

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning**, and ending**

Name of foundation MID-YORK FOUNDATION, INC			A Employer identification number 16-1378925	
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 505		Room/suite	B Telephone number (see instructions) (315) 893-7588	
City or town, state or province, country, and ZIP or foreign postal code HAMILTON NY 13346-0505		C If exemption application is pending, check here <input type="checkbox"/>		
Foreign country name	Foreign province/state/country	Foreign postal code		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,114,858		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	24,469	24,469		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,022			
	b Gross sales price for all assets on line 6a 161,615				
	7 Capital gain net income (from Part IV, line 2)		1,022		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	25,491	25,491	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	6,497	6,497		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	609	609		
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	610	610		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,855	3,855		
	24 Total operating and administrative expenses. Add lines 13 through 23	11,571	11,571	0	0
	25 Contributions, gifts, grants paid	93,794			93,794
26 Total expenses and disbursements. Add lines 24 and 25	105,365	11,571	0	93,794	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	-79,874				
b Net investment income (if negative, enter -0-)		13,920			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	65,748	46,429	46,429
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,021,364	1,068,429	1,068,429
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,087,112	1,114,858	1,114,858
	17	Accounts payable and accrued expenses			
	18	Grants payable	58,000	31,700	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ PAYROLL TAXES WITHHELD)	406	497	
	23	Total liabilities (add lines 17 through 22)	58,406	32,197	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,028,706	1,082,661	
	30	Total net assets or fund balances (see instructions)	1,028,706	1,082,661	
	31	Total liabilities and net assets/fund balances (see instructions)	1,087,112	1,114,858	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,028,706
2	Enter amount from Part I, line 27a	2	-79,874
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS	3	165,529
4	Add lines 1, 2, and 3	4	1,114,361
5	Decreases not included in line 2 (itemize) ▶ 2016 GRANTS PAYABLE	5	31,700
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,082,661

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	1,022	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		3	-5,882	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	82,832	1,112,064	0.074485
2014	43,920	1,134,036	0.038729
2013	35,125	1,036,611	0.033884
2012	52,161	949,238	0.054950
2011	63,741	983,102	0.064837
2 Total of line 1, column (d)			2 0.266885
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.053377
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 1,065,443
5 Multiply line 4 by line 3			5 56,870
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 139
7 Add lines 5 and 6			7 57,009
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 93,794

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	139
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	139
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	139
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	800
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	800
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	661
11	Enter the amount of line 10 to be Credited to 2017 estimated tax 600 Refunded	11	61

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.themidYorkfoundation.org</u>	X	
14	The books are in care of ► <u>LEIGH P FORD</u> Telephone no ► <u>(315) 368-3807</u> Located at ► <u>P.O. BOX 505 HAMILTON NY</u> ZIP+4 ► <u>13346</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u>		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	Yes	No
			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b N/A

6b

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	00	0		
	00	0		
	00	0		
	00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments See instructions	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,061,130
b	Average of monthly cash balances	1b	20,538
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,081,668
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,081,668
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	16,225
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,065,443
6	Minimum investment return. Enter 5% of line 5	6	53,272

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	53,272
2a	Tax on investment income for 2016 from Part VI, line 5	2a	139
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	139
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	53,133
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	53,133
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53,133

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	93,794
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	93,794
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	139
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	93,655

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				53,133
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0	
b Total for prior years 20 12 , 20 13 , 20 14		5,950		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015	22,705			
f Total of lines 3a through e	22,705			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 93,794				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				53,133
e Remaining amount distributed out of corpus	40,661			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	63,366			
b Prior years' undistributed income Subtract line 4b from line 2b		5,950		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		5,950		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	63,366			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015	22,705			
e Excess from 2016	40,661			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

LEIGH FORD, ADMINISTRATOR, P.O. BOX 505 HAMILTON, NY 13346 315-368-3807

b The form in which applications should be submitted and information and materials they should include**SEE ATTACHED APPLICATION****c** Any submission deadlines

NOVEMBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE MADE TO RECIPIENTS IN MADISON & CHENANGO COUNTIES OF NYS

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
THE CHILDRENS CENTER MORRISVILLE COLLEGE PO BOX 901 MORRISVILLE, NY 13408	NONE	PC	REPLACE PLAY SURFACE ON PLAYGROUND	12,000
COMMUNITY ACTION PARTNERSHIP PO BOX 240 MORRISVILLE, NY 13408	NONE	PC	NUTRITIONAL & ECONOMIC SUPPORT FOR FAMILIES	10,000
FRIENDS OF ROGERS ENVIRONMENTAL EDU CEN PO BOX 932 2721 STATE HWY 80 SHERBURNE, NY 13460	NONE	PC	EXPANSION OF EDUC OUTREACH & CENTER PROGRAMS	9,000
MADISON COUNTY OFFICE FOR AGING 138 DOMINIC BRUNO BLVD CANASTOTA, NY 13032	NONE	PC	PURCHASE VAN FOR SNACK PROGRAM	20,444
MORRISVILLE COMMUNITY CHURCH PO BOX 240 MORRISVILLE, NY 13408	NONE	PC	UTILITIES, SNOWPLOWING & FOOD FOR FOOD PANTRY	4,000
COMMUNITY MEMORIAL HOSPITAL 150 BROAD STREET HAMILTON, NY 13346	NONE	PC	IMPROVING AMBULATORY PROGRAMS	20,000
FRIENDS OF ROGERS ENVIRONMENTAL EDU CEN PO BOX 932 2721 STATE HWY 80 SHERBURNE, NY 13460	NONE	PC	RENOVATION OF HONEY BEE EXHIBIT	1,200
HAMILTON SR CITIZENS CENTER 525 MADISON LANE APTS HAMILTON, NY 13346	NONE	PC	MEALS AND ENTERTAINMENT	2,500
JOHN MAY FARM SAFETY FUND BASSETT MEDICA 1 ATWELL ROAD COOPERSTOWN, NY 13326	NONE	PC	PROMOTING HEALTH OF FARMING COMMUNITIES	5,000
MADISON COUNTY CHILDRENS CAMP CAMO LOOKOUT PO BOX 753 ONEIDA, NY 13045	NONE	PC	PURCHASE OF LAWN MOWER	7,700
SOUTHERN MADISON HERITAGE TRUST PO BOX 117 HAMILTON, NY 13346	NONE	PC	MATCH FUNDS FOR LAND TRUST ALLIANCE	1,950
Total			3a	93,794
b <i>Approved for future payment</i>				
CHENANGO NURSERY SCHOOL 59 WEST KENDRICK AVE HAMILTON, NY 13346	NONE	PC	REPOLACE PLAYGROUND WOOD CHIPS WITH SOD	4,000
POOLVILLE COMMUNITY CLUB PARTNERSHIP FOR 38 MILFORD STREET HAMILTON, NY 13346	NONE	PC	REPLACE HANDICAP RAMP WITH MECHANICAL LIFT	20,000
TOWN OF BROOKFIELD ACADEMY ROAD PARK PO BOX 83 BROOKFIELD, NY 13314	NONE	PC	PURCHASE OF LAWN MOWER	7,700
Total			3b	31,700

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	24,469	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	1,022	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		0		25,491	0
13	Total. Add line 12, columns (b), (d), and (e)				13	25,491

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1)** Cash
- (2)** Other assets
- b** Other transactions
- (1)** Sales of assets to a noncharitable exempt organization
- (2)** Purchases of assets from a noncharitable exempt organization
- (3)** Rental of facilities, equipment, or other assets
- (4)** Reimbursement arrangements
- (5)** Loans or loan guarantees
- (6)** Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

