 04609	N/A	PC	General & Unrestricted	50,000
MOUNT DESERT ISLAND HOSPITAL 10 WAYMAN LN BAR HARBOR, ME 04609	N/A	PC	Emergency Generator Fund	50,000
MOUNT DESERT NURSING ASSOCIATION PO BOX 397 NORTHEAST HARBOR, ME 04662	N/A	PC	General & Unrestricted	10,000
NATIONAL AUDUBON SOCIETY - GREENWICH 613 RIVERSVILLE RD GREENWICH, CT 06831	N/A	PC	General & Unrestricted	500
NATIONAL FOREST FOUNDATION 27 Fort Missoula Rd 3 MISSOULA, MT 59804	N/A	PC	General & Unrestricted	2,500
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTH HAVEN FOUNDATION PO BOX 664 ROCKLAND, ME 04841	N/A	PC	General & Unrestricted	20,000
NORTH HAVEN SUSTAINABLE HOUSING PO BOX 373 NORTH HAVEN, ME 04853	N/A	PC	General & Unrestricted	1,000
NORTHEAST HARBOR AMBULANCE SERVICE INC PO BOX 122 NORTHEAST HARBOR, ME 04662	N/A	PC	General & Unrestricted	25,000
NYU LANGONE MEDICAL CENTER 1 PARK AVE 17TH FL NEW YORK, NY 10016	N/A	PC	Stephen E Banner Fund for Lung Cancer Research	2,500
PACIFIC HOUSE INC 137 HENRY ST STE 205 STAMFORD, CT 06902	N/A	PC	General & Unrestricted	5,000
Total 				1,466,400
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PATHWAYS INC 175 MILBANK AVE GREENWICH, CT 06830	N/A	PC	General & Unrestricted	1,000
PILOBOLUS INC PO BOX 388 WASHINGTON DT, CT 06794	N/A	PC	General & Unrestricted	2,500
SALISBURY SCHOOL INC 251 CANAAN RD SALISBURY, CT 06068	N/A	PC	The Sixth Form Parents Fund - The Class of 2017 Quadrangle Campaign	10,000
SALVATION ARMY - GREATER HARTFORD AREA SERVICES 217 WASHINGTON ST HARTFORD, CT 06106	N/A	PC	General & Unrestricted	4,000
SAVE THE CHILDREN FEDERATION INC 501 KINGS HWY E STE 400 FAIRFIELD, CT 06825	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHRINERS HOSPITALS FOR CHILDREN 2900 N ROCKY POINT DR TAMPA, FL 33607	N/A	PC	For medical services at the hospital which the Shriners organization deems to have the greatest need	1,000
SIMPLY SMILES INC 1771 POST RD E WESTPORT, CT 06880	N/A	PC	Reservation/USA Program	1,000
SQUASH HAVEN INC 70 TOWER PKWY NEW HAVEN, CT 06511	N/A	PC	General & Unrestricted	1,000
ST JUDE CHILDRENS RESEARCH HOSPITAL INC 262 DANNY THOMAS PL MS512 MEMPHIS, TN 38105	N/A	PC	General & Unrestricted	2,500
THE ANDREW K DWYER FOUNDATION 756 GUARD HILL RD BEDFORD, NY 10506	N/A	PC	General & Unrestricted	1,000
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE MAINE SEA COAST MISSION 127 W ST BAR HARBOR, ME 04609	N/A	PC	General & Unrestricted	50,000
THE MARITIME AQUARIUM AT NORWALK INC 10 N WATER ST SOUTH NORWALK, CT 06854	N/A	PC	General & Unrestricted	2,500
THE TRANSPORTATION ASSOCIATION OF GREENWICH INC 13 RIVERSIDE AVE RIVERSIDE, CT 06878	N/A	PC	Safe Rides Program	1,500
THE YANKEE INSTITUTE FOR PUBLIC POLICY STUDIES INC 216 MAIN ST HARTFORD, CT 06106	N/A	PC	General & Unrestricted	3,500
TRUSTEES OF PHILLIPS ACADEMY 180 MAIN ST ANDOVER, MA 01810	N/A	PC	Charitable Event	2,500
Total ▶ 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VISITING NURSE & HOSPICE OF FAIRFIELD COUNTY PO BOX 489 WILTON, CT 06897	N/A	PC	General & Unrestricted	2,500
WATERMANS COMMUNITY CENTER PO BOX 526 NORTH HAVEN, ME 04853	N/A	PC	General & Unrestricted	1,000
WATERSIDE SCHOOL INC 770 PACIFIC ST STAMFORD, CT 06902	N/A	PC	General & Unrestricted	4,500
WIDENER UNIVERSITY ONE UNIVERSITY PL CHESTER, PA 19013	N/A	PC	Oskin Leadership Institute Project	10,000
WILLIAM F BUCKLEY JR PROGRAM AT YALE 234 CHURCH ST 7TH FL NEW HAVEN, CT 06510	N/A	PC	General & Unrestricted	2,000
Total ► 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOUNDED WARRIOR PROJECT LONG TERM SUPPORT TR 4899 BELFORT RD STE 300 JACKSONVILLE, FL 32256	N/A	SO I	General & Unrestricted	1,000
YALE ALUMNI CHORUS FOUNDATION INC 1140 CHAPEL ST NEW HAVEN, CT 06511	N/A	PC	General & Unrestricted	1,000
YALE NEW HAVEN HOSPITAL 20 YORK ST NEW HAVEN, CT 06510	N/A	PC	General & Unrestricted	2,500
YALE UNIVERSITY PO BOX 2038 NEW HAVEN, CT 06521	N/A	PC	Yale Alumni Fund (Class of 1966)	2,000
YALE UNIVERSITY PO BOX 2038 NEW HAVEN, CT 06521	N/A	PC	Yale Alumni Fund (Class of 1966)	3,000
Total 				1,466,400
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE UNIVERSITY PO BOX 2038 NEW HAVEN, CT 06521	N/A	PC	Squash/Skillman Program	2,000
YALE UNIVERSITY PO BOX 209010 NEW HAVEN, CT 06520	N/A	PC	Yale Glee Club Associates Fund	1,500
YALE UNIVERSITY PO BOX 209010 NEW HAVEN, CT 06520	N/A	PC	Kingman Brewster papers Project	2,500
YALE UNIVERSITY PO BOX 209010 NEW HAVEN, CT 06520	N/A	PC	Yale University Art Gallery and the Cornelia Cogswell Rossi Gallery of Art of the Ancient Americas	300,000
YELLOWSTONE FOREVER PO BOX 117 YELLOWSTONE NATL PARK, WY 82190	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				1,466,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ZELIENOPLE HISTORICAL SOCIETY 243 S MAIN ST ZELIENOPLE, PA 16063	N/A	PC	General & Unrestricted	7,500
Total 				1,466,400
3a				

