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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	101,721	52,390	52,390
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	870,812	907,071	1,044,777
	c	Investments—corporate bonds (attach schedule)	83,584	83,584	86,633
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,056,117	1,043,045	1,183,800	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,056,117	1,043,045	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,056,117	1,043,045	
	31	Total liabilities and net assets/fund balances (see instructions) .	1,056,117	1,043,045	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,056,117
2	Enter amount from Part I, line 27a	2	-13,072
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,043,045
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,043,045

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-1,195
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	32

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	61,902	1,162,206	0 05326
2014	51,495	1,225,128	0 04203
2013	51,985	1,183,926	0 04391
2012	51,857	1,141,554	0 04543
2011	51,308	1,108,866	0 04627
2 Total of line 1, column (d)			0 230902
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 046180
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			
5 Multiply line 4 by line 3			
6 Enter 1% of net investment income (1% of Part I, line 27b)			497
7 Add lines 5 and 6			497
8 Enter qualifying distributions from Part XII, line 4			54,767

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	497
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	497
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	497
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,321
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,321
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	824
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 824 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► http://bartlett.oag.state.ny.us/Char_Forms/	13	Yes	
14	The books are in care of ► PANGIA & CO CPAS LLC Telephone no ► (845) 454-4610			

Located at ► 2 JEFFERSON PLAZA Poughkeepsie NY ZIP+4 ► 12601

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		No
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOHN R CONKLIN PO BOX 174 RHINECLIFF, NY 12574	TRUSTEE 1 00	1,965		
DIETER H RENNHACK 55 CAROL DRIVE PO BOX 89 HOPEWELL JUNCTION, NY 12533	TRUSTEE 1 00	715		
JOHN REGAN 4 PARK AVENUE WAPPINGERS FALLS, NY 12590	TRUSTEE 1 00	1,965		
JEFFREY P ARMSTRONG PO BOX 14 HYDE PARK, NY 12538	TRUSTEE 1 00	1,965		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	497
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	497
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	497
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	497
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	54,767
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	54,767
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	497
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	54,270

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015. 224				
f Total of lines 3a through e.	224			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>54,767</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	54,767			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	54,991			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	54,991			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015. 224				
e Excess from 2016. 54,767				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	50,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	57,735	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					-1,195
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				57,735	-1,195
13	Total. Add line 12, columns (b), (d), and (e).			13		56,540

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

2017-03-13

Signature of officer or trustee

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2347 418 Doubleline Fds Tr	P	2011-06-09	2016-12-05
693 963 Loomis Sayles	P	2010-06-16	2016-12-05
75 Cash in lieu-Ishares Inc Mtg Real Estate	P	2016-11-07	2016-11-07
362 Cash in lieu-California Res Corp	P	2012-08-07	2016-03-24
9 Cash in lieu-California Res Corp	P	2012-08-07	2016-06-01
500 Public Storage	P	2011-09-23	2016-07-26
500 Public Storage	P	2011-09-23	2016-07-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,993		26,080	-1,087
9,993		10,191	-198
32			32
1		1	
12		14	-2
12,555		12,550	5
55			55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,087
			-198
			32
			-2
			5
			55

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Marist College North Road Poughkeepsie, NY 12601	None	n/a	scholarships	34,000
New Paltz Foundation 75 South Manheim Blvd New Platz, NY 12561	None	n/a	general support	4,000
Hospice of Dutchess Cty 70 S Hamilton Street Poughkeepsie, NY 12601	None	n/a	general support	500
The Children's Home 91 Fulton Street Poughkeepsie, NY 12601	None	n/a	general support	1,000
The Bardavon 35 Market Street Poughkeepsie, NY 12601	NONE	N/A	general support	8,000
Jazz Fon 322 W 48th St New York, NY 10036	NONE	n/a	general operations	2,000
Hyde Park Meals on Wheels 1 Church St Hyde Park, NY 12538	NONE	n/a	general operations	500
Total 3a				50,000

TY 2016 Accounting Fees Schedule**Name:** Dr Edwin A Ulrich Charitable Trust**EIN:** 14-6161493**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	7,150	2,383	0	4,767

TY 2016 Investments Corporate Bonds Schedule**Name:** Dr Edwin A Ulrich Charitable Trust**EIN:** 14-6161493**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED INVESTMENT SUMMARY	83,584	86,633

