Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC

A Employer identification number: 20-0405627

Number and street (or P O box number if mail is not delivered to street address): C/O BRAVE WARRIOR- 12 E 49TH STREET

Room/suite:

B Telephone number (see instructions): (212) 840-3456

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10017

C If exemption application is pending, check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 37,060,820.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	9,880,928.	ATCH 1		
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	238,558.	238,558.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	6,346,592.			
	b	Gross sales price for all assets on line 6a 25,223,536.				
	7	Capital gain net income (from Part IV, line 2)		6,346,592.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 2	11,172.	11,172.		
	12	**Total.** Add lines 1 through 11	16,477,250.	6,596,322.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) [3]	55,707.	15,707.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 4	2,346.	1,596.		750.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	58,053.	17,303.		750.
	25	Contributions, gifts, grants paid	5,344,988.			5,344,988.
	26	Total expenses and disbursements. Add lines 24 and 25	5,403,041.	17,303.		5,345,738.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	11,074,209.			
	b	**Net investment income** (if negative, enter -0-)		6,579,019.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	6,286,911.	6,897,253.	6,897,253.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) ATCH 5	21,064,316.	26,301,035.	30,163,567.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ ATCH 6)	1,022,550.		
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	28,373,777.	33,198,288.	37,060,820.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	28,373,777.	33,198,288.	
31	**Total liabilities and net assets/fund balances** (see instructions)	28,373,777.	33,198,288.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,373,777.
2	Enter amount from Part I, line 27a	2	11,074,209.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	39,447,986.
5	Decreases not included in line 2 (itemize) ▶ ATCH 7	5	6,249,698.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	33,198,288.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a SEE PART IV SCHEDULE			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	6,346,592.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	4,398,750.	32,787,200.	0.134161
2014	3,585,481.	17,441,195.	0.205575
2013	2,784,250.	8,348,632.	0.333498
2012	1,979,500.	5,461,789.	0.362427
2011	2,252,505.	4,527,987.	0.497463

2	**Total** of line 1, column (d)	2	1.533124
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.306625
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	29,339,031.
5	Multiply line 4 by line 3	5	8,996,080.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	65,790.
7	Add lines 5 and 6	7	9,061,870.
8	Enter qualifying distributions from Part XII, line 4	8	5,345,738.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	131,580.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	131,580.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	131,580.
6	Credits/Payments:				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	99,158.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	99,158.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	32,422.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BRAVE WARRIOR CAPITAL INC Telephone no ▶ 212-421-9760

Located at ▶ 12 EAST 49TH STREET NEW YORK, NY ZIP+4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶ ____, ____, ____, ____	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0.
Total number of others receiving over $50,000 for professional services ▶		0.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	24,296,745.
b	Average of monthly cash balances	1b	5,489,073.
c	Fair market value of all other assets (see instructions).	1c	
d	**Total** (add lines 1a, b, and c)	1d	29,785,818.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	29,785,818.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	446,787.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	29,339,031.
6	**Minimum investment return.** Enter 5% of line 5	6	1,466,952.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,466,952.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	131,580.		
b	Income tax for 2016. (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b			2c	131,580.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,335,372.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,335,372.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,335,372.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,345,738.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,345,738.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,345,738.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,335,372.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20 14 ,20 13 ,20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011 ...... 2,049,596.				
b From 2012 ...... 1,753,577.				
c From 2013 ...... 2,424,753.				
d From 2014 ...... 2,912,911.				
e From 2015 ...... 2,966,232.				
f Total of lines 3a through e	12,107,069.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 5,345,738.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				1,335,372.
e Remaining amount distributed out of corpus	4,010,366.			
5 Excess distributions carryover applied to 2016. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,117,435.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	2,049,596.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	14,067,839.			
10 Analysis of line 9				
a Excess from 2012 ... 1,753,577.				
b Excess from 2013 ... 2,424,753.				
c Excess from 2014 ... 2,912,911.				
d Excess from 2015 ... 2,966,232.				
e Excess from 2016 ... 4,010,366.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets. . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

GLENN GREENBERG & LINDA VESTER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE	NONE	PC	CHARITABLE	5,344,988.
Total . . . . ▶ **3a**				5,344,988.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	238,558.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	6,346,592.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 9				11,172.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				6,596,322.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 6,596,322.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/8/17 Title: Pres.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MATTHEW MULLANEY	[signature]	5/5/17		P00182667
Firm's name ▶ ANCHIN BLOCK & ANCHIN LLP			Firm's EIN ▶ 13-0436940	
Firm's address ▶ 1375 BROADWAY NEW YORK, NY 10018-7001			Phone no 212-840-3456	

Form **990-PF** (2016)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PUBLICLY TRADED SECURITIES				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
25223536.		18876944.					6,346,592.	
TOTAL GAIN(LOSS)							6,346,592.	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990***

OMB No 1545-0047

2016

Name of the organization
GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC

Employer identification number
20-0405627

Organization type (check one)·

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC

Employer identification number

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	$ 68,085.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	$ 102,827.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
3	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	$ 68,085.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
4	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	$ 102,827.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
5	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	$ 68,085.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
6	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 102,827.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC	Employer identification number