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: Cornelia Cogswell Rossi Foundation Inc

EIN: 20-1420345

TY 2016 Investments Corporate Bonds Schedule**Name:** Cornelia Cogswell Rossi Foundation Inc**EIN:** 20-1420345

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CHEVRON CORP - 1.172% - 06/24/	302,199	300,918
CVS CAREMARK CORP - 1.900% - 0	303,948	301,152
GE CAP CORP - 1.600% - 11/20/2	301,496	300,801
IBM CORP - 1.125% - 02/06/2018	249,445	249,433
ORACLE CORP SR NT - 2.250% - 1	308,271	303,639
PEPSICO INC - 5.000% - 06/01/2	282,480	314,655
UNITEDHEALTH GROUP INC - 1.400	301,848	300,438

TY 2016 Investments Corporate Stock Schedule

Name: Cornelia Cogswell Rossi Foundation Inc
EIN: 20-1420345

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC	56,863	125,240
AFLAC INC	90,940	139,200
AIR PRODS & CHEM INC	94,595	258,876
ALPHABET INC CL A	68,322	71,321
ALTRIA GROUP INC	54,015	202,860
AMERICAN EXPRESS CO	80,016	185,200
APPLE INC	43,784	405,370
BANK OF AMERICA CORP	61,035	66,300
BORG WARNER INC	96,919	78,880
CERNER CORPORATION	80,623	71,055
CHEVRON CORP	144,590	235,400
CISCO SYSTEMS INC	104,580	181,320
COGNIZANT TECHNOLOGY SOLUTIONS	71,098	67,236
CVS CAREMARK CORP	90,135	236,730
DEERE CO	37,181	103,040
EMERSON ELECTRIC CO	95,085	167,250
EVERSOURCE ENERGYINC	109,608	248,535
EXPRESS SCRIPTS HOLDING CO	104,760	240,765
EXXON MOBIL CORP	182,587	225,650
GENERAL ELECTRIC CO	134,568	227,520
GILEAD SCIENCES INC	79,233	250,635
HARBOR FDS INTL FD	480,310	610,963
HOME DEPOT INC	21,245	134,080
INTERNATIONAL BUSINESS MACHINE	85,480	165,990
JOHNSON & JOHNSON	118,770	230,420
JP MORGAN CHASE	125,608	289,072
LAZARD EMERGING MARKETS EQUITY	103,949	84,828
LOWES COMPANIES INC	58,320	177,800
MCDONALD'S CORP	54,890	121,720
MONDELEZ INTERNATIONAL INC	40,866	98,147

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MONSANTO CO	86,945	105,210
NATL OILWELL VARCO	154,186	149,760
NETAPP, INC	66,500	176,350
NEXTERA ENERGY, INC	90,030	238,920
PACCAR INC	26,565	63,900
PARKER HANNIFIN CP	36,480	140,000
PEPSICO INC	138,013	261,575
PFIZER INC	116,900	227,360
PHILIP MORRIS INTL	62,918	137,235
PRAXAIR INC	92,993	175,785
PROCTER GAMBLE CO	128,260	168,160
QUALCOMM INC	126,455	130,400
SCHLUMBERGER LTD	145,342	226,665
TARGET CORPORATION	92,700	108,345
TETRA TECH INC	106,246	172,600
TJX COMPANIES INC	89,023	112,695
UNITED TECHNOLOGIES CORP	76,583	164,430
VERIZON COMMUNICATIONS	57,232	109,322
VMWARE INC	131,181	118,095
VSM	8,875	25,263
WELLS FARGO & CO	99,118	192,885
YUM BRANDS INC	47,405	158,325
YUM CHINA HOLDINGS INC	20,457	65,300

TY 2016 Legal Fees Schedule**Name:** Cornelia Cogswell Rossi Foundation Inc**EIN:** 20-1420345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	56,553			56,553

TY 2016 Other Expenses Schedule

Name: Cornelia Cogswell Rossi Foundation Inc

EIN: 20-1420345

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	57,086			57,086
State or Local Filing Fees	50			50

TY 2016 Other Professional Fees Schedule**Name:** Cornelia Cogswell Rossi Foundation Inc**EIN:** 20-1420345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	55,714	55,714		

TY 2016 Taxes Schedule**Name:** Cornelia Cogswell Rossi Foundation Inc**EIN:** 20-1420345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2016	8,900			
990-PF Extension for 2015	804			