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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2016

Open to Public
Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

<p>Name of foundation Dr Edwin A Ulrich Charitable Trust</p> <p>Number and street (or P O box number if mail is not delivered to street address) c/o Pangia Co 2 Jefferson Plaza</p> <p>City or town, state or province, country, and ZIP or foreign postal code Poughkeepsie, NY 12601</p> <p>G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change</p> <p>H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation</p> <p>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 1,183,800</p>		<p>A Employer identification number 14-6161493</p> <p>B Telephone number (see instructions) (845) 229-8562</p> <p>C If exemption application is pending, check here ► <input type="checkbox"/></p> <p>D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p>E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>							
		<p>J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p>							
<p>Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		(a)	Revenue and expenses per books	(b)	Net investment income	(c)	Adjusted net income	(d)	Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)							
	2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B							
	3	Interest on savings and temporary cash investments							
	4	Dividends and interest from securities							
	5a	Gross rents							
	b	Net rental income or (loss)							
	6a	Net gain or (loss) from sale of assets not on line 10							
	b	Gross sales price for all assets on line 6a 47,641							
	7	Capital gain net income (from Part IV, line 2)							
	8	Net short-term capital gain							
	9	Income modifications							
	10a	Gross sales less returns and allowances							
b	Less Cost of goods sold								
c	Gross profit or (loss) (attach schedule)								
11	Other income (attach schedule)								
12	Total. Add lines 1 through 11								
		56,540	57,735	57,767					
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc							
	14	Other employee salaries and wages							
	15	Pension plans, employee benefits							
	16a	Legal fees (attach schedule)							
	b	Accounting fees (attach schedule)							
	c	Other professional fees (attach schedule)							
	17	Interest							
	18	Taxes (attach schedule) (see instructions)							
	19	Depreciation (attach schedule) and depletion							
	20	Occupancy							
	21	Travel, conferences, and meetings							
	22	Printing and publications							
	23	Other expenses (attach schedule)							
	24	Total operating and administrative expenses.							
		19,612	8,074	4,767					
25	Contributions, gifts, grants paid								
		50,000		50,000					
26	Total expenses and disbursements. Add lines 24 and 25								
		69,612	8,074	54,767					
27	Subtract line 26 from line 12								
a	Excess of revenue over expenses and disbursements								
b	Net investment income (if negative, enter -0-)								
c	Adjusted net income (if negative, enter -0-)								

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments		101,721	52,390	52,390
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)		870,812	907,071	1,044,777
	c Investments—corporate bonds (attach schedule)		83,584	83,584	86,633
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► _____)				
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		1,056,117	1,043,045	1,183,800
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► _____)				
	23 Total liabilities (add lines 17 through 22)				0
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted		1,056,117	1,043,045	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)		1,056,117	1,043,045	
	31 Total liabilities and net assets/fund balances (see instructions)		1,056,117	1,043,045	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,056,117
2	Enter amount from Part I, line 27a	2	-13,072
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,043,045
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,043,045

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-1,195	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	61,902	1,162,206	0 05326
2014	51,495	1,225,128	0 04203
2013	51,985	1,183,926	0 04391
2012	51,857	1,141,554	0 04543
2011	51,308	1,108,866	0 04627
2 Total of line 1, column (d)			2 0 230902
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 046180
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 497
7 Add lines 5 and 6			7 497
8 Enter qualifying distributions from Part XIII, line 4			8 54,767

