

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation <b>Wabtec Foundation</b>		<b>A Employer identification number</b> 14-1994641
Number and street (or P O box number if mail is not delivered to street address) <b>1001 Air Brake Avenue</b>	Room/suite	<b>B Telephone number (see instructions)</b> 412-825-1000
City or town, state or province, country, and ZIP or foreign postal code <b>Wilmerding, PA 15148</b>		<b>C If exemption application is pending, check here</b> <input type="checkbox"/>
<b>G Check all that apply</b> <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1. Foreign organizations, check here</b> <input type="checkbox"/>
<b>H Check type of organization</b> <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E If private foundation status was terminated under section 507(b)(1)(A), check here</b> <input type="checkbox"/>
<b>I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$</b> 4,318,058.20	<b>J Accounting method:</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	<b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	157.93	157.93		
	<b>4</b> Dividends and interest from securities	94,401.82	94,401.82		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	171,639.63			
	<b>b</b> Gross sales price for all assets on line 6a	934,066.87			
	<b>7</b> Capital gain net income (from Part IV, line 2)		171,639.63		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)	0.00				
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	266,199.38	266,199.38	0.00		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	32,626.23	32,626.23		
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)				
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)				
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	32,626.23	32,626.23	0.00	0.00
	<b>25</b> Contributions, gifts, grants paid	387,977.00			387,977.00
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	420,603.23	32,626.23	0.00	387,977.00	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	(154,403.85)				
<b>b Net investment income</b> (if negative, enter -0-)		233,573.15			
<b>c Adjusted net income</b> (if negative, enter -0-)			0.00		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing	206,517.82	150,116.15	150,116.15
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule) See Att. No. 2	327,563.50	302,528.50	311,352.00
	b Investments—corporate stock (attach schedule) See Att. No. 2	2,211,532.18	2,242,150.95	2,892,316.10
	c Investments—corporate bonds (attach schedule) See Att. No. 2	1,026,438.54	916,724.97	964,273.95
<b>Liabilities</b>	11 Investments—land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ )			
	16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,772,052.04	3,611,520.57	4,318,058.20
	17 Accounts payable and accrued expenses			
	18 Grants payable			
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.00	0.00	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
<b>Net Assets or Fund Balances</b>	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions)	3,772,052.04	3,611,520.57	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	3,772,052.04	3,611,520.57	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,772,052.04
2 Enter amount from Part I, line 27a	2	(154,403.85)
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	3,617,648.19
5 Decreases not included in line 2 (itemize) ▶ See Attached Schedule Number 3.	5	6,127.62
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,611,520.57

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)				(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Publicly Traded Securities</b>				P	Various	Various
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
<b>a</b> 934,066.87		762,427.24	171,639.63			
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any				
<b>a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				<b>2</b>	171,639.63	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	225,855.69	4,442,665.76	0.0508
2014	316,181.04	4,560,907.20	0.0693
2013	266,573.15	4,283,582.91	0.0622
2012	146,047.00	4,016,197.79	0.0364
2011	185,742.69	3,868,948.71	0.0480
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.2667
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> .05334
<b>4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5</b>			<b>4</b> 4,167,648.66
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 222,302.38
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 2,335.73
<b>7 Add lines 5 and 6</b>			<b>7</b> 224,638.11
<b>8 Enter qualifying distributions from Part XII, line 4</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			<b>8</b> 387,977.00

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	2,335	73
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>	2,335	73
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	2,335	73
<b>6</b>	<b>Credits/Payments</b>			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	3,358	84
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	3,358	84
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0	00
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	1,023	11
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> 1,023 <b>11</b> <b>Refunded</b>	<b>11</b>	0	00

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b>		X
<b>b</b>		X
<b>1b</b>		X
<b>c</b>		X
<b>1c</b>		X
<b>2</b>		X
<b>3</b>		X
<b>4a</b>		X
<b>4b</b>		X
<b>5</b>		X
<b>6</b>	X	
<b>7</b>	X	
<b>8a</b>		
<b>8b</b>	X	
<b>9</b>		X
<b>10</b>		X

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ Mary Ellen Cahill Telephone no ▶ 412-825-1000 Located at ▶ 1001 Air Brake Avenue, Wilmerding, PA ZIP+4 ▶ 15148		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule Number 4.				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total number of other employees paid over \$50,000** ☐