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 68,085.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
8	CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 102,827.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
9	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 1,847,900.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
10	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	$ 917,950.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
11	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 1,129,980.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
12	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 1,010,800.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC	

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 966,250.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
14	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 474,775.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
15	GLENN GREENBEG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	$ 426,775.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
16	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	$ 427,525.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
17	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	$ 602,500.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
18	GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	$ 1,392,825.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)

Name of organization GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC

Employer identification number

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
9	20,000 SHARES CIMPRESS NV SHS EURO	$ 1,847,900.	04/15/2016
10	10,000 SHARES CIMPRESS NV SHS EURO	$ 917,950.	07/28/2016
11	12,000 SHARES CIMPRESS NV SHS EURO	$ 1,129,980.	08/04/2016
12	10,000 SHARES CIMPRESS NV SHS EURO	$ 1,010,800.	09/29/2016
13	10,000 SHARES CIMPRESS NV SHS EURO	$ 966,250.	10/14/2016
14	5,000 SHARES CIMPRESS NV SHS EURO	$ 474,775.	10/20/2016

Name of organization GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC

Employer identification number

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
15	5,000 SHARES CIMPRESS NV SHS EURO	$ 426,775.	11/07/2016
16	5,000 SHARES CIMPRESS NV SHS EURO	$ 427,525.	11/08/2016
17	10,000 SHARES PRIMERICA INC	$ 602,500.	11/09/2016
18	15,000 SHARES CIMPRESS NV SHS EURO	$ 1,392,825.	12/21/2016
		$	
		$	

Name of organization GLENN GREENBERG AND LINDA VESTER FOUNDATION, INC

Employer identification number

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ______
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	02/17/2016	68,085.
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	03/28/2016	102,827.
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	05/17/2016	68,085.
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	06/27/2016	102,827.
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017	08/17/2016	68,085.
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	09/26/2016	102,827.

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1 (CONT'D)

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	11/17/2016	68,085.
CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	12/15/2016	102,827.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	04/15/2016	1,847,900.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	07/28/2016	917,950.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	08/04/2016	1,129,980.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	09/29/2016	1,010,800.

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1 (CONT'D)

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	10/14/2016	966,250.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	10/20/2016	474,775.
GLENN GREENBEG & LINDA VESTER C/O BRAVE WARRIOR 12 EAST 49TH STREET NEW YORK, NY 10017	11/07/2016	426,775.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	11/08/2016	427,525.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	11/09/2016	602,500.
GLENN GREENBERG & LINDA VESTER C/O BRAVE WARRIOR, 12 EAST 49TH STREET NEW YORK, NY 10017	12/21/2016	1,392,825.
TOTAL CONTRIBUTION AMOUNTS		9,880,928.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INCOME-BROOKFIELD INFRASTRACTURE K-1	11,048.	11,048.
INCOME-BROOKFIELD BUS. PARTNERS K-1	124.	124.
TOTALS	11,172.	11,172.

ATTACHMENT 3

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAX		40,000.	
FOREIGN TAX		15,707.	15,707.
	TOTALS	55,707.	15,707.

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NYS FILING FEE	750.		750.
INVESTMENT EXPENSES-BROOKFIELD	1,557.	1,557.	
INTEREST ON CREDIT BALANCE	39.	39.	
TOTALS	2,346.	1,596.	750.

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
PERSHING-SEE ATTACHED		21,064,316.	26,301,035.	30,163,567.
	TOTALS	21,064,316.	26,301,035.	30,163,567.

ATTACHMENT 6

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
BROOKFIELD INFRASTRACTURE PART	1,022,550.		
TOTALS	1,022,550.		

ATTACHMENT 7

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
EXCESS OF FMV OVER COST OF SECURITIES RECEIVED	6,249,698.
TOTAL	6,249,698.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
GLENN H. GREENBERG 12 EAST 49TH STREET NEW YORK, NY 10017	SECY/TREAS/DIR 3.00	0.	0.	0.
LINDA J. VESTER 12 EAST 49TH STREET NEW YORK, NY 10017	PRES/DIR 3.00	0.	0.	0.
SPENCER GREENBERG 12 EAST 49TH STREET NEW YORK, NY 10017	DIR	0.	0.	0.
GREGORY GREENBERG 12 EAST 49TH STREET NEW YORK, NY 10017	DIR	0.	0	0.
	GRAND TOTALS	0	0.	0.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 9

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
BROOKFIELD INFRASTRACTURE PARTNERS			14	11,048.	
BROOKFIELD BUSINESS PARTNERS			14	124.	
TOTALS				11,172.	

