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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE LOUIS FEINBERG FOUNDATION (CORP.)		A Employer identification number 13-7135427
Number and street (or P.O. box number if mail is not delivered to street address) C/O BT & CO., LLP 1 PENN PLZ		B Telephone number 212-869-8762
Room/suite 3500		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10119		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,868,563. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		61,384.	61,384.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-82,088.			
b Gross sales price for all assets on line 6a		5,195,812.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-469.	-469.		STATEMENT 2
12 Total. Add lines 1 through 11		-21,173.	60,915.		
13 Compensation of officers, directors, trustees, etc		160,000.	106,672.		53,328.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		20,000.	16,000.		4,000.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		12,968.	8,646.		4,322.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		22,824.	22,198.		626.
24 Total operating and administrative expenses. Add lines 13 through 23		215,792.	153,516.		62,276.
25 Contributions, gifts, grants paid		99,050.			99,050.
26 Total expenses and disbursements. Add lines 24 and 25		314,842.	153,516.		161,326.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-336,015.			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			960,822.	972,521.	972,521.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 7	2,125,860.	1,779,497.	1,791,647.		
	c Investments - corporate bonds STMT 8	101,866.	100,984.	104,395.		
	11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			3,188,548.	2,853,002.	2,868,563.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds	5,912,528.	5,912,528.			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
	29 Retained earnings, accumulated income, endowment, or other funds	-2,723,980.	-3,059,526.			
	30 Total net assets or fund balances	3,188,548.	2,853,002.			
	31 Total liabilities and net assets/fund balances			3,188,548.	2,853,002.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,188,548.
2 Enter amount from Part I, line 27a	2	-336,015.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	469.
4 Add lines 1, 2, and 3	4	2,853,002.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,853,002.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 5,195,812.		5,277,900.	-82,088.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-82,088.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	-82,088.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	202,668.	3,255,504.	.062254
2014	195,554.	3,506,394.	.055771
2013	185,987.	3,606,028.	.051577
2012	225,629.	3,547,852.	.063596
2011	200,802.	3,869,456.	.051894

2 Total of line 1, column (d)	2	.285092
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057018
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,861,261.
5 Multiply line 4 by line 3	5	163,143.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	163,143.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	161,326.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,835.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,835.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,835.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> 3,835. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>BUCHBINDER TUNICK AND CO., LLP</u> Telephone no. ► <u>2126955003</u> Located at ► <u>ONE PENN PLAZA, STE 5335, NEW YORK, NY</u> ZIP+4 ► <u>10119</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		<input type="checkbox"/>
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	15 <u>N/A</u> 16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u> ► <input type="checkbox"/>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL WEISS	DIRECTOR			
C/O BT & CO., LLP, ONE PENN PLAZA, S	10.00	40,000.	0.	0.
NEW YORK, NY 10119				
VICKY WEINER	DIRECTOR			
C/O BT & CO., LLP, ONE PENN PLAZA, S	10.00	40,000.	0.	0.
NEW YORK, NY 10119				
OWEN SCHWARTZ	DIRECTOR			
C/O BT & CO., LLP, ONE PENN PLAZA, S	10.00	40,000.	0.	0.
NEW YORK, NY 10119				
STACY TRUPPNER	DIRECTOR			
C/O BT & CO., LLP, ONE PENN PLAZA, S	10.00	40,000.	0.	0.
NEW YORK, NY 10119				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,074,538.
b	Average of monthly cash balances	1b	830,295.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,904,833.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,904,833.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	43,572.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,861,261.
6	Minimum investment return. Enter 5% of line 5	6	143,063.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	143,063.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	143,063.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	143,063.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	143,063.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	161,326.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	161,326.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	161,326.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				143,063.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			51,244.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 161,326.				
a Applied to 2015, but not more than line 2a			51,244.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				110,082.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				32,981.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

STRICTLY BASED ON THE DISCRETION OF THE TRUSTEES.

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CANCER SOCIETY P.O. BOX 22718 OKLAHOMA CITY, OK 73123	NONE	PUBLIC CHARITY	GENERAL	10,000.
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	2,000.
ANDIAMO BENEFIT MOTORCYCLE RUN 23 HARDENBURG AVENUE HAWORTH, NJ 07641	NONE	PUBLIC CHARITY	GENERAL	2,000.
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON ROAD KANAB, UT 84741	NONE	PUBLIC CHARITY	GENERAL	500.
BIG BROTHERS/BIG SISTERS 230 NORTH 13TH STRET PHILADELPHIA, PA 19107	NONE	PUBLIC CHARITY	GENERAL	5,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				99,050.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	61,384.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-469.	
8 Gain or (loss) from sales of assets other than inventory			18	-82,088.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		-21,173.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13 -21,173.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

N/A

THE LOUIS FEINBERG FOUNDATION(CORP.)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STATEMENT AA	P	VARIOUS	12/31/16
b STATEMENT BB	P	VARIOUS	12/31/16
c STATEMENT BB	P	VARIOUS	12/31/16
d STATEMENT CC	P	VARIOUS	12/31/16
e STATEMENT CC	P	VARIOUS	12/31/16
f STATEMENT DD	P	VARIOUS	12/31/16
g STATEMENT DD	P	VARIOUS	12/31/16
h STATEMENT FF	P	VARIOUS	12/31/16
i STATEMENT FF	P	VARIOUS	12/31/16
j STATEMENT EE	P	VARIOUS	12/31/16
k CAPITAL GAINS DIVIDENDS			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 384,945.		390,623.	-5,678.
b 2,148.		2,105.	43.
c 141,221.		141,556.	-335.
d 4,585.		4,919.	-334.
e 154,177.		196,556.	-42,379.
f 43,836.		51,262.	-7,426.
g 94,619.		74,833.	19,786.
h 25,361.		26,031.	-670.
i 48,961.		57,433.	-8,472.
j 4,294,130.		4,332,582.	-38,452.
k 1,829.			1,829.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-5,678.
b			43.
c			-335.
d			-334.
e			-42,379.
f			-7,426.
g			19,786.
h			-670.
i			-8,472.
j			-38,452.
k			1,829.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-82,088.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

STATEMENT AA

THE LOUIS FEINBERG FOUNDATION (CORP)

