ENVELOPE POSTMARK DATE JUL 0 3 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: GEORGE V SMITH AND JEAN A SMITH CHARITABLE TRUST 017999

A Employer identification number: 16-6484804

Number and street (or P O box number if mail is not delivered to street address): PO BOX 6437

Room/suite:

B Telephone number (see instructions): 607-273-0037

City or town, state or province, country, and ZIP or foreign postal code: ITHACA, NY 14851-6437

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 7,620,037.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)

(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	359,471.	359,471.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-233,425.			
	b	Gross sales price for all assets on line 6a 6,566,061.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	NONE			STMT 3
	12	**Total.** Add lines 1 through 11	126,046.	359,471.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	78,510.	78,510.		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 4	1,500.	1,500.	NONE	NONE
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 5	3,557.	3,557.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	83,567.	83,567.	NONE	NONE
	25	Contributions, gifts, grants paid	396,255.			396,255.
	26	**Total expenses and disbursements** Add lines 24 and 25	479,822.	83,567.	NONE	396,255.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-353,776.			
	b	**Net investment income** (if negative, enter -0-)		275,904.		
	c	**Adjusted net income** (if negative, enter -0-)				

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JSA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	32,652.	19,076.	19,076.
3 Accounts receivable ▶			
Less. allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule)			
c Investments - corporate bonds (attach schedule)			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)	10,773,960.	7,496,206.	7,600,961.
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	10,806,612.	7,515,282.	7,620,037.
Liabilities 17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances **Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
27 Capital stock, trust principal, or current funds	10,806,612.	7,515,282.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	10,806,612.	7,515,282.	
31 **Total liabilities and net assets/fund balances** (see instructions)	10,806,612.	7,515,282.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,806,612.
2 Enter amount from Part I, line 27a	2	-353,776.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	10,452,836.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	2,937,554.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,515,282.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,566,061.		6,799,486.	-233,425.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-233,425.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-233,425.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 *Enter the appropriate amount in each column for each year; see the instructions before making any entries.*

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	596,940.	11,518,736.	0.051823
2014	569,938.	11,931,195.	0.047769
2013	258,995.	11,180,742.	0.023164
2012	287,257.	287,257.	1.000000
2011	167,614.	167,614.	1.000000

2	**Total** of line 1, column (d)	2	2.122756
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.424551
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	8,231,420.
5	Multiply line 4 by line 3	5	3,494,658.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,759.
7	Add lines 5 and 6	7	3,497,417.
8	Enter qualifying distributions from Part XII, line 4	8	396,255.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	5,518.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	5,518.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	5,518.
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,675.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	6,675.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	14.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,143.
11	Enter the amount of line 10 to be. **Credited to 2017 estimated tax** ▶ 1,143. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TOMPKINS TRUST COMPANY Telephone no ▶ (607)273-0037

Located at ▶ 119 E SENECA ST 2ND FL, ITHACA, NY ZIP+4 ▶ 14850

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TOMPKINS TRUST COMPANY, TRUSTEE 119 E SENECA ST 2ND FL, ITHACA, NY 14850	TRUSTEE	78,510.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	*(c) Compensation*	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,063,023.
b	Average of monthly cash balances	1b	293,749.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	8,356,772.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	8,356,772.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	125,352.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,231,420.
6	**Minimum investment return.** Enter 5% of line 5	6	411,571.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	411,571.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	5,518.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	5,518.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	406,053.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	406,053.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	406,053.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	396,255.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	396,255.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	396,255.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				406,053.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			NONE	
b Total for prior years 20 14 ,20 ,20		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . 173,292.				
b From 2012 . . . NONE				
c From 2013 . . . NONE				
d From 2014 . . . NONE				
e From 2015 . . . 24,500.				
f **Total** of lines 3a through e	197,792.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 396,255.				
a Applied to 2015, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				396,255.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2016 (*If an amount appears in column (d), the same amount must be shown in column (a).*)	9,798.			9,798.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	187,994.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	163,494.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	24,500.			
10 Analysis of line 9				
a Excess from 2012 . . . NONE				
b Excess from 2013 . . . NONE				
c Excess from 2014 . . . NONE				
d Excess from 2015 . . . 24,500.				
e Excess from 2016 . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 14				396,255.
Total . . . . . . ▶ **3a**				396,255.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	359,471.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-233,425.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b SEE STATEMENT 15				NONE	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				126,046.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 126,046.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Tamara S. Amici | 06/28/2017 | VICE PRESIDENT

