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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter social security numbers on this form as it may be made publicInformation about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation <b>RICHARD AND FRANCINE LOEB FAMILY FOUNDATION</b>		A Employer identification number <b>20-0334683</b>
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) <b>(206) 677-0300</b>
1000 SECOND AVENUE, 34TH FLOOR		
City or town, state or province, country, and ZIP or foreign postal code <b>SEATTLE, WA 98104-1022</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/>	D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 6,509,244</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	553,672.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	60.	60.		ATCH 1
	4 Dividends and interest from securities	159,098.	159,098.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-76,533.			
	b Gross sales price for all assets on line 6a	828,122.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	636,297.	159,158.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) [3]	38,058.	34,058.		4,000.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [4]	2,502.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	68.			68.
	24 Total operating and administrative expenses. Add lines 13 through 23	40,628.	34,058.		4,068.
	25 Contributions, gifts, grants paid	160,500.			160,500.
26 Total expenses and disbursements. Add lines 24 and 25	201,128.	34,058.	0.	164,568.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	435,169.				
b Net investment income (if negative, enter -0-)		125,100.			
c Adjusted net income (if negative, enter -0-)			0.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	37,153.	67,300.	67,300.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . . ATCH 6	3,360.	1,200.	1,200.
	10a	Investments - U S and state government obligations (attach schedule) .			
	b	Investments - corporate stock (attach schedule) ATCH 7 . . .	2,610,703.	3,578,671.	3,578,671.
	c	Investments - corporate bonds (attach schedule) ATCH 8 . . .	202,250.	502,770.	502,770.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . . ATCH 9 . . .	2,736,038.	2,359,303.	2,359,303.	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 10 )				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	5,589,504.	6,509,244.	6,509,244.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ ATCH 11 )	1,173.	2,502.	
23	Total liabilities (add lines 17 through 22) . . . . .	1,173.	2,502.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds .	5,588,331.	6,506,742.	
	30	Total net assets or fund balances (see instructions) . . . . .	5,588,331.	6,506,742.	
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	5,589,504.	6,509,244.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	5,588,331.
2	Enter amount from Part I, line 27a . . . . .	2	435,169.
3	Other increases not included in line 2 (itemize) ▶ ATCH 12	3	483,242.
4	Add lines 1, 2, and 3 . . . . .	4	6,506,742.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . .	6	6,506,742.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			<b>2</b>	-76,533.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	292,877.	5,936,602.	0.049334
2014	233,025.	6,077,745.	0.038341
2013	181,606.	5,781,295.	0.031413
2012	164,049.	5,385,809.	0.030459
2011	105,350.	5,354,478.	0.019675
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.169222
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			<b>3</b> 0.033844
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 5,739,968.
<b>5</b> Multiply line 4 by line 3.			<b>5</b> 194,263.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			<b>6</b> 1,251.
<b>7</b> Add lines 5 and 6.			<b>7</b> 195,514.
<b>8</b> Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 164,568.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .		1	2,502.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .			
3 Add lines 1 and 2 . . . . .			
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		3	2,502.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		4	0.
6 Credits/Payments		5	2,502.
a 2016 estimated tax payments and 2015 overpayment credited to 2016 . . . . .		6a	1,200.
b Exempt foreign organizations - tax withheld at source . . . . .			
c Tax paid with application for extension of time to file (Form 8868) . . . . .			
d Backup withholding erroneously withheld . . . . .			
7 Total credits and payments. Add lines 6a through 6d . . . . .		7	1,200.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	1,302.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .		10	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> . . . . .		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . .	1b	X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year? . . . . .	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2	X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5	X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WA, <input type="checkbox"/> _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	10	X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>FOUNDATION MANAGEMENT GRP LLC</u> Telephone no <u>206-667-0300</u> Located at <u>1000 SECOND AVENUE, 34TH FLOOR SEATTLE, WA</u> ZIP+4 <u>98104</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐ **5b**

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870 **6b** X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0.