Glenn Greenberg & Linda Vester Foundation, Inc
Contributions Made
December 31, 2016

DATE	DONEE	AMOUNT
1/6/2016	Readworks Inc	5,000.00
1/20/2016	Detroit Police Athletic League Kids at the Corner	62,500.00
1/21/2016	Yale University	2,000,000.00
2/11/2016	Corryville Catholic Elementary School	5,000.00
2/23/2016	The Posse Foundation	400,000.00
2/25/2016	The Brick Church School	10,000.00
2/29/2016	Reformed Church Nurs	10,000.00
3/15/2016	The Film Society of	20,000.00
3/15/2016	Ridgefield Playhouse	30,000.00
4/20/2016	Classroom Inc	200,000.00
4/20/2016	Facing History and Ourselves	300,000.00
4/20/2016	Beginning with Children Foundation	10,000.00
4/21/2016	New York Presbyterian	162,500.00
5/9/2016	The Brick Church School	100,000.00
6/1/2016	Ursuline Academy	90,000.00
6/7/2016	The Bronxville School	50,000.00
6/8/2016	Memorial Sloan Kettering	20,000.00
6/8/2016	Columbia Business School	100,000.00
6/8/2016	Common Good	250,000.00
7/15/2016	Code Org	250,000.00
7/19/2016	Character Lab	250,000.00
8/9/2016	Yale University	400,000.00
9/29/2016	Operation Opportunity	350,000.00
10/7/2016	Hackley School	50,000.00
11/10/2016	The Bronxville School	94,988.00
11/22/2016	Hackley School	100,000.00
11/30/2016	Yale University	10,000.00
12/6/2016	The North Salem Open Land Foundation	5,000.00
12/6/2016	Dubin Breast Center	10,000.00
		5,344,988.00

Pershing Advisor Solutions LLC, a BNY Mellon company
One Pershing Plaza, Jersey City, NJ 07399
(877) 870-7230
Member FINRA, SIPC

BRAVE WARRIOR ADVISORS

CORESTONE ACCOUNT™

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 81.00% of Portfolio								
Common Stocks								
AXALTA COATING SYSTEMS LTD SHS			**Security Identifier: AXTA**					
ISIN#BMG0750C1082			CUSIP G0750C108					
Dividend Option Cash								
01/11/16	9,466.000	25 0190	236,827 96	27.2000	257,475 19	20,647.23		
01/13/16	6,653 000	25 3840	168,882 41	27 2000	180,961.60	12,079 19		
01/14/16	6,068.000	24 6620	149,649 62	27 2000	165,049 60	15,399 98		
01/26/16	3,039 000	23 9830	72,885.55	27 2000	82,660.80	9,775 25		
02/08/16	6,125.000	21.2220	129,984.14	27.2000	166,600 00	36,615 86		
10/13/16	5,533 000	25 9870	143,784 96	27 2000	150,497.59	6,712.63		
10/14/16	2,650 000	26.2490	69,558.79	27.2000	72,080.00	2,521 21		
10/17/16	4,184 000	26.3730	110,342 54	27.2000	113,804 79	3,462.25		
10/18/16	3,753.000	26 4360	99,216 18	27.2000	102,081 60	2,865 42		
11/10/16	5,000.000	25 9000	129,500 00	27.2000	136,000 03	6,500 03		
Total Covered	**52,471.000**		**1,310,632.15**		**1,427,211.20**	**116,579.05**		
Total	**52,471.000**		**$1,310,632.15**		**$1,427,211.20**	**$116,579.05**	**$0.00**	
LIBERTY GLOBAL PLC SHS CL A			**Security Identifier: LBTYA**					
ISIN#GB00B8W67662			CUSIP: G5480U104					
Dividend Option Cash								
04/26/16	2,450.000	33 8550	82,945 00	30 5900	74,945.50	-7,999.50		
04/27/16	3,533 000	33 8410	119,559.47	30 5900	108,074 47	-11,485 00		
04/29/16	1,892 000	32 8900	62,227 46	30 5900	57,876.28	-4,351 18		
05/10/16	4,947.000	32.9020	162,767 50	30.5900	151,328 73	-11,438.77		
Total Covered	**12,822.000**		**427,499.43**		**392,224.98**	**-35,274.45**		
Total	**12,822.000**		**$427,499.43**		**$392,224.98**	**-$35,274.45**	**$0.00**	
LIBERTY GLOBAL PLC SHS CL C			**Security Identifier: LBTYK**					
ISIN#GB00B8W67B19			CUSIP. G5480U120					
Dividend Option Cash								
01/26/16	5,962.000	29 0770	173,354.13	29.7000	177,071.40	3,717.27		
01/27/16	9,357 000	29.0720	272,024.04	29 7000	277,902 90	5,878.86		
02/02/16	4,728.000	29.7800	140,799 91	29 7000	140,421 60	-378.31		
02/03/16	2,808 000	29 6470	83,248 56	29 7000	83,397 60	149 04		