Signature of officer or trustee | Date | Title

TAMARA S AMICI

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ALTRIA GROUP INC COM	1,671.	1,671.
APPLE INC COM	547.	547.
BB&T CORP COM	1,288.	1,288.
BLACKROCK FDS GB L/SCR INSTL	37,671.	37,671.
BLACKROCK FDS II STRG OPP INSTL	22,756.	22,756.
CA INC COM	451.	451.
CALAMOS INV TR NEW STRAT INC INST	7,448.	7,448.
CISCO SYS INC COM	307.	307.
DOMINION RES INC VA NEW COM	789.	789.
DOUBLELINE TOTAL RETURN BD	36,991.	36,991.
DRIEHAUS MUT FDS EMRGN SMCAP GW	183.	183.
DUKE ENERGY CORP NEW COM NEW	2,181.	2,181.
EUROPACIFIC GROWTH FD SHS CL F-2	19,162.	19,162.
EXELON CORP COM	2,082.	2,082.
EXXON MOBIL CORP COM	1,422.	1,422.
FEDERATED ULTRA SHORT BD FD C/C #108	128.	128.
FIDELITY SECS FD FD ADV RE INST	5,580.	5,580.
SHELTON TACTICAL CREDIT FUND CLASS I	8,149.	8,149.
GALLAGHER ARTHUR J & CO COM	1,378.	1,378.
GATEWAY TR GATEWAY FD Y	5,055.	5,055.
GENERAL DYNAMICS CORP COM	587.	587.
GENERAL ELECTRIC CO COM	519.	519.
GOLDMAN SACHS TR CORE FX INST	12,297.	12,297.
HARRIS CORP DEL COM	309.	309.
HELMERICH & PAYNE INC COM	652.	652.
HOME DEPOT INC COM	829.	829.
HONEYWELL INTL INC COM	884.	884.
ISHARES CORE S&P MCP ETF	1,666.	1,666.
ISHARES TR MIN VOL EAFE ETF	9,342.	9,342.
JPMORGAN CHASE & CO COM	1,169.	1,169.
JOHNSON & JOHNSON COM	1,152.	1,152.
JPMORGAN CORE BOND SELECT	21,477.	21,477.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
KIMBERLY CLARK CORP COM	1,322.	1,322.
LEGG MASON GLOBAL ASET MGMT LGMS BW ALCR	11,851.	11,851.
LILLY ELI & CO COM	1,067.	1,067.
MCDONALDS CORP COM	752.	752.
MERCK & CO INC COM	1,513.	1,513.
MICROSOFT CORP COM	1,056.	1,056.
FEDERATED GOVERNMENT OBLIGATIONS FUND 11	57.	57.
FEDERATED GOVERNMENT OBLG (INCOME) FD 11	139.	139.
FEDERATED PRIME CASH OBL (INCOME) FD #85	171.	171.
FEDERATED PRIME OBLIGATIONS FD 396 GRP 5	160.	160.
NATIONAL RETAIL PPTYS INC	559.	559.
NEUBERGER BERMAN INCOME FDS STRG INCM IN	8,901.	8,901.
NEUBERGER BERMAN ALTRNTIVE F ABSOL RTNMU	4,306.	4,306.
OCCIDENTAL PETE CORP DEL COM	2,441.	2,441.
PEPSICO INC COM	1,339.	1,339.
PFIZER INC COM	1,534.	1,534.
PIMCO FDS INCOM FD INSTL	82,078.	82,078.
POWERSHARES GLOBAL ETF TRUST AGG PFD POR	3,054.	3,054.
PROCTER AND GAMBLE CO COM	1,280.	1,280.
PUBLIC SVC ENTERPRISE GROUP COM	1,997.	1,997.
SCHWAB STRATEGIC TR EMRG MKTEQ ETF	2,850.	2,850.
SOUTHERN COMPANY	1,557.	1,557.
SYSCO CORP COM	573.	573.
3M CO COM	1,098.	1,098.
TRAVELERS COMPANIES INC COM	892.	892.
VERIZON COMMUNICATIONS INC COM	1,755.	1,755.
WALMART STORES INC COM	688.	688.
WALGREENS BTS ALL	697.	697.
WASTE MGMT INC DEL COM	1,504.	1,504.
WISDOMTREE TR EUROPE HEDGED EQ	16,158.	16,158.
TOTAL	359,471.	359,471.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
BLACKROCK FDS II STRG OPP INSTL	2,484.
LEGG MASON GLOBAL ASET MGMT LGMS BW ALCR	1,204.
NATIONAL RETAIL PPTYS INC	98.
NEUBERGER BERMAN INCOME FDS STRG INCM IN	122.
MISC ASSETS	-3,908.
TOTALS	NONE

