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EXTENDED TO NOVEMBER 15, 2017

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

THE ROSAMOND GIFFORD CHARITABLE  
CORPORATION

A Employer identification number

15-0572881

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

100 CLINTON SQ, 126 N SALINA ST

B Telephone number

315-474-2489

City or town, state or province, country, and ZIP or foreign postal code

SYRACUSE, NY 13202

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test,  
check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year  
(from Part II, col (c), line 16)J Accounting method: ☐ Cash ☒ Accrual  
☐ Other (specify)

\$ 18,772,289. (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not  
necessarily equal the amounts in column (a).)(a) Revenue and  
expenses per books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable purposes  
(cash basis only)

1 Contributions, gifts, grants, etc., received

89,134.

N/A

2 Check ☒ if the foundation is not required to attach Sch B3 Interest on savings and temporary  
cash investments

2,297.

2,297.

STATEMENT 1

4 Dividends and interest from securities

657,855.

657,855.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

&lt;454,771.&gt;

b Gross sales price for all  
assets on line 6a 2,911,116.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns  
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

294,515.

660,152.

13 Compensation of officers, directors, trustees, etc

130,864.

91,605.

27,440.

14 Other employee salaries and wages

272,411.

0.

264,992.

15 Pension plans, employee benefits

79,300.

0.

77,917.

16a Legal fees

b Accounting fees

STMT 3

35,890.

0.

34,975.

c Other professional fees

STMT 4

44,058.

40,778.

3,280.

17 Interest

18 Taxes

STMT 5

43,242.

20,477.

22,321.

19 Depreciation and depletion

20 Occupancy

63,458.

0.

63,458.

21 Travel, conferences, and meetings

18,849.

0.

18,771.

22 Printing and publications

23 Other expenses

STMT 6

109,787.

12,143.

94,471.

24 Total operating and administrative  
expenses. Add lines 13 through 23

797,859.

165,003.

607,625.

25 Contributions, gifts, grants paid

627,791.

581,962.

26 Total expenses and disbursements.

Add lines 24 and 25

1,425,650.

165,003.

1,189,587.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

&lt;1,131,135.&gt;

b Net investment income (if negative, enter -0-)

495,149.

c Adjusted net income (if negative, enter -0-)

N/A

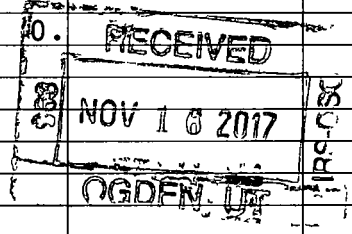
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SCANNED NOV 1 7 2017



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| <b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> |                                                                                                                                                       | Beginning of year | End of year    |                       |
|----------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                                                              |                                                                                                                                                       | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                                                                | 1 Cash - non-interest-bearing                                                                                                                         | 28,893.           | 66,650.        | 66,650.               |
|                                                                                                                                              | 2 Savings and temporary cash investments                                                                                                              | 253,874.          | 360,040.       | 360,040.              |
|                                                                                                                                              | 3 Accounts receivable ▶                                                                                                                               |                   |                |                       |
|                                                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                                               |                   |                |                       |
|                                                                                                                                              | 4 Pledges receivable ▶                                                                                                                                |                   |                |                       |
|                                                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                                               |                   |                |                       |
|                                                                                                                                              | 5 Grants receivable                                                                                                                                   |                   | 10,097.        | 10,097.               |
|                                                                                                                                              | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                                                  |                   |                |                       |
|                                                                                                                                              | 7 Other notes and loans receivable ▶ <b>100,000.</b>                                                                                                  |                   |                |                       |
|                                                                                                                                              | Less: allowance for doubtful accounts ▶ <b>0.</b>                                                                                                     | 100,000.          | 100,000.       | 100,000.              |
|                                                                                                                                              | 8 Inventories for sale or use                                                                                                                         |                   |                |                       |
|                                                                                                                                              | 9 Prepaid expenses and deferred charges                                                                                                               | 8,435.            | 7,413.         | 7,413.                |
|                                                                                                                                              | 10a Investments - U.S. and state government obligations                                                                                               |                   |                |                       |
|                                                                                                                                              | b Investments - corporate stock <b>STMT 7</b>                                                                                                         | 7,823,975.        | 7,033,508.     | 7,033,508.            |
|                                                                                                                                              | c Investments - corporate bonds <b>STMT 8</b>                                                                                                         | 4,548,077.        | 4,323,177.     | 4,323,177.            |
|                                                                                                                                              | 11 Investments - land, buildings, and equipment basis ▶                                                                                               |                   |                |                       |
| Less: accumulated depreciation ▶                                                                                                             |                                                                                                                                                       |                   |                |                       |
| 12 Investments - mortgage loans                                                                                                              |                                                                                                                                                       |                   |                |                       |
| 13 Investments - other <b>STMT 9</b>                                                                                                         | 5,899,135.                                                                                                                                            | 6,871,404.        | 6,871,404.     |                       |
| 14 Land, buildings, and equipment: basis ▶ <b>138,621.</b>                                                                                   |                                                                                                                                                       |                   |                |                       |
| Less: accumulated depreciation <b>STMT 10</b> ▶ <b>138,621.</b>                                                                              |                                                                                                                                                       |                   |                |                       |
| 15 Other assets (describe ▶)                                                                                                                 |                                                                                                                                                       |                   |                |                       |
| 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)                                      | 18,662,389.                                                                                                                                           | 18,772,289.       | 18,772,289.    |                       |
| <b>Liabilities</b>                                                                                                                           | 17 Accounts payable and accrued expenses                                                                                                              | 71,284.           | 94,041.        |                       |
|                                                                                                                                              | 18 Grants payable                                                                                                                                     | 167,413.          | 219,866.       |                       |
|                                                                                                                                              | 19 Deferred revenue                                                                                                                                   |                   |                |                       |
|                                                                                                                                              | 20 Loans from officers, directors, trustees, and other disqualified persons                                                                           |                   |                |                       |
|                                                                                                                                              | 21 Mortgages and other notes payable                                                                                                                  |                   |                |                       |
|                                                                                                                                              | 22 Other liabilities (describe ▶ <b>STATEMENT 11</b> )                                                                                                | 9,324.            | 20,867.        |                       |
| 23 <b>Total liabilities</b> (add lines 17 through 22)                                                                                        | 248,021.                                                                                                                                              | 334,774.          |                |                       |
| <b>Net Assets or Fund Balances</b>                                                                                                           | <b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31</b> |                   |                |                       |
|                                                                                                                                              | 24 Unrestricted                                                                                                                                       | 13,330,368.       | 13,353,515.    |                       |
|                                                                                                                                              | 25 Temporarily restricted                                                                                                                             |                   |                |                       |
|                                                                                                                                              | 26 Permanently restricted                                                                                                                             | 5,084,000.        | 5,084,000.     |                       |
|                                                                                                                                              | <b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31</b>                         |                   |                |                       |
|                                                                                                                                              | 27 Capital stock, trust principal, or current funds                                                                                                   |                   |                |                       |
|                                                                                                                                              | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                                                     |                   |                |                       |
|                                                                                                                                              | 29 Retained earnings, accumulated income, endowment, or other funds                                                                                   |                   |                |                       |
|                                                                                                                                              | 30 <b>Total net assets or fund balances</b>                                                                                                           | 18,414,368.       | 18,437,515.    |                       |
| 31 <b>Total liabilities and net assets/fund balances</b>                                                                                     | 18,662,389.                                                                                                                                           | 18,772,289.       |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                 |   |              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 18,414,368.  |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | <1,131,135.> |
| 3 Other increases not included in line 2 (itemize) ▶ <b>NET UNREALIZED GAIN ON INVESTMENTS</b>                                                                  | 3 | 1,154,282.   |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 18,437,515.  |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.           |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 18,437,515.  |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                              |                                            | (b) How acquired<br>P - Purchase<br>D - Donation                                                                                                                                                                                                                                                         | (c) Date acquired<br>(mo., day, yr.)                                                            | (d) Date sold<br>(mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------|
| <b>1a PUBLICALLY TRADED SECURITIES</b>                                                                                                                                          |                                            | <b>P</b>                                                                                                                                                                                                                                                                                                 |                                                                                                 |                                  |
| <b>b</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>c</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| (e) Gross sales price                                                                                                                                                           | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale                                                                                                                                                                                                                                                          | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                  |
| <b>a</b> 2,911,116.                                                                                                                                                             |                                            | 3,365,887.                                                                                                                                                                                                                                                                                               | <454,771.>                                                                                      |                                  |
| <b>b</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>c</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                     |                                            |                                                                                                                                                                                                                                                                                                          | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                       | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>a</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          | <454,771.>                                                                                      |                                  |
| <b>b</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>c</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                        |                                            |                                                                                                                                                                                                                                                                                                          |                                                                                                 |                                  |
| 2 Capital gain net income or (net capital loss)                                                                                                                                 |                                            | <div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7<br/>           If (loss), enter -0- in Part I, line 7         </div> <div style="margin-left: 10px;">           2         </div> </div> |                                                                                                 | <454,771.>                       |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                            | <div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           3         </div> </div>                                                                                                                                                       |                                                                                                 | N/A                              |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                    | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2015                                                                                                                                                                                                                    | 1,415,729.                               | 19,891,247.                                  | .071173                                                     |
| 2014                                                                                                                                                                                                                    | 1,634,984.                               | 21,638,913.                                  | .075558                                                     |
| 2013                                                                                                                                                                                                                    | 1,463,442.                               | 20,818,632.                                  | .070295                                                     |
| 2012                                                                                                                                                                                                                    | 1,458,081.                               | 19,504,065.                                  | .074758                                                     |
| 2011                                                                                                                                                                                                                    | 1,512,487.                               | 20,150,430.                                  | .075060                                                     |
| 2 Total of line 1, column (d)                                                                                                                                                                                           |                                          |                                              | 2 .366844                                                   |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          |                                          |                                              | 3 .073369                                                   |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                                                          |                                          |                                              | 4 18,195,749.                                               |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             |                                          |                                              | 5 1,335,004.                                                |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            |                                          |                                              | 6 4,951.                                                    |
| 7 Add lines 5 and 6                                                                                                                                                                                                     |                                          |                                              | 7 1,339,955.                                                |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. |                                          |                                              | 8 1,189,587.                                                |