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Book Cost	Adj Cost	Cost	ST Gain/Loss	LT Gain/Loss	OR Gain/Loss
3/31/2016		9/1/2015	ISHARES 3-7 YEAR TREASURY B (IEI)	105	13,212.91	12,975.90		12,975.90	237.01		
3/31/2016		10/7/2015	ISHARES 3-7 YEAR TREASURY B (IEI)	125	157.30	155.62		155.62	1.68		
3/31/2016		11/6/2015	ISHARES 3-7 YEAR TREASURY B (IEI)	0.128	16.11	15.63		15.63	0.48		
3/31/2016		12/7/2015	ISHARES 3-7 YEAR TREASURY B (IEI)	0.126	15.85	15.40		15.40	0.45		
3/31/2016		12/31/2015	ISHARES 3-7 YEAR TREASURY B (IEI)	0.128	16.11	15.75		15.75	0.36		
3/31/2016		1/4/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	640	80,535.84	78,725.89		78,725.89	1,809.95		
3/31/2016		1/29/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	188.368	23,703.71	23,586.59		23,586.59	117.12		
5/31/2016		1/29/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	96.632	12,100.06	12,099.83		12,099.83	0.23		
5/31/2016		2/5/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	1.214	152.02	152.91		152.91	(0.89)		
5/31/2016		2/29/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	5	628.09	628.66		628.66	(2.57)		
5/31/2016		3/7/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	1.107	138.61	138.48		138.48	0.13		
5/31/2016		4/7/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	0.119	14.90	15.02		15.02	(0.12)		
5/31/2016		4/29/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	199.928	25,034.58	25,130.11		25,130.11	(95.53)		
5/31/2016	Wash Sale Adj	5/6/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	0.333		0.00		(0.24)	0.24		
5/31/2016		4/29/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	0.072	9.02	9.05		9.05	(0.03)		
5/31/2016		5/6/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	0.333	41.69	42.02	0.24	42.26	(0.57)		
7/29/2016		7/1/2016	ISHARES 3-7 YEAR TREASURY B (IEI)	290	36,899.50	36,927.59		36,927.59	(28.09)		
1/29/2016		10/30/2015	POWERSHARES QQQ NASDAQ 100 (QQQ)	355	36,850.15	40,296.95		40,296.95	(3,446.80)		
4/29/2016		3/31/2016	POWERSHARES QQQ NASDAQ 100 (QQQ)	355	37,565.23	38,828.81		38,828.81	(1,262.58)		
6/30/2016		5/31/2016	POWERSHARES QQQ NASDAQ 100 (QQQ)	350	37,575.06	38,629.10		38,629.10	(1,054.04)		
6/30/2016	Wash Sale Adj	7/29/2016	POWERSHARES QQQ NASDAQ 100 (QQQ)	345		0.00		(1,038.98)	1,038.98		
1/4/2016		10/30/2015	SPDR S&P 500 ETF TR (SPY)	385	77,030.62	80,185.60		80,185.60	(3,154.98)		
7/29/2016		3/31/2016	SPDR S&P 500 ETF TR (SPY)	15	3,248.50	3,087.44		3,087.44	161.06		
Total					384,944.86	391,662.35	0.24	390,623.37	161.06		
					B-5		B-5		B-5		
									(5,678.51)		

STATEMENT BB

THE LOUIS FEINBERG FOUNDATION (CORP)

Close Date	Rec Type	Open Date	Security	Shares Sold	Proceeds	Book Cost	W5 Cost Adj	Cost	ST Gain/Loss	LT Gain/Loss	OR Gain/Loss	Trailing Method
9/29/2016		1/6/2015	VANGUARD TOTAL BOND MARKET (BND)	993.474	83,589.68	82,647.10		82,647.10		942.58		
9/29/2016		4/8/2015	VANGUARD TOTAL BOND MARKET (BND)	0.192	16.15	15.97	0.03	16.00		0.15		
9/29/2016		5/7/2015	VANGUARD TOTAL BOND MARKET (BND)	1.913	160.96	157.78		157.78		3.18		
9/29/2016		6/5/2015	VANGUARD TOTAL BOND MARKET (BND)	2.037	171.39	165.54		165.54		5.85		
9/29/2016		7/8/2015	VANGUARD TOTAL BOND MARKET (BND)	2.001	168.36	163.04		163.04		5.32		
9/29/2016		8/7/2015	VANGUARD TOTAL BOND MARKET (BND)	2.07	174.17	168.71		168.71		5.46		
9/29/2016		9/8/2015	VANGUARD TOTAL BOND MARKET (BND)	2.075	174.59	168.74		168.74		5.85		
9/29/2016		10/7/2015	VANGUARD TOTAL BOND MARKET (BND)	2.014	169.45	164.85		164.85	4.60			
9/29/2016		11/6/2015	VANGUARD TOTAL BOND MARKET (BND)	2.108	177.37	170.45		170.45	6.92			
9/29/2016		12/7/2015	VANGUARD TOTAL BOND MARKET (BND)	2.081	175.09	168.26		168.26	6.83			
9/29/2016		12/30/2015	VANGUARD TOTAL BOND MARKET (BND)	2.213	186.20	178.68		178.68	7.52			
9/29/2016		12/30/2015	VANGUARD TOTAL BOND MARKET (BND)	0.663	55.78	53.53		53.53	2.25			
9/29/2016		2/5/2016	VANGUARD TOTAL BOND MARKET (BND)	2.153	181.15	176.70		176.70	4.45			
9/29/2016		3/7/2016	VANGUARD TOTAL BOND MARKET (BND)	2.046	172.15	167.93		167.93	4.22			
9/29/2016		4/7/2016	VANGUARD TOTAL BOND MARKET (BND)	2.118	178.21	175.81		175.81	2.40			
9/29/2016		5/6/2016	VANGUARD TOTAL BOND MARKET (BND)	2.012	169.28	167.24		167.24	2.04			
9/29/2016		6/7/2016	VANGUARD TOTAL BOND MARKET (BND)	2.074	174.51	172.76		172.76	1.75			
9/29/2016		7/8/2016	VANGUARD TOTAL BOND MARKET (BND)	1.986	167.10	168.18		168.18	(1.08)			
9/29/2016		8/5/2016	VANGUARD TOTAL BOND MARKET (BND)	2.019	169.87	169.64		169.64	0.23			
9/29/2016		9/8/2016	VANGUARD TOTAL BOND MARKET (BND)	1.751	147.33	146.43		146.43	0.90			
9/29/2016	Wash Sale Adj	9/8/2016	VANGUARD TOTAL BOND MARKET (BND)	0.287		0.00		(0.16)	0.16			
9/29/2016		9/8/2016	VANGUARD TOTAL BOND MARKET (BND)	0.287	24.15	24.00	0.16	24.16	(0.01)			
9/29/2016		2/2/2012	DOUBLELINE TOTAL RETURN BON (DBLT)	2,045.126	22,405.11	22,796.03		22,796.03	(390.92)			
9/29/2016		2/22/2012	DOUBLELINE TOTAL RETURN BON (DBLT)	1,565.459	17,150.18	17,588.45		17,588.45	(438.27)			
9/29/2016		6/19/2012	DOUBLELINE TOTAL RETURN BON (DBLT)	204.05	2,235.44	2,313.44		2,313.44	(78.00)			
9/29/2016		10/16/2012	DOUBLELINE TOTAL RETURN BON (DBLT)	188.41	2,064.10	2,171.87		2,171.87	(107.77)			
9/29/2016		1/25/2013	DOUBLELINE TOTAL RETURN BON (DBLT)	131.239	1,437.77	1,517.50		1,517.50	(79.73)			
9/29/2016		4/3/2013	DOUBLELINE TOTAL RETURN BON (DBLT)	201.539	2,207.94	2,315.50		2,315.50	(107.56)			
9/29/2016		10/22/2013	DOUBLELINE TOTAL RETURN BON (DBLT)	479.098	5,248.69	5,313.24		5,313.24	(64.55)			
9/29/2016		4/1/2014	DOUBLELINE TOTAL RETURN BON (DBLT)	226.9	2,485.78	2,494.94		2,494.94	(9.16)			
9/29/2016		7/1/2014	DOUBLELINE TOTAL RETURN BON (DBLT)	139.71	1,530.57	1,558.02		1,558.02	(27.45)			
Total				143,368.52	143,660.33	143,660.36	0.19	143,660.36	43.18	(335.02)		
				B-5		sum of		(291.84)		B-5		