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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
LIBERTY GLOBAL PLC SHS CL C (continued)								
02/08/16	4,728.000	27.0190	127,746.39	29.7000	140,421.60	12,675.21		
02/09/16	4,648.000	26.7090	124,144.04	29.7000	138,045.60	13,901.56		
02/10/16	4,642.000	27.1000	125,796.33	29.7000	137,867.41	12,071.08		
02/11/16	3,745.000	26.5160	99,300.57	29.7000	111,226.50	11,925.93		
02/25/16	3,212.000	31.2710	100,441.30	29.7000	95,396.41	-5,044.89		
03/09/16	4,761.000	30.6080	145,723.79	29.7000	141,401.70	-4,322.09		
04/26/16	4,772.000	32.8560	156,788.17	29.7000	141,728.40	-15,059.77		
04/27/16	3,131.000	32.8430	102,832.16	29.7000	92,990.69	-9,841.47		
05/19/16	4,356.000	30.8270	134,283.74	29.7000	129,373.21	-4,910.53		
06/27/16	5,883.000	27.0750	159,280.46	29.7000	174,725.10	15,444.64		
11/10/16	8,000.000	32.0350	256,283.20	29.7000	237,599.99	-18,683.21		
12/08/16	5,249.000	29.4390	154,525.31	29.7000	155,895.30	1,369.99		
12/09/16	2,924.000	29.1330	85,183.72	29.7000	86,842.79	1,659.07		
12/21/16	3,000.000	30.0330	90,098.33	29.7000	89,100.00	-998.33		
Total Covered	**85,906.000**		**2,531,854.15**		**2,551,408.20**	**19,554.05**		
Total	**85,906.000**		**$2,531,854.15**		**$2,551,408.20**	**$19,554.05**	**$0.00**	
LIBERTY GLOBAL PLC LILAC SHS CL A USD			**Security Identifier: LILA**					
ISIN#GB00BTC0M714			CUSIP: G5480U138					
Dividend Option: Cash								
04/26/16	304.896	39.4020	12,013.64	21.9600	6,695.52	-5,318.12		
04/27/16	440.809	39.3860	17,361.59	21.9600	9,680.16	-7,681.43		
04/29/16	236.063	38.2790	9,036.23	21.9600	5,183.94	-3,852.29		
05/10/16	617.232	38.2930	23,635.95	21.9600	13,554.42	-10,081.53		
Total Covered	**1,599.000**		**62,047.41**		**35,114.04**	**-26,933.37**		
Total	**1,599.000**		**$62,047.41**		**$35,114.04**	**-$26,933.37**	**$0.00**	
LIBERTY GLOBAL PLC LILAC SHS CL C USD			**Security Identifier: LILAK**					
ISIN#GB00BTC0MD78			CUSIP G5480U153					
Dividend Option Cash								
01/26/16	743.873	34.5150	25,674.72	21.1700	15,747.79	-9,926.93		
01/27/16	1,167.464	34.5090	40,288.29	21.1700	24,715.20	-15,573.09		
02/02/16	589.908	35.3500	20,853.25	21.1700	12,488.35	-8,364.90		
02/03/16	350.351	35.1920	12,329.58	21.1700	7,416.94	-4,912.64		
02/08/16	589.908	32.0730	18,919.95	21.1700	12,488.35	-6,431.60		
02/09/16	579.926	31.7050	18,386.42	21.1700	12,277.04	-6,109.38		
02/10/16	579.178	32.1680	18,631.14	21.1700	12,261.19	-6,369.95		
02/11/16	467.260	31.4750	14,706.97	21.1700	9,891.89	-4,815.08		
02/25/16	400.758	37.1190	14,875.92	21.1700	8,484.05	-6,391.87		
03/09/16	594.025	36.3330	21,582.51	21.1700	12,575.51	-9,007.00		
04/26/16	595.204	39.0010	23,213.66	21.1700	12,600.46	-10,613.20		

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LIBERTY GLOBAL PLC LILAC SHS CL C USD *(continued)*								
04/27/16	390 652	38 9860	15,230 02	21 1700	8,270 10	-6,959.92		
05/19/16	543 494	36.5930	19,888 17	21 1700	11,505 76	-8,382 41		
12/13/16	13,715 000	20 2980	278,391 18	21 1700	290,346.56	11,955.38		
Total Covered	**21,307.000**		**542,971.78**		**451,069.19**	**-91,902.59**		
Total	**21,307.000**		**$542,971.78**		**$451,069.19**	**-$91,902.59**	**$0.00**	
METRO BANK PLC ORD GBP 0.000001			**Security Identifier: MBNKF**					
ISIN#GB00BZ6STL67				CUSIP G60640102				
Dividend Option Cash								
12/06/13 ,3	19,172 000	21.3110	408,572.57	36 1354	692,788.85	284,216.28		
AIRBUS GROUP NV SHS ISIN#NL0000235190			**Security Identifier: EADSF**					
Dividend Option Cash				CUSIP N0280E105				
04/07/16	14,366 000	64 7150	929,696 24	66.2710	952,050 10	22,353 86	19,695.50	2 06%
04/08/16	3,715 000	65 7440	244,240 21	66.2710	246,197 01	1,956.80	5,093.19	2.06%
04/12/16	2,062 000	63 4350	130,802.29	66 2710	136,650.94	5,848.65	2,826 96	2 06%
04/13/16	2,848 000	64 6280	184,060.78	66.2710	188,740.00	4,679.22	3,904 55	2 06%
04/14/16	1,946 000	64 0440	124,630.20	66 2710	128,963 48	4,333.28	2,667 93	2 06%
04/19/16	5,152.000	65 3910	336,896.34	66.2710	341,428 52	4,532 18	7,063 29	2.06%
05/20/16	4,989 000	60 8590	303,626 74	66.2710	330,626.34	26,999 60	6,839 82	2 06%
06/01/16	1,793 000	62 5090	112,079 53	66.2710	118,824 00	6,744 47	2,458 17	2.06%
06/02/16	1,971 000	61 8360	121,878.21	66.2710	130,620.28	8,742.07	2,702.20	2.06%
06/03/16	1,968 000	60.3370	118,744 19	66.2710	130,421 47	11,677.28	2,698 09	2 06%
06/13/16	819.000	58.0990	47,582.78	66.2710	54,276 00	6,693.22	1,122 83	2 06%
06/14/16	1,604 000	57 4090	92,083 60	66.2710	106,298 80	14,215.20	2,199 05	2 06%
06/24/16	2,444 000	57 1340	139,635 71	66.2710	161,966 49	22,330 78	3,350 68	2 06%
08/23/16	5,000 000	57 9540	289,768 62	66.2710	331,355.34	41,586 72	6,854.90	2 06%
11/07/16	2,847 000	58 7380	167,226 16	66.2710	188,673 74	21,447.58	3,903 18	2.06%
11/14/16	3,737.000	60 1550	224,800 73	66 2710	247,654 96	22,854.23	5,123.35	2 06%
12/22/16	2,500 000	65 6240	164,059.37	66 2710	165,677 59	1,618.22	3,427 44	2 06%
Total Covered	**59,761.000**		**3,731,811.70**		**3,960,425.06**	**228,613.36**	**81,931.13**	
Total	**59,761.000**		**$3,731,811.70**		**$3,960,425.06**	**$228,613.36**	**$81,931.13**	