PRES
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

James S Cowan, CPA

Preparer's signature

Amos A. Cowan

Date _____

5/2/2017

Check ☒ if self-employed

PTIN

P00315203

Firm's name ▶ James S Cowap, CPA

James S Cowap, CPA

Firm's EIN ►

16-1450228

Firm's address ► 113 Main St., PO Box 525, Whitesboro, NY 13492

113 Main St. PO Box 525, Whitesboro, NY 13492

Phone no

(315)792-4878

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount										Totals		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Long Term CG Distributions										Capital Gains/Losses		161,615		160,993		1,022	
Short Term CG Distributions										Other sales		0		0		0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss			
1 291 603 SH ALLIANZ FDS SMA	018918714	X			P	12/18/2015	3/30/2016	5,948	5,938					10			
2 1125 229 SH ALLIANZ FDS INT	018920702	X			P	3/18/2016	3/30/2016	19,662	25,569					-5,907			
3 42 604 SH COLUMBIA FDS SE	19766H619	X			P	12/15/2015	3/30/2016	879	864					15			
4 1487 463 SH ALLIANZ FDS SM	018918714	X			P	12/19/2014	3/30/2016	30,339	41,089					-10,750			
5 91 06 SH COLUMBIA FDS SEL	19766H619	X			P	12/19/2014	3/30/2016	1,879	1,741					138			
6 300 SH NESTLE S A REG	641069406	X			P	11/21/2013	12/19/2016	21,011	22,266					-1,255			
7 545 SH VODAFONE GROUP F	92857M308	X			P	8/15/2013	12/19/2016	13,516	30,246					-16,730			
8 64 SH ADVANSIX INC	00773T101	X			P	11/22/2002	10/3/2016	10	2					8			
9 147 17 SH ALLIANZ FDS SMAI	018918714	X			P	12/27/2011	3/30/2016	3,002	4,054					-1,052			
10 523 56 SH ALLIANZ FDS SM C	018922575	X			P	5/6/2009	12/19/2016	9,993	6,202					3,791			
11 546 15 SH COLUMBIA FDS MA	19766H610	X			P	12/18/2002	12/19/2016	9,993	5,238					4,755			
12 944 027 SH COLUMBIA FDS S	19766H619	X			P	12/21/2011	3/30/2016	17,412	8,536					8,876			
13 718 215 SH LOOMIS SAYLES	543487284	X			P	10/29/2009	12/19/2016	9,993	8,848					1,145			
14														0			

Part I, Line 16b (990-PF) - Accounting Fees

		610	610	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	JAMES S COWAN, CPA	610	610		0

Part I, Line 23 (990-PF) - Other Expenses

		3,855	3,855	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INSURANCE	1,586	1,586		
2	OFFICE SUPPLIES & EXPENSE	1,414	1,414		
3	NYS DEPT OF LAW FILING	250	250		
4	OTHER ADMINISTRATIVE EXPENSES	605	605		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		1,021,364	1,068,429	1,021,364	1,068,429
		Book Value	Book Value	FMV	FMV
		Beg. of Year	End of Year	Beg. of Year	End of Year
1	EQUITIES - SEE ATTACHED	556,416	638,840	556,416	638,840
2	EQUITY MUTUAL FUNDS-SEE ATTACHED	464,948	429,589	464,948	429,589

MID-YORK FOUNDATION, INC.

EIN: 16-1378925

2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

1 of 8

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES									
ABT	0.83	250	22.97	5,830.92	38.4100	9,602.50	3,771.58	265.00	2.75
ABBVIE INC									
ABBV		250	24.91	6,323.13		15,655.00	9,331.87		
Acquired 06/15/10 L nc		300	47.78	14,550.00		18,786.00	4,236.00		
Acquired 04/16/14 L									
Total	2.99	550	\$37.95	\$20,873.13	62.6200	\$34,441.00	\$13,567.87	\$1,408.00	4.09
ADVANSIX INC									
ASIX									
Acquired 10/15/01 L nc		16,377.68	3.80	62.37		362.60	300.23		
Acquired 11/22/02 L nc		1,622.32	3.36	5.46		35.92	30.46		
Total	0.03	18	\$3.77	\$67.83	22.1400	\$398.52	\$330.69	N/A	N/A
ALLSTATE CORP									
ALL	1.61	250	61.91	15,704.50	74.1200	18,530.00	2,825.50	330.00	1.78
AMERICAN ELECTRIC POWER INC									
AEP	1.64	300	37.33	11,365.53	62.9600	18,888.00	7,522.47	708.00	3.74
ANHEUSER BUSCH INBEV SA/INV-SPONSORED ADR									
BUD	1.28	140	99.45	14,139.84	105.4400	14,761.60	621.76	447.44	3.03
Acquired 09/25/13 L									
AT & T INC									
T		325	10.53	3,423.20		13,822.25	10,399.05		
Acquired 08/17/99 L nc		499	27.52	13,977.42		21,222.47	7,245.05		
Acquired 11/22/02 L nc									
Total	3.04	824	\$21.12	\$17,400.62	42.5300	\$35,044.72	\$17,644.10	\$1,615.04	4.61

MID-YORK FOUNDATION, INC.