B-5

STATEMENT CC

THE LOUIS FEINBERG FOUNDATION(CORP.)

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
500.00	ALIBABA GROUP HOLDING LT	9/19/14	3/30/16	39,349.14	47,167.50	(7,818.36) LT
2,838.00	IVY ASSET STRATEGY FD C	4/13/12	3/31/16	56,391.05	69,417.49	(13,026.44) LT
1.00	IVY ASSET STRATEGY FD C	12/13/12	3/31/16	19.86	24.54	(4.68) LT
79.00	IVY ASSET STRATEGY FD C	12/13/12	3/31/16	1,569.73	1,962.36	(392.63) LT
1.00	IVY ASSET STRATEGY FD C	12/12/13	3/31/16	19.87	30.11	(10.24) LT
1.00	IVY ASSET STRATEGY FD C	12/11/14	3/31/16	19.87	25.57	(5.70) LT
3.00	IVY ASSET STRATEGY FD C	12/11/14	3/31/16	59.61	74.39	(14.78) LT
1.00	IVY ASSET STRATEGY FD C	12/11/14	3/31/16	19.87	24.80	(4.93) LT
658.00	IVY ASSET STRATEGY FD C	12/11/14	3/31/16	13,074.47	16,318.40	(3,243.93) LT
0.7410	IVY ASSET STRATEGY FD C	12/10/15	3/31/16	14.72	17.89	(3.17) ST
230.00	IVY ASSET STRATEGY FD C	12/10/15	3/31/16	4,570.11	4,901.30	(331.19) ST
4,829.00	INVESCO UNIT TRS UNIT	1/2/15	4/6/16	40,444.90	47,806.02	(7,361.12) LT
300.00	TARGA RESOURCES PARTNERS	5/1/13	2/17/16	3,208.50	13,705.26	(10,496.76) LT
TOTALS						
	SHORT-TERM			4,584.83	4,919.19	(334.36)
	LONG-TERM			154,176.87	196,556.44	(42,379.57)
	TOTAL			158,761.70	201,475.63	(42,713.93)

STATEMENT DD

THE LOUIS FEINBERG FOUNDATION(CORP.)

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1	CALL LLY JAN 00090.00	1/19/2016	8/24/2015	216.99	-	216.99 ST
3	CALLAZN JAN 00035 00	1/19/2016	10/26/2015	89.99	-	89.99 ST
3	CALL COP JAN 00060.00	1/19/2016	9/8/2015	149.99	-	149.99 ST
2	CALL OXY JAN 00090.00	1/19/2016	5/19/2015	129.99	-	129.99 ST
2	CALL D JAN 00075.00	1/19/2016	7/21/2015	109.99	-	109.99 ST
1	CALL JPM FEB 00075 00	1/19/2016	11/18/2015	31.99	2.00	29.99 ST
5	CALL INTC JAN 00033 00	1/12/2016	7/28/2015	210.44	235.00	(24.56) ST
1	CALL KMB JAN 00125 00	1/8/2016	7/21/2015	44.99	250.00	(205.01) ST
2	CALL ABBV JAN 00075.00	1/19/2016	5/19/2015	289.99	-	289.99 ST
3	CALLC JAN 00062.50	1/19/2016	5/12/2015	237.32	-	237.32 ST
5	CALL SE JAN 00037.00	1/19/2016	6/23/2015	199.99	-	199.99 ST
1	CALL PM JAN 00090 00	1/13/2016	3/24/2015	64.99	26.00	38.99 ST
2	CALL VTR FEB 00060.00	2/22/2016	10/26/2015	89.99	-	89.99 ST
2	CALL KO FEB 00045.00	2/22/2016	7/28/2015	61.99	-	61.99 ST
1	CALL MCD MAR 00115 00	2/25/2016	10/20/2015	93.99	360.00	(266.01) ST
300	CONOCOPHILLIPS	9/8/2015	2/5/2016	9,875.70	14,478.00	(4,602.30) ST
150	ELI LILLY & CO	6/20/2014	2/18/2016	11,139.86	9,241.96	1,897.90 LT
1	CALL DLR APR 00080.00	3/4/2016	11/18/2015	49.99	290.00	(240.01) ST
1	CALL MO MAR 00065.00	3/21/2016	10/20/2015	59.99	-	59.99 ST
2	CALL OXY2 MAY 00082.50	3/1/2016	2/19/2016	91.99	80.00	11.99 ST
1	CALL CMCSA APR 00067.50	3/16/2016	10/20/2015	139.99	2.50	137.49 ST
11	CALIFORNIA RESOURCES	2/4/2013	2/29/2016	6.13	16.38	(10.25) LT
3	CALIFORNIA RESOURCES	12/4/2014	2/29/2016	1.67	4.38	(2.71) LT
0.0641	CALIFORNIA RESOURCES	12/4/2014	2/29/2016	0.03	0.09	(0.06) LT
6	CALIFORNIA RESOURCES	9/2/2015	2/29/2016	3.34	7.37	(4.03) ST
0.9359	CALIFORNIA RESOURCES	9/2/2015	2/29/2016	0.55	1.21	(0.66) ST
2	CALL CTL APR 00032.00	4/7/2016	10/26/2015	99.99	150.00	(50.01) ST
1	CALLAZN APR 00035 00	4/18/2016	10/20/2015	54.99	-	54.99 ST
1	CALL CTL OCT 00037 .00	4/8/2016	4/7/2016	60.00	75.00	(15.00) ST
2	CALL VZ JUL 00050 00	4/5/2016	11/18/2015	79.99	820.00	(740.01) ST
2	CALL PCAR MAY 00056.10	4/27/2016	11/30/2015	269.99	940.00	(670.01) ST
1	CALL PG APR 00082 50	4/7/2016	11/18/2015	44.99	99.00	(54.01) ST
25	AMN ELEC POWER CO	11/12/2013	4/6/2016	1,634.71	1,190.08	444.63 LT
50	KIMBERLY CLARK	2/4/2013	4/6/2016	6,804.41	4,288.23	2,516.18 LT
25	LOCKHEED MARTIN CORP	1/13/2014	4/6/2016	5,650.94	3,805.69	1,845.25 LT
25	THOMSON REUTERS CORP	3/15/2013	4/6/2016	1,000.23	807.90	192.33 LT

