

EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

**2016**

Open to Public Inspection

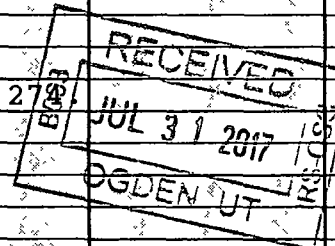
For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>LEGACY FOUNDATION OF TOMPKINS COUNTY</b>		A Employer identification number <b>15-6018481</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 97</b>	Room/suite	B Telephone number <b>(607) 273-3210</b>
City or town, state or province, country, and ZIP or foreign postal code <b>ITHACA, NY 14851</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>3,218,378.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		425,451.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		67,230.	66,184.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		81,274.			
b Gross sales price for all assets on line 6a <b>909,403.</b>					
7 Capital gain net income (from Part IV, line 2)			81,274.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,205.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		575,160.	147,458.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		738.	738.		0.
b Accounting fees STMT 4		4,150.	4,150.		0.
c Other professional fees STMT 5		32,680.	32,680.		0.
17 Interest					
18 Taxes STMT 6		888.	275.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		3,538.	3,538.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		41,994.	41,381.		0.
25 Contributions, gifts, grants paid		251,531.			251,531.
26 Total expenses and disbursements. Add lines 24 and 25		293,525.	41,381.		251,531.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		281,635.			
b Net investment income (if negative enter -0-)			106,077.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		119,744.	140,716.	140,716.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 9		190,808.	281,929.	281,929.
	b	Investments - corporate stock STMT 10		1,920,308.	2,176,433.	2,176,433.
	c	Investments - corporate bonds STMT 11		615,584.	619,300.	619,300.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,846,444.	3,218,378.	3,218,378.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		2,846,444.	3,218,378.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		2,846,444.	3,218,378.	
	31	Total liabilities and net assets/fund balances		2,846,444.	3,218,378.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,846,444.
2	Enter amount from Part I, line 27a	2	281,635.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	90,299.
4	Add lines 1, 2, and 3	4	3,218,378.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,218,378.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES-SHORT TERM</b>	P	VARIOUS	VARIOUS
<b>b PUBLICLY TRADED SECURITIES- LONG TERM</b>	P	VARIOUS	VARIOUS
<b>c CAPITAL GAINS DIVIDENDS</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 192,535.		200,179.	<7,644.>
<b>b</b> 716,288.		627,950.	88,338.
<b>c</b> 580.			580.
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<7,644.>
<b>b</b>			88,338.
<b>c</b>			580.
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	81,274.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	196,754.	2,847,341.	.069101
2014	183,399.	2,780,321.	.065963
2013	163,169.	2,341,881.	.069674
2012	156,407.	2,215,765.	.070588
2011	164,183.	2,239,278.	.073320

<b>2</b> Total of line 1, column (d)	2	.348646
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.069729
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,058,380.
<b>5</b> Multiply line 4 by line 3	5	213,258.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	1,061.
<b>7</b> Add lines 5 and 6	7	214,319.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	251,531.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1 1,061.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 1,061.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 1,061.
6 Credits/Payments:		
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,360.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 1,360.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 299.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax	11 0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

**Part VII-A** Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **WWW.TCLEGACY.ORG**

- 14 The books are in care of
- JANET HEWITT**

Telephone no. **607-274-2004**Located at **C/O TOMPKINS TRUST COMPANY, PO BOX 460, ITHACA, N** ZIP+4 **14851**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year

**15** N/A

- 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?

	Yes	No
1b		
1c		X

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?

☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

	Yes	No
2b		

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)

N/A

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

	Yes	No
3b		
4a		X
4b		X

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Form 990-PF (2016)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2016)

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,902,903.
b	Average of monthly cash balances	1b	202,051.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,104,954.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,104,954.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,574.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,058,380.
6	Minimum investment return. Enter 5% of line 5	6	152,919.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	152,919.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,061.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,061.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	151,858.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	151,858.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	151,858.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	251,531.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	251,531.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,061.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	250,470.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				151,858.
2 Undistributed income, if any, at the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011	44,513.			
b From 2012	43,638.			
c From 2013	49,463.			
d From 2014	46,813.			
e From 2015	55,740.			
f Total of lines 3a through e	240,167.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$	251,531.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				151,858.
e Remaining amount distributed out of corpus	99,673.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	339,840.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	44,513.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	295,327.			
10 Analysis of line 9				
a Excess from 2012	43,638.			
b Excess from 2013	49,463.			
c Excess from 2014	46,813.			
d Excess from 2015	55,740.			
e Excess from 2016	99,673.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BETTER HOUSING FOR TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	15,000.
BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,160.
CANCER RESOURCE CENTER OF THE FINGER LAKES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,375.
CATHOLIC CHARITIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,000.
CHALLENGE WORKFORCE SOLUTIONS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	8,000.
Total	SEE CONTINUATION SHEET(S)			251,531.
b Approved for future payment				
NONE				
Total				0.