EIN: 16-1378925

2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

2 of 8

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BCE INC									
BCE									
Acquired 08/23/11 L	1 87	500	39 50	19,983 15	43 2400	21,620 00	1,636 85	1,055 30	4 88
BRISTOL MYERS SQUIBB CO									
BMJ									
Acquired 09/25/13 L	1 42	280	46 60	13,265 68	58 4400	16,363 20	3,097 52	436 80	2 66
CONOCOPHILLIPS									
COP									
Acquired 08/20/98 L nc	0 90	206	16 33	3,364 96	50 1400	10,328 84	6,963 88	206 00	1 99
GENERAL ELECTRIC COMPANY									
GE									
Acquired 01/13/14 L	2 19	800	26 96	21,818 00	31 6000	25,280 00	3,462 00	768 00	3 03
HOME DEPOT INC									
HD									
Acquired 09/25/13 L	2 09	180	75 65	13,834 33	134 0800	24,134 40	10,300 07	496 80	2 05
HONEYWELL INTERNATIONAL INC									
HON									
Acquired 10/15/01 L nc		424	28 13	12,135 99		49,120 40	36,984 41		
Acquired 11/22/02 L nc		42	24 07	1,061 57		4,865 70	3,804 13		
Total	4 68	466	\$28 32	\$13,197 56	115 8500	\$53,986 10	\$40,788 54	\$1,239 56	2 30
ISHARES ET INTL SELECT DIVIDEND IDV									
Acquired 08/14/12 L	2 56	1,000	31 40	31 595 00	29 5600	29,560 00	-2,035 00	1,387 00	4 69
JPMORGAN CHASE & CO JPM									
Acquired 10/15/01 L nc		440	33 71	15,084 90		37,967 60	22,882 70		
Acquired 11/22/02 L nc		111	24 83	2,834 96		9,578 19	6,743 23		
Total	4 12	551	\$32 52	\$17,919 86	86 2900	\$47,545 79	\$29,625 93	\$1,057 92	2 23
NBT BANCORP INC (DEL)									
\$ 001 PAR									
NBTB									
Acquired 11/21/13 L	2 91	800	25 05	20,340 80	41 8800	33,504 00	13,163 20	736 00	2 19

MID-YORK FOUNDATION, INC.

EIN: 16-1378925

2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NEWELL BRANDS INC									
NWL									
Acquired 08/06/15 L	1 16	300	43 47	13,250.91	44 6500	13,395 00	144 09	228 00	1 70
PENTAIR PLC									
PNR									
Acquired 08/23/11 L	2 92	600	31 17	18,935 90	56 0700	33,642 00	14,706 10	828 00	2 46
PHILLIPS 66									
PSX									
Acquired 08/20/08 L nc	0 77	103	9 70	1,000 01	86 4100	8,900 23	7,900 22	259 56	2 91
PROSHARES S&P 500 ET									
DIVIDEND A									
NOBL									
Acquired 03/30/16 S	2 57	550	52 51	29,187 50	53 9100	29,650 50	463 00	631 95	2 13
PROSHARES TR ET									
LARGE CAP CORP PLUS									
CSM									
Acquired 03/30/16 S	2 64	550	50 74	28,217 25	55 2500	30,387 50	2,170 25	454 30	1 49
ROYAL DUTCH SHELL PLC									
ADR B									
RDS/B									
Acquired 04/24/15 L	2 01	400	63 63	25,710 80	57 9700	23,188 00	-2,522 80	1,504 00	6 48
TOTAL S A SPONS ADR									
TOT									
Acquired 05/27/11 L	2 21	500	56 66	28,577 95	50 9700	25,485 00	-3,092 95	1,141 50	4 47
UNITED TECHNOLOGIES CORP									
UTX									
Acquired 03/11/08 L nc	2 38	250	66 79	16,914 03	109 6200	27,405 00	10,490 97	660 00	2 40
VANGUARD INDUSTRIALS ET									
VIS									
Acquired 03/21/13 L	3 36	325	79 68	26,153 95	119 2600	38,759 50	12,605 55	702 65	1 81

MID-YORK FOUNDATION, INC.

