

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016

, and ending 12-31-2016

Name of foundation The Jain Foundation Inc		A Employer identification number 20-0284800
Number and street (or P.O. box number if mail is not delivered to street address) 9725 Third Avenue NE	Room/suite	B Telephone number (see instructions) (425) 882-1492
City or town, state or province, country, and ZIP or foreign postal code Seattle, WA 98115		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% <input type="checkbox"/>

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing				
2	Savings and temporary cash investments		163,625	1,720,856	1,720,856
3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other _____				

during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part IV Capital Gains and Losses for Tax on Investment Income**7. Date of Report(s) Received from Grant:**

Aug, 4, 2015

Oct 22, 2015

Feb 2, 2016

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1**2. Date(s) Paid in Current Tax Year:**

July 6, 2016 AUD 45,250.67 / \$34,711.25

November 16, 2016 \$30752

Total: \$65463.25

3. Total Paid:

\$100,415.63 in 2011

\$128,485.08 in 2012

\$132,933.54 in 2013

\$128,272.17 in 2014

\$128,480.59 in 2015

\$34,711.25 in 2016

TOTAL \$653,298.26

4. Purpose of Grant: "The functional role of chaperlin"

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ https://www.jain-foundation.org/	13	Yes	
14	The books are in care of ▶ <u>Laura Rufibach</u> Telephone no ▶ <u>(425) 882-1492</u>			

Located at ▶ 9725 Third Avenue NE Suite 204 Seattle WA ZIP+4 ▶ 98115

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/>	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) <input type="checkbox"/>	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/>	5b		No
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
D Albrecht PhD 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Dir Research 40 00	98,895	12,500	
L Rufibach PhD 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Dir Research 40 00	103,351	12,500	
HWindish 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Research Officer 40 00	63,155	7,370	
S Shira 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Dir Patient Relat 40 00	83,715	1,824	
Bradley Williams 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Director Research 40 00	84,500	15,500	
Total number of other employees paid over \$50,000.				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<p>1 While the focus of the Jain Foundation is LGMD2B, the resulting scientific advances and collaboration have a broader impact on human health through their relevance to other muscle disorders, degenerative diseases of tissues other than muscle, and normal aging. The Foundation is hands on in its approach to funding. We closely monitor progress of every project we fund and keep track of results and agreed upon timelines through quarterly detail oriented conference calls with researchers and site visits. Our goal continues to be to fund all possible approaches to a cure and have the patients diagnosed for when we reach a cure so they are able to avail it. Contract Research Organizations. In 2016 we continued working with Contract Research Organizations (CROs) as an integral part of our</p>	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	25,123,191
b	Average of monthly cash balances.	1b	1,186,223
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	26,309,414
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	26,309,414
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	394,641
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	25,914,773
6	Minimum investment return. Enter 5% of line 5.	6	1,295,739

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,295,739
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	128,166
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	128,166
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,167,573
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,167,573
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,167,573

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,778,593
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	4,778,593
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,778,593

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,167,573
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011. 1,684,805				
b From 2012. 1,950,684				
c From 2013. 2,703,379				
d From 2014. 4,525,947				
e From 2015. 3,644,614				
f Total of lines 3a through e.	14,509,429			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>4,778,593</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,167,573
e Remaining amount distributed out of corpus	3,611,020			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,120,449			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	1,684,805			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	16,435,644			
10 Analysis of line 9				
a Excess from 2012. 1,950,684				
b Excess from 2013. 2,703,379				
c Excess from 2014. 4,525,947				
d Excess from 2015. 3,644,614				
e Excess from 2016. 3,611,020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(a)(3)(B)(i)					

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				2,065,498
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | | | | |
|---|--|----|--|----|
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P01450835
	Lorraine S Boss Esq				
	Firm's name ▶ LEXINGTON TAX SERVICES				Firm's EIN ▶
	Firm's address ▶ 1325 FRANKLIN AVE STE 335 GARDEN CITY, NY 115301604				Phone no (516) 739-5151