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	5,725,953.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	101,426.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	5,827,379.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . . <b>1e</b>		
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	5,827,379.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	87,411.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5,739,968.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	286,998.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	286,998.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . . <b>2a</b>		2,502.
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI). . . . . <b>2b</b>		
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	2,502.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	284,496.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	284,496.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	284,496.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	164,568.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	164,568.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	164,568.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				284,496.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only. . . . .				
b Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u> . . . . .				
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . . .				
b From 2012 . . . . .				
c From 2013 . . . . .				
d From 2014 . . . . .				
e From 2015 . . . . .				
f Total of lines 3a through e . . . . .				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>164,568.</u>				
a Applied to 2015, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2016 distributable amount. . . . .				164,568.
e Remaining amount distributed out of corpus. . . . .				
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions. . . . .				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				119,928.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	0.			
10 Analysis of line 9				
a Excess from 2012 . . . . .				
b Excess from 2013 . . . . .				
c Excess from 2014 . . . . .				
d Excess from 2015 . . . . .				
e Excess from 2016 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

RICHARD AND FRANCINE LOEB

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

<b>Part XV</b>	<b>Supplementary Information</b> <i>(continued)</i>
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### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
<b>a Paid during the year</b>					
ATCH 14					
<b>Total</b> . . . . .					160,500.
<b>b Approved for future payment</b>					
<b>Total</b> . . . . .					

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

JSA  
6F 1492 1 000

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
<b>a Transfers from the reporting foundation to a noncharitable exempt organization of</b>			
(1) Cash . . . . .	<b>1a(1)</b>		X
(2) Other assets . . . . .	<b>1a(2)</b>		X
<b>b Other transactions</b>			
(1) Sales of assets to a noncharitable exempt organization . . . . .	<b>1b(1)</b>		X
(2) Purchases of assets from a noncharitable exempt organization . . . . .	<b>1b(2)</b>		X
(3) Rental of facilities, equipment, or other assets . . . . .	<b>1b(3)</b>		X
(4) Reimbursement arrangements . . . . .	<b>1b(4)</b>		X
(5) Loans or loan guarantees . . . . .	<b>1b(5)</b>		X
(6) Performance of services or membership or fundraising solicitations . . . . .	<b>1b(6)</b>		X
<b>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .</b>	<b>1c</b>		X
<b>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</b>			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule:

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Signature of officer or trustee

~~Date~~

**Title**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	MADALINA DOBRA	MADALINA DOBRA	04/18/2017		P01327561
	Firm's name ▶	FOUNDATION MANAGEMENT GROUP, LLC			Firm's EIN ▶
	Firm's address ▶	1000 2ND AVE 34TH FLOOR SEATTLE, WA 98104-1022			Phone no 206-667-0300

Form 990-PF (2016)

## Schedule of Contributors

OMB No 1545-0047

**2016**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

RICHARD AND FRANCINE LOEB FAMILY FOUNDATION

Employer identification number

20-0334683

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

### Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **RICHARD AND FRANCINE LOEB FAMILY FOUNDATION**

Employer identification number

**Part I** Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD LOEB 1521 SECOND AVENUE #330 SEATTLE, WA 98101-4526	\$ 553,672.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization RICHARD AND FRANCINE LOEB FAMILY FOUNDATION

Employer identification number

**Part II** Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	750 SHS ALTRIA GROUP INC	\$ 47,865.	12/05/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1,100 SHS APPLE INC	\$ 120,054.	12/05/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	600 SHS FEDEX CORP	\$ 116,385.	12/05/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	2,000 SHS GENERAL ELECTRIC COMPANY	\$ 62,510.	12/05/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	750 SHS PHILIP MORRIS INTERNATIONAL	\$ 65,959.	12/05/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	747 SHS PEPSICO INC.	\$ 75,085.	12/05/2016

Employer identification number

## Part II

[illegible]

Name of organization RICHARD AND FRANCINE LOEB FAMILY FOUNDATION

Employer identification number

**Part III** **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions ) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					19,772.	
14,873.		AMG SOUTHERNSUN US EQI PROPERTY TYPE: SECURITIES 13,573.				P	VARIOUS	VARIOUS
							1,300.	
299,477.		AMG SOUTHERNSUN US EQI PROPERTY TYPE: SECURITIES 336,045.				P	05/01/2015	05/26/2016
							-36,568.	
214,870.		ISHARES MSCI ACWI EX US INDEX PROPERTY TYPE: SECURITIES 236,687.				P	VARIOUS	5/25/2016
							-21,817.	
4,289.		TORTOISE MLP & PIPELINE FD PROPERTY TYPE: SECURITIES 4,028.				P	VARIOUS	5/25/2016
							261.	
108,732.		TORTOISE MLP & PIPELINE FD PROPERTY TYPE: SECURITIES 171,397.				P	VARIOUS	5/25/2016
							-62,665.	
64,095.		2,000 SHS GENERAL ELECTRIC COMPANY PROPERTY TYPE: SECURITIES 33,389.				D	08/05/2011	12/20/2016
							30,706.	
34,419.		PIMCO HIGH YIELD FUND CL-A PROPERTY TYPE: SECURITIES 36,305.				P	02/21/2012	12/20/2016
							-1,886.	
35,995.		PIMCO INCOME FUND CLASS A PROPERTY TYPE: SECURITIES 38,366.				P	08/07/2014	06/03/2016
							-2,371.	
15,576.		PIMCO HIGH YIELD FUND CL-A PROPERTY TYPE: SECURITIES 16,922.				P	VARIOUS	12/20/2016
							-1,346.	
16,024.		500 SHS GENERAL ELECTRIC COMPANY PROPERTY TYPE: SECURITIES 17,943.				P	07/14/2005	12/20/2016
							-1,919.	
TOTAL GAIN(LOSS) .....							<u>-76,533.</u>	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
WELLS FARGO	1.	1.	
J.P. MORGAN	59.	59.	
TOTAL	<u>60.</u>	<u>60.</u>	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
J.P. MORGAN	159,098.	159,098.	
TOTAL	<u>159,098.</u>	<u>159,098.</u>	

