

SCANNED NOV 14 2017

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation <b>UD CARSON FAM CHARITABLE TRUST</b>		A Employer identification number <b>13-6957038</b>
Number and street (or P O box number if mail is not delivered to street address) <b>BANK OF AMERICA N.A. PO BOX 1802</b>		B Telephone number (see instructions) <b>888-866-3275</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PROVIDENCE, RI 02901-1802</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 54,610,695.</b>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	31,280,633.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	137,028.	137,028.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	14,338,714.			
b	Gross sales price for all assets on line 6a	37,708,497.			
7	Capital gain net income (from Part IV, line 2)		14,338,714.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances	11,132,207.			
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	26,793.	5,248,773.		STMT 2
12	Total. Add lines 1 through 11	45,783,168.	19,724,515.		
13	Compensation of officers, directors, trustees, etc.	68,000.	40,800.		27,200.
14	Other employee salaries and wages	220,800.	NONE	NONE	220,800.
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)	3,613.	NONE	NONE	3,613.
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	33,684.	3,902.		29,782.
17	Interest				
18	Taxes (attach schedule) (see instructions)	562,704.	7,104.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule)	13,996.	27,118.		1,500.
24	Total operating and administrative expenses. Add lines 13 through 23.	902,797.	78,924.	NONE	282,895.
25	Contributions, gifts, grants paid	35,211,138.			35,211,138.
26	Total expenses and disbursements. Add lines 24 and 25	36,113,935.	78,924.	NONE	35,494,033.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	9,669,233.			
b	Net investment income (if negative, enter -0-)		19,645,591.		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	6,163,668.	5,852,198.	5,852,198.
	3	Accounts receivable ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . .			
	4	Pledges receivable ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . .			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . . NONE			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . STMT 7 . . . . .	16,151,155.	13,766,161.	15,146,762.
	c	Investments - corporate bonds (attach schedule) . . . . .			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ . . . . .		
		Less accumulated depreciation ▶ (attach schedule) . . . . .			
12		Investments - mortgage loans . . . . .			
13		Investments - other (attach schedule) . . . . . STMT 8 . . . . .	551,240.	38,045.	33,611,735.
14		Land, buildings, and equipment basis ▶ . . . . .			
		Less accumulated depreciation ▶ (attach schedule) . . . . .			
15		Other assets (describe ▶ . . . . .)			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	22,866,063.	19,656,404.	54,610,695.
17		Accounts payable and accrued expenses . . . . .			
18		Grants payable . . . . .			
19	Deferred revenue . . . . .				
20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ▶ . . . . .)				
23	Total liabilities (add lines 17 through 22) . . . . .		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	22,866,063.	19,656,404.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
	30	Total net assets or fund balances (see instructions) . . . . .	22,866,063.	19,656,404.	
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	22,866,063.	19,656,404.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	22,866,063.
2	Enter amount from Part I, line 27a . . . . .	2	9,669,233.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	6,607,077.
4	Add lines 1, 2, and 3 . . . . .	4	39,142,373.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	19,485,969.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	19,656,404.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a PUBLICLY TRADED SECURITIES</b>					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 37,708,497.		23,369,783.	14,338,714.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			14,338,714.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	14,338,714.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	31,259,695.	67,843,635.	0.460761
2014	34,181,072.	58,433,720.	0.584955
2013	22,163,488.	43,820,200.	0.505782
2012	18,854,919.	7,537,711.	2.501412
2011	21,867,711.	17,864,858.	1.224063
2 Total of line 1, column (d)			2 5.276973
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 1.055395
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 57,513,634.
5 Multiply line 4 by line 3.			5 60,699,602.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 196,456.
7 Add lines 5 and 6.			7 60,896,058.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 35,494,033.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .		1	392,912.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	NONE
3 Add lines 1 and 2 . . . . .		3	392,912.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	392,912.
<b>6 Credits/Payments</b>			
a 2016 estimated tax payments and 2015 overpayment credited to 2016 . . . . .	6a	216,570.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	280,068.	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	496,638.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	103,726.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 103,726. Refunded <input type="checkbox"/> <b>STMT 11</b>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <b>NY</b>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	X	


**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► US TRUST FIDUCIARY TAX SVCS Telephone no ► (888) 866-3275 Located at ► PO BOX 1802, PROVIDENCE, RI ZIP+4 ► 02901		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .		X
Organizations relying on a current notice regarding disaster assistance check here . . . . . ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ► , , , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► , , , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) . . . . .		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐ **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒


If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		68,000.		