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Dr Plavi Mittal 9725 Third Avenue NE Ste 204 Seattle, WA 98117	President 50 00	184,500	15,500	
Ajit Jain Island Drive Rye, NY 10580				
Indrima Jain Island Drive Rye, NY 10580	Director 3 00	0		
Akshay Jain Island Drive New York, NY 10580				
Ajay Jain Island Drive Rye, NY 10580	Director 1 00	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NIH - Dr Zimmerberg 9000 Rockville Pike Bethesda, MD 20892	NONE	GOV	Scientific Research Grant	16,667
Charit University Medicine Berlin Lindenberger Weg 80 Berlin 13125 GM	NONE	NC	Scientific Research Grant	26,611
UNC Chapel Hill-Dr Matthew Hirsch Campus box 7352 Chapel Hill, NC 27599	NONE	PC	Scientific Research Grant	48,201
Univof Wisconsin Madison-Dr KSonnem 1525 Linden Dr 333 Bock Labs Madison, WI 53706	NONE	PC	Scientific Research Grant	92,513
The Ohio State University Research 1960 Kenny Road Columbus, OH 43210	NONE	PC	Scientific Research Grant	21,665
Total ► 3a				2,065,498

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Newcastle University-Dr Bushby 16/17 Framlington Place New Castle upon, Tyne NE2 4AB UK	NONE	NC	Natural History Project - Clinical Outcome Study	510,899
MIT Mohan Viswanathan 77 Massachusetts Avenue 68-280 Cambridge, MA 02139	NONE	PC	Scientific Research Grant	78,604
Emory Genetics Lab - Dr Madhuri Heg 2165 N Decatur Road Atlanta, GA 30033	NONE	PC	Scientific Research Grant	54,682
University of Maryland-Dr Robert Bl 655 W Baltimore Street Baltimore, MD 21201	NONE	PC	Scientific Research Grant	143,333
Childrens Hospital Westmead- DrCoop Locked Bag 4001 Westmead, Sydney 2145 AS	NONE	NC	Scientific Research Grant	65,463
Total ► 3a				2,065,498

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Research Inst Nationwide Childrens 700 Childrens Drive Columbus, OH 43205	NONE	PC	Scientific Research Grant	85,368
Boston Children's Hosp Dr Kirchhaus 300 Longwood Avenue Boston, MA 02199	NONE	PC	Scientific Research Grant	30,752
Childrens Research Instit Dr Cnaan 111 Michigan Avenue NW Suite 5400 Washington DC, DC 20010	NONE	PC	Scientific Research Grant	14,903
Brigham and Women's Hospital Dr Kel 101 Huntington Ave Boston, MA 02199	NONE	PC	Scientific Research Grant	220,552
University of Illinois - Dr Koh 1919 W Taylor St 650 AHSB M/C994 Chicago, IL 60612	NONE	PC	Scientific Research Grant	112,776
Total ► 3a				2,065,498

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Center for Advanced Molecular 194 Road 15 Sion Mumbai, Maharashtra 400022 IN	NONE	NC	Scientific Research Grant	39,000
Columbia University - Dr Marks 622 W 113th Street New York, NY 10025	NONE	PC	Scientific Research Grant	100,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Dr Michele Calos Stanford University 300 Pasteur Drive Stanford, CA 94305	NONE	PC	Scientific Research Grant	66,299
Fondazione Santa Lucia Dr Puri Via Del Fosso di Fiorano 64 Rome 00143 IT	NONE	NC	Scientific Research Grant	13,921
LGMD2D Foundation 42 Berens Dr 94904 Kentfield, CA 94904	NONE	PC	General Grant	1,000
Washington Univ St Louis - Z Silver Campus Box 1247 7425 Forsyth Blvd St Louis, MO 63105	NONE	GOV	Scientific Research Grant	100,000
Hana Feiner Scholarship Susquehanna 514 University Avenue Selinsgrove, PA 17870	NONE	I	IRS Approved Scholarship	1,000
Total 				2,065,498
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
J Liggins - Scholarship Arizona Sta PO Box 870312 PO Box, AZ 85287	NONE	I	IRS Approved Scholarship	1,500
Daniel Choi - Scholarship NC State Box 7313 Raleigh, NC 27695	NONE	I	IRS Approved Scholarship	1,500
Total ▶ 3a				2,065,498