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	None	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	None	
2		
All other program-related investments See instructions		
3		
<b>Total.</b> Add lines 1 through 3		0.00

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,048,355.92
<b>b</b>	Average of monthly cash balances	<b>1b</b>	182,759.47
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,231,115.39
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,231,115.39
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	63,466.73
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,167,648.66
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	208,382.43

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	208,382.43
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	2,335.73
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,335.73
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	206,046.70
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	206,046.70
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	206,046.70

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	387,977.00
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	387,977.00
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	2,335.73
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	385,641.27

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				206,046.70
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011				
<b>b</b> From 2012				
<b>c</b> From 2013				2,997.45
<b>d</b> From 2014				94,392.60
<b>e</b> From 2015				10,491.02
<b>f</b> Total of lines 3a through e	107,881.07			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 387,977.00				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2016 distributable amount				206,046.70
<b>e</b> Remaining amount distributed out of corpus	181,930.30			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	289,811.37			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.00		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions		0.00		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0.00	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.00
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	289,811.37			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012				
<b>b</b> Excess from 2013				2,997.45
<b>c</b> Excess from 2014				94,392.60
<b>d</b> Excess from 2015				10,491.02
<b>e</b> Excess from 2016				181,930.30

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0.00
<b>b</b> 85% of line 2a	0.00	0.00	0.00	0.00	0.00
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0.00
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0.00
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0.00	0.00	0.00	0.00	0.00
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					0.00
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0.00
<b>b</b> "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					0.00
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.00
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.00
<b>(3)</b> Largest amount of support from an exempt organization					0.00
<b>(4)</b> Gross investment income					0.00

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Attached Schedule Number 6.

- b** The form in which applications should be submitted and information and materials they should include

See Attached Schedule Number 6.

- c** Any submission deadlines

See Attached Schedule Number 6.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

See Attached Schedule Number 6.

**Part XV** **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
See Attached Schedule Number 5.				389,977.00
<b>Total</b>			▶	<b>3a</b> 389,977.00
<b>b</b> <i>Approved for future payment</i>				
N/A				
<b>Total</b>			▶	<b>3b</b> 0.00

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	157.93	
4	Dividends and interest from securities			14	94,401.82	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	171,639.63	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e).		0.00		266,199.38	0.00
13	Total. Add line 12, columns (b), (d), and (e).				13	266,199.38

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

<p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>		Yes	No
<b>1a(1)</b>			X
<b>1a(2)</b>			X
<b>1b(1)</b>			X
<b>1b(2)</b>			X
<b>1b(3)</b>			X
<b>1b(4)</b>			X
<b>1b(5)</b>			X
<b>1b(6)</b>			X
<b>1c</b>			X

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Raymond J. Butler 5/15/2017 Director  
 Signature of officer or trustee Date Title

<b>Paid Preparer Use Only</b>	Print type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

**Wabtec Foundation**  
**Form 990-PF, Return of Private Foundation**  
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**Year Ending December 31, 2016**  
**Attachment 1.**

**Part I, Analysis of Revenue and Expenses**

**Line 16c., Other professional fees.**

Investment account advisory and management fees

\$32,626 23

**Total, Line 16c., Other professional fees.**

\$32,626 23

Form 990-PF, Return of Private Foundation  
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Attachment 2.

**Part II, Balance Sheets**

**Line 10a. Investments - U.S. and state government obligations**

U S Government Agencies

Municipal Obligations

**Total Line 10a. Investments - U.S. and state government obligations**

**Line 10b. Investments - corporate stock**

Common Equity Securities

Domestic Equity Mutual Funds

International Equity Mutual Funds

**Total Line 10b. Investments - corporate stock**

**Line 10c. Investments - corporate bonds**

Corporate and Foreign Bonds

Taxable Fixed Income Funds

**Total Line 10c. Investments - corporate bonds**

End of Year	
(b) Book Value	(c) Fair Market Value
\$150,030.00	\$154,928.50
152,498.50	156,423.50
<u>\$302,528.50</u>	<u>\$311,352.00</u>
\$1,609,892.92	\$2,178,483.54
317,189.06	404,150.46
315,068.97	309,682.10
<u>\$2,242,150.95</u>	<u>\$2,892,316.10</u>
\$473,390.63	\$520,390.25
443,334.34	443,883.70
<u>\$916,724.97</u>	<u>\$964,273.95</u>

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**Attachment 3.**

**Part III, Analysis of Changes in Net Assets or Fund Balances**

**Line 3. Other increases not included in line 2.**

Increase as a result of miscellaneous investment equity transactions.