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AUDIT & ACCOUNTING FEES (ALLOC	1,500.	1,500.		
TOTALS	1,500.	1,500.	NONE	NONE

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL ESTIMATES - PRINCIPAL	875.	875.
FOREIGN TAXES ON QUALIFIED FOR	2,082.	2,082.
FOREIGN TAXES ON NONQUALIFIED	600.	600.
TOTALS	3,557.	3,557.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
ADDITIONAL 1999-2013 CHARITABLE CONTRIBS	2,929,219.
RETURN OF CAPITAL	8,335.
TOTAL	2,937,554.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SAVE THE CHILDREN FEDERATION INC
ADDRESS:
54 WILTON ROAD PO BOX 960
WESTPORT, CT 06880
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 11,888.

RECIPIENT NAME:
see attached
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
TAX EX 501(C)(3)

RECIPIENT NAME:
ENVIRONMENTAL DEFENSE FUND
ATTN KATHERINE NOLAN BROWN
ADDRESS:
257 PARK AVENUE
NEW YORK, NY 10010
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 7,925.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
AUDUBON
ATTN: ROSE TESONE, BEQUEST MANAGER
ADDRESS:
225 VARICK STREET, 7TH FL
NEW YORK, NY 10014
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 7,925.

RECIPIENT NAME:
FRESH AIR FUND
ATTN JENNY MORGENTHAU
ADDRESS:
633 THIRD AVE 14TH FL
NEW YORK, NY 10017
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 27,738.

RECIPIENT NAME:
CARE PLANNED GIVING
ATTN: JANE CRONIN, ASSOC GENERAL COUNSEL
ADDRESS:
151 ELLIS STREET, NE
ATLANTA, GA 30303
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 7,925.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
US FUND FOR UNICEF OFFICE OF PLANNED GIV
ATTN: RICHARD ESSERMAN
ADDRESS:
125 MAIDEN LANE
NEW YORK, NY 10038
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 3,963.

RECIPIENT NAME:
THE SALVATION ARMY
ATTN THOMAS SCHENK
ADDRESS:
440 WEST NYACK ROAD
WEST NYACK, NY 10994
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 47,550.

RECIPIENT NAME:
NEW YORK TIMES NEEDIEST CASES FUND
ATTN MICHAEL GOLDEN
ADDRESS:
PO BOX 5193 GENERAL POST OFFICE
NEW YORK, NY 10087
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 7,925.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ADIRONDACK COUNCIL
ADDRESS:
103 HAND AVENUE #3
ELIZABETHTOWN, NY 12932-0640
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 11,888.

RECIPIENT NAME:
FRANZISKA RACKER CENTERS
ATTN: DAN BROWN
ADDRESS:
3226 WILKINS ROAD
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 11,888.

RECIPIENT NAME:
TOMPKINS COUNTY SPCA
ATTN: JIM BOUDERAU
ADDRESS:
1640 HANSHAW ROAD
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 1,981.

RECIPIENT NAME:
LEGACY FOUNDATION
TOMPKINS TRUST COMPANY
ADDRESS:
ATTN: JANET HEWITT
ITHACA, NY 14851-0460
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
FOUNDATION
AMOUNT OF GRANT PAID .... 47,550.