TY 2016 Accounting Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Pradeep Agarwal	5,010	0	0	0

TY 2016 Investments Corporate Stock Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
157,195 Shares Berkshire Hathaway Inc.	20,432,660	25,619,641

TY 2016 Investments - Land Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	1,410		1,410	1,410

TY 2016 Legal Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Brosnan & Hegler, LLP	9,575	0	0	9,575

TY 2016 Other Expenses Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Advertising and promotion	12,708			12,708
Bank Charges	2,110			2,110
Biomarker	35,588			35,588
Books & Periodicals	2,258			2,258
Clinical Outcome Study direct costs	25,452			25,452
CRO Charles Rover Discovery Service	332,225			332,225
CRO Charlates River Laboratories	1,265			1,265
CRO Evotec AG	427,029			427,029
CRO Jackson Laboratories	545,614			545,614
CRO MRM Proteomics	23,906			23,906

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	4,403			4,403
Moving Expense	4,343			4,343
NCCC - Antibody Development	2,000			2,000
NYS Charities Bureau Filing Fee	750			750
Office Expenses	16,280			16,280
Patient Diagnosis & Recruitment	7,775			7,775
Postage, shipping, delivery	21,373			21,373
Research Tools	50,525			50,525
Staff Development & Education	1,259			1,259
Telephone & Internet	12,891			12,891

Other Expenses Schedule				
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Website design & Software	99,316			99,316

TY 2016 Other Income Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Unused Grant Funds Return	798		

TY 2016 Other Increases Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0**Description****Amount**

ROUNDING

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TY 2016 Other Professional Fees Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Josh Thayer, Consultant	35,004	0	0	35,004
Lionbridge Technology	8,298	0	0	8,298
Other Consultants/Interns	13,505	0	0	13,505

TY 2016 Taxes Schedule**Name:** The Jain Foundation Inc**EIN:** 20-0284800**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Estimated Excise tax 2016	84,000			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2016
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Name of the organization The Jain Foundation Inc	Employer identification number 20-0284800
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor

Name of organization The Jain Foundation Inc	Employer identification number 20-0284800
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Warren Buffett 1440 Kiewit Plaza Omaha, NE68131	\$ 10,071,200	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The Jain Foundation Inc	Employer identification number 20-0284800
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Part II	Noncash Property
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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	80,000 shares Berkshire Hathaway Incl Del B	\$ 10,071,200	2016-01-26
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization

The Jain Foundation Inc

Employer identification number

20-0284800

Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
	Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 Relationship of transferor to transferee		

990-PF Attachment

The Jain Foundation Inc.
E.J.N. # 20-0284800
ATTACHMENT TO 2015 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. Grantee:

BALCIN, HASAN
Department of Neurology and Neurophysiology
Karolinska University Hospital
Stockholm, 14186
Sweden

2. Date(s) Paid in Current Tax Year:

March 7, 2016 SEK 42,099 / \$5,035.77

3. Total Paid:

\$10,193.28 in 2015
\$5,035.77 in 2016

Total. \$15,229.05

4. Purpose of Grant: to investigate if there is a variability in onset, progression and symptoms in monozygotic and dizygotic twins with inherited muscle diseases and to identify disease-modifying factors.

5. Amount of Grant Spent by Grantee:

Total amount spent \$12,154.86. Unspent funds returned to the Jain Foundation: \$ 3,074.19.

6. Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee,

Abstract The purpose of this study was to determine the effect of a 6-week training program on the physical fitness and health-related quality of life (HRQL) of sedentary middle-aged women. A total of 70 women were randomly assigned to either a control group or an exercise group. The exercise group performed a supervised aerobic and resistance training program three times per week for six weeks. Physical fitness parameters measured included maximal oxygen consumption ($\dot{V}O_{2\max}$), peak power output (PPO), and heart rate reserve (HRR). HRQL was assessed using the EuroQOL-5D questionnaire. The exercise group showed significant improvements in all physical fitness parameters and HRQL compared to the control group. These findings suggest that a structured exercise program can effectively improve physical fitness and HRQL in sedentary middle-aged women.