EIN: 16-1378925

2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
VERIZON COMMUNICATIONS									
COM									
VZ									
Acquired 02/24/14 L	1.22	263	48.11	12,654.24	53.3800	14,038.94	1,384.70	607.53	4.32
Total Stocks and ETFs	55.40			\$441,304.25		\$638,840.34	\$197,536.09	\$19,174.35	3.00
Total Stocks, options & ETFs	55.40			\$441,304.25		\$638,840.34	\$197,536.09	\$19,174.35	3.00

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALLIANZGI FDS									
SM CAP BLEND FD CL A									
AZBAX									
On Reinvestment									
Acquired 05/05/09 L nc		1,586.75155	11.84	18,797.59		30,116.54	11,318.95		
Reinvestments L m		723.44245	17.45	12,629.55		13,730.94	1,101.39		
Reinvestments S		0.87900	19.05	16.75		16.68	-0.07		
Total	3.80	2,311.07300	\$13.61	\$31,443.89	18.9800	\$43,864.16	\$12,420.27	N/A	N/A
Client Investment (Excluding Reinvestments)								\$18,797.59	
Gain/Loss on Client Investment (Including Reinvestments)								\$25,066.57	

CALVERT US LARGE CAP CORE RESPONSIBLE INDEX

FUND CLASS A

CSXAX

On Reinvestment

Acquired 09/25/13 L

Reinvestments L

Reinvestments S

27,977.55
3,991.77
1,074.16

4,239.02
196.09
-16.38

2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

8105

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.87	1,756.69800	\$16.29	\$28,624.75	18.8100	\$33,043.48	\$4,418.73	\$384.71	1.16
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
COLUMBIA CONTRARIAN CORE FUND CLASS A									
LCCAX									
On Reinvestment		956 36700	21 09	20,169 77		21,384 36	1,214 59		
Acquired 03/30/16 S Reinvestments S		13 54400	22 78	308 54		302 84	-5 70		
Total	1.88	969.91100	\$21.11	\$20,478.31	22.3600	\$21,687.20	\$1,208.89	\$146.45	0.68
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
FIRST EAGLE GOLD INC GOLD FD A									
SGGDX									
On Reinvestment		181 07200	35 67	6,463.13		2,833 77	-3,629 36		
Acquired 11/18/10 L nc Reinvestments L m		112 97900	31 87	3,601 44		1,768 12	-1,833 32		
Total	0.40	294.05100	\$34.23	\$10,064.57	15.6500	\$4,601.89	-\$5,462.68	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
FIRST EAGLE US VALUE FUND CLASS A									
FEVAX									
On Reinvestment		1,047 07900	12 78	13,381 67		20,334 27	6,952 60		
Acquired 05/05/09 L nc		574 38300	17 41	10,000 00		11,154 52	1,154 52		
Acquired 05/27/11 L nc		571 41700	18 26	10,434 08		11,096 92	662 84		
Reinvestments L m		187 83300	19 51	3,666 49		3,647 71	-18 78		
Total	4.01	2,380.71200	\$15.74	\$37,482.24	19.4200	\$46,233.42	\$8,751.18	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									

MID-YORK FOUNDATION, INC.