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Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SENSATA TECHNOLOGIES HLDG BV ALMELO SHS				**Security Identifier: ST**				
ISIN#NL0009324904				CUSIP N7902X106				
Dividend Option Cash								
07/01/16	7,509.000	35.2260	264,508.28	38.9500	292,475.55	27,967.27		
07/05/16	10,265.000	34.3650	352,754.67	38.9500	399,821.75	47,067.08		
07/06/16	8,986.000	34.7940	312,657.09	38.9500	350,004.70	37,347.61		
07/07/16	4,569.000	35.4620	162,024.05	38.9500	177,962.54	15,938.49		
07/08/16	411.000	36.0090	14,799.66	38.9500	16,008.45	1,208.79		
11/10/16	10,000.000	36.3910	363,912.00	38.9500	389,500.01	25,588.01		
Total Covered	**41,740.000**		**1,470,655.75**		**1,625,773.00**	**155,117.25**		
Total	**41,740.000**		**$1,470,655.75**		**$1,625,773.00**	**$155,117.25**	**$0.00**	
ANTERO RES CORP COM				**Security Identifier: AR**				
Dividend Option Cash				CUSIP 03674X106				
06/10/15	2,385.000	37.6290	89,745.88	23.6500	56,405.25	-33,340.63		
06/22/15	1,594.000	35.3760	56,389.34	23.6500	37,698.11	-18,691.23		
06/23/15	2,112.000	35.4540	74,879.69	23.6500	49,948.82	-24,930.87		
06/24/15	2,054.000	35.6080	73,139.04	23.6500	48,577.10	-24,561.94		
06/24/15	2,414.000	35.7640	86,333.81	23.6500	57,091.10	-29,242.71		
06/25/15	2,046.030	35.5350	72,704.00	23.6500	48,387.89	-24,316.11		
06/26/15	3,002.000	35.0670	105,270.23	23.6500	70,997.31	-34,272.92		
07/01/15	3,097.000	33.7990	104,675.19	23.6500	73,244.05	-31,431.14		
07/24/15	9,000.000	28.4420	255,974.68	23.6500	212,850.00	-43,124.68		
08/05/15	2,175.000	26.3520	57,316.25	23.6500	51,438.75	-5,877.50		
08/24/15	2,531.000	23.6980	59,979.64	23.6500	59,858.15	-121.49		
08/26/15	2,792.000	22.1520	61,847.83	23.6500	66,030.80	4,182.97		
02/26/16	4,671.000	23.9060	111,665.39	23.6500	110,469.16	-1,196.23		
05/03/16	4,727.000	25.8350	122,121.57	23.6500	111,793.56	-10,328.01		
05/04/16	7,777.000	25.7340	200,133.32	23.6500	183,926.06	-16,207.26		
05/05/16	11,953.000	25.8540	309,029.28	23.6500	282,688.44	-26,340.84		
05/06/16	5,996.000	25.8540	155,018.79	23.6500	141,805.41	-13,213.38		
05/09/16	6,971.000	25.4550	177,450.29	23.6500	164,864.14	-12,586.15		
05/10/16	3,920.000	25.8740	101,424.12	23.6500	92,708.00	-8,716.12		
06/10/16	6,036.000	28.7040	173,259.76	23.6500	142,751.39	-30,508.37		
06/10/16	2,942.000	28.8020	84,736.37	23.6500	69,578.30	-15,158.07		
06/10/16	3,279.000	28.5000	93,451.50	23.6500	77,548.35	-15,903.15		
08/23/16	10,000.000	27.1050	271,046.00	23.6500	236,500.01	-34,545.99		
10/20/16	2,357.000	26.9030	63,410.37	23.6500	55,743.05	-7,667.32		
10/25/16	4,339.000	25.5320	110,783.35	23.6500	102,617.36	-8,165.99		
11/10/16	10,000.000	24.7540	247,539.00	23.6500	236,500.01	-11,038.99		
12/21/16	8,000.000	24.5740	196,588.90	23.6500	189,199.93	-7,388.97		