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |         |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |         |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    | 1       | 9,903. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |         |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2       | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3       | 9,903. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4       | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5       | 9,903. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |         |        |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                    | 6a | 17,034. |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |         |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |         |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |         |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 17,034. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |         |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |         |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 7,131.  |        |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> 7,131. Refunded <input type="checkbox"/>                                                                                                 | 11 | 0.      |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               |    |     |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |    | Yes | No |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |    |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        | 1c |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                        |    |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                                 |    |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                | 2  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  | 3  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                | 4a | X   |    |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            | 4b | X   |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          | 5  |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | 6  | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                           | 7  | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>                                                                                                                                                                                                                               |    |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | 8b | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        | 9  |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         | 10 |     | X  |

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**Part VII-A Statements Regarding Activities** *(continued)*

|                                                                                                                                                                                                                                                                                                                                    |    | Yes        | No       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------|----------|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                         | 11 |            | <b>X</b> |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                        | 12 |            | <b>X</b> |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <b>WWW.GIFFORDFOUNDATION.ORG</b>                                                                                                                                                       | 13 | <b>X</b>   |          |
| 14 The books are in care of ► <b>JOHN LORENCE</b> Telephone no. ► <b>315-474-2489</b><br>Located at ► <b>100 CLINTON SQUARE, 126 N. SALINA STREET, 3RD FLOOR</b> ZIP+4 ► <b>13202</b>                                                                                                                                              |    |            |          |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                             | 15 | <b>N/A</b> |          |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16 |            | <b>X</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                     | Yes | No       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|----------|
| <b>1a</b> During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                     |     |          |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                       | <b>N/A</b><br>► <input type="checkbox"/>                            | 1b  |          |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                                 |                                                                     | 1c  | <b>X</b> |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                          |                                                                     |     |          |
| <b>a</b> At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?<br>If "Yes," list the years ► _____, _____, _____                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                 | <b>N/A</b>                                                          | 2b  |          |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.<br>► _____, _____, _____                                                                                                                                                                                                                                                                                                                                                        |                                                                     |     |          |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |          |
| <b>b</b> If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016</i> ) | <b>N/A</b>                                                          | 3b  |          |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                        |                                                                     | 4a  | <b>X</b> |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                       |                                                                     | 4b  | <b>X</b> |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 12     |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000           | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| DIRK SONNEBORN - 100 CLINTON SQUARE, 126 N. SALINA STREET, SYRACUSE, NY | EXEC. DIRECTOR<br>40.00                                   | 130,864.         | 13,086.                                                               | 10,147.                               |
| HEIDI J HOLTZ - 100 CLINTON SQUARE, 126 N. SALINA STREET, SYRACUSE, NY  | PROG DIRECTOR<br>40.00                                    | 75,531.          | 7,553.                                                                | 0.                                    |
| LINDSAY MCCLUNG - 100 CLINTON SQUARE, 126 N. SALINA STREET,             | DIRECTOR OF GRANT MAKING<br>40.00                         | 67,633.          | 6,763.                                                                | 0.                                    |
| SHEENA SOLOMON - 100 CLINTON SQUARE, 126 N. SALINA STREET, SYRACUSE, NY | DIRECTOR OF NEIGHBORHOOD INITIATIVE<br>40.00              | 58,979.          | 5,898.                                                                | 0.                                    |
|                                                                         |                                                           |                  |                                                                       |                                       |
|                                                                         |                                                           |                  |                                                                       |                                       |

**Total** number of other employees paid over \$50,000 ▶ 0

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**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

|                                                                                 |   |
|---------------------------------------------------------------------------------|---|
| <b>Total</b> number of others receiving over \$50,000 for professional services | 0 |
|---------------------------------------------------------------------------------|---|