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
MGMT FEES PAID TO FOUNDATION MANAGEMENT GROUP, LLC	5,000.	1,000.		4,000.
BROKER FEES TO J.P. MORGAN	33,058.	33,058.		
TOTALS	<u>38,058.</u>	<u>34,058.</u>		<u>4,000.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL EXCISE TAX	2,502.
TOTALS	<u>2,502.</u>



ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LICENSES	35.			35.
BANK CHARGES	33.			33.
TOTALS	68.			68.

ATTACHMENT 6

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID FEDERAL EXCISE TAXES	1,200.	1,200.
TOTALS	<u>1,200.</u>	<u>1,200.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
J.P. MORGAN EQUITIES HOLDINGS SEE 'ATTACHMENT A' FOR DETAIL	3,578,671.	3,578,671.
TOTALS	<u>3,578,671.</u>	<u>3,578,671.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
J.P. MORGAN CORPORATE BONDS SEE 'ATTACHMENT A' FOR DETAIL	502,770.	502,770.
TOTALS	<u>502,770.</u>	<u>502,770.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALKEON GROWTH OFFSHORE FUND	479,500.	479,500.
J.P. MORGAN MUTUAL FUNDS	1,879,803.	1,879,803.
SEE 'ATTACHMENT A' FOR DETAIL		
TOTALS	<u>2,359,303.</u>	<u>2,359,303.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ORGANIZATIONAL COSTS	1,250.	1,250.
ACCUMULATED AMORTIZATION	-1,250.	-1,250.
TOTALS		

ATTACHMENT 11FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
FEDERAL EXCISE TAX	2,502.
TOTALS	<u>2,502.</u>

ATTACHMENT 12FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	483,242.
TOTAL	<u>483,242.</u>



FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
RICHARD LOEB 1000 SECOND AVENUE, SUITE 3400 SEATTLE, WA 98104	PRESIDENT/TREASURER 2.00	0.	0.	0.
FRANCINE LOEB 1000 SECOND AVENUE, SUITE 3400 SEATTLE, WA 98104	VICE PRESIDENT 2.00	0.	0.	0.
DAN ASHER 1000 SECOND AVENUE, SUITE 3400 SEATTLE, WA 98104	SECRETARY 2.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEATTLE TIMES FUND FOR THE NEEDY PO BOX C-11025 SEATTLE, WA 98111	NONE PC	TO SUPPORT THE SCHOOL SUPPLY DRIVE	1,000
CHILDREN'S HOSPITAL FOUNDATION PO BOX 50020/S-200 SEATTLE, WA 98145	NONE PC	TO PROVIDE SUPPORT TO THE DEPARTMENT OF CHILDHOOD COMMUNICATIONS	151,000
PIKE MARKET FOUNDATION 85 PIKE PLACE, #500 SEATTLE, WA 98101	NONE PC	TO PROVIDE SUPPORT TO SAFETY-NET FOR THE FOSTER GRANDPARENT PROGRAM	7,500
MENTOR KIDS USA 15300 N 90TH ST , #200 SCOTTSDALE, AZ 85260	NONE PC	TO PROVIDE SUPPORT TO EXEMPT ORGANIZATION	1,000
TOTAL CONTRIBUTIONS PAID			160,500

RICHARD AND FRANCINE LOEB FAMILY FOUNDATION  
 EIN: 20-0334683  
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**EQUITIES**