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total** number of other employees paid over \$50,000  NONE

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
<b>Total number of others receiving over \$50,000 for professional services . . . . .</b>	<b>▶</b>	NONE

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> <u>NONE</u>  	
<b>2</b> _____  	
All other program-related investments See instructions	
<b>3</b> <u>NONE</u>  	
<b>Total.</b> Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	54,269,151.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	4,120,325.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	58,389,476.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	58,389,476.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	875,842.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	57,513,634.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	2,875,682.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	2,875,682.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	392,912.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	392,912.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	2,482,770.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	2,482,770.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	2,482,770.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	35,494,033.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	35,494,033.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	35,494,033.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				2,482,770.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			NONE	
<b>b</b> Total for prior years 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011 . . . . .	21,185,674.			
<b>b</b> From 2012 . . . . .	18,524,211.			
<b>c</b> From 2013 . . . . .	20,310,046.			
<b>d</b> From 2014 . . . . .	31,601,644.			
<b>e</b> From 2015 . . . . .	28,310,035.			
<b>f</b> Total of lines 3a through e . . . . .	119,931,610.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ► \$ 35,494,033.				
<b>a</b> Applied to 2015, but not more than line 2a . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2016 distributable amount . . . . .				2,482,770.
<b>e</b> Remaining amount distributed out of corpus. . .	33,011,263.			
<b>5</b> Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	152,942,873.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	21,185,674.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	131,757,199.			
<b>10</b> Analysis of line 9.				
<b>a</b> Excess from 2012 . . .	18,524,211.			
<b>b</b> Excess from 2013 . . .	20,310,046.			
<b>c</b> Excess from 2014 . . .	31,601,644.			
<b>d</b> Excess from 2015 . . .	28,310,035.			
<b>e</b> Excess from 2016 . . .	33,011,263.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

RUSSELL L CARSON JUDITH M CARSON

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED STATEMENT	NONE	PC	UNRESTRICTED GENERAL SUPPORT	35,211,138.
<b>Total</b> . . . . .			<b>3a</b>	35,211,138.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>3b</b>	



## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  |              |            |           |
|----------|--|--------------|------------|-----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              | <b>Yes</b> | <b>No</b> |
|          |  |              |            |           |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |            |           |
|          | (1) Cash . . . . .   | <b>1a(1)</b> |            | <b>X</b>  |
|          | (2) Other assets . . . . .   | <b>1a(2)</b> |            | <b>X</b>  |
| <b>b</b> | Other transactions:  |              |            |           |
|          | (1) Sales of assets to a noncharitable exempt organization . . . . .   | <b>1b(1)</b> |            | <b>X</b>  |
|          | (2) Purchases of assets from a noncharitable exempt organization . . . . .   | <b>1b(2)</b> |            | <b>X</b>  |
|          | (3) Rental of facilities, equipment, or other assets . . . . .   | <b>1b(3)</b> |            | <b>X</b>  |
|          | (4) Reimbursement arrangements . . . . .   | <b>1b(4)</b> |            | <b>X</b>  |
|          | (5) Loans or loan guarantees . . . . .   | <b>1b(5)</b> |            | <b>X</b>  |
|          | (6) Performance of services or membership or fundraising solicitations . . . . .   | <b>1b(6)</b> |            | <b>X</b>  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .   | <b>1c</b>    |            | <b>X</b>  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |            |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Karen J. Kiser  
Signature of officer or trustee

11/02/2017  
Date

► SVP Tax

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

BANK OF AMERICA, N.A.