**Part XVI-A      Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	67,230.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	81,274.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a <b>REFUND OF PRIOR YEAR</b>						
b <b>GRANTS</b>						1,205.
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		148,504.		1,205.
13 Total. Add line 12, columns (b), (d), and (e)						149,709.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GEORGE V. & JEAN A. SMITH CHARITABLE TRUST	\$ 399,056.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	JEWELL ESTATE	\$ 9,950.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
3	ESTATE OF DORIS S. STRONG	\$ 15,645.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
	-	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Employer identification number

15-6018481

## Part II

[illegible]



Name of organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## FORM 990-PF

## DIVIDENDS AND INTEREST FROM SECURITIES

## STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	67,810.	580.	67,230.	66,184.	
TO PART I, LINE 4	67,810.	580.	67,230.	66,184.	

## FORM 990-PF

## OTHER INCOME

## STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
REFUND OF PRIOR YEAR GRANTS	1,205.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,205.	0.	

## FORM 990-PF

## LEGAL FEES

## STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	738.	738.		0.
TO FM 990-PF, PG 1, LN 16A	738.	738.		0.

## FORM 990-PF

## ACCOUNTING FEES

## STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES (AUDIT & TAX)	4,150.	4,150.		0.
TO FORM 990-PF, PG 1, LN 16B	4,150.	4,150.		0.

## FORM 990-PF

## OTHER PROFESSIONAL FEES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL FEES	17,880.	17,880.		0.
SECRETARIAL FEES	3,500.	3,500.		0.
DIRECTOR OF DEVELOPMENT SERVICES	11,300.	11,300.		0.
TO FORM 990-PF, PG 1, LN 16C	32,680.	32,680.		0.

## FORM 990-PF

## TAXES

## STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS FILING FEES	275.	275.		0.
EXCISE TAX	613.	0.		0.
TO FORM 990-PF, PG 1, LN 18	888.	275.		0.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	1,260.	1,260.		0.
INSURANCE	888.	888.		0.
PROFESSIONAL MEMBERSHIPS	1,390.	1,390.		0.
TO FORM 990-PF, PG 1, LN 23	3,538.	3,538.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 8
DESCRIPTION		AMOUNT
UNREALIZED APPRECIATION OF INVESTMENTS		90,299.
TOTAL TO FORM 990-PF, PART III, LINE 3		90,299.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT 9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FHLMC 2.0% DUE 2/21/2020	X		15,202.	15,202.
FHLMC 1.05% DUE 11/28/18	X		14,881.	14,881.
FHLMC 1% DUE 5/24/19	X		29,882.	29,882.
FFCB 1.7% 12/27/19	X		25,010.	25,010.
FHLMC 2% DUE 11/23/20	X		14,852.	14,852.
FHLMC 1.25% DUE 2/26/21	X		24,828.	24,828.
FHLMC 1.25% DUE 6/30/21	X		29,567.	29,567.
FFCB 1.73% DUE 10/12/22	X		29,234.	29,234.
FEDERAL NATIONAL MORTGAGE ASSN 1.25% DUE 5/25/23	X		29,720.	29,720.
FHLMC 1% DUE 2/24/25	X		38,956.	38,956.
FEDERAL NATIONAL MORTGAGE ASSN 1.5% DUE 2/24/26	X		29,797.	29,797.
TOTAL U.S. GOVERNMENT OBLIGATIONS			281,929.	281,929.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			281,929.	281,929.

FORM 990-PF	CORPORATE STOCK	STATEMENT 10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AFFILIATED MANAGERS GR	15,983.	15,983.
ALPHABET INC CAP STK CL A	23,774.	23,774.
ALPHABET INC CAP STK CL C	23,155.	23,155.
AMERIPRISE FINL INC COM	17,750.	17,750.
APPLE INC	60,226.	60,226.
BERKSHIRE HATHAWAY	22,817.	22,817.
CELGENE CORP	17,363.	17,363.
CHIPOTLE MEXICAN GRILL	22,639.	22,639.
CHUBB CORP	8,720.	8,720.
COSTCO WHOLESALE CORP	35,224.	35,224.
DANAHER CORP	23,352.	23,352.