RECIPIENT NAME:
CHILD DEVELOPMENT COUNCIL OF CENTRAL NY
ATTN: DIANE FELDMAN CFO
ADDRESS:
609 WEST CLINTON STREET
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 7,925.

RECIPIENT NAME:
CHALLENGE INDUSTRIES, INC
ATTN: EMILY PARKER, DIR OF DEVELOPMENT
ADDRESS:
SOUTH HILL BUSINESS PARK
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 7,925.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
LONGVIEW AN ITHACARE COMMUNITY
ATTN KERRY BARNES
ADDRESS:
1 BELLA VISTA DRIVE
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 3,963.

RECIPIENT NAME:
UNITY HOUSE
ADDRESS:
34 WRIGHT AVE, SUITE C
AUBURN, NY 13021
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 3,963.

RECIPIENT NAME:
TOMPKINS CNTY PUBLIC LIBRARY FOUNDATION
ATTN SUZANNE SMITH JABLONSKI
ADDRESS:
101 E GREEN STREET
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATIONAL
FOUNDATION STATUS OF RECIPIENT:
FOUNDATION
AMOUNT OF GRANT PAID .... 1,981.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
HOSPICARE
ATTN: JOHN COLETT, PRESIDENT
ADDRESS:
172 E KING ROAD
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 3,963.

RECIPIENT NAME:
ADIRONDACK LAND TRUST
ATTN: NANCY VAN WIE, DIR OF PHILANTRHOPY
ADDRESS:
PO BOX 65
KEENE VALLEY, NY 12943
AMOUNT OF GRANT PAID .... 13,869.

RECIPIENT NAME:
WILDERNESS SOCIETY
ATTN WILLIAM KLASS
ADDRESS:
1615 M STREET NW
WASHINGTON, DC 20036
AMOUNT OF GRANT PAID .... 7,925.

RECIPIENT NAME:
NATURE CONSERVANCY
ADDRESS:
1815 LYNN ST
ARLINGTON, VA 22203-1606
AMOUNT OF GRANT PAID .... 106,988.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
NATURE CONSERVANCY-LATIN AMERICA
ADDRESS:
4245 N FAIRFAX DR STE 100
ARLINGTON, VA 22203-1606
AMOUNT OF GRANT PAID .... 11,888.

RECIPIENT NAME:
NATURE CONSERVANCY
ADDRESS:
4245 N FAIRFAX DR STE 100
ARLINGTON, VA 22203
AMOUNT OF GRANT PAID .... 13,869.

RECIPIENT NAME:
PROJECT HOPE HEALTH SCIENCES CENTER
ADDRESS:
ATTN: RICHARD RUMSEY, VP
MILLWOOD, VA 22646
AMOUNT OF GRANT PAID .... 7,925.

RECIPIENT NAME:
HABITAT FOR HUMANITY INC
ATTN KATIE DICKERSON
ADDRESS:
121 HABITAT STREET
AMERICUS, GA 31709-3498
AMOUNT OF GRANT PAID .... 7,925.

TOTAL GRANTS PAID: 396,255.

STATEMENT 14

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

DESCRIPTION		AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
BLACKROCK FDS II S	0	2,484.	
LEGG MASON GLOBAL	0	1,204.	
NATIONAL RETAIL PP	0	98.	
NEUBERGER BERMAN I	0	122.	
MISC ASSETS	0	-3,908.	