**Equities & Options**

DESCRIPTION	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ALTRIA GROUP INC SYMBOL: MO	07/14/05	1,250	67.62	84,525	20.39	25,491	59,034	3,050	3.61
APPLE INC SYMBOL: AAPL	09/13/10	500		33,810	15.51	7,753	26,057 LT		
		750		50,715	23.65	17,738	32,977 LT		
DOUBLELINE INCOME SOLUTIONS FD COM	12/20/11 C	1,100	115.82	127,402	56.52	62,170	65,232 LT	2,508	1.97
SYMBOL: DSL		11,000		208,890	20.11	221,238	-12,348	19,800	9.48
EXXON MOBIL CORP SYMBOL: XOM	06/17/15 C 06/18/15 C	3,500 7,500		66,465 142,425	20.04 20.14	70,155 151,084	-3,690 LT -8,659 LT		
	01/04/05	250	90.26	22,565	50.53	12,633	9,933 LT	750	3.32
FEDEX CORP SYMBOL: FDX	12/22/09	600	186.20	111,720	83.50	50,100	61,620 LT	960	0.86
JOHNSON & JOHNSON SYMBOL: JNJ	01/04/05	250	115.21	28,803	63.22	15,805	12,998 LT	800	2.78
PHILIP MORRIS INTERNATIONAL INC SYMBOL: PM	03/22/05 07/14/05	750 500	91.49	114,363 68,618 45,745	34.68 34.24 35.33	43,347 25,681 17,666	71,016 42,937 LT 28,079 LT	5,200	4.55
PIMCO DYNAMIC CREDIT AND MORTGAGE INCOME FUND COM SHS SYMBOL: PCI	01/29/13 C	8,000	20.22	161,760	25.07	200,525	-38,765 LT	15,752	9.74
PEPSICO INC SYMBOL: PEP	01/28/04 07/14/05	1,247 747 500	104.63	130,474 78,159 52,315	50.47 46.85 55.89	62,937 34,994 27,943	67,537 43,164 LT 24,373 LT	3,753	2.88
PROCTER & GAMBLE CO SYMBOL: PG	01/04/05	250	84.08	21,020	55.56	13,890	7,130 LT	670	3.19
SELECT SECTOR SPDR TRUST THE TECHNOLOGY SELECT SECTOR SPDR FUND SYMBOL: XLK	01/11/06	1,400	48.36	67,704	22.29	31,207	36,497 LT	1,180	1.74
VERIZON COMMUNICATIONS SYMBOL: VZ	06/02/16 C	2,000	53.38	106,760	50.84	101,685	5,075 ST	4,620	4.33
Total Equities & Options				\$1,185,986		\$841,028	\$344,959	\$59,043	

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**EQUITIES**

**Equities & Options**

DESCRIPTION	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ISHARES RUSSELL 2000 VALUE ETF SYMBOL: IWN	06/02/16 C	1,538	118.94	182,930	97.37	149,755	33,175 ST	3,188	1.74
ISHARES RUSSELL 2000 GROWTH ETF SYMBOL: IWO	01/07/11 01/13/11	1,671 835 836	153.94	257,234 128,540 128,694	88.48 87.29 89.67	147,848 72,884 74,964	109,386 LT 55,656 LT 53,730 LT	2,483	0.97
ISHARES RUSSELL 1000 VALUE ETF SYMBOL: IWD	01/07/11 01/13/11	5,933 3,105 2,828	112.03	664,674 347,853 316,821	65.81 65.35 66.31	390,429 202,904 187,525	274,245 LT 144,949 LT 129,296 LT	14,951	2.25
ISHARES RUSSELL 1000 GROWTH ETF SYMBOL: IWF	01/07/11 01/13/11 09/20/13 C	3,584 2,773 239	104.90	375,961 290,888 25,071	58.85 57.68 58.58 79.50	388,175 206,733 162,442 19,000	303,745 LT 169,228 LT 128,445 LT 6,071 LT	9,907	1.43
ISHARES S&P 100 ETF SYMBOL: OEF	03/27/14 C	2,193	99.30	217,765	81.97	179,768	37,997 LT	4,502	2.07
ISHARES RUSSELL MID CAP VALUE ETF SYMBOL: IWS	06/02/16 C	2,033	80.43	163,514	73.76	149,948	13,566 ST	3,432	2.10
ISHARES RUSSELL MID CAP GROWTH ETF SYMBOL: IWP	01/07/11 01/13/11	2,204 1,125 1,079	97.39	214,648 109,564 105,084	57.39 56.83 57.97	126,480 63,931 62,550	88,168 LT 45,633 LT 42,534 LT	2,497	1.16
Total Equities & Options				\$2,392,685		\$1,532,403	\$860,282	\$40,960	
<b>TOTAL EQUITIES FMV</b>				<b>\$3,578,671</b>					