STATEMENT DD

THE LOUIS FEINBERG FOUNDATION(CORP.)

25 VENTAS INC	1/2/2013	4/6/2016	1,559.47	1,425.01	134.46	LT
1 CALL TRI JUL 00040.00	5/11/2016	2/19/2016	49.99	190.00	(140.01)	ST
2 CALL ADP MAY 00095.00	5/5/2016	10/20/2015	279.69	14.00	265.69	ST
2 CALL AEP MAY 00065.00	5/23/2016	10/20/2015	100.89	-	100.89	ST
50 ALTRIA GROUP INC	9/16/2011	5/5/2016	3,136.18	1,343.75	1,792.43	LT
100 ALTRIA GROUP INC	2/4/2013	5/5/2016	6,272.36	3,395.00	2,877.36	LT
50 ALTRIA GROUP INC	4/6/2016	5/5/2016	3,136.18	3,156.50	(20.32)	ST
150 AUTOMATIC DATA PROC	11/19/2013	5/5/2016	13,110.18	10,462.14	2,648.04	LT
50 AUTOMATIC DATA PROC	10/3/2014	5/5/2016	4,370.06	3,719.56	650.50	LT
1 CALLJPM JUN 00067.50	6/20/2016	2/22/2016	43.99	-	43.99	ST
3 CALLC JUN 00047.50	6/20/2016	3/7/2016	233.99	-	233.99	ST
1 CALL DLR OCT 00085.00	6/8/2016	3/4/2016	299.99	1,710.00	(1,410.01)	ST
1 CALL PM JUN 00097.50	6/17/2016	1/13/2016	87.93	242.00	(154.07)	ST
5 CALL SE JUN 00034.00	6/17/2016	2/19/2016	199.99	50.00	149.99	ST
1 CALL MCD JUN 00130.00	6/20/2016	2/25/2016	64.99	-	64.99	ST
2 CALL PPL JUL 00037.00	6/3/2016	11/30/2015	79.99	480.00	(400.01)	ST
2 CALL VTR AUG 00060.00	5/31/2016	2/23/2016	199.99	1,390.00	(1,190.01)	ST
1 CALL DLR OCT 00100.00	7/6/2016	4/7/2016	104.99	1,390.00	(1,285.01)	ST
2 CALL SE SEP 00034.00	7/8/2016	4/7/2016	59.99	590.00	(530.01)	ST
1 CALLXOM JUL 00090.00	7/6/2016	2/19/2016	129.99	355.00	(225.01)	ST
2 CALL TD JUL 00042.50	7/7/2016	2/19/2016	89.99	90.00	(0.01)	ST
33 CALL PFE SEP 00033.00	7/8/2016	3/7/2016	125.99	930.00	(804.01)	ST
1 CALL KMB JUL 00135.00	7/6/2016	1/8/2016	299.99	255.00	44.99	ST
1 CALL KHC JUL 00085.00	7/6/2016	3/7/2016	69.99	450.00	(380.01)	ST
1 CALL CMCSA JUL 00065.00	7/6/2016	3/16/2016	77.49	80.00	(2.51)	ST
1 CALLCTL JUL 00036.00	7/18/2016	4/7/2016	36.99	-	36.99	ST
3 CALLAZN JUL 00032.50	7/18/2016	3/7/2016	119.99	-	119.99	ST
3 CALLAZN JUL 00032.50	7/18/2016	4/7/2016	128.99	-	128.99	LT
5 CALL INTC JUL 00037.00	7/18/2016	1/12/2016	314.99	-	314.99	ST
1 CALLABV AUG 00070.00	7/14/2016	4/7/2016	43.99	21.00	22.99	ST
2 CALLABV AUG 00065.00	7/14/2016	2/23/2016	236.49	260.00	(23.51)	ST
1 CALLXOM OCT 00092.50	7/26/2016	4/7/2016	65.99	241.00	(175.01)	ST
1 CALLXOM JAN 00105.00	7/26/2016	7/6/2016	38.99	31.19	7.80	ST
100 ABBVIE INC SHS	1/7/2013	7/14/2016	6,387.65	3,467.94	2,919.71	LT
150 ABBVIE INC SHS	2/4/2013	7/14/2016	9,581.49	5,573.99	4,007.50	LT
50 ABBVIE INC SHS	4/6/2016	7/14/2016	3,193.84	2,969.38	224.46	ST
125 EXXON MOBIL CORP COM	2/5/2016	7/26/2016	11,463.97	9,886.90	1,577.07	LT
75 EXXON MOBIL CORP COM	4/6/2016	7/26/2016	6,878.39	6,214.49	663.90	ST

STATEMENT DD

THE LOUIS FEINBERG FOUNDATION(CORP.)