\$0.00

**Line 5. Decreases not included in line 2.**

Decrease as a result of miscellaneous investment equity transactions

\$6,127.62



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**Attachment 4.**

**Part VIII, Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**Line 1, List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
William E. Kassling 1001 Air Brake Avenue Wilmerding, PA 15148	Director 1 hour	\$0 00	\$0 00	\$0 00
Lee B. Foster II 1001 Air Brake Avenue Wilmerding, PA 15148	Director 1 hour	\$0 00	\$0 00	\$0 00
Albert J. Neupaver 1001 Air Brake Avenue Wilmerding, PA 15148	Director 1 hour	\$0 00	\$0 00	\$0 00
Scott E. Wahlstrom 1001 Air Brake Avenue Wilmerding, PA 15148	Vice President and Director 1 hour	\$0 00	\$0 00	\$0 00
Raymond T. Betler 1001 Air Brake Avenue Wilmerding, PA 15148	Director 1 hour	\$0 00	\$0 00	\$0 00

**Wabtec Foundation**  
**Form 990-PF, Return of Private Foundation**  
**EIN 14-1994641**  
**Year Ending December 31, 2016**  
**Attachment 5**

**Part XV. Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment.**

<b>Recipient</b> <b>Name and address (home or business)</b>	<b>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</b>	<b>Foundation status of recipient</b>	<b>Purpose of grant or contribution</b>	<b>Amount</b>
a Paid during the year				
United Way of Allegheny County 1250 Penn Avenue Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$109,950 00
YMCA of Greater Pittsburgh 126 Nagel Road Fombell, PA 16123	N/A	Tax exempt	Support	\$10,000 00
UPMC Hillman Cancer Center UPMC Cancer Pavilion, Suite 1B 5150 Centre Avenue Pittsburgh, PA 15232	N/A	Tax exempt	Support	\$9,000 00
Animal Rescue League 6620 Hamilton Ave Pittsburgh, PA 15206	N/A	Tax exempt	Support	\$5,000 00
Strong Woman Strong Girls 2200 Sarah Street, Pittsburgh, PA 15203	N/A	Tax exempt	Support	\$4,608 00
Habitat for Humanity Greater Pittsburgh 212 Yost Blvd Pittsburgh, PA 15221	N/A	Tax exempt	Support	\$3,700 00
Coalition for Christian Outreach 5912 Penn Avenue Pittsburgh PA 15206	N/A	Tax exempt	Support	\$5,000 00
Senator John Heinz History Center George Westinghouse Restricted Fund 1212 Smallman Street Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$18 700 00
Carnegie Mellon University - Engineers With Borders 6 PPG Place 11th Floor Pittsburgh PA 15222	N/A	Tax exempt	Support	\$2 500 00
Carnegie Mellon University 6 PPG Place, 11th Floor Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$1,000 00
University of Maryland College Park Foundation 4191 Samuel Riggs Alumni Center College Park, MD 20742	N/A	Tax exempt	Support	\$325 00
University of Maryland College Park Foundation 4191 Samuel Riggs Alumni Center College Park, MD 20742	N/A	Tax exempt	Support	\$100 00
Scholarship America c/o First National Bank P O Box 240 St Peter, MN 56082	N/A	Tax exempt	Support	\$39 590 00
Scholarship America c/o First National Bank P O Box 240 St Peter, MN 56082	N/A	Tax exempt	Support	\$1 650 00
Greater Pittsburgh Literacy Council 411 Seventh Avenue, Ste 550 Pittsburgh, PA 15219	N/A	Tax exempt	Support	\$2,260 00
YMCA Spartanburg 151 Rubault St Spartanburg, SC 29302	N/A	Tax exempt	Support	\$5,000 00
Carnegie Institute 117 Sandusky Street Pittsburgh, PA 15212	N/A	Tax exempt	Support	\$20,000 00

Wabtec Foundation  
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Attachment 5

