

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation  
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052

**2016**

**Open to Public Inspection**

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 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016**

Name of foundation THE FULLERTON FAMILY FOUNDATION INC c/o JOHN FULLERTON % FLSV LLP		<b>A Employer identification number</b> 16-1644700	
Number and street (or P O box number if mail is not delivered to street address) 77 HILLSIDE ROAD		<b>B Telephone number</b> (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code RYE, NY 10580		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,501,761		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	0			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	4	4		
	<b>4</b> Dividends and interest from securities	94,753	94,753	0	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	9,185			
	<b>b</b> Gross sales price for all assets on line 6a 600,895				
	<b>7</b> Capital gain net income (from Part IV, line 2)		9,185		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	3,405				
<b>12 Total.</b> Add lines 1 through 11	107,347	103,942	0		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0			
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	15,220	0	0	15,220
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	2,000			
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	55			
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	17,275	0	0	15,220
	<b>25</b> Contributions, gifts, grants paid	43,000			43,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	60,275	0	0	58,220	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	47,072				
<b>b Net investment income</b> (if negative, enter -0-)		103,942			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	104,040	18,239	18,239
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .	1,000	1,000	1,000
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ 10,000 Less allowance for doubtful accounts ▶ _____	10,000	10,000	10,000
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,302,476	1,432,929	1,465,181
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)	7,341	7,341	7,341	
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,424,857	1,469,509	1,501,761	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .		19,000	
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	19,000	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds				
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	1,424,857	1,450,509		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	1,424,857	1,469,509		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>			
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		<b>1</b>	1,424,857
<b>2</b> Enter amount from Part I, line 27a . . . . .		<b>2</b>	47,072
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____		<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .		<b>4</b>	1,471,929
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____		<b>5</b>	21,420
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		<b>6</b>	1,450,509

**Part IV Capital Gains and Losses for Tax on Investment Income**

	<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
<b>1 a</b>	SEE ATTACHED SCHWAB 6106-9020			
<b>b</b>	SEE ATTACHED SCHWAB 6106-9020			
<b>c</b>	SEE ATTACHED SCHWAB 6106-9020			
<b>d</b>				
<b>e</b>				
	<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b>	229,781		241,506	-11,278
<b>b</b>	311,510		323,674	-2,486
<b>c</b>	59,604		36,655	22,949
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
<b>a</b>				-11,725
<b>b</b>				-12,164
<b>c</b>				22,949
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		9,185
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ }		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2015	73,155	1,514,287	0 04831
2014	71,805	1,525,827	0 04706
2013	56,485	547,029	0 103258
2012	20,970	463,074	0 045284
2011	9,945	422,787	0 023522
<b>2</b> Total of line 1, column (d)			0 267434
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 053487
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			1,417,650
<b>5</b> Multiply line 4 by line 3			75,826
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			1,039
<b>7</b> Add lines 5 and 6			76,865
<b>8</b> Enter qualifying distributions from Part XII, line 4			58,220

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, tax based on investment income, credits/payments, and total tax due/overpayment. Total tax due is 3,495.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b> _____	
<b>2</b> _____	
<b>3</b> _____	
<b>4</b> _____	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> _____	
<b>2</b> _____	
All other program-related investments. See instructions	
<b>3</b> _____	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,381,641
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	40,257
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	17,341
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	1,439,239
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	1,439,239
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	21,589
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,417,650
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	70,883

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	70,883
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	2,079
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	2,079
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	68,804
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	68,804
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	68,804

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	58,220
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	58,220
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	58,220

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				68,804
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			0	
<b>b</b> Total for prior years 2014, 2013, 2012		0		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .				31,908
<b>d</b> From 2014. . . . .				5,127
<b>e</b> From 2015. . . . .				171
<b>f Total</b> of lines 3a through e. . . . .	37,206			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>58,220</u>				
<b>a</b> Applied to 2015, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				58,220
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )	10,584			10,584
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	26,622			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	26,622			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .				21,324
<b>c</b> Excess from 2014. . . . .				5,127
<b>d</b> Excess from 2015. . . . .				171
<b>e</b> Excess from 2016. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

**c** "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

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**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			▶ <b>3a</b>	43,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			▶ <b>3b</b>	