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2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA FDS SER TR II									
MASS SELECT SMALLER-CAP									
VALUE FD CL A									
SSCVX									
On Reinvestment		1,017 97899	9 59	9,762 42		18,537 39	8,774 97		
Acquired 12/18/02 L nc		1,573 89101	17 39	27,381 07		28,660 55	1,279 48		
Reinvestments L m		192 11500	18 39	3,533 00		3,498 42	-34 58		
Reinvestments S									
Total	4.40	2,783.98500	\$14.61	\$40,676.49	18.2100	\$50,696.36	\$10,019.87	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$9,762 42			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$40,933 94			
COLUMBIA FDS SER TR II									
MASS SELIG COMMUNICATION									
& INFORMATION FD CL A									
SLMCX									
On Reinvestment		393 08200	38 16	15,000 00		23,050 32	8,050 32		
Acquired 09/21/07 L nc		161 90100	50 99	8,256 12		9,493 88	1,237 76		
Reinvestments L m		44 82900	59 45	2,665 50		2,628 77	-36 73		
Reinvestments S									
Total	3.05	599.81200	\$43.22	\$25,921.62	58.6400	\$35,172.97	\$9,251.35	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$15,000 00			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$20,172 97			
LOOMIS SAYLES FDS II									
STRATEGIC INCOME FD									
CL A									
NEFZX									
On Reinvestment		1,398 95000	14 97	20,942 48		19,753 17	-1,189 31		
Reinvestments L m		101 58200	14 12	1,434 55		1,434 34	-0 21		
Reinvestments S									
Total	1.84	1,500.53200	\$14.91	\$22,377.03	14.1200	\$21,187.51	-\$1,189.52	\$525.18	2.48
Client Investment (Excluding Reinvestments)									
						\$15,000 00			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$20,172 97			
LOOMIS SAYLES FDS II									
VALUE FD CL A									
LSVRX									
On Reinvestment		1,147 84200	21 78	25,000 00		24,311 29	-688 71		
Acquired 01/11/13 L		775 49400	25 79	20,000 00		16,424 96	-3,575 04		
Acquired 09/25/13 L		976 19800	22 40	21,867 35		20,675 87	-1,191 48		
Reinvestments L									

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		127.51500	21.36	2,724.98		2,700.77	-24.21		
Total	5.56	3,027.04900	\$22.99	\$69,592.33	21.1800	\$64,112.89	-\$5,479.44	\$1,159.35	1.81
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$45,000.00									
\$19,112.89									
JPMORGAN MID CAP VALUE									
CL A									
JAMCX									
On Reinvestment									
Acquired 03/09/05 L nc		534.15400	23.96	12,802.16		19,037.25	6,235.09		
Reinvestments L m		491.06200	27.67	13,588.62		17,501.45	3,912.83		
Reinvestments S		68.04000	36.08	2,455.34		2,424.94	-30.40		
Total	3.38	1,093.25600	\$26.39	\$28,846.12	35.6400	\$38,963.64	\$10,117.52	\$155.24	0.40
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$12,802.16									
\$26,161.48									
PIMCO FDS PAC INVT									
MGMT SER TOTAL RETURN									
FD CL A									
PTTAX									
On Reinvestment									
Acquired 10/28/08 L nc		218.74800	10.31	2,256.12		2,194.04	-62.08		
Reinvestments L m		2,099.00600	10.33	2,260.15					
Reinvestments S			10.67	22,406.62		21,053.02	-1,353.60		
			10.69	22,441.32					
		61.87000	10.20	631.57		620.56	-11.01		
Total	2.07	2,379.62400	\$10.63	\$25,294.31	10.0300	\$23,867.62	-\$1,426.69	\$642.49	2.69
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$2,260.15									
\$21,607.47									
PIONEER EQUITY INCOME									
FD CL C									
PCEQX									
On Reinvestment									
Acquired 09/25/13 L		636.44800	32.67	20,792.74		20,557.27	-235.47		
Reinvestments L		212.53500	32.90	6,993.91		6,864.87	-129.04		
Reinvestments S		110.31000	31.57	3,482.69		3,563.02	80.33		

MID-YORK FOUNDATION, INC.

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2016 FORM 990-PF, PART II, LINE 10b - INVESTMENTS

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Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.69	959.29300	\$32.60	\$31,269.34	32.3000	\$30,985.16	-\$284.18	\$371.24	1.20
PRUDENTIAL JENNISON									
NATURAL RESOURCES FD									
CL A									
PGNAX									
On Reinvestment									
Acquired 04/24/15 L		228.41500	43.78	10,007.00		8,476.47	-1,530.53		
Reinvestments L nc		175.75400	42.31	7,436.74		6,522.23	-914.51		
Reinvestments S		4.69700	36.64	172.14		174.31	2.17		
Total	1.32	408.86600	\$43.08	\$17,615.88	37.1100	\$15,173.01	-\$2,442.87	\$40.51	0.27
Client Investment (Excluding Reinvestments)									
						\$10,007.00			
Gain/Loss on Client Investment (Including Reinvestments)									
						\$5,166.01			
Total Open End Mutual Funds	37.25			\$389,686.88		\$429,589.31	\$39,902.43	\$3,425.17	0.80
				\$389,725.61					
Total Mutual Funds	37.25			\$389,686.88		\$429,589.31	\$39,902.43	\$3,425.17	0.80
				\$389,725.61					