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1	497
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 2b	1	497
c	All other domestic foundations enter 2% of line 2b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	497
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	497
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,321
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	1,321
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	824
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ►	824	Refunded ►
		11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file Form 1120-POL for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____	(2)	On foundation managers ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	Yes	No
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"> • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► http://bartlett oag state ny us/Char_Forms/	13	Yes
14	The books are in care of ► <u>PANGIA & CO CPAS LLC</u>	Telephone no	► (845) 454-4610
Located at ► <u>2 JEFFERSON PLAZA</u> Poughkeepsie NY		ZIP+4 ► <u>12601</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u>		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► <u>20____, 20____, 20____, 20____</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► <u>20____, 20____, 20____, 20____</u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		5b No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b No
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOHN R CONKLIN PO BOX 174 RHINECLIFF, NY 12574	TRUSTEE 1 00	1,965		
DIETER H RENNACK 55 CAROL DRIVE PO BOX 89 HOPEWELL JUNCTION, NY 12533	TRUSTEE 1 00	715		
JOHN REGAN 4 PARK AVENUE WAPPINGERS FALLS, NY 12590	TRUSTEE 1 00	1,965		
JEFFREY P ARMSTRONG PO BOX 14 HYDE PARK, NY 12538	TRUSTEE 1 00	1,965		

3. Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	497
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	497
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	497
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	497
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	54,767
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	54,767
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	497
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	54,270
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.	224			
f Total of lines 3a through e.	224			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 54,767				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	54,767			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	54,991			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	54,991			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.	224			
e Excess from 2016.	54,767			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►				
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years		
b 85% of line 2a	(a) 2016	(b) 2015	(c) 2014	(d) 2013
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed
- b** The form in which applications should be submitted and information and materials they should include
- c** Any submission deadlines
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	57,735	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					-1,195
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e).				57,735	
13 Total. Add line 12, columns (b), (d), and (e).					56,540

(See worksheet in line 13 instructions to verify calculations)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2347 418 Doubleline Fds Tr	P	2011-06-09	2016-12-05
693 963 Loomis Sayles	P	2010-06-16	2016-12-05
75 Cash in lieu-Ishares Inc Mtg Real Estate	P	2016-11-07	2016-11-07
362 Cash in lieu-California Res Corp	P	2012-08-07	2016-03-24
9 Cash in lieu-California Res Corp	P	2012-08-07	2016-06-01
500 Public Storage	P	2011-09-23	2016-07-26
500 Public Storage	P	2011-09-23	2016-07-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
24,993		26,080	-1,087
9,993		10,191	-198
32			32
1		1	
12		14	-2
12,555		12,550	5
55			55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,087
			-198
			32
			-2
			5
			55

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Marist College North Road Poughkeepsie, NY 12601	None	n/a	scholarships	34,000
New Paltz Foundation 75 South Manheim Blvd New Platz, NY 12561	None	n/a	general support	4,000
Hospice of Dutchess Cty 70 S Hamilton Street Poughkeepsie, NY 12601	None	n/a	general support	500
The Children's Home 91 Fulton Street Poughkeepsie, NY 12601	None	n/a	general support	1,000
The Bardavon 35 Market Street Poughkeepsie, NY 12601	NONE	N/A	general support	8,000
Jazz Fon 322 W 48th St New York, NY 10036	NONE	n/a	general operations	2,000
Hyde Park Meals on Wheels 1 Church St Hyde Park, NY 12538	NONE	n/a	general operations	500
Total				50,000
3a				

TY 2016 Accounting Fees Schedule

Name: Dr Edwin A Ulrich Charitable Trust

EIN: 14-6161493

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	7,150	2,383	0	4,767

TY 2016 Investments Corporate Bonds Schedule

Name: Dr Edwin A Ulrich Charitable Trust

EIN: 14-6161493

Software ID: 16000303

Software Version: 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED INVESTMENT SUMMARY	83,584	86,633

TY 2016 Investments Corporate Stock Schedule

Name: Dr Edwin A Ulrich Charitable Trust

EIN: 14-6161493

Software ID: 16000303

Software Version: 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED INVESTMENT SUMMARY	907,071	1,044,777

TY 2016 Other Expenses Schedule

Name: Dr Edwin A Ulrich Charitable Trust**EIN:** 14-6161493**Software ID:** 16000303**Software Version:** 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKERAGE FEES	5,691	5,691		
MISC	161			