1 CALL OXY AUG 00077.50	8/22/2016	4/7/2016	105.99	-	105.99 ST
1 CALL AZN JAN 00033.75	8/11/2016	7/7/2016	52.99	230.00	(177.01) ST
1 CALL PCAR AUG 00060.00	8/22/2016	4/7/2016	64.99	-	64.99 ST
2 CALL OXY AUG 00085.00	8/22/2016	3/1/2016	109.99	-	109.99 ST
100 ASTRAZENECA PLC SPND ADR	12/10/2014	8/10/2016	3,367.32	3,535.91	(168.59) LT
25 INTL BUSINESS MACHINES	5/5/2016	8/10/2016	4,063.97	3,669.77	394.20 ST
75 METLIFE INC COM	7/14/2016	8/10/2016	3,053.93	3,152.02	(98.09) ST
25 PHILIP MORRIS INTL INC	9/16/2011	8/10/2016	2,483.95	1,716.50	767.45 LT
25 PFIZER INC	2/18/2016	8/10/2016	878.73	747.25	131.48 ST
44 ROYAL DUTCH SHELL PLC	7/26/2016	8/10/2016	2,265.95	2,477.49	(211.54) ST
2 CALL VTR NOV 00070.00	9/6/2016	5/31/2016	259.99	940.00	(680.01) ST
1 CALL ORCL SEP 00045.00	9/19/2016	4/7/2016	47.99	-	47.99 ST
3 CALL ORCL SEP 00043.00	9/19/2016	3/7/2016	221.99	-	221.99 ST
2 CALL VTR FEB 00080.00	9/13/2016	9/6/2016	199.99	120.00	79.99 ST
1 CALL JPM SEP 00067.50	9/19/2016	4/7/2016	33.99	-	33.99 ST
2 CALL SEP 00047.50	9/19/2016	4/7/2016	151.99	-	151.99 ST
1 CALL DLR JAN 00110.00	9/13/2016	6/8/2016	224.99	75.00	149.99 ST
1 CALL TRI OCT 00045.00	10/24/2016	5/11/2016	29.99	-	29.99 ST
2 CALL PPL OCT 00041.00	10/24/2016	4/7/2016	73.99	-	73.99 ST
1 CALL KHC OCT 00090.00	10/24/2016	4/7/2016	49.99	-	49.99 ST
1 CALL IBM OCT 00175.00	10/24/2016	5/5/2016	54.99	-	54.99 ST
1 CALL FDX OCT 00180.00	10/24/2016	4/7/2016	319.99	-	319.99 ST
1 CALL CMCSA OCT 00067.50	10/24/2016	4/7/2016	109.99	-	109.99 ST
2 CALL CTL OCT 00037.00	10/24/2016	4/7/2016	119.99	-	119.99 ST
2 CALL D OCT 00080.00	10/24/2016	3/7/2016	125.99	-	125.99 ST
1 CALL D OCT 00080.00	10/24/2016	4/1/2016	54.99	-	54.99 ST
1 CALL VZ OCT 00057.50	10/24/2016	4/7/2016	30.99	-	30.99 ST
1 CALL INTC OCT 00035.00	10/20/2016	4/7/2016	64.99	68.00	(3.01) ST
1 CALL PCAR NOV 00062.50	11/21/2016	9/12/2016	42.99	-	42.99 ST
2 CALL PCAR NOV 00065.00	11/21/2016	4/27/2016	399.99	-	399.99 ST
1 CALL CTL JAN 00034.00	11/3/2016	7/19/2016	45.99	10.00	35.99 ST
2 CALL CTL APR 00031.00	11/3/2016	10/26/2016	102.05	40.00	62.05 ST
2 CALL AEP NOV 00070.00	11/21/2016	5/25/2016	109.99	-	109.99 ST
5 CALL GE NOV 00034.00	11/21/2016	4/7/2016	184.99	-	184.99 ST
275 CENTURYLINK INC SHS	2/9/2015	11/3/2016	6,519.05	10,951.33	(4,432.28) LT
25 CENTURYLINK INC SHS	4/6/2016	11/3/2016	592.65	806.75	(214.10) ST
2 CALL PFE DEC 00038.00	12/19/2016	4/7/2016	63.99	-	63.99 ST

TOTALS

STATEMENT DD

THE LOUIS FEINBERG FOUNDATION(CORP.)

SHORT-TERM	43,836	51,262	(7,426)
LONG-TERM	94,619	74,833	19,786
TOTAL	<u>131,472</u>	<u>126,095</u>	<u>12,360</u>

STATEMENT FF

THE LOUIS FEINBERG FOUNDATION(CORP.)