George V Smith and Jean A Smith Trust
Form 990-PF
Tax Year 2016
TIN: 16-6484804

Date	Payee		Amount
03/31/2016	NATURE CONSERVANCY GENERAL FUND	$	790,889 12
03/31/2016	NATURE CONSERVANCY-LATIN AMERICA	$	87,876.57
03/31/2016	ADIRONDACK NATURE CONSERVANCY	$	205,045 33
03/31/2016	THE SALVATION ARMY	$	351,506 28
03/31/2016	LEGACY FOUNDATION	$	351,506.28
03/31/2016	SAVE THE CHILDREN FEDERATION INC	$	87,876 57
03/31/2016	ADIRONDACK COUNCIL	$	87,876 57
03/31/2016	CHALLENGE INDUSTRIES	$	58,584 38
03/31/2016	FRANZISKA RACKER CENTER	$	87,876 57
03/31/2016	SPCA OF TOMPKINS COUNTY	$	14,646 10
03/31/2016	ENVIRONMENTAL DEFENSE FUND	$	58,584 38
03/31/2016	FRESH AIR FUND	$	205,045 33
03/31/2016	NEW YORK TIMES NEEDIEST CASES FUND	$	58,584 38
03/31/2016	HABITAT FOR HUMANITY,INC.	$	58,584 38
03/31/2016	LONGVIEW AN ITHACARE COMMUNITY	$	29,292 19
03/31/2016	UNITY HOUSE	$	29,292 19
03/31/2016	TOMPKINS CO PUBLIC LIBRARY FOUNDATION	$	14,646 10
03/31/2016	PROJECT HOPE	$	58,584 38
03/31/2016	CARE PLANNED GIVING	$	58,584 38
03/31/2016	DAY CARE & CHILD DEVELOPMENT	$	58,584.38
03/31/2016	WILDERNESS SOCIETY	$	58,584 38
03/31/2016	HOSPICARE	$	29,292 19
03/31/2016	AUDUBON	$	58,584 38
03/31/2016	US FUND FOR UNICEF	$	29,292.19
05/24/2016	REDEPOSIT ADIRONDACK NATURE CONSERVANCY &	$	(205,045 33)
05/24/2016	ADIRONDACK LAND TRUST	$	102,522 67
05/25/2016	NATURE CONSERVANCY	$	102,522 66
		$	2,929,219 00

For the Account of *GEORGE V SMITH AND JEAN A SMITH CHARITABLE TRUST*

Report Date *06/27/2017 10·50 AM*

Previous Close Date *06/26/2017*

Account Number *23 01 7999 0 0G*

Reconstruct Account Holdings As Of 12/31/2015

CUSIP	Security Name		Shares / Par	Investment	Unit Price	Market Value	Price Date
00162Q866	ALPS ETF TR	ALERIAN MLP	6,474 0000	96,638 36	12 05	78,011 70	12/31/2015
02209S103	ALTRIA GROUP INC	COM	875 0000	31,691 10	58 21	50,933 75	12/31/2015
054937107	BB&T CORP	COM	1,345 0000	53,141 88	37 81	50,854 45	12/31/2015
091936732	BLACKROCK FDS	GB L/SCR INSTL	76,017 6630	803,897 52	9 77	742,692 57	12/31/2015
09256H286	BLACKROCK FDS II	STRG OPP INSTL	79,670 9490	822,204 18	9 77	778,385 17	12/31/2015
12673P105	CA INC	COM	1,803 0000	55,508 99	28 56	51,493 68	12/31/2015
128119880	CALAMOS INV TR NEW	STRAT INC INST	27,318 0240	343,077 14	12 62	344,753 46	12/31/2015
20825C104	CONOCOPHILLIPS	COM	1,045 0000	57,802 17	46 69	48,791 05	12/31/2015
245914817	DELAWARE GROUP GLOBAL&INTL FEMERG MKT INST		7,005 9870	114,488 10	12 46	87,294 60	12/31/2015
258620103	DOUBLELINE TOTAL RETURN BD		117,977 6360	1,289,748 31	10 78	1,271,798 92	12/31/2015
262028830	DRIEHAUS MUT FDS	EMRGN SMCAP GW	29,910 1030	405,621 43	11 86	354,733 82	12/31/2015
29875E100	EUROPACIFIC GROWTH FD	SHS CL F-2	13,093 9080	514,664 07	45 25	592,499 34	12/31/2015
30161N101	EXELON CORP	COM	2,600 0000	80,973 70	27 77	72,202 00	12/31/2015
30231G102	EXXON MOBIL CORP	COM	651 0000	58,521 15	77 95	50,745 45	12/31/2015
316389444	FIDELITY SECS FD	FD ADV RE INST	15,489 9220	159,936 13	11 21	173,642 03	12/31/2015
360875611	SHELTON TACTICAL CREDIT FUNDCLASS I		36,562 8870	386,173 43	10 17	371,844 56	12/31/2015
363576109	GALLAGHER ARTHUR J & CO	COM	1,212 0000	58,204 54	40 94	49,619 28	12/31/2015
367829884	GATEWAY TR	GATEWAY FD Y	11,828 3390	351,021 23	29 71	351,419 95	12/31/2015
369550108	GENERAL DYNAMICS CORP	COM	246 0000	26,809 06	137 36	33,790 56	12/31/2015
413875105	HARRIS CORP DEL	COM	617 0000	44,564 72	86 90	53,617 30	12/31/2015
423452101	HELMERICH & PAYNE INC	COM	949 0000	69,311 03	53 55	50,818 95	12/31/2015
437076102	HOME DEPOT INC	COM	388 0000	30,993 44	132 25	51,313 00	12/31/2015
438516106	HONEYWELL INTL INC	COM	495 0000	50,569 20	103 57	51,267 15	12/31/2015
464287507	ISHARES	CORE S&P MCP ETF	624 0000	64,377 33	139 32	86,935 68	12/31/2015
46625H100	JPMORGAN CHASE & CO	COM	764 0000	23,838 86	66 03	50,446 92	12/31/2015
478160104	JOHNSON & JOHNSON	COM	490 0000	29,644 78	102 72	50,332 80	12/31/2015
494368103	KIMBERLY CLARK CORP	COM	410 0000	43,387 00	127 30	52,193 00	12/31/2015