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check <input type="checkbox"/> if self-employed	PTIN
---	------

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

**Schedule of Contributors**

OMB No 1545-0047

**2016**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

Name of the organization

Employer identification number

UD CARSON FAM CHARITABLE TRUST

13-6957038

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization  
UD CARSON FAM CHARITABLE TRUST

Employer identification number  
13-6957038

**Part I** Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RUSSELL CARSON 114 WEST 47TH ST. NEW YORK, NY 10036	\$ 31,280,633.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)





## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	4,215.	4,215.
FOREIGN DIVIDENDS	24,365.	24,365.
DOMESTIC DIVIDENDS	95,416.	95,416.
OTHER INTEREST	223.	223.
NONQUALIFIED FOREIGN DIVIDENDS	4,114.	4,114.
NONQUALIFIED DOMESTIC DIVIDENDS	8,695.	8,695.
	-----	-----
TOTAL	137,028.	137,028.
	=====	=====

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
OTHER INCOME	26,693.	26,693.
EXCISE TAX REFUND	100.	
PARTNERSHIP INCOME		5,222,080.
	-----	-----
TOTALS	26,793.	5,248,773.
	=====	=====

## FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL FEES - CHARITABLE	3,613.			3,613.
TOTALS	3,613.	NONE	NONE	3,613.
	=====	=====	=====	=====

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
PBG MGMT FEES - BOA	3,902.	3,902.	
GRANTMAKING FEES - BOA	29,782.		29,782.
	-----	-----	-----
TOTALS	33,684.	3,902.	29,782.
	=====	=====	=====

## FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXCISE TAX - PRIOR YEAR	555,600.	
FOREIGN TAXES ON QUALIFIED	2,990.	2,990.
FOREIGN TAXES ON NONQUALIFIED	4,114.	4,114.
	-----	-----
TOTALS	562,704.	7,104.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE- PRIN	12,496.	12,496.	
STATE FILING FEE	1,500.		1,500.
PARTNERSHIP EXPENSES		14,622.	
TOTALS	13,996.	27,118.	1,500.

## UD CARSON FAM CHARITABLE TRUST

13-6957038

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
277902698 EATON VANCE ATLANTA	1,865,403.	1,557,135.	1,927,801.
52470H765 LEGG MASON CLEARBRID	1,862,359.		
19765P406 COLUMBIA CONTRARIAN	2,825,794.	2,372,652.	2,727,855.
19765Y852 COLUMBIA EMERGING MA	1,865,572.	1,558,254.	1,524,226.
74253Q747 PRINCIPAL MIDCAP BLE	1,865,645.	1,564,444.	1,848,340.
87234N302 TCW SELECT EQUITIES	2,123,202.		
04314H204 ARTISAN INTERNATIONA	3,743,180.		
464287200 ISHARES CORE S&P 500		2,066,806.	2,174,528.
378690820 GLENMEDE SMALL CAPIT		2,911,595.	3,289,369.
68380L407 OPPENHEIMER INTL GRO		1,735,275.	1,654,643.
	-----	-----	-----
TOTALS	16,151,155.	13,766,161.	15,146,762.
	=====	=====	=====

## UD CARSON FAM CHARITABLE TRUST

13-6957038

## FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION		COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----		-----	-----	-----	---
99Z485825 WCAS X CO-INVESTORS	C				7,570,347.
99Z550263 WCAS XI CO-INVESTORS	C				20,193,421.
GOLDMAN SACHS EQUITIES	C			38,045.	5,847,967.
99C047992 LANDMARK SECONDARY	C		226,240.		
99C048008 ACCOLADE PARTNERS LP	C		325,000.		
			-----	-----	-----
TOTALS			551,240.	38,045.	33,611,735.
			=====	=====	=====



## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION -----	AMOUNT -----
PARTNERSHIP ADJUSTMENT	6,501,324.
PARTNERSHIP SALES ADJUSTMENT	105,753.
	-----
TOTAL	6,607,077.
	=====

## FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
TYE INCOME ADJUSTMENT	1,947.
TAX TO MARKET ADJUSTMENT	5,546,293.
SECURITIES BASIS ADJUSTMENT	13,937,729.
	-----
TOTAL	19,485,969.
	=====

UD CARSON FAM CHARITABLE TRUST

13-6957038

FORM 990PF, PART VII-A - NEW SUBSTANTIAL CONTRIBUTORS

=====

NAME AND ADDRESS

-----

RUSSELL CARSON  
114 WEST 47TH ST.  
NEW YORK, NY 10036

STATEMENT 11

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

## OFFICER NAME:

BANK OF AMERICA, N.A.

## ADDRESS:

114 W 47TH ST

NEW YORK, NY 10036

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 60,000.

## OFFICER NAME:

EDWARD S CARSON

## ADDRESS:

114 WEST 47TH ST

NEW YORK, NY 10036

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 15

COMPENSATION ..... 8,000.

## OFFICER NAME:

RUSSELL CARSON

## ADDRESS:

114 WEST 47TH ST

NEW YORK, NY 10036

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 20

## OFFICER NAME:

JUDITH CARSON

## ADDRESS:

114 WEST 47TH ST

NEW YORK, NY 10036

## TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 15

UD CARSON FAM CHARITABLE TRUST

13-6957038

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

CECILY CARSON

ADDRESS:

114 WEST 47TH ST

NEW YORK, NY 10036

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

TOTAL COMPENSATION:

68,000.

=====

STATEMENT 13

Posting Date	Amount	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient
01/13/2016	-1,000,000.00	ROBIN HOOD FOUNDATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
01/15/2016	-4,000,000.00	NEW YORK GENOME CENTER	NONE	UNRESTRICTED GENERAL SUPPORT	PC
01/15/2016	-125,000.00	PEER HEALTH EXCHANGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
01/15/2016	-150,000.00	UPWARDLY GLOBAL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
02/11/2016	-100,000.00	PARTNERS IN HEALTH	NONE	UNRESTRICTED GENERAL SUPPORT	PC
02/11/2016	-200,000.00	RELAY GRADUATE SCHOOL OF	NONE	UNRESTRICTED GENERAL SUPPORT	PC
02/11/2016	-250,000.00	AMERICAN MUSEUM OF NATURAL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
02/11/2016	-833,333.00	KIPP NEW YORK, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/07/2016	-250,000.00	RED HOOK INITIATIVE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/16/2016	-250,000.00	PHILHARMONIC - SYMPHONY SOCIETY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/23/2016	-200,000.00	LINCOLN CENTER FOR THE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/23/2016	-250,000.00	ACHIEVEMENT FIRST, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/23/2016	-250,000.00	ROADS SCHOOLS, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/23/2016	-350,000.00	TRUSTEES OF COLUMBIA UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/30/2016	-200,000.00	NEW YORK STEM CELL FOUNDATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
03/30/2016	-25,000.00	CARY INSTITUTE OF ECOSYSTEM	NONE	UNRESTRICTED GENERAL SUPPORT	PC
04/07/2016	-300,000.00	NEW YORK PUBLIC LIBRARY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
04/07/2016	-70,000.00	CRISTO REY NEW YORK HIGH SCHOOL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
04/18/2016	-135,000.00	THE BERKSHIRE TACONIC COMMUNITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
04/18/2016	-500,000.00	GOOD SHEPHERD SERVICES	NONE	UNRESTRICTED GENERAL SUPPORT	PC
04/18/2016	-100,000.00	BEGINNING WITH CHILDREN	NONE	UNRESTRICTED GENERAL SUPPORT	PC
04/27/2016	-1,000,000.00	THE ENDOWMENT FOR INNER-CITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/10/2016	-175,000.00	GODDARD RIVERSIDE COMMUNITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/10/2016	-200,000.00	TEACH FOR AMERICA	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/11/2016	-50,000.00	SPORTS HUMANITARIAN GROUP DBA	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/17/2016	-50,000.00	CRISTO REY BROOKLYN HIGH	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/17/2016	-100,000.00	CLASSROOM, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/24/2016	-494,025.00	THE CHILD CENTER OF NY, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/25/2016	-250,000.00	ROBIN HOOD FOUNDATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
05/26/2016	-50,000.00	THE MATCH SCHOOL FOUNDATION,	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/08/2016	-50,000.00	NEW CLASSROOMS INNOVATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/08/2016	-75,000.00	AMERICAN SKIN ASSOCIATION, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/08/2016	-1,000,000.00	TRUSTEES OF DARTMOUTH COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/13/2016	-750,000.00	EQUITY PROJECT CHARTER SCHOOL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/14/2016	-35,000.00	TRUSTEES OF COLUMBIA UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/16/2016	-500,000.00	THE NEW YORK CITY CENTER FOR	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/16/2016	-250,000.00	FUND FOR THE CITY OF NEW YORK,	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/16/2016	-150,000.00	EDUCATORS FOR EXCELLENCE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/16/2016	-500,000.00	CHILDREN'S AID SOCIETY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/16/2016	-200,000.00	NEW YORK PUBLIC RADIO	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/23/2016	-2,500,000.00	ROCKEFELLER UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/23/2016	-500,000.00	METROPOLITAN MUSEUM OF ART	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/23/2016	-100,000.00	NOTRE DAME SCHOOL OF	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/23/2016	-150,000.00	NEIGHBORHOOD COALITION FOR	NONE	UNRESTRICTED GENERAL SUPPORT	PC