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1	BAIDU INC SPON ADR	1/17/2014	1/13/2015	170.98	171.70	(0.72) LT
2	BAIDU INC SPON ADR	1/17/2014	1/13/2015	341.97	320.54	21.43 LT
1	BAIDU INC SPON ADR	1/17/2014	1/23/2015	171.71	160.27	11.44 LT
2	BAIDU INC SPON ADR	1/17/2014	1/23/2015	341.97	387.36	(45.39) ST
2	BAIDU INC SPON ADR	1/28/2014	1/13/2015	343.42	387.36	(43.94) ST
48	ICICI BANK LTD SPD ADR	2/18/2014	1/13/2015	330.64	342.93	(12.29) LT
68	ICICI BANK LTD SPD ADR	3/24/2014	1/13/2015	478.37	485.82	(7.45) LT
930	MITSUBISHI UFJ FINL GRP	4/22/2014	1/13/2015	5,354.28	6,630.90	(1,276.62) ST
105	MITSUBISHI UFJ FINL GRP	5/2/2014	1/13/2015	604.52	678.11	(73.59) ST
1	NXP SEMICONDUCTORS N.V	7/22/2014	1/13/2015	78.20	56.58	21.62 LT
2	NXP SEMICONDUCTORS N.V.	8/1/2014	1/13/2015	152.82	113.16	39.66 LT
9	NXP SEMICONDUCTORS N V	10/3/2014	1/13/2015	703.86	521.10	182.76 LT
5	NXP SEMICONDUCTORS N.V.	10/21/2014	1/13/2015	382.05	289.50	92.55 LT
14	TEVA PHARMACTCL INDS ADR	11/6/2014	1/13/2015	898.77	811.72	87.05 LT
11	TEVA PHARMACTCL INDS ADR	2/26/2014	1/23/2015	703.26	637.78	65.48 LT
4	ACE LIMITED	1/17/2014	1/13/2015	443.65	389.16	54.49 LT
9	ACE LIMITED	1/17/2014	1/23/2015	996.87	875.61	121.26 LT
15	CANADIAN PACIFIC RAILWAY	1/17/2014	1/23/2015	1,707.58	2,236.05	(528.47) LT
9	CANADIAN PACIFIC RAILWAY	1/17/2014	1/23/2015	1,024.55	1,414.16	(389.61) LT
1	CANADIAN PACIFIC RAILWAY	1/17/2014	1/23/2015	113.58	157.13	(43.55) LT
15	CANADIAN PACIFIC RAILWAY	1/17/2014	1/23/2015	1,703.80	2,264.40	(560.60) LT
1	CANADIAN PACIFIC RAILWAY	2/18/2014	1/23/2015	113.59	157.63	(44.04) ST
17	INGERSOLL-RAND PLC	3/24/2014	1/23/2015	832.45	996.69	(164.24) LT
19	INGERSOLL-RAND PLC	8/1/2014	1/23/2015	939.11	1,113.95	(174.84) LT
6	CANADIAN NATURAL RES LTD	10/3/2014	1/23/2015	155.97	203.58	(47.61) LT
6	CANADIAN NATURAL RES LTD	11/6/2014	1/23/2015	155.98	198.41	(42.43) LT
4	CANADIAN NATURAL RES LTD	12/5/2014	1/23/2015	112.54	132.28	(19.74) LT
3	CANADIAN NATURAL RES LTD	1/13/2015	1/23/2015	84.42	117.27	(32.85) LT
6	CANADIAN NATURAL RES LTD	1/17/2014	1/23/2015	175.95	234.54	(58.59) LT
5	CANADIAN NATURAL RES LTD	8/1/2014	1/13/2015	129.97	179.65	(49.68) LT
10	CANADIAN NATURAL RES LTD	7/7/2014	1/13/2015	259.95	348.50	(88.55) LT
1	CANADIAN NATURAL RES LTD	1/17/2014	1/23/2015	26.00	35.13	(9.13) LT
8	CANADIAN NATURAL RES LTD	4/22/2014	1/23/2015	225.10	270.48	(45.38) LT
7	CANADIAN NATURAL RES LTD	12/5/2014	1/13/2015	205.28	236.67	(31.39) LT
4	CANADIAN NATURAL RES LTD	12/5/2014	1/23/2015	112.54	129.56	(17.02) LT
4	CANADIAN NATURAL RES LTD	10/3/2014	1/13/2015	117.30	129.56	(12.26) LT

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THE LOUIS FEINBERG FOUNDATION(CORP.)

11 DELPHI AUTOMOTIVE PLC	1/17/2014	1/13/2015	787.77	963.78	(176.01) ST
10 DELPHI AUTOMOTIVE PLC	1/17/2014	1/13/2015	709.48	876.16	(166.68) ST
7 GW PHARMACEUTICALS PLC	1/17/2014	1/13/2015	548.73	607.32	(58.59) LT
11 GW PHARMACEUTICALS PLC	1/17/2014	1/13/2015	861.33	954.36	(93.03) LT
3 GW PHARMACEUTICALS PLC	1/17/2014	2/5/2015	235.16	260.12	(24.96) ST
4 GW PHARMACEUTICALS PLC	1/17/2014	2/5/2015	313.21	349.48	(36.27) ST
24 MALLINCKRODT PLC SHS	2/26/2014	2/5/2015	1,538.80	1,930.21	(391.41) LT
5 MALLINCKRODT PLC SHS	2/26/2014	2/5/2015	320.58	371.80	(51.22) LT
10 MALLINCKRODT PLC SHS	3/16/2014	2/5/2015	641.17	695.00	(53.83) LT
17 MALLINCKRODT PLC SHS	3/24/2014	2/5/2015	1,089.99	1,127.27	(37.28) ST
10 MALLINCKRODT PLC SHS	4/22/2014	2/5/2015	641.18	661.04	(19.86) ST
5 TOYOTA MOTOR CORP ADR	7/22/2014	2/5/2015	504.95	561.75	(56.80) LT
5 TOYOTA MOTOR CORP ADR	8/1/2014	2/5/2015	504.95	561.75	(56.80) LT
1 NXP SEMICONDUCTORS N.V	10/21/2014	2/5/2015	89.95	56.58	33.37 LT
55 CHINA UNICOM HONG KONG	11/6/2014	2/5/2015	579.31	733.04	(153.73) LT
15 CHINA UNICOM HONG KONG	12/5/2014	2/5/2015	157.99	194.78	(36.79) LT
170 CHINA UNICOM HONG KONG	1/13/2015	2/5/2015	1,790.63	2,120.80	(330.17) LT
2 PRAIRIESKY RTY LTD SHS	1/23/2015	2/5/2015	37.17	-	37.17 ST
26 BARCLAYS PLC ADR	1/17/2014	2/5/2015	207.74	457.34	(249.60) LT
20 BARCLAYS PLC ADR	8/1/2014	2/5/2015	159.80	338.35	(178.55) LT
155 BARCLAYS PLC ADR	1/17/2014	2/5/2015	1,238.50	2,420.71	(1,182.21) LT
100 BARCLAYS PLC ADR	7/7/2014	2/5/2015	799.04	1,451.90	(652.86) LT
33 BARCLAYS PLC ADR	10/3/2014	2/5/2015	263.69	550.11	(286.42) LT
3 CREDITCORP LTD COM PV \$5	1/17/2014	2/5/2015	472.93	386.25	86.68 LT
3 CREDITCORP LTD COM PV \$5	1/17/2014	3/13/2015	470.62	386.25	84.37 LT
4 CREDITCORP LTD COM PV \$5	1/17/2014	3/13/2015	630.23	514.99	115.24 LT
2 CREDITCORP LTD COM PV \$5	2/18/2014	3/13/2015	315.28	255.46	59.82 LT
1 CREDITCORP LTD COM PV \$5	3/24/2014	3/13/2015	156.87	127.73	29.14 LT
3 CREDITCORP LTD COM PV \$5	5/12/2014	3/13/2015	472.67	383.19	89.48 LT
7 CHINA PETE CHEM CPN ADR	7/22/2014	3/13/2015	502.31	501.38	0.93 ST
5 CHINA PETE CHEM CPN ADR	8/11/2014	3/13/2015	358.79	358.13	0.66 ST
3 CHINA PETE CHEM CPN ADR	10/3/2014	3/13/2015	217.73	214.87	2.86 ST
216 FIBRIA CELULOSE S A ADR	10/21/2014	3/13/2015	1,463.52	3,078.00	(1,614.48) LT
87 FIBRIA CELULOSE S A ADR	11/6/2014	3/13/2015	607.04	1,239.75	(632.71) LT
40 FIBRIA CELULOSE S A ADR	1/13/2015	3/13/2015	279.10	548.00	(268.90) LT
25 FIBRIA CELULOSE S A ADR	2/5/2015	3/13/2015	174.44	336.00	(161.56) LT
1 INGERSOLL-RAND PLC	2/9/2015	3/13/2015	66.44	56.88	9.56 LT
8 INGERSOLL-RAND PLC	1/17/2014	3/13/2015	531.89	491.88	40.01 ST