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12/31/2016

Description		Inventory Value	Market Value
Bank Accounts			
	Wells Fargo 6610-4478	26,502.23	26,502.23
	Wells Fargo 7003-5345	25,887.59	25,887.59
	Sub Total:	\$ 52,389.82	\$ 52,389.82
Stocks and Funds			
<u>No. of Shares</u>			
150.0000	3M	10,985.28	26,785.50
500.0000	AT&T Inc.	14,077.72	21,265.00
1,000.0000	Aberdeen Asia Pacific	5,390.00	4,630.00
500.0000	Advent Claymore Inc.	9,605.00	7,340.00
300.0000	Altria Group Inc.	7,652.79	20,286.00
2,298.8940	American Century HY	14,159.77	13,057.71
300.0000	Blackrock Health & Science	14,229.00	9,525.00
3,136.9890	Blackrock Income Trust, Inc.	35,872.74	33,458.97
500.0000	Blackrock Science & technology	9,220.00	8,970.00
200.0000	Bristol-Myers Squibb	4,456.00	11,688.00
500.0000	Capital One Fin Corp.	12,500.00	12,555.00
200.0000	CenturyLink Inc.	7,180.00	4,756.00
200.0000	Chevron Corporation	23,639.50	23,540.00
600.0000	City Group 6.3%	15,000.00	15,180.00
350.0000	Clearbridge Energy	7,511.00	5,446.00
250.0000	Clough Global Equity	5,792.82	2,782.50
200.0000	Consolidated Edison Inc.	9,755.62	14,736.00
600.0000	DNP Select Income Fund	6,588.00	6,138.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12/31/2016

Description		Inventory Value	Market Value
250.0000	Deutsche Bank 7.6% Non-Cum. Preferred	6,250.00	6,240.00
3,046.6640	Doubleline Funds TR	33,920.18	32,355.57
300.0000	EPR PPTY'S	17,145.00	21,531.00
200.0000	Eaton Vance Tax Adv.	4,044.00	2,814.00
300.0000	Eli Lilly & Co.	13,088.42	22,065.00
100.0000	Emerson Electric Co.	4,975.00	5,575.00
550.0000	Exxon Mobil	37,140.08	49,643.00
2,171.0830	First Eagle HY	21,878.39	19,604.87
500.0000	First Trust Energy Infrastructure Fd.	10,440.00	9,022.85
1,100.0000	First Trust Enhanced Call Fund	13,366.73	14,861.00
1,126.5180	Franklin Custodian	2,378.15	2,579.72
1,100.0000	Frontier Comm.	10,498.76	3,718.00
150.0000	General Electric	4,034.00	4,740.00
800.0000	Goldman Sachs Pfd.	20,227.54	20,344.00
600.0000	Integrys Energy Group Inc.	15,000.00	15,600.00
121.0000	Ishares FTSE Nareit MTG Reits	7,638.88	5,089.26
1,235.5560	Ivy Fds. Inc.	10,353.95	9,254.31
250.0000	Kayne Anderson Fund	6,497.50	3,975.00
566.0000	Kraft Heinz Co.	30,271.00	49,423.12
300.0000	Lamar Advertising	17,949.00	20,172.00