For the Account of *GEORGE V SMITH AND JEAN A SMITH CHARITABLE TRUST*

Report Date **06/27/2017 10.50 AM**
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Account Number *23 01 7999 0 0G*

Reconstruct Account Holdings As Of 12/31/2015

CUSIP	Secunty Name	Shares / Par	Investment	Unit Price	Market Value	Price Date
52471E829	LEGG MASON GLOBAL ASET MGMT LGMS BW ALCR I	39,830 3420	421,133 24	9 38	373,608 61	12/31/2015
532457108	LILLY ELI & CO COM	598 0000	35,240 08	84 26	50,387 48	12/31/2015
58933Y105	MERCK & CO INC COM	955 0000	53,767 32	52 82	50,443 10	12/31/2015
594918104	MICROSOFT CORP COM	919 0000	21,105 56	55 48	50,986 12	12/31/2015
60934N61X	FEDERATED PRIME CASH OBL (INCOME) FD #854	1,489 5000	1,489 50	1 00	1,489 50	12/31/2015
60934N70X	FEDERATED PRIME OBLIGATIONS FD 396 GRP 55453	31,162 1900	31,162 19	1 00	31,162 19	12/28/2015
64128K751	NEUBERGER BERMAN INCOME FDS STRG INCM INSTL	136,147 5240	1,541,676 05	10 53	1,433,633 43	12/31/2015
64128R855	NEUBERGER BERMAN ALTRNTIVE FABSOL RTNMUL I	34,330 5770	381,911 77	10 14	348,112 05	12/31/2015
674599105	OCCIDENTAL PETE CORP DEL COM	998 0000	75,244 60	67 61	67,474 78	12/31/2015
713448108	PEPSICO INC COM	510 0000	48,737 20	99 92	50,959 20	12/31/2015
717081103	PFIZER INC COM	1,583 0000	40,299 78	32 28	51,099 24	12/31/2015
72201F490	PIMCO FDS INCOM FD INSTL	103,796 0250	1,262,915 99	11 73	1,217,527 37	12/31/2015
73936T565	POWERSHARES GLOBAL ETF TRUSTAGG PFD PORT	4,709 0000	66,961 98	14 95	70,399 55	12/31/2015
742718109	PROCTER AND GAMBLE CO COM	637 0000	52,791 17	79 41	50,584 17	12/31/2015
744573106	PUBLIC SVC ENTERPRISE GROUP COM	1,795 0000	64,964 46	38 69	69,448 55	12/31/2015
871829107	SYSCO CORP COM	828 0000	33,807 24	41 00	33,948 00	12/31/2015
88579Y101	3M CO COM	344 0000	45,459 57	150 64	51,820 16	12/31/2015
89417E109	TRAVELERS COMPANIES INC COM	450 0000	37,277 78	112 86	50,787 00	12/31/2015
92343V104	VERIZON COMMUNICATIONS INC COM	1,109 0000	44,321 24	46 22	51,257 98	12/31/2015
931142103	WALMART STORES INC COM	855 0000	50,624 55	61 30	52,411 50	12/31/2015
931427108	WALGREENS BTS ALL	609 0000	23,810 99	85 155	51,859 40	12/31/2015
94106L109	WASTE MGMT INC DEL COM	1,275 0000	60,919 38	53 37	68,046 75	12/31/2015
97717X701	WISDOMTREE TR EUROPE HEDGED EQ	5,217 0000	344,181 13	53 81	280,726 77	12/31/2015
	Total Securities	**806,010.5760**	**10,806,611.62**		**10,560,604.04**	
	Income Cash		**0.00**		**0.00**	
	Principal Cash		**0.00**		**0 00**	