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ANTERO RES CORP COM *(continued)*								
Total Covered	**128,170.000**		**3,515,913.59**		**3,031,220.50**	**-484,693.09**		
Total	**128,170.000**		**$3,515,913.59**		**$3,031,220.50**	**-$484,693.09**	**$0.00**	
BROOKFIELD ASSET MGMT INC VTG				**Security Identifier: BAM**				
SHS CL A ISIN#CA1125851040				CUSIP 112585104				
Dividend Option Cash								
06/18/15	2,712 000	35 4410	96,116 53	33 0100	89,523 12	-6,593 41	1,402 77	1.56%
06/19/15	8,383.000	35 6020	298,453.24	33 0100	276,722 84	-21,730 40	4,336 07	1.56%
06/22/15	1,295 000	35 9520	46,557.32	33 0100	42,747 94	-3,809.38	669 83	1 56%
07/24/15	11,000 000	34 9640	384,608.54	33.0100	363,109 99	-21,498.55	5,689 71	1.56%
08/07/15	8,057 000	34 4510	277,574 12	33 0100	265,961.57	-11,612.55	4,167 45	1.56%
08/10/15	5,142 000	34 7480	178,672 16	33 0100	169,737 42	-8,934 74	2,659 68	1 56%
09/02/15	3,602.000	30 9040	111,315 49	33 0100	118,902.02	7,586.53	1,863 12	1 56%
09/03/15	2,398 000	31 4210	75,346.36	33 0100	79,157.97	3,811 61	1,240.36	1 56%
09/08/15	5,605 000	31 1280	174,472.44	33 0100	185,021 06	10,548 62	2,899 16	1.56%
09/11/15	978.000	30 7820	30,105.09	33.0100	32,283 77	2,178 68	505 67	1.56%
09/14/15	3,145 000	30 7970	96,855 94	33 0100	103,816 44	6,960.50	1,626 74	1.56%
10/09/15	5,082.000	33 4730	170,108 77	33.0100	167,756.81	-2,351 96	2,628 64	1.56%
05/16/16	2,333 000	33 6610	78,531.35	33 0100	77,012.33	-1,519 02	1,206 73	1.56%
05/17/16	2,442 000	33 9920	83,008 22	33 0100	80,610 41	-2,397 81	1,263 11	1.56%
05/18/16	3,229 000	33.9160	109,514 44	33 0100	106,589.29	-2,925.15	1,670 19	1.56%
05/19/16	4,389 000	33 3450	146,352 52	33 0100	144,880.88	-1,471 64	2,270.19	1 56%
11/10/16	7,000.000	34 6530	242,571 00	33 0100	231,070 00	-11,501 00	3,620 72	1.56%
11/14/16	6,263 000	32 3970	202,901.16	33 0100	206,741.64	3,840 48	3,239.51	1.56%
12/21/16	2,000 000	33 5850	67,169 00	33 0100	66,020 05	-1,148.95	1,034.51	1.56%
Total Covered	**85,055.000**		**2,870,233.69**		**2,807,665.55**	**-62,568.14**	**43,994.36**	
Total	**85,055.000**		**$2,870,233.69**		**$2,807,665.55**	**-$62,568.14**	**$43,994.36**	
EXPRESS SCRIPTS HLDG CO COM				**Security Identifier: ESRX**				
Dividend Option Cash				CUSIP 30219G108				
12/23/16	4,035 000	68.9130	278,062 74	68 7900	277,567 65	-495 09		
12/27/16	4,564.000	69 6050	317,675 39	68 7900	313,957 56	-3,717 83		