## Expenses

|                  |                                               |
|------------------|-----------------------------------------------|
| <b>Part IX-B</b> | <b>Summary of Program-Related Investments</b> |
|------------------|-----------------------------------------------|

Amount

|                                     |  |           |
|-------------------------------------|--|-----------|
| <b>Total. Add lines 1 through 3</b> |  | <b>0.</b> |
|-------------------------------------|--|-----------|

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|          |                                                                                                             |           |             |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |             |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 18,424,674. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 48,168.     |
| <b>c</b> | Fair market value of all other assets                                                                       | <b>1c</b> |             |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 18,472,842. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.          |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | 0.          |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 18,472,842. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 277,093.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 18,195,749. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 909,787.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |          |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 909,787. |
| <b>2a</b> | Tax on investment income for 2016 from Part VI, line 5                                                    | <b>2a</b> | 9,903.   |
| <b>b</b>  | Income tax for 2016. (This does not include the tax from Part VI.)                                        | <b>2b</b> | 17.      |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 9,920.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 899,867. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  | 0.       |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 899,867. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  | 0.       |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 899,867. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                   |           |            |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |            |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 1,189,587. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  |            |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                              |           |            |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |            |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |            |
| <b>4</b> | <b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                  | <b>4</b>  | 1,189,587. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 0.         |
| <b>6</b> | <b>Adjusted qualifying distributions</b> Subtract line 5 from line 4                                                              | <b>6</b>  | 1,189,587. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-----------------|
| <b>1</b> Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | <b>899,867.</b> |
| <b>2</b> Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |                 |
| <b>a</b> Enter amount for 2015 only                                                                                                                                               |               |                            | 0.          |                 |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |                 |
| <b>3</b> Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |                 |
| <b>a</b> From 2011                                                                                                                                                                | 520,454.      |                            |             |                 |
| <b>b</b> From 2012                                                                                                                                                                | 522,176.      |                            |             |                 |
| <b>c</b> From 2013                                                                                                                                                                | 444,110.      |                            |             |                 |
| <b>d</b> From 2014                                                                                                                                                                | 634,854.      |                            |             |                 |
| <b>e</b> From 2015                                                                                                                                                                | 434,932.      |                            |             |                 |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 2,556,526.    |                            |             |                 |
| <b>4</b> Qualifying distributions for 2016 from Part XII, line 4: <b>► \$ 1,189,587.</b>                                                                                          |               |                            |             |                 |
| <b>a</b> Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 0.          |                 |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |                 |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |                 |
| <b>d</b> Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 899,867.        |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 289,720.      |                            |             |                 |
| <b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.              |
| <b>6 Enter the net total of each column as indicated below:</b>                                                                                                                   |               |                            |             |                 |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 2,846,246.    |                            |             |                 |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |                 |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |                 |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |                 |
| <b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |                 |
| <b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017                                                               |               |                            |             | 0.              |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |                 |
| <b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 520,454.      |                            |             |                 |
| <b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a                                                                                              | 2,325,792.    |                            |             |                 |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |                 |
| <b>a</b> Excess from 2012                                                                                                                                                         | 522,176.      |                            |             |                 |
| <b>b</b> Excess from 2013                                                                                                                                                         | 444,110.      |                            |             |                 |
| <b>c</b> Excess from 2014                                                                                                                                                         | 634,854.      |                            |             |                 |
| <b>d</b> Excess from 2015                                                                                                                                                         | 434,932.      |                            |             |                 |
| <b>e</b> Excess from 2016                                                                                                                                                         | 289,720.      |                            |             |                 |

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## N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- b** 85% of line 2a

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:**

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c** "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

DIRK SONNEBORN, THE ROSAMOND GIFFORD CHARITABLE CORP., 315-474-2489  
100 CLINTON SQUARE 3RD FLOOR, SYRACUSE, NY 13202

- b** The form in which applications should be submitted and information and materials they should include:

MINIMUM INFORMATION SHEET PROVIDED BY THE CORPORATION.

- c Any submission deadlines:**

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO RESTRICTIONS.

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**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <div>Recipient</div> <div>Name and address (home or business)</div> | <div>If recipient is an individual,<br/>show any relationship to<br/>any foundation manager<br/>or substantial contributor</div> | <div>Foundation<br/>status of<br/>recipient</div> | <div>Purpose of grant or<br/>contribution</div> | <div>Amount</div> |
|---------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-------------------------------------------------|-------------------|
| <b>a</b> <i>Paid during the year</i>                                |                                                                                                                                  |                                                   |                                                 |                   |
| SEE ATTACHED STATEMENT<br>100 CLINTON SQUARE<br>SYRACUSE, NY 13202  | NONE                                                                                                                             | EXEMPT                                            | SEE ATTACHED STATEMENT                          | 581,962.          |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
| <b>Total</b>                                                        |                                                                                                                                  |                                                   | ▶ <b>3a</b>                                     | 581,962.          |
| <b>b</b> <i>Approved for future payment</i>                         |                                                                                                                                  |                                                   |                                                 |                   |
| SEE ATTACHED STATEMENT<br>100 CLINTON SQUARE<br>SYRACUSE, NY 13202  |                                                                                                                                  | EXEMPT                                            | SEE ATTACHED STATEMENT                          | 219,866.          |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
|                                                                     |                                                                                                                                  |                                                   |                                                 |                   |
| <b>Total</b>                                                        |                                                                                                                                  |                                                   | ▶ <b>3b</b>                                     | 219,866.          |

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## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1        | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          | Yes   | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
|          |                                                                                                                                                                                                                                                                                                                                                                                              |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | 1a(1) | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | 1a(2) | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | 1b(3) | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | 1b(4) | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

**PRESIDENT**  
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

|                                                                          |                                                 |                        |                                                 |                          |
|--------------------------------------------------------------------------|-------------------------------------------------|------------------------|-------------------------------------------------|--------------------------|
| Print/Type preparer's name<br><b>PAULA D. DECKMAN</b>                    | Preparer's signature<br><b>PAULA D. DECKMAN</b> | Date<br><b>11/6/17</b> | Check <input type="checkbox"/> if self-employed | PTIN<br><b>P00087828</b> |
| Firm's name ► <b>BONADIO &amp; CO., LLP</b>                              |                                                 |                        | Firm's EIN ► <b>16-1131146</b>                  |                          |
| Firm's address ► <b>432 NORTH FRANKLIN STREET<br/>SYRACUSE, NY 13204</b> |                                                 |                        | Phone no. <b>315-476-4004</b>                   |                          |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| NBT - MONEY MARKET      | 2,297.                      | 2,297.                          |                               |
| TOTAL TO PART I, LINE 3 | 2,297.                      | 2,297.                          |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                        | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| COLONIAL-NBT -<br>FUND INCOME | 657,855.        | 0.                            | 657,855.                    | 657,855.                          |                               |
| TO PART I, LINE 4             | 657,855.        | 0.                            | 657,855.                    | 657,855.                          |                               |

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 35,890.                      | 0.                                |                               | 34,975.                       |
| TO FORM 990-PF, PG 1, LN 16B | 35,890.                      | 0.                                |                               | 34,975.                       |