DOMINION RES INC	14,552.	14,552.
ECOLAB INC	15,825.	15,825.
EOG RES INC	16,682.	16,682.
EXXON MOBIL CORP	27,529.	27,529.
FACEBOOK	20,709.	20,709.
FEDEX CORP	23,275.	23,275.
GENERAL ELECTRIC	25,280.	25,280.
ISHARES CORE S&P MCP ETF	165,340.	165,340.
ISHARES MBS ETF	61,145.	61,145.
ISHARES MSCI EMERG MKTS	56,716.	56,716.
J.P. MORGAN CHASE & CO	9,060.	9,060.
MEDTRONIC PLC SHS	20,728.	20,728.
MICROSOFT CORP	49,712.	49,712.
NIKE	17,536.	17,536.
PEPSICO	38,190.	38,190.
PNC FINL SVCS GROUP	23,392.	23,392.
SALESFORCE.COM	15,404.	15,404.
SCHLUMBERGER	26,864.	26,864.
STARBUCKS CORP	16,934.	16,934.
THERMO FISHER SCIENTIFIC INC	16,227.	16,227.
TJX	23,666.	23,666.
TOMPKINS FINANCIAL CORP	214,984.	214,984.
UNION PAC CORP	30,067.	30,067.
UNITED HEALTH GROUP	36,809.	36,809.
VANGAURD INDEX REIT-ETF	87,069.	87,069.
VANGUARD SMALL CAP VAL	60,500.	60,500.
VANGUARD TAX MANAGED INTL FD	148,718.	148,718.
VERIZON COMMUNICATIONS	22,953.	22,953.
VISA INC	21,846.	21,846.
WALGREEN	25,656.	25,656.
DENTSPLY SIRONA INC.	19,051.	19,051.
DOLLAR GENERAL CORP	17,406.	17,406.
FORTIVE CORP	8,045.	8,045.
HASBRO INC.	17,114.	17,114.
HESS CORP COM	18,376.	18,376.
KEYCORP NEW	20,645.	20,645.
KRAFT HEINZ CO	24,450.	24,450.
MERCK & CO INC.	20,899.	20,899.
NORTHROP GRUMMAN CORP COM	20,932.	20,932.
PRAXAIR INC.	21,094.	21,094.
RAYTHEON CO CLASS B	20,590.	20,590.
SPDR SERIES TRUST	55,340.	55,340.
VANGUARD MID CAP ETF	54,626.	54,626.
BROADCOM LTD	29,167.	29,167.
ISHARES MSCI EAFE ETF	163,087.	163,087.
PENTAIR PLC	17,942.	17,942.
TE CONNECTIVITY LTD	22,516.	22,516.
HOME DEPOT INC	20,782.	20,782.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,176,433.	2,176,433.

## FORM 990-PF

## CORPORATE BONDS

## STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AMERICAN EXPRESS 2.375 DUE 3/24/2017	35,088.	35,088.
AT&T INC 3.00% DUE 2/15/2022	19,805.	19,805.
BB&T CORPORATION 2.25% DUE 2/01/2019	25,195.	25,195.
BERKSHIRE HATHAWAY 3.0% DUE 5/15/2022	40,757.	40,757.
GENERAL ELECTRIC 3.1% DUE 1/09/2023	50,674.	50,674.
GOLDMAN SACHS GROUP 2.375% DUE 1/22/2018	15,089.	15,089.
GOLDMAN SACHS GROUP 5.375% DUE 3/15/2020	16,275.	16,275.
INTEL CORP 2.7% DUE 12/15/2022	40,180.	40,180.
JP MORGAN CHASE 3.25% DUE 9/23/2022	35,395.	35,395.
MORGAN STANLEY 5.75% DUE 1/25/2021	27,740.	27,740.
ORACLE CORP 2.375% DUE 1/15/2019	25,335.	25,335.
PEPSICO INC 3.00% DUE 8/25/2021	41,165.	41,165.
TARGET CORP 2.3% DUE 6/26/2019	25,403.	25,403.
VERIZON COMMUNICATIONS INC 3.5% DUE 11/1/2021	41,287.	41,287.
HOME DEPOT INC. NOTE 2.25% 9/10/18	25,338.	25,338.
BB&T CORPORATION ST MEDIUM TERM NTS 2.45% 1/15/20	25,196.	25,196.
MERCK & CO INC. 2.8% DUE 5/18/23	40,155.	40,155.
WELLS FARGO & CO MTN 3.55% DUE 9/29/25	24,958.	24,958.
APPLE INC NOTE 3.25% 2/23/26	50,027.	50,027.
ORACLE CORP 2.65% 7/15/26	14,238.	14,238.
TOTAL TO FORM 990-PF, PART II, LINE 10C	619,300.	619,300.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LARRY BAUM PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
JAMES BROWN PO BOX 97 ITHACA, NY 14851	PRESIDENT 1.00	0.	0.	0.
ANNE C. DIGIACOMO PO BOX 97 ITHACA, NY 14851	VICE PRESIDENT 1.00	0.	0.	0.
GREGORY J. HARTZ PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
MARY KANE PO BOX 97 ITHACA, NY 14851	SECRETARY/TREASURER 1.00	0.	0.	0.
SEAN WHITTAKER PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
MARY OPPERMAN PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JAMES BROWN, PRESIDENT  
P.O. BOX 97  
ITHACA, NY 14851