Reconstruct Account Holdings As Of 12/31/2015

CUSIP	Security Name	Shares / Par	Investment	Unit Price	Market Value	Price Date
	TOTAL		**10,806,611.62**		**10,560,604 04**	

For the Account of GEORGE V SMITH AND JEAN A SMITH CHARITABLE TRUST

Report Date 06/27/2017 11:32 AM
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Account Number 23 01 7999 0 0G

Reconstruct Account Holdings As Of 12/31/2016

CUSIP	Security Name	Shares / Par	Investment	Unit Price	Market Value	Price Date
02209S103	ALTRIA GROUP INC COM	581 0000	21,042 89	67 62	39,287 22	12/30/2016
037833100	APPLE INC COM	320 0000	35,689 54	115 82	37,062 40	12/30/2016
054937107	BB&T CORP COM	1,051 0000	41,477 13	47 02	49,418 02	12/30/2016
09256H286	BLACKROCK FDS II STRG OPP INSTL	57,803 5880	596,533 02	9 83	568,209 27	12/30/2016
128119880	CALAMOS INV TR NEW STRAT INC INST	28,818 4140	370,526 48	12 87	370,892 99	12/30/2016
17275R102	CISCO SYS INC COM	1,181 0000	37,007 22	30 22	35,689 82	12/30/2016
258620103	DOUBLELINE TOTAL RETURN BD	84,235 6890	920,611 41	10 62	894,583 02	12/30/2016
262028830	DRIEHAUS MUT FDS EMRGN SMCAP GW	10,801 6210	145,179 31	10 66	115,145 28	12/30/2016
26441C204	DUKE ENERGY CORP NEW COM NEW	592 0000	43,876 00	77 62	45,951 04	12/30/2016
29875E100	EUROPACIFIC GROWTH FD SHS CL F-2	8,356 4280	357,834 98	44 97	375,788 57	12/30/2016
30161N101	EXELON CORP COM	1,337 0000	39,125 11	35 49	47,450 13	12/30/2016
30231G102	EXXON MOBIL CORP COM	421 0000	37,287 13	90 26	37,999 46	12/30/2016
316389444	FIDELITY SECS FD FD ADV RE INST	10,693 6560	110,678 48	11 75	125,650 46	12/30/2016
363576109	GALLAGHER ARTHUR J & CO COM	805 0000	38,088 60	51 96	41,827 80	12/30/2016
367829884	GATEWAY TR GATEWAY FD Y	8,235 9980	244,829 74	30 83	253,915 82	12/30/2016
369550108	GENERAL DYNAMICS CORP COM	183 0000	19,943 33	172 66	31,596 78	12/30/2016
38141W810	GOLDMAN SACHS TR CORE FX INST	104,713 6230	1,104,319 17	10 41	1,090,068 82	12/30/2016
437076102	HOME DEPOT INC COM	271 0000	21,647 48	134 08	36,335 68	12/30/2016
438516106	HONEYWELL INTL INC COM	318 0000	32,313 72	115 85	36,840 30	12/30/2016
464287507	ISHARES CORE S&P MCP ETF	429 0000	44,538 05	165 34	70,930 86	12/30/2016
46429B689	ISHARES TR MIN VOL EAFE ETF	3,680 0000	248,344 07	61 22	225,289 60	12/30/2016
46625H100	JPMORGAN CHASE & CO COM	595 0000	19,277 57	86 29	51,342 55	12/30/2016
478160104	JOHNSON & JOHNSON COM	327 0000	19,140 64	115 21	37,673 67	12/30/2016
4812C0381	JPMORGAN CORE BOND SELECT	61,233 1650	715,203 36	11 48	702,956 73	12/30/2016
494368103	KIMBERLY CLARK CORP COM	265 0000	28,023 78	114 12	30,241 80	12/30/2016
52471E829	LEGG MASON GLOBAL ASET MGMT LGMS BW ALCR I	28,789 9590	303,994 78	9 90	285,020 59	12/30/2016
532457108	LILLY ELI & CO COM	498 0000	29,347 09	73 55	36,627 90	12/30/2016