06/28/2016	-50,000 00	NETWORK FOR TEACHING	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/28/2016	-100,000 00	ROBERT A TOIGO FOUNDATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
06/29/2016	-200,000.00	STUDENT SPONSOR PARTNERSHIP,	NONE	UNRESTRICTED GENERAL SUPPORT	PC
07/06/2016	-100,000 00	PRESIDENT AND FELLOWS OF HARVARD	NONE	UNRESTRICTED GENERAL SUPPORT	PC
07/12/2016	-250,000 00	SUCCESS ACADEMY CHARTER SCHOOLS	NONE	UNRESTRICTED GENERAL SUPPORT	PC
07/26/2016	-100,000 00	READWORKS, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
07/26/2016	-50,000 00	KESSLER FOUNDATION, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
07/26/2016	-500,000 00	CENTRAL PARK CONSERVANCY, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
07/27/2016	-563,780 00	MARYMOUNT MANHATTAN COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/01/2016	-50,000 00	SPORTS HUMANITARIAN GROUP	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/03/2016	-500,000 00	ST. LUKE'S- ROOSEVELT HOSPITAL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/18/2016	-50,000 00	COMMUNITY FOR EDUCATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/18/2016	-50,000 00	MANHATTAN INSTITUTE FOR POLICY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/18/2016	-200,000 00	PUBLIC PREPARATORY NETWORK, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/18/2016	-100,000 00	BREAKING GROUND HOUSING	NONE	UNRESTRICTED GENERAL SUPPORT	PC
08/29/2016	-25,000 00	CENTRAL QUEENS ACADEMY CHARTER	NONE	UNRESTRICTED GENERAL SUPPORT	PC
09/13/2016	-250,000 00	THE CHILD CENTER OF NY, INC.	NONE	UNRESTRICTED GENERAL SUPPORT	PC
09/15/2016	-600,000 00	EAST HARLEM TUTORIAL PROGRAM INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
09/23/2016	-100,000 00	AMERICAN RED CROSS IN GREATER	NONE	UNRESTRICTED GENERAL SUPPORT	PC
09/23/2016	-100,000 00	HOSPITAL FOR SPECIAL SURGERY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
10/07/2016	-200,000 00	EXCELLENCE COMMUNITY SCHOOLS INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
10/07/2016	-200,000 00	METROPOLITAN OPERA ASSOCIATION,	NONE	UNRESTRICTED GENERAL SUPPORT	PC
10/25/2016	-50,000.00	MANHATTAN THEATRE CLUB	NONE	UNRESTRICTED GENERAL SUPPORT	PC
10/26/2016	-100,000 00	METROPOLITAN MUSEUM OF ART	NONE	UNRESTRICTED GENERAL SUPPORT	PC
10/26/2016	-1,000,000.00	NEW YORK AND PRESBYTERIAN	NONE	UNRESTRICTED GENERAL SUPPORT	PC
11/03/2016	-250,000 00	NEW-YORK HISTORICAL SOCIETY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
11/09/2016	-100,000 00	EXALT YOUTH	NONE	UNRESTRICTED GENERAL SUPPORT	PC
11/22/2016	-50,000.00	AMERICA NEEDS YOU	NONE	UNRESTRICTED GENERAL SUPPORT	PC
11/22/2016	-50,000 00	TEACHING MATTERS, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
11/22/2016	-500,000 00	MEMORIAL SLOAN-KETTERING CANCER	NONE	UNRESTRICTED GENERAL SUPPORT	PC