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
EXPRESS SCRIPTS HLDG CO COM *(continued)*								
Total Covered	**8,599.000**		**595,738.13**		**591,525.21**	**-4,212.92**		
Total	**8,599.000**		**$595,738.13**		**$591,525.21**	**-$4,212.92**	**$0.00**	
HCA HLDGS INC COM			**Security Identifier: HCA**					
Dividend Option: Cash			CUSIP 40412C101					
07/19/16	1,376.000	79 3010	109,118 45	74 0200	101,851.51	-7,266 94		
07/20/16	3,044.000	80.4630	244,929.07	74 0200	225,316.88	-19,612.19		
07/21/16	5,541.000	81.1320	449,550.75	74 0200	410,144 83	-39,405 92		
07/26/16	4,995.000	80 0120	399,658 94	74.0200	369,729 91	-29,929 03		
07/27/16	2,930 000	78.2750	229,346.92	74.0200	216,878.59	-12,468.33		
08/22/16	2,317 000	74 8010	173,313.92	74.0200	171,504.35	-1,809.57		
08/23/16	937.000	75.1110	70,379.10	74 0200	69,356 74	-1,022.36		
08/24/16	1,075 000	74 7690	80,376 76	74.0200	79,571 49	-805.29		
08/30/16	2,150.000	75.7530	162,869.81	74 0200	159,143 01	-3,726 80		
09/06/16	2,131 000	75 6660	161,244 67	74.0200	157,736 63	-3,508 04		
10/11/16	3,145.000	75 4270	237,216 97	74.0200	232,792.91	-4,424.06		
10/31/16	1,700 000	76 6750	130,347 50	74 0200	125,834.01	-4,513 49		
11/09/16	7,323 000	69 6400	509,972.26	74 0200	542,048 45	32,076 19		
11/14/16	2,793.000	71.4610	199,590.29	74 0200	206,737 86	7,147.57		
11/23/16	2,412 000	70 8660	170,927 83	74.0200	178,536.26	7,608.43		
12/08/16	956 000	71 6490	68,495 97	74.0200	70,763 13	2,267.16		
12/21/16	2,700.000	73.5100	198,476 44	74 0200	199,853 94	1,377 50		
Total Covered	**47,525.000**		**3,595,815.67**		**3,517,800.50**	**-78,015.17**		
Total	**47,525.000**		**$3,595,815.67**		**$3,517,800.50**	**-$78,015.17**	**$0.00**	
JP MORGAN CHASE & CO COM			**Security Identifier: JPM**					
ISIN#US46625H1005			CUSIP 46625H100					
Dividend Option. Cash								
09/23/14	5,568.000	61 1100	340,261 04	86.2900	480,462.72	140,201.68	10,690.56	2.22%
09/23/14	5,568 000	61.2320	340,942 00	86 2900	480,462.72	139,520 72	10,690 56	2.22%
09/24/14	3,039 000	61.2460	186,128 11	86.2900	262,235 30	76,107 19	5,834 88	2 22%
09/26/14	1,203 000	60 6240	72,930.67	86.2900	103,806 87	30,876.20	2,309 76	2.22%
01/06/15	3,000.000	58.6190	175,857.09	86.2900	258,870.00	83,012.91	5,760.00	2.22%
01/08/15	8 000	60.2350	481 88	86 2900	690.31	208 43	15 36	2.22%
02/23/15	5,000 000	59.5260	297,630 15	86.2900	431,450.00	133,819.85	9,600 00	2.22%
02/24/15	5,176 000	60 9250	315,346.76	86.2900	446,637 06	131,290.30	9,937 92	2.22%
Total Covered	**28,562.000**		**1,729,577.70**		**2,464,614.98**	**735,037.28**	**54,839.04**	
Total	**28,562.000**		**$1,729,577.70**		**$2,464,614.98**	**$735,037.28**	**$54,839.04**	

Pershing Advisor Solutions LLC, a BNY Mellon company
One Pershing Plaza, Jersey City, NJ 07399
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Member FINRA, SIPC

CORESTONE ACCOUNT™

Statement Period: 12/01/2016 - 12/31/2016

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LIBERTY INTERACTIVE CORP LIBERTY			**Security Identifier: LVNTA**					
VENTURES COM SER A NEW			CUSIP 53071M856					
Dividend Option Cash								
12/23/16	707.000	38.0320	26,888.41	36.8700	26,067.09	-821.32		
PRIMERICA INC COM			**Security Identifier: PRI**					
Dividend Option Cash			CUSIP 74164M108					
09/22/11 *,3	9,802.000	20.0230	196,262.51	69.1500	677,808.30	481,545.79	7,057.44	1.04%
09/22/11 *,3	10,000.000	20.0230	200,227.00	69.1500	691,500.00	491,273.00	7,200.00	1.04%
Total Noncovered	**19,802.000**		**396,489.51**		**1,369,308.30**	**972,818.79**	**14,257.44**	
05/07/15	271.000	45.6300	12,365.81	69.1500	18,739.65	6,373.84	195.12	1.04%
Total Covered	**271.000**		**12,365.81**		**18,739.65**	**6,373.84**	**195.12**	
Total	**20,073.000**		**$408,855.32**		**$1,388,047.95**	**$979,192.63**	**$14,452.56**	
SCHWAB CHARLES CORP NEW COM			**Security Identifier: SCHW**					
Dividend Option Cash			CUSIP 808513105					
08/30/12	4,251.000	13.4630	57,229.94	39.4700	167,786.95	110,557.01	1,190.28	0.70%
08/31/12	3,522.000	13.5470	47,711.83	39.4700	139,013.35	91,301.52	986.16	0.70%
09/20/12	3,459.000	13.5560	46,888.82	39.4700	136,526.75	89,637.93	968.52	0.70%
09/20/12	1,664.000	13.4770	22,425.56	39.4700	65,678.07	43,252.51	465.92	0.70%
09/21/12	2,623.000	13.5220	35,467.42	39.4700	103,529.82	68,062.40	734.44	0.70%
09/25/12	5,297.000	13.3760	70,854.26	39.4700	209,072.57	138,218.31	1,483.16	0.70%
10/15/12	4,033.000	12.9700	52,308.41	39.4700	159,182.52	106,874.11	1,129.24	0.70%
01/29/13	1,454.000	16.5260	24,028.08	39.4700	57,389.37	33,361.29	407.12	0.70%
05/02/13	4.000	16.3430	65.37	39.4700	157.87	92.50	1.12	0.70%
05/02/14	10,235.000	26.7880	274,179.27	39.4700	403,975.47	129,796.20	2,865.80	0.70%
05/07/14	566.000	25.4500	14,404.70	39.4700	22,340.04	7,935.34	158.48	0.70%
05/09/14	1,910.000	26.2920	50,217.72	39.4700	75,387.70	25,169.98	534.80	0.70%
06/23/14	5,000.000	27.3690	136,843.97	39.4700	197,350.01	60,506.04	1,400.00	0.70%
07/29/14	2,000.000	27.9500	55,900.00	39.4700	78,939.98	23,039.98	560.00	0.70%
10/13/14	2,483.000	25.9510	64,437.33	39.4700	98,004.03	33,566.70	695.24	0.70%
01/22/15	5,493.000	27.0310	148,480.73	39.4700	216,808.69	68,327.96	1,538.04	0.70%
02/23/15	15,000.000	29.4270	441,405.53	39.4700	592,050.00	150,644.47	4,200.00	0.70%