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT FEES              | 40,778.                      | 40,778.                           |                               | 0.                            |
| CONSULTANTS                  | 3,280.                       | 0.                                |                               | 3,280.                        |
| TO FORM 990-PF, PG 1, LN 16C | 44,058.                      | 40,778.                           |                               | 3,280.                        |

| FORM 990-PF                           | TAXES                        |                                   |                               | STATEMENT                     | 5 |
|---------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                           | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| PAYROLL TAXES                         | 30,440.                      | 7,599.                            |                               | 22,321.                       |   |
| FEDERAL EXCISE<br>TAXES/FOREIGN TAXES | 12,027.                      | 12,103.                           |                               | 0.                            |   |
| NYS FILING FEE                        | 775.                         | 775.                              |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 18           | 43,242.                      | 20,477.                           |                               | 22,321.                       |   |

| FORM 990-PF                                     | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 6 |
|-------------------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                                     | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| ANNUAL REPORT AND OTHER<br>SUPPLIES AND POSTAGE | 3,379.                       | 0.                                |                               | 5,079.                        |   |
| TELEPHONE                                       | 8,498.                       | 5,914.                            |                               | 2,658.                        |   |
| DUES & SUBSCRIPTIONS                            | 8,899.                       | 6,229.                            |                               | 2,679.                        |   |
| INSURANCE                                       | 8,045.                       | 0.                                |                               | 8,045.                        |   |
| MEETINGS                                        | 7,147.                       | 0.                                |                               | 7,753.                        |   |
| MAINTENANCE                                     | 17,526.                      | 0.                                |                               | 17,514.                       |   |
| COMMUNITY EVENTS                                | 11,188.                      | 0.                                |                               | 11,089.                       |   |
| CONTRACTUAL EXPENSES                            | 0.                           | 0.                                |                               | 9,646.                        |   |
| TO FORM 990-PF, PG 1, LN 23                     | 45,105.                      | 0.                                |                               | 30,008.                       |   |
|                                                 | 109,787.                     | 12,143.                           |                               | 94,471.                       |   |

| FORM 990-PF                             | CORPORATE STOCK |                      | STATEMENT | 7 |
|-----------------------------------------|-----------------|----------------------|-----------|---|
| DESCRIPTION                             | BOOK VALUE      | FAIR MARKET<br>VALUE |           |   |
| 1800 FLOWERS COM                        | 0.              | 0.                   |           |   |
| ALERE INC                               | 0.              | 0.                   |           |   |
| ALLEGHANY CORPORATION                   | 0.              | 0.                   |           |   |
| ALLEGHENY TECHNOLOGIES INC              | 0.              | 0.                   |           |   |
| AMG TIMESQUARE SMALL CAP                | 655,199.        | 655,199.             |           |   |
| BUILDERS FIRSTSOURCE                    | 0.              | 0.                   |           |   |
| CGI GROUP INC                           | 0.              | 0.                   |           |   |
| CORNING INC                             | 0.              | 0.                   |           |   |
| DFA US S/C VALUE-SMALL CAP MUTUAL FUNDS | 719,977.        | 719,977.             |           |   |
| ENANTA PHARMACEUTICALS INC              | 0.              | 0.                   |           |   |

|                                         |            |            |
|-----------------------------------------|------------|------------|
| ENDO INTERNATIONAL PLC                  | 0.         | 0.         |
| EXELON CORP                             | 0.         | 0.         |
| FNFV GROUP                              | 0.         | 0.         |
| HEDGE FUNDS                             | 1,011,729. | 1,011,729. |
| INNERWORKINGS INC                       | 0.         | 0.         |
| ISTAR FINANCIAL INC                     | 0.         | 0.         |
| J. ALEXANDER S HOLDINGS INC             | 0.         | 0.         |
| KNOWLES CORPORATION                     | 0.         | 0.         |
| OWENS ILLINOIS INC                      | 0.         | 0.         |
| PFIZER INC                              | 0.         | 0.         |
| RESTORATION HARDWARE HOLDING            | 0.         | 0.         |
| SANDERSON INVESTMENTS                   | 2,410,611. | 2,410,611. |
| SCIENCE APPLICATIONS INTL CORP          | 0.         | 0.         |
| TRI POINTE HOMES INC                    | 0.         | 0.         |
| TRIUMPH GROUP INC                       | 0.         | 0.         |
| VANGUARD 500 INDEX FUND-ADMIN           | 2,235,992. | 2,235,992. |
| WEYERHAEUSER CO REIT                    | 0.         | 0.         |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 7,033,508. | 7,033,508. |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 8 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------------|------------|-------------------|
| COLCHESTER GLOBAL BOND                  | 895,148.   | 895,148.          |
| HARBOR HIGH YIELD                       | 576,612.   | 576,612.          |
| RIDGEWORTH SEIX FLOATING RATE           | 483,943.   | 483,943.          |
| VANGUARD TOTAL BOND MKT FUND            | 1,742,782. | 1,742,782.        |
| WESTERN ASSET CORE BD PORTFOLIO         | 624,692.   | 624,692.          |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 4,323,177. | 4,323,177.        |

|             |                   |           |   |
|-------------|-------------------|-----------|---|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 9 |
|-------------|-------------------|-----------|---|

| DESCRIPTION                            | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|----------------------------------------|------------------|------------|-------------------|
| ABERDEEN EMERGING MARKETS INST         | FMV              | 930,709.   | 930,709.          |
| ABERDEEN INTERNATIONAL EQUITY FUND     | FMV              | 1,765,461. | 1,765,461.        |
| ARMORY SQUARE VENTURES                 | FMV              | 113,101.   | 113,101.          |
| CHAMPLAIN MID CAP FUND                 | FMV              | 1,131,847. | 1,131,847.        |
| CVI CREDIT VALUE FUND B                | FMV              | 417,351.   | 417,351.          |
| CVI CREDIT VALUE FUND III              | FMV              | 431,226.   | 431,226.          |
| DISTRESSED COMPANIES FUND              | FMV              | 241,047.   | 241,047.          |
| FPA MULTI ADVISOR FUND                 | FMV              | 949,918.   | 949,918.          |
| VAN ECK GLOBAL HARD ASSETS             | FMV              | 0.         | 0.                |
| JACKSON SQ LARGE-CAP GROWTH            | FMV              | 890,744.   | 890,744.          |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                  | 6,871,404. | 6,871,404.        |

## FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

| DESCRIPTION                                           | COST OR<br>OTHER BASIS | ACCUMULATED<br>DEPRECIATION | BOOK VALUE |
|-------------------------------------------------------|------------------------|-----------------------------|------------|
| VISUAL BOARD                                          | 1,217.                 | 1,217.                      | 0.         |
| CONFERENCE TABLE                                      | 5,908.                 | 5,908.                      | 0.         |
| 14 CHAIRS                                             | 6,981.                 | 6,981.                      | 0.         |
| STICKLEY FURNITURE                                    | 9,705.                 | 9,705.                      | 0.         |
| COMPUTER SYSTEM                                       | 12,980.                | 12,980.                     | 0.         |
| COMPUTERS                                             | 2,500.                 | 2,500.                      | 0.         |
| 5 LATERAL FILES                                       | 3,370.                 | 3,370.                      | 0.         |
| STICKLEY FURNITURE                                    | 2,000.                 | 2,000.                      | 0.         |
| HURBSON CHAIR                                         | 100.                   | 100.                        | 0.         |
| COMPUTERS                                             | 8,738.                 | 8,738.                      | 0.         |
| STICKLEY FURNITURE                                    | 6,883.                 | 6,883.                      | 0.         |
| STICKLEY FURNITURE                                    | 8,491.                 | 8,491.                      | 0.         |
| SOLVAY GLASS                                          | 228.                   | 228.                        | 0.         |
| STICKLEY FURNITURE                                    | 593.                   | 593.                        | 0.         |
| HURBSON CHAIR                                         | 380.                   | 380.                        | 0.         |
| PHONE SYSTEM                                          | 3,906.                 | 3,906.                      | 0.         |
| STICKLEY FURNITURE                                    | 3,547.                 | 3,547.                      | 0.         |
| STICKLEY FURNITURE                                    | 1,944.                 | 1,944.                      | 0.         |
| SOLVAY GLASS - GLASS TOP                              | 168.                   | 168.                        | 0.         |
| SOLVAY GLASS - CONF TABLE                             | 471.                   | 471.                        | 0.         |
| LAPTOP COMPUTER                                       | 2,376.                 | 2,376.                      | 0.         |
| COMPUTER - DELL PC                                    | 1,057.                 | 1,057.                      | 0.         |
| KITCHEN CABINETS/PLUMBING                             | 4,800.                 | 4,800.                      | 0.         |
| DELL SERVER, BACKUP                                   | 4,896.                 | 4,896.                      | 0.         |
| DELL DESKTOP                                          | 1,250.                 | 1,250.                      | 0.         |
| INSPIRON LAPTOP                                       | 2,150.                 | 2,150.                      | 0.         |
| COMPUTER HARDWARE NETWORKING                          | 547.                   | 547.                        | 0.         |
| STEVENS BRIAN                                         | 1,510.                 | 1,510.                      | 0.         |
| STEVENS JOANNE                                        | 1,999.                 | 1,999.                      | 0.         |
| STEVENS DEPOSIT ON CONFERENCE<br>TABLE                | 9,268.                 | 9,268.                      | 0.         |
| XP UPGRADE                                            | 1,735.                 | 1,735.                      | 0.         |
| XP UPGRADE SERVER SETUP, PC,<br>LAPTOP                | 2,125.                 | 2,125.                      | 0.         |
| STEVENS RECEPTION FURNITURE,<br>CONFERENCE TABLE, ETC | 12,486.                | 12,486.                     | 0.         |
| MICROEDGE                                             | 12,312.                | 12,312.                     | 0.         |
| TOTAL TO FM 990-PF, PART II, LN 14                    | 138,621.               | 138,621.                    | 0.         |

| FORM 990-PF                            | OTHER LIABILITIES | STATEMENT 11 |
|----------------------------------------|-------------------|--------------|
| DESCRIPTION                            | BOY AMOUNT        | EOY AMOUNT   |
| DEFERRED FEDERAL EXCISE TAX            | 9,324.            | 20,867.      |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 9,324.            | 20,867.      |

| FORM 990-PF | PART VIII - LIST OF OFFICERS, DIRECTORS<br>TRUSTEES AND FOUNDATION MANAGERS | STATEMENT 12 |
|-------------|-----------------------------------------------------------------------------|--------------|
|-------------|-----------------------------------------------------------------------------|--------------|

| NAME AND ADDRESS                                                   | TITLE AND<br>AVRG HRS/WK | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|--------------------------------------------------------------------|--------------------------|-------------------|------------------------------|--------------------|
| JAIME ALICEA<br>103 PHILLIPS ROAD<br>SYRACUSE, NY 13214            | VICE PRESIDENT<br>4.00   | 0.                | 0.                           | 0.                 |
| SUNTRANA T. ALLEN<br>754 NORTH SALINA STREET<br>SYRACUSE, NY 13202 | TRUSTEE<br>2.00          | 0.                | 0.                           | 0.                 |
| LAURENCE G. BOUSQUET<br>ONE LINCOLN CENTER<br>SYRACUSE, NY 13202   | TRUSTEE<br>2.00          | 0.                | 0.                           | 0.                 |
| JOSEPH CHARLES<br>5784 WIDEWATERS PARKWAY<br>SYRACUSE, NY 13214    | TREASURER<br>4.00        | 0.                | 0.                           | 0.                 |
| MICHAEL FENG<br>650 JAMES STREET SUITE 302<br>SYRACUSE, NY 13203   | TRUSTEE<br>2.00          | 0.                | 0.                           | 0.                 |
| VINCENT LOVE<br>538 NOTTINGHAM ROAD<br>SYRACUSE, NY 13210          | TRUSTEE<br>2.00          | 0.                | 0.                           | 0.                 |
| GWYN MANNION<br>7665 HUNT LANE<br>FAYETTEVILLE, NY 13066           | TRUSTEE<br>2.00          | 0.                | 0.                           | 0.                 |
| RONALD TASCARELLA<br>214 WEST FIRST STREET<br>OSWEGO, NY 13126     | TRUSTEE<br>2.00          | 0.                | 0.                           | 0.                 |

THE ROSAMOND GIFFORD CHARITABLE CORPORAT

15-0572881

|                                                                                   |                   |    |    |    |
|-----------------------------------------------------------------------------------|-------------------|----|----|----|
| CYNTHIA B. MORROW, MD, MPH<br>200 EGGERS HALL<br>SYRACUSE, NY 13244               | TRUSTEE<br>2.00   | 0. | 0. | 0. |
| M. CATHERINE RICHARDSON<br>ONE LINCOLN CENTER<br>SYRACUSE, NY 13202               | TRUSTEE<br>2.00   | 0. | 0. | 0. |
| MERIKE TREIER<br>115 WEST FAYETTE ST<br>SYRACUSE, NY 13202                        | SECRETARY<br>4.00 | 0. | 0. | 0. |
| CARRIE MAE WEEMS<br>5173 SKYLINE DRIVE<br>SYRACUSE, NY 13215                      | TRUSTEE<br>2.00   | 0. | 0. | 0. |
| BENJAMIN R. WALSH<br>333 W. WASHINGTON STREET, SUITE<br>130<br>SYRACUSE, NY 13202 | PRESIDENT<br>4.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                                      |                   | 0. | 0. | 0. |

| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT | 13 |
|-------------|-----------------------------------------|-----------|----|
|-------------|-----------------------------------------|-----------|----|

ACTIVITY ONE

WHAT IF GRANTS - A PROGRAM DESIGNED TO BE A RESOURCE IN FOSTERING GROWTH IN NEIGHBORHOODS AND STRENGTHENING THE CAPACITY OF COMMUNITY RESIDENTS IN THE CITY OF SYRACUSE WHO ARE FOCUSED ON MAKING POSITIVE CHANGES IN THEIR NEIGHBORHOOD AND INCREASING COMMUNITY PARTICIPATION, AWARENESS AND PARTNERSHIPS. THE PROJECTS SHOULD BE INITIATED OR IMPLEMENTED BY RESIDENTS, GRASSROOTS ORGANIZATIONS OR NEIGHBORHOOD STAKEHOLDERS IN THE SYRACUSE COMMUNITY.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

72,348.