TY 2016 Investments Corporate Stock Schedule**Name:** Dr Edwin A Ulrich Charitable Trust**EIN:** 14-6161493**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED INVESTMENT SUMMARY	907,071	1,044,777

TY 2016 Other Expenses Schedule**Name:** Dr Edwin A Ulrich Charitable Trust**EIN:** 14-6161493**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKERAGE FEES	5,691	5,691		
MISC	161			

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12 31 2016

Description		Inventory Value	Market Value
Bank Accounts			
	Wells Fargo 6610-4478	26,502.23	26,502.23
	Wells Fargo 7003-5345	25,887.59	25,887.59
	Sub Total:	\$ 52,389.82	\$ 52,389.82
Stocks and Funds			
	<u>No. of Shares</u>		
	150.0000 3M	10,985.28	26,785.50
	500.0000 AT&T Inc.	14,077.72	21,265.00
	1,000.0000 Aberdeen Asia Pacific	5,390.00	4,630.00
	500.0000 Advent Claymore Inc.	9,605.00	7,340.00
	300.0000 Altria Group Inc.	7,652.79	20,286.00
	2,298.8940 American Century HY	14,159.77	13,057.71
	300.0000 Blackrock Health & Science	14,229.00	9,525.00
	3,136.9890 Blackrock Income Trust, Inc.	35,872.74	33,458.97
	500.0000 Blackrock Science & technology	9,220.00	8,970.00
	200.0000 Bristol-Myers Squibb	4,456.00	11,688.00
	500.0000 Capital One Fin Corp.	12,500.00	12,555.00
	200.0000 CenturyLink Inc.	7,180.00	4,756.00
	200.0000 Chevron Corporation	23,639.50	23,540.00
	600.0000 City Group 6.3%	15,000.00	15,180.00
	350.0000 Clearbridge Energy	7,511.00	5,446.00
	250.0000 Clough Global Equity	5,792.82	2,782.50
	200.0000 Consolidated Edison Inc.	9,755.62	14,736.00
	600.0000 DNP Select Income Fund	6,588.00	6,138.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets

Dr Edwin A. Ulrich Charitable Trust

As of 12 31 2016

Description		Inventory Value	Market Value
250.0000	Deutsche Bank 7.6% Non-Cum. Preferred	6,250.00	6,240.00
3,046.6640	Doubleline Funds TR	33,920.18	32,355.57
300.0000	EPR PPTYS	17,145.00	21,531.00
200.0000	Eaton Vance Tax Adv.	4,044.00	2,814.00
300.0000	Eli Lilly & Co.	13,088.42	22,065.00
100.0000	Emerson Electric Co.	4,975.00	5,575.00
550.0000	Exxon Mobil	37,140.08	49,643.00
2,171.0830	First Eagle HY	21,878.39	19,604.87
500.0000	First Trust Energy Infrastructure Fd.	10,440.00	9,022.85
1,100.0000	First Trust Enhanced Call Fund	13,366.73	14,861.00
1,126.5180	Franklin Custodian	2,378.15	2,579.72
1,100.0000	Frontier Comm.	10,498.76	3,718.00
150.0000	General Electric	4,034.00	4,740.00
800.0000	Goldman Sachs Pfd.	20,227.54	20,344.00
600.0000	Integrus Energy Group Inc.	15,000.00	15,600.00
121.0000	Ishares FTSE Nareit MTG Reits	7,638.88	5,089.26
1,235.5560	Ivy Fds. Inc.	10,353.95	9,254.31
250.0000	Kayne Anderson Fund	6,497.50	3,975.00
566.0000	Kraft Heinz Co.	30,271.00	49,423.12
300.0000	Lamar Advertising	17,949.00	20,172.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12 31 2016