**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE AGENDA PROJECT PATRIOTIC MILLIONAIRES 1010 WISCONSIN AVE NW SUITE 705B WASHINGTON, DC 20007	NONE	PC	CHARITABLE CONTRIBUTION	500
GARRISON INSTITUTE 14 MARYS WAY ROUTE 9D GARRISON, NY 10524	NONE	PC	CHARITABLE CONTRIBUTION	1,000
GREENWAVE 43 E PEARL ST NEW HAVEN, CT 06513	NONE	PC	CHARITABLE CONTRIBUTION	5,000
ECOTRUST - 25TH ANNIVERSARY 721 NW 9TH AVE 200 PORTLAND, OR 97209	NONE	PC	CHARITABLE CONTRIBUTION	5,000
OBERLIN PROJECT 23 ERIC NORD WAY OBERLIN, OH 44074	NONE	PC	CHARITABLE CONTRIBUTION	10,000
<b>Total . . . . . ▶</b> <b>3a</b>				43,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SAVE THE SOUND 142 TEMPLE ST NEW HAVEN, CT 06510	NONE	PC	CHARITABLE CONTRIBUTION	5,000
BOWDOIN COLLEGE 255 MAINE ST BRUNSWICK, ME 04011	NONE	PC	CHARITABLE CONTRIBUTION	2,500
GROCERYSHIPS 1101 W 23RD ST LOS ANGELES, CA 90007	NONE	PC	CHARITABLE CONTRIBUTION	1,000
PACIFIC FOREST TRUST 1001 OREILLY AVE SAN FRANCISCO, CA 94129	NONE	PC	CHARITABLE CONTRIBUTION	1,000
BALLE 2323 BROADWAY OAKLOAND, CA 94612	NONE	PC	CHARITABLE CONTRIBUTION	1,000
<b>Total</b> . . . . . <b>3a</b> 				43,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
BIOMIMICRY INSTITUTE PO BOX 9216 MISSOULA, MT 59807	NONE	PC	CHARITABLE CONTRIBUTION	1,000
SAVORY INSTITUTE 1708 WALNUT STREET BOULDER, CO 80302	NONE	PC	CHARITABLE CONTRIBUTION	10,000
<b>Total . . . . . ▶</b> <b>3a</b>				43,000

**TY 2016 Accounting Fees Schedule****Name:** THE FULLERTON FAMILY FOUNDATION INC

c/o JOHN FULLERTON

**EIN:** 16-1644700

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FLSV, LLP	15,220	0	0	15,220

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## **TY 2016 Depreciation Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC

c/o JOHN FULLERTON

**EIN:** 16-1644700

## TY 2016 Investments Corporate Stock Schedule

**Name:** THE FULLERTON FAMILY FOUNDATION INC

c/o JOHN FULLERTON

**EIN:** 16-1644700

Name of Stock	End of Year Book Value	End of Year Fair Market Value
300 SHS CONOCO		
18000 SHS TRIANGLE CAPITAL		
1,000 SHS PNC FIN SVCS 9.875		
750 SHS WELLS FARGO 8%		
0 SHS KINDER MORGAN		
2700 SHS KINDER MORGAN INC		
15000 PROSPECT CAPITAL CORP		
1450 WILLIAMS COMPANIES: WMB		
20000 SHS HANNON ARMSTRONG		
900 SHRS ONEOK INC		
14000 KINDER MORGAN INC 17WTS		
60 ALPHABET INC: GOOG		
3500 SUNEDISON INC		
250 SOLARCITY CORP		
0 SHS UNILEVER		
1000 SHS MICROSOFT CORP		
10000 SHS NRG YIELD INC		
SEE ATTACHED	1,432,929	1,465,181

**TY 2016 Other Assets Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON

**EIN:** 16-1644700

**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SAGUENAY			
GRAMERCY EMERGING MARKETS	7,341	7,341	7,341

**TY 2016 Other Decreases Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON

**EIN:** 16-1644700

Description	Amount
UNREALIZED LOSS ON SECURITIES	16,420
OTHER ADJUSTMENTS	5,000

# TY 2016 Other Expenses Schedule

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
 c/o JOHN FULLERTON

**EIN:** 16-1644700

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NJ DIVISION OF CONSUMER AFFAIR				
BANK/MANAGEMENT FEES				
BUSINESS LICENSES & FILING FEE	55			

**TY 2016 Other Income Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON  
**EIN:** 16-1644700

**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME (FINAL DIST FROM ATTREVIDA)	3,405		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2016 Other  
Notes/Loans Receivable  
Long Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON

**EIN:** 16-1644700

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
NEW DAY FARMS		10,000	10,000	2009-10			9 %				10,000

**TY 2016 Other Receivables  
from Officers Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON

**EIN:** 16-1644700

**Travel Advance to Officers:**

<b>Item No.</b>	1
<b>Borrower's Name</b>	NOTE RECEIVABLE
<b>Borrower's Title</b>	
<b>Original Amount of Loan</b>	1000
<b>Balance Due</b>	1000
<b>Date of Note</b>	2007-01
<b>Maturity Date</b>	
<b>Repayment Terms</b>	
<b>Interest Rate</b>	9.
<b>Security Provided by Borrower</b>	
<b>Purpose of Loan</b>	
<b>Description of Lender Consideration</b>	1,000
<b>Consideration FMV</b>	

**TY 2016 Substantial Contributors  
Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON

**EIN:** 16-1644700

**Name****Address**

SUSAN L FULLERTON

77 HILLSIDE ROAD  
RYE, NY 10580

**TY 2016 Taxes Schedule**

**Name:** THE FULLERTON FAMILY FOUNDATION INC  
c/o JOHN FULLERTON

**EIN:** 16-1644700

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FEDERAL EXCISE TAXES				
UNITED STATES TREASURY	2,000			