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|             |                                         |              |
|-------------|-----------------------------------------|--------------|
| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT 14 |
|-------------|-----------------------------------------|--------------|

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## ACTIVITY TWO

ADVANS PROGRAM - ADVANCING AND DEVELOPING THE ASSETS AND VALUE OF NONPROFITS IN SYRACUSE IS A CAPACITY BUILDING INITIATIVE DESIGNED TO STRENGTHEN AND DEVELOP AREA NONPROFITS. TEN (10) NONPROFITS ORGANIZATIONS SERVED.

## EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

221,736.

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|                     |
|---------------------|
| GENERAL EXPLANATION |
|---------------------|

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STATEMENT 15

## FORM/LINE IDENTIFIER

FORM 990-PF: CONTROLLED FOREIGN PARTNERSHIP REPORTING

## EXPLANATION:

THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IS NOT DOING SO UNDER THE CONSTRUCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INTEREST IN THE FOLLOWING ENTITY WHICH FILED A CONSTRUCTIVE OWNERS EXCEPTION:

FPA MULTI-ADVISOR FUND, LP  
11601 WILSHIRE BLVD. SUITE 1200  
LOS ANGELES, CA 90025

THAT PARTNERSHIP HAS A DIRECT PARTNERSHIP INTEREST IN THE FOLLOWING WHICH FILED FORM 8865:

GOBI CONCENTRATED PARTNERS, L.P.  
909 MONTGOMERY STREET, SUITE 400  
SAN FRANCISCO, CA 94133

THE TAXPAYER WOULD HAVE HAD TO FILE FORM 8865 FOR ITS INDIRECT OWNERSHIP IN THE FUND LISTED BELOW BUT FOR THIS EXCEPTION.

GOBI CONCENTRATED MASTER FUND LTD.  
PALM GROVE HOUSE, PO BOX 438  
ROAD TOWN, TORTOLA BRITISH VIRGIN ISLANDS

Part XV Line 3a

The Rosamond Gifford Charitable Corp  
**Schedule of Grants**  
 For the Year 2016  
**12/31/16**

|                                                                                 | <u>Relationship</u> | <u>Status</u> | <u>Grants Paid<br/>2016</u> |
|---------------------------------------------------------------------------------|---------------------|---------------|-----------------------------|
| Onondaga Earth Corps - capacity building, consultant (original \$20,000)        | None                | Public        | (2,500 00)                  |
| Spay and Neuter Syracuse - relocation project                                   | None                | Public        | (15,000 00)                 |
| Rahma Health Clinic - neighborhood health fair                                  | None                | Public        | (2,775 00)                  |
| Syracuse United Neighbors - consultant Jeff Grimshaw (original \$3000)          | None                | Public        | (1,625 00)                  |
| Johnson Center - EPIC field trip to Washington DC                               | None                | Public        | (3,500 00)                  |
| Good Life Philanthropic Youth Fdn - Consulting support                          | None                | Public        | (1,500 00)                  |
| PEACE Inc - Leadership Academy                                                  | None                | Public        | (15,000 00)                 |
| SCSD - Building Men Program 2015-16                                             | None                | Public        | (10,000 00)                 |
| JOWONIO School - universal design & communications preschoolers                 | None                | Public        | (13,000 00)                 |
| Syracuse Stage - database purchase                                              | None                | Public        | (15,000 00)                 |
| Atlantic States Legal Fdn - urban tree nursery irrigation system                | None                | Public        | (5,500 00)                  |
| Interfaith Works - City Syr Police - community dialogue project                 | None                | Public        | (15,000 00)                 |
| Refund from Grant 2246 July 2014 - Finger Lakes Musical Theatre                 | None                | Public        | 5,729 00                    |
| Eagle Wings Academy - school needs                                              | None                | Public        | (3,500 00)                  |
| David's Refuge - marketing materials & development                              | None                | Public        | (9,000 00)                  |
| Helping Hounds Dog Rescue Inc - consultant balance                              | None                | Public        | (1,250 00)                  |
| 100 Black Men of Syracuse - consultant balance                                  | None                | Public        | (1,250 00)                  |
| CNY Arts Center - consultant balance                                            | None                | Public        | (1,250 00)                  |
| David's Refuge - consultant balance                                             | None                | Public        | (1,250 00)                  |
| Good Life Philanthropic Youth Fdn Inc - consultant balance                      | None                | Public        | (1,250 00)                  |
| Believe in Syracuse                                                             | None                | Public        | (6,000 00)                  |
| Believe in Syracuse-Paradeia Philanthropy Mgmt-Babette Barker                   | None                | Public        | (2,500 00)                  |
| Cuse Pit Crew                                                                   | None                | Public        | (2,790 00)                  |
| Cuse Pit Crew-MAK Consultant-Mantza Alvarado                                    | None                | Public        | (2,500 00)                  |
| Dance Theater of Syracuse                                                       | None                | Public        | (6,000 00)                  |
| Dance Theater of Syracuse-Consultant-Angela Douglas                             | None                | Public        | (2,500 00)                  |
| Onondaga Earth Corp                                                             | None                | Public        | (6,000 00)                  |
| Onondaga Earth Corp-Consultant-Jeff Grimshaw                                    | None                | Public        | (2,500 00)                  |
| Open Hand Theater-Elizabeth Quilter/Salt of CNY-Patty Weisse                    | None                | Public        | (1,742 50)                  |
| Refuge & Immigrant Self-Empowerment                                             | None                | Public        | (6,000 00)                  |
| Refuge & Immigrant Self-Empowerment-Consultant-Beth Leibnick                    | None                | Public        | (2,500 00)                  |
| What If - Hawley Green Neighbors - welcome                                      | None                | Public        | (5,000 00)                  |
| What If - Near Westside Initiative - Justin Mastrangelo - art cart              | None                | Public        | (5,000 00)                  |
| What If - PGR Foundation - painting with friends and unfriendly behavior        | None                | Public        | (3,800 00)                  |
| What If - Mercy Works - collective display art show                             | None                | Public        | (5,000 00)                  |
| What If - HW Smith PTO - end of year carnival                                   | None                | Public        | (5,000 00)                  |
| What If - New Justice Conflict Resolution Serv - real fathers, men - peace camp | None                | Public        | (1,500 00)                  |
| What If - YWCA - Team Rock - my govt and me                                     | None                | Public        | (5,000 00)                  |
| What If - Believe in Syracuse - syr neighborhood olympics                       | None                | Public        | (2,200 00)                  |
| What If - FORCE - ready syracuse CPR parties                                    | None                | Public        | (3,890 00)                  |
| What If - LIGHT of Syr - 2nd annual eastside community festival                 | None                | Public        | (4,200 00)                  |
| What If - Syracuse Grows - near eastside community garden                       | None                | Public        | (4,000 00)                  |
| What If - SciExcite - tech initiative                                           | None                | Public        | (2,900 00)                  |
| What If - Near Westside Initiative - Summer Music Series - Skiddy Park          | None                | Public        | (2,475 00)                  |
| What If - Light a Candle for Literacy - after school program                    | None                | Public        | (2,000 00)                  |
| What If - Syracuse Grows - Fall workshop, 2017 garden tour                      | None                | Public        | (3,283 00)                  |
| What If - Univ United Methodist Church-Heal the Cuse Dance & Step Competition   | None                | Public        | (5,000 00)                  |
| What If - Syr Elks Lodge Youth Fdn - marching band expansion                    | None                | Public        | (5,000 00)                  |
| What If - Onon Park Assoc - Strathmore speaker series                           | None                | Public        | (2,500 00)                  |
| What If - ESF College Fdn - Visioning Voices Speaker Series                     | None                | Public        | (2,600 00)                  |
| What If - Wacheva Cultural Arts - Dual Method Drum Circle                       | None                | Public        | (2,000 00)                  |
| Catholic Chanties - 2016 Providence dinner - 4 tkts 5/18                        | None                | Public        | (780 00)                    |
| Interfaith Works of CNY - 4 tkts racial justice awards 2/24                     | None                | Public        | (600 00)                    |
| Sarah's Guest House - 4 tkts, 1/2 pg ad for Gala for Giving 4/2                 | None                | Public        | (1,000 00)                  |
| FOCUS Greater Syr - Wisdom Keeper Dr Sharon Brangman                            | None                | Public        | (300 00)                    |
| Nat'l Federation of the Blind - 5/14 bowlathon - tshirts for resale             | None                | Public        | (500 00)                    |
| Leadership of Greater Syr - distinguished community leaders 4/20                | None                | Public        | (750 00)                    |
| Aurora of CNY - musical feast for eyes and ears 5/19                            | None                | Public        | (250 00)                    |
| Fair Housing Council - 5th annual bird houses for fair housing 3/31             | None                | Public        | (250 00)                    |
| Leadership of Greater Syr - 6/23 golf classic                                   | None                | Public        | (550 00)                    |
| Downtown Committee of Syr - good morning progress breakfast                     | None                | Public        | (25 00)                     |
| AccessCNY - SADA annual car show 2/10                                           | None                | Public        | (125 00)                    |
| Landmark theater - 1 tkt gala & phantom                                         | None                | Public        | (225 00)                    |
| Hopeprint - culture event 2016 @ sky armory                                     | None                | Public        | (500 00)                    |
| Onon Historical Assoc - 3 tkts medal breakfast 5/5                              | None                | Public        | (150 00)                    |
| Anse Fdn - 2 tkts celebrating inclusion & achieving independence 4/20           | None                | Public        | (250 00)                    |
| Elmcrest Childrens Center - 2 tkts child & heart awards dinner 4/21             | None                | Public        | (300 00)                    |
| Leukemia & Lymphoma Society - 5/6 dinner for Herm                               | None                | Public        | (250 00)                    |
| Samantan Center - 5 tkts raise the plates awards 4/29                           | None                | Public        | (375 00)                    |
| OnPoint for college - 1/2 table for celebration 2016 4/29                       | None                | Public        | (400 00)                    |
| Longhouse Council - 1 tkt boy scouts boypower event                             | None                | Public        | (250 00)                    |