STATEMENT FF

THE LOUIS FEINBERG FOUNDATION(CORP.)

4 INGERSOLL-RAND PLC	7/7/2014	3/13/2015	265.78	245.94	19.84 ST
35 NXP SEMICONDUCTORS N.V.	1/17/2014	3/13/2015	2,712.73	1,612.27	1,100.46 LT
21 NXP SEMICONDUCTORS N.V.	1/17/2014	3/13/2015	1,627.64	1,188.18	439.46 LT
12 SONY CORP ADR NEW	9/18/2014	3/13/2015	366.61	313.76	52.85 ST
18 SONY CORP ADR NEW	1/17/2014	3/13/2015	551.34	470.63	80.71 ST
39 TOYOTA MOTOR CORP ADR	10/3/2014	3/13/2015	3,887.81	4,381.65	(493.84) LT
1 GW PHARMACEUTICALS PLC	1/17/2014	3/13/2015	124.94	87.37	37.57 ST
39 UNILEVER NV NY REG SHS	2/18/2014	3/13/2015	1,850.99	1,673.17	177.82 ST
23 UNILEVER NV NY REG SHS	3/24/2014	3/13/2015	1,091.61	986.60	105.01 ST
40 UNILEVER NV NY REG SHS	4/22/2014	3/13/2015	1,898.46	1,723.54	174.92 ST
11 UNILEVER NV NY REG SHS	5/2/2014	3/13/2015	522.07	498.53	23.54 ST
7 UNILEVER NV NY REG SHS	7/22/2014	3/13/2015	332.24	316.33	15.91 ST
9 UNILEVER NV NY REG SHS	8/1/2014	3/13/2015	428.18	406.71	21.47 ST
20 CHECK POINT SOFTWARE TECH	1/17/2014	4/13/2015	1,555.86	1,574.33	(18.47) ST
13 CHECK POINT SOFTWARE TECH	1/17/2014	4/13/2015	1,011.32	1,019.55	(8.23) ST
4 GW PHARMACEUTICALS PLC	1/23/2015	4/13/2015	518.27	347.04	171.23 LT
2 GW PHARMACEUTICALS PLC	1/17/2014	4/13/2015	256.15	173.52	82.63 LT
1 GW PHARMACEUTICALS PLC	1/17/2014	4/13/2015	129.56	87.37	42.19 ST
1 GW PHARMACEUTICALS PLC	2/26/2014	4/13/2015	128.07	87.37	40.70 ST
2 NETEASE.COM INC ADR	1/17/2014	4/13/2015	510.74	377.28	133.46 ST
4 NETEASE.COM INC ADR	2/18/2014	4/13/2015	1,036.33	754.55	281.78 ST
22 DELPHI AUTOMOTIVE PLC	8/1/2014	4/13/2015	1,454.40	1,927.56	(473.16) LT
15 DELPHI AUTOMOTIVE PLC	1/17/2014	4/13/2015	991.64	1,313.55	(321.91) LT
9 DELPHI AUTOMOTIVE PLC	2/18/2014	4/13/2015	594.99	731.25	(136.26) LT
1 GW PHARMACEUTICALS PLC	7/7/2014	4/13/2015	130.95	86.76	44.19 LT
2 PRAIRIESKY RTY LTD SHS	12/5/2014	4/13/2015	37.17	-	37.17 ST
2 PRAIRIESKY RTY LTD SHS	9/16/2014	4/13/2015	37.17	38.73	(1.56) ST
50 TEVA PHARMACTCL INDS ADR	11/6/2014	4/13/2015	1,953.39	2,899.00	(945.61) LT
20 TEVA PHARMACTCL INDS ADR	10/21/2014	4/13/2015	781.35	1,154.80	(373.45) LT
9 TEVA PHARMACTCL INDS ADR	1/17/2014	5/12/2015	351.61	500.26	(148.65) ST
5 TEVA PHARMACTCL INDS ADR	1/23/2015	5/12/2015	195.35	275.47	(80.12) ST
47 HDFC BANK LTD ADR	1/17/2014	5/12/2015	2,877.81	2,868.41	9.40 LT
1 HDFC BANK LTD ADR	1/17/2014	5/12/2015	60.08	61.03	(0.95) LT
26 HDFC BANK LTD ADR	1/17/2014	4/13/2015	1,562.26	1,516.58	45.68 LT
5 HDFC BANK LTD ADR	1/17/2014	4/13/2015	300.44	278.80	21.64 LT
15 TORONTO DOMINION BANK	2/28/2014	4/13/2015	752.73	547.64	205.09 ST
TOTALS					
SHORT-TERM			25,361	26,031	(670)

STATEMENT FF

THE LOUIS FEINBERG FOUNDATION(CORP.)