RICHARD AND FRANCINE LOEB FAMILY FOUNDATION  
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**MUTUAL FUNDS**

DESCRIPTION	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ARTISAN PARTNERS FDS INC									
INTL VALUE FD ADV SH	C	25,934.322	32.41	840,531	35.49	920,470	-79,939		
SYMBOL: APOXX	C	25,093.353		813,275	35.62	893,878	-80,603 LT		
	C	840.969		27,256	31.62	26,591	664 ST		1.38
BLACKROCK FDS									
GLOBAL ALLOCATION FD CL K	C	17,773.39	18.27	324,720	19.51	346,764	-22,044	4,479	
SYMBOL: MKLOX	C	17,391.29		317,739	19.54	339,767	-22,028 LT		
	C	382.10		6,981	18.31	6,996	-15 ST		1.92
METROPOLITAN WEST FDS									
TOTAL RETURN BD FD CL I	C	23,471.144	10.53	247,151	10.87	255,180	-8,029	4,741	
DIVIDEND REINVESTED	C	22,712.481		239,162	10.88	247,058	-7,896 LT		
SYMBOL: MWITX	C	758.663		7,989	10.71	8,122	-133 ST		5.60
PAYDEN INVT GROUP									
EMERGING MARKET BD FD CL R	C	14,760.617	13.43	198,235	13.04	192,535	5,700	11,100	
DIVIDEND REINVESTED	C	13,882.727		186,445	13.02	180,755	5,690 LT		
SYMBOL: PYEMX	C	877.89		11,790	13.42	11,780	10 ST		
<b>TOTAL MUTUAL FUNDS</b>				<b>\$1,610,637</b>		<b>\$1,714,949</b>	<b>\$-104,312</b>	<b>\$20,320</b>	
PIMCO HIGH YIELD FUND-CL A SYMBOL: PHDAX									
	C	12,571.661	8.81	110,756	9.23	115,990	-5,234 LT	5,657	5.11
PIMCO INCOME FUND CLASS A SYMBOL: PONAX									
	C	13,135.146	12.06	158,410	12.65	166,160	-7,750 LT	8,131	5.13
<b>TOTAL MUTUAL FUNDS</b>				<b>\$269,166</b>		<b>\$282,150</b>	<b>\$-12,984</b>	<b>\$13,788</b>	

**\$1,879,803**

**TOTAL MUTUAL FUNDS**

RICHARD AND FRANCINE LOEB FAMILY FOUNDATION  
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**FIXED INCOME**

**Corporate Bonds**

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)	ACCRUED INTEREST
<b>BANK OF AMERICA CORP</b> SR NOTES DATED DATE 09/05/14 BOOK ENTRY ONLY PAR CALL 09/05/2024 DUE 09/29/2049 6 250% MS 05 CUSIP. 060505EH3 RATING MOODY BA2 S&P BB+		11/10/15 06/02/16 C	200,000 100,000 100,000	100 00	200,000 100,000 100,000	104.32 103.49 105.14	208,632 103,493 105,139	-8,632 -3,493 LT -5,139 ST	12,500	6.25	3,993
<b>CITIGROUP INC</b> DATED DATE 11/13/15 BOOK ENTRY ONLY PAR CALL 11/15/2020 DUE 12/29/2049 6.125% MN 15 CUSIP. 172967KD2 RATING MOODY BA2 S&P N/A		11/10/15	100,000	103.50	103,500	101.31	101,314	2,189 LT	6,125	5.92	766
<b>J P MORGAN CHASE &amp; CO DEP SHS</b> REPRESENTING 1/10TH INTEREST FIXED TO FLOATING PFD SER U DATED DATE 03/10/14 BOOK ENTRY ONLY PAR CALL 04/30/2024 DUE 12/29/2049 6 125% AO 30 CUSIP. 46625HJW1 RATING MOODY BAA3 S&P BBB-		06/02/16 C	100,000	100.50	100,500	105.98	105,983	-5,483 ST	6,125	6.09	1,021
<b>CITIGROUP INC</b> DATED DATE 04/24/15 BOOK ENTRY ONLY PAR CALL 05/15/2025 DUE 12/31/2049 5.950% MN 15 CUSIP. 172967JM4 RATING MOODY BA2 S&P BB+		06/02/16 C	100,000	98.77	98,770	100.85	100,854	-2,084 ST	5,950	6.02	744
<b>Total Corporate Bonds</b>			500,000		\$502,770		\$516,780	\$-14,010	\$30,700		\$6,524

**\$502,770**

**TOTAL FIXED INCOME**