|                                                                              |      |        |             |
|------------------------------------------------------------------------------|------|--------|-------------|
| Syracuse Stage - 10 tkts for Cora Thomas performers                          | None | Public | (250 00)    |
| Spay & Neuter Syr - 3 tkts 10t annual Oawcasso event                         | None | Public | (108 15)    |
| Syracuse Stage - 2016-17 subscription                                        | None | Public | (572 00)    |
| Vera House - 6/16 recognition/celebration luncheon                           | None | Public | (50 00)     |
| Onondaga Citizens League - 2016 annual meeting                               | None | Public | (360 00)    |
| Crouse Health Foundation - 40th annual tribute evening 9/23                  | None | Public | (600 00)    |
| Downtown Committee of Syr - annual meeting                                   | None | Public | (40 00)     |
| MOST - 2 tickets tiki party                                                  | None | Public | (50 00)     |
| ACR Health - 2 tickets event brte                                            | None | Public | (54 74)     |
| David's Refuge - taste of event                                              | None | Public | (500 00)    |
| NY Funders Alliance - 2016 annual meeting                                    | None | Public | (220 00)    |
| Salvation Army - 45th annual civic celebration luncheon                      | None | Public | (150 00)    |
| Access CNY - 2016 Awards dinner                                              | None | Public | (200 00)    |
| Everson Museum of Art - VOICES collaborative fundraising w Hiscock Legal Aid | None | Public | (300 00)    |
| YWCA - Team Rock -wish upon a star annual gala                               | None | Public | (500 00)    |
| Rescue Mission - 2016 Hope Awards 11/17                                      | None | Public | (1,000 00)  |
| 100 Black Men - sponsor annual banquet                                       | None | Public | (1,800 00)  |
| Onondaga Earth Corps - taste of the world 10/22                              | None | Public | (550 00)    |
| Samantan Center - Pour event 11/5                                            | None | Public | (140 00)    |
| LiteracyCNY - birthday of the century -Colvin endowment fund                 | None | Public | (200 00)    |
| Vera House - 25th annual new beginnings gala                                 | None | Public | (1,260 00)  |
| National Philanthropy Day luncheon - AFPCNY                                  | None | Public | (250 00)    |
| University Hill Corp - annual meeting                                        | None | Public | (40 00)     |
| Syr University - Thurs morning Roundtable                                    | None | Public | (250 00)    |
| Catholic Charities - 2017 Providence Dinner 2 tkts                           | None | Public | (390 00)    |
| Friends of Rosamond Gifford Zoo - Snow Leopard Soiree 1/20/17 4 tkts, ad     | None | Public | (1,155 00)  |
| LiteracyCNY - Scrabblemania 2/3/17 2 tkts                                    | None | Public | (130 00)    |
| Syracuse Auto Dealers Assoc - preview gala supporting AccessCNY              | None | Public | (250 00)    |
| ACC Technical Services - review of Syr Dance Network                         | None | Public | (255 00)    |
| Southside Interfaith CDC - mobile market 2016                                | None | Public | (5,000 00)  |
| loby - fundraising workshop 2/24                                             | None | Public | (2,500 00)  |
| Cazenovia Preservation Fdn - Land Trust accreditation                        | None | Public | (4,000 00)  |
| Oswego College Fdn- scholarships for adult & youth programs                  | None | Public | (2,500 00)  |
| St Vincent de Paul Church - school age classroom revamp                      | None | Public | (2,500 00)  |
| Landmark Theatre - void 2015 support                                         | None | Public | 4,000 00    |
| Landmark Theatre - consulting support                                        | None | Public | (2,500 00)  |
| Tully Action Group - cornerstone park                                        | None | Public | (2,000 00)  |
| Syracuse Model Neighborhood Facility - Susan Burgess strategic planning      | None | Public | (4,000 00)  |
| ALS Assoc of Upstate - Syr walk to defeat ALS                                | None | Public | (1,000 00)  |
| Community Resources for Independent Srs - Doucette consulting                | None | Public | (1,500 00)  |
| Board Development Series - supplies,dinners                                  | None | Public | (2,279 45)  |
| Board Development Series - Three Dog Consulting workshops 3/22-5/12          | None | Public | (25,753 21) |
| Board Development Series - estimated sharing of expenses with CNY CF         | None | Public | 4,590 00    |
| Syracuse Juneteenth - festival 2016                                          | None | Public | (1,000 00)  |
| Storygrowing - logo, domain names, meetings                                  | None | Public | (675 82)    |
| Jubilee Homes - southwest showcase sundays 6/19                              | None | Public | (250 00)    |
| Friends of the Butternut Comm Police Center - 5th annual gala                | None | Public | (200 00)    |
| Image Initiative - annual sisters empowering sisters conference              | None | Public | (500 00)    |
| Syracuse 20/20 Inc - annual contribution                                     | None | Public | (2,000 00)  |
| LiteracyCNY - annual student volunteer recognition reception                 | None | Public | (75 00)     |
| Kitchen Table Talk - hosts - 10 visits Apr/May                               | None | Public | (648 93)    |
| NTL dinners                                                                  | None | Public | (1,080 00)  |
| NTL speakers                                                                 | None | Public | (1,000 00)  |
| Board Development Series - supplies,dinners                                  | None | Public | (500 00)    |
| NTL - Gene Ross graduation desserts                                          | None | Public | (250 00)    |
| Everson Museum - annual summer picnic                                        | None | Public | (2,000 00)  |
| Storygrowing - supplies, meetings                                            | None | Public | (288 80)    |
| Good Life Philanthropic Youth Fdn - support Gala                             | None | Public | (1,500 00)  |
| NTL - photography & balloons for graduation                                  | None | Public | (521 74)    |
| Red House Arts Center - Show Us CNY 16                                       | None | Public | (1,000 00)  |
| On Point for College - staff development support - training in Albany        | None | Public | (500 00)    |
| YMCA - CNY Book Awards 2016                                                  | None | Public | (1,000 00)  |
| CNY Jazz Arts Fdn - 2016 Stage of Nations Blue Rain Ecofest                  | None | Public | (1,000 00)  |
| TLC picnic - gift certificates for prizes                                    | None | Public | (135 00)    |
| CONTACT Community Services - in memory of Nicholas Valentino                 | None | Public | (100 00)    |
| Art Association of Oswego - Art for Youth program                            | None | Public | (500 00)    |
| Catering at the Zoo - 5/25 75 people                                         | None | Public | (2,916 56)  |
| Near Westside Init - multi cultural block party supplies                     | None | Public | (1,500 00)  |
| CancerConnects - compassion and resilience training                          | None | Public | (2,000 00)  |
| Landmark Theatre - consulting support                                        | None | Public | (4,250 00)  |
| Syracuse 20/20 - consulting support                                          | None | Public | (3,000 00)  |
| OnPoint for College - support                                                | None | Public | (500 00)    |
| NWSI block party - supplies                                                  | None | Public | (363 36)    |
| NTL - alumni gathering - catering,setup,cleanup                              | None | Public | (825 00)    |
| Friends of Central Library - Gifford Lecture Series 2016-17                  | None | Public | (2,500 00)  |