Description		Inventory Value	Market Value
500.0000	Liberty Property Trust	16,400.00	19,750.00
1,564.4100	Loomis Sayles	24,824.22	22,089.46
1,793.2200	Lord Abbett HY	13,771.93	13,449.15
300.0000	McDonalds Corp.	25,546.37	36,516.00
300.0000	Merck & Co.	11,138.28	17,661.00
200.0000	Middlesex Water Company	3,692.00	8,588.00
400.0000	Morgan Stanley 6.625%	10,055.96	10,216.00
200.0000	New York Community	4,078.00	3,182.00
400.0000	Nextera Energy 5.25%	10,000.00	8,832.00
300.0000	Nextera Energy Inc.	9,580.50	35,838.00
20,000.0000	Northern AZ Univ. Revs.	21,649.00	22,197.40
250.0000	Nuveen Equity Premium	2,787.03	3,600.00
100.0000	Occidental Pete Corp.	8,687.38	7,123.00
1,000.0000	Pfizer, Inc.	19,450.00	32,480.00
150.0000	Philip Morris Int.	12,877.50	13,723.50
1,000.0000	Public Storage 5.9%	24,950.00	24,680.00
225.0000	Rayonier Inc.	3,528.14	5,985.00
1,892.1440	Rivernorth Strategic	20,018.88	19,848.59
250.0000	Royal Dutch Shell PLC.	16,839.25	14,492.50
75.0000	SPDR DOW Jones INDL ETF	13,408.49	14,813.25
1,655.0270	Steelpath MLP FDS TR	20,247.27	14,508.66
600.0000	Tekla Life Sciences Investors	16,914.00	10,194.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12 31 2016

Description		Inventory Value	Market Value
500.0000	The Southern Company	22,335.00	24,595.00
300.0000	Verizon	9,047.00	16,014.00
400.0000	Waste Mgmt. Inc. Del	16,782.98	28,364.00
600.0000	Wells Fargo & Co 6%	15,000.00	15,042.00
500.0000	Wells Fargo & Co. 8% PFD	7,750.00	13,215.00
400.0000	Wells Fargo & Co 5.5%	10,000.00	9,164.00
600.0000	Wells Fargo&co 5.7%	15,000.00	14,196.00
Sub Total:		\$ 907,071.00	\$ 1,041,104.89
Corporate Bonds			
<u>Face Amount</u>			
\$25,000.00	Allstate Bank 5.2% CD Due 4-15-2019	25,000.00	25,870.00
\$25,000.00	CNA Financial 7.25% Notes Due 11/15/2023	25,690.50	29,429.00
\$15,000.00	Coca Cola 8% Bonds Due 9/15/2022	17,553.00	19,178.70
\$15,000.00	GTE 6.84% Bonds Due 4/15/2018	15,340.50	15,828.00
Sub Total:		\$ 83,584.00	\$ 90,305.70
Grand Total:		<u>\$ 1,043,044.82</u>	<u>\$ 1,183,800.41</u>

Summary

Dr Edwin A. Ulrich Charitable Trust

Report dates 01 01 2016 through 12 31 2016

Principal Account

Charges:

Schedule "A" (Principal received)	\$	932,050.04	
Schedule "AA" (Subsequent principal received)	\$	0.00	
Schedule "A-1" (Realized increases on principal)	\$	0.00	
*** CLAIMS UNPAID AT END OF PERIOD ***	\$	0.00	
Total principal charges			\$ 932,050.04

Credits:

Schedule "B" (Realized decreases on principal)	\$	1,349.02	
Schedule "C" (Funeral and administration expenses)	\$	13,003.52	
Schedule "E" (Distributions of principal)	\$	0.00	
*** CLAIMS OWED AT BEGINNING OF PERIOD ***	\$	0.00	
Total principal credits			\$ -14,352.54

Principal balance on hand shown by Schedule "G"	\$	917,697.50
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Income Account

Charges:

Schedule "AA-1" (Income received)	\$	124,067.46	
Schedule "A-2" (Income collected)	\$	57,889.86	
*** CLAIMS UNPAID AT END OF PERIOD ***	\$	0.00	
Total income charges			\$ 181,957.32

Credits:

Schedule "C-2" (Administration expenses)	\$	6,610.00	
Schedule "E-1" (Distributions of income)	\$	50,000.00	
Total income credits			\$ -56,610.00

Balance of undistributed income remaining on hand as shown on Schedule "G-1"	\$	125,347.32
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Combined Accounts

Principal on hand	Cash	\$	-72,957.50	
	Other Property	\$	990,655.00	
	Total			\$ 917,697.50
Income on hand	Cash	\$	125,347.32	
	Other Property	\$	0.00	
	Total			\$ 125,347.32

Summary