For the Account of *GEORGE V SMITH AND JEAN A SMITH CHARITABLE TRUST*

Report Date **06/27/2017 11·32 AM**
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Reconstruct Account Holdings As Of 12/31/2016

CUSIP	Security Name	Shares / Par	Investment	Unit Price	Market Value	Price Date
580135101	MCDONALDS CORP COM	411 0000	49,376 14	121 72	50,026 92	12/30/2016
58933Y105	MERCK & CO INC COM	667 0000	37,541 40	58 87	39,266 29	12/30/2016
594918104	MICROSOFT CORP COM	653 0000	14,554 06	62 14	40,577 42	12/30/2016
608919718	FEDERATED GOVERNMENT OBLIGATIONS FUND 117	19,076 4800	19,076 48	1 00	19,076 48	10/19/2016
637417106	NATIONAL RETAIL PPTYS INC	722 0000	37,183 29	44 20	31,912 40	12/30/2016
674599105	OCCIDENTAL PETE CORP DEL COM	689 0000	51,473 32	71 23	49,077 47	12/30/2016
713448108	PEPSICO INC COM	352 0000	33,638 22	104 63	36,829 76	12/30/2016
717081103	PFIZER INC COM	1,177 0000	30,113 28	32 48	38,228 96	12/30/2016
72201F490	PIMCO FDS INCOM FD INSTL	76,150 8010	926,473 61	12 06	918,378 66	12/30/2016
73936T565	POWERSHARES GLOBAL ETF TRUSTAGG PFD PORT	3,180 0000	45,219 60	14 23	45,251 40	12/30/2016
742718109	PROCTER AND GAMBLE CO COM	427 0000	35,387 49	84 08	35,902 16	12/30/2016
744573106	PUBLIC SVC ENTERPRISE GROUP COM	1,025 0000	37,096 70	43 88	44,977 00	12/30/2016
808524706	SCHWAB STRATEGIC TR EMRG MKTEQ ETF	5,217 0000	117,242 17	21 56	112,478 52	12/30/2016
842587107	SOUTHERN COMPANY	927 0000	44,855 03	49 19	45,599 13	12/30/2016
88579Y101	3M CO COM	215 0000	28,412 23	178 57	38,392 55	12/30/2016
89417E109	TRAVELERS COMPANIES INC COM	307 0000	25,431 73	122 42	37,582 94	12/30/2016
92343V104	VERIZON COMMUNICATIONS INC COM	661 0000	30,563 98	53 38	35,284 18	12/30/2016
931427108	WALGREENS BTS ALL	430 0000	17,277 51	82 76	35,586 80	12/30/2016
94106L109	WASTE MGMT INC DEL COM	798 0000	38,128 36	70 91	56,586 18	12/30/2016
97717X701	WISDOMTREE TR EUROPE HEDGED EQ	4,098 0000	270,357 35	57 40	235,225 20	12/30/2016
	Total Securities	**533,719.4220**	**7,515,282.03**		**7,620,037.00**	
	Income Cash		**0.00**		**0.00**	
	Principal Cash		**0.00**		**0.00**	
	TOTAL		**7,515,282.03**		**7,620,037.00**	