**Part XV. Supplementary Information (continued)**

**3. Grants and Contributions Paid During the Year or Approved for Future Payment.**

Recipient					
Name and address (home or business)	If recipient is an individual foundation manager	show any relationship to any substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a. Paid during the year</b>					
Fostering Great Ideas 321 Pimlico Rd Greenville SC 29607	N/A		Tax exempt	Support	\$2,500.00
Pittsburgh Symphony Orchestra 600 Penn Avenue Pittsburgh, PA 15222	N/A		Tax exempt	Support	\$5,000.00
National Safety Council 1025 Connecticut Ave NW #200 Washington DC 20036	N/A		Tax exempt	Support	\$13,250.00
American Heart Association (Heart Ball) 444 Liberty Avenue Ste 1300 Pittsburgh, PA 15222-1207	N/A		Tax exempt	Support	\$10,000.00
American Heart Association 444 Liberty Avenue Ste 1300 Pittsburgh, PA 15222-1207	N/A		Tax exempt	Support	\$9,200.00
United Way of Scotland County PO box 742 Laurensburg, NC 28353	N/A		Tax exempt	Support	\$13,552.00
Family Resources 141 S Highland Avenue Pittsburgh, PA 15206	N/A		Tax exempt	Support	\$2,300.00
UPMC Center for Military Medicine Research Bridgeside Point II 450 Technology Drive Pittsburgh, PA 15219	N/A		Tax exempt	Support	\$10,000.00
Lebanon Valley College 101 N College Avenue Annville, PA 17003	N/A		Tax exempt	Support	\$1,000.00
Roger Williams University One Old Ferry Rd Bristol, RI 02809	N/A		Tax exempt	Support	\$1,000.00
California University of Pennsylvania c/o Dir Of Business and Finance P O Box 668 California PA 15419	N/A		Tax exempt	Support	\$1,000.00
Boys and Girls Club of Erie 1515 E Lake Rd Erie, PA 16511	N/A		Tax exempt	Support	\$5,000.00
Clemson University IPTAY P O Box 1529 Clemson, SC 29633	N/A		Tax exempt	Support	\$1,000.00
Clemson University IPTAY P O Box 1529 Clemson, SC 29633	N/A		Tax exempt	Support	\$250.00
Duke University Box 90581 Durham NC 27708-0581	N/A		Tax exempt	Support	\$850.00
Washington & Jefferson College 60 S Lincoln St Washington, PA 15301	N/A		Tax exempt	Support	\$1,000.00
Komen Race for the Cure - Boise 1409 W Main St, Ste 120 Boise, ID 83702	N/A		Tax exempt	Support	\$2,500.00
Virginia Athletics Foundation University of Virginia / VSAF P O Box 400833 Charlottesville, VA 22904	N/A		Tax exempt	Support	\$625.00

**Part XV. Supplementary Information (continued)**

**3. Grants and Contributions Paid During the Year or Approved for Future Payment.**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a. Paid during the year</b>				
Pittsburgh Chapter of the German American Chamber of Commerce 625 Liberty Avenue, 5th Floor Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$2,500 00
University of Buffalo Foundation, Inc P O Box 900 Buffalo, NY 14226-0900	N/A	Tax exempt	Support	\$100 00
National Kidney Foundation 30 East 33rd Street New York, NY 10016	N/A	Tax exempt	Support	\$2 500 00
Combat Stress (UK) Tynwhitt House Oaklawn Road Oaklawn Road Leatherhead, Surrey KT22 0BX	N/A	Tax exempt	Support	\$1 500 00
Rowan University Foundation 201 Mullica Hill Road Glassboro NJ 08028	N/A	Tax exempt	Support	\$1 000 00
INSEAD - IMEF P O Box 7555 - FDR Station New York New York	N/A	Tax exempt	Support	\$1,000 00
Boy Scouts of America 1325 West Walnut Hill Lane Irving Texas 75015-2079	N/A	Tax exempt	Support	\$1 300 00
Florida East Coast Railway Santa Train, Inc 7411 Fullerton St Ste 300 Jacksonville, FL 32256	N/A	Tax exempt	Support	\$5,000 00
University of Virginia Fund P O Box 400314 Charlottesville, VA 22904-4314	N/A	Tax exempt	Support	\$375 00
KidsVoice 437 Grant St # 700 Pittsburgh, PA 15219	N/A	Tax exempt	Support	\$1 000 00
Wilmerding Community Days Festival 301 Station Street Wilmerding PA 15148	N/A	Tax exempt	Support	\$1,000 00
United Way of Tarrant County 1500 N Main St #200 Fort Worth, TX	N/A	Tax exempt	Support	\$3,220 00
Franciscan University of Steubenville 1235 University Blvd Steubenville, OH 43952	N/A	Tax exempt	Support	\$1 000 00
Clemson University IPTAY P O Box 1529 Clemson, SC 29633	N/A	Tax exempt	Support	\$400 00
North Greenville University 7801 N Tigerville Road Tigerville, SC 29688	N/A	Tax exempt	Support	\$1,000 00
Vision Walk 4203 Spring Forest Road Raleigh NC 27616	N/A	Tax exempt	Support	\$1,000 00
Wesley Spectrum 221 Penn Avenue Wilkinsburg, PA, 15221	N/A	Tax exempt	Support	\$500 00
Franklin & Marshall College PO Box 3003 Lancaster, PA 17604	N/A	Tax exempt	Support	\$1,000 00