11/29/2016	-100,000 00	KENYON COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/13/2016	-500,000 00	MATH FOR AMERICA, INC.	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/13/2016	-75,000.00	NATIONAL COLLEGE ADVISING CORPS,	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/13/2016	-3,000,000 00	ROCKEFELLER UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/13/2016	-500,000 00	SPONSORS FOR EDUCATIONAL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/13/2016	-200,000 00	ST PATRICK'S CATHEDRAL LANDMARK	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/13/2016	-250,000 00	THE CHILDREN'S HEALTH FUND	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/20/2016	-200,000 00	NEIGHBORHOOD TRUST	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-25,000.00	AMERICAN RED CROSS IN GREATER	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-10,000 00	ASSOCIATION TO BENEFIT CHILDREN	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-2,500,000.00	ROBIN HOOD FOUNDATION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-100,000 00	MUSEUM OF ARTS AND DESIGN	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-500,000 00	UNCOMMON SCHOOLS INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-500,000 00	HARLEM CHILDREN'S ZONE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	CENTRAL PARK CONSERVANCY, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-5,000 00	COLLEGIATE SCHOOL, INC	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	TRUSTEES OF COLUMBIA UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-500,000 00	INTERNATIONAL RESCUE COMMITTEE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-100,000 00	TRUSTEES OF DARTMOUTH COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-100,000 00	METROPOLITAN MUSEUM OF ART	NONE	UNRESTRICTED GENERAL SUPPORT	PC

12/23/2016	-10,000 00	CONVENT OF THE SACRED HEART	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-25,000 00	DUTCHESS LAND CONSERVANCY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-10,000 00	EXODUS SCHOOL	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-10,000 00	KENYON COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-25,000 00	LITTLE SISTERS OF THE ASSUMPTION	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	MARYMOUNT MANHATTAN COLLEGE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	NATIONAL SEPT 11 MEMORIAL AND	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	THE ENDOWMENT FOR INNER-CITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	PLANNED PARENTHOOD OF NEW YORK	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-50,000 00	ST JOHN'S BREAD AND LIFE	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-10,000 00	CORNELL UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-100,000 00	ROCKEFELLER UNIVERSITY	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-100,000 00	NEW YORK PUBLIC RADIO	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/23/2016	-100,000 00	NEW YORK AND PRESBYTERIAN	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/27/2016	-125,000 00	SETON EDUCATION PARTNERS	NONE	UNRESTRICTED GENERAL SUPPORT	PC
12/27/2016	-75,000 00	FRIENDS OF THE CHILDREN NEW YORK	NONE	UNRESTRICTED GENERAL SUPPORT	PC
	<u>-35,211,138.00</u>				