LONG-TERM	48,961	57,433	(8,471)
TOTAL	<u>74,322</u>	<u>83,463</u>	<u>(9,141)</u>

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BIRCH WATHEN LENOX 210 EAST 77TH STREET NEW YORK, NY 10075	NONE	PUBLIC CHARITY	GENERAL	3,600.
CITY HARVEST 575 EIGHTH AVENUE - 4TH FL NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL	1,200.
CITY OF HOPE 1500 EAST DUARTE ROAD DUARTE, CA 91010	NONE	PUBLIC CHARITY	GENERAL	1,100.
FEDERAL DRUG AGENTS FOUNDATION NORTH SHORE PLAZA, 380 NORTH BROADWAY, 2ND FL JERICO, NY 11753	NONE	PUBLIC CHARITY	GENERAL	6,500.
HAWORTH FIRST AID SOCIETY 300 HAWORTH AVENUE HAWORTH, NJ 07641	NONE	PUBLIC CHARITY	GENERAL	1,000.
HAWORTH POLICE DEPARTMENT 300 HAWORTH AVENUE HAWORTH, NJ 07641	NONE	PUBLIC CHARITY	GENERAL	7,000.
HAYMAKERS FOR HOPE 100 NORTH WASHINGTON STREET, FL. 4 BOSTON, MA 02114	NONE	PUBLIC CHARITY	GENERAL	2,500.
JEWELERS FOR CHILDREN 120 BROADWAY, 28TH FLOOR NEW YORK, NY 10271	NONE	PUBLIC CHARITY	GENERAL	6,000.
MACULAR DEGENERATION P.O. BOX 531313 HENDERSON, NV 89053	NONE	PUBLIC CHARITY	GENERAL	650.
MAKE A WISH FOUNDATION 4742 N. 24TH ST - SUITE 400 PHOENIX, AZ 85016	NONE	PUBLIC CHARITY	GENERAL	5,000.
Total from continuation sheets				79,550.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL ASSOCIATION OF WOMEN ARTIST 80 FIFTH AVENUE - SUITE 1405 NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL	1,500.
NATIONAL EDUCATION ASSOCIATION 1201 16TH STREET, NW WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	GENERAL	1,000.
PAWS CHICAGO 1997 N CLYBOURN AVE CHICAGO, IL 60614	NONE	PUBLIC CHARITY	GENERAL	1,500.
PETFINDER 4729 EAST SUNRISE DRIVE, #119 TUCSON, AZ 85718	NONE	PUBLIC CHARITY	GENERAL	2,000.
PUBLIC THEATER 425 LAFAYETTE STREET NEW YORK, NY 10003	NONE	PUBLIC CHARITY	GENERAL	4,000.
PUPPIES BEHIND BARS 263 WEST 38TH STREET NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL	6,000.
PUTNAM HOSPITAL 670 STONELEIGH AVENUE CARMEL, NY 10512	NONE	PUBLIC CHARITY	GENERAL	7,500.
READING PARTNERS 180 GRAND AVE. OAKLAND, CA 94612	NONE	PUBLIC CHARITY	GENERAL	2,500.
RUTGERS PRESBYTERIAN CHURCH 236 W 73RD ST NEW YORK, NY 10023	NONE	PUBLIC CHARITY	GENERAL	1,000.
SECOND CHANCE RESCUE PO BOX 570701 NEW YORK, NY 11357	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SCLERODERMIA RESEARCH 220 MONTGOMERY STREET - SUITE 1411 SAN FRANCISCO, CA 94104	NONE	PUBLIC CHARITY	GENERAL	1,500.
SECOND STAGE 305 WEST 43RD STREET NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL	1,000.
SPENCE SCHOOL 22 E 91ST ST NEW YORK, NY 10128	NONE	PUBLIC CHARITY	GENERAL	5,000.
SUNDANCE INSTITUTE 180 VARICK STREET - SUITE 1330 NEW YORK, NY 10014	NONE	PUBLIC CHARITY	GENERAL	5,000.
TEMPLE OF CEDARHURST 775 BRANCH BLVD, UNIT 1 CEDARHURST, NY 11516	NONE	PUBLIC CHARITY	GENERAL	1,500.
THE EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARITY	GENERAL	2,000.
THE STAX MUSEUM OF AMERICAN SOUL MUSIC 926 E MCLEMORE AVE MEMPHIS, TN 38126	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
INTEREST & DIVIDENDS	63,213.	1,829.	61,384.	61,384.		
TO PART I, LINE 4	63,213.	1,829.	61,384.	61,384.		

FORM 990-PF		OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME		
PER K-1 FROM TARGA RESOURCES PARTNERS, L.P.	-66.	-66.			
PER K-1 FROM ENERGY TRANSFER PARTNERS, L.P.	-403.	-403.			
TOTAL TO FORM 990-PF, PART I, LINE 11	-469.	-469.			

FORM 990-PF		ACCOUNTING FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	20,000.	16,000.		4,000.	
TO FORM 990-PF, PG 1, LN 16B	20,000.	16,000.		4,000.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	12,968.	8,646.		4,322.	
TO FORM 990-PF, PG 1, LN 18	12,968.	8,646.		4,322.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	2,878.	2,302.		576.	
INVESTMENT ADVISORY FEES	19,696.	19,696.		0.	
FILING FEES	250.	200.		50.	
TO FORM 990-PF, PG 1, LN 23	22,824.	22,198.		626.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
DESCRIPTION		AMOUNT	
LOSS ON TAX RETURN NOT RECORDED ON BOOKS		469.	
TOTAL TO FORM 990-PF, PART III, LINE 3		469.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
STOCKS - FIDELITY	160,803.	155,667.		
STOCKS - MERRILL LYNCH ACCT#04293	392,514.	330,875.		
STOCKS - MERRILL LYNCH ACCT#04292	571,715.	634,587.		
STOCKS - TD ACCT#7051	392,628.	394,883.		
STOCKS - TD ACCT#6455	129,402.	137,636.		
STOCKS - MERRILL LYNCH ACCT#02743	132,435.	137,999.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,779,497.	1,791,647.		

FORM 990-PF

CORPORATE BONDS

STATEMENT

8

DESCRIPTIONBOOK VALUEFAIR MARKET
VALUE

CORP BONDS - MERRILL LYNCH ACCT#04293

100,984.

104,395.

TOTAL TO FORM 990-PF, PART II, LINE 10C

100,984.

104,395.