TELEPHONE NUMBER

607-255-3759

FORM AND CONTENT OF APPLICATIONS

ALL REQUESTS SHOULD BE IN WRITING. REQUESTS SHOULD INDICATE A BRIEF DESCRIPTION OF THE ORGANIZATION REQUESTING THE FUNDS AND THE PURPOSE OF THE REQUEST AND A BUDGET.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION'S POLICY IS TO MAKE THE FUNDS AVAILABLE TO VOLUNTARY AGENCIES SERVING THE TOMPKINS COUNTY AREA.



**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD DEVELOPMENT COUNCIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,500.
DOWNTOWN ITHACA CHILDREN'S CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
FAMILY & CHILDREN'S SERVICE OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,000.
FAMILY READING PARTNERSHIP	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,534.
FINE ARTS BOOSTER GROUP	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	688.
FOOD BANK OF THE SOUTHERN TIER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,800.
FOODNET MEALS ON WHEELS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,283.
FRANZISKA RACKER CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,500.
GREATER ITHACA ACTIVITIES CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
HABITAT FOR HUMANITY OF TOMPKINS & CORTLAND COUNTIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,000.
<b>Total from continuation sheets</b>				<b>212,996.</b>

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOSPICARE & PALLIATIVE CARE SERVICE OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
HUMAN SERVICES COALITION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	700.
ITHACA COLLEGE OFFICE OF CIVIC ENGAGEMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,600.
ITHACA DOWNTOWN BUSINESS IMPROVEMENT DISTRICT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
ITHACA HEALTH ALLIANCE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,000.
OPPORTUNITIES ALTERNATIVES & RESOURCES OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
PALEONTOLOGICAL RESEARCH INSTITUTE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,100.
SCIENCENTER OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
SOCIAL VENTURES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
SOUTHSIDE COMMUNITY CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,400.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SPCA OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,200.
THE LEARNING WEB	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,800.
THE MENTAL HEALTH ASSOC. IN TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	15,120.
UNITED WAY OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	800.
CORNELL COOPERATIVE EXTENSION- FAMILY & COMMUNITY DEVELOPMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,000.
CORNELL UNIVERSITY CHAPTER OF COLLEGE MENTORS FOR KIDS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,800.
DOROTHY COTTON JUBILEE SINGERS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,875.
DRYDEN AREA INTERGENERATIONAL BAND AND CHORUS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	875.
DRYDEN SERTOMA CLUB, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
DRYDEN YOUTH OPPORTUNITY FUND	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3' Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ELLIS HOLLOW COMMUNITY CENTER/NURSERY SCHOOL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	17,000.
FINGER LAKES LAND TRUST	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
FRIENDS OF STEWART PARK	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
ITHACA CHILDREN'S GARDEN	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,000.
ITHACA SALVATION ARMY WOMEN'S AUXILIARY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	675.
LATINO CIVIC ASSOCIATION OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	875.
LOAVES AND FISHES OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	687.
LOCAL AND WORLD MISSIONS COMMITTEE AT SAINT PAUL'S METHODIST CHURCH	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	700.
LOVE LIVING AT HOME, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,250.
MUSICIANS FOR WORLD HARMONY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,385.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RESCUE MISSION ALLIANCE OF SYRACUSE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,000.
TOMPKINS COUNTY WORKERS CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	688.
TOWN OF DRYDEN RECREATION DEPARTMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
VARNA COMMUNITY ASSOCIATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	600.
VITAMIN L	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	687.
YMCA OF ITHACA AND TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
YOUTH FARM PROJECT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,375.
4-H URBAN OUTREACH PROGRAM OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,375.
ALCOHOL & DRUG COUNCIL OF TOMPKINS COUNTY, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,344.
BEVERLY J. MARTIN ENRICHMENT PROGRAM	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,580.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAYUGA LAKE WATERSHED NETWORK	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
CAYUGA MEDICAL CENTER FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
CENTER FOR THE ARTS AT ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
CENTER FOR TRANSFORMATIVE ACTION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	800.
CHALLENGE INDUSTRIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
MARILYNNE SOMMERS	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
JANE GEORGE	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
SUE SHULTS	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
<b>Total from continuation sheets</b>				