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2015 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. Grantee:

BERNATCHEZ, PASCAL
University of British Columbia
103-6190 Agronomy Road
Vancouver, British Columbia
V6T 1Z3
CANADA

2. Date(s) Paid in Current Tax Year:

May 10, 2016 CAD 41,137 / \$32,541.13
June 09, 2016 CAD 41,131 / \$35,310.45

2016 USD Total: \$67,851.58

3. Total Paid:

\$68,139.34 in 2014
\$99,218.44 in 2015
\$67,851.58 in 2016

TOTAL \$235,209.36

4. Purpose of Grant: Preventing dysferlinopathy through anti-cholesterol approaches.

5. Amount of Grant Spent by Grantee:

\$235,209.36 have been spent since the grant began. **All funds spent**

6. Diversion:

To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. Date of Report(s) Received from Grant:

May 7, 2014
October 29, 2014 (two on same day for different quarters)
May 5, 2015
August 12, 2015
November 4, 2015
January 1, 2016

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2015 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG 553.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. Grantee:

Dr. Bushby
Newcastle University,
16/17 Framlington Place
Newcastle Upon Tyne
NE2 4AB
United Kingdom

2. Date(s) Paid in Current Tax Year:

February 10, 2016 \$176,924.50
June 8, 2016 \$177,991.26
October 6, 2016 \$155,982.97

Total: \$510,898.73

3. Total Paid:

\$281,474.28 in 2011
\$198,263.47 in 2012
\$187,499.98 in 2013
\$861,600.43 in 2014
\$156,171.20 in 2015
\$510,898.73 in 2016

Total \$2,195,908.09

4. Purpose of Grant: "Natural History Study in Dysferlinopathy"

5. Amount of Grant Spent by Grantee:

GBP 1,340,938.49 / \$1,672,820.77 has been spent since the grant began. The difference of GBP 653,859.15 / \$523,087.32 remains for the grant's continuation into 2017. (GBP: USD fx rate of 1:1.25)

6. Diversion: To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. Date of Report(s) Received from Grant:

990-PF Attachment

The Jain Foundation, Inc.
E.I.N # 20-0284800
ATTACHMENT TO 2015 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. Grantee:

COOPER SANDRA
Children's Hospital,
Locked Bag 4001
Westmead
Sydney
NSW 2145
Australia

April 12, 2011
April 19, 2011
August 9, 2011
November 8, 2011
January 5, 2012
May 8, 2012
July 31, 2012
November 15, 2015
January 7, 2013
May 21, 2013
April 4, 2013
August 7, 2013
December 10, 2013
February 2, 2014
May 6, 2014
August 8, 2014
January 13, 2015
May 05, 2015
July 20, 2015
October 15, 2015
January 14, 2016
March 21, 2016
May 26, 2016

April 12, 2011
April 19, 2011
August 9, 2011
November 8, 2011
January 5, 2012
May 8, 2012
July 31, 2012
November 15, 2015
January 7, 2013
May 21, 2013
April 4, 2013
August 7, 2013
December 10, 2013
February 2, 2014
May 6, 2014
August 8, 2014
January 13, 2015
May 05, 2015
July 20, 2015
October 15, 2015
January 14, 2016
March 21, 2016
May 26, 2016

990-PF Attachment

The Jain Foundation, Inc.
EIN. # 20-0284800
ATTACHMENT TO 2015 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. Grantee:

PURI, PIER LORENZO
Fondazione Santa Lucia
Via del Fosso di Fiorano,64 –
Rome 00143
Italy

2. Date(s) Paid in Current Tax Year:

November 10, 2016 EUR 12,500.00 / \$13,921.04

3. Total Paid:

\$13,921.04