**Wabtec Foundation**  
**Form 990-PF, Return of Private Foundation**  
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**Attachment 5**

## Part XV, Supplementary Information (continued)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient				
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
World Affairs Council of Pittsburgh 500 Grant St # 2640 Pittsburgh PA 15219	N/A	Tax exempt	Support	\$500.00
Clarkson University Attn: Development Coordinator 8 Clarkson Avenue, Box 5510 Potsdam, NY 13699	N/A	Tax exempt	Support	\$100.00
University of Dayton 300 College Park Dayton, OH 45469-2961	N/A	Tax exempt	Support	\$1,000.00
EOD Warrior Foundation P O Box 309 Bluemont VA 20135	N/A	Tax exempt	Support	\$5,000.00
National MS Society - Western PA Chapter 1501 Reedsdale St # 105 Cardello Building Pittsburgh PA 15233	N/A	Tax exempt	Support	\$5,000.00
National MS Society - Western PA Chapter 1501 Reedsdale St # 105, Cardello Building Pittsburgh, PA 15233	N/A	Tax exempt	Support	\$1,000.00
The Pittsburgh Promise 1901 Centre Ave #204 Pittsburgh, PA 15219	N/A	Tax exempt	Support	\$5,000.00
The Pittsburgh Promise (Gala) 1901 Centre Ave #204 Pittsburgh, PA 15219	N/A	Tax exempt	Support	\$9,400.00
The Pittsburgh Promise (5 year pledge) 1901 Centre Ave #204 Pittsburgh, PA 15219	N/A	Tax exempt	Support	\$5,000.00
PA Trolley Museum 1 Museum Rd Washington, PA 15301	N/A	Tax exempt	Support	\$4,572.00
Pittsburgh Civic Light Opera The Benedum 719 Liberty Avenue Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$3,500.00
Pittsburgh Zoo & PPG Aquanum 7340 Butler St Pittsburgh PA 15206	N/A	Tax exempt	Support	\$4,100.00

**\$387,977 00**

**Wabtec Foundation**  
**Return of Private Foundations**  
**Form 990-PF**  
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**Year Ending December 31, 2016**

**Attachment 6.**  
**Part XV, Supplementary Information.**

Program Schedule

The Foundation operates on a calendar year end from January 1 through December 31. The Foundation is governed by a Board of Directors. The Board of Directors meet generally five times during the year (February, May, July, October and December) to consider applications for grants. Minutes of the meeting will be taken noting all actions approved by the Board.

Guidelines

The Board of Directors will consider all applications for potential funding. In general, the Board will only consider requests from organizations that meet the requirements found in Section 501(c)(3) of the Internal Revenue Code. If the requesting entity is non U.S., they must be considered a public charity and explain why they meet such definition.

The Foundation does not award grants to:

- Individuals for personal needs
- Religious organizations
- Organizations that have not been certified as tax exempt under Sec. 501(c)(3) of the U.S. Internal Revenue Code (if organization is non U.S., they must be a public charity)
- Economic development
- Conferences, seminars or symposiums
- Travel

Application Procedure and Selection Process

- A completed grant application must be completed and submitted to the Board for consideration at least one week prior to Foundation Board Meeting.
- Applications should include purpose and reasons why Foundation should consider grant.
- Applications should clearly state if requesting organization is tax-exempt.
- The Board will consider each application carefully.
- Consideration will be given to those entities which can demonstrate some connection to the employees at Wabtec.

Applications Should be Forwarded to:

Jennifer Goldstein  
Wabtec Foundation  
1001 Air Brake Avenue  
Wilmerding, PA 15148