[redacted]

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SCHWAB CHARLES CORP NEW COM *(continued)*								
09/23/15	21,598 000	28 6550	618,888.53	39 4700	852,473.06	233,584 53	6,047 44	0 70%
10/09/15	6,069 000	28 4900	172,905 81	39 4700	239,543 44	66,637 63	1,699.32	0 70%
12/21/16	2,000 000	40 1080	80,215.93	39 4700	78,939 98	-1,275.95	560.00	0 70%
Total Covered	**98,661.000**		**2,414,859.21**		**3,894,149.67**	**1,479,290.46**	**27,625.08**	
Total	**98,661.000**		**$2,414,859.21**		**$3,894,149.67**	**$1,479,290.46**	**$27,625.08**	
Total Common Stocks			**$25,643,926.66**		**$28,857,105.97**	**$3,213,179.31**	**$222,842.17**	
Preferred Stocks *(Listed by expiration date)*								
FEDERAL HOME LN MTG CORP VAR SRS Z NON-CUM PERP PFD 8.375% PERPETUAL MATURITY PERP CALL 12/31/17@25 00 Dividend Option. Cash			**Security Identifier: FMCKJ** CUSIP 313400624					
09/03/13	8,827.000	5.2700	46,518.29	7.5600	66,732.12	20,213.83		
FEDERAL HOME LN MTG CORP PFD 5.30% PERPETUAL MATURITY PERP CALL 01/08/15@50 00 Dividend Option Cash			**Security Identifier: FREJP** CUSIP. 313400822					
09/12/13	838 000	9 1500	7,667 70	13 7000	11,480 60	3,812 90	2,220 70	19.34%
10/02/13	695.000	9.5500	6,637.25	13 7000	9,521.50	2,884.25	1,841 75	19.34%
10/22/13	769 000	10 1000	7,766.90	13 7000	10,535.30	2,768 40	2,037 85	19.34%
Total Covered	**2,302.000**		**22,071.85**		**31,537.40**	**9,465.55**	**6,100.30**	
Total	**2,302.000**		**$22,071.85**		**$31,537.40**	**$9,465.55**	**$6,100.30**	
FEDERAL NATL MTG ASSN PFD CONV 2004-1 CPN-5.375% FREQ QRTRLY CALL @ 105000 BEGINS 01/05/2008 Dividend Option Cash			**Security Identifier: FNMFO** CUSIP 313586810					
11/23/16	6 000	16,500.0000	99,000.00	26,250 0000	157,500 00	58,500 00		
11/28/16	6,000	16,750.0000	100,500.00	26,250 0000	157,500 00	57,000.00		
Total Covered	**12.000**		**199,500.00**		**315,000.00**	**115,500.00**		
Total	**12.000**		**$199,500.00**		**$315,000.00**	**$115,500.00**	**$0.00**	
Total Preferred Stocks			**$268,090.14**		**$413,269.52**	**$145,179.38**	**$6,100.30**	
Rights and Warrants								
JPMORGAN CHASE & CO WARRANT EXP 10/28/2018 Dividend Option. Cash			**Security Identifier: JPM WS** CUSIP 46634E114					
02/17/15	12,452 000	19 0910	237,722 38	44.2700	551,250 04	313,527 66		
08/24/15	7,724.000	19.5880	151,295.40	44.2700	341,941 48	190,646 08		

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Rights and Warrants *(continued)*								
JPMORGAN CHASE & CO WARRANT EXP *(continued)*								
Total Covered	20,176.000		389,017.78		893,191.52	504,173.74		
Total	20,176.000		$389,017.78		$893,191.52	$504,173.74	$0.00	
Total Rights and Warrants			$389,017.78		$893,191.52	$504,173.74	$0.00	
Total Equities			$26,301,034.58		$30,163,567.01	$3,862,532.43	$228,942.47	