Part II, Line 22 (990-PF) - Other Liabilities

		406	497
Description		Beginning Balance	Ending Balance
1	PAYROLL TAXES WITHHELD	406	497

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount												
Long Term CG Distributions		17,978												
Short Term CG Distributions		0												
		CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
1	291 603 SH ALLIANZ FDS SMA	018918714	P	12/18/2015	3/30/2016	5,948			5,938	10	0	0	0	10
2	1125 229 SH ALLIANZ FDS INT	018920702	P	3/18/2016	3/30/2016	19,662			25,569	-5,907	0	0	0	-5,907
3	42 604 SH COLUMBIA FDS SE	19768H619	P	12/15/2015	3/30/2016	879			864	15	0	0	0	15
4	1487 463 SH ALLIANZ FDS SM	018918714	P	12/19/2014	3/30/2016	30,339			41,089	-10,750	0	0	0	-10,750
5	91 06 SH COLUMBIA FDS SEL	19768H619	P	12/19/2014	3/30/2016	1,879			1,741	138	0	0	0	138
6	300 SH NESTLE S A REG	641069406	P	11/21/2013	12/19/2016	21,011			22,266	-1,255	0	0	0	-1,255
7	545 SH VODAFONE GROUP R	92857W308	P	8/15/2013	12/19/2016	13,516			30,246	-16,730	0	0	0	-16,730
8	64 SH ADVANSIX INC	00773T101	P	11/22/2002	10/3/2016	10			2	8	0	0	0	8
9	147 17 SH ALLIANZ FDS SMA	018918714	P	12/27/2011	3/30/2016	3,002			4,054	-1,052	0	0	0	-1,052
10	523 56 SH ALLIANZ FDS SM Q	018922575	P	5/5/2009	12/19/2016	9,993			6,202	3,791	0	0	0	3,791
11	546 15 SH COLUMBIA FDS MA	19768H4510	P	12/18/2002	12/19/2016	9,993			5,238	4,755	0	0	0	4,755
12	844 027 SH COLUMBIA FDS S	19768H619	P	12/21/2011	3/30/2016	17,412			8,536	8,876	0	0	0	8,876
13	718 215 SH LOOMIS SAYLES	543487284	P	10/29/2009	12/19/2016	9,993			8,848	1,145	0	0	0	1,145

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	JOHN KWASNIK		PO BOX 61	SHERBURNE	NY	13460		PRES	1 00	0		
2	TERRENCE MURPHY		2123 SPRING ST	HAMILTON	NY	13346		VICE-PRES	1 00	0		
3	JAMES PLESNIARSKI		CRANE LAKE ROAD	HAMILTON	NY	13346		SEC	1 00	0		
4	DIANE POTTER		78 UTICA STREET	HAMILTON	NY	13346		TREAS	1 00	0		
5	JENNIFER CALOJA		4229 STONEBRIDGE RD	MORRISVILLE	NY	13408		BOARD MEMBER	1 00	0		
6	DONALD DUBOIS		981 PRESTON HILL RD	EARLVILLE	NY	13332		BOARD MEMBER	1 00	0		
7	PAUL V NOYES		131 SHERRILL RD	SHERRILL	NY	13461		BOARD MEMBER	1 00	0		
8	JAMES E TILBE		PO BOX 289	BROOKFIELD	NY	13314		BOARD MEMBER	1 00	0		
9	LEIGH P FORD		169 KIRKLAND AVE	CLINTON	NY	13323		ADMINISTRATOR	10 00	6,497		
10	JENNIFER BRITTON		344 KNAPP ROAD	SHERBURNE	NY	13460		BOARD MEMBER	1 00	0		
11	LACY EAVES		6607 LEBANON ROAD	EARLVILLE	NY	13332		BOARD MEMBER	1 00	0		
										6,497	0	0