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12/31/2016

Description		Inventory Value	Market Value
500.0000	Liberty Property Trust	16,400.00	19,750.00
1,564.4100	Loomis Sayles	24,824.22	22,089.46
1,793.2200	Lord Abbett HY	13,771.93	13,449.15
300.0000	McDonalds Corp.	25,546.37	36,516.00
300.0000	Merck & Co.	11,138.28	17,661.00
200.0000	Middlesex Water Company	3,692.00	8,588.00
400.0000	Morgan Stanley 6.625%	10,055.96	10,216.00
200.0000	New York Community	4,078.00	3,182.00
400.0000	Nextera Energy 5.25%	10,000.00	8,832.00
300.0000	Nextera Energy Inc.	9,580.50	35,838.00
20,000.0000	Northern AZ Univ. Revs.	21,649.00	22,197.40
250.0000	Nuveen Equity Premium	2,787.03	3,600.00
100.0000	Occidental Pete Corp.	8,687.38	7,123.00
1,000.0000	Pfizer, Inc.	19,450.00	32,480.00
150.0000	Philip Morris Int.	12,877.50	13,723.50
1,000.0000	Public Storage 5.9%	24,950.00	24,680.00
225.0000	Rayonier Inc.	3,528.14	5,985.00
1,892.1440	Rivernorth Strategic	20,018.88	19,848.59
250.0000	Royal Dutch Shell PLC.	16,839.25	14,492.50
75.0000	SPDR DOW Jones INDL ETF	13,408.49	14,813.25
1,655.0270	Steelpath MLP FDS TR	20,247.27	14,508.66
600.0000	Tekla Life Sciences Investors	16,914.00	10,194.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of 12/31/2016

Description		Inventory Value	Market Value
500.0000	The Southern Company	22,335.00	24,595.00
300.0000	Verizon	9,047.00	16,014.00
400.0000	Waste Mgmt. Inc. Del	16,782.98	28,364.00
600.0000	Wells Fargo & Co 6%	15,000.00	15,042.00
500.0000	Wells Fargo & Co. 8% PFD	7,750.00	13,215.00
400.0000	Wells Fargo & Co 5.5%	10,000.00	9,164.00
600.0000	Wells Fargo & Co 5.7%	15,000.00	14,196.00
Sub Total:		\$ 907,071.00	\$ 1,041,104.89
Corporate Bonds			
<u>Face Amount</u>			
\$25,000.00	Allstate Bank 5.2% CD Due 4-15-2019	25,000.00	25,870.00
\$25,000.00	CNA Financial 7.25% Notes Due 11/15/2023	25,690.50	29,429.00
\$15,000.00	Coca Cola 8% Bonds Due 9/15/2022	17,553.00	19,178.70
\$15,000.00	GTE 6.84% Bonds Due 4/15/2018	15,340.50	15,828.00
Sub Total:		\$ 83,584.00	\$ 90,305.70
Grand Total:		<u>\$ 1,043,044.82</u>	<u>\$ 1,183,800.41</u>

Summary
Dr Edwin A. Ulrich Charitable Trust

Report dates 01/01/2016 through 12/31/2016

Principal Account

Charges:

Schedule "A" (Principal received)	\$	932,050.04
Schedule "AA" (Subsequent principal received)	\$	0.00
Schedule "A-1" (Realized increases on principal)	\$	0.00
*** CLAIMS UNPAID AT END OF PERIOD ***	\$	0.00
Total principal charges	\$	932,050.04

Credits:

Schedule "B" (Realized decreases on principal)	\$	1,349.02
Schedule "C" (Funeral and administration expenses)	\$	13,003.52
Schedule "E" (Distributions of principal)	\$	0.00
*** CLAIMS OWED AT BEGINNING OF PERIOD ***	\$	0.00
Total principal credits	\$	-14,352.54
Principal balance on hand shown by Schedule "G"	\$	917,697.50

Income Account

Charges:

Schedule "AA-1" (Income received)	\$	124,067.46
Schedule "A-2" (Income collected)	\$	57,889.86
*** CLAIMS UNPAID AT END OF PERIOD ***	\$	0.00
Total income charges	\$	181,957.32

Credits:

Schedule "C-2" (Administration expenses)	\$	6,610.00
Schedule "E-1" (Distributions of income)	\$	50,000.00
Total income credits	\$	-56,610.00

Balance of undistributed income remaining on hand as shown on Schedule "G-1"	\$	125,347.32
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Combined Accounts

Principal on hand	Cash	\$	-72,957.50
	Other Property	\$	990,655.00
	Total	\$	917,697.50
Income on hand	Cash	\$	125,347.32
	Other Property	\$	0.00
	Total	\$	125,347.32

Summary