ACCESS CNY - in memory of Amelia Greiner  
 Upstate Minority Economic Alliance -startup costs (Allyn Fdn matching)  
 Community Fdn of Herkimer & Oneida - Project Fibonacci 2017 conference  
 Center for Court Innovation - food Near Westside peacemaking project 2017  
 Brady Faith Center - consultant Katie Doucette  
 Friends of Central Library - Gifford Lecture Series 2016-17 (2 of 2)  
 ArtRage Gallery - 2017 what if film series  
 Jim Marshall Farms - 2017 Apr-Oct summer lecture series  
 Hopeprint - refugee alliance meetings support  
 Near Westside Initiative - Nojaim gift cards  
 NTL - Diamond Catering  
 TNT - facilitator training  
 MOST - in memory of Gaylord C Heine (father of Gwyn Mannion)  
 ADVANS 3 - 2015 (5th year)  
 ADVANS 4 - 2015 (3rd year)  
 ADVANS 5 - 2016 (2nd year)  
 Various Grants \$1,500 and under

**Total Grants 12/31/16**

|      |        |              |
|------|--------|--------------|
| None | Public | (150 00)     |
| None | Public | (6,250 00)   |
| None | Public | (250 00)     |
| None | Public | (1,000 00)   |
| None | Public | (1,500 00)   |
| None | Public | (2,500 00)   |
| None | Public | (3,000 00)   |
| None | Public | (500 00)     |
| None | Public | (500 00)     |
| None | Public | (250 00)     |
| None | Public | (461 25)     |
| None | Public | (367 18)     |
| None | Public | (100 00)     |
| None | Public | (4,450 00)   |
| None | Public | (2,662 52)   |
| None | Public | (214,623 16) |
| None | Public | (15,619 05)  |

**(581,962.42)**

## The Rosamond Gifford Charitable Corp

**Schedule of Grants**

For the Year 2016

**12/31/16**

|                                                                              | <u>Relationship</u> | <u>Status</u> | Payable 2016<br>Unpaid Grants<br><u>12/31/16</u> |
|------------------------------------------------------------------------------|---------------------|---------------|--------------------------------------------------|
| Dunbar Assoc - Start Endowment Fund                                          | None                | Public        | 50,000                                           |
| YMCA - downtown accessibility                                                | None                | Public        | 25,000                                           |
| YWCA - repairs Zonta House                                                   | None                | Public        | 7,000                                            |
| Public Broadcasting Council of CNY - WCNY -projector and screen purchase     | None                | Public        | 14,000                                           |
| Jubilee Homes - farm learning center                                         | None                | Public        | 10,000                                           |
| Gifford Board - holiday grants                                               | None                | Public        | 1,000                                            |
| Earlville Opera House - Community Outreach                                   | None                | Public        | 5,000                                            |
| Syr Youth Golf -First Tee Syr - Computers at academic classroom at Butternut | None                | Public        | 10,000                                           |
| United Way of CNY - Work Train Collaborative Continuation                    | None                | Public        | 30,000                                           |
| Board Designated Series - Three Dog Consulting                               | None                | Public        | 3,803                                            |
| Cuse Pit Crew                                                                | None                | Public        | 3,210                                            |
| Open Hand Theater                                                            | None                | Public        | 6,000                                            |
| Open Hand Theater-Elizabeth Quilter/Salt of CNY-Patty Weisse                 | None                | Public        | 758                                              |
| ADVANS 3 - 2015 (5th year)                                                   | None                | Public        | 19,697                                           |
| ADVANS 4 - 2015 (3rd year)                                                   | None                | Public        | 4,631                                            |
| ADVANS 5 - 2016 (2nd year)                                                   | None                | Public        | 29,767                                           |
| <b>Total Grants 12/31/16</b>                                                 |                     |               | <b>219,866 19</b>                                |